



**PHONXAY KEOKHAM, CPA**  
**TREASURER-TAX COLLECTOR**  
**SAN JOAQUIN COUNTY**

Wyman Jeung  
Assistant Treasurer-Tax Collector

Mandy Matta  
Chief Deputy Treasurer

**TREASURY BALANCE SUMMARY**  
**May 31, 2021**

I, Phonxay Keokham, Treasurer-Tax Collector, County of San Joaquin, State of California, do hereby certify under oath that on May 31, 2021, the books of said County showed that there was \$4,266,660,266.96 (FOUR BILLION, TWO HUNDRED SIXTY SIX MILLION, SIX HUNDRED SIXTY THOUSAND, TWO HUNDRED SIXTY SIX DOLLARS AND NINETY SIX CENTS) in the Treasury as follows:

Currency	\$	180,620.11
----------	----	------------

Summary of Investments (see attachment for details):

Bank of the West - Sweep	38,869,180.76
Bank of the West - Money Market Plus	395,000,000.00
California Asset Management Program	375,000,000.00
Local Agency Investment Fund	75,000,000.00
Commercial Paper	349,629,509.72
Medium Term Notes	25,007,300.00
California GO Refunding Bonds	21,105,800.00
US Treasury	607,310,253.14
Federal Agencies	2,375,384,400.69
Total Investments	4,262,306,444.31
Bank Balance	
Bank of the West - Closing Ledger Balance	3,627,914.65
Bank of the West - Transactions not in CAPS (net)	545,287.89
Total Treasury Balance	\$ 4,266,660,266.96

All investments are in compliance with the County's Investment Policy. Market values are based on information from either the trustee, broker, Wall Street Journal, or other sources approved by the Treasurer-Tax Collector. Based on revenue and expenditure projections and information known to the Treasurer-Tax Collector, the Treasury will be able to meet its pool's expenditure requirement for the next six months. The weighted average maturity of the investments within the treasury pool, assuming all callable bonds are held to maturity, is 516 days.

  
Phonxay Keokham, Treasurer-Tax Collector





San Joaquin County  
**PORTFOLIO HOLDINGS**  
 May 31, 2021

25,000,000.00	3135GAC33	12/29/2020	FNMA	12/29/2023	0.300	25,000,000.00	100.00	24,960,750.00	31,666.67	24,992,416.67	0.59	0.300	Moody's-Aaa	S&P-AA+
25,000,000.00	3130ALTL8	4/23/2021	FHLB	1/23/2024	0.300	25,000,000.00	100.00	24,989,000.00	7,916.67	24,996,916.67	0.59	0.300	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AM6J6	5/13/2021	FHLB	2/13/2024	0.340	25,000,000.00	100.00	24,993,000.00	4,250.00	24,997,250.00	0.59	0.340	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AK3R5	9/8/2020	FHLB	3/8/2024	0.370	25,000,000.00	100.00	25,000,250.00	21,326.39	25,021,576.39	0.59	0.370	Moody's-Aaa	S&P-AA+
15,000,000.00	3133EMTD4	3/15/2021	FFCB	3/15/2024	0.370	15,000,000.00	100.00	15,006,150.00	11,716.67	15,017,866.67	0.35	0.370	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AKKF2	12/28/2020	FHLB	3/28/2024	0.270	25,000,000.00	100.00	24,979,000.00	11,812.50	24,990,812.50	0.59	0.270	Moody's-Aaa	S&P-AA+
25,000,000.00	3133EMVD1	4/5/2021	FFCB	4/5/2024	0.330	25,000,000.00	100.00	25,005,750.00	12,833.33	25,018,583.33	0.59	0.330	Moody's-Aaa	S&P-AA+
25,000,000.00	3133ELVX9	4/8/2020	FFCB	4/8/2024	0.875	25,000,000.00	100.00	25,132,250.00	32,204.86	25,164,454.86	0.59	0.875	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AM2C5	5/3/2021	FHLB	5/3/2024	0.400	25,000,000.00	100.00	25,012,250.00	7,777.78	25,020,027.78	0.59	0.400	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXBD5	11/25/2020	FHLMC	5/15/2024	0.360	25,000,000.00	100.00	25,004,750.00	4,000.00	25,008,750.00	0.59	0.360	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXBD5	12/9/2020	FHLMC	5/15/2024	0.360	25,000,000.00	100.00	25,004,750.00	4,000.00	25,008,750.00	0.59	0.360	Moody's-Aaa	S&P-AA+
25,000,000.00	3130ALAK0	2/24/2021	FHLB	5/24/2024	0.250	25,000,000.00	100.00	24,942,500.00	1,215.28	24,943,715.28	0.59	0.250	Moody's-Aaa	S&P-AA+
20,000,000.00	3130ALPA6	3/30/2021	FHLB	5/30/2024	0.470	20,000,000.00	100.00	20,001,000.00	15,666.67	20,016,666.67	0.47	0.470	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GWJ64	9/10/2020	FHLMC	6/10/2024	0.400	25,000,000.00	100.00	24,924,500.00	22,500.00	24,947,000.00	0.59	0.400	Moody's-Aaa	S&P-AA+
20,000,000.00	3130A1XJ2	6/14/2019	FHLB	6/14/2024	2.875	20,906,400.00	104.53	21,528,600.00	266,736.11	21,795,336.11	0.47	1.920	Moody's-Aaa	S&P-AA+
20,000,000.00	3133EKTV8	7/1/2019	FFCB	7/1/2024	1.900	20,036,620.00	100.18	20,929,600.00	158,333.33	21,087,933.33	0.47	1.861	Moody's-Aaa	S&P-AA+
25,000,000.00	3130ALWZ3	4/22/2021	FHLB	7/22/2024	0.500	25,000,000.00	100.00	25,003,750.00	13,541.67	25,017,291.67	0.59	0.500	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AM5L2	5/6/2021	FHLB	8/6/2024	0.500	25,000,000.00	100.00	25,017,000.00	8,680.56	25,025,680.56	0.59	0.500	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AKX84	2/23/2021	FFCB	8/23/2024	0.270	25,000,000.00	100.00	24,879,750.00	18,375.00	24,898,125.00	0.59	0.270	Moody's-Aaa	S&P-AA+
25,000,000.00	3130ALDJ0	2/26/2021	FHLB	8/26/2024	0.330	25,000,000.00	100.00	24,954,250.00	21,770.83	24,976,020.83	0.59	0.330	Moody's-Aaa	S&P-AA+
25,000,000.00	3130ALTH7	3/30/2021	FHLB	9/30/2024	0.510	25,000,000.00	100.00	25,001,750.00	21,250.00	25,023,000.00	0.59	0.510	Moody's-Aaa	S&P-AA+
25,000,000.00	3130ALRM8	3/29/2021	FHLB	11/29/2024	0.600	25,000,000.00	100.00	25,000,250.00	25,833.33	25,026,083.33	0.59	0.600	Moody's-Aaa	S&P-AA+
25,000,000.00	3133EMLP5	12/23/2020	FFCB	12/23/2024	0.320	25,000,000.00	100.00	24,863,500.00	35,111.11	24,898,611.11	0.59	0.320	Moody's-Aaa	S&P-AA+
20,000,000.00	3134GXJM7	12/30/2020	FHLMC	12/30/2024	0.330	20,000,000.00	100.00	19,962,600.00	27,500.00	19,990,100.00	0.47	0.330	Moody's-Aaa	S&P-AA+
20,000,000.00	3130AJ2C2	2/3/2020	FHLB	2/3/2025	1.625	20,000,000.00	100.00	20,069,800.00	106,527.78	20,176,327.78	0.47	1.625	Moody's-Aaa	S&P-AA+
25,000,000.00	3133EMJP8	12/10/2020	FFCB	3/10/2025	0.490	25,000,000.00	100.00	24,951,500.00	27,562.50	24,979,062.50	0.59	0.490	Moody's-Aaa	S&P-AA+
25,000,000.00	3133EMTQ5	3/17/2021	FFCB	3/17/2025	0.700	25,000,000.00	100.00	25,018,000.00	35,972.22	25,053,972.22	0.59	0.700	Moody's-Aaa	S&P-AA+
20,000,000.00	3133EMBK7	12/11/2020	FFCB	4/7/2025	0.450	20,000,000.00	100.00	19,905,000.00	13,500.00	19,918,500.00	0.47	0.450	Moody's-Aaa	S&P-AA+
25,000,000.00	3130ALU93	3/30/2021	FHLB	6/30/2025	0.750	25,000,000.00	100.00	25,010,000.00	31,250.00	25,041,250.00	0.59	0.750	Moody's-Aaa	S&P-AA+
25,000,000.00	3135G06J7	12/10/2020	FNMA	12/10/2025	0.650	25,012,500.00	100.05	24,848,000.00	77,187.50	24,925,187.50	0.59	0.640	Moody's-Aaa	S&P-AA+
25,000,000.00	3130ALSA3	3/30/2021	FHLB	3/30/2026	1.070	25,000,000.00	100.00	25,002,250.00	44,583.33	25,046,833.33	0.59	1.070	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AM6U1	4/28/2021	FHLB	4/28/2026	0.625	25,000,000.00	100.00	25,001,000.00	14,322.92	25,015,322.92	0.59	0.996	Moody's-Aaa	S&P-AA+
2,375,000,000.00						2,375,384,400.69		2,381,924,300.00	3,331,838.24	2,385,256,138.24	55.83	0.553		
<b>TOTAL PORTFOLIO</b>						4,262,306,444.31		4,269,248,860.26	4,244,861.47	4,273,493,721.73	100.00	0.38		
4,253,869,180.76														

San Joaquin County  
**TRANSACTION SUMMARY**  
From May 1, 2021 to May 31, 2021

<u>Security</u>	<u>Security Symbol</u>	<u>YTM @</u>	<u>Trade</u>	<u>Settlement</u>	<u>Maturity</u>	<u>Quantity</u>	<u>Principal</u>	<u>Price</u>	<u>Interest/Dividends</u>	<u>Total</u>	<u>Broker/Dealer</u>
<b>PURCHASES</b>											
FHLB 0.34 2/13/2024-21	3130AM6J6	0.340	4/20/2021	5/13/2021	2/13/2024	25,000,000.00	25,000,000.00	100	0.00	25,000,000.00	BNP Paribas Securities
FHLB 0.4 5/3/2024-22	3130AM2C5	0.400	4/8/2021	5/3/2021	5/3/2024	25,000,000.00	25,000,000.00	100	0.00	25,000,000.00	Multi-Bank Securities
FHLB 0.5 8/6/2024-21	3130AM5L2	0.500	4/20/2021	5/6/2021	8/6/2024	25,000,000.00	25,000,000.00	100	0.00	25,000,000.00	Wells Fargo
Sub Total						75,000,000.00	75,000,000.00		0.00	75,000,000.00	
<b>CALLED</b>											
FFCB 0.14 2/4/2022-21	3133EMFE7	0.000	5/7/2021	5/7/2021	2/4/2022	25,000,000.00	25,000,000.00	0	9,041.67	25,009,041.67	Piper Sandler & Co.
FHLMC 0.3 5/5/2022-21	3134GVRJ9	0.000	5/5/2021	5/5/2021	5/5/2022	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	RBC Wealth Management
Sub Total						50,000,000.00	50,000,000.00		9,041.67	50,009,041.67	
<b>INTEREST</b>											
California State 3 11/1/2022	13063DYU6	0.000	5/1/2021	5/1/2021	11/1/2022	0.00	0.00		296,666.67	296,666.67	Union Bank
FFCB 0.12 11/29/2022	3133EMUU4	0.000	5/29/2021	5/29/2021	11/29/2022	0.00	0.00		10,000.00	10,000.00	UBS Financial Services
FFCB 0.125 5/3/2023-21	3133EMPA4	0.000	5/3/2021	5/3/2021	5/3/2023	0.00	0.00		7,812.50	7,812.50	BNP Paribas Securities
FFCB 0.17 11/3/2023-21	3133EMPB2	0.000	5/3/2021	5/3/2021	11/3/2023	0.00	0.00		21,250.00	21,250.00	BNP Paribas Securities
FFCB 0.31 11/30/2023-21	3133EMHL9	0.000	5/30/2021	5/30/2021	11/30/2023	0.00	0.00		38,750.00	38,750.00	Piper Sandler
FFCB 1.6 11/1/2023	3133EK4X1	0.000	5/1/2021	5/1/2021	11/1/2023	0.00	0.00		200,000.00	200,000.00	BNP Paribas Securities
FFCB 1.69 5/17/2023	3133ELEL4	0.000	5/17/2021	5/17/2021	5/17/2023	0.00	0.00		126,750.00	126,750.00	Piper Sandler
FFCB 1.95 11/2/2021	3133EHP31	0.000	5/2/2021	5/2/2021	11/2/2021	0.00	0.00		97,500.00	97,500.00	Union Bank
FFCB 2.08 11/1/2022	3133EHM91	0.000	5/1/2021	5/1/2021	11/1/2022	0.00	0.00		260,000.00	260,000.00	Wells Fargo
FFCB 2.7 5/10/2021	3133EJNS4	0.000	5/10/2021	5/10/2021	5/10/2021	0.00	0.00		270,000.00	270,000.00	BNP Paribas Securities
FHLB 0.25 5/24/2024-21	3130ALAK0	0.000	5/24/2021	5/24/2021	5/24/2024	0.00	0.00		15,625.00	15,625.00	BNP Paribas Securities
FHLB 0.3 11/27/2023-21	3130AKGL4	0.000	5/27/2021	5/27/2021	11/27/2023	0.00	0.00		37,500.00	37,500.00	Wells Fargo
FHLMC 0.19 11/23/2022-21	3134GXDG6	0.000	5/23/2021	5/23/2021	11/23/2022	0.00	0.00		23,750.00	23,750.00	Union Bank
FHLMC 0.2 11/23/2022-21	3134GXFF6	0.000	5/23/2021	5/23/2021	11/23/2022	0.00	0.00		24,722.22	24,722.22	RBC Wealth Management
FHLMC 0.2 2/10/2023-21	3134GW7H3	0.000	5/10/2021	5/10/2021	2/10/2023	0.00	0.00		25,000.00	25,000.00	Union Bank
FHLMC 0.2 2/16/2023-21	3134GW7J9	0.000	5/16/2021	5/16/2021	2/16/2023	0.00	0.00		25,000.00	25,000.00	UBS Financial Services
FHLMC 0.25 11/18/2022-21	3134GWQC3	0.000	5/18/2021	5/18/2021	11/18/2022	0.00	0.00		31,250.00	31,250.00	BNP Paribas Securities
FHLMC 0.25 5/19/2023-22	3134GXCB8	0.000	5/19/2021	5/19/2021	5/19/2023	0.00	0.00		31,250.00	31,250.00	BNP Paribas Securities
FHLMC 0.25 8/4/2023-21	3134GW7L4	0.000	5/4/2021	5/4/2021	8/4/2023	0.00	0.00		31,250.00	31,250.00	Piper Sandler
FHLMC 0.3 5/5/2022-21	3134GVRJ9	0.000	5/5/2021	5/5/2021	5/5/2022	0.00	0.00		37,500.00	37,500.00	RBC Wealth Management
FHLMC 0.32 11/24/2023	3134GXCA0	0.000	5/24/2021	5/24/2021	11/24/2023	0.00	0.00		40,000.00	40,000.00	BNP Paribas Securities
FHLMC 0.36 5/15/2024-21	3134GXBD5	0.000	5/15/2021	5/15/2021	5/15/2024	0.00	0.00		91,500.00	91,500.00	Raymond James
T-Note 0.25 11/15/2023	91282CAW1	0.000	5/15/2021	5/15/2021	11/15/2023	0.00	0.00		31,250.00	31,250.00	BNP Paribas Securities
T-Note 1.75 5/15/2023	91282VB3	0.000	5/15/2021	5/15/2021	5/15/2023	0.00	0.00		218,750.00	218,750.00	BNP Paribas Securities
Sub Total						0.00	0.00		1,993,076.39	1,993,076.39	
<b>MATURED</b>											
FFCB 2.7 5/10/2021	3133EJNS4	0.000	5/10/2021	5/10/2021	5/10/2021	20,000,000.00	20,000,000.00	0	0.00	20,000,000.00	BNP Paribas Securities
FHLB 0 5/26/2021	313385GB6-1	0.000	5/26/2021	5/26/2021	5/26/2021	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Union Bank
FHLB 0 5/28/2021	313385GD2	0.000	5/28/2021	5/28/2021	5/28/2021	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
T-Bill 0 5/11/2021	912796F87	0.000	5/11/2021	5/11/2021	5/11/2021	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
T-Bill 0 5/18/2021	912796F95	0.000	5/18/2021	5/18/2021	5/18/2021	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Bank of the West
T-Bill 0 5/25/2021	912796G29	0.000	5/25/2021	5/25/2021	5/25/2021	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
T-Bill 0 5/25/2021	912796G29	0.000	5/25/2021	5/25/2021	5/25/2021	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
Sub Total						170,000,000.00	170,000,000.00		0.00	170,000,000.00	