

# November 8, 2022, General Election



# CANDIDATE GUIDE



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# A Letter from your Registrar of Voters



Congratulations on your decision to seek office in the upcoming November 8, 2022 General Election. Your candidacy provides voters a choice and is crucial to the democratic process. The candidate filing process can be confusing. I hope that you find this guide to be both informative and useful.

The best advice for all candidates is to **file early**. The filing deadlines are rigid. Your right to appear on the ballot may be lost if you wait until the last day to file a document that may contain errors or omissions. Mistakes and/or oversights can be corrected if given adequate time.

This candidate guide is intended to provide general information for candidates and committees and does not have the force or effect of law, regulation, or rule. It is distributed with the understanding that the San Joaquin County Registrar of Voters office is not rendering legal advice. Therefore, this guide is not a substitute for legal counsel for the individual, organization, or candidate using it. The Registrar of Voters office strongly recommends that any prospective candidate obtain legal advice to assist in complying with applicable California laws, including the California Elections Code and California Government Code.

In addition to this candidate guide, our website [www.sjcrov.org](http://www.sjcrov.org) contains useful information including an election calendar and information on how to request voter files. Our Candidate Services staff is committed to providing the best possible service to you, your campaign staff and the voters of San Joaquin County. Please call (209) 468-8945 if you need assistance or have any questions regarding the filing process.

All the best to you in your campaign,

A handwritten signature in blue ink that reads 'Olivia Hale'. The signature is written in a cursive style.

Olivia Hale  
San Joaquin County Assistant Registrar of Voters

# REGISTRAR OF VOTERS

## **San Joaquin County Registrar of Voters Office**

44 N. San Joaquin Street, Third Floor, Suite 350  
Stockton, CA 95202  
Website: [www.sjcrov.org](http://www.sjcrov.org)  
Phone: (209) 468-VOTE (8683)

## **Assistant Registrar of Voters Olivia Hale**

Phone: (209) 468-8683  
Email: [registrar@sjgov.org](mailto:registrar@sjgov.org)

Contact Candidate Services for information about the candidate filing process or any information listed in this guide. For information about redistricting and map requests, contact GIS at [gis@sjgov.org](mailto:gis@sjgov.org).

## **Candidate Services and GIS**

Supervisor Angela Smith  
Phone: (209) 468-8945  
Email: [candidates@sjgov.org](mailto:candidates@sjgov.org)

Contact Precinct Operations for information about polling places, ballot drop boxes and Election Officers.

## **Precinct Operations**

Supervisor Alicia Stevens  
Phone: (209) 468-2892  
Email: [polls@sjgov.org](mailto:polls@sjgov.org)

Contact Registration for information about Vote by Mail or to purchase items for your campaign, such as voter files and walking lists.

## **Registration and Vote by Mail**

Supervisor Ronda Patrick  
Phone: (209) 468-2890  
Email: [vbm@sjgov.org](mailto:vbm@sjgov.org)

# WHAT'S NEW

## AB 571

Assembly Bill (AB) 571, a bill that imposes state contribution limits on candidates for the elective county or city office unless the county or city has established contribution limits, became effective January 1, 2021. Under the Fair Political Practices Commission (FPPC) regulations implementing AB 571, candidate-controlled committees subject to AB 571 (where there are no local contribution limits) **are not permitted to re-designate an existing committee for a new election**. At this time, San Joaquin County does **not** have any contribution limits for local candidates.

AB 571 **does not apply** to candidates for school boards, community college districts, political party central committees or judicial offices.

## E-FILE ORDINANCE

San Joaquin County Code of Ordinance, Title 6, Division 12, Chapter 1 - Economic Filings **requires** all Form 460 Recipient Committee Campaign Statement reports and Form 700 Statement of Economic Interests to be filed electronically beginning January 13, 2022.

If you have further questions, advice and/or concerns about AB 571 or E-Filing we encourage you to email FPPC at [advice@fppc.ca.gov](mailto:advice@fppc.ca.gov) or call 1-866-ASK-FPPC (275-3772) M-Th 9 a.m. to 11:30 a.m.

## REDISTRICTING

Every ten years districts are redrawn using U.S. Census data. Redistricting is the process of drawing electoral district maps, which then determine what neighborhoods and communities are grouped together. For most elected offices, voters in each district elect representatives from those districts. Incumbents and candidates may be required to live in their district in order to serve and, due to boundary line changes, may no longer reside in those districts. Please remain flexible in case of delays or new information from the state. Candidates or incumbents who have questions regarding which districts they reside in may contact our office at (209) 468-8945.

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June 13 (E-148)	<p><b>Governor's Proclamation – Issuance</b> On or before this date, the Governor shall issue a proclamation calling the General Election and shall state the time of the election and the offices to be filled and transmit a copy of the proclamation to the board of supervisors of each county. The Secretary of State will send an informational copy of the proclamation to each county elections official.</p>
July 4 to 18 (E-127 to E-113)	<p><b>Municipal Notice of Election Publication</b> For cities consolidating with this election, the city clerk shall publish a Notice of Election between these dates. This notice shall contain the date of the election, polling hours, any offices to be filled and/or measures to be voted on, the qualifications required for each office, location where the Declaration of Candidacy forms will be available, where the forms are to be filed and the deadline for filing these forms.</p>
July 6 (E-125)	<p><b>District Office Confirmation and Boundary Lines Form</b> Deadline for districts consolidating with election to complete and return the district confirmation of offices and boundary lines.</p>
July 8 (E-123)	<p><b>Specifications of the Election Order</b> Deadline for school boards to deliver this document to the county superintendent of schools and the county elections official.</p>
July 11 to August 10 (E-120 to E-90)	<p><b>County Notice of Election Publication</b> The notice of election will be published between these dates. The notice contains the date of the election, the name of the offices for which candidates may file, state the qualifications required for each office, the location where the declaration of candidacy forms will be available, where the forms are to be filed, and the deadline for filing these forms.</p>
July 18 (E-113)	<p><b>Declaration of Candidacy and Nomination Period Begins</b> Candidates may pick up nomination papers at the Registrar of Voters Office.</p>
July 31* (Sunday E-100)	<p><b>Semiannual Campaign Statements Due</b> Last day for all required candidates, organizations, committees and slate mailers to file semiannual campaign statements.</p>
August 2 (E-98)	<p><b>Ballot Designation Change Deadline</b> Last day that a candidate can submit a written request to change the ballot designation as used in the primary election.</p>
August 10 to November 8 (E-90 to E)	<p><b>Late Contributions and Independent Expenditures of \$1,000 or More</b> Within 24 hours of the transaction, FPPC Form 462, Form 496, or Form 497 must be filed with the appropriate filing officer.</p>
August 12 (E-88)	<p><b>Declaration of Candidacy and Nomination Period Ends at 5 p.m.</b> Deadline to file all required nomination papers.</p> <p><b>Candidate Statement of Qualifications</b> The deadline for candidates who opt to include a Candidate Statement of Qualifications in the county voter information guide.</p> <p><b>Form 700 Candidate Statement of Economic Interest</b> Deadline for candidates who have not submitted a Form 700 within the previous 60 days. (U.S. Senate, congressional and central committee candidates are exempt.)</p>

August 13 to 17 (E-87 to E-83)	<b>Nomination Extension Period</b> If the incumbent fails to file a Declaration of Candidacy by the deadline for their office. There will be a 5-calendar day extension period during which any candidate, other than the incumbent, may file for office.
August 13 to 22 (E-87 to E-78)	<b>10-day Public Examination Period for Statement of Qualifications</b> The public examination period for offices that close during the nomination period. Elections Code § 13313
August 17 (E-83)	<b>Last Day to Submit Political Party Endorsements</b> Deadline for the chairperson of any qualified political party to submit an official list of candidates who have been endorsed by the party to the county elections official.
August 18 (E-82)	<b>Randomized Alphabet Drawing</b> The SOS and the ROV will conduct a drawing of letters to determine the order in which candidates appear on the ballot.
August 18 to 27 (E-82 to E-73)	<b>10-day Public Examination Period for Statement of Qualifications</b> The public examination period for offices that close during an extended nomination period.
September 9 to 24 (E-60 to E-45)	<b>Military / Overseas Vote by Mail Ballots</b> Mailing and processing period for military and overseas voters.
September 12 to October 25 (E-57 to E-14)	<b>Write-in Candidacy Period</b> Candidates may pick up write-in candidacy nomination papers at the Registrar of Voters Office anytime between these dates.
September 29 (E- 40)	<b>County Voter Information Guides are Mailed to Registered Voters</b> The Registrar of Voters Office will begin mailing the County Voter Information Guides to registered voters.  <b>First Pre-Election Financial Statement Due</b> Last day for candidates listed on the ballot to file Form 460 or Form 470.
October 10 (E-29)	<b>Last Day Vote by Mail Ballots can be Mailed</b> No later than this date, the Registrar of Voters will mail vote by mail ballots to all registered voters.
October 10 to November 6 (E-29 to E-1)	<b>Early Voting Begins</b> Voters may vote in person or drop off a ballot at the Registrar of Voters Office.
October 24 (E-15)	<b>Last Day to Register to Vote</b> Deadline to register to vote for this Election.
October 25 to November 8 (E-14 - 0)	<b>Conditional Voter Registration</b> Conditional voter registration must be done in person at the Registrar of Voters Office or a polling place on Election Day.
October 27 (E-12)	<b>Second Pre-Election Financial Statement Due</b> Last day for committees to file Form 460.

October 28 (E-11)	<b>Certified List of Nonpartisan Write-in Candidates</b> The Secretary of State will prepare and send a certified list of write-in candidates to affected county officials and each candidate running in the affected offices.
November 1 (E-7)	<b>Last Day to Request a Vote by Mail Ballot to be Mailed</b> The deadline to submit a request by a vote by mail ballot is 5 p.m.
November 8 (E-0)	<b>Election Day</b> All polling places are open from 7 a.m. to 8 p.m. for drop-off or in-person voting. Mailed ballots must be postmarked by this date.
November 10 to December 8 (E-30)	<b>Official Canvass of the Election</b> Election results are certified by the Registrar of Voters Office.
December 2	<b>Elected and Appointed Special District Officials Will Take Office</b> At noon on the first Friday in December following this election.
December 9	<b>Elected and Appointed School District Officials Will Take Office</b> Governing board members of a school district or community college district take office on the second Friday of December.

\*If the deadline falls on a weekend or holiday the action may be conducted on the next business day.

**The ROV has no authority to extend filing deadlines established by law. It is the candidate's responsibility to be aware of all deadlines and make sure that their appropriate documents and forms have been filed before the deadline.**

# WHAT'S ON THE BALLOT

## VOTER NOMINATED OFFICES

The top two vote getters at the primary election advance to the general election for the voter-nominated office, even if both candidates have specified the same party preference designation.

### FEDERAL VOTER NOMINATED OFFICES

United States Representative in Congress – 9<sup>th</sup> and 13<sup>th</sup> Districts

2 year term

### STATE LEGISLATIVE VOTER NOMINATED OFFICES

Member of State Assembly – 9<sup>th</sup> and 13<sup>th</sup> Districts

2 year term

### CITY AND COUNTY OFFICES

QUALIFICATIONS: Must be a registered voter of the city at the time nomination papers are issued.

Government Code § 36502

### San Joaquin County Board of Supervisors and Stockton City Council Run-off Election:

The two candidates receiving the highest number of votes cast from the primary election advance to the general election.

OFFICE	TERM	HOW ELECTED	SOQ* FEE
San Joaquin County Board of Supervisors – Run Off Board Member District 2 Board Member District 4	4 year	District	TBD
Stockton City Council – Run Off City Council Member District 1	4 year	District	TBD

Candidates for these city offices must file with their city clerk.

OFFICE	TERM	HOW ELECTED	NOMINATIONS NEEDED	SOQ* FEE
Escalon (Vote for 3) City Council Member City Council Member – Unexpired Term**	4 year	At Large	20	TBD
	2 year			
Lathrop Mayor (Vote for 2) City Council Member	2 year	At Large	20	TBD
	4 year			
Lodi City Council Member District 1 City Council Member District 2 City Council Member District 3	4 year	District	20	TBD
Manteca Mayor City Council Member District 3 City Council Member District 4	4 year	District	20	TBD
Ripon (Vote for 2) City Council Member City Council Member – Unexpired Term**	4 year	At Large	20	TBD
	2 year			
Tracy Mayor (Vote for 2) City Council Member	2 year	At Large	20	TBD
	4 year			

## SCHOOL DISTRICTS AND COMMUNITY COLLEGES

School Board Members have a wide range of responsibilities, including adopting an annual budget, adopting the school calendar, negotiating contracts with employees, approving curriculum materials, opening and closing or constructing schools, and making sure that the district is achieving its goals.

**QUALIFICATIONS:** Must be a registered voter of the district residing within the district or trustee area.

Education Code §§ 5030, 35107

OFFICE	TERM	HOW ELECTED	SOQ* FEE
Banta Unified School District (Vote for 2) Board Member	4 year	At Large	TBD
Escalon Unified School District Board Member Trustee Area 1 Board Member Trustee Area 2 Board Member Trustee Area 5 Board Member Trustee Area 4 – Unexpired Term**	4 year	Trustee Area	TBD
	2 year		
Galt Joint Union Elementary School District (Sacramento is the Primary County) Board Member Trustee Area 1	4 year	Trustee Area	TBD
Galt Joint Union High School District (Sacramento is the Primary County) Board Member Trustee Area 1	4 year	Trustee Area	TBD
Jefferson Elementary School District (Vote for 3) Board Member	4 year	At Large	TBD
Lammersville Joint Unified School District (Shared with Alameda) (Vote for 2) Board Member Board Member – Unexpired Term**	4 year	At Large	TBD
	2 year		
Lincoln Unified School District Board Member Trustee Area 1 Board Member Trustee Area 3 Board Member Trustee Area 5	4 year	Trustee Area	TBD
Linden Unified School District Board Member Trustee Area 1 Board Member Trustee Area 4 Board Member Trustee Area 5 Board Member Trustee Area 2 - Unexpired Term** Board Member Trustee Area 3 - Unexpired Term**	4 year	Trustee Area	TBD
	2 year		
Lodi Unified School District Board Member Trustee Area 1 Board Member Trustee Area 3 Board Member Trustee Area 4 Board Member Trustee Area 5	4 year	Trustee Area	TBD
Manteca Unified School District Board Member Trustee Area 2 Board Member Trustee Area 4 Board Member Trustee Area 5 Board Member Trustee Area 6	4 year	Trustee Area	TBD
New Hope Elementary School District (Vote for 2) Board Member	4 year	At Large	TBD

New Jerusalem School District Board Member Trustee Area 2 Board Member Trustee Area 3	4 year	Trustee Area	TBD
Oakdale Joint Unified School District (Stanislaus is the Primary County) Board Member Trustee Area 1	4 year	Trustee Area	TBD
Oak View Union Elementary School District (Vote for 3) Board Member	4 year	At Large	TBD
Ripon Unified School District Board Member Trustee Area 1 Board Member Trustee Area 2 Board Member Trustee Area 3	4 year	Trustee Area	TBD
San Joaquin Delta Community College Board Member Trustee Area 3 Board Member Trustee Area 4 Board Member Trustee Area 7	4 year	Trustee Area	TBD
Stanislaus County Board of Education (Stanislaus is the Primary County) Board Member Trustee Area 1	4 year	Trustee Area	TBD
Stockton Unified School District Board Member Trustee Area 2 Board Member Trustee Area 5 Board Member Trustee Area 6 Board Member Trustee Area 7	4 year	Trustee Area	TBD
Tracy Unified School District (Vote for 4) Board Member	4 year	At Large	TBD
Valley Home Joint School District (Stanislaus is the Primary County) (Vote for 2) Board Member Board Member-Unexpired Term	4 year 2 year	At Large	TBD
Yosemite Community College District (Stanislaus is the Primary County) Board Member Trustee Area 1 Board Member Trustee Area 4	4 year	Trustee Area	TBD

## COMMUNITY SERVICE DISTRICTS

Community Service District Board Members are responsible for adopting a budget, developing and establishing policy for providing services to the residents within the district.

QUALIFICATIONS: Must reside and be a registered voter of the district.

Government Code § 61040

OFFICE	TERM	HOW ELECTED	SOQ* FEE
Lockeford Community Services District (Vote for 3) Board Member Board Member - Unexpired Term**	4 year 2 year	At Large	TBD
Mountain House Community Services District (Vote for 2) Board Member	4 year	At Large	TBD

# FIRE PROTECTION DISTRICTS

Fire Protection District Board Members are responsible for department property, equipment, personnel, the operating budget and establishing policies for fire protection and rescue programs for the district.

QUALIFICATIONS: Must reside and be a registered voter of the district.

Health and Safety Code § 13841

OFFICE	TERM	HOW ELECTED	SOQ* FEE
Clements Rural Fire Protection District (Vote for 2) Board Member	4 year	At Large	TBD
Eastside Rural County Fire Protection District (Vote for 3) Board Member Board Member - Unexpired Term**	4 year	At Large	TBD
	2 year		
Escalon Consolidated Fire Protection District (Vote for 3) Board Member	4 year	At Large	TBD
Farmington Fire Protection District (Vote for 2) Board Member	4 year	At Large	TBD
French Camp McKinley Fire Protection District (Vote for 2) Board Member (Vote for 2) Board Member - Unexpired Term**	4 year	At Large	TBD
	2 year		
Lathrop-Manteca Fire District (Vote for 2) Board Member	4 year	At Large	TBD
Liberty Rural County Fire Protection District Board Member	4 year	At Large	TBD
Lincoln Rural County Fire Protection District (Vote for 3) Board Member Board Member - Unexpired Term**	4 year	At Large	TBD
	2 year		
Mokelumne Rural Fire District (Vote for 3) Board Member Board Member - Unexpired Term**	4 year	At Large	TBD
	2 year		
Montezuma Fire Protection District Board Member Board Member - Unexpired Term**	4 year	At Large	TBD
	2 year		
Ripon Fire District (Vote for 2) Board Member	4 year	At Large	TBD
Tracy Rural Fire District (Vote for 3) Board Member Board Member – Unexpired Term**	4 year	At Large	TBD
Tuxedo Country Club Rural Fire Protection District Board Member Board Member - Unexpired Term**	4 year	At Large	TBD
	2 year		
Waterloo Morada Rural County Fire Protection District (Vote for 3) Board Member	4 year	At Large	TBD
Woodbridge Fire District (Vote for 3) Board Member	4 year	At Large	Paid by District

## IRRIGATION DISTRICTS

Irrigation District Board Members are responsible for establishing policies, plans construction, maintenance, and operation of the irrigation system within the district.

QUALIFICATIONS: Must reside and be a registered voter of the district.

Water Code § 21100

OFFICE	TERM	HOW ELECTED	SOQ* FEE
Banta-Carbona Irrigation District Division Area 2 Division Area 3	4 year	Division	TBD
Byron-Bethany Irrigation District* Division Area 5 Division Area 6	4 year	Division	TBD
Oakdale Irrigation District (Stanislaus is Primary County) Division Area 2	4 year	Division	TBD
South San Joaquin Irrigation District Division Area 1 Division Area 2	4 year	Division	TBD
West Stanislaus Irrigation District (Stanislaus is the Primary County) Division Area 1	4 year	Division	TBD
Woodbridge Irrigation District Division Area 1 Division Area 3 Division Area 4	4 year	Division	TBD

\*To qualify to be elected to the board of Byron-Bethany Irrigation District a person must also meet the landownership requirement of Water Code § 21100 and resides in the county of Alameda, Contra Costa, or San Joaquin to be a director of the district.

Water Code § 21100.6

## SANITARY DISTRICTS

Sanitary District Board Members are responsible for developing and establishing policy for construction and maintenance of public improvements within the district.

QUALIFICATIONS: Must reside and be a registered voter of the district.

Health and Safety Code § 6585

OFFICE	TERM	HOW ELECTED	SOQ* FEE
Woodbridge Sanitary District (Vote for 3) Board Member (Vote for 2) Board Member – Unexpired Term**	4 year 2 year	At Large	TBD

# WATER DISTRICTS

Water District Board Members vote on and establish policies, procedures and projects with-in the district.

QUALIFICATIONS: Must reside and be a registered voter of the district.

Water Code § 30500

OFFICE	TERM	HOW ELECTED	SOQ* FEE
Central San Joaquin Water Conservation District Division Area 2 Division Area 3 Division Area 5 Division Area 7 Division Area 4 – Unexpired Term** Division Area 6 – Unexpired Term**	4 year     2 year	Division	TBD
Linden County Water District (Vote for 2) Board Member Board Member – Unexpired Term**	4 year 2 year	At Large	TBD
North San Joaquin Water Conservation District Division Area 1 Division Area 4 Division Area 5	4 year	Division	TBD
Stockton East Water District  Division Area 2 Division Area 5 Division Area 6 Division Area 7	4 year	Division	TBD

\*Candidates Statement of Qualifications (SOQ) will appear in both English and Spanish in the County Voter Information Guide.

\*\***Unexpired Term:** The remainder of a term filled by an officer elected by special election or appointed, after a vacancy has occurred in the office.

# PREPARE TO FILE

## BEFORE YOU FILE

- Verify that your voter registration information is correct online at [voterstatus.sos.ca.gov](http://voterstatus.sos.ca.gov)
- Please re-register if your information is incorrect
- Confirm the office and position for which you are filing
- Make sure you meet and have proof of any qualifications for that office
- Decide how you want your name to appear on the ballot
- Decide on your ballot designation (See the Ballot Designation guidelines for assistance)
- Have your campaign contact information ready

## FIRST STEPS

1. Complete the Candidate Registration form and District Verification on the ROV website, [www.sjcrov.org](http://www.sjcrov.org) and email the completed forms to [candidates@sjgov.org](mailto:candidates@sjgov.org).
2. Upon receipt of the abovementioned forms, a staff member will email you the filing papers to complete prior to your in-person appointment.
3. Request an appointment\* via an email to [candidates@sjgov.org](mailto:candidates@sjgov.org) or use the Appointment Request Form on the ROV website [www.sjcrov.org](http://www.sjcrov.org). A staff member will confirm your appointment via email or by phone if requested.

\*An appointment is encouraged, as there may be a long wait time for walk-in candidates. Expect to spend at least 30 minutes to an hour to complete the filing process.

# CANDIDATE FILING PROCESS

## GUIDELINES TO COMPLETE THE FILING PROCESS

Candidates should review and follow the first steps listed on the previous page prior to coming into the office.

Once the candidate filing period has opened, candidates will receive their filing packet via email and should return the completed forms to [candidates@sjgov.org](mailto:candidates@sjgov.org) prior to scheduling an in-person appointment. Candidates are encouraged to start the process early in the filing period to meet the filing deadline.

*Candidate  
Filing Period*

*July 18, 2022 to  
August 12, 2022*

The following documents, often referred to as “papers”, includes the following:

- Character-Based Name Form (if applicable)
- Code of Fair Campaign Practices (optional)
- Declaration of Candidacy (completed in office)
- Campaign Finance Disclosure Information
- Authorized Agent Form (available upon request)
- Ballot Designation Worksheet
- Candidate Statement of Qualifications Form (optional)
- Form 700 – Statement of Economic Interests (if applicable)

### **CHARACTER-BASED NAME (optional)**

Assembly Bill 57 (2019) requires that any ballot providing a translation of a candidate's name, in jurisdictions required to provide translated ballot materials, contain a phonetic transliteration of the candidate's name unless certain conditions are met and as specified:

1. Permits a candidate who has a character-based name by birth, which can be verified by birth certificate or other valid identification, to use that name on the ballot instead of a phonetic transliteration.
2. Permits a candidate who does not have a character-based name by birth, but who identifies by a particular character-based name and can demonstrate that the candidate has been known and identified within the public sphere by that name over the past two years, to use that name instead of a phonetic transliteration.

### **CODE OF FAIR CAMPAIGN PRACTICES**

By subscribing to the Code of fair Campaign Practices, a candidate vows to follow the principles of decency, honesty, and fair play. Subscription to the code is optional. Completed forms are to be filed with the Registrar of Voters Office and shall be retained for public inspection until 30 days after the election.

# CANDIDATE FILING PROCESS

## DECLARATION OF CANDIDACY (completed in office only)

The Declaration of Candidacy is the most important candidate filing document and is **required of all candidates**. On the Declaration of Candidacy the candidate indicates how their name and ballot designation is to appear on the ballot, declares that they meet the statutory and/or constitutional qualifications for the office sought, and will accept the nomination and not withdraw.

*Jim, James, Jimmy... How do you want your name to appear on the ballot?*

The ballot name may be designated as follows:

- First, middle and last name
- Initials only and last name
- A nickname may be included but must be in quotation marks, not parenthesis

The name should be recognizable as the name under which the candidate is registered to vote, although it does not need to be identical. For example, a candidate registered as “William James Shaw” may use such variations as “Bill J. Shaw”, “Bill Shaw”, or “W. James (Bill) Shaw”.

## CAMPAIGN FINANCE DISCLOSURE

All state and local candidates, their committees, and committees supporting or opposing ballot measures are required to report campaign contributions and expenditures. When a candidate receives nomination papers, he/she will receive an informational overview of all filing responsibilities including electronic filing of all campaign disclosures. Candidates should familiarize themselves thoroughly with the information provided by the Fair Political Practices Commission (FPPC) and carefully note the filing deadlines, as the Act imposes penalties for late filing of campaign statements. Information and requirements can be found at [www.fppc.ca.gov](http://www.fppc.ca.gov).

## AUTHORIZED AGENT FORM

Staff cannot accept documents from an unauthorized person. An authorization form signed by the candidate must be on file.

Elections Code § 8028

# BALLOT DESIGNATION

## BALLOT DESIGNATION GUIDELINES

A ballot designation is a vocation, occupation, profession or elected position title below your name on the ballot and is optional.

Elective Office Title – You may use an elective title if you were elected to the office and are still in that office at the time of filing. You may use the term *incumbent* if running for the same office. If you were appointed, you must use *appointed incumbent* or the word *appointed*, and the title of the office held. Exception: Candidates appointed to office in lieu of an election do not have to use the word *appointed*.

Principal Occupation – You are limited to three words to describe your principal profession, vocation, or occupation.

You may not use the following: (a) any designation that would mislead the voter; (b) any suggested evaluation, such as *outstanding*, *leading expert*, *virtuous* or *eminent*; (c) words indicating a prior status, such as *former* or *ex*. You may not use the name of any political party.

The ballot designation *community volunteer* is permissible IF a candidate's community volunteer activities constitute his or her principal profession, vocation, or occupation and the candidate is not engaged concurrently in another principal profession, vocation, or occupation. The ballot designation of *community volunteer* may not be used in combination with any other principal profession, vocation, or occupational designation.

Any candidate who wishes to have a ballot designation printed on the ballot must complete a Ballot Designation Worksheet. Below are examples of acceptable and unacceptable ballot designations.

Elections Code § 13107

ACCEPTABLE
Retired (Must precede the profession)
Mother
High School Teacher
Attorney/Educator/Rancher
Businessman/Councilmember
Incumbent
Appointed Incumbent
Attorney at Law
Community Volunteer (Must stand alone and be primary occupation)

NOT ACCEPTABLE
Ret. Policeman
Teacher, Retired
Former Sheriff
Incumbent/Business owner
Community Volunteer/Businessman
Rotarian
Activist
Veteran
Philanthropist
Volunteer

# STATEMENT OF QUALIFICATIONS

## CANDIDATE STATEMENT OF QUALIFICATIONS

The candidate's Statement of Qualifications is optional; it is entirely up to candidates to determine if they wish to submit a statement. The purpose of the Statement of Qualifications is to explain the candidate's qualifications and experience to voters.

The Statement of Qualifications is published in the County Voter Information Guide and may include the candidate's name, age, occupation, and a brief description of the candidate's education and qualifications for the office sought.

Candidates may not mention political opponents. Candidates for nonpartisan office may not reference political party affiliation or activity in a partisan political organization. If endorsements are mentioned, the candidate must submit a written authorization letter from the individual(s) and/or organization(s) named in the endorsements.

A Statement of Qualifications may be withdrawn, but not changed, during the filing period and until 5 p.m. of the next business day after the close of the filing period. Statements are confidential until after the close of the filing period.

Copies of all candidate statements will be available for public inspection during the ten day public examination period of all candidate statements prior to submittal for printing in the County Voter Information Guide.

Elections Code § 13313

## FORMATTING RULES

All statements shall be printed in a uniform size, darkness, and with uniform spacing. All statements will be set in a justified format to fit within a 1/4-page space. Generally, any characters that can be produced by a standard keyboard are acceptable (., !, @, \*, -, ( ), %, #).

Statements may **not** contain bolded, capitalized or underlined words. Entire statement or phrases in all capital letters, multiple indentations, circles, or arrows are prohibited. Indentations, bullets, or multiple underscoring are not allowed. Items in a list are not allowed.

The candidate should check the Statement of Qualifications for spelling, punctuation, and grammar. Statements with spelling, punctuation, and grammatical errors will be printed precisely as submitted by the candidate. **The county elections official will not make any edits.**

Elections Code § 13308

# STATEMENT OF QUALIFICATIONS

## HOW TO COUNT WORDS FOR A CANDIDATE STATEMENT

The Statement of Qualifications cannot exceed 200 words (250 words for candidates for legislative office who meet FPPC eligibility requirements to submit a statement). Candidates for the County Board of Education are limited to 400 words. The governing body of School Districts and Special Districts have the option to allow 200 or 400 words.

Elections Code § 13307

Name, age and office title and signature are not included in the final word count. Punctuation marks are not included in the word count.

Cities, towns, states, and other geographical names are counted as one (1) word.

EXAMPLES
San Joaquin County
City of Stockton
County of San Joaquin
San Joaquin

Numeric and monetary combinations are counted as one (1) word.

EXAMPLES
2000
\$1,000
2000-2022
01/01/22

Abbreviations are counted as one (1) word.

EXAMPLES
UCLA (University of California, Los Angeles)
CPA (Certified Public Accountant)
UOP (University of the Pacific)
PTA (Parent-Teacher Association)

Hyphenated words that appear in any generally available dictionary are counted as one (1) word.

EXAMPLES
Fast-paced
Re-election
Self-assured
Check-in

# MASS MAILING HANDOUT

ALL CANDIDATES OR THEIR AGENTS AT TIME OF FILING DECLARATION OF CANDIDACY AND TO THE PROPONENTS OF A LOCAL INITIATIVE OR REFERENDUM AT TIME OF FILING PETITIONS SHALL BE PROVIDED A COPY OF CALIFORNIA GOVERNMENT CODE 84305 PER ELECTION CODE 16.

## **84305.**

(a) (1) Except as provided in subdivision (b), a candidate, candidate controlled committee established for an elective office for the controlling candidate, or political party committee shall not send a mass mailing unless the name, street address, and city of the candidate or committee are shown on the outside of each piece of mail in the mass mailing and on at least one of the inserts included within each piece of mail of the mailing in no less than 6-point type that is in a color or print that contrasts with the background so as to be easily legible. A post office box may be stated in lieu of a street address if the candidate's, candidate-controlled committee established for an elective office for the controlling candidates, or political party committee's address is a matter of public record with the Secretary of State.

(2) Except as provided in subdivision (b), a committee, other than a candidate controlled committee established for an elective office for the controlling candidate or a political party committee, shall not send a mass mailing that is not required to include a disclosure pursuant to Section 84504.2 unless the name, street address, and city of the committee is shown on the outside of each piece of mail in the mass mailing and on at least one of the inserts included within each piece of mail of the mailing in no less than 6-point type that is in a color or print that contrasts with the background so as to be easily legible. A post office box may be stated in lieu of a street address if the committee's address is a matter of public record with the Secretary of State.

(b) If the sender of the mass mailing is a single candidate or committee, the name, street address, and city of the candidate or committee need only be shown on the outside of each piece of mail.

(c) (1) A candidate, candidate-controlled committee established for an elective office for the controlling candidate, or political party committee shall not send a mass electronic mailing unless the name of the candidate or committee is shown in the electronic mailing preceded by the words "Paid for by" in at least the same size font as a majority of the text in the electronic mailing.

(2) A committee, other than a candidate controlled committee established for an elective office for the controlling candidate or a political party committee, shall not send a mass electronic mailing that is not required to include a disclosure pursuant to Section 84502 or 84504.3 unless the name of the committee is shown in the electronic mailing preceded by the words "Paid for by" in at least the same size font as a majority of the text in the electronic mailing.

(d) If the sender of a mass mailing is a controlled committee, the name of the person controlling the committee shall be included in addition to the information required by subdivision (a) or (c).

(e) For purposes of this section, the following terms have the following meanings:

(1) "Mass electronic mailing" means sending more than two hundred substantially similar pieces of electronic mail within a calendar month. "Mass electronic mailing" does not include a communication that was solicited by the recipient, including, but not limited to, acknowledgments for contributions or information that the recipient communicated to the organization.

(2) "Sender" means the candidate, candidate-controlled committee established for an elective office for the controlling candidate, or political party committee who pays for the largest portion of expenditures attributable to the designing, printing, and posting of the mailing which are reportable pursuant to Sections 84200 to 84216.5, inclusive.

(3) To "pay for" a share of the cost of a mass mailing means to make, to promise to make, or to incur an obligation to make, any payment: (A) to any person for the design, printing, postage, materials, or other costs of the mailing, including salaries, fees, or commissions, or (B) as a fee or other consideration for an endorsement or, in the case of a ballot measure, support or opposition, in the mailing.

(f) This section does not apply to a mass mailing or mass electronic mailing that is paid for by an independent expenditure.

# POLITICAL SIGN GUIDELINES

The ROV does not have jurisdiction over the placement or removal of campaign lawn signs or other outdoor advertising within city limits. The placement of political signs is subject to local regulations, it is the candidate's responsibility to know the requirements before placing their signs.

## STATE

The California Department of Transportation, Right of Way Program - Outdoor Advertising Branch, has specific requirements about political signs placed within their areas of jurisdiction. If a candidate chooses to post campaign signs, a completed *Statement of Responsibility for Temporary Political Signs* form must be filed with the Department of Transportation.

Political signs may be placed 90 days before the election and removed 10 days after.

CA Business and Professions Code § 5405.3 (b)

## COUNTY

All political signs in unincorporated San Joaquin County shall be placed any time before an election and removed within 30 days following the conclusion of an election.

San Joaquin County Ordinance 9 § 1710.5 (c) (d)

## CITIES WITHIN THE SAN JOAQUIN COUNTY

Escalon: Signs may be placed 90 days before the election and removed 5 days after.

Escalon Municipal Code § 17.42.180

Lathrop: Signs may be placed 90 days before the election and removed 7 days after.

Lathrop Municipal Code § 17.84.060

Lodi: Signs may be placed any time before the election and removed 10 days after.

Lodi Municipal Code § 17.34.070

Manteca: Signs may be placed any time before the election and removed 7 days after.

Manteca Municipal Code § 7.54.040 b (7)

Ripon: Signs may be placed 90 days before the election and removed 7 days after.

Ripon Municipal Code § 16.172.030 (L)

Stockton: Signs may be placed upon filing for office and removed 7 days after an election.

Stockton Municipal Code § 16.76.040 (D)

Tracy: Signs may be placed 45 days before the election and removed 5 days after.

Tracy Municipal Code § 10.08.4460 (f)

**Public Utilities prohibit the posting of signs on their property including telephone poles.**

# RESOURCES

For more information about outdoor advertising and political signs, contact:

## **Department of Transportation (DOT)**

Outdoor Advertising

1120 N. Street, Sacramento, CA 95814

Phone: (916) 654-6473

Website: [www.dot.ca.gov](http://www.dot.ca.gov)

For information about campaign disclosures, conflict of interest disclosure, state contribution limits, or proper use of campaign funds, contact:

## **Fair Political Practices Commission (FPPC)**

Campaign Finance Information

1102 Q Street, Suite 3000, Sacramento, CA 95811

Phone: 1 (866) ASK-FPPC (275-3772) Monday - Thursday 9 a.m. - 11:30 a.m.

Website: [www.fppc.ca.gov](http://www.fppc.ca.gov)

For information about the Elections Code, certified list of candidates and statewide elections results, contact:

## **Secretary of State (SOS)**

Elections Division at (916) 657-2166

Political Reform Division

1500 11<sup>th</sup> Street, Room 495, Sacramento, CA 95814

Phone: (916) 653-6224

Website: [www.sos.ca.gov](http://www.sos.ca.gov)

For information about committee tax status, tax-deductible contributions or any other tax related questions, contact:

## **State Franchise Tax Board (FTB)**

Customer Service

3321 Power Inn Road, Suite 250, Sacramento, CA 95826

Phone: (800) 852-5711

Website: [www.ftb.ca.gov](http://www.ftb.ca.gov)

For information regarding the electronic filing of mandated campaign statements, contact:

## **NetFile**

Website: [www.netfile.com](http://www.netfile.com)

Technical Support Email: [filerhelp@netfile.com](mailto:filerhelp@netfile.com)

There are videos and printed instructions available at <http://netfile.com/filer> to create your free filer account.

# CITY CLERKS

## **City of Escalon**

2060 McHenry Avenue, Escalon, CA 95320

Phone: (209) 691-7400

Email: [cityclerk@cityofescalon.org](mailto:cityclerk@cityofescalon.org)

Website: [www.cityofescalon.org](http://www.cityofescalon.org)

## **City of Lathrop**

390 Towne Centre Drive, Lathrop, CA 95330

Phone: (209) 941-7230

Email: [website\\_cco@ci.lathrop.ca.us](mailto:website_cco@ci.lathrop.ca.us)

Website: [www.ci.lathrop.ca.us](http://www.ci.lathrop.ca.us)

## **City of Lodi**

221 W. Pine Street, Lodi, CA 95240

Phone: (209) 333-6702

Email: [cityclerk@lodi.gov](mailto:cityclerk@lodi.gov)

Website: [www.lodi.gov](http://www.lodi.gov)

## **City of Manteca**

1001 W. Center Street, Manteca, CA 95337

Phone: (209) 456-8000

Email:

[mayorcouncilclerk@ci.manteca.ca.us](mailto:mayorcouncilclerk@ci.manteca.ca.us)

Website: [www.ci.manteca.ca.us](http://www.ci.manteca.ca.us)

## **City of Ripon**

259 N. Wilma Avenue, Ripon, CA 95366

Phone: (209) 599-2108

Email: [cityclerk@cityofripon.org](mailto:cityclerk@cityofripon.org)

Website: [www.cityofripon.org](http://www.cityofripon.org)

## **City of Stockton**

425 N. El Dorado Street, Stockton, CA 95202

Phone: (209) 937-8458

Email: [city.clerk@stocktonca.gov](mailto:city.clerk@stocktonca.gov)

Website: [www.stocktonca.gov](http://www.stocktonca.gov)

## **City of Tracy**

333 Civic Center Plaza, Tracy, CA 95376

Phone: (209) 831-6105

Email: [cityclerk@cityoftracy.org](mailto:cityclerk@cityoftracy.org)

Website: [www.cityoftracy.org](http://www.cityoftracy.org)

# USEFUL INFORMATION

## APPEARANCE OF NAMES ON THE BALLOT

A randomized alphabet drawing is the basis for determining the order of candidates on the ballot. Each candidate will be placed on the ballot in the order that each of the letters of his/her surname was drawn. For example, the placement of candidates with the surnames of Miller and Ramos will depend on the order in which the letters M and R were drawn.

Six calendar days after the end of the filing period, the Secretary of State conducts a drawing of the letters. The drawing will be conducted E-82, August 18, 2022, for the General Election.

Elections Code § 13112

## APPOINTMENTS IN-LIEU OF AN ELECTION

### Special Districts

If the number of candidates is equal to or less than the number of vacancies to be filled within the particular district, the office does not appear on the ballot. The Board of Supervisors appoints the unopposed candidates. Appointees by the Board of Supervisors take office and serve exactly as if elected at the General Election.

Elections Code § 8028

### School Districts

If the number of candidates is equal to or less than the number of offices to be filled within a particular district, an election will not be held and the qualified candidates shall be seated at the organizational meeting of the school district governing board.

Elections Code § 5326

## GOVERNMENT EMPLOYEES RUNNING FOR OFFICE

An employee of a local agency may not be sworn into office as an elected or appointed member of the legislative body of that local agency unless they resign as an employee. If the employee does not resign, the employment shall automatically terminate upon them being sworn into office. This does not apply to any volunteer firefighters who do not receive a salary.

Government Code § 53227

## HOLDING OR RUNNING FOR MORE THAN ONE OFFICE

Candidates are not prohibited from seeking more than one elective office and may serve in more than one office. The common law doctrine of incompatibility of offices prevents an elected official from holding two offices simultaneously if the offices have overlapping and conflicting public duties the courts have defined this concept as follows: "One individual may not simultaneously hold two public offices where the functions of the offices concerned are inherently inconsistent, as where there are conflicting interests, or where the nature of the duties of the two offices is such as to render it improper due to considerations of public policy for one person to retain both."

Government Code § 1099

# USEFUL INFORMATION

## INCOMPATIBILITY OF OFFICES

The State of California Attorney General's Office has issued many opinions of particular compatibility questions. Here are some examples of incompatible offices:

- City councilmember and school district board member where the city and the school district have territory in common;
- Fire Chief of a county fire protection district and a member, county board of supervisors of the same county;
- High school district trustee and trustee of an elementary school district which is wholly within the geographic boundaries of the high school district;
- Water district director and a city councilmember;
- Water district director and a school district trustee having territory in common; and Deputy Sheriff and county board of supervisors.

If you have any questions about whether two public offices would be considered incompatible, contact the Attorney General's office at (800) 952-5225 or visit their website at [www.oag.ca.gov](http://www.oag.ca.gov).

## PUBLIC REVIEW PERIOD

After the close of the filing period, anyone may examine any ballot designation and/or statement. During a 10 calendar day period commencing the day after the close of the filing period, any voter of the jurisdiction in which the election is being held or the county elections official may seek a writ of mandate or an injunction challenging any or all of the material in a candidate statement or challenging the ballot designation.

Elections Code §§ 13313, 13314

## STATEMENT OF ECONOMIC INTERESTS (FORM 700)

Government Code § 87300 requires every agency to adopt a conflict of interest code. A Conflict of Interest Code is a document, which designates the positions within an agency, which make, or participate in making, governmental decisions, which may have a foreseeable material effect on any financial interest. Only candidates for elective office under the agency's conflict of interest code, and candidates for public office listed in Government Code § 87200, must file a Statement of Economic Interests with their nomination papers unless they filed a statement for the same jurisdiction within 60 days before filing a Declaration of Candidacy.

All information required to be disclosed per the Conflict of Interest Code for the office sought during the 12 months prior to the nomination deadline must be reported. The candidate's investments, interests in real property and any income received during the immediately preceding 12 months is disclosed. The Form 700 is to be completed and filed with the ROV prior to the deadline to file a Declaration of Candidacy. For technical questions regarding completion of the Form 700, please contact the FPPC.

Government Code §§ 87200, 87202, 87203



## CAMPAIGN RULES PAGE:

Bookmark the Campaign Rules page to find resources and answers to campaign-related questions throughout your campaign. All links noted below can be reached through the Campaign Rules page.

[FPPC Home Page](#) > [Learn](#) > [Campaign Rules](#)

## TWO IMPORTANT RULES TO REMEMBER:

- Candidates **MUST** file Form 501 before soliciting or accepting contributions.
- Candidates **MUST** deposit funds into the campaign bank account before spending money on the campaign. Candidates may not spend money out of pocket for campaign expenses.

## FORMS TO START:

- Form 501 – Candidate Intention Statement
- Form 410 – Statement of Organization (No bank account yet? Enter “Pending” where asked.)
- Form 700 – Statement of Economic Interests (See your elections official for filing date.)

## ID NUMBER:

1. Send completed Form 410 to CA Secretary of State (SOS) and a copy to your local filing official.
2. SOS issues the committee ID number and posts it to their website, usually within 1-2 business days after receiving your completed Form 410.
3. To find your committee ID number, go to [cal-access.sos.ca.gov](http://cal-access.sos.ca.gov).
4. Enter your committee name in the search bar at top left of the screen.
  - If your committee ID number is not available, SOS may not have posted it yet. Or, the Form 410 may be incorrect and SOS will send you a notice via USPS.
  - To find out the status of your ID number, contact the SOS at (916) 653-6224.

## FILING SCHEDULES & DEADLINES:

Determine what campaign reports are due, and when they’re due, by reviewing your filing schedule. **MOST COMMON CAMPAIGN REPORTS:**

- Form 460 – Recipient Committee Campaign Statement
- Form 497 – 24-Hour Contribution Report

## MANUALS:

- Disclosure Manual 1 – State Candidates
- Disclosure Manual 2 – Local Candidates and Judges

## CANDIDATE/TREASURER VIDEO:

Watch the Candidate/Treasurer video and print the accompanying slides.

## TRAINING OPPORTUNITIES:

In addition to the video above, you may learn more by registering for webinars and workshops.

[FPPC Home Page](#) > [Learn](#) > [Campaign Rules](#) > [Training & Outreach](#) > [Candidate, Treasurer, or Committee?](#)

## QUESTIONS?

- [advice@fppc.ca.gov](mailto:advice@fppc.ca.gov)
- (866) 275-3772 Mon-Thurs, 9-11:30 a.m.

*This factsheet provides guidance and a general overview of the rules for campaigns, but it does not replace any requirements under the Political Reform Act or Fair Political Practices Commission Regulations. Information here should be used in conjunction with a careful review of the applicable laws.*

EAED 1/18



STATE OF CALIFORNIA  
FAIR POLITICAL PRACTICES COMMISSION

## Local Candidate/Committee Checklist

**File Form 501 (Candidate Intention)**

You must file Form 501 before soliciting, raising or spending any money in connection with your election. The only exception to this requirement is if you use personal funds to pay a filing fee or ballot statement fee.

### Candidates Raising and Spending Less than \$2,000

**File Form 470 (Officeholder and Candidate Campaign Statement Short Form)**

The Form 470 is filed by candidates who do not have a controlled committee and do not anticipate receiving or spending \$2,000 or more, including personal funds, in a calendar year. These candidates generally do not file a Form 410 or other campaign statements or reports related to their campaign unless they receive or anticipate receiving or spending \$2,000 or more.

### Candidates Raising \$2,000 or More

**File Form 410 (Statement of Organization)**

Once you receive or spend \$2,000 or more in a calendar year, you must file a Form 410 as a recipient committee within 10 days of qualifying. File the original and one copy of the Form 410 with the Secretary of State and a copy with your local filing officer. The Secretary of State's address is on the Form 410.

**Open a Campaign Bank Account**

All monetary contributions (including all personal funds you use for your campaign) must be deposited in the campaign bank account before being spent. **Never** deposit campaign contributions in your personal bank account.

**Committee Treasurer**

The Act requires that every committee appoint a treasurer. The individual listed on the most recent Form 410 with the Secretary of State continues to be legally responsible until an amendment is filed to designate a new treasurer. Please note a candidate may act as his or her own treasurer.

**File Campaign Statements**

You must file campaign statements (Form 460) disclosing the committee's activity during a specified period. Please access the Commission's filing schedules to find the schedule that applies to you/your election. Committees are required to file campaign statements as well as other reports including semi-annual campaign statements until the committee terminates.

**24 Hour Reports**

Within 90 days before the election, including the date of the election, if a committee receives a contribution(s) of \$1,000 or more from a single source, including loans from the candidate, the Form 497 must be filed within 24 hours.

**Local Campaign Contribution Limits**

Make sure you are aware of any local contribution limits before accepting contributions.

**After the Election**

Following the election, your duty to file campaign statements continues until your committee terminates. In order to terminate, you must file a Form 460 with your local filing officer reporting a zero balance **AND** a Form 410 indicating the termination with the Secretary of State's Office and a copy with your local filing officer.

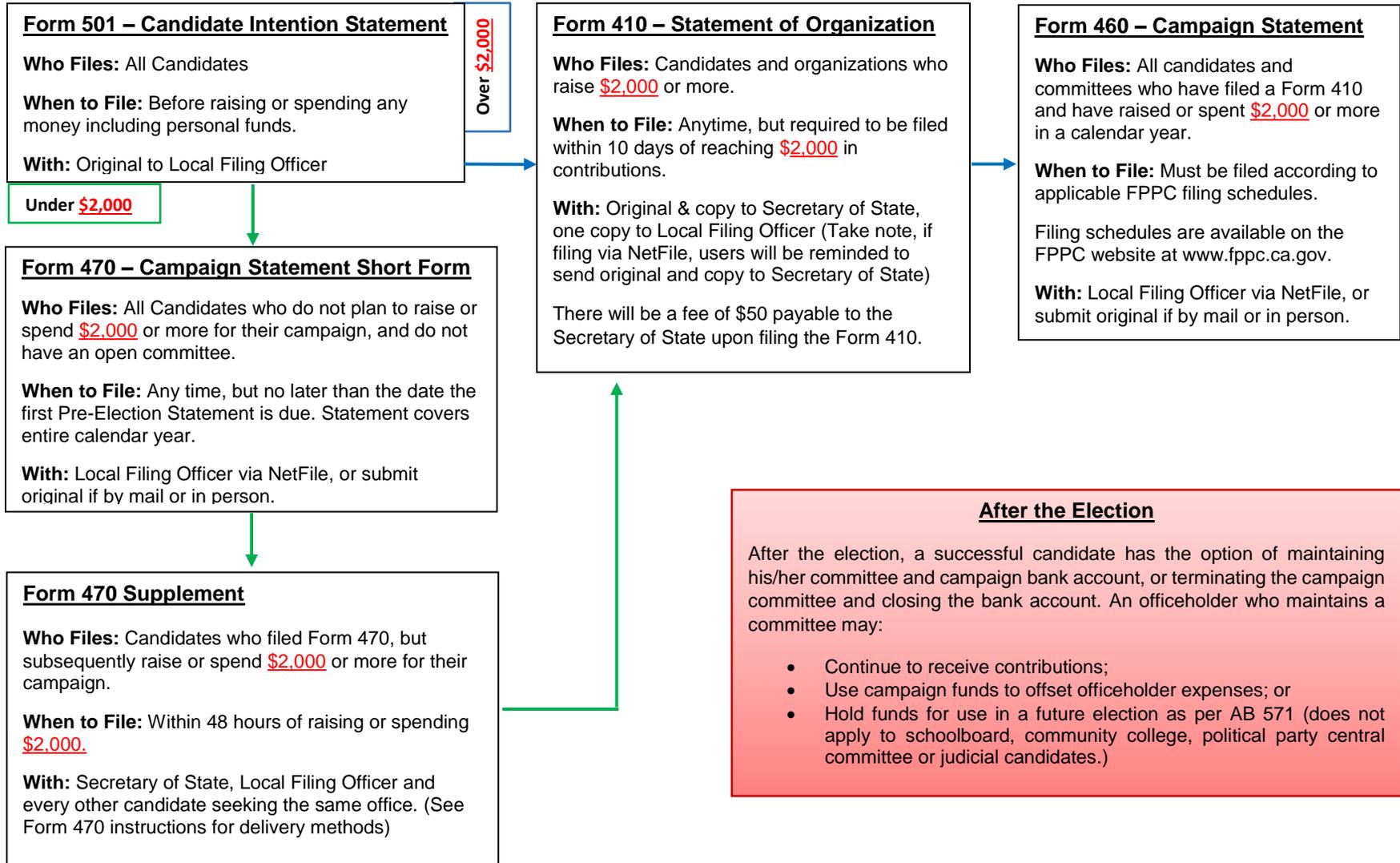
*This factsheet provides guidance and a general overview of the rules for campaigns, but it does not replace any requirements under the Political Reform Act or Fair Political Practices Commission Regulations.*

*Information here should be used in conjunction with a careful review of the applicable laws.*

*EdPro 80/01/2020*



**Basic Filing Guidelines for Candidates & Committees. For more information, you may contact FPPC at 1-866-ASK-FPPC (1-866-275-3772) and by going to the FPPC website at [www.fppc.ca.gov](http://www.fppc.ca.gov)**



## Filing Schedule for Candidates and Controlled Committees for Local Office Who Will be Voted Upon at the November 8, 2022 Election

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Aug 1, 2022</b> <i>Semi-Annual</i>	<b>*- 6/30/22</b>	460	<ul style="list-style-type: none"> <li>Each candidate listed on the ballot must file Form 460 or Form 470 (see below).</li> </ul>
<b>Within 24 Hours</b> <i>Contribution Reports</i>	<b>8/10/22 – 11/8/22</b>	497	<ul style="list-style-type: none"> <li>File if a contribution of \$1,000 or more in the aggregate is received from a single source.</li> <li>File if a contribution of \$1,000 or more in the aggregate is made to or in connection with <i>another</i> candidate or measure being voted upon November 8, 2022.</li> <li>The recipient of a non-monetary contribution of \$1,000 or more in the aggregate must file a Form 497 within 48 hours from the time the contribution is received.</li> <li>File by personal delivery, e-mail, guaranteed overnight service, or fax. The committee may also file online, if available.</li> </ul>
<b>Sep 29, 2022</b> <i>1<sup>st</sup> Pre-Election</i>	<b>7/1/22 – 9/24/22</b>	460 or 470	<ul style="list-style-type: none"> <li>Each candidate listed on the ballot must file Form 460 or Form 470 (see below).</li> </ul>
<b>Oct 27, 2022</b> <i>2<sup>nd</sup> Pre-Election</i>	<b>9/25/22 – 10/22/22</b>	460	<ul style="list-style-type: none"> <li>All committees must file this statement.</li> <li>File by personal delivery or guaranteed overnight service. The committee may also file online, if available.</li> </ul>
<b>Jan 31, 2023</b> <i>Semi-Annual</i>	<b>10/23/22 – 12/31/22</b>	460	<ul style="list-style-type: none"> <li>All committees must file Form 460 unless the committee filed termination Forms 410 and 460 before December 31, 2022.</li> </ul>

### Additional Notes:

- \*Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- Local Ordinance:** Always check on whether additional local rules apply.
- Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours, regardless of the day of the week.
- Method of Delivery:** All paper filings may be filed by first class mail unless otherwise noted. A paper copy of a statement may not be required if a local agency requires online filing pursuant to a local ordinance.
- Form 501:** All candidates must file Form 501 (Candidate Intention Statement) before soliciting/receiving contributions.
- Form 460:** Candidates who have raised/spent \$2,000 or more file the Form 460. The Form 410 (Statement of Organization) must also be filed once \$2,000 or more has been raised/spent.

## Fair Political Practices Commission

- **Form 470:** Candidates who do not raise or spend \$2,000 or more (or anticipate raising or spending \$2,000 or more) in 2022 and do not have an open committee may file Form 470 on or before September 29, 2022. If, later during the calendar year, the candidate raises or spends \$2,000 or more, a Form 470 Supplement and a Form 410 must be filed.
- **Independent Expenditures:** Committees making independent expenditures totaling \$1,000 or more to support or oppose other candidates or ballot measures also file:
  - **Form 496:** This form is due within 24 hours if made in the 90-day, 24-hour reporting period of the candidate's or measure's election. Refer to the applicable filing schedule. Form 496 is filed with the filing officer in the jurisdiction of the affected candidate or measure.
  - **Form 462:** This verification form must be e-mailed to the FPPC within 10 days. Once the California Access Replacement System (CARS) system is live, this report will be eliminated, and the information captured on other reports.
- **After the Election:** Reporting requirements will depend on whether the candidate is successful and whether a campaign committee is open. See Campaign Disclosure Manual 2 for additional information.
- **Public Documents:** All statements and reports are public documents.
- **Resources:** Campaign manuals and other instructional materials are available on the Campaign Rules page. Or, visit [www.fppc.ca.gov](http://www.fppc.ca.gov) > Learn > Campaign Rules.

# NETFILE

San Joaquin County Code of Ordinance Title 6, Division 12, and Chapter 1 Economic Filings requires all campaign disclosure reports and documents mandated by the California Political Reform Act to be filed electronically.

San Joaquin County has contracted with NetFile to be the filing platform for all local candidates, their committees, and committees supporting or opposing ballot measures to electronically submit Campaign Finance Statements.

NetFile offers tutorials and helps for each page of their website. You may find it helpful to watch the “How to Create a New Campaign NetFile User” video in the “How to Videos” section of the page at [www.netfile.com/filer](http://www.netfile.com/filer).

**NetFile User Log In** Get Help for this Page

E-Mail Address

Password  
 [Lost Your Password?](#)

**Log In**

Campaign Committee Filers	Form 700 (SEI) Filers	Lobbyist Filers
<b>New Campaign Committee Filers</b> <a href="#">Create a New NetFile User</a>	<b>New Form 700 Filers</b> <a href="#">New User? Request a Password</a>	<b>New Lobbyists</b> <a href="#">Registration and Links</a>
<b>Training</b> <a href="#">Request Campaign Committee Training</a>	<b>Videos</b> <a href="#">How to use the "Lost Your Password?" Feature</a>	
<b>FPPC Information</b> <a href="#">Committee Training &amp; Outreach</a> <a href="#">When &amp; Where to File Campaign Statements</a>	<b>Documents</b> <a href="#">FPPC Form 700 Instructions for 2020/2021</a> <a href="#">FPPC Form 700 Reference Pamphlet for 2020/2021</a> <a href="#">Current FPPC Local Gift Fact Sheet</a>	
<b>Signature Verification</b> <a href="#">Sign Pending Campaign Statements</a> (For San Francisco & Berkeley Filers Only)		
<b>Third-Party Uploads</b> <a href="#">Upload a CAL File</a>		
<b>Videos</b> <a href="#">How to Create a New Campaign NetFile User</a> <a href="#">How to use the "Lost Your Password?" Feature</a> <a href="#">How to Use the Committee Set-Up Wizard</a>		
<b>Documents</b> <a href="#">Create a NetFile User &amp; Link a Campaign Account</a> <a href="#">How to Use Signature Verification</a>		

# California Fair Political Practices Commission

## California State Contribution Limits

(Effective January 1, 2021 - December 31, 2022)

Candidates seeking a state office and committees that make contributions to state candidates are subject to contribution limits from a single source. Beginning January 1, 2021 a state campaign contribution limit will by default apply to city and county candidates when the city or county does not have laws addressing a contribution limit on such candidates. (Sections 85301 - 85303.) Contributions from affiliated entities are aggregated for purposes of the limits. (Regulation 18215.1.) The chart below shows the current limits per contributor for state offices and city and county candidates when the city or county does not have laws addressing a contribution limit on such candidates. The primary, general, special, and special run-off elections are considered separate elections. Contribution limits to candidates apply to each election. Contribution limits to officeholder and other committees apply on a calendar year basis. Contact your city or county about contribution limits for local offices, state campaign contribution limit will by default apply to city and county candidates when the city or county does not have laws addressing a contribution limit on such candidates.

### Contribution Limits to State and Local\* Candidates Per Election

Candidate or Officeholder	Contributor Sources		
	Person (individual, business entity, committee/PAC)	Small Contributor Committee (see definition on page 2)	Political Party
City and County Candidates subject to Section 85301 (d)	\$4,900	\$4,900	\$4,900
Senate and Assembly	\$4,900	\$9,700	No Limit
CalPERS/CalSTRS	\$4,900	\$9,700	No Limit
Lt. Governor, Secretary of State, Attorney General, Treasurer, Controller, Supt. of Public Instruction, Insurance Commissioner, and Board of Equalization	\$8,100	\$16,200	No Limit
Governor	\$32,400	\$32,400	No Limit

\*State campaign contribution limit will by default apply to city and county candidates when the city or county does not have laws addressing a contribution limit on such candidates

### Contributions to Other State Committees Per Calendar Year

Committee	Contributor Sources
	Person (individual, business entity, committee/PAC)
Committee (Not Political Party) that Contributes to State Candidates (PAC)	\$8,100
Political Party Account for State Candidates	\$40,500
Small Contributor Committee	\$200
Committee Account NOT for State Candidates (Ballot Measure, PAC, Political Party)	No Limit*

\*State committees (including political parties and PACs) may receive contributions in excess of the limits identified above as long as the contributions are NOT used for state candidate contributions. (Regulation 18534.)

### Contributions to State Officeholder Committees Per Calendar Year

Committee	Contributor Sources	
	Any Source (Person, Small Contributor Committee or Political Party)	Aggregate From All Sources
Senate and Assembly	\$4,000	\$67,300
CalPERS/CalSTRS	\$4,000	\$67,300
Lt. Governor, Secretary of State, Attorney General, Treasurer, Controller, Supt. of Public Instruction, Insurance Commissioner, and Board of Equalization	\$6,700	\$134,600
Governor	\$26,900	\$269,300

# California Fair Political Practices Commission

## California State Contribution Limits

(Effective January 1, 2021 - December 31, 2022)

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*The contribution limits are effective for elections held between January 1, 2021 and December 31, 2022. (Regulation 18545.) These limits do not apply to contributions made to elections in previous years. Such contributions are subject to the limits in place for that year see previous charts.*

### Legal Defense Funds

Contributions raised for a legal defense fund are not subject to contribution limits or the voluntary expenditure ceiling. However, a candidate or officeholder may raise, in total, no more than is reasonably necessary to cover attorney's fees and other legal costs related to the proceeding for which the fund is created. (Section 85304; Regulation 18530.4.)

### Recall Elections

A state officeholder and city or county officeholder subject to Section 85301 (d) who is the subject of a recall may set up a separate committee to oppose the qualification of the recall measure and, if the recall petition qualifies, the recall election. Neither contribution limits nor voluntary expenditure ceilings apply to the committee to oppose the recall that is controlled by the officeholder who is the target of the recall attempt. Candidates running to replace an officeholder who is the target of a recall are subject to the contribution limits and the expenditure limits applicable to the election for that office. (Section 85315; Regulation 18531.5.)

### Ballot Measure Committees

Contributions to ballot measure committees controlled by a candidate for elective state office or a candidate for elective city or county office subject to Section 85301 (d) are not limited.

### Contributions from State Candidates and Candidates subject to Section 85301 (d)

A state candidate or candidate for elective city or county office subject to Section 85301 (d) may not contribute more than \$4,900 to a committee controlled by another state candidate or or candidate for elective city or county office subject to Section 85301 (d) (This limit applies on a per election basis and includes, in the aggregate, contributions made from the candidate's personal funds and from campaign funds. (Section 85305; Regulation 18535.) This limit does not apply to a committee controlled by a state candidate or a committee controlled by a candidate for elective city or county office subject to Section 85301 (d) to oppose his or her recall or their contributions made to a legal defense fund established by a candidate for elective state office or candidate for elective city or county office subject to Section 85301(d). It also does not apply to contributions made by a candidate for elective state office or a candidate for elective city or county office subject to Section 85301 (d) to a ballot measure committee controlled by a another state candidate or candidate for elective city or county office subject to Section 85301 (d). Please note there are certain rules applicable to use of funds held by state officeholder committees (See Regulation 18531.62.)

### Communications Identifying State Candidates

Any committee that makes a payment or a promise of payment totaling \$50,000 or more for a communication that:

1. Clearly identifies a state candidate; but
2. Does not expressly advocate the election or defeat of the candidate; and
3. Is disseminated, broadcast, or otherwise published within 45 days of an election, may not receive a contribution from any single source of more than \$40,500 in a calendar year if the communication is made at the behest of the candidate featured in the communication. (Section 85310.)

### Officeholder Committees

Officeholder contributions must be cumulated (in full) with any other contributions from the same contributor(s) for any other future elective state office or elective city or county office subject to Section 85301 (d) for which the officeholder maintains a controlled committee during the term of office in which the contribution is received. Contributions to candidates for future elections and to their officeholder account are cumulated for purposes of contribution limits. (Regulation 18531.62.)

### Contributions from State Lobbyists

A state lobbyist may not contribute to a state officeholder's or candidate's committee if the lobbyist is registered to lobby the agency of the elected officer or the agency to which the candidate is seeking election. The lobbyist also may not contribute to a local committee controlled by any such state candidate. (Section 85702; Regulation 18572.) In addition, effective January 1, 2015, lobbyists and lobbying firms may no longer take advantage of the \$500 or less home/office fundraiser exception that is available to other individuals and entities. (Section 82015(f).)

### Local Elections

Many cities and counties have local contribution limits and other election rules. "Local Campaign Ordinances" are listed on the FPPC's website. Check with your city or county about contribution limits for local elections. A State campaign contribution limit will by default apply to city and county candidates when the city or county does not have laws addressing a contribution limit on such candidates.

### Definitions

**Person:** An individual, proprietorship, firm, partnership, joint venture, syndicate, business trust, company, corporation, limited liability company, association, committee, and any other organization or group of persons acting in concert. (Section 82047.)

**Small Contributor Committee:** Any committee that meets all of the following criteria:

- (a) The committee has been in existence for at least six months.
- (b) The committee receives contributions from 100 or more persons.
- (c) No one person has contributed to the committee more than \$200 per calendar year.
- (d) The committee makes contributions to five or more candidates. (Section 85203; Regulation 18503.)

**Political Party Committee:** The state central committee or county central committee of an organization that meets the requirements for recognition as a political party under Elections Code Section 5100. (Section 85205.)

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# ELECTION OBSERVERS

## GENERAL RULES FOR ELECTION OBSERVERS

The number of observers may be limited so as not to interfere with the process. Operations will continue as scheduled whether or not observers are present. Observers who fail to follow these rules will be required to leave the facility for the duration of the day.

1. Discussion of politics, campaigns or candidates are **not** allowed among observers within the facility or at polling places. This is considered a form of electioneering.
2. Observers shall **not** interfere with the orderly processing of Vote by Mail (VBM) ballot return envelopes or processing and counting of VBM ballots, including touching or handling of the ballots or envelopes.

Elections Code § 15104e

3. Observers will be permitted access to a designated observation area, sufficiently close to observe and challenge whether individuals handling VBM ballots are following established procedures.

Elections Code § 15104d

4. Challenges shall be discontinued if the challenge process unduly impedes or interferes with the verification process.
5. Briefcases, purses, backpacks, cell phones, cameras, radios, and recording devices are not allowed. You may not use your cell phone in the building.
6. Observers may not assist in operations, touch or handle ballots or other election related materials or equipment.
7. All questions concerning the election process must be directed only to a Supervisor, Management Analyst, Assistant Registrar of Voters, or Registrar of Voters.
8. Observers must avoid physical contact with employees.
9. Observers are to hold conversations outside the designated work areas.
10. Observers must remain in the area they were assigned to. If observers are found unsupervised in an area that was not assigned, the observer will be escorted from the building.

# POST-ELECTION ACTIVITIES

## BALLOT RETURN

After the close of polls on election night, election activities focus on completing necessary procedures, forms and delivering voted ballots and supplies to the Receiving Centers from all the polling places.

## ELECTION NIGHT RESULTS

Election results for San Joaquin County will be available after the close of polls on Election Day, to candidates and the public on the department's website at [www.sjcgov.org](http://www.sjcgov.org).

On rare occasions, the government by court order may extend the voting period after 8 p.m. delaying the initial release of results. If this occurs, a notice will be posted on our website providing details of the court-ordered actions.

## SEMI-OFFICIAL ELECTION RESULTS

Following election night, updated semi-official results will be posted periodically, both at the elections office and on our website. The schedule of the release of semi-official results can be obtained by calling (209) 468-VOTE (8683) or by visiting our website.

## COMPLETION OF OFFICIAL CANVASS

A certified statement of election results must be completed within 30 days of the election.

## RECOUNTS

Requests for recounts for statewide offices and propositions are filed with the Secretary of State.

Elections Code § 15621

Following the completion of the official canvass, any voter may, within 5 days, file with the Registrar of Voters a written request for a recount of the votes cast. The request shall specify on behalf of which candidate or position on a measure it is filed. If the particular election is conducted in more than one county, the request for the recount may be filed with the elections official of, and the recount conducted within, any or all of the affected counties.

Elections Code § 15620

Any voter or campaign committee requesting a recount is required to deposit the costs for recount each day before the counting board commences recount activity. The request may specify the order in which the precincts shall be recounted, and the method of a recount. All recount activity shall be conducted publicly. If the recount reverses the results of the official canvass, all deposits shall be returned. If the results are not reversed, the requestor shall be entitled to a return of funds in excess of the actual costs of conducting the recount. The requestor may terminate the recount at any time.

Elections Code §§ 15620, 15621, 15624, 15627

For more information on court-ordered recounts, grounds, probable cause, etc. refer to Elections Code § 15640.





San Joaquin County Registrar of Voters

Candidate Services Department

[www.sjcrov.org](http://www.sjcrov.org)

[candidates@sjgov.org](mailto:candidates@sjgov.org)