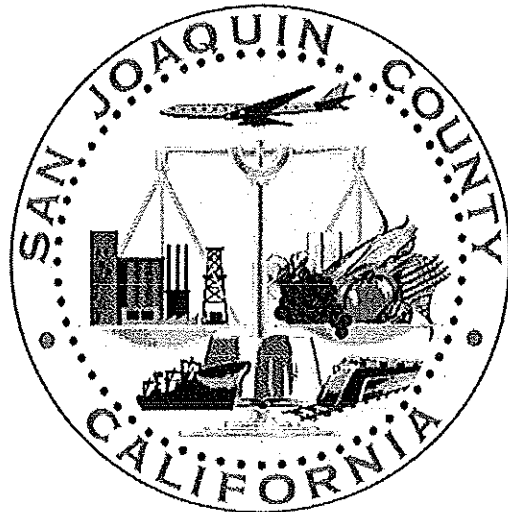


SAN JOAQUIN COUNTY

AND THE CITIES OF ESCALON, LATHROP, MANTECA, RIPON, AND TRACY



2013-2014 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)

FOR THE
COMMUNITY DEVELOPMENT BLOCK GRANT
HOME INVESTMENT PARTNERSHIP
EMERGENCY SOLUTIONS GRANT PROGRAMS

PREPARED BY SAN JOAQUIN COUNTY
COMMUNITY DEVELOPMENT DEPARTMENT
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SEPTEMBER 2014

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Fourth Program Year CAPER 2013-2014

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Executive Summary

In accordance with the federal regulations found in 24 CFR 570, San Joaquin County has prepared the Consolidated Annual Performance and Evaluation Report for the period July 1, 2013 through June 30, 2014 (FY 2013).

The 2013-2014 Consolidated Annual Performance and Evaluation Report (CAPER) covers the fourth year of the San Joaquin County 2010-2015 Consolidated Plan, a five-year planning document that is part of the application process to the U.S. Department of Housing and Urban Development formula grant programs. San Joaquin County has been a U.S. Department of Housing and Urban Development (HUD) program entitlement community since 1985. San Joaquin County is an Urban County entitlement, comprised of the unincorporated areas of the County and the cities of Escalon, Lathrop, Manteca, Ripon, and Tracy.

Each year of the five-year Consolidated Plan, an annual Action Plan describes a specific plan for the use of Community Development Block Grant (CDBG), HOME Investment Partnership Act (HOME), and Emergency Solutions Grant entitlement funds that are expected to be available during that program year. These entitlement grant funds are intended to principally benefit low- and moderate-income persons (LMI) in the San Joaquin Urban County, as well as those presumed by HUD to be principally LMI (abused children, battered spouses, elderly persons, severely disabled adults, homeless persons, illiterate adults, persons living with AIDS and migrant farm workers). The Action Plan determines goals for the number of individuals and households to be served and describes the implementation of activities and other actions to be undertaken.

This CAPER contains a summary of resources and programmatic accomplishments made during the 2013 program year (July 1, 2013 through June 30, 2014), the status of activities undertaken to implement the County's overall strategy, and an evaluation of progress made during the past year in addressing identified priority needs and objectives identified in the County's 2010-15 Consolidated Plan.

Fiscal Year 2013 Federal Funding

Table 1 below shows the federal Program Year 2013 HUD Community Planning and Development (CPD) funds that were granted to San Joaquin County:

Table 1. FY 2013 Entitlement Allocations

Community Development Block Grant (CDBG)	\$2,579,454
HOME Investment Partnerships Program (HOME)	\$736,171
Emergency Solutions Grants (ESG)	\$171,741
Total	\$3,487,366

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CDBG and HOME funds are distributed by the County to each jurisdiction within the County, using the same formula basis as HUD uses to allocate the funding to the County. Each Urban County jurisdiction conducts a public participation process within their jurisdictions to determine what local community development needs should be addressed with available resources within their communities.

JURISDICTION	CDBG ALLOCATION	HOME ALLOCATION
Escalon	\$75,000	\$7,675
Lathrop	\$84,029	\$12,036
Manteca	\$362,796	\$59,354
Ripon	\$80,776	\$12,533
Tracy	\$457,746	\$65,648
San Joaquin County	\$1,589,345	\$578,925

ESG funds are distributed to all homeless shelters responding to outreach by the County for homeless program assistance. Shelters receiving ESG funding assistance must agree to match ESG assistance with a non-federal source of funding.

CDBG, ESG, and HOME funds are designed to primarily serve the low-income community as defined by the Area Median Income (AMI) limits for San Joaquin County, determined by the U.S. Department of Housing and Urban Development (HUD). The AMI's are broken down into the following categories:

2013-2014 AMI Limits

Family Size	Very-Low			Low	Median
	31-50%	51-60%		61-80%	100%
1	\$22,050	\$26,450		\$35,300	\$58,600
2	\$25,200	\$30,250		\$40,350	
3	\$28,350	\$34,050		\$45,400	
4	\$31,500	\$37,800		\$50,400	
5	\$34,050	\$40,800		\$54,450	
6	\$36,550	\$43,900		\$58,500	
7	\$39,100	\$46,950		\$62,500	
8	\$41,600	\$49,950		\$66,550	

The above listed funding sources assisted San Joaquin Urban County in helping to bring about change by addressing challenges within our community including stabilizing the troubled housing market through acquisition, rehabilitation, down payment assistance, energy efficiency improvements, homeless prevention, and rapid re-housing opportunities, as well as essential public services for the low-income community, generally furthering the goals and specific objectives identified in San Joaquin County's 2010-2015 Consolidated Plan and 2013-14 Consolidated Annual Action Plan.

These programs have also had an impact on the local workforce and economy as they have created job opportunities for those in the construction, solar, real estate, and social service industries.

In addition to these formula based allocations, during FY 2013-14, the County expended approximately \$2,090,066 of Shelter Plus Care (SPC) funds and \$2,450,248 of Supportive Housing Program (SHP) funds. The SPC funds were used to provide permanent housing rent assistance to homeless disabled persons, while the SHP funds

provided transitional housing and supportive services to homeless families and individual. The County received these funds from HUD through a competitive process.

Also, during the reporting period the County continued to expend the one-time stimulus funds for the Neighborhood Stabilization Program (NSP-3), which ended March 2014. The County has expended 100% of program funds for the acquisition, rehabilitation and resell of 29 single-family foreclosed homes and 1 multi-family apartment complex throughout the Urban County.

On an annual basis, the San Joaquin County Public Health Department directly receives a formula based allocation from the State of California to administer the County's Housing Opportunities for Persons with AIDS (HOPWA) Program. HOPWA funds are used to provide transitional housing to San Joaquin County residents with AIDS and to pay the salary and benefits of a half-time case manager.

The Outcome Performance Measurement Framework

Before reporting on further details about the FY 2013 assessment of HUD-funded programs under the 2010-2015 Consolidated Plan, it is important to note that as of October 1, 2006, all HUD-funded activities must fit within the Outcome Performance Measurement Framework to provide standardized measurements nationwide. The framework consists of a matrix of three objectives. The objectives are Decent Housing, Suitable Living Environment, and Economic Opportunity. In addition, there are three outcomes for these objectives. These outcomes are Availability/Accessibility, Affordability, and Sustainability, as shown below:

	Outcome #1 Availability/Accessibility	Outcome #2 Affordability	Outcome #3 Sustainability
Objective #1 Decent Housing	Create decent housing with improved/new availability	Create decent housing with improved/new affordability	Create decent housing with improved/new sustainability
Objective #2 Suitable Living Environment	Enhance suitable living environment through improved/new accessibility	Enhance suitable living environment through improved/new affordability	Enhance suitable living environment through improved/new sustainability
Objective #3 Economic Opportunity	Provide economic opportunity through improved/new accessibility	Provide economic opportunity through improved/new affordability	Provide economic opportunity through improved/new sustainability

A. General Questions

1. Assessment of the One-Year Goals and Objectives

San Joaquin County has a long tradition of innovative policies and actions designed to provide a healthy environment in the urban county which supports, develops, and maintains viable communities by providing decent and affordable housing, suitable living

environments, and expanded economic opportunities for low- and moderate-income persons and special populations.

During FY 2013-14, the County continued to successfully provide affordable housing opportunities countywide, while facing a very challenging housing and economic market. Increasing land and construction costs and intense competition from private housing developers all contributed to the difficulty of creating and maintaining affordable units.

The County continued to concentrate on improving neighborhoods and increasing accessibility for persons with disabilities, as well as supporting human service agencies that provide needed public service to lower-income and special needs residents countywide.

During Fiscal Year 2013-2014, San Joaquin County administered its federal grant funds in a manner consistent with the National Objectives of the Housing and Community Development Act of 1974, as amended. One hundred percent of CDBG funds were spent on activities that benefited low- and moderate-income persons.

Income data from the year 2000 U.S. Census was used to identify areas throughout the County where at least 51 percent of the population met the HUD established moderate income criteria. These areas were identified and established as target areas. In order to maximize the benefit to low- and moderate-income persons, project activities have been and continue to be located predominately within these target areas. Exceptions to projects being located in target areas include programs that have income qualification requirements for direct benefit to participants. Another exception to the target area location is the expansion of economic opportunities, located where the greatest number of jobs can be created and made available to low- and moderate-income persons.

The County used its Fiscal Year 2013 and prior-year Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG) funds, and Neighborhood Stabilization Program funds to implement identified strategies in order to accomplish the stated goals. Strategies for meeting the goals were established in the County's 2010-2015 Consolidated Plan, which was adopted in April 2010, and the 2013-14 Consolidated Annual Action Plan. The 2010-2015 Consolidated Plan strategies pertain to the five-year period covered by the plan, and the 2013-14 Consolidated Annual Performance Evaluation Report (CAPER) is the report used to reflect the progress to date. Table 1 is a summary of the Urban County's FY 2013 accomplishments, relative to the objectives and priorities set forth in the 2010-2015 Consolidated Plan.

Table 1: Summary of Accomplishments for FY 2013

Objective	5-Year Goal	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14
Homeless Needs Objectives					
Expand the number of beds available to homeless persons for emergency shelter and transitional housing	25 beds	131	134	0	38
Maintenance and operation of homeless facilities	5,000 Persons	22,000	20,415	24,317	16,951
Owner Housing Objectives					
Provide down payment/closing cost assistance to lower-income households to purchase their first home	100 Households	51	40	13	16

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Objective	5-Year Goal	Year 1 2010-11	Year 2 2011-12	Year 3 2012-13	Year 4 2013-14
Provide an owner-occupied rehabilitation loan or Emergency Repair Grant to lower-income homeowners	100 Households	13	42	9	24
Rental Housing Objectives					
Produce housing units for renter occupancy	50 Housing Units	3	0	0	0
Produce units for renter-occupied senior housing	4 Housing Units	0	0	0	0
Acquire units for rehabilitation	50 Housing Units	25	13	0	2
Acquire rental units to maintain affordability	50 Housing Units	43	0	37	0
Special Needs Objective					
Expansion of the capacity of public service providers to provide services to lower-income youth, seniors, and the homeless	50,000 Persons	2231	8380	15,683	0
Infrastructure Objectives					
Public improvements in targeted lower-income areas that may include curbs, gutters, sidewalks; storm drainage; and sanitary sewer systems	2 Infrastructure Improvement Projects	3	6	26	25
Public Facilities Objectives					
Renovation of existing facilities to maintain or expand operational levels that may include park improvements, lighting and landscaping	5 Facilities	5	5	6	4
Renovation of recreational facilities for lower-income youth	2 Facilities	1	1	4	8
Renovation of homeless shelters to expand operational levels.	2 Facilities	1	1	5	3
Public Service Objectives					
Expansion of the capacity of public service providers to provide services, to lower-income households.	2,000 Persons	2416	694,658	438,592	0
Economic Development Objective					
Micro-Enterprise Loan Program	4 Businesses	0	0	0	0

FY 2013-14 Expenditures by Objective- All Funding Sources

Objective	Funds Expended FY 2013-14*
Homeless Needs	\$2,450,248
Owner Housing	\$446,849
Rental Housing	\$2,090,066
Special Needs	\$611,210
Infrastructure	\$1,155,663
Public Facilities	\$882,831
Public Services	\$298,270
Administration/Planning	\$408,428

*Includes prior year funds drawn for projects currently underway.

2. Program Changes

In previous years, the Housing Rehabilitation and First Time Homebuyer's programs were a high priority. However, during the past six years, the demand and eligible applicants for these programs have decreased significantly due to the decrease in the median home values and tightening of first mortgage loan underwriting standards resulting from the recession and housing crisis.

The County realized it was necessary to modify its Housing Rehabilitation and First Time Homebuyer's programs to better reflect the current needs of homeowners and homebuyers. The decrease in equity in homes and the reduction in CDBG and HOME entitlement funds, has contributed to the County's inability to provide funding for substantial rehabilitation on substandard housing. Historically, the average cost for a substantial rehabilitation has been in the range of \$130,000.

During the program year, the County continued to provide reduced housing rehabilitation loans of \$15,000 for health and safety improvements and \$15,000 down payment assistance to first-time homebuyers, which allowed the County to assist more low-income households.

3. Affirmatively Furthering Fair Housing

San Joaquin County updated its Analysis of Impediments to Fair Housing (AI) in May 2010 for the 2010-2015 Consolidated Plan. The report found that in general, discrimination based on race/ethnicity is not a significant impediment to fair housing choice in San Joaquin County.

The report identified the following as the biggest fair housing issues:

1. **Foreclosures and Predatory Lending.** Owners are not notifying tenants that homes are going through foreclosure.
2. **Landlord/Tenant Mediation.** Some property owners indicated that San Joaquin Fair Housing (SJFH) is not neutral in its mediation and is bias in favor of tenants.
3. **Education and Outreach.** The community needs better education about fair housing rights. County staff need to be provided more education on fair housing issues so that they can better respond to inquires from the public.
4. **Need for Testing.** A testing program that compares the results of paired applicants (testers) for evidence of discrimination by landlords would provide more accurate information regarding the actual extent of fair housing problems.
5. **Assistance for Persons with Disabilities.** Persons with disabilities face barriers to choice due to a lack of housing with accessibility features (e.g., few rental units have

hallways wide enough for wheelchair access).

The potential fair housing concerns, as noted in that report, as well as the County's efforts to address them are as follows:

- Improve access, through website links, to housing information on fair housing services and fair housing rights;
- Increase awareness of the rights of persons with disabilities due to physical or other conditions that create special needs;
- Access to additional landlord/tenant mediation and education

During the program year, San Joaquin Urban County allocated \$59,197 CDBG program funds through a contract with San Joaquin Fair Housing, Inc. (SJFHI), a non-profit agency, to assist households countywide with discrimination complaints, including perceived discrimination in areas of race, children, disability, marital status, ethnicity, sexual orientation, and other arbitrary discrimination. Through the contract, SJFHI provided education for fair housing compliance and outreach services on rental issues and tenant-landlord laws for citizens, organizations, and agencies. SJFHI also provided tenant-landlord mediation services to mediate differences between the parties and resolve outstanding issues. These services provide all the parties involved an awareness of their civil rights and responsibilities and prevent potential violations in the spirit and letter of the law.

Additionally, SJFHI provided information services, investigations, audits, and testing. Testing is an investigative tool through which the staff provides independent corroboration of whether illegal discrimination has occurred, and it is a method to prove or disprove an allegation of differential treatment.

In FY 2013-14, 81 households in the San Joaquin Urban County were reported to have benefited from fair housing compliance activities, where 22 of those households received direct benefits.

During the reporting period, SJFHI participated in 7 community events throughout the Urban County on Fair Housing, Affordable Housing and Tenant Rights. SJFHI conducted significant outreach by advertising in the real-estate classified section of four local newspapers; Stockton Record, Manteca Bulletin, Tracy Press, and the Lodi News Sentinel, as well as ongoing public service announcements broadcasted on two local access channels. SJFH staff disseminated fliers of printed materials on fair housing (available in six different languages) at over 30 public locations throughout the County and assisted 2,944 residents with information and referral services through their office.

SJFH continues to partner with fair housing service providers in Stanislaus and Sacramento counties, to expand available fair housing education and outreach efforts through information sharing.

The County collects and reviews data on the characteristics of program beneficiaries to determine broad benefit and to determine if there are underserved groups. The County also requires developers of assisted projects with 5 or more units, to prepare an Affirmative Marketing Plan to assure participation by all groups. In FY 2013-14, SJFHI's website had over 90,452 page views.

4. Actions Taken to Address Obstacles to Meeting Underserved Needs

A concerted effort has been made to coordinate with other County agencies and community groups in both the identification of needs and the resources available to address the needs of the underserved populations in San Joaquin County. The goal, in a time of diminishing resources, is to maximize effect through the elimination of duplication and employment of economies of scale when possible to serve the largest number of residents possible.

The primary obstacle to meeting underserved needs is the availability of funding. The availability of funding from both federal and state sources is a primary determinant in the ability of the local jurisdictions to address identified needs.

Budget problems experienced by the State of California have affected state funding programs, which has contributed to the lack of affordable housing. To address these obstacles, the County jurisdictions fund a number of projects and programs directed at meeting the underserved housing needs. Programs include allocating funds to housing developers for the development of affordable housing and supporting non-profits in their efforts to provide transitional housing and rental assistance.

The State's action in dismantling redevelopment agencies has had a negative impact on the participating cities ability to address affordable housing needs within their respective communities.

5. LEVERAGING RESOURCES

- **CDBG Program Funds**

In addition to the direct benefit provided by the use of CDBG funds, having this funding as a part of a project often leverages other sources of funding. Often times, allocation of federal funding to projects to leverage other funding require an up-front allocation. This up-front funding then encourages other funding for the project, but the leveraging of other funding is a time intensive process. Usually, the leveraged funds do not materialize for years, often times resulting in jurisdictions not meeting HUD program expenditure time constraints. This makes significant leveraging very difficult.

- **Home Program Funds**

Whenever possible and to the maximum extent feasible, San Joaquin County's HOME Program funds are leveraged. The rare times when these funds are not leveraged pertains mainly to single family rehabilitation projects undertaken and funded completely by the County.

Down payment assistance loans underwritten by the County are always secondary to primary bank loans often made at below market interest rates. The County lends potentially up to 20% of the sales price on homes to assure that buyer income levels are as low as possible. Larger projects, such as Valle del Sol, Mountainview Townhomes, Almond Terrace, Almond Court, and Stone Pine Meadows, involve either Federal or State Tax Credits or both. These projects may also involve bond financing, or some other financing vehicle. Where HOME Program funds are leveraged, they are usually invested at a 10-20% level in each project, although at times it is lower. Lately, it has become more difficult to leverage Federal funds with bank financing because of tightened underwriting criteria.

Matching funds are derived from several sources. These include California State Tax Credits on larger projects, below market interest rate loans made on projects where the County invests HOME Program funds as second position, and donated labor and funds on some non-profit projects. Matching funds are also generated via CHFA loans, tax rebates, Affordable Housing Program grants, and State Multi-Family Housing Program loans, depending on the project.

During FY 2013-14, San Joaquin County's match requirement for the HOME Program was 25%. As indicated on the HOME Match Report (Appendix B) the County's match liability for this fiscal year is \$77,376. The County has an excess match of \$5,432,152 to carry-over to the next fiscal year.

- **ESG Program Funds**

All ESG funds expended by the homeless shelter providers are required to be matched with other sources of funding. During the reporting period, the County's ESG expenditure of \$189,695 was matched 100% by the subrecipients of ESG program funds.

- **SHP and SPC Program Funds**

The Supportive Housing Program (SHP) and Shelter Plus Care (SPC) program have match requirements. For SHP the match requirements are 25% of operating expenditures and 20% of supportive services. For SPC, the match requirement is 50% of rent costs. Most of these match requirements are met with the value of supportive services provided program beneficiaries, not funded by the SHP/SPC programs and total in expenses of \$1.8 million per year.

B. Managing the Process

San Joaquin County has designated its Community Development Department (CDD), which administers the Urban County's CDBG, HOME, and ESG programs on its behalf. The CDD coordinates and consults with other program providers, local, state and federal government entities, non-profit and for-profit entities, professional organizations, interest groups, and other parties interested in the implementation of Federal programs.

The project selection process for FY 2013-14 was consistent with the County's Five-Year Plan. During the selection process, activities were evaluated based on a number of criteria including consistency with the priority needs identified in the Five-Year Plan, as well as compliance with CDBG national objectives, local objectives, and the past performance of applicants in complying with program requirements.

Throughout the reporting period administrative staff monitored projects supported with federal funds to ensure compliance with the program and comprehensive planning requirements. A more thorough discussion of the County's monitoring process is included later in the report.

The County continues to structure its grant agreements as performance-based contracts, with related reimbursement more specifically to accomplishments and where possible, focuses on outcome accomplishments rather than output accomplishments. The use of performance-based contracts provides a more accurate reflection of the number of beneficiaries directly impacted with federal program funds. This contracting method also ensures that reported accomplishments reflect unduplicated numbers.

In pursuit of increased communication and coordination, CDD Staff met and participated in periodic meetings with staff from the City of Stockton, a separate entitlement community within San Joaquin County, to coordinate the use of CDBG, HOME, and ESG funding in neighboring geographic areas; met with the Housing Authority of the County of San Joaquin staff; conducted regular meetings with Urban County jurisdiction staff; participated in the Central Valley Housing Agency consortium; and continued outreach and information sharing with other county agencies serving similar clientele; and continued to facilitate the Homeless Consortium.

1. Intergovernmental Cooperation

During 2013-14, the County and the Urban County jurisdictions of Escalon, Lathrop, Manteca, Ripon and Tracy continued to work toward greater cooperation and coordination in the implementation of Consolidated Plan programs. Meetings with all jurisdictions occurred on a periodic basis. Planning documents were developed and adopted by all jurisdictions. The County has developed a working relationship with the City of Stockton (a separate entitlement), the City of Lodi (a former member of the Urban County), and the local Housing Authority. These agencies meet periodically to discuss housing programs, neighborhood target areas and other common interests. When the County and City of Stockton share in the funding of a given project, rather than duplicate administration and management, the jurisdictions divide responsibilities when possible. When the County first implemented target area programs, they resulted in a unique private/public partnership focused on neighborhood revitalization. Surprisingly, the target area programs have resulted in increased communication and coordination beyond target area programs amongst County agencies providing similar services, where previously little existed. Even though target area programs have ended, the resulting increased communication and coordination continues and has been beneficial in implementing successful homeless count efforts and other improved implementations.

2. Coordination with Other Programs

Throughout the reporting period, the County's Community Development Department (CDD) has reviewed other available funding sources and programs that could be used to meet the needs identified in the Consolidated Plan.

The CDD also has cooperated with other organizations in their pursuit of funds to operate programs and/or provide housing to County residents. This includes providing Certificates of Consistency and letters of support for inclusion in grant applications for other funding sources. The County is assisting two local non-profit housing developers, and designated CHDO's, STAND and Visionary Homebuilders, Inc., to process major subdivision applications for larger scale affordable housing projects. The County Tax Collector entered into an agreement with STAND to acquire tax sale properties to develop affordable housing.

C. Citizen Participation

Consistent with the County's Citizen Participation Plan, a 15-day public comment period was held for review of the draft Consolidated Annual Performance and Evaluation Report (CAPER). A public notice was published in The Stockton Record on September 12, 2014 and the comment period ended September 27, 2014. A draft CAPER was prepared and made available for review on the County's website www.sjgov.org/commdev and at the County's Community Development Department during business hours. No written comments were received during the public comment period.

D. Institutional Structure

Generally, the institutional structure established to carry-out the Consolidated Plan has remained relatively unchanged since the implementation of the consolidated planning process. Each Urban County jurisdiction continues to select projects to be funded with available resources to address identified local needs. The County remains the general administrator of the Consolidated Plan. Reporting mechanisms are established with jurisdictions, agencies and organizations carrying out affordable housing and other programs. This information is disseminated, reported and shared with all interested parties.

The most significant development in this framework is the establishment of the San Joaquin County Continuum of Care for the Homeless. This is the first documented process for assisting the homeless from the street to permanent housing. Existing programs have been plugged-in, gaps identified and actions to fill these identified gaps undertaken. A working committee of representatives from various components of the Continuum of Care has developed and this group meets periodically to improve and update the Continuum.

An environment of increased communication is taking place among the Urban County jurisdictions and between government agencies, housing providers, and service providers and is helping to improve access to the programs and services that are available within the County. In addition, outreach efforts continue to improve as a result of this increased communication, which in turn has improved outreach to segments of the population that are often difficult to reach and serve, many of whom are now receiving benefits from programs.

E. Monitoring

Monitoring visits assist in determining if the subrecipient is carrying out its program and activities within the timeline denoted in the subrecipient agreement. It also ensures that the required records are maintained to demonstrate compliance with applicable regulations.

To ensure compliance with the various funding regulations and to manage effective CDBG, HOME, and ESG programs, administrative staff conduct monitoring reviews of each subrecipient every one to three years to ensure program compliance with HUD regulations. Technical assistance was conducted throughout the 2013 program year.

The County also undertakes monitoring of all subrecipients for compliance with related program guidelines, subrecipient agreement terms, timely expenditure requirements, and local community development objectives. This monitoring is conducted not only to ensure program compliance, but also to enhance communication and cooperation and to provide good data collection and reporting systems.

F. Lead-based Paint

The County complies with all federal requirements related to prevention of lead based paint poisoning as provided in the Residential Lead Based Paint Hazard Act of 1992 (Title X) and the new regulations adopted in 1999. These procedures have been adopted as

part of the Housing Rehabilitation Program guidelines and also apply to the Emergency Repair and Down Payment Assistance Programs.

The procedures regarding lead-based paint in the rehabilitation program include:

- Notification procedures for owners and occupants
- Evaluation and identification of lead hazards
- Requirements for repair, reduction or abatement of the lead hazards
- Clearance examinations

In FY 2013-14 the County inspected each residence for lead based paint prior to approving housing rehabilitation loans and to ensure the scope of work for rehabilitation would not need to change once work was underway.

All Shelter Plus Care and Supportive Housing Program recipient's housing units have undergone a housing inspection and evaluation of lead hazards. Any identified lead based paint hazards must be mitigated prior to occupancy by program participants.

G. Housing Needs

The challenges in FY 2013 continue to be the increasing cost of housing, competition for a shrinking pool of affordable units, the impact of housing costs on the most vulnerable populations, the wage gap between skilled and unskilled workers, regional employment trends, lack of public transportation options to regional employment opportunities, and the threat of displacement, due to rising rents and/or the expiration of federally subsidized housing.

The jurisdictions within the Urban County continue to take many actions that directly and substantially promote affordable housing and address the housing needs of underserved populations.

1. Affordable Housing Programs

- The Mountain House New Community includes an Affordable Housing Program which requires that 6.5% of low-density and medium-density residential lots in each neighborhood to be developed with second unit dwellings, encourages the development of affordable density bonus units as part of high-density residential development, and requires payment of an affordable housing fee. An affordable housing fee is currently being collected on all residential building permits and is the first program of this nature established in the County.
- The cities of Ripon and Escalon have established inclusionary housing programs requiring a specific percentage of all residential development to be affordable.
- Density bonus programs are underway providing incentives to developers to include affordable housing in developments.
- The Urban County jurisdictions continue to commit a major portion of CDBG and HOME funds to address affordable housing needs.
- Continued efforts in applying for additional funding for additional affordable housing production (Shelter Plus Care, Supportive Housing Program, Low Income Housing Tax Credits, Affordable Housing Program, Cal-Home, CHFA, and State HCD Migrant Farm Worker Housing Program).
- All the Urban County jurisdictions update their Housing Element, as required by the state and require jurisdictions to accommodate their Regional Housing Needs Allocation (RHNA) of housing units for all income groups.

- Implementation of zoning regulations, such as mixed-use zones, density bonuses, second unit dwellings, and Planned Development Zones, which encourage the development of affordable housing. All jurisdictions are encouraging the development of multi-family housing by assuring that an adequate supply of properly planned and zoned vacant land is available. Several jurisdictions exempt senior citizen and affordable housing projects from their growth management ordinance requirements.

2. Public Outreach

- Private/public partnerships are being established to expand the County's expertise and the availability of resources for affordable housing projects.
- Staff has participated in many local task forces and committees working with local non-profit agencies, religious organizations, for-profit businesses and citizen groups to encourage participation by these groups in programs that assist low-income persons with their housing and service needs.
- Staff has made presentations on program offerings at local housing fairs, San Francisco Federal Reserve sponsored workshops, Board of Realtors meetings, Rental Property Owner Association events, at Community Centers and a local Non-Profit Executive Director seminar.
- The County, in conjunction with the City of Stockton, provided funding to a local non-profit to provide foreclosure counseling and to interact with lenders on behalf of homeowners facing foreclosure.

3. Farmworker Housing

- The County amended its Development Title to permit the owners of agricultural zoned lands to develop up to 12 units of agricultural worker housing. This change will allow farmers who are inclined to provide agricultural worker housing a timelier, simplified and less expensive ministerial process for the development of that housing.

H. Specific Housing Objectives

In FY 2013-14, the County was consistent with its three goals to promote affordable housing opportunities; 1) Preserve existing affordable housing, 2) Expand affordable housing opportunities, and 3) Address special needs housing. The 2013-14 Consolidated Annual Action Plan identified various housing and community development strategies to meet local needs. The Urban County utilized its 2013-14 and prior year funds from CDBG and HOME program to support meeting the Consolidated Plan objectives of providing increased affordable housing opportunities to low-income persons. Downpayment assistance programs were allocated 38% of the HOME allocation; existing affordable housing stock was maintained with 30% of the HOME allocation and 10% of the CDBG allocation.

1. Housing Rehabilitation Program

The County, through its Community Development Department's Neighborhood Preservation Division, has continued to emphasize the importance of preserving the existing affordable housing stock and has developed an array of program options to help very-, low- and moderate-income households rehabilitate and preserve their homes. The Neighborhood Preservation Rehabilitation Specialist helps client's assess their level of need from major to minor home repairs and refers them to the appropriate program services.

The primary objective of the Housing Rehabilitation Program is to provide low-interest rate loans to low- and moderate income homeowners for the purpose of bringing their residence up to minimum housing standards. Typically, at least 80% of a rehabilitation project is focused on building code and property hazards, such as correcting faulty electrical wiring, substandard plumbing, failed septic system or HVAC, weatherization, or a leaking roof cover. The other 20% may be used on non-luxury items to improve the function, convenience and/or comfort of a home, such as new carpets or other flooring, a dishwasher, and kitchen and/or bathroom upgrades.

The rehabilitation program has been successful but, changes in the marketplace are impacting our ability to assist homeowners. The significant decrease in property values resulting from the economic downturn has had a significant impact on the County's ability to perform substantial rehabilitation on substandard housing. Because of this, many rehabilitation projects became less substantial, addressing only critical deficiencies.

During the 2013 program year, the Urban County continued efforts to rehabilitate, provide accessibility, and improve the energy efficiency of owner-occupied single family dwelling units. The County expended \$177,694 HOME program funds and \$18,975 CDBG program funds in the rehabilitation of two (2) low-income owner-occupied single family units and four (4) HOME-assisted low-income rental units.

The City of Manteca expended \$15,096 CDBG program funds for an emergency repair grant to rehabilitate a roof covering on a very-low income homeowner-occupied single family unit and \$119,088 of prior year HOME funds, supplemented with 2013 HOME program funds in the rehabilitation of six (6) low-income owner-occupied single family units.

The City of Lathrop utilized \$59,139 CDBG program funds for emergency repair grants in the rehabilitation of three (3) low-income owner-occupied single family units.

The City of Tracy utilized \$556,113 HOME program funds in the rehabilitation of three (3) emergency shelters.

2. First Time Homebuyer Program

The first time homebuyer's program provides homeownership opportunities to low-income homebuyers through grants and loans. Funded by HUD's HOME and NSP program funds, San Joaquin County and the City of Manteca funded a homebuyer's assistance program, known as the GAP Loan Program. The GAP loans are deferred second mortgages provided to bridge the gap between the home sale price and what a low-income household can afford.

HOME funds were provided for GAP loans in FY 2013-14 by the following jurisdictions: The County expended \$52,000 for four (4) loans; City of Escalon expended \$30,000 for one (1) loan; City of Tracy expended \$15,000 for one (1) loan; and Manteca expended \$41,000 for two (2) loans, all to qualified low-income homebuyers.

During FY 2013-14, the county using NSP funding, provided 8 deferred second mortgage loans for the purchase of federally funded homes by eligible homebuyers.

Since the inception of the County's down payment assistant program in 1994, the program has evolved into a highly successful first-time homebuyers program. FY 2013-14 marks the 20th successful year in providing down payment assistance to low-income homebuyers for a total of 474 GAP loan and funding in the amount of \$10,704,929.

3. Land Acquisition/New Construction

The County provides HOME funds, on a competitive basis, to non-profits and for-profits, to acquire land to construct housing for low-income homebuyers. During the program year 2013-14, no HOME funds were allocated to land acquisition for new construction.

I. Public Housing Strategy

The Housing Authority of the County of San Joaquin (HACSJ), established by state legislation, plays a significant role in providing decent, safe, affordable housing and support services to lower-income households, elderly, and disabled persons of San Joaquin County since 1942. The Housing Authority manages, maintains, and improves public housing, provides supportive services, and offers opportunities for economic advancement to public housing residents.

The Housing Authority maintains programs to assist the low- to moderate income community with their housing costs. These Assisted Housing Programs consist of the Housing Choice Voucher Program (HCVP) and Public Housing Program.

1. Housing Choice Voucher Program

The Housing Choice Voucher Program provides rent subsidies in the form of housing assistance payments to private landlords on behalf of eligible families. The Housing Choice Voucher Program, funded by the U.S. Department of Housing and Urban Development (HUD), provides housing assistance to extremely low and very low-income families, senior citizens, and disabled or handicapped persons.

2. Public Housing

Public Housing is a program that provides rental assistance to low-income residents of the San Joaquin area, available to those income eligible households who qualify. The Housing Authority uses income limits developed by HUD. HUD sets the lower income limits at 80% and very low income limits at 50% of the median income for the County. The Housing Authority manages and maintains 1,075 units in a mixture of single-family and multi-family buildings, which are located throughout San Joaquin County.

J. Barriers to Affordable Housing

The ability to create affordable housing remains a challenge, as the housing market continues to retract as a result of the recession. Housing construction has slowed; lender underwriting criteria has tightened; high number of foreclosures in San Joaquin County; and the pool of borrowers eligible for financing have decreased leaving most sales as investor purchases.

To continue to address the negative impacts of foreclosures, HUD awarded San Joaquin County Neighborhood Stabilization Program funds for the second time. In 2011 the County received \$4,398,543 of NSP3 funding, to be patterned after the County's successful NSP1 program; 60% of the allocation to fund the purchase, remodel and resale of foreclosed single family properties, 25% to purchase, remodel and rent a multi-family housing unit to very low-income households; 5% to establish a land bank, and 10% to administer the program.

The Urban County jurisdictions are also continuing to work to eliminate barriers to affordable housing. The actions identified in the County's Consolidated Plan continue to be implemented. These actions include the implementation of zoning regulations, such as mixed-use zones; density bonuses; second dwellings; and Planned Development Zones, which encourage the development of affordable housing. All jurisdictions are encouraging the development of multi-family housing by assuring that an adequate supply of properly planned and zoned vacant land is available. Several jurisdictions exempt senior citizen and affordable housing projects from their growth management ordinance requirements.

1. Neighborhood Stabilization Program (NSP)

The Housing and Economic Recovery Act of 2008 included a program called the Neighborhood Stabilization Program (NSP1). In March 2009, San Joaquin County and the Urban County jurisdictions of Escalon, Lathrop, Lodi, Manteca, Ripon and Tracy received \$9,030,385 of NSP funding as a result of HUD's allocation methodology, which was weighted to benefit communities with the greatest exposure to sub prime loans and hit hardest by residential foreclosures. The intent of the program is to stabilize neighborhoods hit hardest by the foreclosure crisis and to provide an economic stimulus to the local economy.

The County's NSP1 program was kicked off in April 2009 with four (4) local non-profit housing development organizations to implement the program in neighborhoods that had been identified by the County and participating jurisdictions as hard hit by the foreclosure crisis. By the end of June 2014, the County had expended approximately \$18.7 million of NSP1 funding (the original NSP allocation supplemented by program income) acquiring eighty-three (83) foreclosed single-family residential properties. All eighty-three (83) of the single family units have been sold to qualified households.

In March 2011, San Joaquin County and the Urban County jurisdictions received \$4,398,543 of NSP3 funding authorized by the Dodd-Frank Consumer Protection and Wall Street Reform Act of 2010. Due to the success of the County's NSP1 program, the County patterned its NSP3 Program after the original NSP program.

The County expended approximately \$6.7 million of NSP3 funding (the original NSP allocation supplemented by program income) to acquire and rehabilitate twenty-four (24) single-family residential properties, which all have been sold to qualifying households. To advance the County's rental property efforts, a 38-unit foreclosed apartment complex was acquired and is currently being rehabilitated into a 37-unit apartment complex for very low-income households. When completed, there will be a total of nine studio units and twenty-eight one bedroom units. Two of the units will be accessible to the mobility impaired. Site amenities include a common laundry facility, a common meeting area room, gated protection, and a security surveillance system.

K. HOME Investment Partnership Program

The County received \$736,171 from HUD for the 2013-14 HOME Program and distributed these funds under the allocation formula to the Urban County jurisdictions as follows:

San Joaquin County	\$394,882
City of Escalon	\$7,675
City of Lathrop	\$12,036
City of Manteca	\$59,354
City of Ripon	\$12,533
City of Tracy	\$65,648
Community Housing Development Organization (CHDO)	\$110,426
Urban County Administration	\$73,617

As of June 30, 2014, the end of the current annual reporting period, all of the allocated HOME resources have been obligated or expended to either specific projects or general programs, including housing rehabilitation, down-payment assistance for first-time homebuyers, rental rehabilitation, site acquisition, new construction, and administration. Forty-three percent of the 2013 HOME program funds, or \$313,404, was allocated to the housing rehabilitation program. Total project expenditure for the HOME Housing Rehabilitation Program during the reporting period was \$381,795. The County used accumulated program income from loan payoffs to supplement funding of HOME projects during 2013-14. San Joaquin County used HOME program funds to rehabilitate four (4) owner-occupied single family residences totaling \$132,487; the City of Manteca used \$72,941 to rehabilitate five (5) owner-occupied single family residence; and the City of Tracy utilized \$163,525 to rehabilitate three (3) family shelters. The County believes that the lack of interest in the rehabilitation program can be contributed to the economic downturn, which has prevented some low-income homeowners from seeking assistance with the rehabilitation of their home.

During the reporting period, the County allocated HOME program funds to a down-payment assistance program, which provides low interest, deferred second mortgages to assist low-income first-time homebuyers. Down payment assistance loans were made to four (4) low-income first-time homebuyers.

The City of Escalon authorized their full 2013 HOME allocation of \$7,675 for an owner-occupied housing rehabilitation program. During FY 2013-14, prior year HOME funds were used to provide one (1) down payment assistance loan in the amount of \$30,000 to a low-income homeowner to purchase a home.

The City of Lathrop authorized their full FY 2013 HOME program fund allocation to their Housing Rehabilitation Program, providing \$12,036 to low-income, owner-occupied homeowners to rehabilitate their home. There were no housing rehabilitation loans provided during the reporting period.

The City of Manteca allocated 100% of their HOME program funds of \$59,354 to an owner-occupied housing rehabilitation program. During FY 2013-14, five (5) rehabilitation loans were provided to qualified homeowners expending \$102,293, using prior year's HOME program funds to supplement the FY 2013-14 allocation. There were two (2) down payment assistance loans provided during the reporting period.

The City of Ripon allocated \$12,533 to an owner-occupied housing rehabilitation program. During the reporting period, there were no rehabilitation loans provided.

The City of Tracy allocated \$26,648 of their HOME program funds to the down payment assistance program and \$50,000 to the Women's Center to acquire an emergency shelter for battered women and their children. One (1) rehabilitation loan for \$9,991, utilizing prior year program funds, was provided to Coalition to Assist the Homeless Shelter for the installation of energy efficient windows. One down payment assistance loan of \$15,000 was provided to an eligible first time homebuyer.

1. Specific Housing Goals and Objectives

During FY 2013-14, the Urban County assisted 8 first-time homeowners with low interest loans and assisted another 12 owner-occupied homeowners with housing rehabilitation loans. This goal was revised during the year to reflect the actual level of activity and demand for services.

The County increased the supply of affordable housing by utilizing \$218,771 CDBG funding for the rehabilitation of single-family units. A total of 7 units were completed. Additionally, the rehabilitation of a 37 multi-family apartment complex purchased with Neighborhood Stabilization Program-3 funds is underway. These units will be made available to very low-income households.

2. HOME Affirmative Marketing

The County requires all agencies receiving HOME funds for projects with five or more HOME-assisted units, to have plans to affirmatively market housing to eligible populations. The affirmative marketing plan must include information on: 1) how the sponsor will inform the public and potential residents about fair housing laws; 2) how the sponsor will affirmatively market the units and inform persons who might not normally apply for housing through special outreach; 3) how the sponsor will document affirmative marketing efforts and evaluate their success. Housing projects must display the Equal Housing Opportunity information and logo in an area that is accessible to eligible households. In total, there are 15-assisted rental projects to date that have or will have marketing plans. The marketing plans are based on affirmative marketing and involve outreach efforts to the Housing authority and local ethnic organizations. News articles are run in local newspapers, ads are included in monthly and weekly periodicals, all designed to reach non-mainstream families and ethnic groups. Ads in Spanish and southeastern Asian languages are designed to attract interested minority families.

For smaller homeownership project involving less than four units per project, San Joaquin County is generally not involved in funding construction prior to down payment assistance. On this type of project, as well as outreach efforts for housing rehabilitation clients, affirmative marketing is accomplished by placing ads in local newspapers, both in Spanish and English, to locate prospective buyers.

3. Outreach to Minority – Women – Owned Businesses

The County has a minority outreach program for projects funded by the entitlement programs within its jurisdiction that ensures the inclusion, to the maximum extent possible, of minorities and women, and entities owned by minorities and women. Certain procedures are in place during contracting and project implementation to assure that minority business enterprises and women business enterprises are used when possible in the procurement of property and services. This includes encouragement of contracting with minority and women's businesses written into all bids packaged and published

notices soliciting contractors for work on HUD-funded community development and housing projects.

San Joaquin County maintains a list of general contractors available for County projects involving single-family dwellings. At present, the list contains 5% MBE contractors. Currently, there are no WBE contractors on the list. New contractors are attracted to the program regularly through word-of-mouth and through open solicitation by staff members. Each year, approximately 3-4 new contractors enter the program. The majority of the novice contractors usually drop out in a few months after failing to win bids because of the highly competitive nature involved in the County's bidding process. Staff works with beginning contractors to help them compete competitively with the seasoned program contractors, thereby, increasing the number of skilled contractors available to our clients. This process assures the lowest possible contract prices for homeowners, but allows only contractors with the most business sense and skill to be successful in the County housing rehabilitation program.

Minority and women subcontractors are solicited directly by general contractors for County projects. The percentage of minority business enterprise and women owned businesses subcontractors typically involved in County projects is generally higher than the 5% seen for the general contractors.

For larger projects, bids are conducted by the CHDO's and/or the developers involved in the projects. Participation by minorities in these projects is often at a higher percentage.

The County's record in the past has been adequate regarding minority business enterprises and women owned businesses. It has been difficult to attract interest from a sufficient number of minority owned businesses, particularly from women owned businesses. From time to time the County will have one (1) or two (2) on the contractor's list, but they come and go and are difficult to keep interested in the program due to the highly competitive nature of the bidding process and the vibrant local economy that allows contractors higher fees outside the County's program. This may change with less local work, due to the downturn in the housing market.

4. Program Income/Recapture Funds

Down payment assistance and rehabilitation loan payments and payoffs, construction loan payoffs, as well as payments from a joint project with the Housing Authority of the County of San Joaquin, contribute to the flow of HOME program income funding. During the reporting period, refinance opportunities for HOME borrowers declined significantly with lower home values and less equity. This has resulted in limited loan payoffs for the County. Generally, the program that generates the program income receives benefit from the program. Program income from the HOME program consists of approximately \$15,000 per month of amortized loan payments and supplemented with the occasional loan pay-off.

As required by HOME program regulations, program income is utilized for the next available project in that budgeting category and is expensed before additional funds are drawn from the HOME line of credit.

The County received a total of \$939,421.64 in CDBG and HOME program income during the FY 2013-14. Of that amount, \$394,960.13 was deposited into the CDBG Revolving Loan Fund account.

No HOME Recapture funds were received during the fiscal year. The County's Revolving Loan Fund earned \$16,059 in interest during the fiscal year. A check for that amount was issued to HUD on August 18, 2014.

The County did not receive income from float-funded activities or from the sale of properties.

5. HOME-Assisted Rental Housing Onsite Inspections

Over the years, San Joaquin County has developed fifteen (15) rental projects:

<u>PROJECT</u>	<u>UNITS INSPECTED</u>
LOEL SENIOR CENTER & GARDENS, LODI	5 UNITS
LOEL SENIOR CENTER & GARDENS, LODI	4 UNITS
HOUSING AUTHORITY	4 UNITS
MOUNTAIN VIEW TOWNHOMES, TRACY	11 UNITS
CROSSROADS PLAZA, ESCALON	1 UNIT
LODI HOTEL, LODI	11 UNITS
STONE PINE MEADOWS, TRACY	4 UNITS
UNION COURT APARTMENTS, MANTECA	3 UNITS
ALMOND TERRACE APARTMENTS, MANTECA	4 UNITS
ALMOND COURT APARTMENTS, MANTECA	4 UNITS
TRACY VILLAGE APARTMENTS, TRACY	11 UNITS
VALLE DEL SOL, STOCKTON	9 UNITS
SONKE RENTALS, RIPON	2 UNITS
VASTI RENTALS, STOCKTON	2 UNITS
CHURCH STREET TRIPLEX	3 UNITS

The rents of all the HOME-assisted units and the incomes of the respective tenants are monitored annually for compliance with HOME regulations. All HOME-assisted units are inspected every 2-3 years. The last inspection conducted in 2012 found the units to be in compliance.

L. Homeless Needs

1. Continuum of Care

San Joaquin County Community Development Department is the lead agency of a collaborative effort that has resulted in the receipt of grant funds under HUD's Continuum of Care, which is a comprehensive approach to assist individuals and families move from homelessness to self-sufficiency. San Joaquin County has received funds under the Shelter Plus Care Program (S+C) and the Supportive Housing Program (SHP). S+C is designed to provide permanent supportive housing opportunities for homeless people with disabilities, primarily those who are seriously mentally ill, have chronic alcohol and drug problems, or have HIV/AIDS. The S+C

Program is administered by Central Valley Low Income Housing Corporation. SHP specifically helps homeless people live as independently as possible by facilitating the development of housing and related supportive services for people moving from homelessness to independent living. Services typically include mental health services, substance abuse treatment, health care, educational assistance, parenting classes, and employment training. There are currently ten SHP programs underway which are being operated by Central Valley Low Income Housing, New Directions, and Lutheran Social Services.

2. Shelter Plus Care

The County administers the Shelter Plus Care (SPC) grants from HUD. The grants are:

Year Awarded	Number of Persons Served Individual/Families	Grant Amount Amount	Notes
2010	11	\$ 393,780	
2010	7	\$ 342,120	
2011	228	\$1,960,512	Renewal of 2010
2012	283	\$2,228,463	Renewal of 2011

These funds were received from HUD through a complete process and funds programs for one to five years. Funds are used to provide rent assistance to disabled homeless persons through programs operated by Central Valley Low Income Housing Corporation (CVLIHC).

The program requires that all rent assistance be matched with an equal amount of supportive services. Supportive services received by the program participants include case management, health care, mental health services, substance abuse services, HIV/AIDS services, education and job training. These programs are running concurrently and are at or near capacity. During FY 2013-14, \$2,090,066 of rent assistance was provided to an average of 301 persons per month through the Shelter Plus Care program.

The following shows a more detailed breakdown by each grant:

2010 Shelter Plus Care: This program has been operating for 39 months and provides rent assistance to an average of 11 persons per month. During the reporting period, \$80,857 was expended for rental assistance and \$5,446 for administration of the program, for a total of \$86,303.

2010 Shelter Plus Care: This program has been operating for 26 months and provides rent assistance to an average of 7 families per month. During the reporting period, \$52,169 was expended for rental assistance and \$3,940 for administration of the program, for a total of \$56,109.

2011 Shelter Plus Care: This program has been operating for 12 months and provides rent assistance to an average of 283 persons per month. During the reporting period, \$286,008 was expended for rental assistance and \$45,498 for administration of the program, for a total of \$331,506.

2011 Shelter Plus Care: This program has been operating for 10 months and provides rent assistance to an average of 283 persons per month. During the reporting period, \$1,511,861 was expended for rental assistance and \$104,287 for administration of the program, for a total of \$1,616,148.

3. Supporting Housing Program (SHP)

San Joaquin County currently oversees the implementation of eleven (11) Supportive Housing Programs (SHP). The Supportive Housing Programs promote the development of supportive housing and services that help participants transition from homelessness to independent living. Current programs are operated by New Directions, Central Valley Low Income Housing Corporation (CVLIHC), and Lutheran Social Services. The Supportive Housing programs have been funded through HUD's Homeless Assistance

Continuum of Care process. Through HUD's application process, the County has successfully secured annual funding since 1996.

The following is a brief description of grant activity:

2009 Supportive Housing Programs: Rent assistance and supportive services were provided by three subrecipients during FY 2013-14, serving an average of 37 homeless individuals and families each month. A total of \$157,602 was expended during the reporting period.

2011 Supportive Housing Programs: Rent assistance and supportive services were provided by six subrecipients during FY 2013-14, serving an average of 156 homeless individuals and families each month. A total of \$464,668 was expended during the reporting period.

2012 Supportive Housing Programs: Rent assistance and supportive services were provided by ten subrecipients during FY 2013-14, serving an average of 229 homeless individuals and families each month. A total of \$1,731,264 was expended during the reporting period.

2013 Supportive Housing Programs: Rent assistance and supportive services were provided by two subrecipients during FY 2013-14, serving an average of 57 homeless individuals and families each month. A total of \$96,714 was expended during the reporting period.

4. Specific Homeless Prevention

Ten Year Plan to End Homelessness

Representatives from the County, the City of Stockton, non-profit organizations, church groups and local businesses have been working on the development of a 10-year plan to end homelessness. The plan is in draft form and a team is meeting with the County and other cities for support of the plan.

Emergency Solution Grants (ESG)

The County received \$171,741 from HUD for the 2013-14 ESG Program and distributed these funds to eleven (11) homeless shelter and service providers. Agencies receiving ESG assistance are: Stockton Shelter for the Homeless, St. Mary's Interfaith Community Services, Women's Center Family and Youth Services, Tender Hands Safe Haven, and Gospel Center Rescue Mission, all in Stockton; Haven of Peace in French Camp; McHenry House in Tracy; Lodi House and Hope Harbor Archway Shelter in Lodi; and HOPE Ministries in Manteca. During the reporting period, \$189,695 of FY 2011-12, FY 2012-1, and FY 2013-14 ESG funding was expended; the remainder was obligated by shelter providers. Funding was used to offset shelter operation expenses and to provide essential services and homeless prevention services.

All ESG funds have been matched with private funds that were received by the individual organizations through donations and fundraising activities. The following table provides detail regarding the 2013-14 allocation and beneficiaries of the ESG program funds for the reporting period. Beneficiary details can be found in Appendix C.

**SAN JOAQUIN COUNTY EMERGENCY SOLUTIONS GRANT (ESG)
FISCAL YEAR 2013-14 ALLOCATIONS**

AGENCY	TOTAL GRANT	ESSENTIAL SERVICES	ADMIN	OPERATIONS	HMIS
Women's Center Youth and Family Services	\$17,000	\$11,636		\$5,364	
Haven of Peace	\$5,000			\$5,000	
Gospel Center Rescue Mission	\$14,000			\$14,000	
St. Mary's Interfaith Community Services	\$30,000			\$30,000	
Stockton Shelter for the Homeless	\$32,000			\$32,000	
Salvation Army	\$12,000			\$12,000	
HOPE Ministries	\$8,000			\$8,000	
Lodi House	\$10,000			\$10,000	
Central Valley Low Income Housing	\$24,998				\$24,998
McHenry House	\$8,000			\$8,000	
Tender Hands Safe Haven	\$2,500			\$2,500	
Program Admin			\$8,243		

Community Development Block Grant

In order to meet the priority of developing and sustaining suitable living environments, San Joaquin County implemented community development strategies and projects throughout the Urban County. These strategies were identified in the 2010-2015 Consolidated Plan. The 2012-13 Consolidated Annual Action Plan identified priorities for allocating assistance to improvement of community facilities and parks, infrastructure, accessibility needs, public services, and administrative and planning activities.

CDBG funds were used to benefit very-low, low- and moderate-income persons. The San Joaquin Urban County spent 100 percent of its CDBG funds to benefit low- and moderate-income individuals. No projects were initiated or completed to aid in the elimination of slum and blight or the urgent need national objective.

San Joaquin County has not changed the objectives of its CDBG program and continues to use CDBG funds for infrastructure and public facility improvements, housing development, rehabilitation, and homeownership, public services, commercial revitalization, and planning and administration activities.

The San Joaquin Urban County pursued all potential resources as indicated in the Consolidated Plan by working with developers, non-profits and other governmental agencies to leverage a variety of funds for the construction and rehabilitation of affordable housing projects and programs, opportunities for low- and moderate-income people to become homeowners, assistance to homeless persons, public service programs, job training and education, community development activities directed toward revitalizing neighborhoods and economic development. In the economic downturn we have found it very difficult to obtain other funding to complement County activities.

1. Public Facilities

The objective for funding public facilities and improvements projects is to improve the health and welfare of targeted neighborhoods and augment the availability of needed local services to low- and moderate-income persons. This is accomplished through the provision of quality public facilities, which are responsive to the unique character and differing needs of individual neighborhoods and the social service requirements of the low- and moderate-income residents therein.

The County and Urban County jurisdictions expended approximately \$1,978,494 of CDBG funds during FY 2013-14 constructing water, sewer and storm drainage systems, senior and youth center improvements, street, parking, and sidewalk improvements, and other public facility improvement projects. The use of these funds resulted in the completion of 31 public facilities and the commencement of 19 renovations/improvements to various public facilities.

Youth Center Improvements

The City of Manteca utilized \$41,556 CDBG funds to complete the replacement of the roof covering on the Boys & Girls Club of Manteca and parking lot improvements to the Give Every Child A Chance center to include van-accessible handicap parking. The City of Tracy utilized \$60,000 for the purchase and installation of basketball hoops at the Boys and Girls Club of Tracy for their after-school programs.

Homeless Shelter Improvements

McHenry House Family Shelter began upgrades to their fire alarm system and renovations to the family shelter and Emerson House Shelter completed the purchase

and installation of a 3-ton HVAC system utilizing \$109,993 of the City of Tracy's CDBG program funds.

Water, Sewer, and Storm Drainage Improvements

The County's Public Works Department expended \$121,321 CDBG program funds to continue the East Stockton Storm Drain Improvement project during the reporting period. The activity includes the construction and/or repair of driveway cross drains in identified low-moderate income areas in East Stockton, to address flooding on the roadways and on individual residential properties. Since 2010, the County has improved drainage on approximately 652 residential properties.

Sidewalk/Street Improvements

During the reporting period, the County expended \$1,018,282 CDBG program funds to complete Phase III of a multi-phase sidewalk and street improvement project located in the Town of Woodbridge. Improvements included the construction of curbs, gutters, and sidewalks to enhance disabled access and the installation of a waterline to address surface street flooding and from adjacent parcels. An additional \$137,835 of CDBG program funds was expended in Lodi for alley improvements to allow emergency vehicles better access to residential units.

The City's of Ripon expended \$16,060 to rehabilitate curbs, gutters, and sidewalks to enhance disabled access.

Senior Centers

The Lolly Hansen Senior Center in Tracy received \$157,634 CDBG program funds to complete the construction of an outdoor recreation area.

Other Public Facilities

The City of Tracy utilized \$159,111 of CDBG program funds for the purchase and installation of additional solar panels to increase the capacity at the Tracy Interfaith Ministries Food Closet.

The City of Manteca utilized \$294,537 CDBG program funds for the purchase and installation of ADA compliant entrance doors and other ADA improvements to the City Council Chambers.

2. Public Services

The objective in funding public service activities are to develop a diverse network of needed services directed toward enhancing the health, safety, and overall well-being of low- and moderate-income persons and persons with special needs, through provisions for creating, improving, and expanding quality public and private human service programs. The Urban County jurisdictions expended \$298,270 of CDBG program funds assisting approximately 301,870 individuals providing the following public service programs.

Senior Citizens/Disabled Person Service

In FY 2013-14, \$28,090 CDBG program funds were expended by the Urban County for senior services and disabled persons. The agencies reported that approximately 888 seniors and handicapped persons benefited from services. The funded activities include the Ripon Senior Center Fitness Program, San Joaquin County Human Services Agency and Bethany Homes Meals on Wheels program, and DRAIL's Home Accessibility program.

Food Bank Services

During the reporting period, \$118,051 CDBG program funds were expended by the Urban County jurisdictions for food distribution services to very-low and low-income persons. The agencies reported assisting over 365,678 eligible persons. The agencies assisted include:

- Tracy Interfaith Ministries Food Closet: Provides food and clothing to low-income residents.
- Second Harvest Food Bank: Provides food to low-income residents through the Senior Brown Bag, Food for Thought, and Food Assistance program.
- Emergency Food Bank of Stockton-Mobile Farmer's Market: Distributes fresh fruits and vegetables to low-income households through their Nutrition education workshops offered to over 60 sites throughout the Urban County.
- Emergency Food Bank of Stockton – Farm to Family: Program coordinated with the California Association of Food Bank's (CAFB), produce from available crops shipped weekly to the food bank then distributed to participating food banks for distribution to very-low and low-income persons.

Youth Services

Approximately \$45,588 of CDBG program funds were expended by the County and the cities of Lathrop, Manteca, and Tracy to provide service programs to over 8,566 low- and moderate income youth and their families.

Youth services provided during the program year include youth gang intervention services; incentive programs for students who stay in school; recreation scholarships; counseling and motivational services; foster care, summer aquatics, basketball, and after-school activities; self-esteem and youth leadership education; self reliance education programs; and mentor tutoring services. The agencies that provided these youth services include:

- SJC Department of Aging and Community Services
- Boys & Girls Clubs of Tracy
- Boys & Girls Club of Stockton
- Give Every Child a Chance
- Agape Villages Foster Agency
- Boys and Girls Club of Manteca/Lathrop

Battered and Abused Spouses Services

The City of Tracy expended \$2,890 of CDBG program funds under contract with the Women's Center Youth and Family Services, a non-profit agency, to provide shelter and related essential services to 29 battered and abused spouses and their children.

Cold Weather Emergency Shelter

In partnership, the Housing Authority of the County of San Joaquin (HACSJ), in conjunction with the Stockton Shelter for the Homeless, administers the County's CDBG funded Cold Weather Emergency Shelter program for the homeless. The mission of the Cold Weather Emergency Shelter program is to provide homeless individual in San Joaquin County with temporary emergency shelter, meals, transportation, and other supportive services. The Cold Weather Emergency Shelter program began December 1, 2013 and operated through February 28, 2014. The program served a total of 177 unduplicated individuals. The shelter, located at the Artesi III Migrant Farm Worker Camp in French Camp, utilized 21 housing units to provide shelter space for families during the winter months when the homeless shelters cannot meet the demand.

Fair Housing Services

Under contract with San Joaquin Fair Housing Inc, the San Joaquin Urban County expended \$53,133 for fair housing services throughout the County. The agency reported that 22 residents received direct benefits from their services.

O. Anti-Displacement and Relocation

No relocation activity occurred during Program Year 2013-14. Administrative staff reviews and evaluates projects prior to approval and commitment to ensure that relocation activities will not be required in carrying out projects. In instances where relocation may become an issue, staff works diligently with developers and contractors to avoid displacement of households. However, if necessary, staff will make certain that developers fully comply with all applicable Uniform Relocation Act requirements.

P. Antipoverty Strategy

During the reporting period many of the County's HUD funded projects were directed toward those households whose incomes are at or below the poverty line. It is very clear to the County that in order to solve housing problems in the community, the issue of jobs must be addressed.

By funding shelters, transitional housing and other housing programs with Emergency Solutions Grant (ESG), Supportive Housing Program (SHP), Shelter Plus Care (SPC) and Home Investment Partnership (HOME) funds; food banks and other essential public services with Community Development Block Grant (CDBG) funds, the County is addressing the basic needs of very low income persons. With these basic needs addressed, these persons are in a better position to take advantage of programs that provide job training, job searches and job placement services.

Q. Non-Homeless Special Needs

In the County's 2010-2015 Consolidated Plan the County identified several non-homeless special needs groups: Farmworkers, Senior Citizens, Frail Elderly, and Seniors with Disabilities, Persons with HIV/AIDS, and Alcohol /Other Drug Addicted Persons.

Each of these groups are served by Consolidated Plan funded programs in the form of services and housing provided by local non-profit agencies funded with Community Development Block Grant, Emergency Solutions Grant, Shelter Plus Care, and Supportive Housing Programs.

In the 2010-15 Consolidated Plan the County identified the highest priority; non-homeless special needs group as farmworkers. There are multiple programs offered in San Joaquin County to assist farmworkers.

- San Joaquin County Office of Education: Provides supplemental programs and services to children of migrant farmworkers.
- Worknet of San Joaquin County: Provides specialized job services for farmworkers. Many services are provided in Spanish.

- San Joaquin County Public Health: In addition to providing day-to-day healthcare services, Public Health has been working to implement a Personal Health Record program. The program enrolls migrant farmworkers in an electronic portable health record and provides a photo ID to assist farmworkers with their healthcare needs as they migrate throughout the State.

1. Specific HOPWA Objectives

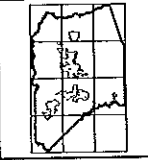
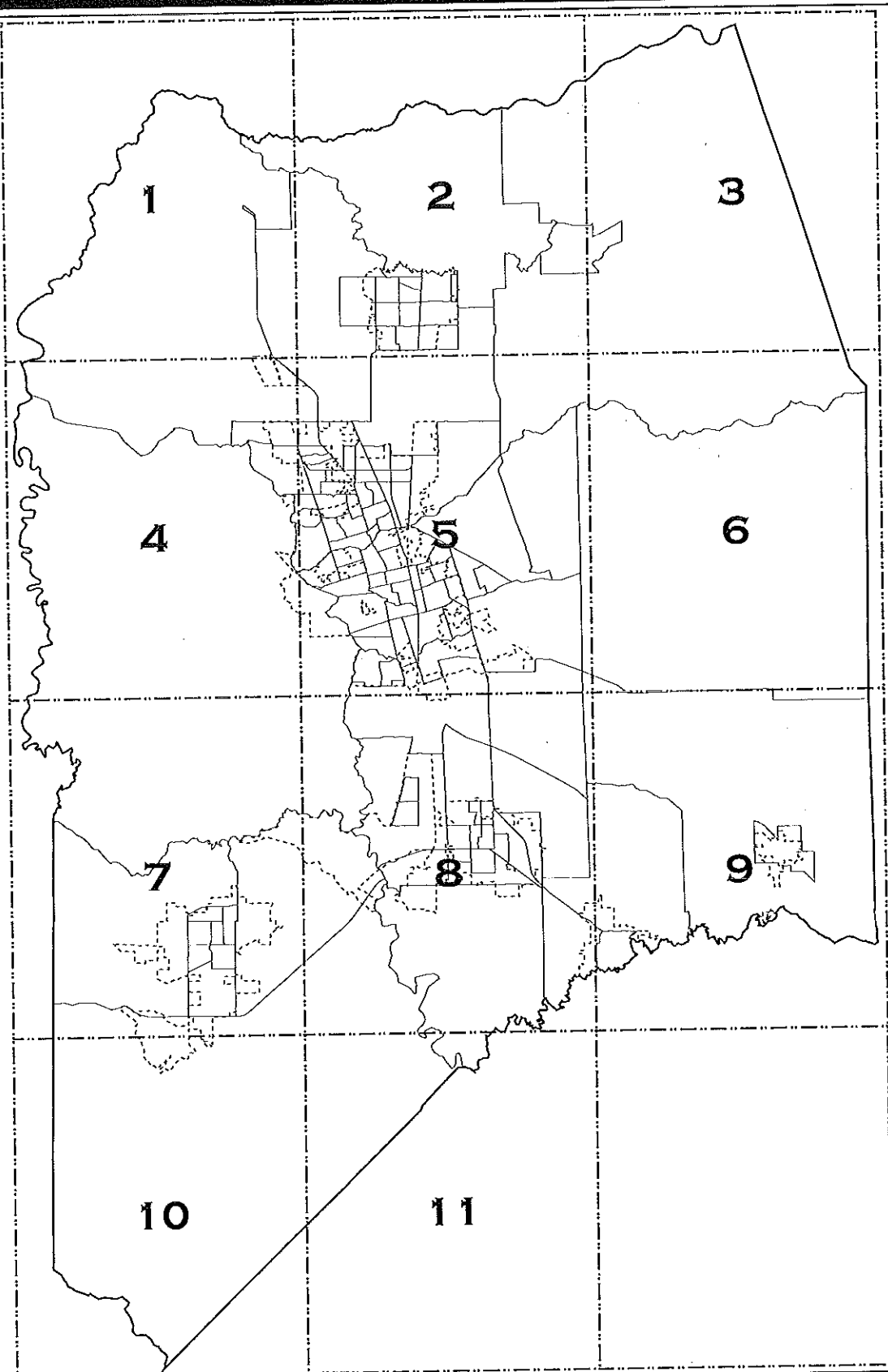
The purpose of the HOPWA Program is to plan, develop, and provide housing, rental assistance or related housing support activities for low-income resident with HIV/AIDS in San Joaquin County who are homeless or at risk of becoming homeless.

The San Joaquin County Public Health Department receives a formula based allocation from the State of California to administer the County's HOPWA program. The formula allocation to the County is based on the number of AIDS cases reported to the State Department of Health Services, Office of AIDS HIV/AIDS Case Registry. The County's HOPWA funding is allocated within the County by the HIV/AIDS Community Action Group (H/ACAG). The HOPWA allocation for program year 2013-14 was \$302,952 which some of these funds were used to offset program administrative costs. Additionally, funds were provided for short term rent payments and utilities as a preventive measure, to allow for retention of housing.

HOPWA formula funds are awarded by contract to the Stockton Shelter for the Homeless. The Shelter provides transitional housing for homeless persons and families who are infected with HIV by operating a facility serving 25 persons, and 5 condominiums housing 10 families.

APPENDIX A

MAPS

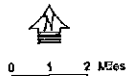


Map Grid Index

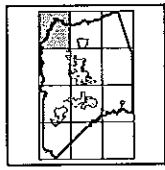
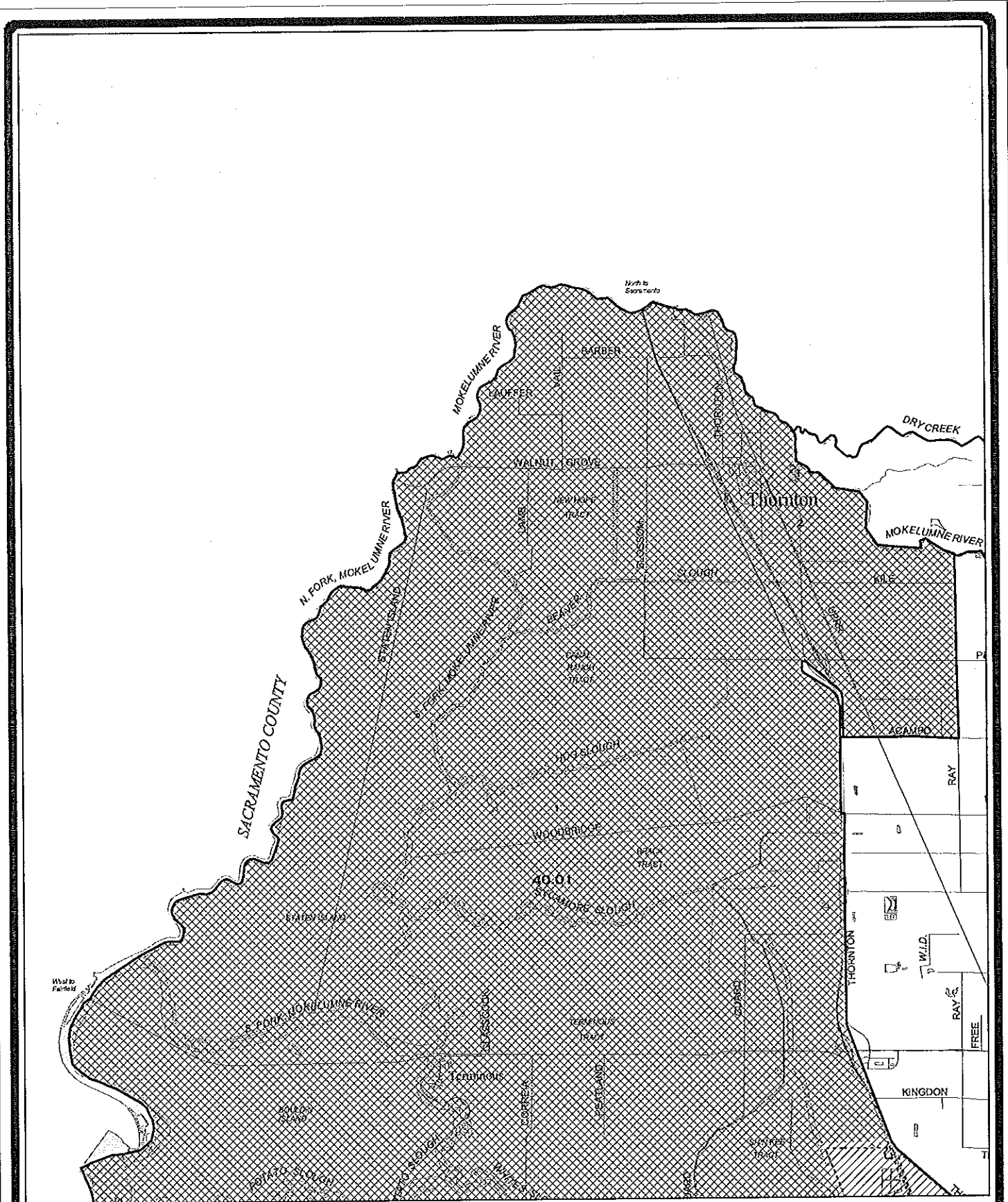
San Joaquin County Geographic Information Systems
 1810 East Hazleton Avenue, Stockton, CA 95205

The information on this map is based on the most current information available to San Joaquin County Geographic Information Systems. The County of San Joaquin does not warrant the accuracy, completeness, or suitability for any particular purpose. The user shall use this map as a general reference only. It is not intended to be used for engineering, surveying, or other professional purposes.

- LEGEND:**
- COUNTY LIMITS
 - TRACT
 - MAP GRID
 - CITY LIMITS



November 29, 2004 DB



Low-Mod & Minority Concentration

San Joaquin County Geographic Information Systems
 1610 East Hazelton Avenue, Stockton, CA 95205

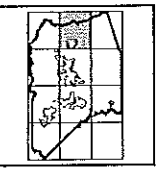
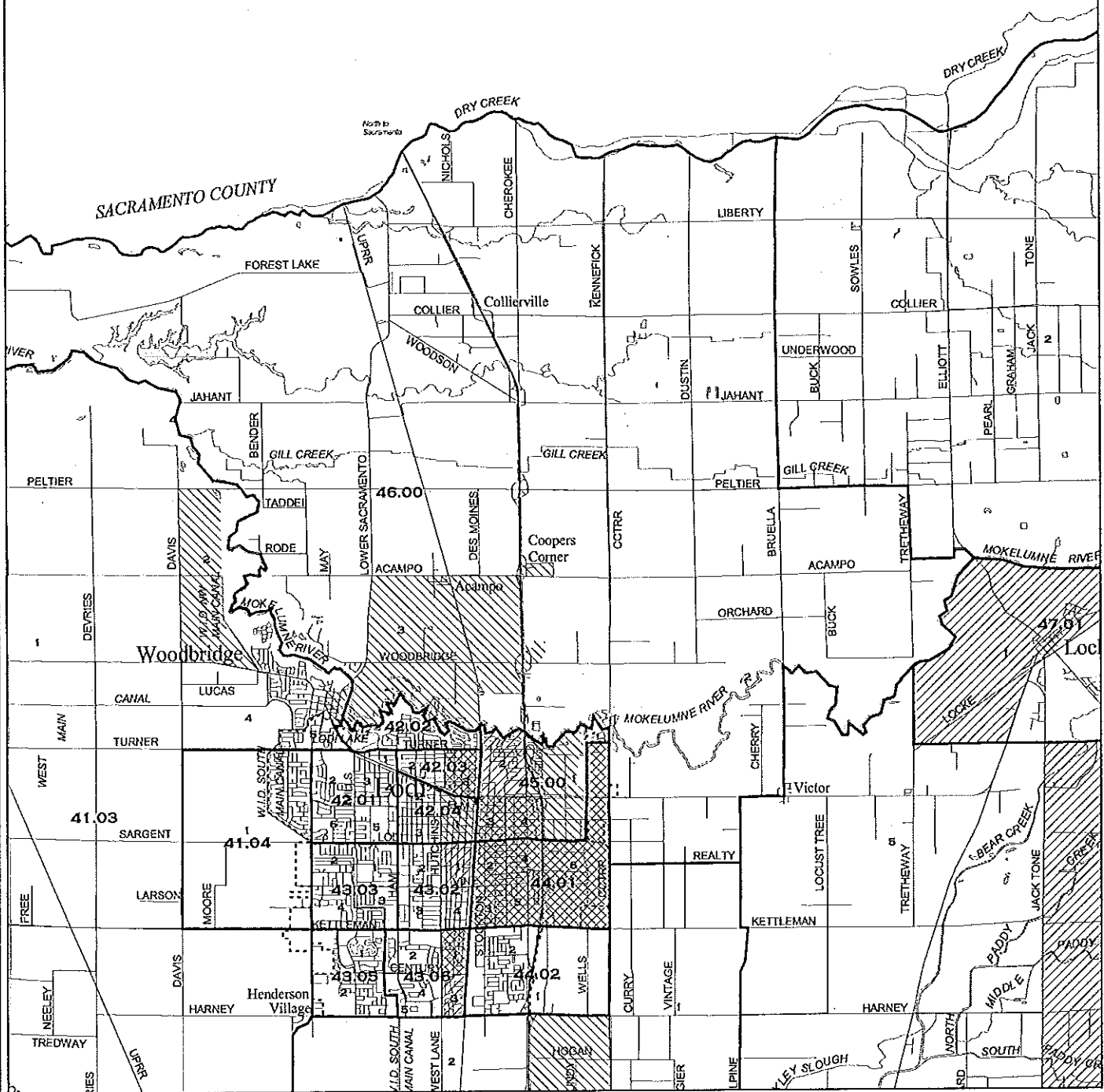
The information on this map is based on the most current information available to San Joaquin County Geographic Information Systems. The County of San Joaquin does not warrant the accuracy, completeness or suitability of any data for any specific purpose. The information on this map is not intended to replace engineering, geologic or other professional services.

LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY >= 35%
- Low-Mod >= 51%

0 0.5 1 Miles

November 23, 2004 DB



Low-Mod & Minority Concentration

San Joaquin County Geographic Information Systems
 1810 East Hazelton Avenue, Stockton, CA 95205

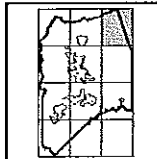
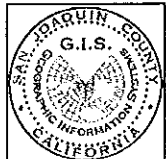
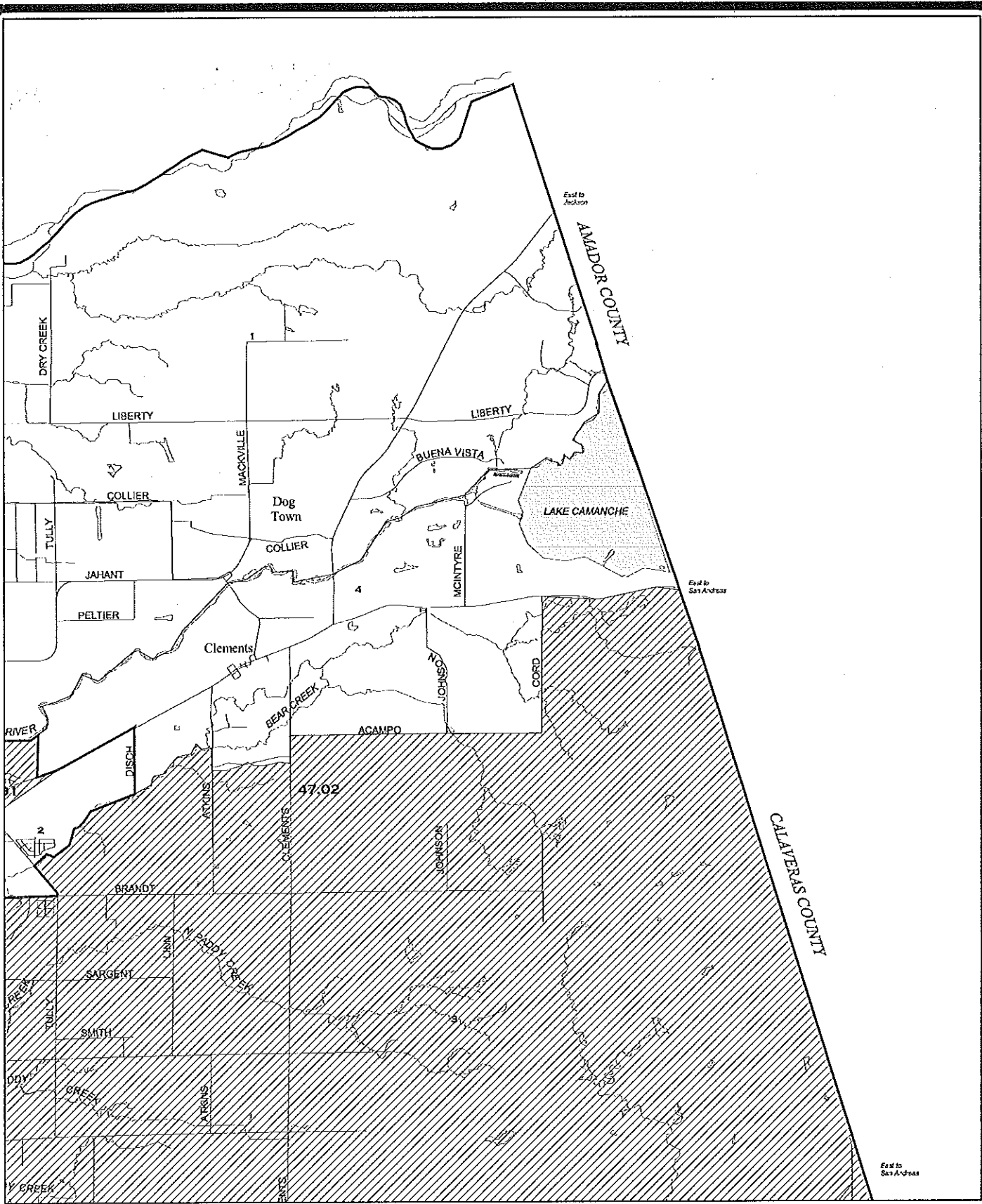
The numbers on the map are based on the most current American census data for San Joaquin County Geographic Information Systems. The county's population is approximately 1.2 million. The map is a generalization of the data and does not represent the actual population distribution. The map is for informational purposes only and should not be used for legal or financial decisions.

LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY >= 35%
- Low-Mod >= 51%

0 0.5 1 Miles

November 29, 2004 DB



Low-Mod & Minority Concentration

San Joaquin County Geographic Information Systems
 1810 East Hazelton Avenue, Stockton, CA 95205

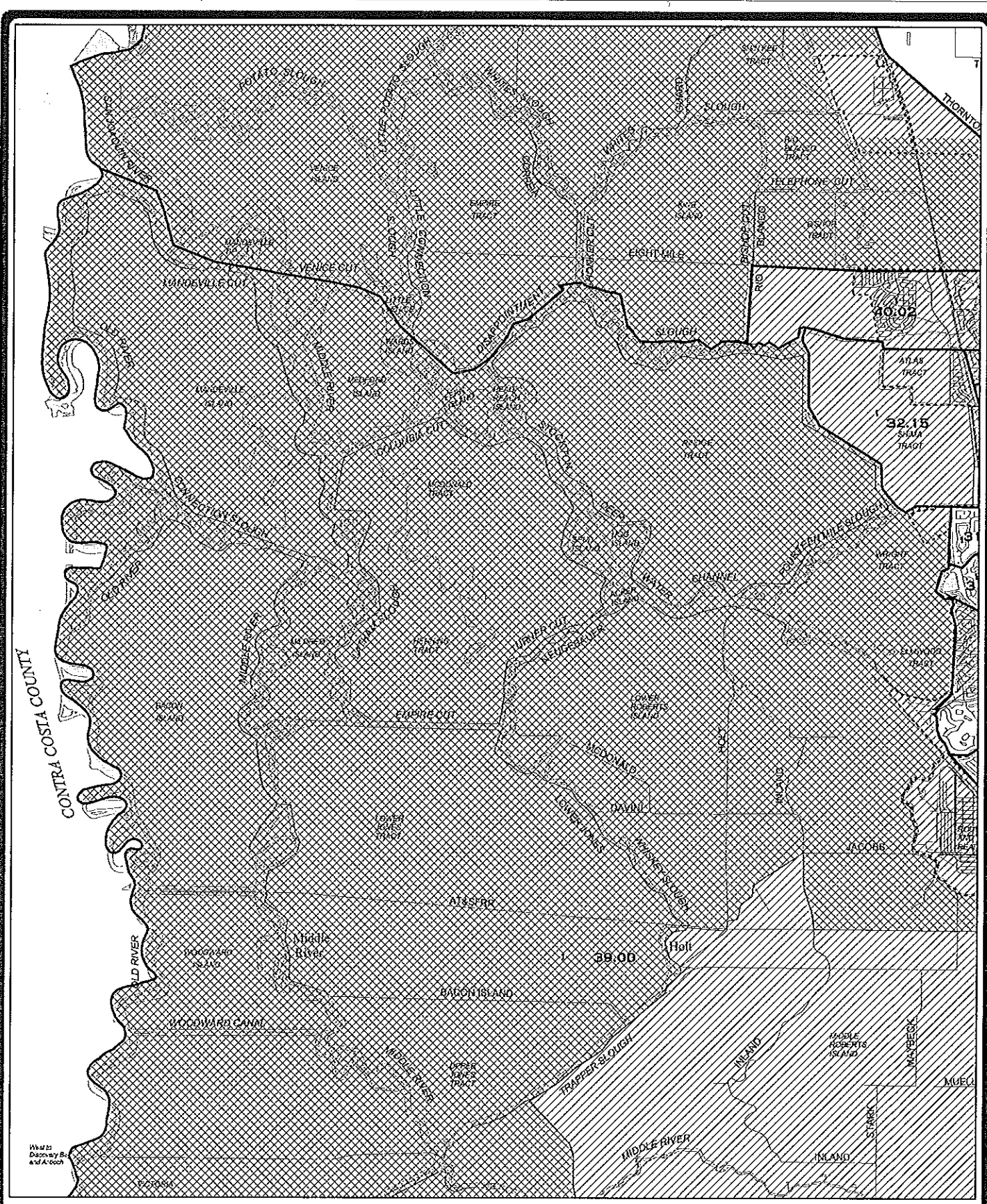
The information on this map is based on the most current information available to San Joaquin County Geographic Information Systems. The County of San Joaquin does not warrant the accuracy, completeness, or availability for any third party purposes. The information on this map is not intended to replace engineering, surveying or other professional services.

LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY >= 35%
- LOW-MOD >= 51%

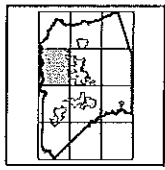
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November 23, 2004 DB



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Low-Mod & Minority Concentration

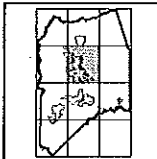
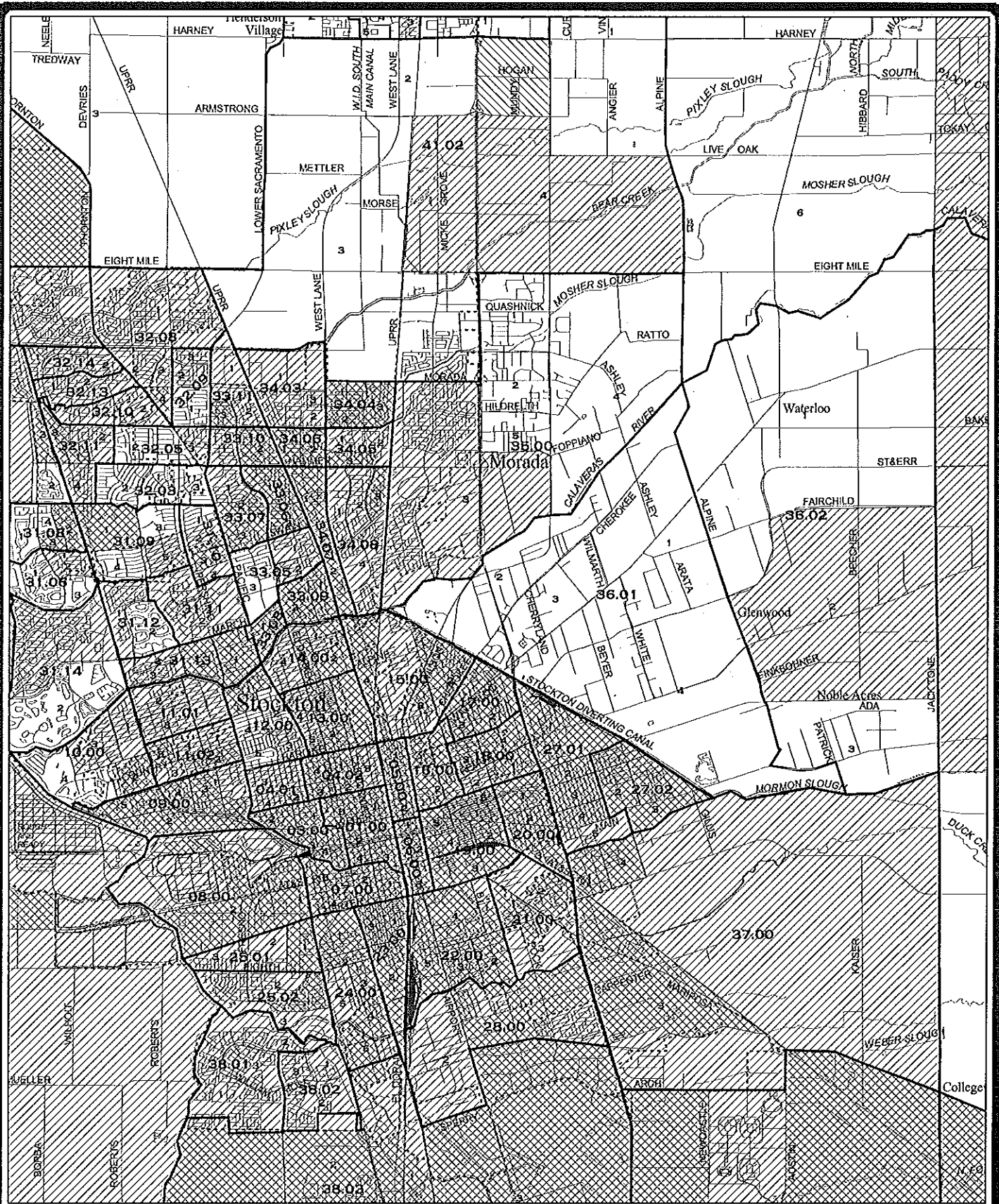
San Joaquin County Geographic Information Systems
1610 East Hazelton Avenue, Stockton, CA 95205

The information on this map is based on the most current information available to San Joaquin County Geographic Information Systems.
The County will not be liable for any errors or omissions in this map or for any consequences arising from the use of the information.
The information on this map is not intended to replace engineering, land use or other professional services.

LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY >= 35%
- LOW-MOD >= 51%

November 23, 2004 DB



Low-Mod & Minority Concentration

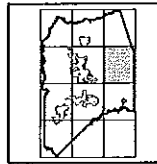
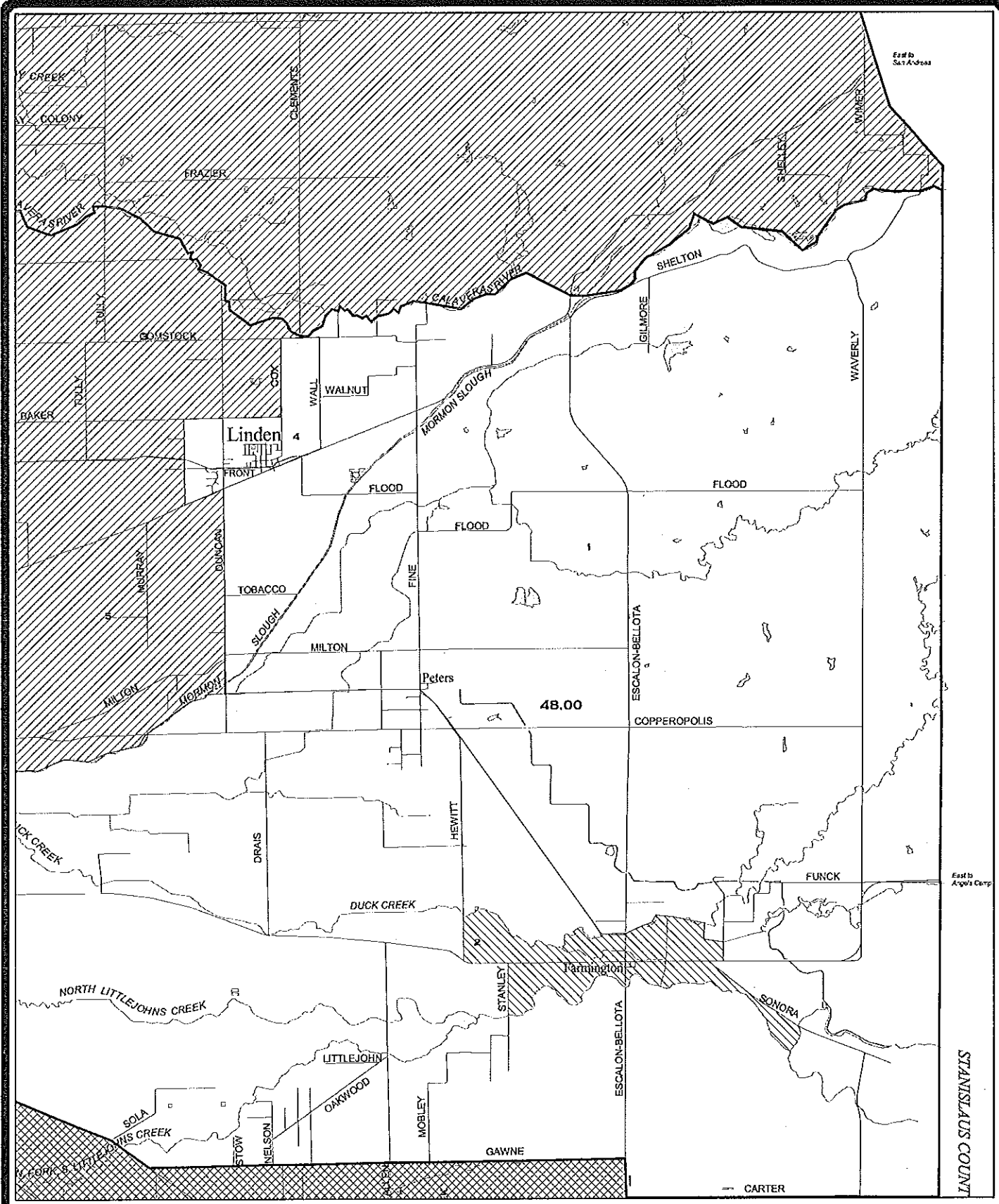
San Joaquin County Geographic Information Systems
 1810 East Hazelton Avenue, Stockton, CA 95205

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LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY \geq 35%
- Low-Mod \geq 51%

November 29, 2004 DB



Low-Mod & Minority Concentration

San Joaquin County Geographic Information Systems
 1810 East Hazelton Avenue, Stockton, CA 95205

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 The County of San Joaquin does not warrant the accuracy, completeness, or reliability of any particular information.
 The information on this map is not intended to constitute engineering, a survey or planning or other professional service.

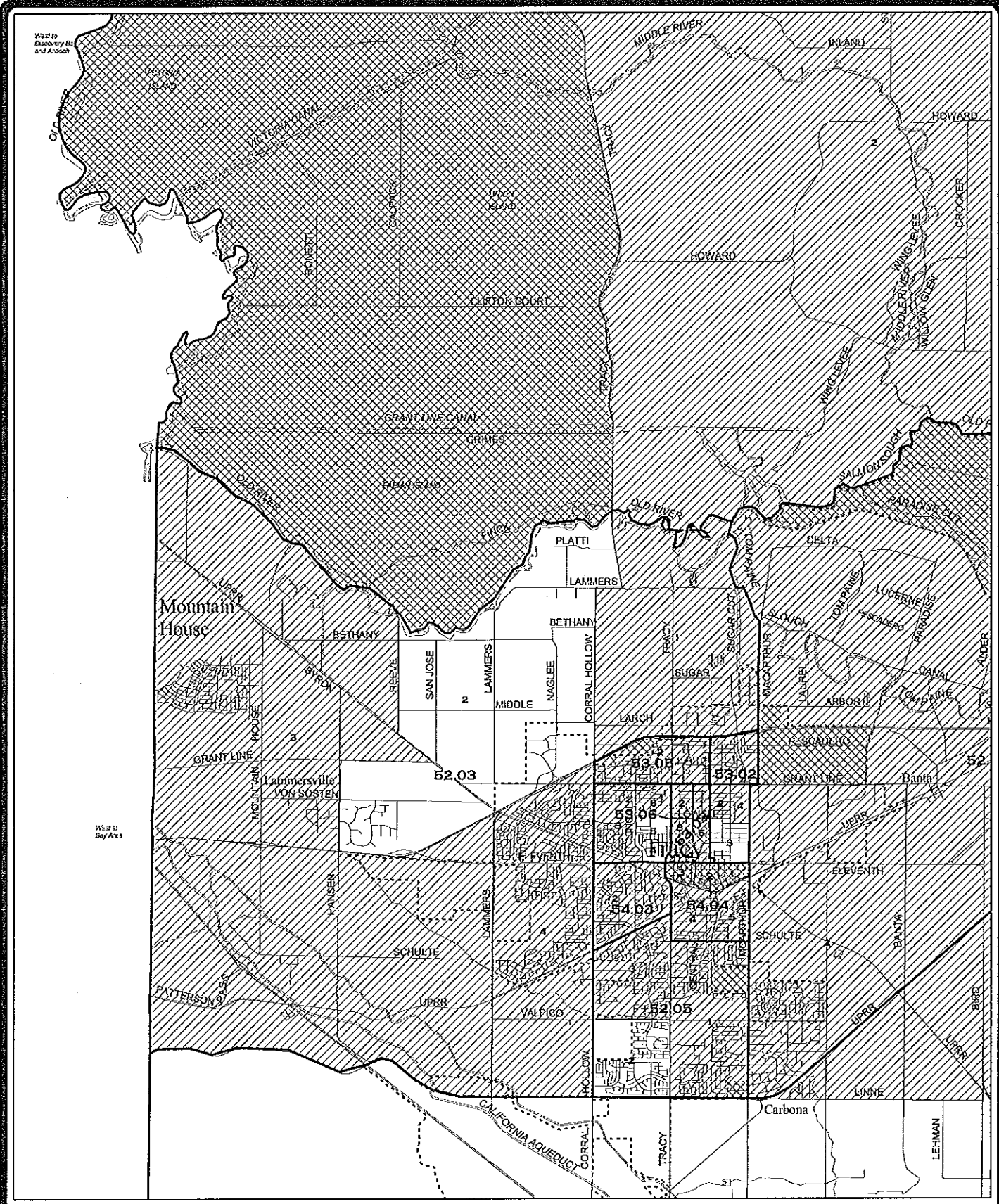
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- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY >= 36%
- LOW-MOD >= 51%

0 0.5 1 Miles

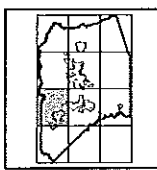
November 29, 2004 DB

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and A-2 each

Ward to
Bay Area



Low-Mod & Minority Concentration

San Joaquin County Geographic Information Systems
 1810 East Hazleton Avenue, Stockton, CA 95205

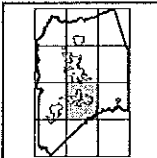
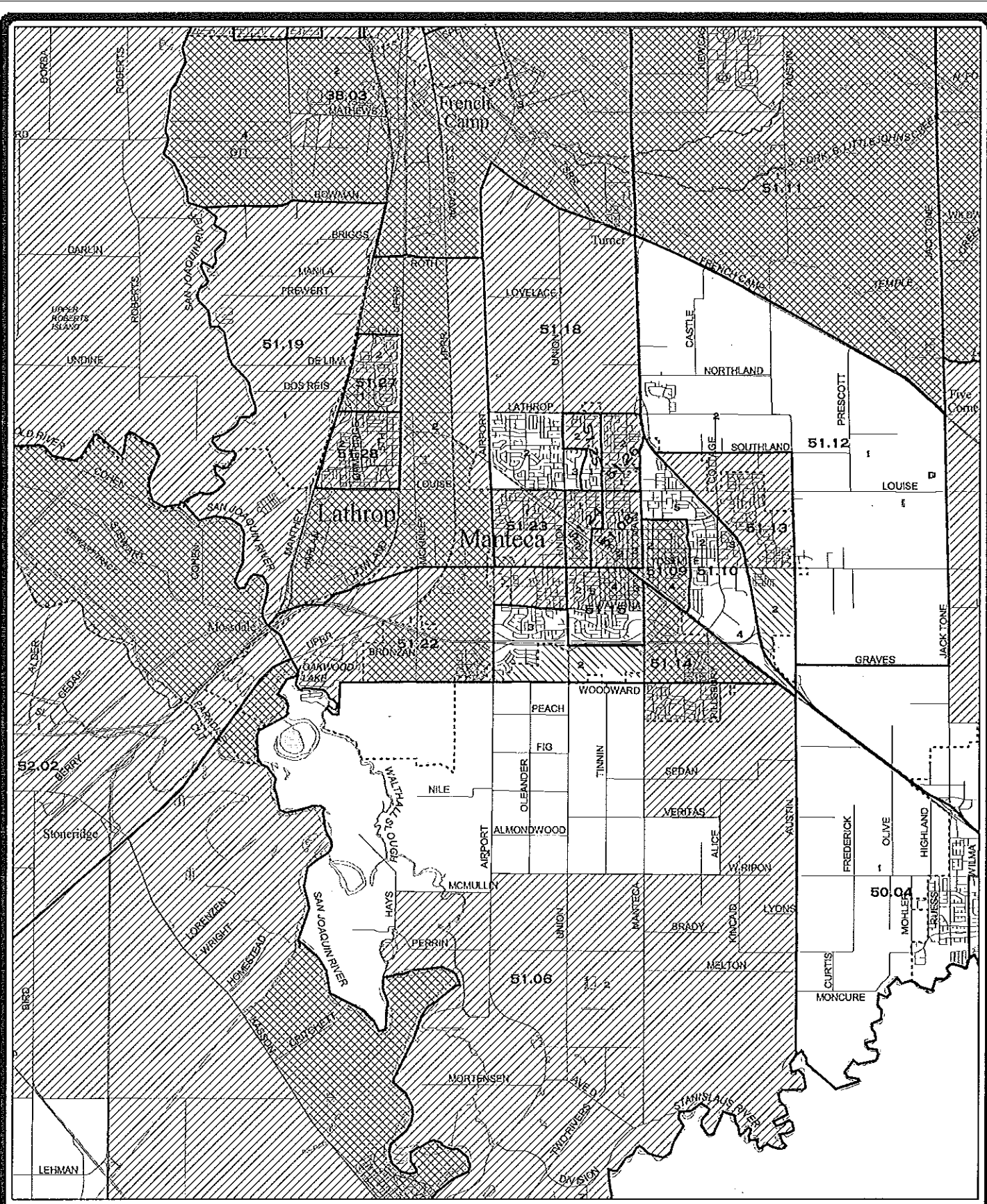
The information on this map is based on the most current information available to San Joaquin County Geographic Information Systems.
 The County of San Joaquin does not warrant the accuracy, completeness, or timeliness of the information on this map.
 The information on this map is not intended to replace engineering or other professional services.

LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY >= 35%
- LOW-MOD >= 51%

0 0.5 1 Miles

November 29, 2004 DB




Low-Mod & Minority Concentration

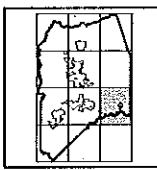
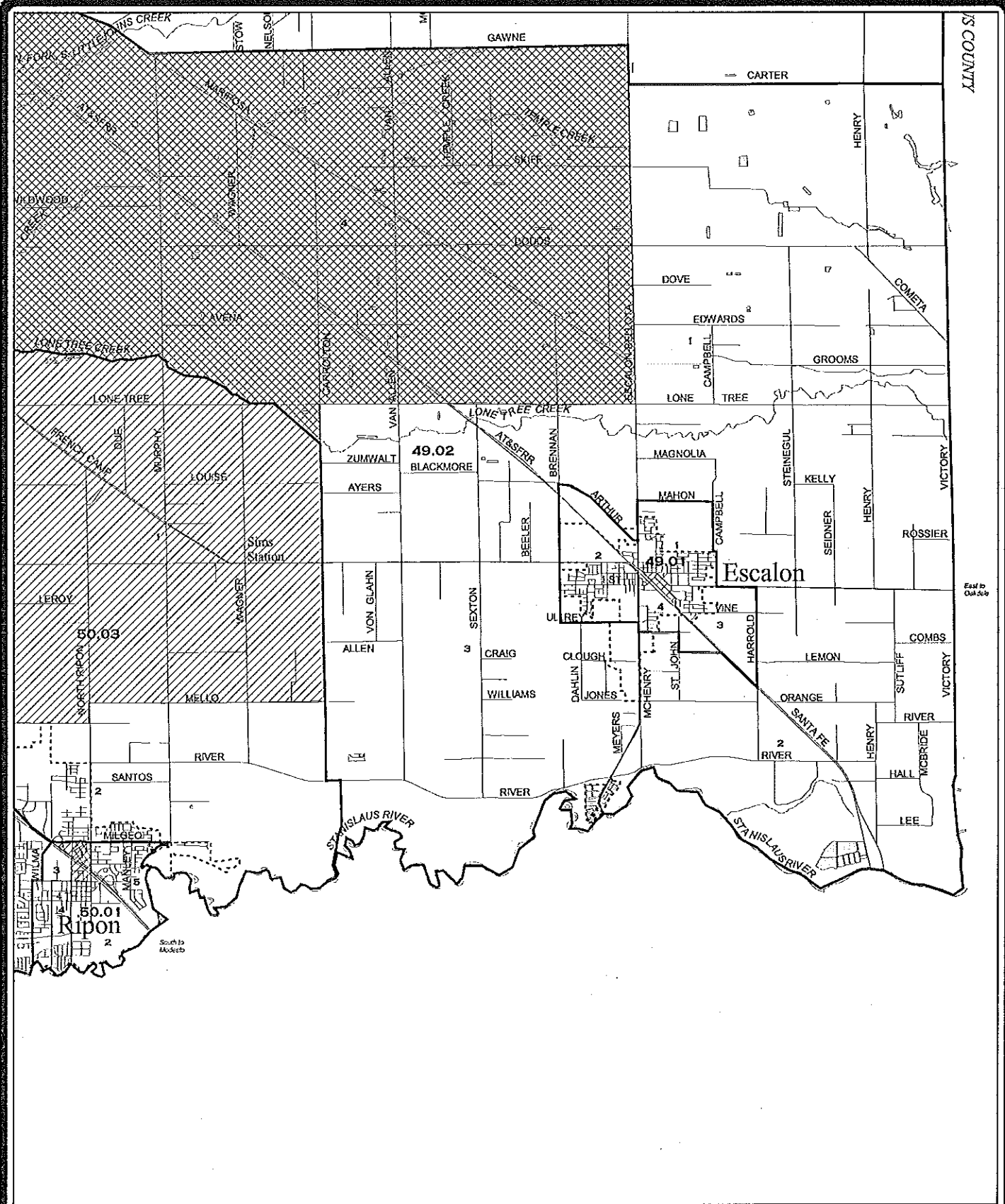
San Joaquin County Geographic Information Systems
 1810 East Hazelton Avenue, Stockton, CA 95205

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 The County of San Joaquin does not warrant the accuracy, completeness, or liability for this public use program.
 The information on this map is not intended to replace engineering, boundary or primary source information.

LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY >= 36%
- LOW-MOD >= 81%


 0 0.5 1 Miles
 November 29, 2004 DB



Low-Mod & Minority Concentration

San Joaquin County Geographic Information Systems
 1819 East Hazakon Avenue, Stockton, CA 95205

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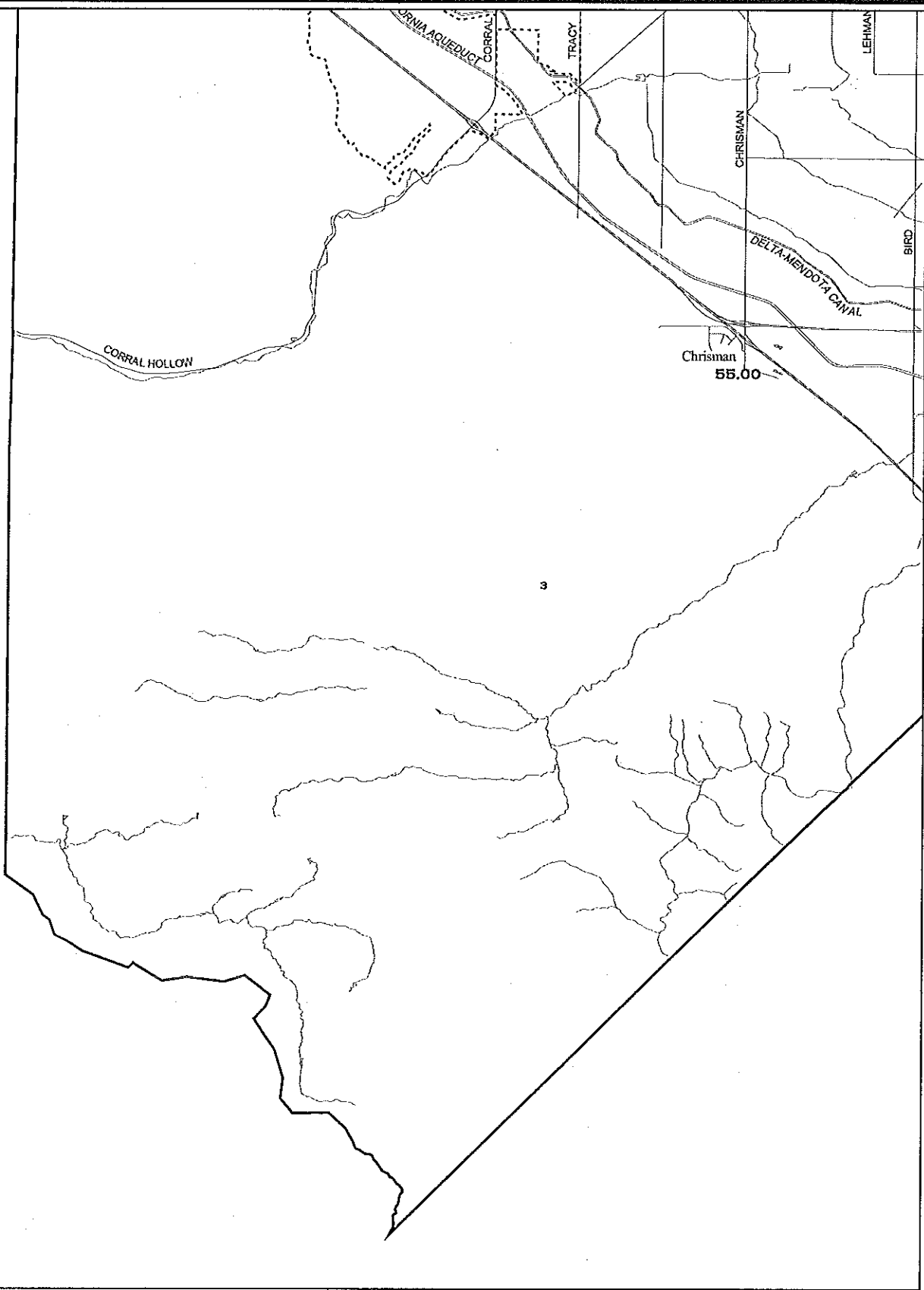
LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY \geq 35%
- LOW-MOD \geq 51%

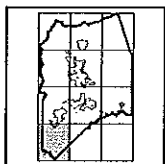
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November 29, 2004 DB

ALAMEDA COUNTY



3



Low-Mod & Minority Concentration

San Joaquin County Geographic Information Systems
 1810 East Hazelton Avenue, Stockton, CA 95205

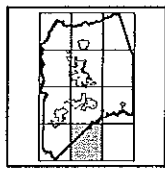
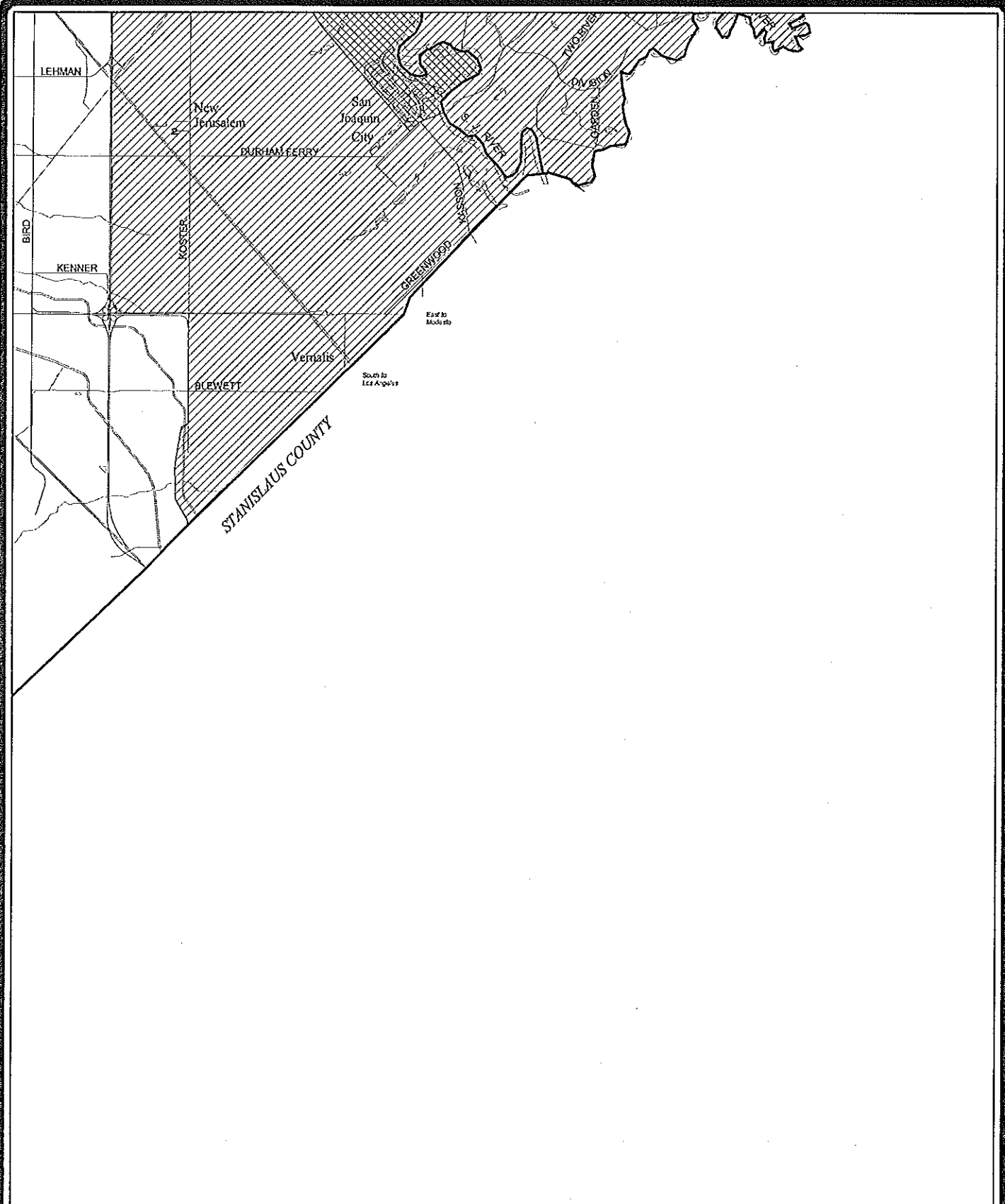
The information on this map is based on the most current information available to San Joaquin County Geographic Information Systems. The City of San Joaquin does not warrant the accuracy, completeness, or timeliness of any data on this program. The information on this map is not intended to replace engineering or other professional services.

LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- ▨ MINORITY ≥ 35%
- ▨ LOW-Mod ≥ 51%

0 0.5 1 Miles

November 29, 2004 DB



Low-Mod & Minority Concentration

San Joaquin County Geographic Information Systems
 1810 East Hazelton Avenue, Stockton, CA 95205

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LEGEND:

- TRACT
- BLOCK GROUP
- CITY LIMIT
- STREET
- WATER
- MINORITY >= 35%
- LOW-MOD >= 51%

0 0.5 1 Miles
 November 29, 2004 DB

APPENDIX B

CDBG AND HOME PERFORMANCE
REPORTS
HOME MATCH REPORT



Office of Community Planning and
U.S. Department of Housing and Urban
Integrated Disbursement and Information
PR26 - CDBG Financial Summary Report
Program Year 2013
SAN JOAQUIN COUNTY, CA

PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	2,579,454.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	394,960.13
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	2,974,414.13

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND	3,028,704.52
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	3,028,704.52
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	408,427.66
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	3,437,132.18
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	(462,718.05)

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	3,028,704.52
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	3,028,704.52
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	298,269.59
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	298,269.59
32 ENTITLEMENT GRANT	2,579,454.00
33 PRIOR YEAR PROGRAM INCOME	316,564.23
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	2,896,018.23
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	10.30%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	408,427.66
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	408,427.66
42 ENTITLEMENT GRANT	2,579,454.00
43 CURRENT YEAR PROGRAM INCOME	394,960.13
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	2,974,414.13
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	13.73%

Annual Performance Report

HOME Program

U.S. Department of Housing
and Urban Development
Office of Community
Planning and Development

OMB No. 2501-0013 (Esp. 11/30/97)

Public Reporting Burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600 and to the Office of Management and Budget, Paperwork Reduction Project (2501-0013, Washington, D.C. 20503. Do not send this completed form to either of these addresses.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (M/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME PROGRAM,, Rm 7176, 451 7 th Street, S.W., Washington, D.C. 20410.	This report is for period: (mm/dd/yy)		Date Submitted:
	Starting:	Ending:	
	7-01-2013	6-30-2014	

Part I: Participant Identification

1. Participant Number UCO60214	2. Participant Name SAN JOAQUIN COUNTY		
5. Name of Person Completing Report CHRIS BECERRA	6. Phone No. (Include Area Code) (209) 468-3157		
5 Address 1810 E. Hazelton Ave.	6. City Stockton	7 State CA	8 Zip Code 95205

Part II: Program Income

Enter the following program income amounts for the reporting period: in block 1 the balance on hand at the beginning; in block 2 the amount generated; in block 3 the amount expended; and in block 4 the amount for Tenant-Based rental Assistance.

1. Balance on Hand at Beginning of Reporting Period \$0.00	2. Amount Received During Reporting Period: \$544,461.51	3. Total Amount Expended During Reporting Period: \$350,850.31	4. Amount Expended for Tenant-Based Rental Assistance: -0-	5. Balance on Hand at End of Reporting Period (1 + 2 - 3) = 5 \$193,611.20
---	---	---	---	--

Part III: Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
A. Contracts						
1. Number	6	-0-	-0-	-0-	-0-	2
2. Dollar Amount	\$201,367	-0-	-0-	-0-	-0-	\$201,367
B. Sub-Contracts						
1. Number	13	-0-	-0-	-0-	1	12
2. Dollar Amount	\$111,941	-0-	-0-	-0-	\$10,990	\$100,951
	a. Total	B. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	0	-0-	0			
2. Dollar Amount	\$0	-0-	\$0			
D. Sub-Contracts						
1. Number	0	0	0			
2. Dollar Amount	\$0	0	\$0			

Part IV:: Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0	-0-	-0-	0	-0-	-0-
2. Dollar Amount	\$0	-0-	-0-	\$0	-0-	-0-

Part V:: Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	0	\$0
2. Business Displaced	-0-	-0-
3. Nonprofit Organizations Displaced	-0-	-0-
4. Households Temporarily Relocated, not Displaced	0	\$0

Households Displaced	a. Total	Minority Households				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Households Displaced: No.	-0-	-0-	-0-	-0-	-0-	-0-
2. Households Displaced: Cost	-0-	-0-	-0-	-0-	-0-	-0-

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2501-0013 (Esp. 11/30/97)

Public reporting burden for this collection of information is estimated to average 0.75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600 and to the Office of Management and Budget, Paperwork Reduction Project (2501-0013), Washington, D.C. 20503. Do not send this completed form to either of these addresses.

Part I: Participant Identification		Match Contributions for Federal Fiscal Year: 13-14	
1. Participant Number: (assigned by HUD) UC060214	2. Name of the Participating Jurisdiction: COUNTY OF SAN JOAQUIN		3. Name of Contact: (person completing this report) CHRISTINE BECERRA
5. Street Address of the Participating Jurisdiction: 1810 E. HAZELTON AVENUE		7. State CA	4. Contact's Phone No. (Include Area Code) 209-468-3157
6. City: STOCKTON	8. Zip Code 95205		

Part II: Fiscal Year Summary

1. Excess match from prior federal fiscal year	\$	5,481,357.71
2. Match contributed during current fiscal year (see Part III.9.)	\$	28,170.49
3. Total match available for current federal fiscal year (line 1 + line 2)	\$	5,509,528.20
4. Match liability for current federal fiscal year	\$	77,376
5. Excess match carried over to next federal fiscal year (line 3 - line 4)	\$	5,432,152.20

1. Project No. or Other ID	2. Date of Contribution	3. Cash (non-federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
2210	1/17/04	16,931.02						16,931.02
2240	4/22/14	3,630.87						3,630.87
2309	4/23/14	4,309.26						4,309.26
2312	6/30/14	3,299.34						3,299.34

APPENDIX C

CDBG AND HOME ACCOMPLISHMENT REPORTS



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 CDBG Summary of Accomplishments
 Program Year: 2013

DATE: 09-10-14
 TIME: 16:48
 PAGE: 1

SAN JOAQUIN COUNTY

Count of CDBG Activities with Disbursements by Activity Group & Matrix Code

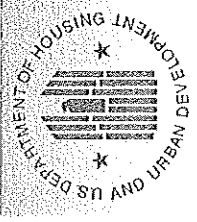
Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed	
Acquisition	Acquisition of Real Property (01)	1	\$109,807.23	2	\$231,369.90	3	\$341,177.13	
	Disposition (02)	1	\$0.00	0	\$0.00	1	\$0.00	
Total Acquisition		2	\$109,807.23	2	\$231,369.90	4	\$341,177.13	
Housing	Rehab; Single-Unit Residential (14A)	6	\$175,867.97	12	\$234,895.63	18	\$410,763.60	
	Total Housing	6	\$175,867.97	12	\$234,895.63	18	\$410,763.60	
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	3	\$3,703.92	2	\$449,944.16	5	\$453,648.08	
	Senior Centers (03A)	1	\$157,634.00	0	\$0.00	1	\$157,634.00	
	Homeless Facilities (not operating costs) (03C)	3	\$109,993.00	0	\$0.00	3	\$109,993.00	
	Youth Centers (03D)	1	\$17,026.31	4	\$77,930.00	5	\$94,956.31	
	Parks, Recreational Facilities (03F)	0	\$0.00	2	\$0.00	2	\$0.00	
	Parking Facilities (03G)	1	\$6,600.00	0	\$0.00	1	\$6,600.00	
	Water/Sewer Improvements (03J)	3	\$22,148.74	2	\$99,172.09	5	\$121,320.83	
	Street Improvements (03K)	2	\$598,476.24	2	\$419,805.99	4	\$1,018,282.23	
	Sidewalks (03L)	3	\$16,059.75	2	\$0.00	5	\$16,059.75	
	Total Public Facilities and Improvements		17	\$931,641.96	14	\$1,046,852.24	31	\$1,978,494.20
	Public Services	Operating Costs of Homeless/AIDS Patients Programs (03T)	1	\$1,552.00	3	\$42,263.82	4	\$43,815.82
		Public Services (General) (05)	3	\$4,761.44	3	\$1,941.31	6	\$6,702.75
Senior Services (05A)		6	\$18,538.43	4	\$4,766.25	10	\$23,304.68	
Handicapped Services (05B)		2	\$2,383.62	1	\$2,401.28	3	\$4,784.90	
Youth Services (05D)		2	\$34,831.18	2	\$10,756.77	4	\$45,587.95	
Battered and Abused Spouses (05G)		1	\$0.00	3	\$2,890.00	4	\$2,890.00	
Fair Housing Activities (if CDGS, then subject to 15% cap) (05J)		7	\$35,943.37	5	\$17,189.37	12	\$53,132.74	
Food Banks (05W)		6	\$17,196.84	11	\$100,853.91	17	\$118,050.75	
Total Public Services		28	\$115,206.88	32	\$183,062.71	60	\$298,269.59	
General Administration and Planning		Planning (20)	2	\$13,690.54	1	\$0.00	3	\$13,690.54
		General Program Administration (21A)	4	\$84,003.80	6	\$310,733.32	10	\$394,737.12
Total General Administration and Planning		6	\$97,694.34	7	\$310,733.32	13	\$408,427.66	

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 CDBG Summary of Accomplishments
 Program Year: 2013

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SAN JOAQUIN COUNTY

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
		59	\$1,430,218.38	67	\$2,006,913.80	126	\$3,437,132.18
Grand Total							





U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 SAN JOAQUIN COUNTY, CA
 PR 51- Selected CDBG Accomplishment Report
 Program Year Between 07-01-2013 and 06-30-2014

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HOUSING

Matrix Code	Eligible Activity	Number of Households Assisted
14A	Rehab; Single-Unit Residential	4
		Total Number of Households Assisted: 4

PUBLIC SERVICES

Matrix Code	Eligible Activity	Number of Persons Benefitting
03T	Operating Costs of Homeless/AIDS Patients Programs	1,610
05	Public Services (General)	70
05A	Senior Services	590
05B	Handicapped Services	1
05D	Youth Services	2,683
05J	Fair Housing Activities (if CDGS, then subject to 15% cap)	165
05W	Food Banks	296,751
		Total Number of Persons Benefitting: 301,870

PUBLIC IMPROVEMENTS

Matrix Code	Eligible Activity	Number of Persons Benefitting
03	Public Facilities and Improvement (General)	78,545
03D	Youth Centers	2,618
03F	Parks, Recreational Facilities	1,458
03J	Water/Sewer Improvements	4,983
03K	Street Improvements	33
		Total Number of Persons Benefitting: 87,637

SAN JOAQUIN COUNTY

CDBG Beneficiaries by Racial / Ethnic Category

Housing-Non Housing	Race	Total Persons	Total Hispanic Persons	Total Households	Total Hispanic Households
Housing	White	0	0	0	16
	Black/African American	0	0	0	2
	Asian	0	0	0	1
	American Indian/Alaskan Native & White	0	0	0	1
	Black/African American & White	0	0	0	1
	Other multi-racial	0	0	0	3
	Total Housing	0	0	0	24
Non Housing	White	74,934	41,065	0	0
	Black/African American	9,153	0	0	0
	Asian	7,308	0	0	0
	American Indian/Alaskan Native	1,186	0	0	0
	Native Hawaiian/Other Pacific Islander	859	0	0	0
	American Indian/Alaskan Native & White	867	0	0	0
	Asian & White	1,215	0	0	0
	Black/African American & White	1,084	0	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	202	0	0	0
	Other multi-racial	11,831	0	0	0
	Total Non Housing	108,639	41,065	0	0
Grand Total	White	375,244	188,788	16	7
	Black/African American	75,948	71	2	0
	Asian	19,121	7	1	0
	American Indian/Alaskan Native	7,108	285	0	0
	Native Hawaiian/Other Pacific Islander	4,585	2	0	0
	American Indian/Alaskan Native & White	2,527	36	1	0
	Asian & White	2,547	3	0	0
	Black/African American & White	3,559	10	1	0
	Amer. Indian/Alaskan Native & Black/African Amer.	1,227	24	0	0
	Other multi-racial	21,021	2,243	3	2
	Total Grand Total	512,887	191,469	24	9



SAN JOAQUIN COUNTY

CDBG Beneficiaries by Income Category

Income Levels	Owner Occupied	Renter Occupied	Persons
Housing			
Extremely Low (<=30%)	2	0	0
Low (>30% and <=50%)	2	0	0
Mod (>50% and <=80%)	0	0	0
Total Low-Mod	4	0	0
Non Low-Mod (>80%)	0	0	0
Total Beneficiaries	4	0	0
Non Housing			
Extremely Low (<=30%)	0	0	10,856
Low (>30% and <=50%)	0	0	6,994
Mod (>50% and <=80%)	0	0	35,931
Total Low-Mod	0	0	53,781
Non Low-Mod (>80%)	0	0	33,084
Total Beneficiaries	0	0	86,865



SAN JOAQUIN COUNTY
 Home Disbursements and Unit Completions

Activity Type	Disbursed Amount	Units Completed	Units Occupied
First Time Homebuyers	\$210,054.22	19	19
Existing Homeowners	\$805,095.26	10	10
Total, Homebuyers and Homeowners	\$1,015,149.48	29	29
Grand Total	\$1,015,149.48	29	29

Home Unit Completions by Percent of Area Median Income

Activity Type	Home Unit Completions by Percent of Area Median Income					Units Completed	
	0% - 30%	31% - 50%	51% - 60%	61% - 80%	Total 0% - 60%	Total 0% - 80%	
First Time Homebuyers	3	2	1	13	6	19	
Existing Homeowners	7	2	0	1	9	10	
Total, Homebuyers and Homeowners	10	4	1	14	15	29	
Grand Total	10	4	1	14	15	29	

Home Unit Reported As Vacant

Activity Type	Reported as Vacant
First Time Homebuyers	0
Existing Homeowners	0
Total, Homebuyers and Homeowners	0
Grand Total	0



SAN JOAQUIN COUNTY

Home Unit Completions by Racial / Ethnic Category

	First Time Homebuyers		Existing Homeowners	
	Units Completed - Hispanics	Units Completed - Whites	Units Completed - Hispanics	Units Completed - Whites
White	10	7	6	1
Black/African American	2	0	0	0
American Indian/Alaskan Native	1	1	1	0
Native Hawaiian/Other Pacific Islander	2	0	0	0
American Indian/Alaskan Native & White	0	0	1	1
Other multi-racial	4	4	2	1
Total	19	12	10	3

Total, Homebuyers and Homeowners

	Homebuyers		Homeowners		Grand Total	
	Units Completed - Hispanics	Units Completed - Whites	Units Completed - Hispanics	Units Completed - Whites	Units Completed - Hispanics	Units Completed - Whites
White	16	8	16	8	32	16
Black/African American	2	0	2	0	4	0
American Indian/Alaskan Native	2	1	2	1	4	2
Native Hawaiian/Other Pacific Islander	2	0	2	0	4	0
American Indian/Alaskan Native & White	1	1	1	1	2	2
Other multi-racial	6	5	6	5	12	10
Total	29	15	29	15	58	30

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	SAN JOAQUIN COUNTY
Organizational DUNS Number	112235184
EIN/TIN Number	946000531
Identify the Field Office	SAN FRANCISCO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Stockton/San Joaquin County CoC

ESG Contact Name

Prefix	Ms.
First Name	Chris
Middle Name	
Last Name	Becerra
Suffix	
Title	Management Analyst III

ESG Contact Address

Street Address 1	1810 E. Hazelton Avenue
Street Address 2	
City	Stockton
State	CA
ZIP Code	95205
Phone Number	209-468-3157
Extension	
Fax Number	
Email Address	cbecerra@sjgov.org

ESG Secondary Contact

Prefix	Ms.
First Name	Karen
Last Name	Stevens
Suffix	
Title	Deputy Director
Phone Number	209-468-3139
Extension	
Email Address	kstevens@sjgov.org

2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2013
Program Year End Date 06/30/2014

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: HOPE Ministries
City: Manteca
State: CA
Zip Code: 95336
DUNS Number: 966409740
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 8000

Subrecipient or Contractor Name: McHenry House
City: Tracy
State: CA
Zip Code: 95376,
DUNS Number: 84711824
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 8000

Subrecipient or Contractor Name: Lodi House
City: Lodi
State: CA
Zip Code: 95240,
DUNS Number: 017422515
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 10000

Subrecipient or Contractor Name: The Salvation Army
City: Lodi
State: CA
Zip Code: 95240,
DUNS Number: 074629460
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 12000

Subrecipient or Contractor Name: St. Mary's Dining Room
City: Stockton
State: CA
Zip Code: 95203,
DUNS Number: 617744610
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 30000

Subrecipient or Contractor Name: Central Valley Low Income Housing Corp.
City: Stockton
State: CA
Zip Code: 95207,
DUNS Number: 158901178
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 24998

Subrecipient or Contractor Name: Women's Center - Youth & Family Services
City: Stockton
State: CA
Zip Code: 95202,
DUNS Number: 165458852
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 17000

Subrecipient or Contractor Name: Gospel Center
City: Stockton
State: CA
Zip Code: 95203,
DUNS Number: 788079044
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 14000

Subrecipient or Contractor Name: Haven of Peace Inc
City: French Camp
State: CA
Zip Code: 95231,
DUNS Number: 167323500
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 5000

Subrecipient or Contractor Name: Stockton Shelter for Homeless
City: Stockton
State: CA
Zip Code: 95203,
DUNS Number: 188171904
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 32000

Subrecipient or Contractor Name: Tender Hands Safe Haven
City: Stockton
State: CA
Zip Code: 95206
DUNS Number: 833135010
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 2500

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	39
Children	41
Don't Know/Refused/Other	0
Missing Information	0
Total	80

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	5
Children	6
Don't Know/Refused/Other	0
Missing Information	0
Total	11

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	3,497
Children	2,289
Don't Know/Refused/Other	0
Missing Information	0
Total	5,786

Table 3 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	3,541
Children	2,336
Don't Know/Refused/Other	0
Missing Information	0
Total	5,877

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	2,598
Female	3,279
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	5,877

Table 6 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	2,336
18-24	414
25 and over	3,127
Don't Know/Refused/Other	0
Missing Information	0
Total	5,877

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	135	2	1	132
Victims of Domestic Violence	930	6	0	924
Elderly	225	3	1	221
HIV/AIDS	12	0	0	12
Chronically Homeless	484	0	0	484
Persons with Disabilities:				
Severely Mentally Ill	520	1	0	519
Chronic Substance Abuse	725	1	1	723
Other Disability	486	8	3	475
Total (Unduplicated if possible)	3,517	21	6	3,490

Table 8 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units – Rehabbed	
Number of New Units – Conversion	
Total Number of bed - nighths available	992
Total Number of bed - nights provided	992
Capacity Utilization	100%

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
Expenditures for Rental Assistance	0	0	33,260
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	33,260

Table 10 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	3,502
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	3,502

Table 11 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
Essential Services	0	0	11,636
Operations	0	0	131,212
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	0	0	142,848

Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
HMIS	0	0	0
Administration	0	0	9,630
Street Outreach	0	0	0

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2011	FY 2012	FY 2013
189,240	0	0	189,240

Table 14 - Total ESG Funds Expended

11f. Match Source

	FY 2011	FY 2012	FY 2013
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	0
Private Funds	0	0	2,326,314
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	0	2,326,314

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2011	FY 2012	FY 2013
2,515,554	0	0	2,515,554

Table 16 - Total Amount of Funds Expended on ESG Activities

FACILITY NAME	BED CAPACITY	HOMELESS BENEFICIARIES	ESG ALLOCATION	ESG ALLOCATION SPENT	ACTIVITY TYPE	ANNUAL # SERVED	RACIAL ETHNIC DATA PROPORTION SERVED
WOMEN'S CENTER STOCKTON, CA	58	UW-Unaccompanied Female SPF-Single Parent Families AC-Adult Women Without Children UFY-Unaccompanied Female Youth Under 18 SPY-Single Parent Youth Families	13-14 \$17,000.00	\$10,301.37	Essential Services: Payment for expansion of counseling services Non-Staff Operations: Payment of Utility Bills, Insurance, and Supplies	391 (Residential) 0 (Non-residential) 391 (Total)	White: 137 Black/Afr.Amer.: 124 Asian: 14 Amer. Ind./Alaskan Nat.: 6 Nat. Hawaiian/Other Pac. Isl.: 3 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 3 Black/Afr.Amer. & White: 9 Am. Ind./Alas. Nat. & Black Afr. Am.: 2 Other Multi-Racial: 93 Hispanic 21 White: 137 Black/Afr.Amer.: 124 Asian: 14 Amer. Ind./Alaskan Nat.: 6 Nat. Hawaiian/Other Pac. Isl.: 3 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 3 Black/Afr.Amer. & White: 9 Am. Ind./Alas. Nat. & Black Afr. Am.: 2 Other Multi-Racial: 93
HAVEN OF PEACE FRENCH CAMP, CA	46	UW-Unaccompanied Female SPF-Single Parent Families	13-14 \$5,000.00	\$5,000.00	Non-Staff Operational: Payment of Utility Bills, Insurance, and Maintenance costs	589 (Residential) 13 (Non-residential) 602 (Total)	White: 370 Black/Afr.Amer.: 153 Asian: 8 Amer. Ind./Alaskan Nat.: 4 Nat. Hawaiian/Other Pac. Isl.: 5 Amer. Ind./Alaskan Nat. & White: 6 Asian & White: 0 Black/Afr.Amer. & White: 23 Am. Ind./Alas. Nat. & Black Afr. Am.: 2 Other Multi-Racial: 18 Hispanic 130 White: 370 Black/Afr.Amer.: 153 Asian: 8 Amer. Ind./Alaskan Nat.: 4 Nat. Hawaiian/Other Pac. Isl.: 5 Amer. Ind./Alaskan Nat. & White: 6 Asian & White: 0 Black/Afr.Amer. & White: 23 Am. Ind./Alas. Nat. & Black Afr. Am.: 2 Other Multi-Racial: 18
GOSPEL CENTER RESCUE MISSION STOCKTON, CA	100	UN-Unaccompanied Males UW-Unaccompanied Females SPF-Single Parent Families	13-14 \$14,000.00	\$14,000.00	Non-Staff Operations: Payment of Utility Bills, Insurance, and Supplies	196 (Residential) 0 (Non-residential) 196 (Total)	White: 136 Black/Afr.Amer.: 37 Asian: 5 Amer. Ind./Alaskan Nat.: 10 Nat. Hawaiian/Other Pac. Isl.: 8 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 0 Black/Afr.Amer. & White: 0 Am. Ind./Alas. Nat. & Black Afr. Am.: 0 Other Multi-Racial: 0 Hispanic 52 White: 136 Black/Afr.Amer.: 37 Asian: 5 Amer. Ind./Alaskan Nat.: 10 Nat. Hawaiian/Other Pac. Isl.: 8 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 0 Black/Afr.Amer. & White: 0 Am. Ind./Alas. Nat. & Black Afr. Am.: 0 Other Multi-Racial: 0
ST. MARY'S INTERFAITH DINING ROOM STOCKTON, CA	N/A	UN-Unaccompanied Males UW-Unaccompanied Females SPF-Single Parent Families TPF-Two Parent Families AC-Adult Couples Without Children	13-14 \$30,000.00	\$30,000.00	Non-Staff Operations: Payment of Utility Bills, Insurance, and Supplies	539,626 (Non-residential)	White: 350,757 Black/Afr.Amer.: 167,284 Asian: 15,189 Amer. Ind./Alaskan Nat.: 5,396 Nat. Hawaiian/Other Pac. Isl.: 0 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 0 Black/Afr.Amer. & White: 0 Am. Ind./Alas. Nat. & Black Afr. Am.: 0 Other Multi-Racial: 0 White: 350,757 Black/Afr.Amer.: 167,284 Asian: 15,189 Amer. Ind./Alaskan Nat.: 5,396 Nat. Hawaiian/Other Pac. Isl.: 0 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 0 Black/Afr.Amer. & White: 0 Am. Ind./Alas. Nat. & Black Afr. Am.: 0 Other Multi-Racial: 0

FACILITY NAME	BED CAPACITY	HOMELESS BENEFICIARIES	ESG ALLOCATION	ESG ALLOCATION SPENT	ACTIVITY TYPE	ANNUAL # SERVED	RACIAL ETHNIC DATA PROPORTION SERVED
HOPE FAMILY SHELTER MANTECA, CA	71	SPF- Single Parent Families TPF-Two Parent Families	13-14 \$8,000.00	\$8,000.00	Non-Staff Operations; Payment of Utility Bills, Insurance, Maintenance Costs, and Supplies	209 (Residential)	White: 170 Black/Afr.Amer.: 30 Asian: 6 Amer. Ind./Alaskan Nat.: 3 Nat. Hawaiian/Other Pac. Isl.: 0 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 0 Black/Afr. Amer. & White: 0 Am. Ind./Alas. Nat. & Black Afr. Am.: 0 Other Multi-Racial: 0 Hispanic: 85
STOCKTON SHELTER FOR THE HOMELESS STOCKTON, CA	306 220 (Winter)	UN-Unaccompanied Males UW-Unaccompanied Females SPF-Single Parent Families TPF-Two Parent Families AC-Adult Couples Without Children	13-14 \$32,000.00	\$17,028.13	Non-Staff Operations; Payment of Insurance, Utilities, Supplies, and Equipment Homeless Prevention Activities: One-time rent assistance	2,176 (Residential)	White: 1,234 Black/Afr.Amer.: 620 Asian: 42 Amer. Ind./Alaskan Nat.: 28 Nat. Hawaiian/Other Pac. Isl.: 21 Amer. Ind./Alaskan Nat. & White: 3 Asian & White: 0 Black/Afr. Amer. & White: 1 Am. Ind./Alas. Nat. & Black Afr. Am.: 2 Other Multi-Racial: 225 Hispanic: 675
TENDER HANDS SAFE HAVEN STOCKTON, CA	20	UW-Unaccompanied Females SPF-Single Parent Fam	13-14 \$2,500.00	\$2,500.00	Non-Staff Operations; Payment of Utility Bills, Insurance, and Supplies	102 (Residential) 0 (Non-residential) 102 (Total)	White: 0 Black/Afr.Amer.: 77 Asian: 0 Amer. Ind./Alaskan Nat.: 0 Nat. Hawaiian/Other Pac. Isl.: 0 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 0 Black/Afr. Amer. & White: 18 Am. Ind./Alas. Nat. & Black Afr. Am.: 5 Other Multi-Racial: 0 Hispanic: 5

FACILITY NAME	BED CAPACITY	HOMELESS BENEFICIARIES	ESG ALLOCATION	ESG ALLOCATION SPENT	ACTIVITY TYPE	ANNUAL # SERVED	RACIAL ETHNIC DATA PROPORTION SERVED
SALVATION ARMY- HOPE HARBOR LODI, CA	82	UM-Unaccompanied Males UW-Unaccompanied Females SPF-Single Parent Fam AC-Adult Couples	13-14 \$12,000.00	\$0.00	Non-Staff Operations: Payment of Insurance, Utilities, Supplies, and Equipment	421 (Residential) 850 (Non-residential) 1271 (Total)	White: 358 Black/Afr.Amer.: 46 Asian: 4 Amer. Ind./Alaskan Nat.: 7 Nat. Hawaiian/Other Pac. Isl.: 6 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 0 Black/Afr.Amer. & White: 0 Am. Ind./Alas. Nat. & Black Afr. Am.: 0 Other Multi-Racial: 0 Hispanic 97
MCHENRY HOUSE FOR THE HOMELESS TRACY, CA	38	UM-Unaccompanied Males UW-Unaccompanied Females SPF-Single Parent Families TPF-Two Parent Families	13-14 \$8,000.00	\$8,000.00	Non-Staff Operations: Payment of Insurance, Utilities, and Household and Office Supplies	418 (Residential) 357 (Non-residential) 775 (Total)	White: 330 Black/Afr.Amer.: 50 Asian: 0 Amer. Ind./Alaskan Nat.: 8 Nat. Hawaiian/Other Pac. Isl.: 14 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 0 Black/Afr.Amer. & White: 0 Am. Ind./Alas. Nat. & Black Afr. Am.: 0 Other Multi-Racial: 16 Hispanic 241
LODI HOUSE LODI, CA	36	SPF-Single Parent Families AC-Adult Women Without Children UW-Unaccompanied Females	13-14 \$10,000.00	\$10,000.00	Non-Staff Operations: Payment of Utility Bills, Insurance, and Supplies	68 (Residential) 45 (Non-residential) 113 (Total)	White: 67 Black/Afr.Amer.: 1 Asian: 0 Amer. Ind./Alaskan Nat.: 0 Nat. Hawaiian/Other Pac. Isl.: 0 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 0 Black/Afr.Amer. & White: 0 Am. Ind./Alas. Nat. & Black Afr. Am.: 0 Other Multi-Racial: 0 Hispanic 30
CVLHC STOCKTON, CA	N/A	UM-Unaccompanied Males UW-Unaccompanied Females SPF-Single Parent Families TPF-Two Parent Families	12-13 \$71,082.00 13-14 \$24,998.00	\$7,255.00 \$67,524.00	Homeless Prevention Rapid Re-Housing	91 (Residential) 0 (Non-residential) 91 (Total)	White: 53 Black/Afr.Amer.: 28 Asian: 0 Amer. Ind./Alaskan Nat.: 0 Nat. Hawaiian/Other Pac. Isl.: 4 Amer. Ind./Alaskan Nat. & White: 0 Asian & White: 4 Black/Afr.Amer. & White: 1 Am. Ind./Alas. Nat. & Black Afr. Am.: 0 Other Multi-Racial: 1 Hispanic 34

2009 SUPPORTIVE HOUSING PROGRAMS

FY 2013-14

PROGRAM	START DATE	MOS. IN OPERATION	TOTAL GRANT AMOUNT	FUNDS SPENT (Between 7/1/13 thru 6/30/14)	% OF TOTAL BUDGET SPENT	# FAMILIES SERVED
CVLIHC Hermanas II	1/1/11	30	\$424,809	Supp. Services \$ 21,013 Operating \$ 4,896 Leasing \$ 23,674 CVLIHC Admin \$ 3,967 County Admin \$ - TOTAL \$ 53,550	85%	10 women and their children
HMIS Charm	9/1/10	36	\$290,094	Supp. Services \$ 83,946 Operating \$ - Leasing \$ - County Admin \$ - TOTAL \$ 83,946	99%	All clients being served in the SHP, SPC & ESG programs.
CVLIHC SPICE	8/1/10	36	\$427,782	Supp. Services \$ 6,422 Operating \$ 11,932 Leasing \$ - CVLIHC Admin \$ 1,466 County Admin \$ 286 TOTAL \$ 20,106	100%	Averages 27 per month
TOTALS			\$1,142,685	\$ 157,602	94%	

**2011 SUPPORTIVE HOUSING PROGRAMS
FY 2013-14**

PROGRAM	START DATE	MOS. IN OPERATION	TOTAL GRANT AMOUNT	FUNDS SPENT (Between 7/1/13 thru 6/30/14)	% OF TOTAL BUDGET SPENT	# FAMILIES SERVED
Large HUD	1/1/13	12	\$231,595	Supp. Services \$ 103,961 Operating \$ 24,740 Leasing \$ - L HUD Admin \$ 2,279 County Admin \$ 2,090 TOTAL \$ 133,070	100%	15 Individuals per month
Homelessness I	7/1/12	12	\$255,328	Supp. Services \$ 8,423 Operating \$ - Leasing \$ - CVLIHC Admin \$ 6,080 County Admin \$ 879 TOTAL \$ 15,382	84%	35 Families at one time
CVLIHC Care	9/1/12	12	\$398,821	Supp. Services \$ 34,692 Operating \$ 459 Leasing \$ 50,122 CVLIHC Admin \$ 9,496 County Admin \$ 1,730 TOTAL \$ 96,499	90%	35 families at one time.
Hermanas I	7/1/12	12	\$141,253	Supp. Services \$ 5,148 Operating \$ 998 Leasing \$ - CVLIHC Admin \$ 3,361 County Admin \$ - TOTAL \$ 9,507	83%	10 families at one time.
Hope	8/1/12	12	\$373,488	Supp. Services \$ 39,328 Operating \$ 7,783 Leasing \$ 37,688 HOPE Admin \$ 2,884 County Admin \$ 1,105 TOTAL \$ 88,787	93%	25 Families / Individuals at one time
HUD III	8/1/13	11	\$490,911	Supp. Services \$ 85,846 Operating \$ 28,541 Leasing \$ - HUD III Admin \$ 4,332 County Admin \$ 2,705 TOTAL \$ 121,423	25%	9 individuals per month
TOTALS			\$1,891,396	\$ 464,667	74%	

**2012 SUPPORTIVE HOUSING PROGRAMS
FY 2013-14**

Horizons	4/1/13	12	\$210,084	Supp. Services \$ 50,637 Operating \$ - Leasing \$ 84,581 CVLIHC Admin \$ 8,072 County Admin \$ 5,351 TOTAL \$ 148,641	91%	12 Families at one time
Large HUD	1/1/14	6	\$236,006	Supp. Services \$ 77,578 Operating \$ 15,595 Leasing \$ - L HUD Admin \$ 2,138 County Admin \$ 2,029 TOTAL \$ 97,341	41%	15 Individuals per month
Homelessness I	7/1/13	12	\$260,192	Supp. Services \$ 53,364 Operating \$ - Leasing \$ 171,474 CVLIHC Admin \$ 6,774 County Admin \$ 5,105 TOTAL \$ 236,717	91%	35 Families at one time
CVLIHC Hermanas I	7/1/13	12	\$143,944	Supp. Services \$ 37,040 Operating \$ 4,438 Leasing \$ 77,928 CVLIHC Admin \$ 4,136 County Admin \$ 4,139 TOTAL \$ 127,681	89%	10 women and their children
CVLIHC SPICE	8/1/13	11	\$155,368	Supp. Services \$ 35,223 Operating \$ 85,543 Leasing \$ - CVLIHC Admin \$ 3,867 County Admin \$ 3,623 TOTAL \$ 128,256	83%	Averages 27 per month
CVLIHC Care	9/1/13	10	\$406,418	Supp. Services \$ 78,034 Operating \$ 5,685 Leasing \$ 245,226 CVLIHC Admin \$ 6,874 County Admin \$ 4,312 TOTAL \$ 340,131	24%	35 families at one time.

2012 SUPPORTIVE HOUSING PROGRAMS (CON'T)
FY 2013-14

PROGRAM	START DATE	MOS. IN OPERATION	TOTAL GRANT AMOUNT	FUNDS SPENT (Between 7/1/13 thru 6/30/14)	% OF TOTAL BUDGET SPENT	# FAMILIES SERVED
CVLIHC Hermanas II	1/1/14	6	\$144,300	Supp. Services \$ 11,299 Operating \$ 979 Leasing \$ 33,681 CVLIHC Admin \$ 2,160 County Admin \$ 2,264 TOTAL \$ 50,383	35%	10 women and their children
HMIS Charm	9/1/13	10	\$98,540	Supp. Services \$ 80,625 Operating \$ - Leasing \$ - County Admin \$ 4,438 TOTAL \$ 85,063	86%	All clients being served in the SHP, SPC & ESG programs.
Hope	8/1/13	11	\$399,489	Supp. Services \$ 113,302 Operating \$ 26,158 Leasing \$ 103,010 HOPE Admin \$ 10,004 County Admin \$ 4,728 TOTAL \$ 257,201	64%	25 Families / Individuals at one time
CVLIHC Homelessness II	4/1/13	12	\$361,400	Supp. Services \$ 54,636 Operating \$ - Leasing \$ 182,086 CVLIHC Admin \$ 17,122 County Admin \$ 6,006 TOTAL \$ 259,850	30%	45 families at one time.
TOTALS			\$2,415,741	\$ 1,731,264	72%	

**2013 SUPPORTIVE HOUSING PROGRAMS
FY 2013-14**

PROGRAM	START DATE	MOS. IN OPERATION	TOTAL GRANT AMOUNT	FUNDS SPENT (Between 7/1/13 thru 6/30/14)	% OF TOTAL BUDGET SPENT	# FAMILIES SERVED
Horizons	4/1/14	3	\$210,084	Supp. Services \$ 4,681	14%	12 Families at one time
				Operating \$ -		
				Leasing \$ 22,840		
				CVLIHC Admin \$ 1,193		
				County Admin \$ 680		
				TOTAL \$ 29,394		
CVLIHC Homelessness II	4/1/14	3	\$361,400	Supp. Services \$ 7,656	19%	45 families at one time.
				Operating \$ -		
				Leasing \$ 58,263		
				CVLIHC Admin \$ 1,193		
				County Admin \$ 208		
				TOTAL \$ 67,320		
TOTALS			\$571,484	\$ 96,714	17%	

