



PHONXAY KEOKHAM, CPA
TREASURER-TAX COLLECTOR
SAN JOAQUIN COUNTY

Wyman Jeung
Assistant Treasurer-Tax Collector

Mandy Matta
Chief Deputy Treasurer

TREASURY BALANCE SUMMARY
November 30, 2018

I, Phonxay Keokham, Treasurer-Tax Collector, County of San Joaquin, State of California, do hereby certify that on November 30, 2018, the books of said County showed that there was \$3,118,857,033.02 (THREE BILLION, ONE HUNDRED EIGHTEEN MILLION, EIGHT HUNDRED FIFTY SEVEN THOUSAND, THIRTY THREE DOLLARS AND TWO CENTS) in the Treasury as follows:

Currency	\$	152,525.02
Summary of Investments (see attachment for details):		
Bank of the West - Sweep		29,082,842.82
Bank of the West - Money Market Plus		50,049,726.03
Local Agency Investment Fund		65,000,000.00
Commercial Paper		517,769,240.28
Medium Term Notes		19,858,023.80
California GO Refunding Bonds		10,327,882.50
US Treasury		152,275,707.99
Federal Agencies		2,231,308,203.23
Total Investments		3,075,671,626.65
Bank Balance		
Bank of the West - Closing Ledger Balance		38,217,253.00
Bank of the West - Transactions not in CAPS (net)		4,815,628.35
Total Treasury Balance	\$	3,118,857,033.02

All investments are in compliance with the County's Investment Policy. Market values are based on information from either the trustee, broker, Wall Street Journal, or other sources approved by the Treasurer-Tax Collector. Based on revenue and expenditure projections and information known to the Treasurer-Tax Collector, the Treasury will be able to meet its pool's expenditure requirement for the next 6 months. The weighted average maturity of the investments within the treasury pool, assuming all callable bonds are held to maturity, is 445 days.


Phonxay Keokham, Treasurer-Tax Collector

Tracker

San Joaquin County
PORTFOLIO HOLDINGS
November 30, 2018

Quantity	Security Symbol	Settlement Date	Security	Cost Value	Cost Price	Market Value	Accrued Interest	Market Value + Accrued Interest	% of Portfolio	YTM @ Cost	Credit Rating 1	Credit Rating 2
10,000,000.00	3130AEJN1	06/29/2018	FHLB 3.1 6/29/2023-20	10,000,000.00	100.00	9,939,400.00	130,027.78	10,069,427.78	0.32	3.100	Moody's-Aaa	S&P-AA+
10,000,000.00	313370E38	12/12/2017	FHLB 3.375 6/12/2020	10,350,000.00	103.50	10,081,000.00	157,500.00	10,238,500.00	0.32	1.934	Moody's-Aaa	S&P-AA+
10,000,000.00	313396FA6	10/26/2018	FHLMC 0 5/1/2019	9,874,294.44	98.74	9,896,500.00	0.00	9,896,500.00	0.32	2.451	Moody's-Aaa	S&P-AA+
10,000,000.00	3134GAWX8	11/28/2016	FHLMC 1.17 8/28/2019-17	9,950,000.00	99.50	9,884,900.00	29,900.00	9,914,800.00	0.32	1.356	Moody's-Aaa	S&P-AA+
10,000,000.00	3134GAB29	12/14/2016	FHLMC 1.25 12/14/2018-17	9,999,000.00	99.99	9,996,800.00	57,638.89	10,054,438.89	0.32	1.255	Moody's-Aaa	S&P-AA+
20,000,000.00	3137EADK2	02/01/2017	FHLMC 1.25 8/1/2019	19,950,000.00	99.75	19,812,800.00	82,638.89	19,895,438.89	0.65	1.352	Moody's-Aaa	S&P-AA+
25,000,000.00	3134G95C7	08/02/2016	FHLMC 1.25 8/2/2021-17	25,000,000.00	100.00	24,226,000.00	102,430.56	24,328,430.56	0.81	1.250	Moody's-Aaa	S&P-AA+
10,140,000.00	3134GAAV6	08/18/2016	FHLMC 1.32 2/21/2020-17	10,140,000.00	100.00	9,961,738.80	36,808.20	9,998,547.00	0.33	1.320	Moody's-Aaa	S&P-AA+
25,000,000.00	3137EADR7	11/01/2017	FHLMC 1.375 5/1/2020	24,850,000.00	99.40	24,509,500.00	27,690.97	24,537,190.97	0.81	1.621	Moody's-Aaa	S&P-AA+
15,000,000.00	3130AB2G0	03/27/2017	FHLMC 1.4 6/27/2019	14,989,500.00	99.93	14,899,350.00	89,250.00	14,988,600.00	0.49	1.432	Moody's-Aaa	S&P-AA+
10,000,000.00	3134GAYW8	11/28/2016	FHLMC 1.45 11/27/2019-17	9,989,900.00	99.90	9,871,600.00	1,208.33	9,872,808.33	0.32	1.485	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GAKR4	09/14/2016	FHLMC 1.47 9/14/2020-16	25,000,000.00	100.00	24,394,750.00	77,583.33	24,472,333.33	0.81	1.470	Moody's-Aaa	S&P-AA+
15,000,000.00	3134GAE42	12/30/2016	FHLMC 1.5 6/28/2019-17	15,000,000.00	100.00	14,904,150.00	95,000.00	14,999,150.00	0.49	1.500	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GAJF2	08/30/2016	FHLMC 1.6 8/27/2021-17	24,993,903.50	99.98	24,151,250.00	103,333.33	24,254,583.33	0.81	1.605	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GASH8	10/12/2016	FHLMC 1.61 10/12/2021-17	25,000,000.00	100.00	24,118,250.00	53,666.67	24,171,916.67	0.81	1.610	Moody's-Aaa	S&P-AA+
10,000,000.00	3134GBR95	10/30/2017	FHLMC 1.625 10/30/2019-18	9,997,400.00	99.97	9,896,800.00	13,541.67	9,910,341.67	0.32	1.638	Moody's-Aaa	S&P-AA+
15,000,000.00	3137EAEJ4	03/29/2018	FHLMC 1.625 9/29/2020	14,728,200.00	98.19	14,677,350.00	41,302.08	14,718,652.08	0.49	2.376	Moody's-Aaa	S&P-AA+
20,000,000.00	3134GASW5	10/18/2016	FHLMC 1.65 10/18/2021-17	20,000,000.00	100.00	19,313,200.00	38,500.00	19,351,700.00	0.65	1.650	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GASX3	10/21/2016	FHLMC 1.65 10/21/2021-17	25,000,000.00	100.00	24,139,000.00	44,687.50	24,183,687.50	0.81	1.650	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GAWV2	11/15/2016	FHLMC 1.65 11/15/2021-17	25,000,000.00	100.00	24,117,750.00	17,187.50	24,134,937.50	0.81	1.650	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GAWV2	11/15/2016	FHLMC 1.65 11/15/2021-17	25,000,000.00	100.00	24,117,750.00	17,187.50	24,134,937.50	0.81	1.650	Moody's-Aaa	S&P-AA+
9,950,000.00	3134GAAAM6	08/25/2016	FHLMC 1.65 8/25/2021-16	9,950,000.00	100.00	9,626,028.00	43,323.96	9,669,351.96	0.32	1.650	Moody's-Aaa	S&P-AA+
10,000,000.00	3134GB6C1	12/18/2017	FHLMC 2 12/18/2020-18	9,996,500.00	99.97	9,814,700.00	90,000.00	9,904,700.00	0.32	2.012	Moody's-Aaa	S&P-AA+
15,000,000.00	3134GSAL9	12/18/2017	FHLMC 2.125 3/18/2021-18	15,000,000.00	100.00	14,735,700.00	63,750.00	14,799,450.00	0.49	2.125	Moody's-Aaa	S&P-AA+
25,000,000.00	3134GBM74	09/29/2017	FHLMC 2.15 9/29/2022-18	24,975,000.00	99.90	24,215,750.00	91,076.39	24,306,826.39	0.81	2.171	Moody's-Aaa	S&P-AA+
10,000,000.00	3134GSAB1	12/28/2017	FHLMC 2.25 12/28/2021-18	10,000,000.00	100.00	9,796,800.00	95,000.00	9,891,800.00	0.32	2.250	Moody's-Aaa	S&P-AA+
8,000,000.00	3134GB6J6	12/13/2017	FHLMC 2.375 12/13/2022-18	7,992,000.00	99.90	7,826,320.00	88,138.89	7,914,458.89	0.26	2.396	Moody's-Aaa	S&P-AA+
10,000,000.00	3134GSPE9	06/28/2018	FHLMC 2.85 6/28/2021-18	10,000,000.00	100.00	9,945,900.00	120,333.33	10,066,233.33	0.32	2.850	Moody's-Aaa	S&P-AA+
10,000,000.00	3134GSNY7	06/28/2018	FHLMC 3.25 6/28/2023-18	9,995,000.00	99.95	9,975,200.00	137,222.22	10,112,422.22	0.32	3.261	Moody's-Aaa	S&P-AA+
20,000,000.00	313589U84	10/30/2018	FNMA 0 12/28/2018	19,928,544.44	99.64	19,968,400.00	0.00	19,968,400.00	0.65	2.188	Moody's-Aaa	S&P-AA+
20,000,000.00	3135G0N33	02/02/2017	FNMA 0.875 8/2/2019	19,757,400.00	98.79	19,763,200.00	57,361.11	19,820,561.11	0.65	1.370	Moody's-Aaa	S&P-AA+
15,000,000.00	3135G0R96	11/29/2016	FNMA 1.15 11/29/2019-17	14,854,500.00	99.03	14,763,450.00	479.17	14,763,929.17	0.49	1.482	Moody's-Aaa	S&P-AA+
15,000,000.00	3135G0R96	11/29/2016	FNMA 1.15 11/29/2019-17	14,854,500.00	99.03	14,763,450.00	479.17	14,763,929.17	0.49	1.482	Moody's-Aaa	S&P-AA+
25,000,000.00	3136G4FY4	11/08/2016	FNMA 1.25 11/8/2019-17	24,996,250.00	99.99	24,654,250.00	19,097.22	24,673,347.22	0.81	1.255	Moody's-Aaa	S&P-AA+
10,000,000.00	3136G2SD0	10/30/2015	FNMA 1.4 10/29/2019-16	10,000,000.00	100.00	9,879,300.00	12,055.56	9,891,355.56	0.32	1.400	Moody's-Aaa	S&P-AA+
15,000,000.00	3135G0F73	11/30/2018	FNMA 1.5 11/30/2020	14,617,500.00	97.45	14,606,400.00	0.00	14,606,400.00	0.49	2.820	Moody's-Aaa	S&P-AA+
10,000,000.00	3135G0F73	11/30/2018	FNMA 1.5 11/30/2020	9,748,850.00	97.49	9,737,600.00	0.00	9,737,600.00	0.32	2.800	Moody's-Aaa	S&P-AA+
25,000,000.00	3135G0T29	02/28/2017	FNMA 1.5 2/28/2020	24,967,500.00	99.87	24,608,250.00	95,833.33	24,704,083.33	0.81	1.544	Moody's-Aaa	S&P-AA+
20,000,000.00	3135G0D75	12/22/2017	FNMA 1.5 6/22/2020	19,780,000.00	98.90	19,607,000.00	131,666.67	19,738,666.67	0.65	1.953	Moody's-Aaa	S&P-AA+
25,000,000.00	3136G4CW1	09/30/2016	FNMA 1.5 9/30/2021-17	24,987,000.00	99.95	23,915,250.00	62,500.00	23,977,750.00	0.81	1.511	Moody's-Aaa	S&P-AA+
25,000,000.00	3136G4DK6	09/30/2016	FNMA 1.51 9/30/2021-17	25,000,000.00	100.00	23,944,750.00	62,916.67	24,007,666.67	0.81	1.510	Moody's-Aaa	S&P-AA+
25,000,000.00	3136G4EG4	10/12/2016	FNMA 1.55 10/12/2021-17	25,000,000.00	100.00	23,833,000.00	51,666.67	23,884,666.67	0.81	1.550	Moody's-Aaa	S&P-AA+
25,000,000.00	3136G34M4	08/24/2016	FNMA 1.55 8/24/2021-17	24,987,500.00	99.95	24,030,750.00	103,333.33	24,134,083.33	0.81	1.560	Moody's-Aaa	S&P-AA+
25,000,000.00	3136G35Q4	09/15/2016	FNMA 1.55 9/15/2021-17	24,975,000.00	99.90	23,986,250.00	80,729.17	24,066,979.17	0.81	1.571	Moody's-Aaa	S&P-AA+
25,000,000.00	3136G3T21	07/27/2016	FNMA 1.6 7/27/2021-17	25,000,000.00	100.00	24,154,000.00	136,666.67	24,290,666.67	0.81	1.600	Moody's-Aaa	S&P-AA+
25,000,000.00	3136G4PK3	09/28/2017	FNMA 1.75 9/28/2020-18	24,995,000.00	99.98	24,505,000.00	75,347.22	24,580,347.22	0.81	1.757	Moody's-Aaa	S&P-AA+
25,000,000.00	3135G0H55	12/28/2017	FNMA 1.875 12/28/2020	24,898,600.00	99.59	24,518,250.00	197,916.67	24,716,166.67	0.81	2.015	Moody's-Aaa	S&P-AA+
20,000,000.00	3135G0T45	04/05/2018	FNMA 1.875 4/5/2022	19,490,000.00	97.45	19,349,200.00	57,291.67	19,406,491.67	0.65	2.550	Moody's-Aaa	S&P-AA+
2,239,140,000.00				2,231,308,203.23		2,201,461,630.30	7,708,552.34	2,209,170,182.64	72.50	1.868		
TOTAL PORTFOLIO/AVERAGE												
3,088,657,568.85				3,075,671,626.65		3,047,570,005.95	7,833,916.32	3,055,403,922.27	100	1.963		