

SAN JOAQUIN COUNTY

and the cities of Escalon, Lathrop, Manteca, Ripon and Tracy



2021-2022 ANNUAL ACTION PLAN (AAP)

FOR THE U.S. HOUSING AND URBAN DEVELOPMENT (HUD) GRANT PROGRAMS

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)

EMERGENCY SOLUTIONS GRANT (ESG)

HOME INVESTMENT PARTNERSHIP (HOME)

**SAN JOAQUIN COUNTY
HEALTH CARE SERVICES
NEIGHBORHOOD PRESERVATION DIVISION
1810 E. HAZELTON AVENUE
STOCKTON, CA 95205**

DRAFT

Annual Action Plan
2021

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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The San Joaquin Urban County receives funds each year from the Federal government for housing, economic development, and community development activities. These funds are intended to meet priority needs locally identified by the County that primarily benefit persons with extremely-low, very-low, and low incomes (incomes of 80 percent or less of median area income).

The Action Plan addresses how the San Joaquin Urban County will use Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), and Emergency Shelter Grant (ESG) funds. The San Joaquin Urban County is comprised of the unincorporated portions of San Joaquin County and the cities of Escalon, Lathrop, Manteca, Ripon, and Tracy. The Urban County receives funds each year from the Federal government for housing and community development activities. These funds are intended to meet priority needs locally identified by the Urban County. To receive federal funds, the Urban County must submit a strategic plan—the Consolidated Plan—every five years to the U.S. Department of Housing and Urban Development (HUD) as well as an Annual Action Plan each year that identifies local needs and how these needs will be addressed. The Action Plan must also demonstrate how the County will meet national goals set by the U.S. Congress to develop viable communities by providing decent housing, a suitable living environment, and economic opportunities.

The Action Plan embodies and reflects three overall goals (as well as the Consolidated Plan), which relate to the major commitments and priorities of HUD, including:

- To provide decent housing by preserving the affordable housing stock, increasing the availability of affordable housing, reducing discriminatory barriers, increasing the supply of supportive housing for those with special needs, and transitioning homeless persons and families into housing.
- To provide a suitable living environment through safer, more livable neighborhoods, greater integration of lower-income residents throughout San Joaquin County communities, increased housing opportunities, and reinvestment in deteriorating neighborhoods.
- To expand economic opportunities through more jobs paying self-sufficiency wages, homeownership opportunities, development activities that promote long-term community viability, and the empowerment of lower-income persons to achieve self-sufficiency.

In addition to the Consolidated Plan, the County is required to submit an Annual Action Plan for each program year to discuss specifically the projects that funds are intended to be used on. For FY 2021-2022, San Joaquin County will be receiving \$2,814,679 in CDBG Program funds intended to meet priority needs locally identified by the County in the community. Along with CDBG funds, the County will receive \$1,157,748 in HOME Investment Partnership Program (HOME) funds to be utilized in providing

decent and affordable housing and \$235,522 in Emergency Solutions Grant (ESG) funds to be utilized by nine homeless shelters throughout the urban county in the operations of the shelters, managing the homeless management information system, and providing essential services. In addition, due to the number of priority projects and resources that are being paid back to the County, the Plan is also committing up to \$500,000 in CDBG Program Income and \$2,000,000 in HOME Program Income.

2. Summarize the objectives and outcomes identified in the Plan

The Needs Assessment identified several types of programs, projects, and services that are needed in the Urban County, including the following:

- Affordable housing, especially with units targeted to extremely low-income and very low-income households;
- Upgrades to public facilities, such as community centers; homeless facilities; parks and recreation facilities; and neighborhood facilities;
- Public improvements, such as street improvements; pedestrian and bikeway improvements; water, sewer, and storm drain improvements; and ADA accessibility improvements;
- Homeless Shelters, including emergency overnight stays and transitional housing
- The need to better connect mental health programs and services with individuals leaving institutions to ensure the person does not relapse; and,
- Job creation and higher paying positions

The overall theme of the surveys and meetings that were held, continuously came back to affordable housing and homeless shelters/services.

3. Evaluation of past performance

San Joaquin County completed the fifth year (program year 2019) of the five-year period for the San Joaquin County Consolidated Plan in June 2020. The outcomes are a result of the ability of the County's existing provider network (grantees and subrecipients) to implement projects and programs to produce results contingent on the amount of Federal, State, County and private dollars available during the fourth year of the Consolidated Plan. The projects, programs and services selected for funding during the last program year of 2019/20 met a majority of goals.

Some of the specific highlights of the program year included the following:

- Approximately \$3.5 Million was expended in Community Development Block Grant Program (CDBG) Entitlement and Program Income monies for PY 19/20
- Nearly \$4.2 Million was expended in HOME Investment Partnership Program (HOME) Entitlement and Program Income monies for PY 19/20

- This year represents the County's 3rd straight year of meeting its HUD timeliness test which is ran in early May each year. The County had fallen behind in the first two years of this Con Plan cycle. The County made significant changes to their program after missing two years in a row, and those changes have shown to be quite successful in ensuring timeliness is met each year.
- This year marked the 3rd full year of implementing the housing rehab program after many years of it being "on hold" due to understaffing. Six Rehabilitation projects were completed in the fiscal year.
- Public Service dollars continue to be highly used and productive. Each year the County receives far more requests than what is available. These funds assisted far more persons than originally planned for and continue to be provided to better meet the needs of the community. Over \$400,000 was expended for public service activities during 19/20 PY.
- The County continued to commit and expend funds on public infrastructure and public facilities projects with over \$1.8 million being expended in 19/20 PY.

4. Summary of Citizen Participation Process and consultation process

The County followed the requirements for citizen participation outlined in the San Joaquin County Citizen's Participation Plan. Each of the participating jurisdictions conducted separate concurrent public hearings during the funding process. The County's planning process for the development of this One-Year Action Plan began on December 18, 2020, with a public hearing and a direct mailing to over 25 agencies and interested groups providing notification that the County was accepting applications for the CDBG, ESG, and HOME programs. The Notice of Funding Availability (NOFA) was released on December 16, 2020, allowing agencies and interested citizens the opportunity to express their comments, concerns, and thoughts on the funds available.

This One-Year Action Plan was developed and made available for public review and comment for a 30-day period beginning April 1, 2021 and concluding on April 30, 2021. The final 2021-22 One-Year Action Plan was presented to the San Joaquin County Board of Supervisors for adoption at a Public Hearing on May 4, 2021 and submitted to HUD for approval shortly thereafter.

In addition, each of the participating jurisdictions within the Urban County held their own public hearings prior to recommending their projects and programs for this Program year. Those hearings were as follows:

- City of Escalon: March 15, 2021
- City of Lathrop: March 8, 2021
- City of Manteca: March 2, 2021
- City of Ripon: February 11, 2021 / March 9, 2021
- City of Tracy: March 16, 2021

5. Summary of public comments

As required, the County held two public hearings during the action plan process and held a 30-day public comment period for the draft action plan that was circulated prior to adoption. In addition, each of the participating jurisdictions in the urban county held their own public hearings. **Any comments that were received have been attached to the Action Plan with individual City Council Meeting Minutes.**

County of San Joaquin: December 18, 2020 and May 4, 2021 - No comments were received.

City of Escalon: March 15, 2021 - No comments were received

City of Lathrop: March 8, 2021 - No comments were received

City of Manteca: March 2, 2021 - No comments were received

City of Ripon: February 11, 2021 - No comments were received

City of Tracy: March 16, 2021 – No comments were received

6. Summary of comments or views not accepted and the reasons for not accepting them

See summary of public comments above. Minutes have been attached to the Action Plan to show citizen participation and any comments that may have been received.

7. Summary

See above

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

| Agency Role | Name | Department/Agency |
|--------------------|--------------------|----------------------|
| CDBG Administrator | SAN JOAQUIN COUNTY | Health Care Services |
| HOME Administrator | SAN JOAQUIN COUNTY | Health Care Services |
| ESG Administrator | SAN JOAQUIN COUNTY | Health Care Services |

Table 1 – Responsible Agencies

Narrative (optional)

San Joaquin County Health Care Services is the Lead Agency for administration of the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant (CDBG), HOME Investment Partnership Program funds, and Emergency Solutions Grant (ESG) program for the Urban County. The Health Care Services Department is also responsible for the preparation of the Consolidated Plan, Annual Action Plan, and Consolidated Annual Performance Evaluation Reports (CAPER).

Consolidated Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The Consolidated Plan outreach effort is a regional collaborative effort between the County of San Joaquin and participating cities within the urban county. The County hired Adams Ashby Group, to assist them in their community engagement efforts. Community engagement is key for helping the County and cities to identify needs, priorities, goals, strategies, and activities for future housing and community development activities over the period of the five-year regional plan. Engagement activities included a community needs survey, public outreach, stakeholder consultations (or meetings) and focus group meeting.

As a part of the stakeholder consultation process, the County consulted with a variety of stakeholders, including County departments, human service agencies, local and regional community-based organizations, housing providers and advocates, the Continuum of Care, and the San Joaquin County Housing Authority (SJCHA). Social service providers were also consulted, including those that provide services to the elderly, persons with disabilities, homeless persons, and other lower-income individuals and at-risk populations.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

In an effort to provide the greatest outreach to various agencies and organizations, the Urban County compiled an outreach list consisting of nearly 295 agencies/persons, including:

- Nonprofit service providers that cater to the needs of low- and moderate-income households and persons with special needs, including persons with disabilities
- Affordable housing providers
- Housing advocates
- Housing professionals
- Public Agencies (such as County Health Department, the County Department of Aging, and the County Behavioral Health Services)
- Economic Development and employment organizations, and
- Community and neighborhood groups.

The County sent email announcements and made phone calls to representatives on the outreach list to encourage attendance at the Focus Group Meeting and collect responses to the community needs survey. The County also contacted specific agencies to obtain data in the preparation of the Consolidated Plan.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The San Joaquin Continuum of Care (SJCoC) provides leadership and effective stewardship of resources, as well as facilitates community planning, design, and implementation of programs critical to ending homelessness in San Joaquin County. Continuum of Care is a program developed by the U.S. Department of Housing and Urban Development (HUD) in 1994 to promote community-wide commitment to the goal of ending homelessness. It describes all of the services HUD believes homeless people need. The services range from outreach and engagement to emergency shelter to transitional housing to either permanent supportive housing or affordable housing. HUD wants all stakeholders in the community, including nonprofit providers, advocates, people who have experienced homelessness, local government leaders, business leaders, law enforcement, and more, working together to coordinate services, reduce duplication and bridge gaps in service.

The SJCoC believes that, in recognition of the dignity and value of *all* residents, homelessness in San Joaquin County should be rare, brief and non-recurring, accomplished through the most efficient and effective use of public resources, resulting in reduced blight and improved quality of life throughout the region. The goal of the SJCoC is to provide a comprehensive coordinated homeless housing and services delivery system. The SJCoC supports stakeholders throughout the San Joaquin County region to assist homeless persons in making the transition from homelessness to independent or supportive permanent housing, accessing education, health, and mental health services, employment training, and life skills development. They are dedicated to the development and implementation of strategies to create permanent solutions to homelessness in the community.

The County's outreach list included many homeless service agencies that participate in the Continuum of Care, and many of these agencies provided input through direct consultations, attendance at the Focus Group Meetings, and by completing the Community Needs Survey.

Additionally, during the Con Plan outreach and preparation, staff attended one of the SJCoC board meetings to ensure they were aware of the current process and funding that would be impacting the community. The SJCoC was supportive of efforts and committed to reaching out to organizations they work with to ensure a high level of coordination in combatting the needs of homeless persons in the County.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

As the Continuum of Care lead agency, staff consulted with ESG recipients in the region to discuss new ESG regulations and to plan for the allocation of ESG funds. ESG applications are accepted and the funds are allocated based on the requested amount and need. The San Joaquin County Health Care Services develops funding, policies, and procedures for the Homeless Management Information System (HMIS). Central Valley Low Income Housing Corporation (CVLIHC) serves as the administrator of the HMIS. SJC Health Care Services administers the SJCoC's programs and the ESG programs.

Additionally, members of the SJCoC board work with County staff in prioritizing goals and objectives for ESG funding for the upcoming program year. A brief overview of the Consolidated Plan process and funding allocations was made to the SJCoC board in early 2020 as well.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

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| 1 | Agency/Group/Organization | BOYS AND GIRLS CLUB OF MANTECA |
| | Agency/Group/Organization Type | Services-Children Child Welfare Agency Regional organization |
| | What section of the Plan was addressed by Consultation? | Non-Homeless Special Needs |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 2 | Agency/Group/Organization | CareLink - Community Medical Center |
| | Agency/Group/Organization Type | Services-Health Health Agency |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 3 | Agency/Group/Organization | Central Valley Association of Realtors |
| | Agency/Group/Organization Type | Housing Business Leaders |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |

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| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 4 | Agency/Group/Organization | Central Valley Low Income Housing Corporation |
| | Agency/Group/Organization Type | Housing Services-homeless SJCoC |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend the Focus Group Meetings as well as help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County. |
| 5 | Agency/Group/Organization | City of Escalon |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. They were also consulted on information on publicly-assisted housing units in their city. |

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| 6 | Agency/Group/Organization | City of Lathrop |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 7 | Agency/Group/Organization | City of Lodi, Community Development Department |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 8 | Agency/Group/Organization | City of Manteca |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 9 | Agency/Group/Organization | City of Ripon |
| | Agency/Group/Organization Type | Other government - Local |

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| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 10 | Agency/Group/Organization | City of Stockton, Housing Department |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 11 | Agency/Group/Organization | City of Tracy |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. They were also consulted on information on publicly-assisted housing units in their city. |
| 12 | Agency/Group/Organization | City of Tracy, Code Enforcement |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |

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| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 13 | Agency/Group/Organization | Dignity Alcove Veterans Housing |
| | Agency/Group/Organization Type | Housing |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homelessness Needs - Veterans |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 14 | Agency/Group/Organization | DISABILITY RESOURCE AGENCY FOR INDEPENDENT LIVING |
| | Agency/Group/Organization Type | Housing Services-Persons with Disabilities |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Non-Homeless Special Needs |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. Also contacted to inquire about needs for persons with disabilities. |
| 15 | Agency/Group/Organization | El Concilio of San Joaquin County |
| | Agency/Group/Organization Type | Other government - Federal |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |

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| 16 | Agency/Group/Organization | SECOND HARVEST FOOD BANK |
| | Agency/Group/Organization Type | Services-Health |
| | What section of the Plan was addressed by Consultation? | Homeless Needs - Families with children Non-Homeless Special Needs |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | |
| 17 | Agency/Group/Organization | GOSPEL CENTER RESCUE MISSION |
| | Agency/Group/Organization Type | Faith Based |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homeless Needs - Chronically homeless Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County. |
| 18 | Agency/Group/Organization | Housing Authority of the County of San Joaquin |
| | Agency/Group/Organization Type | Housing PHA |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Public Housing Needs Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |

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| 19 | Agency/Group/Organization | Lodi House |
| | Agency/Group/Organization Type | Housing Services-homeless |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 20 | Agency/Group/Organization | Lodi Salvation Army |
| | Agency/Group/Organization Type | Services-homeless |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 21 | Agency/Group/Organization | Lutheran Social Services of Northern California |
| | Agency/Group/Organization Type | Housing Services-Children Child Welfare Agency |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homelessness Needs - Unaccompanied youth |

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| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 22 | Agency/Group/Organization | Manteca CAPS |
| | Agency/Group/Organization Type | Services-Persons with Disabilities Regional organization |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Non-Homeless Special Needs Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 23 | Agency/Group/Organization | Manteca Gospel: Rescue Mission |
| | Agency/Group/Organization Type | Faith Based |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homeless Needs - Chronically homeless Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 24 | Agency/Group/Organization | MCHENRY HOUSE FOR THE HOMELESS |
| | Agency/Group/Organization Type | Housing |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |

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| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 25 | Agency/Group/Organization | NEW DIRECTIONS |
| | Agency/Group/Organization Type | Services-Health |
| | What section of the Plan was addressed by Consultation? | Non-Homeless Special Needs |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 27 | Agency/Group/Organization | San Joaquin County Superior Court |
| | Agency/Group/Organization Type | Other government - County |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 28 | Agency/Group/Organization | San Joaquin County Behavioral Health Services |
| | Agency/Group/Organization Type | Services-Health Health Agency Other government - County |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Non-Homeless Special Needs |

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| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 29 | Agency/Group/Organization | San Joaquin County Environmental Health Dept |
| | Agency/Group/Organization Type | Other government - County |
| | What section of the Plan was addressed by Consultation? | Non-Homeless Special Needs |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 30 | Agency/Group/Organization | San Joaquin County, Mental Health Services |
| | Agency/Group/Organization Type | Other government - County |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Non-Homeless Special Needs |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 31 | Agency/Group/Organization | San Joaquin County, Public Health Services |
| | Agency/Group/Organization Type | Other government - County |
| | What section of the Plan was addressed by Consultation? | Non-Homeless Special Needs |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |

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| 32 | Agency/Group/Organization | SAN JOAQUIN FAIR HOUSING |
| | Agency/Group/Organization Type | Service-Fair Housing Regional organization |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 33 | Agency/Group/Organization | St. Mary's Interfaith Community Services / St. Mary's Dining Room |
| | Agency/Group/Organization Type | Services-Health Faith Based |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Non-Homeless Special Needs Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | |
| 34 | Agency/Group/Organization | STAND Affordable Housing |
| | Agency/Group/Organization Type | Services-homeless |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homeless Needs - Chronically homeless |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County. |

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| 35 | Agency/Group/Organization | Stockton Emergency Food Bank |
| | Agency/Group/Organization Type | Services-Health |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homelessness Strategy |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 36 | Agency/Group/Organization | STOCKTON SHELTER FOR THE HOMELESS |
| | Agency/Group/Organization Type | Services-homeless |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 37 | Agency/Group/Organization | TRACY INTERFAITH MINISTRIES |
| | Agency/Group/Organization Type | Faith Based |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homeless Needs - Chronically homeless Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County. |

| | | |
|----|--|--|
| 38 | Agency/Group/Organization | United Way of San Joaquin County |
| | Agency/Group/Organization Type | Regional organization |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Market Analysis |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and asked to help distribute the Community Needs Survey. Representative(s) attended Focus Group Meeting and provided input to help prioritize the needs of the Urban County. |
| 39 | Agency/Group/Organization | Visionary Homebuilders of California |
| | Agency/Group/Organization Type | Housing |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |
| 40 | Agency/Group/Organization | Women's Center of San Joaquin County - Youth, Family, Seniors |
| | Agency/Group/Organization Type | Housing Services-Children Services-Elderly Persons Services-Victims of Domestic Violence Services-homeless |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Non-Homeless Special Needs |
| | How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination? | Contacted via email and phone and asked to attend and distribute information about the Focus Group Meetings as well as help distribute the Community Needs Survey. |

Identify any Agency Types not consulted and provide rationale for not consulting

The County consulted a variety of agencies serving residents of the Urban County. No agency types were specifically left out of the consultation process.

Other local/regional/state/federal planning efforts considered when preparing the Plan

| Name of Plan | Lead Organization | How do the goals of your Strategic Plan overlap with the goals of each plan? |
|--------------------------|-------------------------|---|
| Continuum of Care | Continuum of Care Board | Name of Plan: Ten-Year Plan to End Homelessness. Potential funding allocations to address homeless needs will be consistent with the Ten-Year Plan to End Homelessness. Goals & Priorities: Emergency shelter for the homeless; Permanent housing (through rental assistance, acquisition, or construction) for the homeless; and Homeless prevention. |
| BOS Goals and Priorities | County of San Joaquin | The County has attempted to align some of its Con Plan goals with those of the Board of Supervisors, which will help guide applications toward funding ability. Goals & Priorities: Support programs that deflect/divert homeless individuals from criminal justice system, and support efforts to prevent the criminalization of homelessness and solutions that provide appropriate alternatives to incarceration and punishment; Adopt policies and procedures for law enforcement officers when individuals with mental health needs are booked into the County Jail; Prioritize CDBG and HOME funds for the creation of additional permanent housing; and Continue to engage with HUD to assist with the restructuring of the SJCoC and the establishment of goals and objectives. |

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

The County conducted a public outreach and consultation at a regional level to obtain as much feedback as possible. County staff worked to involve housing, social services, and other agencies in the community engagement process, including direct solicitation for these agencies to participate in the community survey, stakeholder meetings and focus groups.

Stakeholder participants were asked questions and provided feedback on priorities, issues and solutions in relation to CDBG/HOME/ESG eligible activities such as housing, neighborhood revitalization, homeless services and low-to-moderate income household issues. The focus was to prioritize the highest needs in various categories that impacted the community and how to best alleviate these concerns/issues. These responses were very helpful for the Needs Assessment and Strategic Plan, to analyze alongside data from the census and HUD, especially for topics pertaining to housing needs, homeless needs, non-homeless special needs and services and community development needs.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

Needs Assessment / Public Hearing

As part of the Plan process, on December 18, 2020, San Joaquin County held a needs assessment meeting (public hearing). Due to COVID-19 restrictions, the meeting was held “virtually”. These discussions introduced the public to the Action Plan and solicited input on the level of need for various types of housing, community, and economic development improvements, and answered questions related to this years’ Action Plan.

Public Review of Draft Documents

The County released the Annual Action Plan on April 1, 2021 for a 30-day public review and comment period. The County made the Plan available electronically on the Department’s webpage. The County also sent the electronic version to the outreach list.

Public Hearings

The County held a second public hearing on May 4, 2021 to review the draft Annual Action Plan and review the proposed projects and programs to be included in the action plan based on anticipated funding levels. At this meeting, the Board of Supervisors also adopted the Action Plan after receiving any public comment.

In addition, each of the participating jurisdictions within the Urban County held their own public hearings prior to recommending their projects and programs for this Program year. Those hearings were as follows:

- City of Escalon: March 15, 2021
- City of Lathrop: March 11, 2021
- City of Manteca: March 2, 2021
- City of Ripon: February 11, 2021
- City of Tracy: March 16, 2021

Citizen Participation Outreach

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|------------|------------------|--------------------|--------------------------------|------------------------------|--|---------------------|
|------------|------------------|--------------------|--------------------------------|------------------------------|--|---------------------|

| | | | | | | |
|---|----------------|------------------------------|--|---|--------------------------------------|--|
| 1 | Public Meeting | Non-targeted/broad community | <p>On November 7, 2019, San Joaquin County held one Focus Group Meeting to solicit community input concerning the County's housing, economic development, infrastructure, service needs and how funds should be prioritized over the next 5 years. The County held the Focus Group Meeting at the Robert J Cabral Agricultural Center located at 2101 E. Earheart Avenue in Stockton from 10:00 am to 12:00 pm. The County publicized the Focus Group Meetings using email announcements, phone calls, and flyers (in both English and Spanish) posted</p> | <p>Participants identified the following major needs in the community:-</p> <p>HOUSING: short-term emergency housing, homeless, transitional, foster youth, affordable/low-income units, lower predevelopment costs, liens on lots, land not available and tenant based rental assistance. Operating Costs for shelters keep increasing and can't keep up with demand.</p> <p>PROGAMS: Re-entry programs, mental health services, foster youth, day centers</p> | All comments received were accepted. | |
|---|----------------|------------------------------|--|---|--------------------------------------|--|

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|------------|------------------|--------------------|---|------------------------------|--|---------------------|
| | | | and distributed in County buildings and throughout the county. Thirteen people attended the focus group discussion. The flyer was directly emailed to over 350 people/agencies. 13 individuals attended either one of the Focus Group Meetings. | and vocational training. | | |

| | | | | | | |
|---|--------------|--|--|---|-----------------------------------|--|
| 2 | Needs Survey | <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> | <p>The County conducted a Community Needs Survey to solicit input from service providers and residents in the Urban County. The survey informed respondents that the County was updating the Consolidated Plan for Federal CDBG, HOME, and ESG funds that primarily serve low- to moderate income residents and areas, and polled respondents about the level of need in the Urban County for various types of improvements that can potentially be addressed by the use of CDBG, HOME, and ESG funds, including: housing, community</p> | <p>Surveys were compiled and attached to the Consolidated Plan.</p> | <p>All surveys were accepted.</p> | |
|---|--------------|--|--|---|-----------------------------------|--|

| | | | | | | |
|--|--|--|---|--|--|--|
| | | | <p>and economic development improvements. The County distributed the survey through a number of channels in order to gather responses from a broad sample. The County distributed the survey to service providers, local stakeholders, and City and County departments in order to increase the number of responses. The County made the survey available in hard copy format, as well as electronic format. The County made the survey available online and offline in both English and Spanish. The County collected 16 survey responses, including surveys</p> | | | |
|--|--|--|---|--|--|--|

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|------------|------------------|---|---|--|--|---------------------|
| | | | collected electronically and on paper. | | | |
| 3 | Public Hearing | Non-English Speaking - Specify other language: Spanish Non-targeted/broad community | County public meeting held and noticed in the local newspaper 10 days prior to the meeting occurring. The meeting focused on the 21/22 NOFA, funds available and community needs to be addressed. meeting held December 18, 2020, | Questions from potential sub-recipients were asked and answered. | No comments were not accepted. | |

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|------------|------------------|--|---|------------------------------|--|---------------------|
| 4 | Newspaper Ad | Non-English Speaking - Specify other language: Spanish Non-targeted/broad community | 30 day comment period noticed in the local newspaper (April 1 - 30) Draft plan was also made available on the website for viewing. Comments may be submitted via email to Community Development Department. | No comments received to date | No comments received to date | |
| 5 | Public Hearing | Non-targeted/broad community | Public Hearing held on May 4, 2021 at Board of Supervisors meeting. The meeting is noticed in the local newspaper 10 days prior to meeting occurring. | No comments received to date | No comments received to date | |

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

See figures below for anticipated funding resources for CDBG, HOME and ESG Programs.

Anticipated Resources

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|-----------|---|---|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| CDBG | public - federal | Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services | 2,814,679 | 500,000 | 0 | 3,314,679 | 9,000,000 | Funding for PY 2021/2022 has been confirmed by HUD. Remaining funds for Con Plan is subject to change based on allocations and Program Income receipts. |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|-----------|---|---|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| HOME | public - federal | Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA | 1,157,748 | 2,000,000 | 0 | 3,157,748 | 7,000,000 | Funding for PY 2021/2022 has been confirmed by HUD. Remaining funds for Con Plan is subject to change based on allocations and Program Income receipts. |
| ESG | public - federal | Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing | 235,522 | 0 | 0 | 235,522 | 700,000 | Funding for PY 2021/2022 has been confirmed by HUD. Remaining funds for Con Plan is subject to change based on allocations and Program Income receipts. |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|---------------|----------------------------------|--------------------|--------------------------|-----------|---|-----------------------|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| Other | public - federal | Other | 0 | 0 | 0 | 0 | 0 | |

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Local and state budget deficits, and elimination of the state's redevelopment agencies by the Supreme Court decision, are factors that will continue to limit the County's ability to leverage projects at previous levels. However, the County continues to aggressively leverage its funding partnerships with public, private and non-profit groups. Projects and programs receiving CDBG, HOME, and ESG funds will be leveraged by other Federal and/or governmental support for their specific type of services, foundation support, and other private fundraising. Housing developments will ultimately be leveraged by low income housing tax credit proceeds, and possibly local funds.

Federal match requirements apply to the County's HOME funds. The HOME program requires that for every HOME dollar spent, the County must provide a 25 percent match with non-federal dollars. Currently, the County still maintains an excess in match requirements from previous fiscal years of over \$5 million. This excess match will fulfill the County's HOME match requirements for many years at the current level of HOME funding.

ESG grant funds must be matched with nonfederal funds or “in-kind” contributions, such as the value of a donated building, supplies and equipment, new staff services, and volunteer time.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Not applicable

Discussion

See table above

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

| Sort Order | Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | Funding | Goal Outcome Indicator |
|------------|--|------------|----------|---|-----------------|-----------------------------|--|------------------------|
| 1 | Expand Affordable Housing Opportunities | 2020 | 2024 | Affordable Housing | Urban County | Affordable Housing | HOME: \$3,041,973 CDBG: \$401,977 | |
| 2 | Homeless Prevention and Rapid Re-Housing | 2020 | 2024 | Homeless | Urban County | Homelessness | ESG: \$235,522 | |
| 3 | Infrastructure Improvements | 2020 | 2024 | Non-Housing Community Development | Urban County | Infrastructure Improvements | CDBG: \$905,292 | |
| 4 | Public Services | 2020 | 2024 | Non-Housing Community Development | Urban County | Public Service | CDBG: \$432,513 | |
| 5 | Public Facilities | 2020 | 2024 | Non-Homeless Special Needs Non-Housing Community Development | Urban County | Public Facilities | CDBG: \$1,022,631 | |

| Sort Order | Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | Funding | Goal Outcome Indicator |
|------------|---|------------|----------|---|-----------------|-----------------------------|------------------------------------|------------------------|
| 6 | Self-Sufficiency through Economic Development | 2020 | 2024 | Non-Housing Community Development | Urban County | Economic Development | \$0.00 | |
| 7 | Fair Housing | 2020 | 2024 | Non-Homeless Special Needs Fair Housing | Urban County | Fair Housing | CDBG: \$60,940 | |
| 8 | Planning and Administration | 2020 | 2024 | Planning and Administration | Urban County | Planning and Administration | CDBG: \$512,842 HOME: \$115,775 | |

Table 6 – Goals Summary

Goal Descriptions

| | | |
|---|-------------------------|---|
| 1 | Goal Name | Expand Affordable Housing Opportunities |
| | Goal Description | Expand and preserve the supply of affordable housing to create housing opportunities for low- and moderate-income households. |
| 2 | Goal Name | Homeless Prevention and Rapid Re-Housing |
| | Goal Description | |
| 3 | Goal Name | Infrastructure Improvements |
| | Goal Description | Expansion of existing facilities/infrastructure or replacement of deteriorating facilities, as well as construction of new facilities/infrastructure to increase the quality of life of benefiting residents. |

| | | |
|---|-------------------------|--|
| 4 | Goal Name | Public Services |
| | Goal Description | Continue to build the capacity of residents to empower themselves and help strengthen their community, through the funding of needed public services for seniors, youth, persons with disabilities, victims of domestic violence, and farmworkers. |
| 5 | Goal Name | Public Facilities |
| | Goal Description | Build and maintain facilities that house a range of public and social activities and services which provide opportunities for the development of human potential and enrichment as well as provide a sense of community identity. |
| 6 | Goal Name | Self-Sufficiency through Economic Development |
| | Goal Description | Increasing opportunities for businesses and low/moderate income employees within the Urban County. |
| 7 | Goal Name | Fair Housing |
| | Goal Description | Activities and programs to promote fair housing between tenants and landlords. |
| 8 | Goal Name | Planning and Administration |
| | Goal Description | Overall program administration and oversight of the CDBG program. |

Projects

AP-35 Projects – 91.220(d)

Introduction

This plan outlines the action steps that the Urban County will use to address housing and community development needs in the San Joaquin Urban County. The plan includes a listing of activities that the County will undertake during FY 2021-2022 (July 1, 2021 through June 30, 2022) that use CDBG, HOME, and ESG funds.

The County’s 2021-2022 allocation of HUD resources are: \$2,814,679 of Community Development Block Grant (CDBG) annual allocation; up to \$500,000 in CDBG Program Income; \$1,157,748 of HOME Investment Partnership (HOME); up to \$2,000,000 in HOME Program Income; and \$235,522 of Emergency Solutions Grant ESG) funds.

Projects

| # | Project Name |
|----|---|
| 1 | SJC - Mobile Farmers Market |
| 2 | SJC - Fair Housing |
| 3 | SJC - Meals on Wheels |
| 4 | SJC - Give Every Child A Chance |
| 5 | SJC - Farm to Family |
| 6 | SJC - Women's Center Youth & Family Services |
| 7 | SJC - TCC: Emergency Shelter Services |
| 8 | SJC - Tuleburg Press: the Write Place |
| 9 | SJC - Downtown Stock Alliance: Portable Bathroom / Shower |
| 10 | SJC - Chest of Hope: Victims of Crime |
| 11 | SJC - KAF Healthy Solutions |
| 12 | SJC - South Stockton Sidewalks Phase III |
| 13 | SJC - Stockton Shelter for the Homeless Men's Center |
| 14 | SJC - Gospel Center Rescue Mission Shelter Improvements |
| 15 | SJC - Stockton Shelter Improvements |
| 16 | SJC - Hope Ministries Shelter Improvements |
| 17 | SJC - STAND Acquisition / Rehabilitation Program |
| 18 | SJC - Rehabilitation Program |
| 19 | Administration and Planning |
| 20 | Lathrop - Recreational Fee Assistance Program |
| 21 | Lathrop - Removal of Architectural Barriers |

| # | Project Name |
|----|--|
| 22 | Ripon - Bethany Home Society Senior Meals Program |
| 23 | Ripon - Senior Center Improvements |
| 24 | Manteca - Youth Scholarship Program |
| 25 | Manteca - Women's Center Operations |
| 26 | Manteca - South Main Street Development |
| 27 | Tracy - Interfaith Ministries Food Program |
| 28 | Tracy - McHenry House Family Crisis Intervention Program |
| 29 | Tracy - CTCAH Homeless Program |
| 30 | Tracy - TCC Mobile Shower Program for Homeless |
| 31 | Tracy - Women's Center Youth and Family Services |
| 32 | Tracy - DARE Program |
| 33 | Tracy - Boys & Girls Club Summer Enrichment Program |
| 34 | HOME STAND (CHDO) Acquisition and Rehab |
| 35 | HOME First Time Homebuyer Program |
| 36 | HOME Tracy Housing Authority Project |
| 37 | HOME Victory Gardens Housing Project |
| 38 | HOME Irwin Village Apartments |

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The County holds an annual Notice of Funding Availability which releases the annual allocations and requests projects/proposals from those interested. Upon receipt of proposals, the County has set up a committee that ranks and rates these proposals based on the scoring criteria that was listed in the NOFA and provided to applicants. As always, funding is in high demand this year with a far greater amount being requested than available. The County attempts to prioritize this money for the highest needed projects and programs within the Urban County.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Each year the Urban County receives an annual allocation of Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), and Emergency Shelter Grant (ESG) funds from HUD. The amount that is available depends on the amount of funds appropriated by Congress. Approximately half of these funds will be passed through to the cities of Escalon, Lathrop, Manteca, Ripon, and Tracy during this Consolidated Plan period.

CDBG, HOME, and ESG funds are awarded to projects and programs on a competitive allocation basis. A Notice of Funding Availability (NOFA) is sent to jurisdictions, public agencies, affordable housing developers, community-based organizations, and interest groups active in the Urban County area. Each participating jurisdiction conducts a separate public allocation process. Projects are reviewed and funding allocations are made based on several criteria, including the project's ability to reach and serve its target population. Consideration is given to project location to ensure that funds are allocated throughout the Planning Area while services are directed to those areas and persons with the greatest need.

Underlying all expenditures is the benefit to low- and moderate-income persons, including very low- and extremely low-income persons, as defined by federal consolidated planning regulations. Assistance within the Planning Area will be targeted to populations residing in areas of lower-income and minority concentrations.

Geographic Distribution

| Target Area | Percentage of Funds |
|--------------------|----------------------------|
| Urban County | 100 |

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The Urban County as a whole is the designated "target area". This allows for funds to reach communities across the County in some capacity.

Discussion

See above

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

Lower-income households continue to pay a higher percentage of their income for housing, compared to other income groups. A large proportion of lower income renter-households also tend to experience other housing problems such as overcrowding and inadequate housing conditions. In order to help provide decent and affordable housing, and improve the social and economic status for extremely low-, very low-, low-, and moderate-income households in the County, several programs, described above, will be available during the next program year.

The Urban County plans to use CDBG and HOME funds to support a number of affordable housing activities, including an owner-occupied residential rehabilitation program, various downpayment assistance programs, and maintenance and rehabilitation of rental housing. These activities are expected to provide a 49 unit production for homeless veterans (Victory Gardens), 48 new low income apartments for seniors (Irwin Village), 5 acquisitions/rehab for low income families (STAND), 3 owner occupied rehabs, and downpayment assistance to 5 households annually.

| One Year Goals for the Number of Households to be Supported | |
|--|-----|
| Homeless | 49 |
| Non-Homeless | 13 |
| Special-Needs | 48 |
| Total | 110 |

Table 9 - One Year Goals for Affordable Housing by Support Requirement

| One Year Goals for the Number of Households Supported Through | |
|--|-----|
| Rental Assistance | 0 |
| The Production of New Units | 97 |
| Rehab of Existing Units | 3 |
| Acquisition of Existing Units | 10 |
| Total | 110 |

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

See above

AP-60 Public Housing – 91.220(h)

Introduction

See discussions below

Actions planned during the next year to address the needs to public housing

The Housing Authority plans to construct a 49-unit affordable public housing development for homeless veterans and their families (Victory Gardens). In addition to the income-based rents, wrap-around supportive services including a community center, offices for supportive services management offices, case management, and service coordination will be made available to the veterans and their families.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Residents are provided various opportunities to provide comments and get involved. Residents can serve on the Resident Advisory Board or Resident Councils and provide feedback to staff, community liaison, police liaison, or community partners. Public housing residents receive a resident newsletter and can get involved in the various programs offered by HASJC such as the Home-Ownership Program, after-school youth program, youth scholarship foundation, community centers and community partners.

The Tenant Opportunities Program initiated by HUD, expanded tenant participation in HACSJ programs. Memorandums of Understanding were entered into with the Resident Council at each facility to provide management training programs and opportunities for residents to assume management responsibilities. Beginning in 1992, the Sierra Vista Housing Resident Council received a Technical Assistance Grant from HUD for start-up activities to begin building and strengthening its capacity to improve relationships between residents and the local community. In 1994, the Public Housing Resident Councils in the Tracy and Conway Homes facilities were awarded grant funds to develop tenant opportunity programs within their respective developments. These grants have and will continue to provide leadership training, business and management skill training, and job preparation skills. HACSJ tenants have access to available CDBG funded fair housing services and other CDBG funded public services for which they qualify. The County operated first-time homebuyer assistance program is available to HACSJ tenants contemplating homeownership.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Housing Authority is not designated as troubled.

Discussion See discussions above.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The Urban County proposes the use of CDBG, ESG, Continuum of Care (CoC), and State funds to address identified needs for its homeless objectives. These funds can be used for the following activities:

- CDBG funds will be used to assist homeless, non-homeless and special needs groups in activities such as housing maintenance and rehabilitation, public facilities and improvements, and supportive services.
- ESG funds will be used to assist homeless groups in activities such as rehabilitation or remodeling of buildings, operations and maintenance of a facility, essential supportive services (i.e. case management, counseling, and childcare), homeless prevention, rapid-rehousing and grant administration.
- CoC funds will be used to provide both permanent supportive housing to homeless individuals and families with disabilities and permanent housing to homeless households, through activities including, but not limited to, rent assistance, case management, and other supportive services.
- State funds will be used to assist homeless groups in activities such as capital improvements, rental housing, operations and maintenance, street outreach, homeless management information system, rapid-rehousing and grant administration.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including: Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

In January 2019, the San Joaquin County Continuum of Care (SJCoC), along with Central Valley Low Income Housing Corporation as the HMIS Lead Agency, partnered with multiple county-based nonprofit organizations that provide emergency shelter, housing, and other support services to homeless individuals and families, as well as community volunteers, to conduct a count of both sheltered and unsheltered homeless. Public officials in Stockton, Tracy, Manteca, and Lodi, as well as private businesses and faith-based organizations, with over 400 volunteers participating in the effort to reach unsheltered people experiencing homelessness. The 2019 count is the eighth count following the inaugural count in 2005. The purpose of the count, in addition to being a HUD requirement for jurisdictions receiving CoC funds, is to provide quality information about the current size and nature of the County's homeless population. In January 2018, a point in time was conducted for the sheltered homeless as well. SJCoC member agencies will also continue to conduct routine outreach throughout the year to unsheltered homeless encampments throughout San Joaquin County, a collaborative effort that has taken place since 2014. Through the SJCoC, street outreach efforts have increased collaboration and coordination. Several outreach expansion projects focused on improving outcomes for those unsheltered individuals experiencing chronic health conditions are planned and funded for the

upcoming period.

Addressing the emergency shelter and transitional housing needs of homeless persons

Consistent with the priorities outlined in the Consolidated Plan the County will undertake or fund the following activities to address homelessness in FY 2021-22:

- ESG funds will be used to assist eight homeless shelters and one service provider with maintenance and operation expenses; two shelters with essential services; four for street outreach and one service provider for HMIS. ESG funds will also be used to assist individuals and families who are homeless or at risk of becoming homeless.
- CDBG funds will be used to provide upgrades and renovations to emergency shelter, transitional housing and permanent housing facilities, and provide food and services for homeless and low income individuals.
- Continuum of Care funds will be used to provide permanent supportive housing for persons with disabilities (individuals and families) and permanent housing for the homeless.
- State funds will be used to assist homeless groups in activities such as capital improvements, rental housing, operations and maintenance, street outreach, homeless management information system, rapid-rehousing and grant administration.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The Continuum of Care has set a goal to increase both the number and percentage of permanent supportive housing beds available to chronically homeless households. This strategy will be achieved by providing chronically homeless persons priority status when vacancies occur in existing permanent supportive housing programs; the Continuum of Care has adopted and implemented the “Orders of Priority” set forth by HUD in CPD-16-11. Efforts to coordinate funding to increase production of local homeless housing is ongoing resulting in several projects under development for the upcoming period. The SJCoC is prioritizing the development of permanent supportive housing for the chronically homeless, permanent housing for families with children, and increased units of shelter when additional resources become available.

The SJCoC Coordinated Entry system calls for all families to be assessed within 7 days of entry, and for referrals to be made to appropriate housing services based on the assessment. The SJCoC has shifted resources from transitional housing (TH) projects to rapid re-housing (RRH) projects to facilitate movement to permanent housing. SJCoC resources are coordinated with ESG RRH efforts to maximize

the number of households assisted. RRH projects also conduct direct outreach to emergency shelter providers and coordinate with street outreach teams. Standardized assessment tools identify households with the greatest need and time spent homeless.

The CoC has leveraged funding from ESG, Runaway and Homeless Youth (RHY), and the California Department of Justice to address housing and service needs of homeless youth. Currently the CoC has a 10 bed shelter for unaccompanied youth under 18 and an 8 bed transitional housing project for youth between 18 and 24. Slightly over eight percent of the population in emergency shelters not designated solely for youth are between 18 and 24. For 12 years, the CoC has allocated resources to operate a 25 unit permanent supportive housing project for qualified youth.

Agencies providing services to veterans use the “by name list” to identify which veterans might be eligible for which projects and their last known location. Further assessment is accomplished through the Coordinated Entry component of HMIS and, in some cases, by either Supportive Services for Veteran Families (SSVF) or Veterans Affairs (VA) based on discharge status and other criteria to determine eligibility for specific projects or assistance programs. The assessment process allows for direct referrals to SSVF and the VA. The San Joaquin County Office of Veterans Affairs makes direct referrals of veterans to both SSVF and HUD-VASH.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

In accordance to the Homebase Strategic Plan, the following are the general goals and objectives:

- Intervention on behalf of households who are in imminent risk of becoming homeless to prevent people from initially becoming homeless;
- Diversion from emergency shelters of working households who have reached the point of contacting shelters; and
- Rapid re-housing of working households who have become homeless.

The County’s Homeless Prevention Program targets the following populations who can reasonably be expected of becoming self-sufficient within 6 months or less:

- Households forced to vacate rental properties that enter foreclosure;
- Households at imminent risk of becoming homeless due to sudden and substantial loss in income out of the control of members of the household;
- Households in transitional housing where the household experienced a sudden and substantial

loss in income out of the control of members of the household;

- Households at imminent risk of becoming homeless due to a sudden and substantial increase in utility costs which is not due to the activity of one or more members of the household;
- Households forced to vacate rental housing condemned by local housing officials, when condemnation is not a result of the activity of one or more household members;
- Households at imminent risk of becoming homeless due to a traumatic life event, such as death of a spouse or primary care giver or recent health crisis that prevented the household from meeting its normal financial responsibilities;
- Households with at least one adult employed, at imminent risk of becoming homeless due to factors not related to activity of one or more household members; or
- Households currently living in an emergency shelter, in locations not meant for human habitation, or are fleeing domestic violence.

Discussion

See above

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

See below

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The County and participating cities have worked to address the issues surrounding barriers to affordable housing development through the Housing Element process required by the State of California. The California Department of Housing and Community Development (HCD) must review the Housing Element for compliance with State law. Among other things, each jurisdiction's Housing Element is required to identify opportunity sites with land use controls that facilitate affordable housing development. Another key component of HCD review is the extent of government policies that act as barriers to housing development, especially affordable housing development, and the jurisdiction's commitment to eliminating or mitigating the barriers.

Discussion:

See discussion above

AP-85 Other Actions – 91.220(k)

Introduction:

This section discusses the Urban County's efforts in addressing underserved needs, developing the institutional structure for delivering housing and community development activities, and expanding and preserving affordable housing opportunities.

Actions planned to address obstacles to meeting underserved needs

Based on the results of the community outreach process, the Urban County's most underserved groups are the homeless and extremely low-income residents. Generally, the lack of funding is the most critical obstacle to meeting the needs of these groups. The Urban County intends to help bridge the gap by allocating CDBG funds to homeless, homeless prevention, and public service programs that support special needs groups. CDBG funds are limited. Therefore, the Urban County will continue to rely on the existing network of public and nonprofit agencies to deliver a variety of housing and supportive services for the homeless and extremely low-income residents in the community.

Actions planned to foster and maintain affordable housing

Consistent with the strategies outlined in the 2020-2024 Consolidated Plan, the Urban County will undertake or fund the following activities to address affordable housing needs:

- Provide funds for rehabilitation of lower-income rental units.
- Provide down payment and closing cost assistance to lower-income households to purchase their first home.
- Provide owner-occupied rehabilitation loan program to lower-income homeowners.

Actions planned to reduce lead-based paint hazards

The County will continue to implement lead-based paint regulations established procedures to ensure that purchasers and tenants of all HUD-associated housing constructed prior to 1978 are notified of the hazards of lead-based paint which may exist in the housing. The regulations establish lead-based paint requirements for any HUD-funded rehabilitation activity, tenant-based rental assistance, and acquisition, leasing, support services, and operations activity.

Several agencies operating within the County, including Stockton and Lodi, are actively engaged in activities to evaluate and reduce lead-based paint hazards. The principal participants in this effort include the Housing Authority of the County of San Joaquin (HACSJ), San Joaquin County Public Health Services, the Environmental Health Department (EHD), and the Neighborhood Preservation Division.

HACSJ has an active program of evaluating and reducing lead-based paint hazards in housing units

involved in the Housing Choice Voucher Program, formerly Section 8 Rental Certificate Program.

The San Joaquin County Environmental Health Department (EHD) operates the Childhood Lead Poisoning Prevention Program for all communities. The EHD performs environmental investigations of possible lead sources at the homes of children who have blood lead levels exceeding those established by the State Department of Health Services as part of the Public Health Services Childhood Lead Poisoning Prevention Program contract. These investigations include on-site inspections, interviews with family members, X-ray Florescence (XRF) testing of paint samples or sampling of other possible lead sources such as soil, toys, food products, and hobby materials. The EHD also notifies homeowners or occupants if significant sources of lead are found, provides education, requires remediation by an approved contractor, and pursues enforcement action when necessary.

The Neighborhood Preservation Division becomes involved in lead-based paint hazard evaluation and reduction as a result of its involvement in housing rehabilitation activities. Houses to be rehabilitated are routinely tested for lead-based paint hazards and remediated, where necessary. Using guidelines similar to those employed by the HACSI, staff from the Neighborhood Preservation Division inspect units being considered for inclusion in one of their programs for the possible presence of lead-based paint and assess the need for remediation, based upon existing risk factors. Depending upon these factors and the results of the inspections, the Division's resources can be directed to the remediation of the hazard.

Actions planned to reduce the number of poverty-level families

The challenges associated with poverty—stress, strained family relationships, substandard housing, lower educational attainment, limited employment skills, unaffordable child care, and transportation difficulties – make it hard for low-income families to obtain and maintain employment, and therefore housing and basic needs.

Economic stability can have lasting and measurable benefits for both parents and children, and it has become clear to both the government and business sectors that in order to solve housing problems in San Joaquin County, the issue of jobs must be addressed. Increasing the number of people with adequately paying jobs has been approached on two parallel tracks: (1) increasing the quantity and quality of jobs through the solicitation of new businesses and the expansion of existing ones and (2) preparing lower-income individuals for employment through the development of job and life skills.

The County seeks to reduce the number of people living in poverty (extremely low-income households earning less than 30 percent of the AMI) by providing a number of programs including housing assistance, supportive services, economic development assistance, and job training opportunities. The County will continue to leverage opportunities to support funding for Community Based Development Organizations, cities, and other groups to provide training and employment opportunities to extremely low-income persons. In collaboration with the County's Workforce Investment Board (SJC WorkNet), the

County will continue to offer outreach and training to poverty level families and individuals.

The County will work with the SJC WorkNet job-training providers to ensure that low- and moderate-income persons are trained with employable skills with the potential to earn higher wages. In addition, the County's funding (through CDBG and ESG funds) of human service programs for basic needs, food distribution, and case management for homeless and those at risk of homelessness support the goals and strategies of reducing poverty.

Actions planned to develop institutional structure

During the program year, the County will continue to address gaps in the institutional structure of the Urban County jurisdictions affecting the timely and equitable distribution of funds. The primary objective in this PY will be to continue and expand the communication that is occurring among the Urban County jurisdictions and between government agencies and housing and service providers to improve access to the programs and services that are available in the county. The County will also continue to communicate and coordinate Consolidated Plan programs with the two other entitlement communities in the county: the Cities of Lodi and Stockton.

Actions planned to enhance coordination between public and private housing and social service agencies

The San Joaquin County Health Care Services Department, as administrator of the Urban County CDBG, HOME, and ESG programs, coordinates and consults with other program providers; local, state, and federal government entities; non-profit and for-profit entities; professional organizations; interest groups; and other parties interested in the implementation of Federal programs.

Discussion:

See discussions above

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

The County continues to utilize HOME funding to promote affordable housing in the County. Funds are used for First Time Homebuyer (FTHB) and Owner Occupied Rehabilitation (OOR) Programs. All Federal requirements and limits (income, affordability, values, etc.) are followed when implementing these programs.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

| | |
|--|----------|
| 1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed | 0 |
| 2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. | 0 |
| 3. The amount of surplus funds from urban renewal settlements | 0 |
| 4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan | 0 |
| 5. The amount of income from float-funded activities | 0 |
| Total Program Income: | 0 |

Other CDBG Requirements

| | |
|---|--------|
| 1. The amount of urgent need activities | 0 |
| 2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. | 80.00% |

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

Not applicable

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Pursuant to HOME Program regulations, 24 CFR Part 24 92.254(a)(5)(ii)(A)(1,2 & 3), the County shall establish recapture requirements when HOME funds are used by qualified households to acquire single-family housing to recover all or a portion of the HOME assistance to the homebuyer, if the housing does not continue to be the principle residence of the household for the duration of the period of affordability.

The County will continue to loan HOME funds to assist low- to moderate-income homebuyer applicants under its First Time Homebuyer Program. The County has elected to use the recapture option to comply with the "Period of Affordability" requirement under §92.254 of HOME rules. Enforcement of the recapture provisions shall occur through a recorded deed restriction and/or a recorded HOME Regulatory Agreement on the assisted property.

The terms of recapture are structured after the HOME Program Period of Affordability requirement and are based on the amount of HOME subsidy provided to the borrower. If the homeowner chooses to sell the home during the affordability period, the County will recapture the amount due on the loan, including all principal and interest.

The County is subject to the limitations that when the recapture provision is triggered by an voluntary or involuntary sale of the housing unit and there are no net proceeds (sales price minus loan repayment, other than HOME funds, and closing costs), or net proceeds are insufficient to repay the HOME investment due, the County can only recapture the net proceeds. In the event that net proceeds are zero (as is usually the case with foreclosure), the recapture provision still applies, but there are no funds to recapture.

When a forgivable loan is used to finance the HOME assistance to the homebuyer, the HOME balance due will be reduced by an equal amount annually during the affordability period. The HOME amount will be completely forgiven if the homebuyer remains the owner and occupant for the full affordability period.

The recaptured amount of HOME funds will be used for HOME eligible activities.

Specifically, CDBG and HOME funding will be used for the continuation of a low-income, homeowner housing rehabilitation program. The housing rehabilitation program will be funded by the County

and the cities of Escalon, Lathrop, Ripon, Tracy and Manteca.

The owner-occupant housing rehabilitation program was developed to encourage the preservation and renovation of the Urban County's housing stock. The program offers owner-occupants whose income is at or below 80 percent of the County median income, assistance up to \$150,000 in the form of a repayable 2 percent loan amortized for up to 30 years. All loans must be affordable to the occupant and are underwritten by the County.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

See discussions above.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

This plan does not include use of HOME funds to refinance existing debts for multifamily housing.

Emergency Solutions Grant (ESG) Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

The following are standard policies and procedures for evaluating individuals and families eligibility for assistance.

1. **Initial Evaluations.** The recipient or its sub-recipient must conduct an initial evaluation to determine the eligibility of each individual or family's eligibility for ESG assistance and the amount and types of assistance the individual or family needs to regain stability in permanent housing, in accordance with the centralized or coordinated assessment requirements set forth under 24 CFR §576.400(d) and the written standards established under 24 CFR §576.400(e).
2. **Determining Program Participant Eligibility.** The following criteria shall be used to determine program participant eligibility for assistance under ESG:
 - Income shall be annualized and calculated based on the standards for the Housing Choice Voucher Program (Section 8 Eligibility Standards).
 - Assessment and approval by an authorized program case manager;
 - Household income (adjusted by size) below 30% of area median income for prevention assistance;

and

- Household must either be homeless (federal definition) or at imminent risk of losing housing and show a reasonable expectation of becoming self-sufficient within three months.

Assistance will be provided to eligible households in the order in which they are interviewed. If a household is eligible and funds are available, they will receive assistance. All rapid re-housing clients must meet HUD's homeless definition (24 CFR 576.2) – person(s) staying on the streets, in a shelter, or someone exiting an institution after a stay of 90 days or less and who resided in a shelter or on the streets before the institution. All prevention clients must be able to demonstrate at least two HUD defined risk factors and that except for this program, the household will become homeless.

While the ability to sustain housing is not a threshold requirement for program eligibility, but as a program goal, it is a consideration in determining the amount and length of assistance.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The San Joaquin Continuum of Care recognizes that immediate access to emergency shelter services in an area as large as the geographic area of the Continuum is necessary and is not best served through a centralized process or Coordinated Entry. The Continuum has established a Coordinated Entry system based on assessment of need for rapid re-housing and permanent supportive housing. The SJCoC has established a “no wrong door” approach to accessing services. Access points include, but is not limited to, emergency shelters, homeless service providers, the 2-1-1 referral system, Central Valley Low Income Housing Corp., and San Joaquin Human Services Agency. All access points use a single assessment tool (VI-SPDAT) to determine the level of need. All ESG funded prevention and rapid re-housing assistance in the Continuum is coordinated through a single agency, Central Valley Low Income Housing Corporation (CVLIHC). CVLIHC has established relationships with all local shelters and service providers, conducts outreach to notify service providers of ESG program changes to eligibility standards, and the process for making referrals.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The County and the San Joaquin Continuum of Care have worked together to develop the policies and procedures that have been developed for ESG activities and to discuss the basic allocation of ESG funds. Based on the results of prior experience, there was a general conclusion that the most effective use of ESG funds would be to continue providing funds to the homeless shelters at essentially the same level as in prior years, with the remaining funding going to continue the homeless prevention and re-housing program as initially implemented in 2009.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR

576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

Homeless needs and priorities continue to be identified through the County's Continuum of Care system. The 2020 San Joaquin County Strategic Plan on Homelessness and the implementation groups formed are public and inclusive to participation of all stakeholders including homeless and formerly homeless individuals. In addition, the SJCoC consults with and engages homeless individuals to participate in the Annual Point-in-Time Count of the homeless and has at least one person with lived homeless experience on the SJCoC Board. All ESG and CoC funded projects are required to have a homeless or formerly homeless person on their board.

5. Describe performance standards for evaluating ESG.

1. Housing Stability: Seventy percent of program participant households will maintain housing stability for 6 months following the end of rental assistance.

- Measurement: Percentage of program participant households who maintain tenancy for 6 months following the end of rental assistance.

2. Recidivism: Less than thirty percent of program participant households will experience a subsequent episode of homelessness as evidenced by their return to emergency shelter.

- Measurement: Total number of adult program participants with successful exits from the program (with a successful housing outcome) that had an emergency shelter stay of at least 1 night within 6 months of exiting the program.

The County and the San Joaquin Continuum of Care will continue to discuss and examine additional, feasible performance standards. Other standards will be considered as the programs evolve.

See above.