



PHONXAY KEOKHAM, CPA
TREASURER-TAX COLLECTOR
SAN JOAQUIN COUNTY

Wyman Jeung
Assistant Treasurer-Tax Collector

Mandy Matta
Chief Deputy Treasurer

TREASURY BALANCE SUMMARY
December 31, 2020

I, Phonxay Keokham, Treasurer-Tax Collector, County of San Joaquin, State of California, do hereby certify under oath that on December 31, 2020, the books of said County showed that there was \$4,307,156,687.89 (FOUR BILLION, THREE HUNDRED SEVEN MILLION, ONE HUNDRED FIFTY SIX THOUSAND, SIX HUNDRED EIGHTY SEVEN DOLLARS AND EIGHTY NINE CENTS) in the Treasury as follows:

Currency	\$	62,272.98
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Summary of Investments (see attachment for details):

Bank of the West - Sweep	104,199,327.42
Bank of the West - Money Market Plus	350,000,000.00
California Asset Management Program	150,000,000.00
Local Agency Investment Fund	75,000,000.00
Commercial Paper	394,645,802.78
Medium Term Notes	25,007,300.00
California GO Refunding Bonds	21,105,800.00
US Treasury	1,049,285,958.33
Federal Agencies	2,125,134,569.71
Total Investments	4,294,378,758.24
Bank Balance	
Bank of the West - Closing Ledger Balance	7,816,262.84
Bank of the West - Transactions not in CAPS (net)	4,899,393.83
Total Treasury Balance	\$ 4,307,156,687.89

All investments are in compliance with the County's Investment Policy. Market values are based on information from either the trustee, broker, Wall Street Journal, or other sources approved by the Treasurer-Tax Collector. Based on revenue and expenditure projections and information known to the Treasurer-Tax Collector, the Treasury will be able to meet its pool's expenditure requirement for the next six months. The weighted average maturity of the investments within the treasury pool, assuming all callable bonds are held to maturity, is 394 days.

Phonxay Keokham, Treasurer-Tax Collector

San Joaquin County
PORTFOLIO HOLDINGS
December 31, 2020

Quantity	Security Symbol	Settlement Date	Security	Cost Value	Cost Price	Market Value	Accrued Interest	Market Value + Accrued Interest	% of Portfolio	YTM @ Cost	Credit Rating 1	Credit Rating 2
25,000,000.00	3130AKAT3	10/05/2020	FHLB 0.17 10/5/2022-21	25,000,000.00	100.00	24,995,250.00	10,152.78	25,005,402.78	0.58	0.170	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AKKP0	12/22/2020	FHLB 0.19 12/22/2023-21	24,968,750.00	99.88	24,961,250.00	1,187.50	24,962,437.50	0.58	0.232	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AKKP0	12/22/2020	FHLB 0.19 12/22/2023-21	24,975,500.00	99.90	24,961,250.00	1,187.50	24,962,437.50	0.58	0.223	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AKKF2	12/28/2020	FHLB 0.27 3/28/2024-21	25,000,000.00	100.00	24,990,250.00	562.50	24,990,812.50	0.58	0.270	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AKGL4	11/27/2020	FHLB 0.3 11/27/2023-21	25,000,000.00	100.00	25,004,500.00	7,083.33	25,011,583.33	0.58	0.300	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AJYU7	08/24/2020	FHLB 0.33 8/24/2023-20	25,000,000.00	100.00	24,988,250.00	29,104.17	25,017,354.17	0.58	0.330	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AK3R5	09/08/2020	FHLB 0.37 3/8/2024-21	25,000,000.00	100.00	24,997,250.00	29,034.72	25,026,284.72	0.58	0.370	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AJG94	04/06/2020	FHLB 0.875 4/6/2023-21	25,000,000.00	100.00	25,042,250.00	51,649.30	25,093,899.30	0.58	0.875	Moody's-Aaa	S&P-AA+
20,000,000.00	3130AHZM8	02/03/2020	FHLB 1.5 2/3/2021	19,992,090.00	99.96	20,022,600.00	123,333.33	20,145,933.33	0.47	1.540	Moody's-Aaa	S&P-AA+
20,000,000.00	3130AJ2C2	02/03/2020	FHLB 1.625 2/3/2025-22	20,000,000.00	100.00	20,188,400.00	133,611.11	20,322,011.11	0.47	1.625	Moody's-Aaa	S&P-AA+
25,000,000.00	3130AHDS9	10/18/2019	FHLB 1.68 10/18/2023-21	25,000,000.00	100.00	25,230,000.00	85,166.67	25,315,166.67	0.58	1.680	Moody's-Aaa	S&P-AA+
10,000,000.00	313378WG2	09/26/2019	FHLB 2.5 3/11/2022	10,211,900.00	102.12	10,283,000.00	76,388.89	10,359,388.89	0.23	1.617	Moody's-Aaa	S&P-AA+
20,000,000.00	3130A1XJ2	06/14/2019	FHLB 2.875 6/14/2024	20,906,400.00	104.53	21,831,400.00	27,152.78	21,858,552.78	0.47	1.920	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXDG6	11/23/2020	FHLMC 0.19 11/23/2022-21	25,000,000.00	100.00	25,009,500.00	5,013.89	25,014,513.89	0.58	0.190	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXFF6	11/25/2020	FHLMC 0.2 11/23/2022-21	25,000,000.00	100.00	25,008,750.00	5,000.00	25,013,750.00	0.58	0.200	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXGQ1	12/15/2020	FHLMC 0.2 12/15/2022-21	25,000,000.00	100.00	25,022,250.00	2,222.22	25,024,472.22	0.58	0.200	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GW7H3	11/10/2020	FHLMC 0.2 2/10/2023-21	25,000,000.00	100.00	25,001,500.00	7,083.33	25,008,583.33	0.58	0.200	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GW7J9	11/16/2020	FHLMC 0.2 2/16/2023-21	25,000,000.00	100.00	25,000,250.00	6,250.00	25,006,500.00	0.58	0.200	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GWXJ0	09/30/2020	FHLMC 0.22 12/30/2022-21	25,000,000.00	100.00	24,979,250.00	13,750.00	24,993,000.00	0.58	0.220	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXFP4	12/14/2020	FHLMC 0.22 3/14/2023-21	25,000,000.00	100.00	25,008,250.00	2,597.22	25,010,847.22	0.58	0.220	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXFB5	12/14/2020	FHLMC 0.22 3/14/2023-21	25,000,000.00	100.00	24,990,000.00	2,597.22	24,992,597.22	0.58	0.220	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXJH8	12/29/2020	FHLMC 0.22 9/29/2023-21	25,000,000.00	100.00	24,983,750.00	305.56	24,984,055.56	0.58	0.220	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GWQC3	08/19/2020	FHLMC 0.25 11/18/2022-21	25,000,000.00	100.00	25,001,750.00	7,465.28	25,009,215.28	0.58	0.250	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXCB8	11/19/2020	FHLMC 0.25 5/19/2023-22	25,000,000.00	100.00	25,027,250.00	7,291.67	25,034,541.67	0.58	0.250	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GW7L4	11/04/2020	FHLMC 0.25 8/4/2023-21	25,000,000.00	100.00	25,009,500.00	9,895.83	25,019,395.83	0.58	0.250	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXGA6	12/15/2020	FHLMC 0.25 9/15/2023-21	25,000,000.00	100.00	25,003,250.00	2,777.78	25,006,027.78	0.58	0.250	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GW2F2	08/25/2020	FHLMC 0.3 5/25/2023-21	25,000,000.00	100.00	24,991,250.00	26,250.00	25,017,500.00	0.58	0.300	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GVRJ9	05/05/2020	FHLMC 0.3 5/5/2022	25,000,000.00	100.00	25,006,500.00	11,666.67	25,018,166.67	0.58	0.300	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXCA0	11/24/2020	FHLMC 0.32 11/24/2023	25,000,000.00	100.00	25,002,250.00	8,222.22	25,010,472.22	0.58	0.320	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GWPA2	09/14/2020	FHLMC 0.32 9/14/2023-21	25,000,000.00	100.00	25,001,250.00	23,777.78	25,025,027.78	0.58	0.320	Moody's-Aaa	S&P-AA+
20,000,000.00	3134GXJM7	12/30/2020	FHLMC 0.33 12/30/2024-21	20,000,000.00	100.00	20,001,000.00	0.00	20,001,000.00	0.47	0.330	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXBD5	11/25/2020	FHLMC 0.36 5/15/2024-21	25,000,000.00	100.00	25,012,250.00	12,250.00	25,024,500.00	0.58	0.360	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GXBD5	12/09/2020	FHLMC 0.36 5/15/2024-21	25,000,000.00	100.00	25,012,250.00	12,250.00	25,024,500.00	0.58	0.360	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GWJ64	09/10/2020	FHLMC 0.4 6/10/2024-21	25,000,000.00	100.00	24,959,500.00	30,833.33	24,990,333.33	0.58	0.400	Moody's-Aaa	S&P-AA+
10,000,000.00	3134GU5L0	01/29/2020	FHLMC 1.8 1/29/2024-21	10,000,000.00	100.00	10,010,700.00	76,000.00	10,086,700.00	0.23	1.800	Moody's-Aaa	S&P-AA+
15,000,000.00	3134GU5L0	01/29/2020	FHLMC 1.8 1/29/2024-21	15,000,000.00	100.00	15,016,050.00	114,000.00	15,130,050.00	0.35	1.800	Moody's-Aaa	S&P-AA+
25,000,000.00	3135GAC33	12/29/2020	FNMA 0.3 12/29/2023-21	25,000,000.00	100.00	24,990,500.00	416.67	24,990,916.67	0.58	0.300	Moody's-Aaa	S&P-AA+
25,000,000.00	3135GA6J5	12/07/2020	FNMA 0.32 12/7/2023-21	25,000,000.00	100.00	25,001,000.00	5,333.33	25,006,333.33	0.58	0.320	Moody's-Aaa	S&P-AA+
25,000,000.00	3135G06J7	12/10/2020	FNMA 0.65 12/10/2025-21	25,012,500.00	100.05	25,020,250.00	9,479.17	25,029,729.17	0.58	0.640	Moody's-Aaa	S&P-AA+
20,000,000.00	3135G0T45	04/05/2018	FNMA 1.875 4/5/2022	19,490,000.00	97.45	20,442,000.00	89,583.33	20,531,583.33	0.47	2.550	Moody's-Aaa	S&P-AA+
2,125,000,000.00				2,125,134,569.71		2,135,794,400.00	2,493,204.87	2,138,287,604.87	49.49	0.595		

TOTAL PORTFOLIO
4,294,199,327.42

4,294,378,758.24 4,306,183,991.92 2,705,240.55 4,308,889,232.47 100.00 0.377

San Joaquin County
TRANSACTION SUMMARY
From December 1, 2020 to December 31, 2020

<u>Security</u>	<u>Security Symbol</u>	<u>YTM @</u>	<u>Trade</u>	<u>Settlement</u>	<u>Maturity</u>	<u>Quantity</u>	<u>Principal</u>	<u>Price</u>	<u>Interest/Dividends</u>	<u>Total</u>	<u>Broker/Dealer</u>
FFCB 2.4 12/16/2020	3133EKHA7	0.000	12/16/2020	12/16/2020	12/16/2020	0.00	0.00		120,000.00	120,000.00	Multi-Bank Securities
FFCB 2.75 12/14/2020	3133EJ2R9	0.000	12/14/2020	12/14/2020	12/14/2020	0.00	0.00		137,500.00	137,500.00	Wells Fargo
FHLB 1.875 12/11/2020	3130A3UQ5	0.000	12/11/2020	12/11/2020	12/11/2020	0.00	0.00		234,375.00	234,375.00	BNP Paribas
FHLB 1.94 12/16/2024-20	3130AHN66	0.000	12/16/2020	12/16/2020	12/16/2024	0.00	0.00		242,500.00	242,500.00	Union Bank
FHLB 2.875 6/14/2024	3130A1XJ2	0.000	12/14/2020	12/14/2020	06/14/2024	0.00	0.00		287,500.00	287,500.00	Union Bank
FHLB 3.125 12/11/2020	313371U79	0.000	12/11/2020	12/11/2020	12/11/2020	0.00	0.00		390,625.00	390,625.00	Union Bank
FHLMC 1.85 12/28/2023-20	3134GUH29	0.000	12/28/2020	12/28/2020	12/28/2023	0.00	0.00		92,500.00	92,500.00	Piper Sandler & Co.
FHLMC 2 12/18/2020-18	3134GB6C1	0.000	12/18/2020	12/18/2020	12/18/2020	0.00	0.00		100,000.00	100,000.00	RBC Wealth Management
FNMA 1.875 12/28/2020	3135G0H55	0.000	12/28/2020	12/28/2020	12/28/2020	0.00	0.00		234,375.00	234,375.00	BNP Paribas
T-Note 1.125 6/30/2021	912828S27	0.000	12/31/2020	12/31/2020	06/30/2021	0.00	0.00		140,625.00	140,625.00	Union Bank
Sub Total						0.00	0.00		3,698,625.00	3,698,625.00	
MATURED											
BNP Paribas 0 12/28/2020	09659BMU1	0.000	12/28/2020	12/28/2020	12/28/2020	50,000,000.00	50,000,000.00	0	0.00	50,000,000.00	BNP Paribas Securities
FFCB 1.92 12/28/2020	3133EG2L8	0.000	12/28/2020	12/28/2020	12/28/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Wells Fargo
FFCB 2.4 12/16/2020	3133EKHA7	0.000	12/16/2020	12/16/2020	12/16/2020	10,000,000.00	10,000,000.00	0	0.00	10,000,000.00	Multi-Bank Securities
FFCB 2.75 12/14/2020	3133EJ2R9	0.000	12/14/2020	12/14/2020	12/14/2020	10,000,000.00	10,000,000.00	0	0.00	10,000,000.00	Wells Fargo
FHLB 0 12/22/2020	313384U31	0.000	12/22/2020	12/22/2020	12/22/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
FHLB 0 12/28/2020	313384U98	0.000	12/28/2020	12/28/2020	12/28/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Union Bank
FHLB 0 12/30/2020	313384V30	0.000	12/30/2020	12/30/2020	12/30/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Union Bank
FHLB 0 12/9/2020	313384S67	0.000	12/09/2020	12/09/2020	12/09/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Union Bank
FHLB 1.875 12/11/2020	3130A3UQ5	0.000	12/11/2020	12/11/2020	12/11/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
FHLB 3.125 12/11/2020	313371U79	0.000	12/11/2020	12/11/2020	12/11/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Union Bank
FHLMC 2 12/18/2020-18	3134GB6C1	0.000	12/18/2020	12/18/2020	12/18/2020	10,000,000.00	10,000,000.00	0	0.00	10,000,000.00	RBC Wealth Management
FNMA 1.875 12/28/2020	3135G0H55	0.000	12/28/2020	12/28/2020	12/28/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Wells Fargo
Johnson & Johnson 0 12/11/2020	47816FMB4	0.000	12/11/2020	12/11/2020	12/11/2020	50,000,000.00	50,000,000.00	0	0.00	50,000,000.00	Piper Sandler & Co.
Pfizer 0 12/21/2020	71708EMM4	0.000	12/21/2020	12/21/2020	12/21/2020	50,000,000.00	50,000,000.00	0	0.00	50,000,000.00	Union Bank
T-Bill 0 12/10/2020	9127963J6	0.000	12/10/2020	12/10/2020	12/10/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
T-Bill 0 12/15/2020	9127965C9	0.000	12/15/2020	12/15/2020	12/15/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Union Bank
T-Bill 0 12/15/2020	9127965C9	0.000	12/15/2020	12/15/2020	12/15/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
T-Bill 0 12/17/2020	9127963K3	0.000	12/17/2020	12/17/2020	12/17/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
T-Bill 0 12/24/2020	9127963L1	0.000	12/24/2020	12/24/2020	12/24/2020	20,000,000.00	20,000,000.00	0	0.00	20,000,000.00	BNP Paribas Securities
T-Bill 0 12/3/2020	912796TU3	0.000	12/03/2020	12/03/2020	12/03/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	BNP Paribas Securities
T-Bill 0 12/31/2020	912796TY5	0.000	12/31/2020	12/31/2020	12/31/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Union Bank
Toyota 0 12/22/2020	89233GMN3	0.000	12/22/2020	12/22/2020	12/22/2020	25,000,000.00	25,000,000.00	0	0.00	25,000,000.00	Toyota
Sub Total						575,000,000.00	575,000,000.00		0.00	575,000,000.00	