

# **SAN JOAQUIN COUNTY**

and the cities of Escalon, Lathrop, Manteca, Ripon and Tracy



## **2023-2024 ANNUAL ACTION PLAN (AAP)**

**FOR THE U.S. HOUSING AND URBAN DEVELOPMENT (HUD)  
GRANT PROGRAMS  
COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)  
EMERGENCY SOLUTIONS GRANT (ESG)  
HOME INVESTMENT PARTNERSHIP (HOME)**

**COUNTY OF SAN JOAQUIN  
HEALTH CARE SERVICES DEPARTMENT  
NEIGHBORHOOD PRESERVATION DIVISION  
16988 S. HARLAN RD.  
LATHROP, CA 95330**

# Executive Summary

## AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

### 1. Introduction

The San Joaquin Urban County receives funds each year from the Federal government for housing, economic development, and community development activities. These funds are intended to meet priority needs locally identified by the County that primarily benefit persons with extremely-low, very-low, and low incomes (incomes of 80 percent or less of median area income).

The Action Plan addresses how the San Joaquin Urban County will use Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), and Emergency Shelter Grant (ESG) funds. The San Joaquin Urban County is comprised of the unincorporated portions of San Joaquin County and the cities of Escalon, Lathrop, Manteca, Ripon, and Tracy. The Urban County receives funds each year from the Federal government for housing and community development activities. These funds are intended to meet priority needs locally identified by the Urban County. To receive federal funds, the Urban County must submit a strategic plan—the Consolidated Plan—every five years to the U.S. Department of Housing and Urban Development (HUD) as well as an Annual Action Plan each year that identifies local needs and how these needs will be addressed. The Action Plan must also demonstrate how the County will meet national goals set by the U.S. Congress to develop viable communities by providing decent housing, a suitable living environment, and economic opportunities.

The Action Plan embodies and reflects three overall goals (as well as the Consolidated Plan), which relate to the major commitments and priorities of HUD, including:

- To provide decent housing by preserving the affordable housing stock, increasing the availability of affordable housing, reducing discriminatory barriers, increasing the supply of supportive housing for those with special needs, and transitioning homeless persons and families into housing.
- To provide a suitable living environment through safer, more livable neighborhoods, greater integration of lower-income residents throughout San Joaquin County communities, increased housing opportunities, and reinvestment in deteriorating neighborhoods.
- To expand economic opportunities through more jobs paying self-sufficiency wages, homeownership opportunities, development activities that promote long-term community viability, and the empowerment of lower-income persons to achieve self-sufficiency.

In addition to the Consolidated Plan, the County is required to submit an Annual Action Plan for each program year to discuss specifically the projects that funds are intended to be used on. For FY 2023-2024, San Joaquin County is receiving \$2,860,646 in CDBG Program funds intended to meet priority needs locally identified by the County in the community. Along with CDBG funds, the County will receive \$1,286,290 in HOME Investment Partnership Program (HOME) funds to be utilized in providing

decent and affordable housing and \$246,142 in Emergency Solutions Grant (ESG) funds to be utilized by nine homeless shelters throughout the urban county in the operations of the shelters, managing the homeless management information system, and providing essential services. In addition, due to the number of priority projects and resources that are being paid back to the County, the Plan is also committing approximately \$500,000 in CDBG Program Income and \$1.6 million in HOME Program Income.

## **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Needs Assessment identified several types of programs, projects, and services that are needed in the Urban County, including the following:

- Affordable housing, especially with units targeted to extremely low-income and very low-income households;
- Upgrades to public facilities, such as community centers; homeless facilities; parks and recreation facilities; and neighborhood facilities;
- Public improvements, such as street improvements; pedestrian and bikeway improvements; water, sewer, and storm drain improvements; and ADA accessibility improvements;
- Homeless Shelters, including emergency overnight stays and transitional housing
- The need to better connect mental health programs and services with individuals leaving institutions to ensure the person does not relapse; and,
- Job creation and higher paying positions

The overall theme of the surveys and meetings that were held continuously came back to affordable housing and homeless shelters/services.

## **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

San Joaquin County completed the 2<sup>nd</sup> year (program year 2021) of the five-year period for the San Joaquin County Consolidated Plan in June 2022. The outcomes are a result of the ability of the County's existing provider network (grantees and subrecipients) to implement projects and programs to produce results contingent on the amount of Federal, State, County and private dollars available during the fourth year of the Consolidated Plan. The projects, programs and services selected for funding during the last program year of 2021/22 met a majority of goals

Some of the specific highlights of the program year included the following:

- Approximately \$3.7 Million was expended in Community Development Block Grant Program (CDBG) Entitlement and Program Income monies for PY 21/22
- Over \$1.2 Million was expended in HOME Investment Partnership Program (HOME) Entitlement and Program Income monies for PY 21/22
- This year represents the County's 5th straight year of meeting its HUD timeliness test which is ran in early May each year. The County made significant changes to their program after missing two years in a row, and those changes have shown to be quite successful in ensuring timeliness is met each year.
- This year marked the 5th full year of implementing the housing rehab program after many years of it being "on hold" due to understaffing. Six Rehabilitation projects were completed in the fiscal year.
- Public Service dollars continue to be highly used and productive. Each year the County receives far more requests than what is available. These funds assisted far more persons than originally planned for and continue to be provided to better meet the needs of the community. Over \$480,000 was expended for public service activities during 21/22 PY.
- In addition, the County received CDBG-CV funds through the CARES Act in the last program year. The County committed the majority of those dollars, expended over \$2.2 Million on these activities and continues to implement CV programs/projects as closeout of these funds is nearing.

#### **4. Summary of Citizen Participation Process and consultation process**

The County followed the requirements for citizen participation outlined in the San Joaquin County Citizen's Participation Plan. Each of the participating jurisdictions conducted separate concurrent public hearings during the funding process. The County's planning process for the development of this One-Year Action Plan began on December 15, 2022, with a public hearing and a direct mailing to over 25 agencies and interested groups providing notification that the County was accepting applications for the CDBG, ESG, and HOME programs. The Notice of Funding Availability (NOFA) was released on December 5, 2022, allowing agencies and interested citizens the opportunity to express their comments, concerns, and thoughts on the funds available.

This One-Year Action Plan was developed and made available for public review and comment for a 30-day period beginning April 1, 2023 and concluding on April 30, 2023. The final 2023/24 Action Plan was presented to the San Joaquin County Board of Supervisors for adoption at a Public Hearing on May 2, 2023 and submitted to HUD for approval shortly thereafter.

In addition, each of the participating jurisdictions within the Urban County held their own public hearings prior to recommending their projects and programs for this Program year. Those hearings were as follows:

- City of Escalon: March 6, 2023
- City of Lathrop: February 13, 2023
- City of Manteca: April 4, 2023
- City of Ripon: March 14, 2023
- City of Tracy: March 7, 2023

## 5. Summary of public comments

As required, the County held two public hearings during the action plan process and held a 30-day public comment period for the draft action plan that was circulated prior to adoption. In addition, each of the participating jurisdictions in the urban county held their own public hearings. **Any comments that were received have been attached to the Action Plan with individual City Council Meeting Minutes.**

County of San Joaquin: December 15, 2022 and May 2, 2023 - Comments to be updated

City of Escalon: March 6, 2023 - No comments were received

City of Lathrop: February 13, 2023 - No comments were received

City of Manteca: April 4, 2023 - No comments were received

City of Ripon: March 14, 2023 - No comments were received

City of Tracy: March 7, 2023 – No comments were received

## 6. Summary of comments or views not accepted and the reasons for not accepting them

See summary of public comments above. Documents have been attached to the Action Plan to show citizen participation and any comments that may have been received.

## 7. Summary

See above.

## PR-05 Lead & Responsible Agencies – 91.200(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	SAN JOAQUIN COUNTY	Health Care Services
HOME Administrator	SAN JOAQUIN COUNTY	Health Care Services
ESG Administrator	SAN JOAQUIN COUNTY	Health Care Services

**Table 1 – Responsible Agencies**

### Narrative (optional)

San Joaquin County Health Care Services (HCS) Department is the Lead Agency for administration of the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant (CDBG), HOME Investment Partnership Program funds, and Emergency Solutions Grant (ESG) program for the Urban County. The HCS is also responsible for the preparation of the Consolidated Plan, Annual Action Plan, and Consolidated Annual Performance Evaluation Reports (CAPER).

### Consolidated Plan Public Contact Information

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## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

The Consolidated Plan outreach effort is a regional collaborative effort between the County of San Joaquin and participating cities within the urban county. The County hired Adams Ashby Group, to assist them in their community engagement efforts. Community engagement is key for helping the County and cities to identify needs, priorities, goals, strategies, and activities for future housing and community development activities over the period of the five-year regional plan. Engagement activities included a community needs survey, public outreach, stakeholder consultations (or meetings) and focus group meetings.

As a part of the stakeholder consultation process, the County consulted with a variety of stakeholders, including County departments, human service agencies, local and regional community-based organizations, housing providers and advocates, the Continuum of Care, and the San Joaquin County Housing Authority (SJCHA). Social service providers were also consulted, including those that provide services to the elderly, persons with disabilities, homeless persons, and other lower-income individuals and at-risk populations.

### **Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

In an effort to provide the greatest outreach to various agencies and organizations, the Urban County compiled an outreach list consisting of nearly 295 agencies/persons, including:

- Nonprofit service providers that cater to the needs of low- and moderate-income households and persons with special needs, including persons with disabilities
- Affordable housing providers
- Housing advocates
- Housing professionals
- Public Agencies (such as the County Health Department, the County Department of Aging, and the County Behavioral Health Services)
- Economic Development and employment organizations, and
- Community and neighborhood groups.

The County sent email announcements and made phone calls to representatives on the outreach list to encourage attendance at the Focus Group Meeting and collect responses to the community needs survey. The County also contacted specific agencies to obtain data in the preparation of the Consolidated Plan.



**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

San Joaquin County has partnered with the Continuum of Care since its inception. The San Joaquin County Board of Supervisors has adopted the Homeless Strategic Plan prepared by the San Joaquin Continuum of Care and has recognized the Continuum of Care as the primary vehicle for planning and coordination in addressing homelessness in the area. The County serves as the Continuum's Coordinated Applicant.

The San Joaquin Continuum of Care (SJCoC) provides leadership and effective stewardship of resources, as well as facilitates community planning, design, and implementation of programs critical to ending homelessness in San Joaquin County. The Continuum of Care Program is a program developed by the U.S. Department of Housing and Urban Development (HUD) in 1994 to promote community-wide commitment to the goal of ending homelessness. It describes all of the services HUD believes homeless people need. The services range from outreach and engagement, to emergency shelter, to transitional housing, to rapid re-housing, to permanent supportive housing, and associated data-gathering and systems support functions. HUD wants all stakeholders in the community, including nonprofit providers, advocates, people who have experienced homelessness, local government leaders, business leaders, law enforcement, and more, to work together to coordinate services, reduce duplication and bridge gaps in service.

The SJCoC believes that, in recognition of the dignity and value of all residents, homelessness in San Joaquin County should be rare, brief and non-recurring, accomplished through the most efficient and effective use of public resources, resulting in reduced blight and improved quality of life throughout the region. The goal of the SJCoC is to provide a comprehensive coordinated homeless housing and services delivery system. The SJCoC supports stakeholders throughout the San Joaquin County region to assist homeless persons in making the transition from homelessness to independent or supportive permanent housing, accessing education, health, and mental health services, employment training, and life skills development. They are dedicated to the development and implementation of strategies to create permanent solutions to homelessness in the community.

The County's outreach list included many homeless service agencies that participate in the Continuum of Care, and many of these agencies provided input through direct consultations, attendance at the Focus Group Meetings, and by completing the Community Needs Survey.

Additionally, during the Con Plan outreach and preparation, staff attended one of the SJCoC board meetings to ensure they were aware of the current process and funding that would be impacting the community. The SJCoC was supportive of efforts and committed to reaching out to organizations they work with to ensure a high level of coordination in combatting the needs of homeless persons in the County.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

As the Continuum of Care lead agency, Collaborative Applicant and Administrative Entity, the San Joaquin County HCS Department consulted with ESG recipients in the region to discuss new ESG regulations and to plan for the allocation of ESG funds. ESG applications are accepted and the funds are allocated based on the requested amount and need. The San Joaquin County HCS Department develops funding, policies, and procedures for the Homeless Management Information System (HMIS). Central Valley Low Income Housing Corporation (CVLIHC) serves as the administrator of the HMIS. SJC HCS administers the SJCoC's programs and the ESG programs.

Additionally, members of the SJCoC board work with County staff in prioritizing goals and objectives for ESG funding for the upcoming program year through direct consultation and prioritization. A brief overview of the Consolidated Plan process and funding allocations was made to the SJCoC board in early 2020 as well.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	CareLink - Community Medical Center
	<b>Agency/Group/Organization Type</b>	Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
2	<b>Agency/Group/Organization</b>	Boys and Girls Club of Manteca
	<b>Agency/Group/Organization Type</b>	Services-Children Child Welfare Agency Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
3	<b>Agency/Group/Organization</b>	Central Valley Association of Realtors
	<b>Agency/Group/Organization Type</b>	Housing Business Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.

4	<b>Agency/Group/Organization</b>	Central Valley Low Income Housing Corp.
	<b>Agency/Group/Organization Type</b>	Housing Services-homeless COC
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend the Focus Group Meetings as well as help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County.
5	<b>Agency/Group/Organization</b>	City of Escalon
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. They were also consulted on information on publicly-assisted housing units in their city.
6	<b>Agency/Group/Organization</b>	City of Lathrop
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
7	<b>Agency/Group/Organization</b>	City of Lodi, Community Development Department
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
8	<b>Agency/Group/Organization</b>	City of Manteca
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
9	<b>Agency/Group/Organization</b>	City of Ripon
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.

10	<b>Agency/Group/Organization</b>	City of Stockton, Housing Department
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
11	<b>Agency/Group/Organization</b>	City of Tracy
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. They were also consulted on information on publicly-assisted housing units in their city.
12	<b>Agency/Group/Organization</b>	City of Tracy, Code Enforcement
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
13	<b>Agency/Group/Organization</b>	Dignity Alcove Veterans Housing
	<b>Agency/Group/Organization Type</b>	Housing

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Needs - Veterans
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
14	<b>Agency/Group/Organization</b>	Disability Resource Agency for Independent Living
	<b>Agency/Group/Organization Type</b>	Housing Services-Persons with Disabilities
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. Also contacted to inquire about needs for persons with disabilities.
15	<b>Agency/Group/Organization</b>	El Concilio of San Joaquin County
	<b>Agency/Group/Organization Type</b>	Other government - Federal
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
16	<b>Agency/Group/Organization</b>	Second Harvest Food Bank
	<b>Agency/Group/Organization Type</b>	Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Non-Homeless Special Needs

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
17	<b>Agency/Group/Organization</b>	Gospel Center Rescue Mission
	<b>Agency/Group/Organization Type</b>	Services-homeless Faith Based
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County.
18	<b>Agency/Group/Organization</b>	Housing Authority of the County of San Joaquin
	<b>Agency/Group/Organization Type</b>	Housing PHA
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
19	<b>Agency/Group/Organization</b>	Lodi House
	<b>Agency/Group/Organization Type</b>	Housing Services-homeless



	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
20	<b>Agency/Group/Organization</b>	Lodi Salvation Army
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
21	<b>Agency/Group/Organization</b>	Lutheran Social Services of Northern California
	<b>Agency/Group/Organization Type</b>	Housing Services-Children Child Welfare Agency
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Needs - Unaccompanied youth
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.

22	<b>Agency/Group/Organization</b>	Manteca CAPS
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
23	<b>Agency/Group/Organization</b>	Manteca Gospel: Rescue Mission
	<b>Agency/Group/Organization Type</b>	Faith Based
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
24	<b>Agency/Group/Organization</b>	McHenry House
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.

25	<b>Agency/Group/Organization</b>	New Directions
	<b>Agency/Group/Organization Type</b>	Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
26	<b>Agency/Group/Organization</b>	San Joaquin County Superior Court
	<b>Agency/Group/Organization Type</b>	Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
27	<b>Agency/Group/Organization</b>	San Joaquin County Behavioral Health Services
	<b>Agency/Group/Organization Type</b>	Services-Health Health Agency Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
28	<b>Agency/Group/Organization</b>	San Joaquin County Environmental Health Dept
	<b>Agency/Group/Organization Type</b>	Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
29	<b>Agency/Group/Organization</b>	San Joaquin County, Mental Health Services
	<b>Agency/Group/Organization Type</b>	Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
30	<b>Agency/Group/Organization</b>	San Joaquin County, Public Health Services
	<b>Agency/Group/Organization Type</b>	Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
31	<b>Agency/Group/Organization</b>	San Joaquin Fair Housing
	<b>Agency/Group/Organization Type</b>	Service-Fair Housing Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.

32	<b>Agency/Group/Organization</b>	St. Mary's Interfaith Community Services / St. Mary's Dining Room
	<b>Agency/Group/Organization Type</b>	Services-Health Faith Based
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
33	<b>Agency/Group/Organization</b>	STAND Affordable Housing
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County.
34	<b>Agency/Group/Organization</b>	Stockton Emergency Food Bank
	<b>Agency/Group/Organization Type</b>	Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.

35	<b>Agency/Group/Organization</b>	Stockton Shelter for the Homeless
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
36	<b>Agency/Group/Organization</b>	Tracy Interfaith Ministries
	<b>Agency/Group/Organization Type</b>	Faith Based
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County.
37	<b>Agency/Group/Organization</b>	United Way of San Joaquin County
	<b>Agency/Group/Organization Type</b>	Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and asked to help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County.

38	<b>Agency/Group/Organization</b>	Visionary Homebuilders of California
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.
39	<b>Agency/Group/Organization</b>	Women's Center of San Joaquin County - Youth, Family, Seniors
	<b>Agency/Group/Organization Type</b>	Housing Services-Children Services-Elderly Persons Services-Victims of Domestic Violence Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey.

### Identify any Agency Types not consulted and provide rationale for not consulting

Although not addressed in this Plan, the County intends to consult with other agencies in the future to gain more collaboration, including, but not limited to:

- Broadband Internet Service Providers: Broadband service is defined by the Federal Communications Commission (FCC) as having a minimum download speed of 25 Mbps and 3 Mbps upload speed. Based on the FCC's mapping tool, broadband service is widely available from multiple

providers across the County of San Joaquin. The majority of County is covered by at least four providers. The options include Cable, Satellite and Fixed Wireless.

- Resilience specific organizations: With focus on flood areas, water resources and emergency management agencies.

The County intended to reach out to these organizations and providers, however due to the on-going pandemic, this action did not occur. The County is aware of the importance of broadband and resiliency efforts and intends to address these items in the next action plan.

### Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Continuum of Care Board	Name of Plan: Ten-Year Plan to End Homelessness. Potential funding allocations to address homeless needs will be consistent with the Ten-Year Plan to End Homelessness. Goals & Priorities: Emergency shelter for the homeless; Permanent housing (through rental assistance, acquisition, or construction) for the homeless; and Homeless prevention.
BOS Goals and Priorities	County of San Joaquin	The County has attempted to align some of its Con Plan goals with those of the Board of Supervisors, which will help guide applications toward funding ability. Goals & Priorities: Support programs that deflect/divert homeless individuals from criminal justice system, and support efforts to prevent the criminalization of homelessness and solutions that provide appropriate alternatives to incarceration and punishment; Adopt policies and procedures for law enforcement officers when individuals with mental health needs are booked into the County Jail; Prioritize CDBG and HOME funds for the creation of additional permanent housing; and Continue to engage with HUD to assist with the restructuring of the SJCoC and the establishment of goals and objectives.

**Table 3 – Other local / regional / federal planning efforts**

### Narrative (optional)



The County conducted a public outreach and consultation at a regional level to obtain as much feedback as possible. County staff worked to involve housing, social services, and other agencies in the community engagement process, including direct solicitation for these agencies to participate in the community survey, stakeholder meetings and focus groups.

Stakeholder participants were asked questions and provided feedback on priorities, issues and solutions in relation to CDBG/HOME/ESG eligible activities such as housing, neighborhood revitalization, homeless services and low-to-moderate income household issues. The focus was to prioritize the highest needs in various categories that impacted the community and how to best alleviate these concerns/issues. These responses were very helpful for the Needs Assessment and Strategic Plan, to analyze alongside data from the census and HUD, especially for topics pertaining to housing needs, homeless needs, non-homeless special needs and services and community development needs.

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

#### Needs Assessment / Public Hearing

As part of the Plan process, on December 15, 2022, San Joaquin County held a needs assessment meeting (public hearing. To accommodate as many persons as possible, the meeting was held “virtually”. These discussions introduced the public to the Action Plan and solicited input on the level of need for various types of housing, community, and economic development improvements, and answered questions related to this years Action Plan.

#### Public Review of Draft Documents

The County released the Annual Action Plan on April 1, 2023 for a 30-day public review and comment period. The County made the Plan available electronically on its webpage. The County also sent the electronic version to the outreach list.

#### Public Hearings

The County held a second public hearing on April 30, 2023 to review the draft Annual Action Plan and review the proposed projects and programs to be included in the action plan based on anticipated funding levels. At this meeting, the Board of Supervisors also adopted the Action Plan after receiving any public comment.

In addition, each of the participating jurisdictions within the Urban County held their own public hearings prior to recommending their projects and programs for this Program year. Those hearings were as follows:

- City of Escalon: March 6, 2023
- City of Lathrop: February 13, 2023
- City of Manteca: April 4, 2023
- City of Ripon: March 14, 2023

- City of Tracy: March 7, 2023

## Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
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1	Public Meeting	Non-targeted/broad community	<p>On November 7, 2019, San Joaquin County held one Focus Group Meeting to solicit community input concerning the County's housing, economic development, infrastructure, service needs and how funds should be prioritized over the next 5 years. The County held the Focus Group Meeting at the Robert J Cabral Agricultural Center located at 2101 E. Earheart Avenue in Stockton from 10:00 am to 12:00 pm. The County publicized the Focus Group Meetings using email announcements, phone calls, and flyers (in both English and Spanish) posted</p>	<p>Participants identified the following major needs in the community:-</p> <p>HOUSING: short-term emergency housing, homeless, transitional, foster youth, affordable/low-income units, lower predevelopment costs, liens on lots, land not available and tenant based rental assistance. Operating Costs for shelters keep increasing and can't keep up with demand. PROGAMS: Re-entry programs, mental health services, foster youth, day centers</p>	All comments received were accepted.	
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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
			and distributed in County buildings and throughout the county. Thirteen people attended the focus group discussion. The flyer was directly emailed to over 350 people/agencies. 13 individuals attended either one of the Focus Group Meetings.	and vocational training.		

2	Needs Survey	<p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p>	<p>The County conducted a Community Needs Survey to solicit input from service providers and residents in the Urban County. The survey informed respondents that the County was updating the Consolidated Plan for Federal CDBG, HOME, and ESG funds that primarily serve low- to moderate income residents and areas, and polled respondents about the level of need in the Urban County for various types of improvements that can potentially be addressed by the use of CDBG, HOME, and ESG funds, including: housing, community</p>	<p>Surveys were compiled and attached to the Consolidated Plan.</p>	<p>All surveys were accepted.</p>	
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			<p>and economic development improvements. The County distributed the survey through a number of channels in order to gather responses from a broad sample. The County distributed the survey to service providers, local stakeholders, and City and County departments in order to increase the number of responses. The County made the survey available in hard copy format, as well as electronic format. The County made the survey available online and offline in both English and Spanish. The County collected 16 survey responses, including surveys</p>			
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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
			collected electronically and on paper.			
3	Public Hearing	Non-English Speaking - Specify other language: Spanish  Non-targeted/broad community	County public meeting held and noticed in the local newspaper 10 days prior to the meeting occurring. The meeting focused on the 23/24 NOFA, funds available and community needs to be addressed. meeting held December 15, 2022.	Questions from potential sub-recipients were asked and answered.	No comments were not accepted.	
4	Newspaper Ad	Non-English Speaking - Specify other language: Spanish  Non-targeted/broad community	30 day comment period noticed in the local newspaper (April 1 - 30) Draft plan was also made available on the website for viewing. Comments may be submitted via email to staff.	To be updated	To be updated	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Public Hearing	Non-targeted/broad community	Public Hearing held on May 2, 2023 at Board of Supervisors meeting. The meeting is noticed in the local newspaper 10 days prior to meeting occurring.	To be updated	To be updated	

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

See figures below for anticipated funding resources for CDBG, HOME and ESG Programs.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,860,646	500,000	0	3,386,646	3,500,000	Remaining funds for Con Plan is subject to change based on allocations and Program Income receipts.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,286,290	1,600,000	0	2,886,290	2,500,000	Remaining funds for Con Plan is subject to change based on allocations and Program Income receipts.
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	246,142	0	0	246,142	240,000	Remaining funds for Con Plan is subject to change based on allocations and Program Income receipts.

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

Local and state budget deficits, and elimination of the state's redevelopment agencies by the Supreme Court decision, are factors that will continue to limit the County's ability to leverage projects at previous levels. However, the County continues to aggressively leverage its funding partnerships with public, private and non-profit groups. Projects and programs receiving CDBG, HOME, and ESG funds will be leveraged by other Federal and/or governmental support for their specific type of services, foundation support, and other private fundraising. Housing developments will ultimately be leveraged by low-income housing tax credit proceeds, and possibly local funds.

Federal match requirements apply to the County's HOME funds. The HOME program requires that for every HOME dollar spent, the County must provide a 25 percent match with non-federal dollars. Currently, the County still maintains an excess in match requirements from previous fiscal years of over \$5 million. This excess match will fulfill the County's HOME match requirements for many years at the current level of HOME funding.

ESG grant funds must be matched with nonfederal funds or “in-kind” contributions, such as the value of a donated building, supplies and equipment, new staff services, and volunteer time.

**If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

Not applicable

**Discussion**

See table above

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
<b>1</b>	Expand Affordable Housing Opportunities	2020	2024	Affordable Housing	Urban County	Affordable Housing	CDBG: \$1,369,581 HOME: \$2,757,661	Rental units rehabilitated: 55 Household Housing Unit Homeowner Housing Added: 5 Household Housing Unit Direct Financial Assistance to Homebuyers: 5 Households Assisted Household Housing Unit Other: 12 Other
<b>2</b>	Homeless Prevention and Rapid Re-Housing	2020	2024	Homeless	Urban County	Homelessness	ESG: \$246,142	Overnight/Emergency Shelter/Transitional Housing Beds added
<b>3</b>	Infrastructure Improvements	2020	2024	Non-Housing Community Development	Urban County	Infrastructure Improvements	CDBG: \$100,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit
<b>4</b>	Public Services	2020	2024	Non-Housing Community Development	Urban County	Public Service	CDBG: \$478,367	Public service activities other than Low/Moderate Income Housing Benefit



Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Public Facilities	2020	2024	Non-Homeless Special Needs Non-Housing Community Development	Urban County	Public Facilities	CDBG: \$847,736	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit
6	Fair Housing	2020	2024	Non-Homeless Special Needs Fair Housing	Urban County	Fair Housing	CDBG: \$77,350	Public service activities other than Low/Moderate Income Housing Benefit
7	Planning and Administration	2020	2024	Planning and Administration	Urban County	Planning and Administration	CDBG: \$564,962 HOME: \$128,629	Other

**Table 6 – Goals Summary**

## Goal Descriptions

1	Goal Name	Expand Affordable Housing Opportunities
	Goal Description	Expand and preserve the supply of affordable housing to create housing opportunities for low- and moderate-income households.
2	Goal Name	Homeless Prevention and Rapid Re-Housing
	Goal Description	

3	<b>Goal Name</b>	Infrastructure Improvements
	<b>Goal Description</b>	Expansion of existing facilities/infrastructure or replacement of deteriorating facilities, as well as construction of new facilities/infrastructure to increase the quality of life of benefiting residents.
4	<b>Goal Name</b>	Public Services
	<b>Goal Description</b>	Continue to build the capacity of residents to empower themselves and help strengthen their community, through the funding of needed public services for seniors, youth, persons with disabilities, victims of domestic violence, and farmworkers.
5	<b>Goal Name</b>	Public Facilities
	<b>Goal Description</b>	Build and maintain facilities that house a range of public and social activities and services which provide opportunities for the development of human potential and enrichment as well as provide a sense of community identity.
6	<b>Goal Name</b>	Fair Housing
	<b>Goal Description</b>	
7	<b>Goal Name</b>	Planning and Administration
	<b>Goal Description</b>	Overall program administration and oversight of the CDBG program.

## Projects

### AP-35 Projects – 91.220(d)

#### Introduction

This plan outlines the action steps that the Urban County will use to address housing and community development needs in the San Joaquin Urban County. The plan includes a listing of activities that the County will undertake during FY 2023-2024 (July 1, 2023 through June 30, 2024) that use CDBG, HOME, and ESG funds.

The County's 2023-2024 allocation of HUD resources are: \$2,860,646 of Community Development Block Grant (CDBG) annual allocation; up to \$500,000 in CDBG Program Income; \$1,286,290 of HOME Investment Partnership (HOME); up to \$1.6 million in HOME Program Income; and \$246,142 of

Emergency Solutions Grant (ESG) funds.

## Projects

#	Project Name
1	SJC – Emergency Food Bank: Farm to Family
2	SJC – Emergency Food Bank: Mobile Farmer’s Market
3	SJC – Give Every Child A Chance
4	SJC – Women’s Center: Propel Program
5	SJC – Tuleburg Press: The Write Place
6	SJC – Meals on Wheels Program
7	SJC – Fair Housing
8	SJC – Ready to Work
9	SJC – Uplift all Foundation: Loads of Hope Laundry
10	SJC – Visionary Home Builders: Home Stabilization
11	SJC – Stockton Shelter for the Homeless Operations
12	SJC – DRAIL: Accessibility Program
13	SJC – Kelly’s Angels
14	SJC – Sidewalk Phase 4 Project
15	SJC – STAND: Shared Permanent Housing
16	SJC – Housing Rehabilitation Program
17	SJC – Homeownership Program
18	LAT – Youth Activities Program
19	LAT – Removal of Architectural Barriers
20	RIP – Bethany Home Society: Senior Meal Program
21	RIP – Senior Center Rehabilitation
22	MAN – Youth Scholarship Program
23	MAN – HOPE Ministries Operations
24	MAN – Women’s Center Street Outreach
25	MAN – Homeless Navigation Center
26	MAN – Love, Inc Facility Improvements
27	TRA – Tracy Interfaith: Food Distribution Program
28	TRA – McHenry House: Family Crisis Intervention Program
29	TRA – Coalition of Tracy Citizens to Assist Homeless
30	TRA -Tracy Earth Projects: Bike Life Youth Program
31	TRA – Roya Foundation: Employment Training Program
32	TRA – Boys and Girls Club: Summer Enrichment Program
33	HOME – STAND / CHDO
34	HOME – Creekside Apartments
35	HOME – Villa D. Flore Apartments
36	HOME – Housing Authority Multi-Family Project
37	Emergency Solutions Grant (ESG)
38	Administration

**Table 7 - Project Information**

**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

One of the greatest challenges in meeting the underserved needs of low- and moderate-income persons is having limited financial resources. Each participating city, along with the County, holds its own application process that is open to various departments, agencies and non-profits. Each community always receives more funding requests than they have available, as these resources are so coveted as they help the underserved in the communities. Priority is given to agencies that showcase the highest benefit to those underserved and capable of getting these funds out quickly and efficiently. This year applications were turned away due to the limited resources.

The Urban County will continue to use its CDBG, HOME, and ESG funding to support: the development of affordable housing and public service agencies that address the special needs of the underserved. The County proactively seeks additional resources to better meet the underserved needs. The County will also be receiving an Emergency Solutions Grant, and California Emergency Solutions and Housing (CESH) grants through the State of California.

## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

Each year the Urban County receives an annual allocation of Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), and Emergency Shelter Grant (ESG) funds from HUD. The amount that is available depends on the amount of funds appropriated by Congress. Approximately half of these funds will be passed through to the cities of Escalon, Lathrop, Manteca, Ripon, and Tracy during this Consolidated Plan period.

CDBG, HOME, and ESG funds are awarded to projects and programs on a competitive allocation basis. A Notice of Funding Availability (NOFA) is sent to jurisdictions, public agencies, affordable housing developers, community-based organizations, and interest groups active in the Urban County area. Each participating jurisdiction conducts a separate public allocation process. Projects are reviewed and funding allocations are made based on several criteria, including the project's ability to reach and serve its target population. Consideration is given to project location to ensure that funds are allocated throughout the Planning Area while services are directed to those areas and persons with the greatest need.

Underlying all expenditures is the benefit to low- and moderate-income persons, including very low- and extremely low-income persons, as defined by federal consolidated planning regulations. Assistance within the Planning Area will be targeted to populations residing in areas of lower-income and minority concentrations.

### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>
Urban County	100

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

The Urban County as a whole is the designated "target area". This allows for funds to reach communities across the County in some capacity.

### **Discussion**

See above

# Affordable Housing

## AP-55 Affordable Housing – 91.220(g)

### Introduction

Lower-income households continue to pay a higher percentage of their income for housing, compared to other income groups. A large proportion of lower income renter-households also tend to experience other housing problems such as overcrowding and inadequate housing conditions. In order to help provide decent and affordable housing, and improve the social and economic status for extremely low-, very low-, low-, and moderate-income households in the County, several programs, described above, will be available during the next program year.

The Urban County plans to use CDBG and HOME funds to support several affordable housing activities, including an owner-occupied residential rehabilitation program, various downpayment assistance programs, and maintenance and rehabilitation of rental housing. These activities are expected to provide a 40-unit senior affordable housing (Creekside), multi-family 15 unit apartment complex rehabilitation for victims of domestic violence (Villa D. Flore) 5 acquisitions/rehab for low-income families (STAND), downpayment assistance to 5 households annually, and develop 3 lots with 12 units for homeless (SHARED housing).

One Year Goals for the Number of Households to be Supported	
Homeless	12
Non-Homeless	65
Special-Needs	0
Total	77

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	72
Acquisition of Existing Units	5
Total	77

Table 10 - One Year Goals for Affordable Housing by Support Type

### Discussion

See above

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

See discussions below

### **Actions planned during the next year to address the needs to public housing**

The Housing Authority plans to construct a 73-unit affordable public housing development for low-income seniors in the City of Tracy. The project will consist of 72 senior units and one (1) manager's unit. A community building will include a fully furnished multipurpose room which will include a kitchen, restroom facilities, a laundry room, an activity area and a social service office.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

Residents are provided various opportunities to provide comments and get involved. Residents can serve on the Resident Advisory Board or Resident Councils and provide feedback to staff, community liaison, police liaison, or community partners. Public housing residents receive a resident newsletter and can get involved in the various programs offered by HASJC such as the Home-Ownership Program, after-school youth program, youth scholarship foundation, community centers and community partners.

The Tenant Opportunities Program initiated by HUD, expanded tenant participation in HACSJ programs. Memorandums of Understanding were entered into with the Resident Council at each facility to provide management training programs and opportunities for residents to assume management responsibilities. Beginning in 1992, the Sierra Vista Housing Resident Council received a Technical Assistance Grant from HUD for start-up activities to begin building and strengthening its capacity to improve relationships between residents and the local community. In 1994, the Public Housing Resident Councils in the Tracy and Conway Homes facilities were awarded grant funds to develop tenant opportunity programs within their respective developments. These grants have and will continue to provide leadership training, business and management skill training, and job preparation skills.

HACSJ tenants have access to available CDBG funded fair housing services and other CDBG funded public services for which they qualify. The County operated first-time homebuyer assistance program is available to HACSJ tenants contemplating homeownership.

**If the PHA is designated as troubled, describe the manner in which financial assistance will be**



**provided or other assistance**

The Housing Authority is not designated as troubled.

**Discussion**

See discussions above.

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

The Urban County proposes the use of CDBG, ESG, Continuum of Care (CoC), and State funds to address identified needs for its homeless objectives. These funds can be used for the following activities:

- CDBG funds will be used to assist homeless, non-homeless and special needs groups in activities such as housing maintenance and rehabilitation, public facilities and improvements, and supportive services.
- ESG funds will be used to assist homeless groups in activities such as rehabilitation or remodeling of buildings to be used as emergency shelters, operation and maintenance of an emergency shelter facility, homeless management information system and data quality capacity, essential supportive services (i.e. case management, counseling, and childcare), homeless prevention, rapid-rehousing and grant administration.
- CoC funds will be used to provide both permanent supportive housing to homeless individuals and families with disabilities and permanent housing to homeless households, through activities including, but not limited to, rent assistance, case management, and other supportive services; and will be used to provide support for homeless management information system activities.
- State funds will be used to assist homeless groups in activities such as capital improvements, rental housing, operations and maintenance, street outreach, homeless management information system, rapid-rehousing and grant administration.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

San Joaquin County has adopted the San Joaquin Community Response to Homelessness Strategic Plan, which outlines goals, strategies, and actionable steps to address homelessness. These include strengthening data-gathering, coordinated entry, and integrated system response; expanding emergency shelter, homeless prevention, and other crisis response activities; and increasing permanent housing solutions including permanent supportive housing, rapid re-housing, and developing new units of housing dedicated to serving homeless populations. Regarding outreach and assessment, in January 2022, the San Joaquin County Continuum of Care (SJCoC), along with Central Valley Low Income Housing Corporation as the HMIS Lead Agency, partnered with multiple county-based nonprofit organizations that provide emergency shelter, housing, outreach, and other support services to homeless individuals and families, as well as community volunteers, to conduct a count of both sheltered and unsheltered homeless. Public officials in Stockton, Tracy, Manteca, and Lodi, as well as private businesses and faith-based organizations, with over 400 volunteers participating in the effort to reach unsheltered people experiencing homelessness. The 2022 count is the ninth count following the inaugural count in 2005.

The purpose of the count, in addition to being a HUD requirement for jurisdictions receiving CoC funds, is to provide quality information about the current size and nature of the County's homeless population. In January 2021, a point in time count was conducted for the sheltered homeless as well, and another count was conducted in January 2023, though results would not be available until April 2023. SJCoC member agencies will also continue to conduct routine outreach throughout the year to unsheltered homeless encampments throughout San Joaquin County, a collaborative multidisciplinary effort that has taken place since 2014. Through the SJCoC, street outreach efforts have increased collaboration and coordination. Several outreach expansion projects focused on improving outcomes for those unsheltered individuals experiencing chronic health conditions are planned and funded for the upcoming period.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Consistent with the priorities outlined in the San Joaquin Community Response to Homelessness Strategic Plan and the Consolidated Plan, the County will undertake or fund the following activities to address homelessness in FY 2023-24:

- ESG funds will be used to assist eight homeless shelters and one service provider with maintenance and operation expenses; two shelters with essential services; three for street outreach and one service provider for rapid-rehousing. ESG funds will also be used to assist individuals and families who are homeless or at risk of becoming homeless through permanent housing.
- CDBG funds will be used to provide upgrades and renovations to emergency shelter, transitional housing and permanent housing facilities, and provide food and services for homeless and low income individuals.
- Continuum of Care Program funds will be used to provide permanent supportive housing for persons with disabilities (individuals and families) and permanent housing for the homeless.
- State funds will be used to assist homeless groups in activities such as capital improvements, rental housing, operations and maintenance, street outreach, homeless management information system, coordinated entry, rapid-rehousing, homeless prevention and grant administration.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The San Joaquin Continuum of Care has set a goal to increase both the number and percentage of permanent supportive housing beds available to chronically homeless households. This strategy will be

achieved by providing chronically homeless persons priority status when vacancies occur in existing permanent supportive housing programs; the Continuum of Care has adopted and implemented the “Orders of Priority” set forth by HUD in CPD-16-11. Efforts to coordinate funding to increase the production of local homeless housing are ongoing resulting in several projects under development for the upcoming period. The SJCoC is prioritizing the development of permanent supportive housing for the chronically homeless, permanent housing for families with children, and increased units of shelter when additional resources become available.

The SJCoC Coordinated Entry System calls for all families to be assessed within 7 days of entry into shelter or similar situations, and for referrals to be made to appropriate housing services based on the assessment. All RRH and PSH projects are connected to the Coordinated Entry System as the primary referral pathway for homeless persons. The SJCoC has shifted resources from transitional housing (TH) projects to rapid re-housing (RRH) projects to facilitate movement to permanent housing. SJCoC resources are coordinated with ESG RRH efforts to maximize the number of households assisted. RRH projects also conduct direct outreach to emergency shelter providers and coordinate with street outreach teams. Standardized assessment tools identify households with the greatest need and time spent homeless.

The CoC has leveraged funding from ESG, Runaway and Homeless Youth (RHY), and the California Department of Housing and Community Development to address the housing and service needs of homeless youth. Currently, the CoC has a 10-bed shelter for unaccompanied youth under 18 and an 8-bed transitional housing project for youth between 18 and 24. Slightly over eight percent of the population in emergency shelters not designated solely for youth are between 18 and 24. For 12 years, the CoC has allocated resources to operate a 25 unit permanent supportive housing project for qualified youth. The CoC has also sought additional funding through the Youth Homeless Demonstration opportunities from HUD.

Agencies providing services to veterans use the “by name list” to identify which veterans might be eligible for which projects and their last known location. Further assessment is accomplished through the Coordinated Entry component of HMIS and, in some cases, by either Supportive Services for Veteran Families (SSVF) or Veterans Affairs (VA) based on discharge status and other criteria to determine eligibility for specific projects or assistance programs. The assessment process allows for direct referrals to SSVF and the VA. The San Joaquin County Office of Veterans Affairs makes direct referrals of veterans to both SSVF and HUD-VASH.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services,**

## **employment, education, or youth needs.**

In accordance to the Strategic Plan adopted by the SJCoC and San Joaquin County, the following are the general goals and objectives:

- Intervention on behalf of households who are at risk of becoming homeless to prevent people from initially becoming homeless;
- Diversion from emergency shelters of working households who have reached the point of contacting shelters; and
- Rapid re-housing of working households who have become homeless.

The County's Homeless Prevention Program targets the following populations who can reasonably be expected of becoming self-sufficient within 6 months or less:

- Households forced to vacate rental properties that enter foreclosure;
- Households at risk of becoming homeless due to sudden and substantial loss in income out of the control of members of the household;
- Households forced to vacate rental housing condemned by local housing officials, when condemnation is not a result of the activity of one or more household members;
- Households at risk of becoming homeless due to a traumatic life event, such as death of a spouse or primary care giver or recent health crisis that prevented the household from meeting its normal financial responsibilities;
- Households with at least one adult employed, at risk of becoming homeless due to factors not related to activity of one or more household members.

## **Discussion**

See discussions above.

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

See below

**Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The County and participating cities have worked to address the issues surrounding barriers to affordable housing development through the Housing Element process required by the State of California. The California Department of Housing and Community Development (HCD) must review the Housing Element for compliance with State law. Among other things, each jurisdiction's Housing Element is required to identify opportunity sites with land use controls that facilitate affordable housing development. Another key component of HCD review is the extent of government policies that act as barriers to housing development, especially affordable housing development, and the jurisdiction's commitment to eliminating or mitigating the barriers.

### **Discussion:**

See discussion above

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

This section discusses the Urban County's efforts in addressing underserved needs, developing the institutional structure for delivering housing and community development activities, and expanding and preserving affordable housing opportunities.

### **Actions planned to address obstacles to meeting underserved needs**

Based on the results of the community outreach process, the Urban County's most underserved groups are the homeless and extremely low-income residents. Generally, the lack of funding is the most critical obstacle to meeting the needs of these groups. The Urban County intends to help bridge the gap by allocating CDBG funds to homeless, homeless prevention, and public service programs that support special needs groups. CDBG funds are limited. Therefore, the Urban County will continue to rely on the existing network of public and nonprofit agencies to deliver a variety of housing and supportive services for the homeless and extremely low-income residents in the community.

### **Actions planned to foster and maintain affordable housing**

Consistent with the strategies outlined in the 2020-2024 Consolidated Plan, the Urban County will undertake or fund the following activities to address affordable housing needs:

- Provide funds for rehabilitation of lower-income rental units.
- Provide down payment and closing cost assistance to lower-income households to purchase their first home.
- Provide owner-occupied rehabilitation loan program to lower-income homeowners.

### **Actions planned to reduce lead-based paint hazards**

The County will continue to implement lead-based paint regulations established procedures to ensure that purchasers and tenants of all HUD-associated housing constructed prior to 1978 are notified of the hazards of lead-based paint which may exist in the housing. The regulations establish lead-based paint requirements for any HUD-funded rehabilitation activity, tenant-based rental assistance, and acquisition, leasing, support services, and operations activity.

Several agencies operating within the County, including Stockton and Lodi, are actively engaged in activities to evaluate and reduce lead-based paint hazards. The principal participants in this effort include the Housing Authority of the County of San Joaquin (HACSJ), San Joaquin County Public Health Services, the Environmental Health Department (EHD), and the Neighborhood Preservation Division.

HACSJ has an active program of evaluating and reducing lead-based paint hazards in housing units

involved in the Housing Choice Voucher Program, formerly Section 8 Rental Certificate Program.

The San Joaquin County Environmental Health Department (EHD) operates the Childhood Lead Poisoning Prevention Program for all communities. The EHD performs environmental investigations of possible lead sources at the homes of children who have blood lead levels exceeding those established by the State Department of Health Services as part of the Public Health Services Childhood Lead Poisoning Prevention Program contract. These investigations include on-site inspections, interviews with family members, X-ray Fluorescence (XRF) testing of paint samples or sampling of other possible lead sources such as soil, toys, food products, and hobby materials. The EHD also notifies homeowners or occupants if significant sources of lead are found, provides education, requires remediation by an approved contractor, and pursues enforcement action when necessary.

The Neighborhood Preservation Division becomes involved in lead-based paint hazard evaluation and reduction as a result of its involvement in housing rehabilitation activities. Houses to be rehabilitated are routinely tested for lead-based paint hazards and remediated, where necessary. Using guidelines similar to those employed by the HACSI, staff from the Neighborhood Preservation Division inspect units being considered for inclusion in one of their programs for the possible presence of lead-based paint and assess the need for remediation, based upon existing risk factors. Depending upon these factors and the results of the inspections, the Division's resources can be directed to the remediation of the hazard.

### **Actions planned to reduce the number of poverty-level families**

The challenges associated with poverty—stress, strained family relationships, substandard housing, lower educational attainment, limited employment skills, unaffordable child care, and transportation difficulties – make it hard for low-income families to obtain and maintain employment, and therefore housing and basic needs.

Economic stability can have lasting and measurable benefits for both parents and children, and it has become clear to both the government and business sectors that in order to solve housing problems in San Joaquin County, the issue of jobs must be addressed. Increasing the number of people with adequately paying jobs has been approached on two parallel tracks: (1) increasing the quantity and quality of jobs through the solicitation of new businesses and the expansion of existing ones and (2) preparing lower-income individuals for employment through the development of job and life skills.

The County seeks to reduce the number of people living in poverty (extremely low-income households earning less than 30 percent of the AMI) by providing a number of programs including housing assistance, supportive services, economic development assistance, and job training opportunities. The County will continue to leverage opportunities to support funding for Community Based Development Organizations, cities, and other groups to provide training and employment opportunities to extremely low-income persons. In collaboration with the County's Workforce Investment Board (SJC WorkNet), the



County will continue to offer outreach and training to poverty level families and individuals.

The County will work with the SJC WorkNet job-training providers to ensure that low- and moderate-income persons are trained with employable skills with the potential to earn higher wages. In addition, the County's funding (through CDBG and ESG funds) of human service programs for basic needs, food distribution, and case management for homeless and those at risk of homelessness support the goals and strategies of reducing poverty.

### **Actions planned to develop institutional structure**

During the program year, the County will continue to address gaps in the institutional structure of the Urban County jurisdictions affecting the timely and equitable distribution of funds. The primary objective in this PY will be to continue and expand the communication that is occurring among the Urban County jurisdictions and between government agencies and housing and service providers to improve access to the programs and services that are available in the county. The County will also continue to communicate and coordinate Consolidated Plan programs with the two other entitlement communities in the county: the Cities of Lodi and Stockton.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

The County of San Joaquin, as the administrator of the Urban County CDBG, HOME, and ESG programs, coordinates and consults with other program providers; local, state, and federal government entities; non-profit and for-profit entities; professional organizations; interest groups; and other parties interested in the implementation of Federal programs.

### **Discussion:**

See discussions above

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

#### Introduction:

The County continues to utilize HOME funding to promote affordable housing in the County. Funds are used for First Time Homebuyer (FTHB) and Owner-Occupied Rehabilitation (OOR) Programs. All Federal requirements and limits (income, affordability, values, etc.) are followed when implementing these programs.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	80.00%

#### HOME Investment Partnership Program (HOME)

##### Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

Not applicable

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Pursuant to HOME Program regulations, 24 CFR Part 24 92.254(a)(5)(ii)(A)(1,2 & 3), the County shall establish recapture requirements when HOME funds are used by qualified households to acquire single-family housing to recover all or a portion of the HOME assistance to the homebuyer, if the housing does not continue to be the principal residence of the household for the duration of the period of affordability.

The County will continue to loan HOME funds to assist low- to moderate-income homebuyer applicants under its First Time Homebuyer Program. The County has elected to use the recapture option to comply with the "Period of Affordability" requirement under §92.254 of HOME rules. Enforcement of the recapture provisions shall occur through a recorded deed restriction and/or a recorded HOME Regulatory Agreement on the assisted property.

The terms of recapture are structured after the HOME Program Period of Affordability requirement and are based on the amount of HOME subsidy provided to the borrower. If the homeowner chooses to sell the home during the affordability period, the County will recapture the amount due on the loan, including all principal and interest.

The County is subject to the limitations that when the recapture provision is triggered by a voluntary or involuntary sale of the housing unit and there are no net proceeds (sales price minus loan repayment, other than HOME funds, and closing costs), or net proceeds are insufficient to repay the HOME investment due, the County can only recapture the net proceeds. In the event that net proceeds are zero (as is usually the case with foreclosure), the recapture provision still applies, but there are no funds to recapture.

When a forgivable loan is used to finance the HOME assistance to the homebuyer, the HOME balance due will be reduced by an equal amount annually during the affordability period. The HOME amount will be completely forgiven if the homebuyer remains the owner and occupant for the full affordability period.

The recaptured amount of HOME funds will be used for HOME eligible activities.

Specifically, CDBG and HOME funding will be used for the continuation of a low-income, homeowner housing rehabilitation program. The housing rehabilitation program will be funded by the County

and the cities of Escalon, Lathrop, Ripon, Tracy and Manteca.

The owner-occupant housing rehabilitation program was developed to encourage the preservation and renovation of the Urban County's housing stock. The program offers owner-occupants whose income is at or below 80 percent of the County median income, assistance up to \$150,000 in the form of a repayable 2 percent loan amortized for up to 30 years. All loans must be affordable to the occupant and are underwritten by the County.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

See discussions above.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

This plan does not include use of HOME funds to refinance existing debts for multifamily housing.

### **Emergency Solutions Grant (ESG) Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

The following are standard policies and procedures for evaluating individuals' and families' eligibility for assistance.

1. **Initial Evaluations.** The recipient or its sub-recipient must conduct an initial evaluation to determine the eligibility of each individual's or family's eligibility for ESG assistance and the amount and types of assistance the individual or family needs to regain stability in permanent housing, in accordance with the centralized or coordinated assessment requirements set forth under 24 CFR §576.400(d) and the written standards established under 24 CFR §576.400(e).
2. **Determining Program Participant Eligibility.** The following criteria shall be used to determine program participant eligibility for assistance under ESG:
  - Income shall be annualized and calculated based on the standards for the Housing Choice Voucher Program (Section 8 Eligibility Standards).
  - Assessment and approval by an authorized program case manager;
  - Household income (adjusted by size) below 30% of area median income for prevention assistance; and
  - Household must either be homeless (federal definition) or at risk of losing housing and show a

reasonable expectation of becoming self-sufficient within three months.

Assistance will be provided to eligible households in the order in which they are interviewed. If a household is eligible and funds are available, they will receive assistance. All rapid re-housing clients must meet HUD's homeless definition (24 CFR 576.2) – person(s) staying on the streets, in a shelter, or someone exiting an institution after a stay of 90 days or less and who resided in a shelter or on the streets before the institution. All prevention clients must be able to demonstrate at least two HUD defined risk factors and that except for this program, the household will become homeless.

While the ability to sustain housing is not a threshold requirement for program eligibility, but as a program goal, it is a consideration in determining the amount and length of assistance.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The San Joaquin Continuum of Care recognizes that immediate access to emergency shelter services in an area as large as the geographic area of the Continuum is necessary and is not best served through a centralized process. The Continuum has established a Coordinated Entry System based on a “no-wrong doors” philosophy, and an assessment of need to connect the most vulnerable households with rapid re-housing and permanent supportive housing resources. “No wrong door” access points include, but are not limited to, outreach providers emergency shelters, homeless service providers, the 2-1-1 resource system, Family Resource and Referral Center (the Coordinated Entry lead), Central Valley Low Income Housing Corp., and San Joaquin Human Services Agency. All access points use a single assessment tool (VI-SPDAT) to determine the level of need. All ESG funded prevention and rapid re-housing assistance in the Continuum is coordinated through a single agency, Central Valley Low Income Housing Corporation (CVLIHC). CVLIHC has established relationships with all local shelters and service providers, conducts outreach to notify service providers of ESG program changes to eligibility standards, and receives referrals through the Coordinated Entry System.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The County and the San Joaquin Continuum of Care have worked together to develop the policies and procedures that have been developed for ESG activities and to discuss the basic allocation of ESG funds. Based on the results of prior experience, there was a general conclusion that the most effective use of ESG funds would be to continue providing funds to the homeless shelters at essentially the same level as in prior years, with the remaining funding going to continue the homeless prevention and re-housing program as initially implemented in 2009.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with

homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

Homeless needs and priorities continue to be identified through the County's Continuum of Care system. The 2020 San Joaquin County Strategic Plan on Homelessness and the implementation groups formed are public and inclusive to participation of all stakeholders including homeless and formerly homeless individuals. In addition, the SJCoC consults with and engages homeless individuals to participate in the Annual Point-in-Time Count of the homeless and has at least one person with lived homeless experience on the SJCoC Board as mandated by the Charter; currently, the SJCoC Board has at least three persons with lived experience. All ESG and CoC funded subrecipients are required to have a homeless or formerly homeless person on their board.

5. Describe performance standards for evaluating ESG.

1. Housing Stability: Seventy percent of program participant households will maintain housing stability for 6 months following the end of rental assistance.

- Measurement: Percentage of program participant households who maintain tenancy for 6 months following the end of rental assistance.

2. Recidivism: Less than thirty percent of program participant households will experience a subsequent episode of homelessness as evidenced by their return to emergency shelter.

- Measurement: Total number of adult program participants with successful exits from the program (with a successful housing outcome) that had an emergency shelter stay of at least 1 night within 6 months of exiting the program.

3. Shelter Exits: Ten percent of program participant households will immediately exit emergency shelter to a permanent housing destination.

- Measurement: Percentage of program participant households who exit emergency shelter to permanent housing destinations.

The County and the San Joaquin Continuum of Care will continue to discuss and examine additional, feasible performance standards. Other standards will be considered as the programs evolve.