



**SAN JOAQUIN COUNTY
COMMUNITY DEVELOPMENT DEPARTMENT**

1810 E. HAZELTON AVE., STOCKTON, CA 95205-6232
PHONE: 209/468-3121 FAX: 209/468-3163

December 3, 2018

TO: INTERESTED PARTIES

SUBJECT: 2019-2020 FEDERAL GRANT PROGRAMS NOTICE OF FUNDING
APPLICATION (NOFA)
Community Development Block Grant (CDBG), Emergency Solutions
Grant (ESG) and Home Investment Partnerships (HOME)

Each year, San Joaquin County receives an annual allocation of Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), and HOME Program funds from the U.S. Department of Housing and Urban Development (HUD) to assist low and moderate income persons, provide decent housing, a suitable living environment and/or expand economic opportunities. These funds are allocated utilizing a formula which considers population, poverty, overcrowded units, and age of housing. The County, as an "entitlement county", then allocates these program funds, to the Urban County jurisdictions consisting of the unincorporated areas of the County and the cities of Escalon, Lathrop, Manteca, Ripon and Tracy. The City of Stockton and the City of Lodi are "entitlement cities" and each receives a separate allocation from HUD. Approximately half of the County's annual allocation is allocated to the aforementioned cities, which in turn, allocate these funds to different activities to meet priority needs and the specific objectives identified in their communities. It is estimated that the Urban County's 2019-2020 allocation will be as follows:

CDBG	\$2.6 million
ESG	\$200,000
HOME	\$1.1 million

There may also be some funds from previous years' allocations that will be redistributed at this time.

The County will begin accepting applications on December 17, 2018. An application for the use of CDBG, ESG, and HOME funds can be obtained through the Internet at <http://www.sjgov.org/commdev>, under Quick Links. The application is available in WORD format. Printed applications can be obtained from the County's Community Development Department by calling (209) 468-3175.

The completed applications will be reviewed by County staff to determine whether the proposed activity meets HUD's national objectives and local County objectives.

Furthermore, the proposed activity will be reviewed for compliance with HUD program eligibility criteria. All proposals that meet these requirements, will then be reviewed by the Technical Advisory and Policy Advisory Committees for adherence to the County's 2015-2020 Consolidated Plan. These bodies will then recommend to the County Board of Supervisors which proposals should be funded. All applicants will be notified in writing of funding recommendations in February 2019. The County Board of Supervisors is scheduled to conduct a public hearing in March 2019 to consider the recommended proposals for funding of San Joaquin County's portion and formally approve them in May 2019. Funds allocated as part of this process will not be available until July 2019. Program funds are not available to individuals.

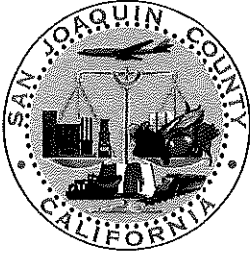
To be considered for funding, an original completed and signed application must be received at the San Joaquin County Community Development Department, located at 1810 E. Hazelton Avenue, Stockton, CA 95205, by **4:00 p.m. on January 25, 2019.** A separate application must be submitted for each proposed activity. Faxed copies of the application will **NOT** be accepted. Applications received after the deadline, regardless of the postmarked date, will **NOT** be accepted. Applications submitted without the required attachments will **NOT** be accepted.

Applicants proposing a CDBG or HOME activity located in, or providing benefit to residents of the cities of Escalon, Lathrop, Lodi, Manteca, Ripon, Tracy, or Stockton, should contact the respective city for their program applications. Each jurisdiction will have its own application process, with most of the application filing periods closing in January 2019.

A public informational meeting will be held at 1:00 p.m. on Thursday, December 13, 2018 at the San Joaquin County Community Development Department, located at 1810 E. Hazelton Avenue, Stockton. All interested parties are invited to attend and discuss local community development needs and to obtain answers to any questions pertaining to the application process. Attendance at this meeting is not mandatory to be considered for funding.

For more information or questions, please contact:

San Joaquin County
Community Development Department
Neighborhood Preservation Division
1810 E. Hazelton Avenue
Stockton, CA 95205
(209) 468-3175



SAN JOAQUIN COUNTY
Community Development Department
1810 E. Hazelton Avenue
Stockton, CA 95205

2019-2020 FEDERAL GRANT PROGRAMS APPLICATION FOR FUNDING

**COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)
EMERGENCY SOLUTIONS GRANT (ESG)
HOME INVESTMENT PARTNERSHIPS (HOME)**

APPLICATIONS ARE DUE BY

4:00 PM, Friday, January 25, 2019

Submit to:
**SAN JOAQUIN COUNTY
COMMUNITY DEVELOPMENT DEPARTMENT
Neighborhood Preservation Division
1810 E. Hazelton Avenue
Stockton, CA 95205**

Hand delivery suggested.

Faxed copies will not be accepted. Proposals received after the deadline, regardless of postmarked date, will not be accepted. Applications submitted without the required attachments will not be accepted.

GRANT APPLICATIONS CAN BE FOUND AT: www.sjgov.org/commdev

IMPORTANT HIGHLIGHTS AND CHANGES

Based on monitoring visits and conversation with the local HUD office and representatives, the County is aiming to make significant changes to its CDBG Program to better meet the needs of the community and meet the requirements set forth by HUD. These modifications will be a significant change from the status quo of years past, but will ultimately have a positive effect on the Program ensuring funding remains in place and the end users are benefited the most. The County will be holding a public meeting on December 14th to review and discuss these changes in further detail with all participating cities:

1. The CDBG application will now have the following minimum and maximum grant requests:

- Public Service: \$5,000 Minimum / No Maximum
- Public Improvement and Facilities: \$150,000 Minimum / \$2 Million Maximum

2. For those applicants using "area benefit" method to document beneficiaries, documentation will now be required to ensure the area (census tract/block group) is 51% or above the low/moderate income threshold. The following web application will allow applicants to search by address to determine eligibility. A printout of this map search is required with the application.

<http://www.arcgis.com/home/item.html?id=9642c475e56f49efb6e62f2d8a846a78>

3. Although allowed in past allocations, sidewalk improvements and handicap ramps does not meet a "presumed benefit". Area benefit will need to be documented for this type of project. See note above for documenting this benefit correctly.
4. Awarded contracts will now include a 24 month contract term to expend and produce a beneficiary to low/moderate income persons. The County must only have 1.5 times its annual allocation in unexpended funds on-hand by year end, which is a HUD requirement. The contract term will ensure agencies expend their funds in a timely and efficient manner.
5. The County will be imposing a 50% expenditure rule for each agency that has received funding. The expenditure rule will require grantees to expend and report 50% of its current or past year's allocations, prior to applying for future funds. The expenditure rule will encourage grantees to expend their funds and not "hold" them until the end of the contract term.

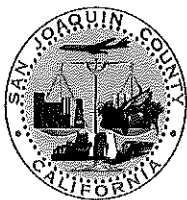
Example: XYZ Corporation has been awarded the following: \$15,000 in 17/18 and \$25,000 in 18/19. XYZ corporation will need to ensure that \$20,000 (50% of TOTAL awarded grant funds) is expended by the 19/20 NOFA deadline, which will most likely be in January 2019.

6. For many years, the County has used a formula based process to award funds to participating jurisdictions in the urban county's CDBG Program. In addition to the formula based system, the County will now begin scoring individual applications to determine funding awards. The County will still utilize a similar distribution

formula, but due to high demand of funds, this will prioritize applications that will best meet the needs and requirements of the CDBG Program

7. The Scoring Criteria for this application (CDBG only), will be as follows:

Scoring Criteria	Percentage
Expenditure Rate from previous allocations	20%
Low/Moderate Income Benefit Total and Percentage	30%
Timely Reporting to the County	10%
Readiness (Environmental completed, plans/specification complete, all project funding in place, etc.)	15%
Impact to Goals and Outcomes identified in Action/Consolidated Plan	25%



**SAN JOAQUIN COUNTY
2019-2020 FEDERAL GRANT PROGRAMS FUNDING APPLICATION
FOR
COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)
HOME INVESTMENT PARTNERSHIP (HOME)
EMERGENCY SOLUTIONS GRANT (ESG)**

SECTION I. GENERAL INFORMATION

1. Name of Entity or Organization: _____

Address: _____

City: _____ Zip Code: _____

2. Mailing Address (if different from above): _____

3. Executive Director/CEO: _____ E-mail: _____

4. Telephone Number: _____ Fax Number: _____

5. Contact Person: _____ E-mail: _____

6. Organization's Annual Financial Year: _____

7. Organization DUNS No. (**Mandatory**) _____

The Office of Management and Budget (OMB) has issued a directive that applicants applying for Federal grant funds are required to provide a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number. To request a DUNS number, which is free of charge, **call D&B at 866-705-5711.**

Applying for Funding Source:

- Check One: Community Development Block Grant (**CDBG**)
 Emergency Solutions Grant (**ESG**)
 HOME Investment Partnership (**HOME**)

Amount of Grant Funds Requested: \$ _____ Total Project Cost: _____

IMPORTANT NOTICE FOR APPLICANTS: These funds, if awarded, are **NOT** an on-going source of support. If you receive funding this year, there is no guarantee that approved projects will receive funding in subsequent years.

Title of Proposed Project: _____

Project Site Location: _____

Please indicate if your organization has submitted an application(s) to any of the following jurisdictions for the same project and the amount of funding requested:

Stockton \$ _____ Escalon \$ _____ Lathrop \$ _____ Lodi \$ _____
 Manteca \$ _____ Ripon \$ _____ Tracy \$ _____

SECTION II. PROJECT INFORMATION

Check the eligible activity that will be addressed by the proposed project/program. **Choose only ONE activity per application.**

- _____ Acquisition Only
- _____ Economic Development (*job creation/retention*)
- _____ Public Facilities and/or Public Improvements (*must be permanent improvements*)
- _____ New Construction - CBDOs Only. (*Community Based Development Organizations as defined in 24 CFR 570, Subpart C, 570.204, Paragraph (c)(1)(2) and 570.207(3)(iii).*)
- _____ Housing
- _____ Public Service (*New or increased operational costs of a service or program*) as required by 24 CFR 570.201 (e) (1)
- _____ Emergency Housing/Shelter, Homelessness Prevention, Rapid Re-housing, Street Outreach
- _____ Planning & Administration

A. PROJECT NARRATIVE

1. Project Description. Provide a concise description of the proposed project (work to be performed; project to be undertaken or services to be provided).
2. Needs Statement. Identify and document the deficiency to be addressed by the proposed project.
3. Objectives, Outcomes and Indicators. Identify how the proposed project will resolve the deficiency(s) identified in the needs statement and clearly establish measurable benchmarks and activities for success.
4. Internal Performance Measurement. Describe the system or systems that are in place or that will be utilized to determine whether or not the proposed project is achieving the established outcomes. How will you measure your successes or failures? How will you determine the overall success of the proposed project? Describe, in **quantifiable** terms.
5. Activities & Methodology. Specify tasks/activities to be undertaken to accomplish the objectives and explain how the activities will be implemented. Narrative should address only those activities necessary to implement the proposed objectives requested in this application and should establish a clear correlation between your stated objectives and the organizations program goals.

6. Schedule. Provide a realistic time frame for each identified activity with estimated completion dates.
7. Continuation Plan. Explain how the proposed project will continue after the requested funding ends. What are the proposed long term changes or benefits? Will the activity be monitored after completion?

B. PROJECT CHARACTERISTICS

1. Name and address of the project site or facility:
2. Legal property owner:
3. Is this a new program/service or an expansion of an existing program/service? Please explain.
4. Describe the geographic boundaries of the neighborhood, community, or region to be served by the project. This description should include service area boundaries if land acquisition or if structural improvements are proposed. (Attach a map).
5. Explain how this program differs from other programs providing similar services in Stockton/San Joaquin County. If this is a collaborative project, name the organizations involved and explain their involvement. Provide letters of intent from each participating agency specifying the agency's role and contribution to the project.
6. Does the proposed activity conform to the General Plan, zoning, and other regulations? Please describe all planning/predevelopment steps that have been completed to date. (e.g. architectural plans, engineering, land use approvals, permits, funding commitments, etc.)
7. Provide further information on building or property for which improvements are being proposed. Indicate whether it is owned or rented; if rented, provide conditions and terms of lease. Indicate whether property that would be renovated or purchased with CDBG or HOME funds is currently occupied for residential or commercial/industrial uses.
8. Are there environmental issues, such as flooding, hazardous materials, lead-based paint, or historic preservation that will need to be considered? If yes, please explain.

() Yes

() No

9. Fair Labor Standards Act Compliance. Any construction project over \$2,000 will require payment of prevailing wages. Did you consider paying prevailing wages when developing your project budget?

() Yes () No () Not Applicable

10. If the proposed project includes acquisition and/or rehabilitation of rental property that may require temporary, or permanent displaced tenants, this project may be subject to the Uniform Relocation Act and therefore, your budget must include the cost of relocating the displaced tenant. Did you include relocation costs when developing your project budget?

() Yes () No () Not Applicable

C. BENEFICIARY INFORMATION

Each activity must have a direct or indirect benefit to persons of low- to moderate-income. A direct beneficiary is defined as a person or family receiving a direct service (benefit) for which they are required to either complete a personal income verification form, or submit an application for the purpose of demonstrating eligibility under a particular criteria (such as income limit). An indirect (area) beneficiary is defined as a person or family who receives a service (benefit) that is equally provided to the whole community or a targeted portion of the community.

1. How does (will) your organization verify income eligibility of your clients?

Yes or No

<p>Area Benefit. Project service area has been identified and determined to be statistically low-income based on the 2010 Census. If you use this method, provide all Census Tracts and Block Groups served by your project and a calculation of the low-income percentage. Please utilize the following web application to confirm eligibility. Area benefit applicants are required to confirm block groups/census tracts are eligible. Map depicting area with low/mod % is required with this application. http://www.arcgis.com/home/item.html?id=9642c475e56f49efb6e62f2d8a846a78</p>	
<p>Self Certification. Clients independently "self-certify" on an intake form, membership form, etc. If you use this method, please attach a blank intake form.</p>	
<p>Client Document Review. Clients provide tax documents, pay stubs, etc., to verify income. Documents are reviewed by staff. If you use this method, please attach a blank worksheet.</p>	
<p>Presumed Beneficiaries. Clients served are <u>primarily and specifically</u> from one of the following groups: abused children, battered spouses, elderly persons (62 years of age or older), special needs/disabled persons, migrant farm workers, handicapped individuals, homeless persons. If you use this method, please indicate which group. *Please note sidewalks and handicap ramps do not have a presumed benefit to any group of person listed above.</p>	
<p>Economic Development Beneficiaries. Financial or Technical Assistance to Businesses. The number of full-time, part-time jobs created or retained; the number of businesses to be provided counseling or technical assistance (DUNS Number required at time of assistance). Please attach a blank worksheet.</p>	
<p>Other. Survey, other documentation (required documentation for other governmental programs, etc. Please explain.</p>	

2. Provide the number of people or households that will directly benefit from your program daily and annually. Indicate how these numbers were obtained or derived. (History of program, Census data).

3. Describe the method used to gather demographic and other statistics for reporting purposes. (Include the name of software, if applicable.)

D. DEMOGRAPHIC INFORMATION (Numbers provided should be based upon historic levels or supportable projections.)

1. Indicate the number of residents, by jurisdiction, expected to benefit from the proposed activity.

Stockton _____	Lodi _____
Unincorporated San Joaquin County _____	Manteca _____
Escalon _____	Ripon _____
Lathrop _____	Tracy _____
TOTAL _____	

2. Indicate the percentage of clients to be served by income level:

Extremely Low Income _____% (< 30% Median) Very Low Income _____% (31-50% Median) Low Income _____% (51-80% Median)

3. Indicate the percentage (%) of Clients by sex to be served: Male _____% Female _____%

4. Indicate the percentage (%) of clients to be served by age group:

0-5 _____%, 6-17 _____%, 18-61 _____%, Over 62 _____%

5. Ethnicity. Do you request information on whether your clients are of Hispanic ethnicity?

() Yes () No

6. Race. Indicate the number and percentage of the clients to be served:

	NUMBER	PERCENTAGE
American Indian or Alaska Native		
Asian		
Black or African American		
Hispanic		
Native Hawaiian or Other Pacific Islander		
White		
American Indian or Alaska Native and White		
Asian and White		
Black or African American and White		
American Indian or Alaska Native and Black or African American		
TOTALS:		

Handicapped		
Female Head of Household		

7. What is the basis for the provided demographic information?
8. If your organization does not currently obtain ethnicity and race information on the clients to be served by the proposed project, please explain how this information will be obtained to meet this requirement.

E. PERFORMANCE OUTCOME MEASUREMENT

The program performance categories listed below are required under the three Federal grant programs by the U.S. Department of Housing and Urban Development (HUD). Please check one of the boxes under the following program performance categories that apply to your proposed project.

1. Which one of the following objectives will the proposed activity address? (TIP: What is the purpose of the activity?)
- () Create a Suitable Living Environment
Relates to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment. This objective relates to activities that are intended to address a wide range of issues faced by low- and moderate-income persons, from physical problems with their environments, such as poor quality infrastructure, to social issues such as crime prevention, literacy, or elderly health services.
- () Provide Decent Housing
Covers the wide range of housing activities that are generally undertaken with HOME and CDBG funds. This objective focuses on housing activities whose purpose is to meet individual family or community housing needs.
- () Create Economic Opportunities
Activities related to economic development, commercial revitalization, or job creation.
2. Which one of the following outcomes will the proposed activity meet? (TIP: What type of change or result am I seeking?)
- () Improve Availability or Accessibility
Applies to activities that make infrastructure, public services, public facilities, housing, or shelter available or accessible to low- and moderate-income people, including persons with disabilities. Accessibility does not refer only to physical barriers, but also to making the basics of daily living available and accessible to low- and moderate-income people where they live.
- () Improve Affordability
Applies to activities that provide affordability by lowering the cost, improving the quality, or increasing the affordability of a product or service to benefit a low-income household. Activities can include affordable housing, basic infrastructure hook-ups, or services such as transportation or day care.
- () Improve Sustainability

Sustainability is specifically tied to activities that are designed for the purpose of ensuring that a particular geographic area as a whole (such as a neighborhood) becomes or remains viable by providing benefit to persons of low- and moderate-income or by removing or eliminating slums or blighted areas, through multiple activities or services that sustains communities or neighborhoods.

F. PROJECT PHASING

It is helpful to know if your project will span over multiple years, and if you intend to apply for future CDBG funds. This information is not considered a disadvantage during the review of the application.

1. Can the proposed project be divided into smaller projects, if necessary?
 Yes No
2. Is the proposed project part of a larger project involving more than one phase?
 Yes No
3. Please attach a description and map of the overall project area for environmental assessment purposes.
 Attached Previously Provided

SECTION III. ORGANIZATION INFORMATION

A. BACKGROUND

Please check all that apply:

- Non-Profit Organization Community Development Housing Organization (CHDO)
 Public Agency For-Profit Organization
 Faith-Based Organization ¹

¹Generally, a faith-based organization was founded or is inspired by faith or religion. Such organizations often choose to demonstrate that faith by carrying out one or more activities that assist persons who are less fortunate.

1. Describe the specific types of services/activities/projects that your organization provides, specifically as they relate to benefiting low and moderate income persons.

2. Longevity:

- a) Number of year's organization has been in business _____
- b) Number of year's organization has operated as a 501 (c) (3) _____
- c) Has this organization operated under another name? Yes No
 If yes, list all previous names:
- d) Number of year's organization has conducted the program for which funding is requested: _____

B. QUALIFICATIONS

1. Please describe your organization's history and experience in providing services to the community.

2. Discuss the agency's capability to develop, implement and administer the proposed project.

3. Describe the organization's outreach and service delivery methods.

SECTION IV. FUNDING NARRATIVES

1. Has your organization previously received CDBG, HOME, and/or ESG funding?
() Yes () No
 - a. If yes, when?
 - b. How much? \$ _____
 - c. Describe the specific use of that funding to date.

2. What other sources of funding are budgeted for the proposed activity? Please list all committed and proposed sources of funding for this project and indicate the status of each source. Attach copies of any commitment letters you may have.

3. Describe your organizations plan to become self-sustaining, thereby eliminating the need for future CDBG funds.

SECTION V. FINANCIAL INFORMATION

1. For CDBG and HOME applicants, provide a proposed line-item budget for this activity indicating the sources and uses of funds. The format for the budget should be four columns with the first column consisting of a line item description; the second column indicating, by line item, the proposed expense/revenue excluding proposed CDBG/HOME assistance; the third column indicating the proposed CDBG/HOME assistance in the appropriate line(s); and the fourth column totaling columns 2 and 3 and reflecting the agencies proposed fiscal year budget. (Sample line-item budget can be found on the last page of the application.)
2. Provide a copy of your organization's financial statement for the most recent completed fiscal year. Include a balance sheet and income and expenditure statement.
3. Provide a copy of letter or audit indicating review of most recent financial statement from certified and/or public accountant.
4. If non-profit, provide proof of non-profit status; copy of determination letter from State Franchise Tax Board or Federal Internal Revenue Service confirming non-profit status.

SECTION VI. AUTHORIZED SIGNATORY

I hereby certify that I have read this application and the exhibits thereto, and know the contents thereof, and that the statement therein are true, and that I have been authorized by the governing board to submit this application.

Authorized Representative Signature

Date

Printed Name and Title

SAMPLE BUDGET WORKSHEET

Note: The completed sample worksheet is intended to show the level of detail the County is seeking for the budget only and does not necessarily reflect appropriate project cost effectiveness, leveraging ratios, or other application criteria.

Applicant: VERY BEST ORGANIZATION Activity: OUTSTANDING PUBLIC SERVICE ACTIVITY	Activity Cost		
Program Implementation (Direct Program Cost)	CDBG Only	Other Sources	Total
Task 1: Develop workshop training materials	\$10,000	\$5,000	\$15,000
Task 2: Newspaper ads for workshop (12 @ \$125 ea)	\$1,000	\$500	\$1,500
Task 3: TV and radio ads (6 @ \$350 ave. ea)	\$10,000	\$1,100	\$2,100
Workshop supplies (pencils, chalk, paper supplies, pens, etc.) @ \$5/student, 300 students per year	\$0	\$1,500	\$1,500
Workshop classroom rent, including utilities: 8 hrs./mo. @ \$ 25/hr for 4 months	\$800	\$0	\$800
TOTAL Program Implementation	\$12,800	\$8,100	\$20,900
Personnel/Other Costs (Program Administration)			
Workshop Coordinator: 1,000 hours @ \$25/hr., including benefits (developing and conducting workshops	\$25,000	\$0	\$25,000
Workshop Clerical Support: 240 hours @ \$11.50/hr., no benefits (typing workshop materials, program accounting)	\$2,760	\$0	\$2,760
Workshop Manager: 48 hours @ \$55/hr., including benefits (general grant administration)	\$2,640	\$0	\$2,640
Workshop staff travel expenses, 120 miles round trip @ .30 per mile	\$360	\$0	\$360
Annual subscription to "Workshop Times" magazine	\$0	\$25	\$25
1-day seminar "Workshop Techniques for the 21st Century" in Sacramento, August 2014, for 2 staff persons @ \$500 each (includes seminar fee, travel, lodging, and meals	\$0	\$1,000	\$1,000
TOTAL Personnel/Other Costs	\$30,760	\$1,025	\$31,785
TOTAL CDBG REQUEST TOTAL ACTIVITY COST - ALL SOURCES COMBINED TOTAL	\$43,560	\$9,124	\$52,685