

# 2020-2021 PROPOSED BUDGET

Office of the County Administrator  
June 9, 2020





**COVER PHOTO**

*New Public Health Services Facility - Groundbreaking 2020*

# SAN JOAQUIN COUNTY BOARD OF SUPERVISORS

2020 - 2021



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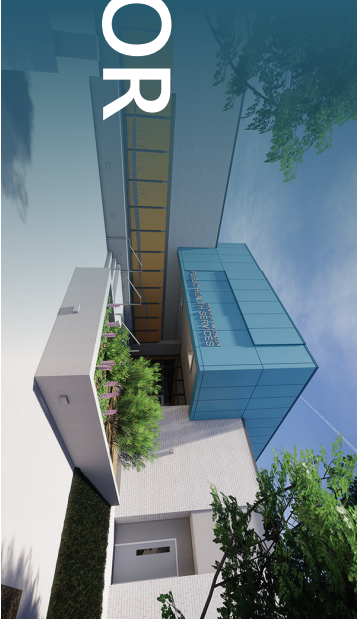
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PROPOSED BUDGET

COUNTY ADMINISTRATOR





June 9, 2020

Board of Supervisors  
County Administration Building  
Stockton, CA 95202

## **Fiscal Year 2020-2021 Proposed Budget**

Dear Board Members:

### **Introduction**

*“Success is not final, failure is not fatal; It is the courage to continue that counts.”*

*- Winston Churchill*

No one could have predicted the devastation that the country is facing caused by the 2019 Novel Coronavirus (COVID-19). San Joaquin County government is facing these challenges with the determination, wisdom, and courage necessary to preserve the health and economic vitality of the community it serves. The 2020-2021 Proposed budget reflects these values as the County prepares for the challenges it will face in the coming fiscal year and beyond.

The 2020-2021 Proposed Budget recognizes the tremendous impact the COVID-19 pandemic is having on the County, State, and national economy. Prior to the COVID-19 pandemic, San Joaquin County, like much of the country, prospered due to the longest economic recovery in our nation’s history. That situation has changed rapidly.

On March 4, 2020, the Governor proclaimed a State of Emergency in California due to the threat of COVID-19. The County Public Health Officer subsequently declared a local health emergency on March 12, 2020. On March 19, 2020, in response to the rapid spread of COVID-19, the Governor issued a Statewide stay-at-home order to slow the spread of COVID-19 and protect the health and well-being of Californians. Businesses designated as “non-essential” were required to close.

Prior to the mandatory closure of non-essential businesses caused by COVID-19, the February 2020 unemployment rate in San Joaquin County was 6.6%. In May 2020, the County’s unemployment rate grew to 18.3%. The Center for Business and Policy Research at the University of the Pacific has projected that the largest total loss of jobs in San Joaquin County will be in the food preparation and serving occupations, and the largest percentage loss in jobs occurring in the personal care and service occupations. These job losses are having far-reaching impacts on both the community and County government. Due to the aggressive public health response to the COVID-19 pandemic, including County facility closures, stay-at-home measures, and preparation for a potential surge in COVID-19 positive patients, the County has already experienced severe revenue declines in the third and fourth quarters of 2019-2020, largest at San Joaquin General Hospital.

San Joaquin County is not the only government entity facing a dramatic change in its fiscal situation. At the release of his 2020-2021 proposed budget on January 10, 2020, Governor Gavin Newsom said *“California’s economic growth has fueled the nation’s economy. As 2020 begins, California’s economy is the strongest in the nation and the fifth largest in the world. We’re eliminating debts, paying down pension liabilities, growing our reserve funds – the largest ever at \$21 billion – and one out of every seven new U.S. jobs is in California.”* The Governor’s January 10, 2020 budget proposal anticipated a budget surplus of approximately \$5.4 billion.

Roughly, four months later, the Governor released the May Revision to the proposed 2020-2021 State budget. The May Revision from the Governor’s Office now projects a \$54.3 billion deficit, a change of approximately \$60.0 billion when compared to the January 10, 2020 budget proposal. The revenue and funding the County receives from the State will clearly be negatively impacted by this dramatic change in the State and local economy.

Reopening of the County economy in a safe and timely fashion is critical. The State has identified a resilience roadmap with four stages for modifying the stay-at-home order and reopening the economy: Safety and Preparedness; Lower-risk Workplaces; Higher-risk Workplaces; and End of Stay-at-Home Order. A specific timeline has not been identified and instead reopening is dependent upon six readiness indicators and it is anticipated it will be months before the stay-at-home order is completely lifted. A number of economic stimulus programs have been created at all levels of government to assist impacted businesses, employees, and the unemployed during the pandemic. On April 7, 2020, the Board of Supervisors approved temporary amendments to the Economic Development Association’s Revolving Loan Fund administrative plan to suspend certain provisions and loan repayment requirements as well as create and fund a new loan program called the Emergency Business Support Fund to support San Joaquin County businesses.

In an effort to assist the local economy, the County is making every effort to leverage State and Federal funding to combat the negative economic effects caused by the pandemic. On March 27, 2020, the President signed into law the Coronavirus Aid, Relief, and Economic Security Act (CARES Act). The CARES Act established the Coronavirus Relief Fund (Fund) and appropriated \$150.0 billion to the Fund, to be used to provide payments to State, Local, and Tribal governments navigating the impacts of the COVID-19 outbreak. Local governments eligible for receipt of direct payment from the Fund include counties, cities, or other units of general government below the State level with populations that exceed 500,000. On April 24, 2020, San Joaquin County received \$132.9 million in CARES Act funding. During 2019-2020, the Board authorized the use of CARES Act funding to appropriately address departmental COVID-19 expenditures, as well as assist other non-County entities impacted by the COVID-19 pandemic. Of the \$132.9 million received, the Board allocated \$15.0 million to establish an economic development fund to provide \$3.0 million in COVID-19 relief grants for each supervisorial district to reimburse health care partners and to support eligible small businesses that have experienced business interruption due to the COVID-19 public health emergency.

To ensure the effective management of the County’s limited resources during these challenging and rapidly changing times, the County Administrator’s Office (CAO) will continue to closely monitor State and Federal budgets in 2020-2021, as well as local and national economic indicators.

In addition to the strategies implemented to address the challenges caused by COVID-19, the 2020-2021 Proposed Budget for San Joaquin County also focuses on carrying out the Board’s directive that all departments establish homelessness as an operational priority and the five Board Strategic Priorities for 2019-2022.

1. Ensure fiscal responsibility

2. Promote good governance and increase organizational capabilities
3. Improve public safety and enhance overall criminal justice system
4. Promote economic development
5. Stay informed and proactive in dealing with water issues

The budget funds core services with emphasis on the Board's existing policy of no backfill from the General Fund when State and Federal funds are eliminated. This is important due to the uncertainty of the magnitude of the reductions the County will have to endure once State revenues are realized, which are not anticipated until later in this calendar year. Innovation, fiscal responsibility, quality of life, and public service remain as hallmarks of the vision established by the Board for this proposed spending plan. The recommended budget for each County department has been carefully aligned to support the Board of Supervisors' Strategic Priorities.

The CAO is pleased to submit the 2020-2021 Proposed Budget, which demonstrates that San Joaquin County is well governed and has positioned itself with Department Head leadership that will need to move swiftly when reductions are known, as a result of the COVID-19 pandemic in 2020-2021 and future budgets. The Board's thoughtful stewardship of County resources has resulted in a strong government organization with a structurally-balanced budget and increases to reserves. This balanced budget fully funds current departmental staffing levels, and where appropriate, augments staffing to address critical operational needs, especially when there was offsetting revenue and enhanced operational efficiency.

The 2020-2021 Proposed Budget totals \$1.9 billion and reflects an increase of \$60.5 million when compared to the 2019-2020 adjusted budget. While the 2020-2021 Proposed Budget is structurally-balanced, it is essential that the County continue its focus on fiscal sustainability and maximize all available resources to lessen the burden on the General Fund. Because of the economic downturn we are currently facing, the County must remain focused on maintaining a balanced budget. To this end, the County will:

- Negotiate only affordable and sustainable labor agreements;
- Assess the County's retirement rates and funding ratio in the event there is a significant increase in the retirement rates or reduction in the funding ratio;
- Advocate for the County's retention of its allotted share of property tax revenues;
- Limit the issuance of debt;
- Improve the revenue cycle and reduce operational costs of San Joaquin General Hospital;
- Only fill those positions that are necessary in anticipation of revenue shortfalls from the State and Federal governments; and
- Adhere to the County's No-Backfill Policy when reductions occur.

These practices and projects will provide the foundation necessary for sustaining a structurally-balanced budget, now and in the future.

The 2020-2021 Proposed Budget has been carefully designed to advance the public interest, while at the same time remain fiscally sound. Many hours of hard work and collaboration were spent by the County's dedicated employees and executives to develop this spending plan. It is their talent, creative thinking, understanding, and commitment to public service that help make San Joaquin County such a thriving community.

**Proposed Budget Assumptions** – In the initial development of the Proposed Budget, the year-end available fund balance projections were developed utilizing midyear and third-quarter projections. The June 30, 2020 year-end fund balance is estimated at over \$25.9 million. While these funds can assist balancing the budget, it is not an ongoing revenue stream and should not be relied upon to fund ongoing costs. The Proposed Budget does not utilize these one-time funds to provide a structurally-balanced budget.

The Proposed Budget adds \$5.0 million to the Reserve for Contingencies in order to achieve the Board’s policy of 5% of total appropriations, funds the 5% contribution toward the unfunded retirement liability at a Net County Cost of \$8.0 million, primarily funded from ongoing funding sources; an additional \$7.3 million to the Unfunded Pension Liability Reserve; and \$11.3 million added to the Approved Labor Agreements Reserve. Thus, the remainder of ongoing revenue streams are used to fund ongoing operational costs.

Prior to 2019-2020, the Proposed Budget was compared to the prior year’s Adopted Budget, which does not include any budget adjustments that may have been approved by the Board of Supervisors. To better compare budget-to-budget, the 2020-2021 Proposed Budget is compared to the 2019-2020 Adjusted Budget, which includes any changes to appropriations through December 31, 2019. This provides a more accurate comparison to the prior budget.

**Labor** – Employee salary and benefit costs comprise approximately 49% of the County’s Proposed Budget. The County has current labor agreements with 14.6% of the County labor force leaving 85.4% expired or near expiration by June 30, 2020. The bargaining units with expired or expiring contracts are the Attorney’s Association, Law Enforcement Management Association (LEMA), Sheriff Deputy Sergeant Association, Physicians (UAPD), Nurses (CNA), and Service Employees International Union (SEIU). SEIU MOUs represent 69.3% of the County’s workforce and bargaining units include Office & Technical; Para-Professional & Technical; Safety, Investigative & Custodial; Professional; Supervisors; and Trades & Labor. The total number of positions within the bargaining units that are expired or will expire on or before June 30, 2020 is 5,722 or 85.4% of the workforce. The potential costs resulting from these negotiations are unknown, therefore, are not included in the Proposed Budget. For the agreements, which have expired, or will expire by June 30, 2020, the total cost for a 1% base salary increase is approximately \$6.0 million, with a Net County Cost of \$2.1 million.

**Retirement** – In 2015-2016, the Board adopted a policy to contribute up to 5% additional funding toward the County’s unfunded retirement liability. It is estimated that the County will have provided an additional \$77.9 million, including interest credit, during the period of January 2017 through June 2020. The 2020-2021 Proposed Budget includes \$23.4 million for contributions toward the unfunded retirement liability with a Net County Cost of \$8.0 million.

In addition to the contribution of up to 5% additional funding toward the County’s unfunded retirement liability, the Unfunded Pension Liability Reserve was created to offset revenue in those years needed to pay a portion of the 5% unfunded retirement liability contribution. The projected fund balance in the Unfunded Pension Liability Reserve at year-end is approximately \$33.1 million. The Proposed Budget adds an additional \$7.3 million to the Reserve.

While the final actuarial report for 2019 has not been finalized, the final annual financial report indicates the investment earnings exceeded the expected earnings rate of 7.25% with a preliminary net-of-fees investment return of 13.77% as of December 31, 2019. However, due to the 2019 Novel Coronavirus (COVID-19) pandemic, earnings are not anticipated to reach the recently adjusted expected earnings rate of 7.00% in calendar year 2020. In February 2020, the San Joaquin County Employees’ Retirement System approved a phased approach to lowering the expected earnings rate of 7.25% down to 7.00%. The fiscal



impact of the change on the unfunded liability will be phased in over a three-year period, which will also phase in the impact on the retirement contribution rates. The Proposed Budget assumes retirement rates to increase approximately 4% in calendar year 2021. For every 1% increase in the retirement rate, the total cost to the County is approximately \$4.9 million, with the Net County Cost of \$1.7 million. Based on the latest annual financial report, the funded ratio of the retirement plan was at 65.8% as of January 1, 2020, with a reduced unfunded liability of \$1.7 billion; San Joaquin County's share is estimated at \$1.6 billion. The severity of impacts from the losses during the pandemic will not be known until the annual financial reporting ending December 31, 2020.

**Health Insurance** – The County's self-insurance health reserve funds have been depleting at an alarming rate. For several years, increased costs have been absorbed by the reserve, but as of 2018-2019, this practice was no longer sustainable. Costs for the self-insured health plans increased 16% in 2018-2019 and 22.8% in 2019-2020. Although there has been some leveling out due to new vendor contracts associated with self-funded plans, rates are anticipated to increase again in 2020-2021 by 3.5%. Due to the long-term and continuing universal trend of escalating health care costs, the County continued working with its consultant/actuary to develop additional plan options for employees and their families.

Effective July 2020, the County will offer employees new High Deductible Health Plans (HDHP) from Kaiser Permanente and Sutter Health, and a County contribution to a Health Savings Account (HSA). Savings from reduced premiums will more than offset the County contributions of \$700 per individual, or \$1,400 for employees with one or more dependents. Annually, the County will save approximately \$1,000 (single employee) or \$3,400 (family) even after making the HSA contribution. Total annual savings will depend on the number of employees who elect to enroll in the new HDHPs.

**San Joaquin General Hospital (SJGH)** – The SJGH is projecting to close the 2019-2020 fiscal year with an operating deficit of \$45.0 million, primarily due to a projected decrease in net patient revenue. Other contributing factors include expenditures for staffing and overtime costs, contract staff and consultant services, and medical supplies. In 2019-2020, SJGH's continued operation of primary care clinics through San Joaquin County Clinics (SJCC), the County's Federally Qualified Health Center Look-Alike, was evaluated for operational efficiency and sustainability. Changes were implemented with a focus on increasing productivity, while also reducing expenditures at locations not performing efficiently. As a result, clinic operations at the Hazelton Avenue (Stockton) and Manteca locations will be discontinued on June 30, 2020. Requests to regulatory agencies for rate increases are being proposed and if approved, are expected to improve clinic revenues; however, if the clinic system's overall financial performance does not significantly improve, further corrective actions will be proposed for Board consideration.

In the first few months following declaration of a national emergency and shelter-in-place guidelines in California due to the COVID-19 pandemic, SJGH experienced a 25-30% decline in inpatient admissions and census. On March 24, 2020, the California Department of Health Care Services issued guidance relating to non-urgent procedures relative to the COVID-19 crisis, which significantly reduced surgical and invasive procedures for both inpatients and outpatients at SJGH. Outpatient volume was reduced to only essential visits and many of the remaining encounters were accomplished through secure video conferencing. In some outpatient departments, activity in April 2020 was 30-40% less than in January and February 2020. In May 2020, SJGH began performing a limited number of elective cases and procedures, and increased its outreach efforts to clinic patients to increase visits and procedures. A gradual return to historical surgical caseload and inpatient admissions and census is anticipated.

In 2020-2021, SJGH will continue to work closely with State, Federal, and local agencies in responding to the COVID-19 crisis and plans to gradually restore activity to pre-COVID-19 levels in accordance with guidance provided by the California Department of Health Care Services and County Public Health. Various

funding mechanisms, including the CARES Act, will be accessed to provide reimbursement for the costs associated in dealing with the pandemic. On May 12, 2020, the Board approved reimbursement to Departments for COVID-19 related expenses, emergency services, and other essential County services. SJGH will be among the agencies receiving a reimbursement allocation. The scope of operations at SJGH will be monitored closely and service levels adjusted if fiscal sustainability is not achieved.

The recommended budget continues to include only the minimum \$2.1 million annual Maintenance of Effort (MOE) contribution from the County General Fund. Activities planned for 2020-2021 will continue to focus on maintaining financial stability for both SJGH and SJCC. Revenue projections for 2020-2021 assume hospital and clinic operations are back at levels historically experienced before the COVID-19 pandemic, including inpatient and Emergency Department volumes. In the event patient volumes do not return to pre-COVID-19 levels, SJGH management will review revenue estimates and implement operational adjustments where necessary to offset fiscal impacts, including evaluation of services to reduce or phase out, and decreasing staffing levels to support the lower patient volumes.

**Child Support Funding** – In 2019, due to efforts led by San Joaquin County, \$19.1 million was allocated from the State General Fund to 21 underfunded Local Child Support Agencies (LCSAs). The \$19.1 million was matched by Federal dollars to provide a total of \$56.0 million. The increase represented the first year of a three-year phased-in implementation of a new funding methodology. The County’s portion was \$3.1 million in 2019-2020, and an additional \$3.1 million was expected in 2020-2021 and 2021-2022. However, due to the economic impacts brought by the COVID-19 pandemic, California is projected to have a budget shortfall of \$54.3 billion in 2020, and the second year of the Child Support Enforcement funding increase initially identified in the Governor’s Budget in January 2020 was eliminated in the Governor’s Revised Budget in May 2020. As a result, the 2020-2021 Proposed Budget does not include the \$3.1 million in anticipated additional Child Support Enforcement formula funds for the second year of funding using the new funding methodology. In addition, due to the State’s budget shortfall resulting from COVID-19, the Child Support program is identified to be funded at the 2018-2019 funding level, eliminating all increases previously identified. In order to reduce the impact to the original 21 underfunded counties, the State Child Support Department (State DCSS) created and applied a reduction methodology for the \$56.0 million to all LCSAs. This resulted in a decrease of \$1.0 million to San Joaquin County’s 2020-2021 allocation, rather than the initial projected loss of \$3.1 million.

The State has determined that San Joaquin County will be approximately 31.6% underfunded in 2020-2021, based on its current caseload. Department of Child Support executive managers continue to work with the Child Support Directors Association and the State DCSS to improve the Statewide funding methodology to the LCSAs. As a result of the State impacts, 15 positions are recommended to be eliminated, with 12 employees potentially impacted.

**Homelessness** – This issue is amongst the most complex challenges the County faces. The foundation of effective solutions to homelessness is a robust system of housing and services for the most vulnerable citizens, which is considerate of the concerns of the individual including mental health, physical health, and substance abuse issues. This must be realized through a multi-disciplinary strategy implemented by local policymakers and subject matter experts involving significant commitments of time and resources from every jurisdiction affected by homelessness. Between 2015 and 2018, the San Joaquin County Homelessness Task Force (HTF) developed strategies for this response, which included feedback and direct participation from dozens of organizations and individuals representing a wide range of sectors, including social services, health care, and law enforcement. Starting in January 2019, the HTF folded into the San Joaquin Continuum of Care (CoC) to expand and continue this work. In April 2018, a Program Administrator-Homeless Initiatives (PA) position was created within the County Administrator’s Office (CAO). The CAO also secured \$379,641 in funding commitments and the PA position has, to date, been

fully funded using only State and Federal grant dollars. The PA continues to serve as the focal point and resource for all of the County’s efforts to reduce and prevent homelessness, working with all seven incorporated cities in the County as well as hundreds of stakeholders throughout the region. Currently, the County has the following \$6.6 million in funding available and unobligated for homelessness during the 2020-2021 fiscal year:

<b>San Joaquin County Unobligated and Available Funds for Homelessness in 2020-2021</b>	
Homeless Housing, Assistance and Prevention (HHAP)	\$2,871,309
Permanent Local Housing Allocation (PLHA)*	1,310,193
Community Development Block Grant - CARES Act (CDBG-CV)	935,414
Emergency Solutions Grant - CARES Act (ESG-CV)	818,545
<b>Total</b>	<b>\$5,935,461</b>
<i>*These are formula funds. The County has not yet submitted the required application. The Community Development Department will submit the application by the July 27, 2020 deadline.</i>	

In addition to the CAO, and as part of a comprehensive response to homelessness, many agencies within the County are engaged in solutions. As part of the 2019-2020 Final Budget adoption, the San Joaquin County Board of Supervisors established homelessness as an operational priority for all County departments to address and limit the impacts of homelessness in San Joaquin County. Homelessness affects everyone, regardless of socio-economics. The County remains committed to developing and implementing solutions to this pervasive problem and acknowledges that success depends upon the involvement of the entire community, a community that values responsibility and accountability. Many County departments work regularly with the homeless, including Behavioral Health Services (BHS), District Attorney, Human Services Agency, Public Defender, Public Health Services, Sheriff, SJGH, SJCC, and others. In 2019, 14,578 unduplicated individuals Countywide were provided services through programs offering emergency shelter, transitional and permanent supportive housing, rental assistance and essential supportive services; of those, 38% were permanently disabled, 10% had a chronic health condition, 13% had a behavioral health condition, 8% were military veterans, 15% were seniors, and slightly more than half were male.

BHS continues to provide many services that intersect with the County’s homeless population. Through partnerships with local community-based organizations like Community Medical Centers (CMC), Central Valley Low-Income Housing Corporation, and the Housing Authority of the County of San Joaquin (HACCSJ), BHS is working to provide housing and services to homeless individuals with issues of behavioral health. Using \$3.5 million in Mental Health Services Act (MHSA) funds, the innovative Crossways Residences project began renovation in 2019 to convert the former offices of HACCSJ to create 35 units of project-based permanent supportive housing and on-site supportive services for BHS clients, with a planned opening for October 2020. Homeward Bound, a partnership between BHS and CMC, uses \$8.2 million in MHSA Innovation funds and Proposition 47 grant funds over five years to assist the homeless with mental health and substance abuse issues, through services including withdrawal management, housing support, and case management.

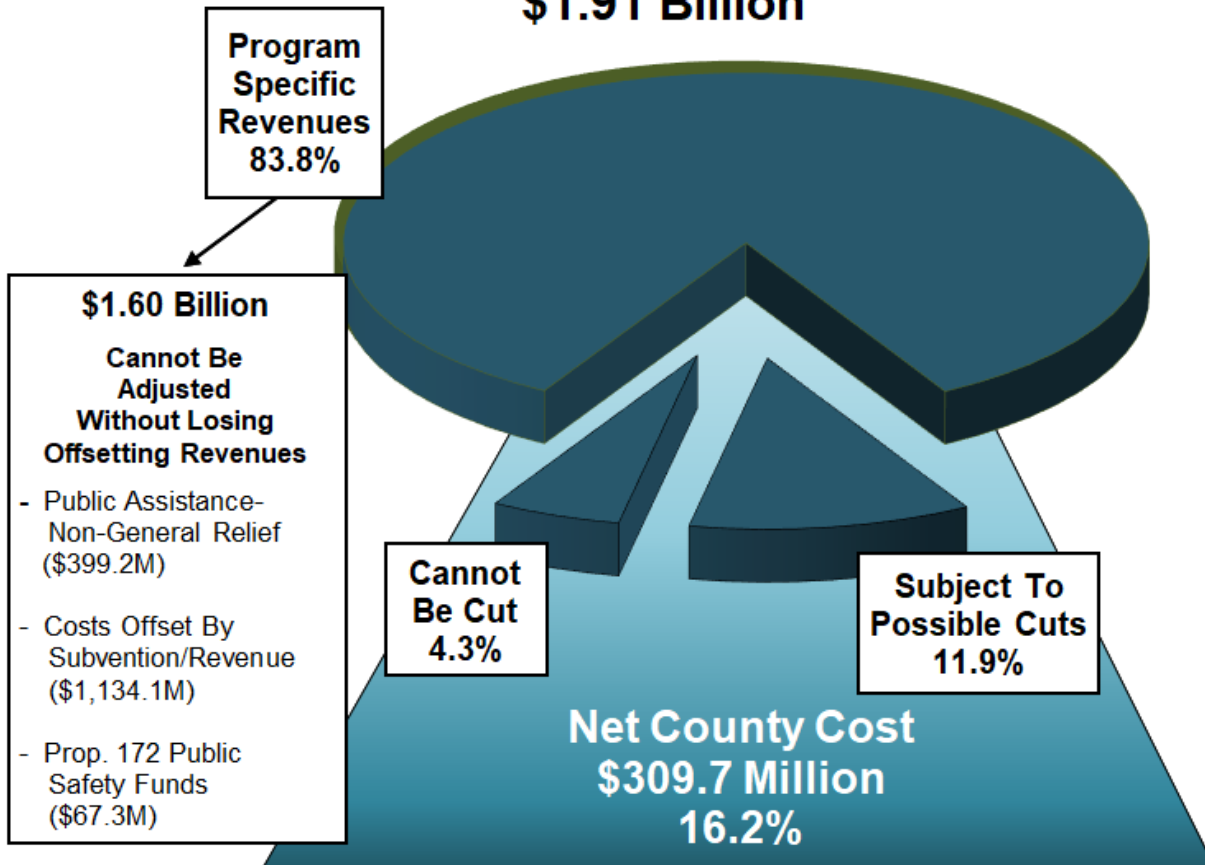
## Financial Overview

Appropriations in the 2020-2021 Proposed Budget total \$1.9 billion. This represents an increase of \$60.5 million from the 2019-2020 adjusted budget. Property tax revenue, the major source of General Purpose Revenue in San Joaquin County, is expected to grow by 5.25%, following increases of 5.25% and 5.1% in 2019-2020 and 2018-2019, respectively.

The Proposed Budget provides for increases in salary and benefits stipulated in the existing labor agreements. In addition, the budget includes significant State and Federal revenues for law and justice activities, transportation projects, and health and human services programs. Overall, departmental revenues including State and Federal funding, charges for services, and non-General Fund available year-end fund balances, provide 83.8%, or \$1.60 billion of the budget. The remaining \$309.7 million is funded by General Purpose Revenue.

As a political subdivision of the State, the County's role is to deliver the services mandated by the State and Federal governments. Consequently, the Board of Supervisors does not determine which government functions the County performs. Health, welfare, criminal justice, elections, recording of documents, weights and measures, and agricultural enforcement are some examples of State-prescribed and County-administered functions. Many of the programs mandate performance standards and require County funding participation from the local revenue base. Maintaining the limited discretion the Board of Supervisors has when it comes to General Purpose Revenue is critical to addressing the diverse needs of the community.

# 2020-2021 Proposed County Budget \$1.91 Billion



<b>Cannot Be Cut \$81.5 Million</b>	<b>Subject To Possible Cuts \$228.2 Million</b>	
<b>Required Match</b>	<b>Mandated with Discretion</b>	
<ul style="list-style-type: none"> <li>- Health Services (\$5.8)</li> <li>- Human Services (\$15.6)</li> <li>- Trial Courts (\$6.9)</li> <li>- Public Safety                             <ul style="list-style-type: none"> <li>- Jail Operations (\$10.3)</li> <li>- Sheriff Non-Jail (\$20.1)</li> <li>- District Attorney (\$7.5)</li> <li>- Indigent Defense (\$8.1)</li> <li>- Juvenile Detention (\$3.1)</li> <li>- Probation (\$4.1)</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>- Health Services (\$3.1)</li> <li>- Public Health (\$20.1)</li> <li>- General Asst (\$0.9)</li> <li>- Jail Medical (\$13.5)</li> <li>- Jail Operations (\$25.4)</li> <li>- Sheriff Non-Jail (\$38.0)</li> <li>- District Attorney (\$24.6)</li> <li>- Indigent Defense (\$10.3)</li> <li>- Juvenile Detention (\$11.8)</li> <li>- Probation (\$7.7)</li> <li>- Children's Shelter (\$2.4)</li> <li>- Other Community Services (\$23.9)</li> </ul>	<ul style="list-style-type: none"> <li>- Board of Supervisors (\$4.7)</li> <li>- Administration/Support (\$4.8)</li> <li>- Property Tax &amp; Fiscal Systems (\$13.2)</li> <li>- Building Maintenance &amp; Utilities (\$0.3)</li> <li>- Elections (\$4.3)</li> <li>- Ag Programs (\$3.0)</li> <li>- Education (\$0.8)</li> <li>- Parks (\$5.1)</li> <li>- Environmental Review &amp; Planning (\$5.3)</li> <li>- Contingencies (\$5.0)</li> </ul>

The proposed \$1.9 billion budget is illustrated by the pie chart on page 9. The chart highlights several key points:

- Revenue-specific programs account for \$1.60 billion or 83.8%, of the County’s budget. These areas cannot be adjusted without losing offsetting revenues.
- Only \$309.7 million, or 16.2%, of the proposed County budget represents Net County Cost, which is funded by General Purpose Revenue. This amount includes a transfer to the Reserve for Contingencies of \$5.0 million, \$7.3 million to the Unfunded Pension Liability Reserve, and \$11.3 million to the Approved Labor Agreements Reserve.
- \$228.2 million, or 11.9%, of the budget is available to absorb any general State/Federal budget cuts and local cost increases. However, even the areas subject to possible cuts are mandated with discretion and controlled by law and State regulations, where the Board of Supervisors has some authority over the level of services.
- Public Safety programs consume \$131.3 million, or 57.5%, of the areas subject to possible cuts. When combined with the maintenance-of-effort requirements, a total of \$184.5 million, or 59.6%, of the General Purpose Revenue is allocated to Public Safety programs.

### **All Funds**

The 2020-2021 Proposed County Budget totals \$1,910,352,049, which is an increase of \$60,452,074 or 3.3% from the 2019-2020 adjusted budget. This includes the General Fund, Special Revenue Funds, Capital Project Funds, and three Enterprise operations. The Appropriations and Sources of Funding are summarized below:

All Funds	2019-2020 Adjusted	2020-2021 Recommended	Increase/ (Decrease)
<b>Appropriations</b>			
Departmental Appropriations	\$1,845,271,500	\$1,905,352,049	\$60,080,549
Appropriation for Contingencies	4,628,475	5,000,000	371,525
Total Appropriations	\$1,849,899,975	\$1,910,352,049	\$60,452,074
<b>Sources of Funding</b>			
Departmental Revenues	\$1,489,247,205	\$1,590,759,990	\$101,512,785
General Purpose Revenues	297,921,974	309,733,825	11,811,851
Fund Balance			
General Fund	40,595,277	0	(40,595,277)
All Other Funds	22,135,519	9,858,234	(12,277,285)
Total Sources of Funding	\$1,849,899,975	\$1,910,352,049	\$60,452,074

## General Fund

The 2020-2021 Proposed General Fund Budget totals \$981,009,377, which includes an allocation of \$5.0 million for the Contingency Provision budget. The General Funds represent approximately 51.4% of the overall County budget and the Appropriations and Sources of Funding are as follows:

General Funds	2019-2020 Adjusted	2020-2021 Recommended	Increase/ (Decrease)
<b>Appropriations</b>			
Departmental Appropriations	\$983,712,361	\$976,009,377	(\$7,702,984)
Appropriation for Contingencies	4,628,475	5,000,000	371,525
Total Appropriations	\$988,340,836	\$981,009,377	(\$7,331,459)
Departmental Revenues	649,823,585	671,275,552	21,451,967
Net County Cost	\$338,517,251	\$309,733,825	(\$28,783,426)
<b>Sources of Funding</b>			
General Purpose Revenues	\$297,921,974	\$309,733,825	\$11,811,851
Fund Balance			
General Fund	40,595,277	0	(40,595,277)
Total Sources of Funding	\$338,517,251	\$309,733,825	(\$28,783,426)

## Financial Analysis

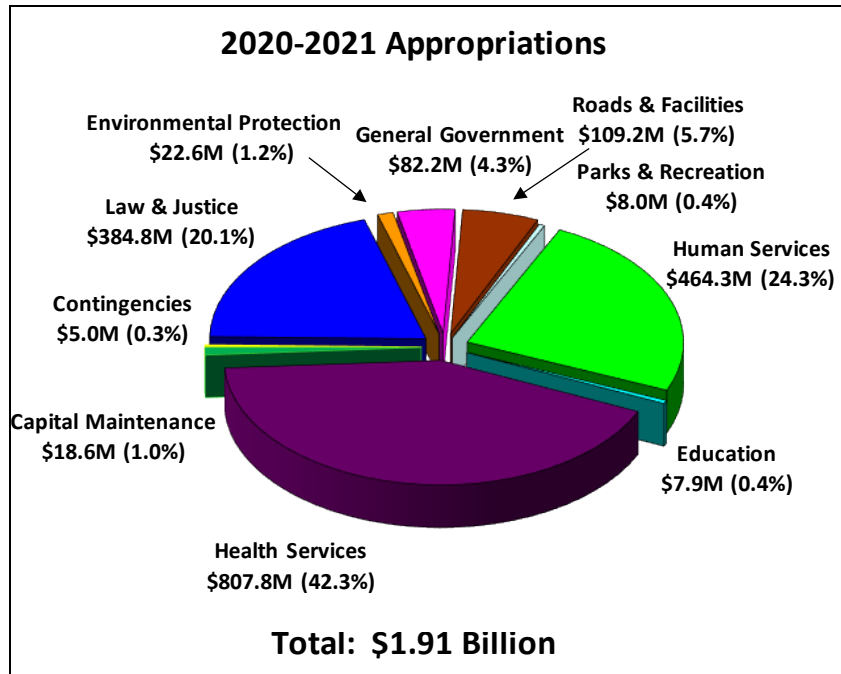
### Appropriations

Appropriations are primarily based on the current State/County funding relationship. In the event State funding is less than the anticipated amounts as a result of the final State Budget, a commensurate reduction in local expenditures will be necessary consistent with the County's Grant Funding Policy Guidelines (B-02-106). The guidelines are as follows:

- Funding over-matches for State and Federal grants shall be avoided.
- Grant funding resources should be maximized to meet community needs, and to minimize Net County Cost.
- Program managers experiencing grant funding reductions or elimination will seek other non-County funding sources.
- When outside resources run out, program managers will be directed to eliminate ongoing costs and/or develop plans for short-term program phase out.
- Any exceptions to these guidelines shall be limited to emergencies or to the support of critical Board priorities, and must be reviewed and approved by the Board of Supervisors on a case-by-case basis.

Appropriations for Special Districts governed by the Board of Supervisors have been added to the County budget figures to show the total scope of the Board's responsibility. The grand total of all budget elements, including Special Districts, is \$1.95 billion.

The recommended appropriations by functional area are as follows:



Overall, appropriations increased \$60.5 million, primarily due to increases in services and supplies, and salary and benefits adjustments. This change reflects salary increases implemented in 2019-2020 as well as those scheduled for 2020-2021. Notable changes in the 2020-2021 appropriations include the following:

**Increase**

- **Health Services increases by \$58.2 million**, primarily consisting of a \$32.2 million increase for mental health contract staffing to satisfy mandated network adequacy requirements, \$13.1 million in overall salary and benefits adjustments, an \$11.6 million increase in medical supplies and instrument costs, a \$10.0 million increase in Mental Health Services Act and community service provider costs, a \$6.0 million increase in hospital consultant and professional services costs, a \$5.4 million increase due to creation of a new budget for the Office of the Medical Examiner within the Health Care Services Agency, a \$3.5 million combined increase in inpatient mental health hospital costs, supplemental supports for board and care facilities, and children and youth services costs, a \$3.4 million net increase in capital expenditures, a \$2.0 million increase in State hospital costs and assessment and respite center costs, a \$1.9 million increase in equipment and technology maintenance costs, a \$1.7 million increase in data processing charges, a \$1.4 million increase in various Solid Waste costs, a \$1.3 million net increase in full-time staffing costs, a \$963,000 increase in allocated insurance costs, and \$909,000 in net Cost Allocation Plan adjustments, partially offset by a \$22.8 million decrease in operating transfers, a \$10.8 million decrease in hospital contract staffing and use of outside physicians, a \$2.6 million decrease in hospital facility maintenance costs, and a \$1.3 million decrease in budgeted holiday pay.
- **Human Services programs increased by \$20.0 million**, and major changes include an increase in Human Services Agency (HSA) budgets of \$16.7 million, which primarily consists of an increase in HSA Administration of \$16.2 million due to salary and benefits adjustments, fixed assets, service and supplies, and centrally-budgeted charges; and other charges of \$6.0 million from an increase in CalWORKs payments and Foster Care program expenses. The Neighborhood Preservation budget increase of \$2.8 million is primarily due to a new State grant to fund housing and homeless assistance



programs; and increases due to re-budgeted and new State and Federal housing and community development program costs, and public improvement projects; partially offset by decreased State HEAP program costs and decreased Shelter Plus Care program costs due to consolidating with the Continuum of Care program.

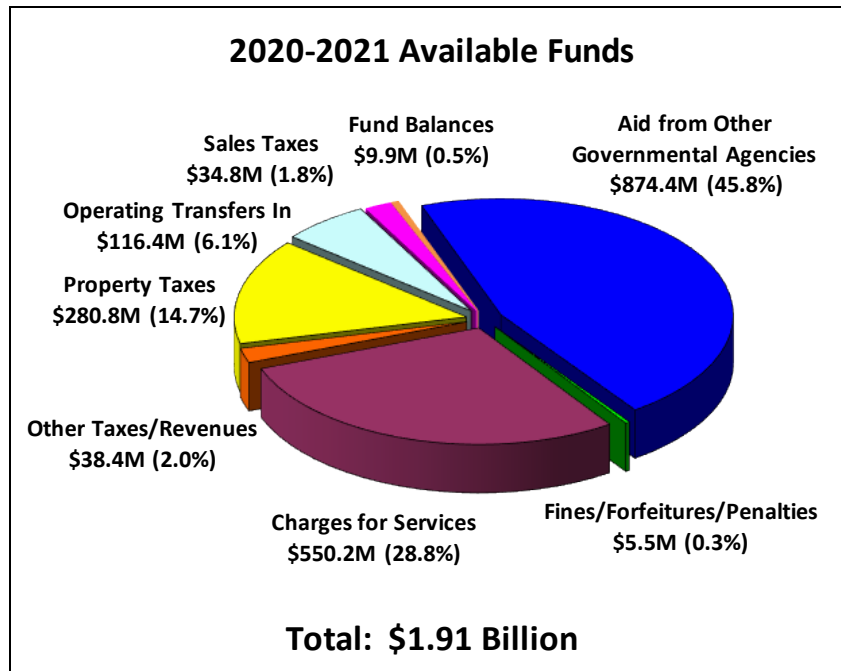
- **Roads and Facilities programs increased by \$6.9 million**, primarily reflecting a \$6.7 million increase in Airport capital improvement projects; \$3.0 million increase in appropriations for Road Districts; and \$1.0 million in salary and benefits adjustments; partially offset by a \$2.0 million decrease in Public Works Construction of public roads, bridges, and related facilities; and a \$1.7 million decrease in professional services for engineering.
- **Capital Maintenance and Improvements increased by \$3.6 million**, primarily consisting of a \$5.0 million increase in Public Improvement capital project costs; \$302,943 increase in extra-help costs and salary and benefits adjustments; partially offset by a \$2.0 million increase in Facilities Management expenditure reimbursements.
- **Law and Justice increased by \$3.4 million**, primarily consisting of \$4.5 million in salary and benefits adjustments; increases of \$2.3 million in centrally-budgeted charges; \$1.1 million in extra-help and overtime costs; \$700,000 in Cost Allocation Plan adjustments; \$500,000 in operating transfers; and \$500,000 in various services and supplies expenses; offset by decreases of \$3.7 million in net staffing costs; and \$2.5 million in operating costs transferred to Health Care Services for the Medical Examiner's Office.
- **Parks and Recreation increased by \$1.4 million**, primarily consisting of increases of \$705,229 in centrally-budgeted charges; an increase in capital expenditures \$278,708, and increases in costs of maintenance of structures and grounds \$277,257.
- **Environmental Protection increased by \$1.1 million**, primarily consisting of increases of \$555,948 for software implementation and computer maintenance; \$510,773 for the addition of one position and salary and benefits adjustments; \$496,163 for Mednet communication system and trauma kits for County first responders; \$475,235 for emergency preparedness grant related expenditures; and \$247,981 in data processing charges; partially offset by decreases in capital expenditures of \$835,139, and a decrease of \$346,084 for the deletion of one position and the transfer of one position to the Information Systems Division.

### ***Decrease***

- **General Government decreased by \$34.2 million**, primarily consisting of \$35.3 million reduction in operating transfers to the Capital Outlay Fund; \$5.9 million in hospital debt service due to payoff; \$3.2 million increase in expenditure reimbursements due to cost allocation plan adjustments; \$2.6 million decrease in capital expenditures for Registrar of Voters; and a decrease of \$600,000 in Tobacco Settlement expenditures; partially offset by increases in operating transfers of \$6.3 million to Approved Labor Agreement Reserve and \$3.0 million to the Reserve for Contingencies; \$1.7 million net increase in salary and benefits primarily within Assessor-Recorder-County Clerk, Treasurer-Tax Collector, and Registrar of Voters; a \$1.6 million increase in services and supplies at Registrar of Voters; and an increase of \$700,000 in centrally budgeted expenditures due to cost allocation plan adjustments.
- **Education programs decreased by \$331,168**, primarily consisting of a \$360,502 decrease in appropriations to fund the County Library system.

## Available Funds

The 2020-2021 proposed expenditure plan is financed with the following estimates of available revenue sources:



Overall, available revenues are expected to increase by \$72.7 million, or 4.0% from the 2019-2020 adjusted budget. Notable changes in 2020-2021 available funds are as follows:

- **Aid from Other Governmental Agencies is projected to increase by \$45.6 million**

<b>Aid From Other Governments</b>				
	2019-2020	2020-2021	Increase/(Decrease)	
	Adjusted Budget	Recommended	Amount	Percentage
General Government	\$8,938,849	\$7,306,234	(\$1,632,615)	-18.26%
Environmental Protection	5,489,716	6,467,635	977,919	17.81%
Law and Justice	136,387,254	143,395,473	7,008,219	5.14%
Roads and Facilities	50,941,867	40,972,168	(9,969,699)	-19.57%
Health Services	208,434,092	237,627,414	29,193,322	14.01%
Human Services	418,486,986	438,394,608	19,907,622	4.76%
Education	95,000	188,180	93,180	98.08%
<b>TOTAL</b>	<b>\$828,773,764</b>	<b>\$874,351,712</b>	<b>\$45,577,948</b>	<b>5.50%</b>

- **Taxes (including property taxes and sales tax) are projected to increase by \$15.5 million**

<b>Taxes</b>				
	2019-2020	2020-2021	Increase/(Decrease)	
	Adjusted Budget	Recommended	Amount	Percentage
General Government	\$280,205,340	\$292,137,425	\$11,932,085	4.26%
Law and Justice	171,779	190,792	19,013	11.07%
Roads and Facilities	17,356,180	20,626,737	3,270,557	18.84%
Education	7,937,370	8,249,450	312,080	3.93%
<b>TOTAL</b>	<b>\$305,670,669</b>	<b>\$321,204,404</b>	<b>\$15,533,735</b>	<b>5.08%</b>

- **Other Financing Sources and Special Revenues for Solid Waste, Hospital, and Airport are projected to increase by \$21.9 million**

<b>Other Financing Source and Special Revenues (Solid Waste, Hospital, and Airport)</b>				
	2019-2020	2020-2021	Increase/(Decrease)	
	Adjusted Budget	Recommended	Amount	Percentage
Capital Maintenance and Improvement	\$56,082	\$56,082	\$0	0.00%
Roads and Facilities	7,769,156	13,968,066	6,198,910	79.79%
Health Services	378,605,652	394,642,097	16,036,445	4.24%
Contingency	354,812	0	(354,812)	-100.00%
<b>TOTAL</b>	<b>\$386,785,702</b>	<b>\$408,666,245</b>	<b>\$21,880,543</b>	<b>5.66%</b>

- **Charges for Service revenues are projected to increase by \$13.9 million**

<b>Charges For Services</b>				
	2019-2020	2020-2021	Increase/(Decrease)	
	Adjusted Budget	Recommended	Amount	Percentage
General Government	\$12,147,607	\$17,523,569	\$5,375,962	44.26%
Capital Maintenance and Improvement	241,334	250,917	9,583	3.97%
Environmental Protection	2,673,258	2,555,985	(117,273)	-4.39%
Law and Justice	15,503,379	14,420,048	(1,083,331)	-6.99%
Roads and Facilities	3,954,475	5,568,207	1,613,732	40.81%
Health Services	90,702,466	98,883,959	8,181,493	9.02%
Human Services	490,000	490,000	0	0.00%
Education	220,000	100,000	(120,000)	-54.55%
Parks and Recreation	1,763,224	1,789,431	26,207	1.49%
<b>TOTAL</b>	<b>\$127,695,743</b>	<b>\$141,582,116</b>	<b>\$13,886,373</b>	<b>10.87%</b>

➤ **Operating Transfers In are projected to decrease by \$27.0 million**

Operating Transfers In				
	2019-2020	2020-2021	Increase/(Decrease)	
	Adjusted Budget	Recommended	Amount	Percentage
General Government	\$18,755,157	\$10,380,555	(\$8,374,602)	-44.65%
Capital Maintenance and Improvement	41,802,121	14,781,861	(27,020,260)	-64.64%
Environmental Protection	1,323,961	1,084,975	(238,986)	-18.05%
Law and Justice	28,178,208	29,522,971	1,344,763	4.77%
Roads and Facilities	1,897,574	2,473,536	575,962	30.35%
Health Services	35,166,227	32,295,256	(2,870,971)	-8.16%
Human Services	1,188,400	1,155,175	(33,225)	-2.80%
Parks and Recreation	348,000	1,053,000	705,000	202.59%
Contingency	14,721,337	23,612,120	8,890,783	60.39%
<b>TOTAL</b>	<b>\$143,380,985</b>	<b>\$116,359,449</b>	<b>(\$27,021,536)</b>	<b>-18.85%</b>

- Use of Fund Balance for operations are projected to decrease overall by \$12.3 million compared to the 2019-2020 Adjusted Budget, reflecting a decrease of \$40.6 million in use of General Fund balance for transfers to reserves; offset by an increase of \$31.7 million of Capital Outlay Fund balance used for Public Improvement.

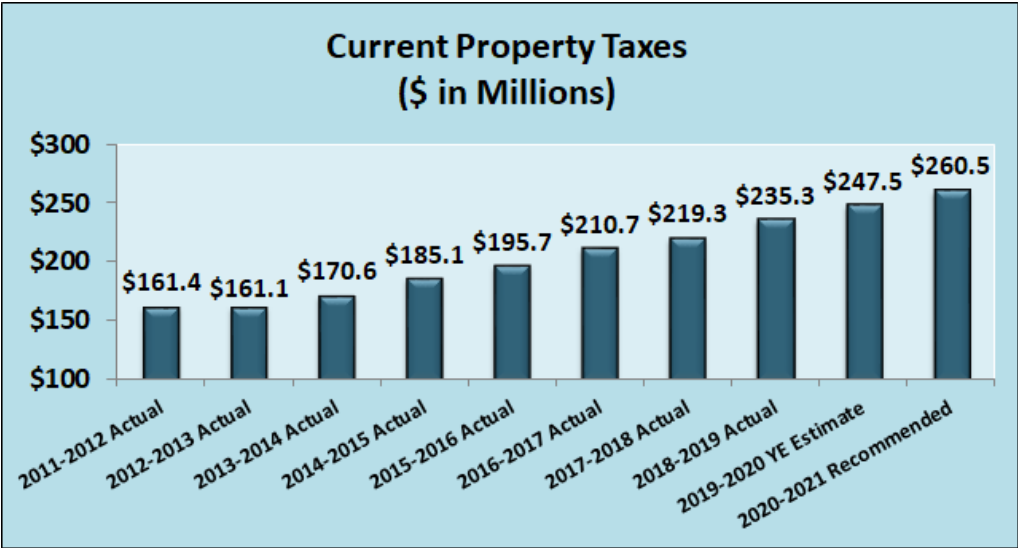
Changes in the General Purpose Revenue sources that support the recommended \$309.7 million Net County Cost include:

General Purpose Revenue (\$ In Millions)				
	2019-2020	2020-2021	Increase/(Decrease)	
	Adjusted	Recommended	Amount	Percent
Current Property Taxes	\$247.1	\$260.5	\$13.4	5.4%
Sales Taxes	23.5	22.1	(1.3)	-5.7%
Redevelopment Pass Thru	4.6	4.9	0.3	6.3%
Penalties on Delinquent Property Taxes	2.9	1.8	(1.1)	-37.6%
Property Transfer Taxes	5.4	5.0	(0.4)	-7.2%
Franchise Fees	2.8	3.0	0.2	5.8%
Homeowner Exemption	1.2	1.2	0.0	0.8%
Other Taxes	3.5	3.9	0.4	11.8%
Other Revenues	1.1	1.2	0.0	2.1%
Interest Income	5.5	5.6	0.1	1.8%
Vehicle License Fees	0.3	0.5	0.2	67.3%
<b>Total GPR</b>	<b>\$297.9</b>	<b>\$309.7</b>	<b>\$11.8</b>	<b>4.0%</b>

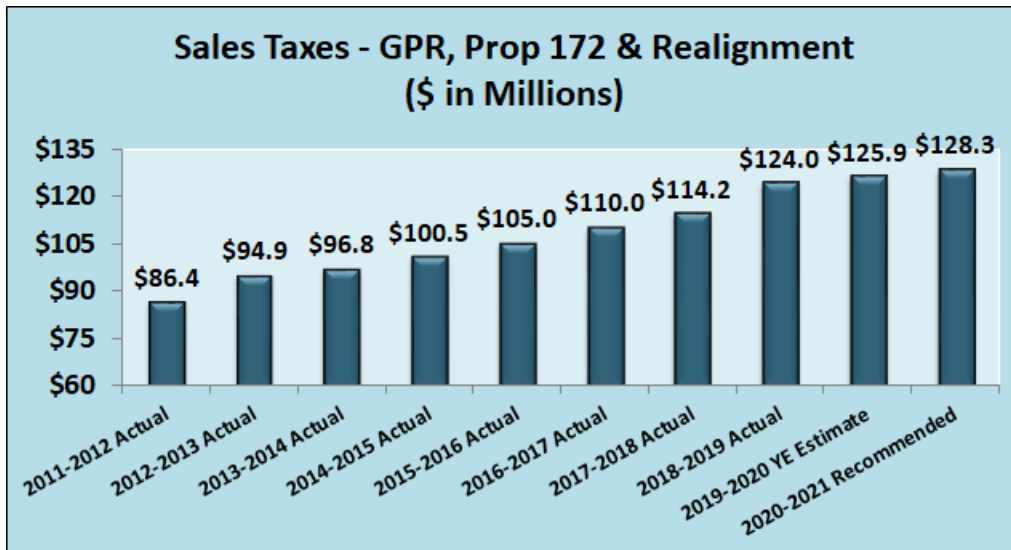
As shown in the table above, Current Property Taxes and Sales Taxes are the two main sources of the County’s General Purpose Revenue. Combined, they provide \$282.6 million in support of the County budget and represent 91.2% of the ongoing General Purpose Revenue. These revenue sources are extremely sensitive to the economic upswings and downturns, which could be exacerbated by COVID-19.

The percent increase of County property tax revenue reached a peak in 2014-2015 at 8.5% from 2013-2014 levels. The County is projecting an increase in property tax revenue for 2020-2021 of 5.25% from the current roll value, or 5.3% from the 2019-2020 third quarter year-end estimates. The 2020-2021 property tax revenue is projected at \$260.5 million, which is an increase of \$13.4 million from the 2019-2020 adjusted budget.

The following chart depicts the Current Property Taxes for 2011-2012 to 2020-2021:



The year-end estimates for Sales Taxes in 2019-2020 total \$125.9 million. The 2020-2021 Proposed Budget assumes \$128.3 million, an increase of 1.9%. The following chart depicts the Sales Taxes, including the County’s General Purpose Revenue, Proposition 172, and Realignment-Social Services, for 2011-2012 to 2020-2021. Sales tax revenue in 2019-2020 was projecting an increase of 5% at midyear. Based on actuals through third quarter, the increase was lowered to 2% and the year-end actuals were reduced by \$2.0 million, reflecting a projected increase from the third quarter estimates of only \$400,000 in 2020-2021. Realignment projections in 2020-2021 remain flat. Proposition 172 tax revenues were estimated to increase approximately 9.4% from the 2019-2020 budgeted level of \$61.5 million. The 2020-2021 Proposed Budget assumes an increase of approximately \$2.0 million in Proposition 172 revenue from third quarter estimates. The COVID-19 pandemic has dramatically affected the collection of sales tax Statewide, and revised projections are uncertain at this time. The loss in Proposition 172 revenue for every 5% decrease equals approximately \$3.4 million.



## Staffing Analysis

The County workforce is comprised of employees who hold permanently allocated positions and those who work in temporary, extra-help, and contract capacities. The following table illustrates the recommended changes in overall staffing for 2020-2021:

Staffing				
	2019-2020	2020-2021	Increase/(Decrease)	
	Adjusted*	Recommended	Amount	Percent
General Government	482.4	496.8	14.4	3.0%
Capital Maintenance	50.8	52.9	2.1	4.1%
Environmental Protection	145.5	144.8	(0.7)	-0.5%
Law & Justice	1,903.3	1,886.4	(16.9)	-0.9%
Roads & Facilities	244.3	246.0	1.7	0.7%
Health Services	3,176.8	3,163.6	(13.2)	-0.4%
Human Services	1,394.6	1,406.9	12.3	0.9%
Education	4.0	4.0	0.0	0.0%
Parks & Recreation	45.1	45.1	0.0	0.0%
Total Staffing	7,446.8	7,446.5	(0.3)	0.0%

*\*Totals include midyear adjustments approved by the Board of Supervisors.*

There is an overall decrease of 0.3 full-time equivalent (FTE) positions. The 0.3 FTEs are comprised of the net reduction of 12.3 FTE part-time positions, and the net addition of 12 full-time allocations, which is comprised of 75 added positions and 63 deleted positions.

### **Major Additions**

- 75 full-time allocated positions are recommended for addition. Below are some of the major additions by department:
  - San Joaquin General Hospital – 25
  - Health Care Services – Office of the Medical Examiner – 15

- Sheriff – 11
- Child Support Services – 7
- Public Works – 7

**Major Deletions**

- 63 full-time allocated positions are recommended for deletion. Below are some of the major deletions by department:
  - San Joaquin General Hospital – 7
  - Child Support Services – 22
  - Sheriff – 22

**Administrative Allocation Correction**

To ensure transparency and accurate position allocation management, as part of the Countywide budget process, Human Resources reviewed the current allocations of County departments to determine if any allocations were filled with a class not originally requested and authorized. Administrative Manual Sub-Section 2501 authorizes departments to under-fill a position at a lower salary for a period not to exceed twelve months. Appropriate under-fills such as multi-allocated or authorized block budget allocations were excluded from the administrative review process.

As a result of this review, twenty-two (22) allocations were identified with under-filled classifications that require administrative correction; in each case the department provided consent for the administrative allocation change. The following list of positions will be administratively corrected as part of the 2020-2021 budget process. Please note that the administrative corrections will not affect the salary costs departments included in the 2020-2021 Proposed Budget, as the incumbent salary, not the allocated position salary, is presented for Board of Supervisor consideration.

Budget Unit #	Allocated Job Code	Allocated Classification	Actual Job Code	Actual Classification
1012400000 Human Resources	RM0228	Management Analyst III	RB5103	Personnel Analyst III
1013000000 Information Systems	RO4720	Elections Technician Supervisor	RO4715	Elections Specialist
2020300000 Child Support	RO3011	Accounting Technician I	RO6105	Office Assistant
2020300000 Child Support	RO3011	Accounting Technician I	RL1001	Child Support Officer I
2020300000 Child Support	RO3011	Accounting Technician I	RL1001	Child Support Officer I
2020300000 Child Support	RB4003	Accountant III	RB4001	Accountant I
2020300000 Child Support	RL1021	Child Sup Compliance Analyst	RL1010	Child Support Supervisor
2023060000 Public Works	EC2165	Engineering Services Manager	RE1005	Engineer V

Budget Unit #	Allocated Job Code	Allocated Classification	Actual Job Code	Actual Classification
2025900000 Recorder-County Clerk	RO4405	Recordable Documents Indexer	RO6105	Office Assistant
2025900000 Recorder-County Clerk	RO4405	Recordable Documents Indexer	RO6105	Office Assistant
3030900000 Public Works	RB6601	Engineering Program Manager	RE1004	Engineer IV
4040500000 Mental Health Services	RO6700	Office Technician/Coordinator	RO6115	Office Assistant Specialist
4040500000 Mental Health Services	RS3042	Clinical Social Worker II	RS2011	Mental Health Clinician I
4040500000 Mental Health Services	RS3042	Clinical Social Worker II	RS2012	Mental Health Clinician II
4040700000 Behavioral Health Services	ES2302	Behavioral Health Officer	EH8001	Physician Manager
5054101000 Aging & Comm Services	RS3415	Social Worker Supervisor II	RS3410	Social Worker Supervisor I
9221100000 SJGH	RO1000	Office Supervisor	RH0200	Patient Registration Clerk
9221100000 SJGH	RM0620	Program Coord-Clinical Services	RM0203	Clinic Services Coordinator
9221100000 SJGH	RS3043	Clinical Social Worker III	RS3042	Clinical Social Worker II
9221100000 SJGH	RI1102	Dept Info Systems Analyst II	RI1202	Dept Applications Analyst II
9221100000 SJGH	RH1106	Staff Nurse V-Asst NDptMg-Inpat	RH5153	Ultrasound Specialist III
9221100000 SJGH	RH1150	Clinical Nurse Specialist -Inpat	RH1104	Staff Nurse IV-Inpatient



## State Budget Status

Governor Newsom presented the May Revision to the proposed January Budget on May 14, 2020. Due to the COVID-19 pandemic, compared to the January forecast, General Fund revenues are projected to decline over \$41.0 billion. This revenue drop, combined with increased costs in health and human services programs and the added costs to address COVID-19, leads to a projected budget deficit of approximately \$54.3 billion before the changes proposed in the May Revision.

With both State and Federal Income Taxes deferred until July 15, 2020, the May Revision proposes to:

- Cancel \$6.1 billion in program expansions and spending increases, including canceling or reducing a number of one-time expenditures included in the 2019 Budget Act. It also includes redirecting \$2.4 billion in extraordinary payments to California Public Employees' Retirement System (CalPERS) to temporarily offset the State's obligations to CalPERS in 2020-2021 and 2021-2022. It further reflects savings from the Administration's direction to agencies to increase efficiency in state operations now and into the future.
- Draw down \$16.2 billion in the Budget Stabilization Account (Rainy Day Fund) over three years, and allocate the Safety Net Reserve to offset increased costs in health and human services programs over the next two years.
- Borrow and transfer \$4.1 billion from special funds.
- Temporarily suspend net operating losses and temporarily limit to \$5.0 million, the amount of credits a taxpayer can use in any given tax year. These short-term limitations will generate new revenue of approximately \$4.4 billion in 2020-2021, \$3.3 billion in 2021-2022, and \$1.5 billion in 2022-2023 to increase funding for schools and community colleges and maintain other core services.
- Reflect the Administration's request of \$1.0 trillion in flexible Federal funds to support all 50 states and local governments, and identifies reductions to base programs and employee compensation that will be necessary if sufficient Federal funding does not materialize.

### Realignment

The Governor's May Revision updates revenue assumptions for 1991 Realignment and 2011 Realignment. Due to the impacts of COVID-19, Sales and Use Tax revenue is expected to sharply decline and reduce Realignment funding.

For Social Services, the total of the 1991 Realignment Social Services sales tax and Vehicle License Fees (VLF) subaccounts is estimated to total only \$2.23 billion (\$335.0 million short of base funding). For 2011 Realignment, the Protective Services Subaccount is estimated to total only \$2.02 billion (\$375.0 million short of base funding). Consequently, there is also no growth estimated for 2019-2020 that would cover 1991 caseload growth or provide 2011 growth revenues.

For the Health Subaccount in 1991 Realignment, the base will decline from \$911.0 million in 2019-2020 to \$886.0 million in 2020-2021. There is no estimated growth from 2019-2020 anticipated. Additional budget year impacts related to the Department of Finance's estimates for diverting Health Realignment under Assembly Bill 85 (Chapter 24, Statutes of 2013) are unknown at the time of this writing.

For Mental Health in 1991 Realignment, the residual subaccount funding of \$129.0 million drops to zero in 2020-2021. Please note that 2011 Realignment “backfills” 1991 Mental Health revenues with \$1.12 billion. In 2011 Realignment, Behavioral Health current year revenues dip by \$170.0 million from 2018-2019, are estimated at \$1.25 billion in 2019-2020, and receive a slight increase to total \$1.28 billion in 2020-2021.

The precipitous drop in overall Realignment revenues and eradication of growth funding has significant implications for social services provided by counties, especially for child welfare, adult protective services, and extended foster care, as counties will need to continue to meet entitlements and MOEs. In the Health and Public Health realm, these decreases could not come at a worse time as county Public Health Departments grapple with the extreme demands of the COVID-19 pandemic. For Behavioral Health, the preservation of 1991 Realignment funding is helpful, and the effects in the budget year are not as steep as for other subaccounts, but the increase in demand for behavioral health services and costs related to shifting to telehealth and alternative modes of treatment greatly exceed the estimated Realignment revenues.

## **Revenues**

The May Revision proposes the following revenue solutions:

- Extending the sales tax exemption for diapers and menstrual products through the end of 2022-2023.
- Extending the carryover period for film credits awarded under Program 2.0 from six to nine years.
- Extending the current exemption from the minimum tax for first year corporations to first year LLCs, partnerships, and LLPs.
- Establishing a new tax on e-cigarettes based on nicotine content.
- Suspending Net Operating Losses for 2020, 2021, and 2022 for medium and large businesses.
- Limiting business incentive tax credits from offsetting more than \$5.0 million of tax liability for 2020, 2021, and 2022.
- Requiring used car dealers to remit sales tax to the Department of Motor Vehicles with the registration fees.
- Requiring the use of market value for determining price for private auto sales.

## **Sales and Property Taxes**

Due to the COVID-19 Recession, taxable sales are expected to decline by 4.6% in 2019-2020 and a further 17.3% in 2020-2021. The Administration estimates consumer spending to decline by 15.6%, which is a substantial decrease even when compared to the Great Recession, when consumer spending declined by 8.9%.

The Governor’s January Budget anticipated a 6.4% growth in 2019-2020 Statewide property tax revenues. This estimate has been revised down to 5.8%, based on preliminary data, which takes into account counties cancelling penalties and charges related to late payments. In 2020-2021, property tax revenues are expected to grow 3.5%, which accounts for an anticipated increase in delinquencies, which typically rise in a recession.

## Trigger Cuts

A large portion of the Governor's May Revision is to trigger cuts which would be triggered off if funded by the Federal government. Below is a list of the trigger cuts in the May Revision:

### Health and Human Services

- Reduce funding for Local Child Support Agencies (LCSAs) to the 2018 funding levels, pulling back on increases that resulted from a new budget methodology. This results in a savings of \$38.2 million to the General Fund in 2020-2021.
- Maintain county administration in the In-Home Support Services (IHSS) and Public Authority Administration funding at 2019-2020 funding levels, resulting in savings of \$12.2 million to the General Fund in 2020-2021.
- Seven percent reduction in IHSS service hours that would go into effect on January 1, 2021, resulting in a General Fund savings of \$205.0 million in 2020-2021.
- Eliminate the Family Urgent Response System (FURS), which was recently enacted. FURS would provide foster youth and their caregivers with the immediate support and services they need during times of emotional crisis. The elimination of FURS would save \$30.0 million in General Funds in 2020-2021.
- Reduce the Continuum of Care Reform (CCR) short-term residential treatment program provider rates by 5% and suspend certain additional level of care rates. This would be a savings of \$28.8 million to the General Fund.
- Decrease to the base funding for CalWORKs Subsidized Employment, resulting in a 2020-2021 savings of \$134.1 million to the General Fund.
- Reduce CalWORKs Home Visiting funds, resulting in a savings of \$30.0 million to the General Fund for 2020-2021.
- Eliminate funding for the CalWORKs Outcomes and Accountability Review (Cal-OAR) program. The program provided funds for counties to conduct continuous quality improvement activities. Counties have the option to continue with the program. The estimated savings to the State General fund will be \$21.0 million for 2020-2021.
- Reduce adult dental benefit in Medi-Cal back to 2014 levels, which means only basic emergency dental care will be covered by Medi-Cal.
- Eliminate audiology, incontinence creams and washes, speech therapy, optician/optical lab, podiatry, acupuncture, optometry, nurse anesthetists services, occupational and physical therapy, pharmacist services, screening, brief intervention and referral to treatments for opioids and other illicit drugs in Medi-Cal and diabetes prevention program services. These eliminations will save the General Fund approximately \$54.7 million in 2020-2021.

- Shift \$1.2 billion in Proposition 56 supplemental payments for several services, developmental screening, and non-emergency medical transportation, value based payments, and loan repayments.
- Eliminate the Community-Based Adult Services (CBAS) and Multipurpose Senior Services Program (MSSP) to assist with the State’s budget shortfall. CBAS will be eliminated effective January 1, 2021 for an estimated General Fund savings of \$106.8 million for 2020-2021 and \$255.8 million ongoing. MSSP will be eliminated no sooner than July 1, 2020 for an estimated General Fund savings of \$22.2 million in 2020-2021 and \$21.8 million ongoing.

### Public Safety

- A base reduction in Trial Courts of \$178.1 million General Fund in 2020-2021 and ongoing, and an additional decrease of \$28.1 million General Fund beginning in 2021-2022 associated with a 5% reduction in operating expenses which will be achieved through efficiencies.
- Decrease State-level Judiciary of \$23.2 million General Fund in 2020-2021 and ongoing, and an additional decrease of \$10.6 million in 2021-2022, associated with a 5% reduction in operating expenses which will be achieved through efficiencies.
- A decrease of \$15.2 million ongoing General Fund to reflect a 5% reduction to the following programs: Dependency Counsel; Court Interpreters; California Collaborative and Drug Court Projects; Court Appointed Special Advocate Program; Model Self-Help Program; Equal Access Fund; Family Law Information Centers; and Civil Case Coordination.

### **Other Proposals**

#### **CalWORKs**

The May Revision includes an increase of \$82.3 million for CalWORKs County Administration for increased enrollment in the program and services. In addition, the May Revision assumes savings of \$665.0 million from a reduction in utilization of the CalWORKs employment services and child care. The California State Association of Counties (CSAC) continues to gather additional details on these items, but overall there appears to be a slight increase in the CalWORKs Single Allocation that reflects the increased caseload and the reduced use of employment services and child care.

#### **Closure/Realignment of the Division of Juvenile Justice**

While previous proposals would have shifted the State Division of Juvenile Justice (DJJ) to the Health and Human Services Agency, the May Revision proposes eliminating DJJ and realigning responsibility for those youthful offenders to county probation departments. To effectuate this change, the proposal calls for State DJJ intake to cease beginning January 1, 2021, and begin closing state facilities upon the attrition of current wards. While the cost is currently unclear, the proposal also specifies the State will direct a portion of the State savings from the closure to county probation departments. Lastly, the proposal includes initial funding of \$2.4 million of General Funds in 2020-2021, increasing to \$9.6 million ongoing, for competitive grants to county probation departments which will serve as hubs to meet the specific treatment needs of youth throughout the juvenile justice system.

## Homelessness

The May Revision overhauls the Governor’s January Budget proposal for the California Access to Housing Act. The original proposal called for the creation of a fund with an initial \$750.0 million, one-time General Fund investment. The funding would have been provided to “regional administrators” to focus on paying rent for individuals facing homelessness, supporting regions to bring on more dwelling units, and helping stabilize board and care facilities/homes.

Given that the State is not in a fiscal position to expand programs, the new proposal replaces the \$750.0 million General Fund with an equal amount of Federal Cares Act funding. The new proposal directs the use of these funds to purchase hotels and motels secured through Project Roomkey. Project Roomkey is a multi-agency State and local partnership to provide safe isolation motel rooms for vulnerable individuals experiencing homelessness. This occupancy program was supported by the Federal Emergency Management Agency only through May 31, 2020, but the State is requesting 30-day extensions as necessary.

The State also proposes to use these funds to provide technical assistance to local jurisdictions or other parties seeking to purchase and operate former Project Roomkey hotels and motels to address homelessness in their localities.

## Public Health

The Governor’s May Revision focuses primarily on bolstering State public health activities with no increase for local public health departments. For instance, the May Revision earmarks \$5.9 million General Fund for 2020-2021 and \$4.8 million General Fund ongoing for the State’s public health lab, but allocates nothing to support the remaining local public health labs during the pandemic.

The May Revision does retain \$5.0 million General Fund for each of three new infectious disease programs administered at the local level: Sexually Transmitted Diseases, human immunodeficiency virus, and hepatitis C virus prevention and control. But the May Revision also eliminates proposed increases to the Department of Public Health Home Visiting and Black Infant Health programs to save \$4.5 million in General Funds.

## Federal Budget Status

In August 2019, the President signed into law a two-year bipartisan budget agreement covering Federal fiscal years 2020 and 2021, which began on October 1, 2019. This agreement established discretionary spending caps for defense and non-defense programs. The discretionary spending caps for Fiscal Year (FY) 21, which begins October 1<sup>st</sup>, are slightly higher than the current year levels, which in a normal year would indicate relatively consistent funding levels across Federal government programs. But with COVID-19 and a highly charged election year environment, this is anything but a normal fiscal year debate.

Congress is now in the process of writing the FY21 funding bills, however the emergence of COVID-19 has complicated the congressional schedule and timing. The House Appropriations Committee leadership is pushing to bring all 12 spending bills before the full House for votes this summer. The Senate process will be slower. Moreover, Senate rules require 60 votes to end debate on spending bills, which has been a challenge. In the best of years, Congress struggles to meet the October 1<sup>st</sup> fiscal year deadlines. With the added pressures of COVID-19, policy disagreements and the looming elections, final decisions on the FY21 government funding bills will probably be pushed into a lame duck session of Congress following the

November elections. Should this be the case, a Continuing Resolution (CR) appropriations bill would need to be signed into law to keep government programs funded at current year levels beyond October 1<sup>st</sup>.

Transportation

Current authority for the FAST Act surface transportation law expires on September 30<sup>th</sup>. To date, only the Senate Environment and Public Works Committee has approved reauthorization legislation pertaining to highways and bridges. None of the other Senate committees of jurisdiction have released legislation for their component pieces (rail, highway safety, transit, financing) of a larger reauthorization bill. The financing piece has always been the most elusive and difficult piece, and it is further challenged by diminishing receipts into the Highway Trust Fund. In January, House Democrats released a blueprint proposal for infrastructure programs; however, this has not evolved into specific legislation for surface transportation programs. Staff expects the House Transportation & Infrastructure Committee to release draft legislation soon. Whether agreements can be reached to enact a new authorization bill before September 30<sup>th</sup> remains to be seen. In previous years of lapsing authorizations, Congress has reverted to short-terms extensions of existing law and general fund transfers to avoid program lapses.

Meanwhile, infrastructure investments are one component of COVID-19 economic stimulus being proposed. While this has not materialized in a specific form, discussions along these lines have taken place. However, the situation remains fluid and the timelines remain uncertain.

**Budget Highlights**

***Employee Relations***

San Joaquin County has 17 represented bargaining units, which comprise approximately 96% of the County’s workforce. Contracts for 11 of the County’s represented bargaining units either expired or are set to expire on June 30, 2020. The County is in active negotiations with some of these units for successor contracts. Impacts from these negotiations are unknown at this time; therefore, no funds have been included in the recommended departmental budgets.

***Vacant Positions***

As of April 30, 2020, the County had 6,640 allocated full-time positions, of which 740, or 11.1%, were vacant positions. This compares to 10.1% from the same period last year. Of the 740 positions, 331 are actively under recruitment.

As previously noted, the 2020-2021 budget proposes to add 75 and delete 63 allocated positions. If these changes are approved, this will bring the full-time allocated positions to 6,652 and the number of vacant positions to 752. Approximately 80% of the vacancies are in the following County departments (included is the vacancy percentage of the departments):

<u>Department</u>	<u>Vacancy</u>	<u>Vacancy %</u>
➤ Sheriff-Coroner	121 –	14.0%
➤ Human Services Agency	114 –	9.0%
➤ Behavioral Health Services	99 –	17.0%
➤ San Joaquin General Hospital	89 –	6.4%
➤ Probation	67 –	18.8%

<b>Department</b>	<b>Vacancy</b>	<b>Vacancy %</b>
➤ Public Works	47 –	11.8%
➤ Public Health Services	32 –	15.4%
➤ District Attorney	32 –	11.7%

With the exception of the District Attorney, Sheriff-Coroner, and Probation, the departments listed above are primarily funded by State, Federal, or service charges.

### **Public Improvement**

The proposed 2020-2021 Public Improvement program totals \$18.2 million, and is primarily funded by Tobacco Settlement allocations for capital related facilities projects, transfers from departments with specific projects, and Capital Outlay Fund balance. Funding for in-progress complex multiyear projects that will be re-budgeted includes the Public Health Services Facility Replacement at \$201,000 to fund design and engineering costs; and County Facilities Master Planning at \$500,000. The County Detention and Program Facility pursuant to Senate Bill (SB) 1022 has also been re-budgeted with approximately \$1.1 million of remaining funding from the initial appropriation of \$4.3 million in 2013-2014. Approximately \$119.0 million of the Capital Outlay Fund balance has not been programmed. Of this amount, \$37.2 million is associated with past allocations and the 2020-2021 allocation of Tobacco Settlement Funds for Health Care Related Facilities, \$12.0 million is programmed for the anticipated construction cost of the New Morgue and Office Space Facility, \$44.7 million is associated with reserves for Law and Justice Related Facilities and the remaining \$25.1 million is unprogrammed. Following are the planned and funded capital improvement and deferred maintenance projects for 2020-2021.

- **New Construction** – One new project and two multi-year, complex projects are carried forward and re-budgeted: Behavioral Health Services Adult Residential Treatment Modular Building; County Detention (SB1022 Facility); and Public Health Services Facility Replacement. The following ongoing multiyear projects are also included in the 2020-2021 work program and project-specific funding recommendations for each will be scheduled for Board of Supervisors consideration at the next stage of project development: District Attorney’s Office Space; New Morgue/Medical Examiner Office Space; and Old Courthouse Demolition.
- **Existing Facilities** – 222 E. Weber Avenue building repairs; Americans with Disabilities Act building upgrades; Behavioral Health Services facility back-up generator; Behavioral Health Services 24-hour services restroom remodel; Canlis Building basement remodel; County-owned facilities flooring replacement, exterior paint, parking lot repairs, and security improvements; Honor Farm security lighting upgrade; Human Services Agency heating, ventilation and air conditioning system improvements; upgrade of Juvenile Hall shower partitions; replacement of kitchen refrigeration system components in the Juvenile Justice Center; design and engineering for upgrades to the existing electrical system at Micke Grove Zoo; and improvements and renovations to Sheriff’s Office facilities including the replacement of fire alarm panels and walkway bollards, and improvements to the Coleman Range.
- **County Facilities Master Plan** – Funding is included in the recommended budget to continue with County Facilities Master Planning for County departments and facilities. The project is estimated to be completed in January 2021. Once the County Facilities Master Plan is completed, the General Services Department will present the plan and recommendations to the Board of Supervisors.

## **Public Health Facility Replacement**

The proposed Public Health Facility Replacement project includes the construction of a new facility, consisting of approximately 25,500 square feet, on adjacent County-owned property and the replacement of the existing Public Health Services laboratory with the construction of additional approximately 5,500 square feet dependent upon available funding. The project's office and workstation options have been designed with modularity to build in opportunities for future flexibility and growth. The initial staff occupancy for the new facility will be 89 with a maximum staff capacity of 113. The total estimated remaining project cost is approximately \$25.0 million. The Board approved the project plans on May 19, 2020 and authorized setting a bid opening date of July 3, 2020. Staff will return to the Board in early 2020-2021 with recommendations on the bid award and related budget adjustments to estimated revenue and appropriations. Project construction is estimated to be completed in August 2021.

## **County Detention (SB1022 Facility)**

The proposed SB 1022 Project includes the construction of a new facility consisting of four medium-security housing units with 256 dormitory-style inmate beds and a 12-bed mental health unit. The Project's program and treatment spaces will replace the existing Honor Farm barracks-style inmate housing located in French Camp. The proposed site layout and building are designed to be operationally and energy efficient, cost effective, durable, and low maintenance while still maintaining safety and security.

SB 1022 project team accomplishments to-date include formal project establishment with the State of California's Department of Finance and Board of State Community Corrections (BSCC), the completion of the Schematic Design and Design Development phases and the securement of an additional \$4.2 million in conditional funding from the BSCC for the mental health unit addition to the approved project scope. Project efforts continue related to the next phases of engineering as well as regulatory and BSCC approvals. The SB 1022 project is proceeding as planned, however, much of the SB 1022 project delivery schedule is not under the County's control and is subject to the BSCC's SB 1022 funding processes, as well as State Fire Marshal review. Additionally, it should be noted that a number of the Project's procurement components are fluid in the context of industry uncertainties associated with the global COVID-19 pandemic. Based upon the current schedule, a construction contract will be presented to the Board of Supervisors for consideration in November 2020. Construction is estimated to be complete in June 2022.

The total estimated Project cost is \$41.2 million and SB 1022 funding in the amount of \$36.5 million will be used primarily for construction costs. The 2020-2021 Public Improvement budget includes approximately \$1.1 million for continued project development.

## **Public Works**

**Single Tunnel Delta Conveyance Project** – Water resources and the Sacramento-San Joaquin Delta are among the Board of Supervisors' top Strategic Priorities. The Department of Water Resources (DWR) has officially abandoned the California Waterfix Delta tunnels project and initiated a new environmental review and planning process for a smaller, single tunnel Delta conveyance project. The Board has engaged with a variety of interests to defend the Delta in the following areas: 1) Improving and maintaining the system of levees that protect life and property for both agricultural and urban areas, which also supports the current system of through-Delta exports; 2) Advocating for continued access to Delta water supplies of sufficient quantity and quality for farmers, urban users, and the environment; 3) Supporting efforts to have a healthy and viable Bay-Delta Estuary; 4) Enhancing Delta maritime commerce and recreation; and 5) Recognition of the Delta as a place. The Board has also advocated for additional water supply development such as recycled water, desalinization, above and below ground storage, and conservation as well as investments in watershed and forestry management.



The County's continuing efforts include legal action, advocating for Delta interests, responding to proposed legislation, building and participating in coalitions, communicating through media and other outreach avenues, and coordinating with various County water and Delta interests. For 2020-2021, \$670,000 is recommended for these types of activities.

## ***Law and Justice***

Collectively, the recommended budget for Law and Justice totals \$384.8 million, which is an increase of \$3.4 million from the 2019-2020 adjusted budget. Overall, the budget includes a net decrease of 18 positions for all Law and Justice budgets: 5 positions added in Correctional Health Services, 2 added in the District Attorney's budgets, 1 added in the Public Defender's budget, 15 deleted in the Child Support Services budget, and 11 deleted in the Sheriff's budgets.

**SB 384 – Sex Offender Registration Act** – The new law allows sex offenders who are now required to register their information and location for life, to petition the court to be taken off the registry completely, and reduce their registration requirement for 10 to 20 years (5 to 10 for juvenile sex offenders). The bill was signed into law October 2017, effective 2018, and will be implemented January 2021. This State mandate requires County resources, but does not provide funding to local government. Once the State clearly establishes the petitions the County must address, the County Administrator's Office will work with the District Attorney and Public Defender and may come to the Board with recommended budget adjustments during 2020-2021, in the event the State provides offsetting revenue to implement. Supplemental requests of five positions from the District Attorney and one position from the Public Defender, to address SB 384, are not recommended at this time. It is important that during an economic downturn, the County consider existing funding for core services and the impact of those services when taking on new State imposed mandates.

**Sheriff – Community Car Program** – Based on staffing issues, the Sheriff's Office has reduced the number of Community Car deputies from 20 to 4 and placed them on patrol. On November 11, 2019, one Community Car was reinstated to Morada and one was reinstated to Woodbridge. In March 2020, the Sheriff's Office deployed two more Community Car deputies, to East Stockton and West Stockton, based on high-call volume and to address quality-of-life issues.

In April 2020, the Sheriff's Office took steps to ensure the safety of Patrol Officers due to the COVID-19 outbreak. A schedule was created to ensure that, at any time, half of Patrol staff would be isolated for a two-week period. In order for the schedule to work, it was necessary to assign staff from the Community Car Program and other programs to the Patrol staffing pool. Once the public health crisis is over, the 4 Community Car Program deputies will be restored and the remaining 16 deputies redeployed in the Program as Patrol staffing levels allow.

## ***Health Care Services***

**Office of the Medical Examiner** – In June 2019, the Board appointed a Chief Medical Examiner, and during 2019-2020, the functions of the Office of the Medical Examiner began transitioning from the Sheriff's Office to Health Care Services. Seven new Medical Examiner Investigator positions were added in February 2020 to perform the investigative work previously assigned to Deputy Sheriff-Coroner staff. An Operations Administrator position was also added in February 2020 to assist the Chief Medical Examiner with administrative oversight. Recruitment of these positions is currently underway. Effective July 1, 2020, a new budget will be created within the Health Care Services Agency, including funding for a total of 15 positions, program expenses, and capital items. Until the Medical Examiner's Office is fully staffed, the Sheriff's Office will continue to assist with the transition efforts. Staff will continue to operate from the

Sheriff's Administration Building and existing Morgue facility until interim office space is available at San Joaquin General Hospital and eventually the Morgue replacement facility.

### ***In-Home Supportive Services (IHSS)***

On March 24, 2020, the Board adopted a new Memorandum of Understanding (MOU) between the IHSS Public Authority and SEIU 2015 after negotiations that have been ongoing since the MOU expired on March 31, 2016. The key elements of the MOU are as follows:

1. Term: March 24, 2020 through March 31, 2023
2. The MOU contains a wage supplement of \$0.50 per hour above the California minimum wage
3. The MOU contains a reduction in the benefits rate of \$0.04 per hour (from \$0.74 to \$0.70), while removing the previous cap on IHSS hours used for benefits payments

The MOU also includes an increase in funding for Safety Equipment from \$6,250 per year to \$7,250, removed outdated sections, and formalized SEIU 2015 access to provider orientations.

### ***Electric Vehicle (EV) Charging Policy***

In February 2020, the CAO, in collaboration with County departments, implemented an EV Workplace Charging Policy and Fee Schedule. The policy establishes a fee schedule to allow employees to charge their personal electric vehicles at County-owned charging facilities while recovering electric vehicle infrastructure costs, including ongoing electrical service and system administration costs. During 2020-2021, electric vehicle charging station fees will be monitored and a report will be brought before the Board at the end of the year.

### ***Enhanced Infrastructure Financing District (EIFD)***

In 2019-2020, the CAO met with local jurisdictions to evaluate utilizing an EIFD, a new financing mechanism tool to fund regional projects where each jurisdiction contributes an increment of their property tax revenue to fund the project with reimbursement to the jurisdictions as development growth occurs. The EIFD is currently being conceptualized and analyzed for feasibility through ongoing negotiations with the local jurisdictions, which will continue in 2020-2021. If approved, San Joaquin County would be one of the first counties in California to implement this new financing mechanism.

### ***Master Annexation Agreements with Ripon, Escalon, and Tracy***

In 2019-2020, the County led negotiations on property tax agreement extensions with the Cities of Ripon, Escalon, and Tracy. As a result of these efforts, the County successfully entered into new Master Annexation Agreements at the current tax sharing ratio with an extended time frame of seventeen additional years with the City of Ripon, an additional seven years with the City of Escalon, and an additional six years with the City of Tracy. The new Master Annexation Agreements will provide continued property tax revenues as development occurs and demands on County services increase.

### ***County Debt Reduction***

In 2019-2020, the County made its final debt payment for the San Joaquin General Hospital Project Certificate of Participation (COP) in September 2019, which was fully reimbursed by the Hospital Enterprise Fund, and the final payment for the Capital Facilities Project COP in November 2019. As a result, the County's overall debt has been significantly reduced.

## Reserves & Contingencies

The General Reserve is a cash resource for financing during the dry period between the beginning of the fiscal year and the receipt of property taxes in December and April. Additionally, it acts as a contingency for the County in extreme emergencies. For 2020-2021, it is recommended that the General Reserve be maintained at the current level of \$10.0 million.

The Reserve for Contingencies covers day-to-day unforeseen budgetary needs and has been sparingly used by the Board in the past. In conformance with the Board's reserve policy (R-10-525), including a goal of setting the Reserve at 5.0% of the County budget by 2020-2021, the Reserve for Contingencies is recommended to be set at \$95.5 million in 2020-2021, which represents approximately 5.0% of the proposed budget. This is an increase of \$5.4 million from the 2019-2020 projected year-end balance and provides a hedge against adverse State and Federal budget impacts, and can be used as interim funding to address local emergencies/disasters and unforeseen expenses.

The 2019-2020 Adopted Budget added the Contingency Provision budget and appropriated \$5.0 million from the General Fund, which provides funds for unanticipated expenditures or revenue shortfalls occurring in all County operations during the fiscal year. The Proposed Budget resets the Contingency Provision budget level to \$5.0 million.

In 2015-2016, an Unfunded Pension Liability Reserve was established to start addressing the County's unfunded retirement contribution liabilities (B-15-135). The most recent audited financial statements prepared by the San Joaquin County Employees' Retirement Association identified an Unfunded Actuarial Liability totaling \$1.7 billion and San Joaquin County's share is approximately \$1.6 billion. The balance of the Unfunded Pension Liability Reserve as of April 30, 2019 is \$25.9 million. A transfer of \$7.3 million budgeted in 2019-2020 will be made prior to year-end, bringing the total to approximately \$33.1 million. For 2020-2021, the budget sets aside an additional \$7.3 million for the reserve. Additionally, the recommended budget includes funding for the full 5.0% contribution toward the unfunded retirement liability.

In 2015-2016, a reserve for Economic Development was established to support the County's promotional/marketing efforts and economic development activities/programs, including incentives for businesses to relocate and/or expand in San Joaquin County. The projected year-end balance is \$1.3 million. For 2020-2021, the budget directs \$90,219 of the Transient Occupancy Tax (Hotel/Motel Tax or TOT) to this reserve. This represents the amount of the projected TOT that has not been programmed in the proposed budget for economic development-related activities and programs.

In 2019-2020, an Approved Labor Agreements Reserve was established to ensure that the County can keep its commitment to fund approved labor agreements. An initial \$5.0 million was transferred from the General Fund in 2019-2020. The recommended budget includes an additional \$11.3 million to the reserve, for a total General Fund contribution of approximately \$16.3 million.

Tobacco Settlement Fund, which the Board has designated for capital uses (B-10-964), is projected at \$6.7 million in 2020-2021. This amount is a reduction of \$600,000 from the previous two years. In 2019-2020, Tobacco Settlement revenue was transferred from General Purpose Revenue and allocated directly to the Tobacco Settlement budget (#1010805000). In accordance with Board-established funding guidelines, this fund will continue to be allocated for capital, technology, and water-related projects and activities in 2020-2021. As the current 10-year allocation formula set by the Board of Supervisors ends in 2020-2021, a review

of the allocation formula will be revisited and presented to the Board for consideration, and will be reflected in the 2021-2022 budget.

## Supplemental Requests

Due to the uncertainty of the total impact COVID-19 will have on the County budget in 2020-2021 and future years, the County Administrator applied certain criteria upon making the decision of funding supplemental requests in 2020-2021. Supplemental Requests that are recommended for funding include requests for mandated functions, requests that were fully or partially funded, or one-time requests. Initial requests for supplemental funding from County departments and non-County organizations totaled \$15.3 million. Department Heads initially submitted requests for supplemental funding totaling \$14.2 million. Subsequent to the County Administrator/Department Head budget meetings, Department Heads withdrew a total of \$7.1 million of their initial requests. As a result, the departmental supplemental funding requests were reduced to \$7.1 million, with \$5.9 million included in the recommended budget. Of the \$5.9 million, \$4.6 million is funded with State and Federal funds. Additionally, 12 Non-County Organizations (NCOs) submitted requests for County funding totaling \$1.1 million. Based on the Board-directed zero-based budgeting approach in funding the NCOs, the recommended budget includes \$796,694 for 11 organizations, leaving an unfunded amount of \$326,058.

In an effort to adhere to the Board-established Strategic Priorities, some departmental wants and needs are not addressed. As a result of the funding recommendations which comprise the 2020-2021 Proposed County Budget, the Board may hear requests by departments, special interest groups, NCOs, and the general public to add funding during the budget hearing. In providing policy guidance on desired budget adjustments, the Board will provide direction as to which areas of the County budget should be reduced to offset any added costs. Supplemental requests, both funded and unfunded, are outlined in Attachment A and detailed in individual budget narratives.

## Final Budget Hearing

The CAO has identified several significant individual budget unit recommendations for Board review during the Final Budget Hearing. When particular functional areas are scheduled for discussion, the Board may wish to examine other areas as well. Department Heads and members of the public will have an opportunity to comment. Additionally, some NCOs may desire to make presentations.

The impacts of State and Federal Budget actions are unknown at this time and creates a significant risk to the proposed spending plan. It may be necessary to return to the Board to re-open budget considerations after the 2020-2021 Final Budget is adopted, should additional funding become available or should major funding/program reductions be imposed based upon the adopted State and Federal Budgets. Furthermore, the COVID-19 pandemic occurred during the budget development process. Hence the Proposed Budget does not fully reflect COVID-19 impacts on expenditures and revenues. The Board's quick action in providing direction to staff to reimburse County expenditures with CARES Act funding will assist to partially fund the exposure from the pandemic.

## Appreciation

The CAO deeply appreciates Department Heads' leadership, flexibility and responsiveness to the pandemic and tenaciousness in delivering a structurally-balanced budget. The annual Budget meetings with

Department Heads and their budget teams were invaluable to the development of this budget document. Human Resources and Information Systems Division were exceptional for their analysis, insight, and peer review support in the preparation of this document.

The County successfully implemented phase one of a new budget system in 2019-2020, and successfully launched phase two incorporating the Workforce module for the development of the 2020-2021 Proposed Budget. The CAO greatly appreciates the expertise of the Graviton Consulting team. Graviton was instrumental in the success of the project.

The County Administrator's staff are incredible at what they do to get the job done, even during a pandemic when they were being pulled in multiple directions in addressing our local emergency. The CAO team should be commended for their hard work, thoroughness, and long hours contributed to the preparation of this document. The team's accuracy and complete evaluation of the budget material, willingness to continuously raise the bar in the outcomes, and embracement of the ongoing enhancements to the new budget system technology have all been greatly appreciated.

## Recommendations

It is recommended that your Board:

1. Approve the proposed County and Special Districts budgets for 2020-2021. This action does not constitute final approval of any of the proposals prior to the Final Budget Hearing. It does, however, provide the document that will be presented to your Board for the Final Budget Hearing.
2. Set Tuesday, June 23, 2020, at 9:00 a.m. as the time to begin the Final Budget Hearing on the adoption of the County and Special Districts budgets and authorize the Clerk of the Board of Supervisors to publish the required hearing notice.

Sincerely,



Monica Nino  
County Administrator

## Attachment A

### Supplemental Budget Requests

Department	Amount Requested	Amount Funded	Supplemental Items	Page No.
➤ California Children's Services	\$78,236	\$78,236	Add one Office Supervisor to provide supervision of clerical staff.	F-36
	75,094	75,094	Add one Office Assistant Specialist to supervise clerical staff and handle calls from physician offices to clarify Medical program requirements.	F-36
Total California Children's Services	\$153,330	\$153,330		
➤ Correctional Health	\$160,636	\$160,636	Add one Staff Nurse to address increase in triage requests resulting from Assembly Bill 45 implementation.	D-113
	71,856	71,856	Add one Dental Assistant to support expanded dental services.	D-113
Total Correctional Health	\$232,492	\$232,492		
➤ County Counsel	\$125,213	\$125,213	Add one Deputy County Counsel to assist with water issues and labor related issues. Position costs of \$228,113 is offset with reimbursements of \$103,000 from Public Works.	A-41
	2,000	0	Funding for subscriptions and periodicals to review statute and case law related to water issues.	A-41
Total County Counsel	\$127,213	\$125,213		
➤ District Attorney	\$648,694	\$0	Add one Deputy DA, one DA Investigative Assistant, one Office Assistant, one Paralegal, one Victim Witness Advocate, and related costs to address increased workload related to Senate Bill (SB) 384.	D-8
	378,485	0	Add five Senior Office Assistants to process discovery related to digital reports, body cameras, 911 tapes, surveillance videos, and photographs.	D-8
Total District Attorney	\$1,027,179	\$0		
➤ General Services Department - Facilities	\$50,000	\$50,000	Pressure wash Administration Building atrium interior and exterior.	B-9
Total General Services	\$50,000	\$50,000		

## Attachment A

### Supplemental Budget Requests

Department	Amount Requested	Amount Funded	Supplemental Items	Page No.
➤ Public Defender	\$42,562	\$42,562	Add one Deputy Public Defender to replace use of law students to provide defense services to defendants in Collaborative Courts. First year cost of position is \$42,562. Full-year cost of position is \$214,473.	D-46
	214,473	0	Add one Deputy Public Defender for SB 384 related petitions.	D-46
Total Public Defender	\$257,035	\$42,562		
➤ Public Health Services	\$95,929	\$95,929	Add one Business Analyst to implement and manage department-wide performance management system.	F-23
	84,364	84,364	Add one Public Health Education Assistant to perform home visits and provide education and support to families in the California Home Visiting Program and Healthy Families America Program.	F-23
	131,976	131,976	Add one Epidemiologist to address workload increase due to new State grants.	F-23
	124,967	124,967	Add one Case Manager Supervisor to serve as lead for the Perinatal Equity Initiative and California Home Visiting Program and Healthy Families America Program.	F-23
	123,396	123,396	Add one Senior Social Worker to provide case management services for the California Home Visiting Program and Healthy Families America Program.	F-23
	109,411	109,411	Add one Management Analyst to assist Program Managers with administrative activities and grant management.	F-23
	77,324	77,324	Add one AIDS Case Worker to conduct client assessments, housing plans, client budgeting assistance, referrals, and data entry for the Housing Plus Program.	F-23
	75,094	75,094	Add one Office Assistant Specialist to perform advanced clerical duties.	F-23
Total Public Health Services	\$822,461	\$822,461		

## Attachment A

### Supplemental Budget Requests

Department	Amount Requested	Amount Funded	Supplemental Items	Page No.
➤ Registrar of Voters	\$66,151	\$66,151	Add one Elections Specialist to support additional need related to new poll book software. Position costs of \$78,237 is offset with \$12,086 in offsetting revenue.	A-66
Total Registrar of Voters	\$66,151	\$66,151		
➤ San Joaquin General Hospital	\$119,703	\$119,703	Add one Respiratory Care Practitioner to address increased workload.	F-69
	140,632	140,632	Add two Dialysis Patient Care Technicians to address increased workload.	
	109,411	109,411	Add one Business Analyst to assist program directors, faculty physicians, and residents.	
	96,024	96,024	Add one Departmental Information Systems Specialist to provide help desk services.	
	3,188,554	3,188,554	Add 20 Staff Nurses to offset current use of registry, travel nurses, and overtime.	
Total San Joaquin General Hospital	\$3,654,324	\$3,654,324		
➤ Sheriff – Administration	\$625,504	\$625,504	Fund purchase of new CAD-RMS system.	D-86
<i>Custody</i>	47,465	47,465	Fund purchase of new upgraded tasers.	D-95
Total Sheriff	\$672,969	\$672,969		
➤ Veteran’s Services Office	\$40,000	\$40,000	Fund enhanced drug and alcohol monitoring, substance abuse treatment, education and training, transportation, and peer mentor support for low-income veterans participating in the Veteran’s Treatment Court.	G-46
Total Veteran’s Services Office	\$40,000	\$40,000		
Total Departmental Supplemental Requests	\$7,103,154	\$5,859,502		



## Attachment A

# Supplemental Budget Requests

Non-County Organizations (NCOs)	Amount Requested	Amount Funded	Supplemental Items	Page No.
➤ Bread of Life Ministries (BOL)	\$75,000	\$75,000	Funding to support Bread of Life's grocery program.	G-21
➤ Children's Home of Stockton (CHS)	158,558	99,891	Funding to support the Substance Abuse program.	G-21
➤ Community Partnership for Families (CPFSJ)	158,378	158,378	Funding to support CPFSJ's program to reduce recidivism.	G-21
➤ Economic Development Association (EDA)	110,000	55,000	Funding to support EDA programs and operations.	A-83
➤ FIX'D Inc. Veterans Treatment Resources (FIX'D)	161,490	0	Funding to support services to Veterans served by the Veterans Treatment Court.	G-21
➤ Haven of Peace	29,005	26,104	Funding for the installation of new perimeter fencing.	G-21
➤ Lord's Gym City Center	130,571	130,571	Funding to support the Oasis Cares feeding program.	G-21
➤ Micke Grove Zoological Society (MGZS)	100,000	100,000	Funding to support Zoo educational program.	I-10
➤ San Joaquin County Historical Society & Museum (SJCHSM)	16,750	11,250	Funding to support SJCHSM master plan and new website projects.	I-10
➤ San Joaquin Innovation Hub (iHub)	20,000	10,000	Funding to support iHub San Joaquin programs and operations.	A-83

## Attachment A

# Supplemental Budget Requests

Non-County Organizations (NCOs)	Amount Requested	Amount Funded	Supplemental Items	Page No.
➤ San Joaquin (SJ) Partnership	60,000	50,000	Funding to support SJ Partnership programs and operations.	A-83
➤ Women’s Center – Youth & Family Services (WCYFS)	225,000	202,500	Funding to support WCYFS programs and services.	G-21
<i>Trust Fund Contribution</i>	<i>(122,000)</i>	<i>(122,000)</i>	<i>Funding from Domestic Violence Trust Fund</i>	
<b>Total Supplemental Requests from NCOs</b>	<b>\$1,122,752</b>	<b>\$796,694</b>		
<b>Grand Total</b>	<b>\$8,225,906</b>	<b>\$6,656,196</b>	<b>Unfunded Requests = \$1,569,710</b>	



PROPOSED BUDGET

# BUDGET OUTLOOK & STATISTICS



## Multiyear Budget Outlook 2020-2021 to 2024-2025

<b>GENERAL FUND</b>					
(\$ in Millions)					
	Proposed	Projected			
	2020-21	2021-2022	2022-2023	2023-2024	2024-2025
<b>FUNDING SOURCES</b>					
<b>General Purpose Revenue (GPR)</b>					
Current Property Taxes	\$260.5	\$272.9	\$285.8	\$299.4	\$313.7
Sales Taxes	22.2	22.6	23.3	24.2	25.2
Revel Pass Thru/Homeowner Relief	6.6	6.6	6.7	6.8	6.8
Tobacco Settlement Fund	0.0	0.0	0.0	0.0	0.0
Property Transfer Taxes	5.0	5.2	5.3	5.5	5.6
Interest Income	5.6	5.6	5.6	5.6	5.6
Franchise Fees/Fines/Penalties	9.8	10.0	10.8	10.8	11.0
<b>Total General Purpose Revenue</b>	<b>\$309.7</b>	<b>\$322.9</b>	<b>\$337.5</b>	<b>\$352.3</b>	<b>\$367.9</b>
<b>Other Sources</b>					
Departmental Revenues	\$671.3	\$674.0	\$678.7	\$684.8	\$691.0
General Fund Balance	0.0	0.0	0.0	0.0	3.1
Contingency/Reserve Funds	0.0	0.0	0.0	0.0	0.0
Unfunded Pension Reserve	0.0	0.0	3.7	6.6	8.5
<b>Total Other Sources</b>	<b>\$671.3</b>	<b>\$674.0</b>	<b>\$682.4</b>	<b>\$691.4</b>	<b>\$702.6</b>
<b>Total Funding Sources</b>	<b>\$981.0</b>	<b>\$996.9</b>	<b>\$1,019.9</b>	<b>\$1,043.7</b>	<b>\$1,070.5</b>
<b>Total Labor Cost</b>					
Salary	\$479.2	\$490.0	\$496.1	\$502.3	\$508.6
Retirement	221.4	238.6	254.0	269.7	293.5
- Unfunded Pension Liability Paydown	23.4	23.9	24.2	24.5	24.8
Extra-Help/Contract	55.2	55.5	55.8	56.0	56.3
Overtime/Holiday Pay	13.7	13.9	14.0	14.1	14.1
Health/Dental/Vision	92.9	98.5	104.4	110.6	117.3
Payroll Taxes/Other Benefits	56.0	57.2	57.9	58.7	59.4
<b>Total Labor Cost</b>	<b>\$941.9</b>	<b>\$977.6</b>	<b>\$1,006.4</b>	<b>\$1,036.0</b>	<b>\$1,073.8</b>
<b>General Fund-Labor Cost (34.3%)</b>	<b>\$323.1</b>	<b>\$335.3</b>	<b>\$345.2</b>	<b>\$355.3</b>	<b>\$368.3</b>
Other Costs	645.5	658.5	671.6	685.1	698.8
Contributions to Economic Prom. Reserve	0.1	0.2	0.2	0.2	0.2
Contributions to Unfund. Pension Res.	7.3	0.0	0.0	0.0	0.0
Contributions to Reserve for Conting.	5.0	2.9	3.0	3.0	3.1
<b>Total General Fund Cost</b>	<b>\$981.0</b>	<b>\$996.9</b>	<b>\$1,020.0</b>	<b>\$1,043.6</b>	<b>\$1,070.4</b>
<b>BUDGET SURPLUS/(DEFICIT)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>
<b>RESERVES</b>					
CONTINGENCIES	\$95.5	\$98.4	\$101.3	\$104.4	\$107.5
GENERAL	\$10.0	\$10.0	\$10.0	\$10.0	\$10.0
LABOR AGREEMENTS RESERVE	\$16.3	\$16.3	\$16.3	\$16.3	\$16.3
UNFUNDED PENSION LIABILITIES	\$40.4	\$40.4	\$36.7	\$30.1	\$21.6
ECONOMIC DEVELOPMENT	\$1.3	\$1.6	\$1.9	\$2.2	\$2.5
EXCESS TAX LOSS	\$19.3	\$20.2	\$21.2	\$22.2	\$23.2

The Multiyear Budget Outlook is intended to provide the Board of Supervisors a preliminary overview of the County's finances beyond the budget year. Due to the dynamics of Federal and State budgets and their highly unpredictable impacts on the County, the outlook focuses primarily on the County's General Purpose

# ***Multiyear Budget Outlook*** **2020-2021 to 2024-2025**

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Revenue and General Fund, the components that are more controllable by the Board. The Multiyear Budget Outlook includes the 2020-2021 Proposed Budget, and revenue and expenditure projections for 2021-2022 through 2024-2025.

Using the assumptions outlined below, structurally-balanced budgets, where ongoing revenues are sufficient to fund ongoing expenses, are anticipated during the forecast period 2020-2021. Fiscal years 2022-2023 through 2024-2025 will require the Unfunded Pension Liability Reserve to be drawn down to fully cover the General Fund portion of the additional 5% towards the County's unfunded retirement liability. Additionally, the use of General Fund available fund balance is required in 2024-2025. It should be cautioned that no salary adjustments are assumed beyond those specified in the existing labor agreements. Of the 17 bargaining units, 10 bargaining units' agreements have expired and 1 unit's agreement is set to expire June 30, 2020. Additionally, two bargaining units' agreements will expire during 2020-2021 (March 21, 2021). As of June 2020, 85.4% of the County's workforce will have expired agreements. Major services expansion is not affordable without creating an operating deficit.

## **Major Assumptions**

### ***General Purpose Revenue***

The following growth assumptions are made for the General Purpose Revenue forecast:

- **Current Property Taxes** are projected to increase by 5.25% for 2020-2021, and 4.75% for 2021-2022 through 2024-2025.
- **Sales Taxes** are projected to increase from the current projections for 2020-2021 by 2% in 2021-2022, 3% in 2022-2023, and 4% in 2023-2024 and 2024-2025.
- **Redevelopment Pass Through/Homeowners Relief** consists primarily of Homeowner Exemption and Redevelopment Pass Through. Homeowner Exemption is projected to increase similar to Current Property Taxes. Redevelopment Pass Through is projected to remain flat reflecting the dissolution of redevelopment agencies in February 2012.
- **Tobacco Settlement Fund**, which the Board has designated for capital uses (B-10-964), is projected at \$6.7 million in 2020-2021. In 2019-2020, Tobacco Settlement revenue was transferred from General Purpose Revenue and allocated directly to the Tobacco Settlement budget (#1010805000). There is no funding included in the multi-year forecast. In accordance with Board-established funding guidelines, this fund will continue to be allocated for capital, technology, and water-related projects and activities in 2020-2021. As the current 10-year allocation formula set by the Board of Supervisors ends in 2020-2021, a review of the allocation formula will be revisited and presented to the Board for consideration, and will be reflected in the 2021-2022 budget.
- **Property Transfer Taxes** are projected to be \$5.0 million in 2020-2021, and increase by 3% for 2021-2022 through 2024-2025.
- **Interest Income** is projected to remain flat for 2020-2021 through 2024-2025.
- **Franchise Fees/Fines/Penalties** include Utility Franchise Fees, Penalties on Delinquent Property Taxes, and Supplemental Property Tax Assessment Fees and are projected to decrease to \$9.8 million

## **Multiyear Budget Outlook 2020-2021 to 2024-2025**

in 2020-2021, increase to \$10.0 million in 2021-2022, increase to \$10.8 million in 2022-2023, and remain relatively flat through 2024-2025.

- **Departmental Revenue** includes funding sources other than General Purpose Revenue, which can include charges for services, aid from governmental agencies, other income, and fund balance.
- **One-Time Sources** consist of General Fund balance, Reserve for Contingencies fund balance, and Unfunded Pension Liability Reserve fund balance. The General Fund year-end balance is projected at \$25.9 million by June 30, 2020; no funds have been programmed for operations. General Fund balances for 2021-2022 through 2023-2024 are also projected not to be used, representing a true structurally-balanced budget relying only on ongoing General Purpose Revenue. In 2024-2025, it is projected that \$3.1 million in General Fund available fund balance will be utilized. The recommended budget does not include redirection of previously funded capital projects nor transfer from the Reserve for Contingencies for ongoing operations. However, from 2022-2023 through 2024-2025, it is projected that funds from the Unfunded Pension Liability Reserve would be drawn down to pay a portion of the 5% unfunded retirement liability contribution.

### **Labor Costs**

Labor costs represent the single, most costly expense of the County and consumes approximately 49% of the County budget. The 2020-2021 Proposed Budget includes 6,652 full-time allocated and 794.5 part-time positions at a total cost of \$941.9 million. The Multiyear Budget Outlook primarily focuses on the salary and benefits costs for full-time positions and accounts for minimal cost increases for part-time position costs over the next five years. Key assumptions include:

- **Salary** projections for 2020-2021 are based on six existing labor contracts, which include wage increases for three bargaining units in 2020-2021, including Correctional Officers, Probation Officers, and Sheriff’s Non-Management. The remaining bargaining units comprise 85.4% of the County workforce and represent contracts that have either expired, or will expire on June 30, 2020. The following is a summary chart of the labor contracts with the 17 bargaining units:

<b>Representation Unit Name</b>	<b>Organization</b>	<b>Contract Expires</b>
Attorney	SJCAA	06/30/20
Correctional Officers	SJCCOA	03/31/22
In-Home Supportive Services	SEIU-2015	03/31/23
Middle Management	SJCMA	03/31/21
Office & Office Technical	SEIU	Expired
ParaProfessional & Technical	SEIU	Expired
Peace Officers Miscellaneous	SJDAIA	03/31/21
Physicians	UAPD	Expired
Probation Officers	SJCPOA	12/31/21
Professional	SEIU	Expired
Registered Nurses	CNA	Expired
Safety, Investigative, & Custodial	SEIU	Expired
Sheriff’s Management	LEMA	Expired

# Multiyear Budget Outlook

## 2020-2021 to 2024-2025

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<u>Representation Unit Name</u>	<u>Organization</u>	<u>Contract Expires</u>
Sheriff's Non-Management	DSA	10/07/22
Sheriff's Sergeants	SDSA	Expired
Supervisors	SEIU	Expired
Trades, Labor, & Institutional	SEIU	Expired

- **Retirement** contribution is projected to increase by approximately 4.5% for General Members and 3.9% for Safety Members in 2020-2021, and is projected to increase by 4% annually from 2021-2022 through 2024-2025. This projected rate is an increase from recent years reflecting the decrease from the expected earning rate of 7.25% down to 7.00%. While the investment earnings rate is immediate, the fiscal impact of the change on the unfunded liability will be phased in over a three-year period, which will also phase in the impact on the retirement contribution rates. The projection also incorporates the implementation of the Public Employees' Pension Reform Act provisions including: 1) lower benefits for new employees hired on or after January 1, 2013, and 2) employee share of 50% normal cost that was negotiated with approximately 95% of the County's workforce.

Based on the latest annual financial report, the funded ratio of the retirement plan was at 65.8% as of January 1, 2020, with a reduced unfunded liability of \$1.7 billion; San Joaquin County's share is estimated at \$1.6 billion. In March 2016, the Board approved a policy to fund an additional retirement contribution from 0% to 5% of payroll for a 10-year period beginning January 2017 to pay down the unfunded pension liability in an accelerated time frame. The amount will be evaluated each year during the budget process after the budget is structurally-balanced and the Reserve for Contingencies contribution has been met. The 2020-2021 projection includes the 5% contribution amount at a General Fund cost share of \$8.0 million. The General Fund portion of the additional contribution for 2021-2022 through 2024-2025 is calculated at the 5% rate. The projected fund balance of the Unfunded Pension Liability Reserve at year-end will be \$33.1 million. The Proposed Budget adds an additional \$7.3 million to the Reserve.

- **Health** premiums are projected at a 6.0% growth per year through 2024-2025. These rates reflect the elimination of the draw down of excess fund balance from the County's self-funded health plan reserve, and reflects the 5.6% increase for the self-insured plans and the 6.2% increase for the fully-insured plan for 2020-2021.

Approximately 65.7% of the labor costs are funded by State/Federal programs and service charges/fees. The remaining 34.3% is provided by the County's General Purpose Revenue.

### Others

In addition to labor costs, the County is required to fund, from its General Purpose Revenue, MOE contributions or required matches in order to receive certain Federal and State funds; long-term debt payments for County facilities financed with Certificates of Participation (COPs); and mandated programs, such as General Assistance and elections. Generally, costs for these programs are projected to remain flat. Several changes are made reflecting the following:

- Continue the minimum MOE level support to San Joaquin General Hospital at \$2.1 million through 2024-2025.

- Increase Reserve for Contingencies by approximately \$3.0 million in 2021-2022 through 2024-2025 with fund transfers from the General Fund in an effort to maintain the Board-established goal of 5% of the County budget by 2020-2021.
- Set aside \$90,000 in the Reserve for Economic Development in 2020-2021 and allocate \$200,000 per year to the Reserve through 2024-2025.

### **Issues Identified by Departments**

As part of the budget submittal, departments were asked to identify major issues concerning their operations/programs over the next three to five years. Following is a summary of these issues which have not been incorporated in the Multiyear Budget Outlook projections:

#### ***Special Districts Funding***

A number of County-administered Special Districts are facing funding shortfalls and/or have negative fund balances. It is anticipated that approximately 10% of the County's Special Districts will require rate adjustments over the next several years. Under the Right to Vote on Taxes Act of 1996 (Proposition 218), any increase in charges is subject to approval by the residents of the affected district. During 2019-2020, 16 rate increase proposals were presented for approval, with 9 approved and 6 pending as of May 2020. During 2020-2021, the County anticipates initiating rate increase processes for 8 additional districts, along with inflation adjustments for 4 districts. Significant public outreach will be needed in support of these processes to educate customers about the options to keep their districts financially healthy.

In addition to increasing revenues where needed, the County continuously searches for cost-saving opportunities through various projects and initiatives. During 2019-2020, Public Works worked with Pacific Gas & Electric (PG&E) to retrofit more than 2,800 streetlights in 70 Districts with Light-Emitting Diode (LED) fixtures, resulting in approximately \$150,000 in annual energy savings for the Districts' customers. During 2020-2021, Public Works - Community Infrastructure Engineering anticipates initiating acquisition of these streetlights from PG&E, which will result in additional savings for district customers. Additionally, Public Works is planning to install Advanced Metering Infrastructure (AMI) in the 95-connection San Joaquin Waterworks No. 2 District as a pilot project. AMI is anticipated to save operating costs by providing automated remote meter reading and automated remote service turn-ons and turn-offs. When the system is in place, customers will be able to view their water usage via the internet.

#### ***Realignment Revenues***

In 1991 and 2011, the Legislature realigned programs from the State to local governments and these are known as 1991 and 2011 Realignment. Realignment funds, projected at \$96.6 million in 2020-2021, fund critical programs at the local level including social services, behavioral health, health, and public safety. While these funding sources are protected under the Constitution from being used for other purposes it does not protect them against dips in the economy since these are tied to the Sales Tax and the Vehicle License Fees. In addition, because Realignment is funded through Sales Tax and the State provided for a deferral of sales tax payments provided to small businesses, the impact to Realignment revenues and to human services could be significant. Estimates from the California Department of Tax and Fee Administration projected a decrease of \$3.0 billion due to this action.



## ***Multiyear Budget Outlook 2020-2021 to 2024-2025***

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The Governor's May Revision updates revenue assumptions for 1991 Realignment and 2011 Realignment. Due to the impacts of COVID-19, Sales and Use Tax revenue is expected to sharply decline and reduce Realignment funding. There is also no growth estimated for 2019-2020 that would cover 1991 caseload growth or provide 2011 growth revenues.

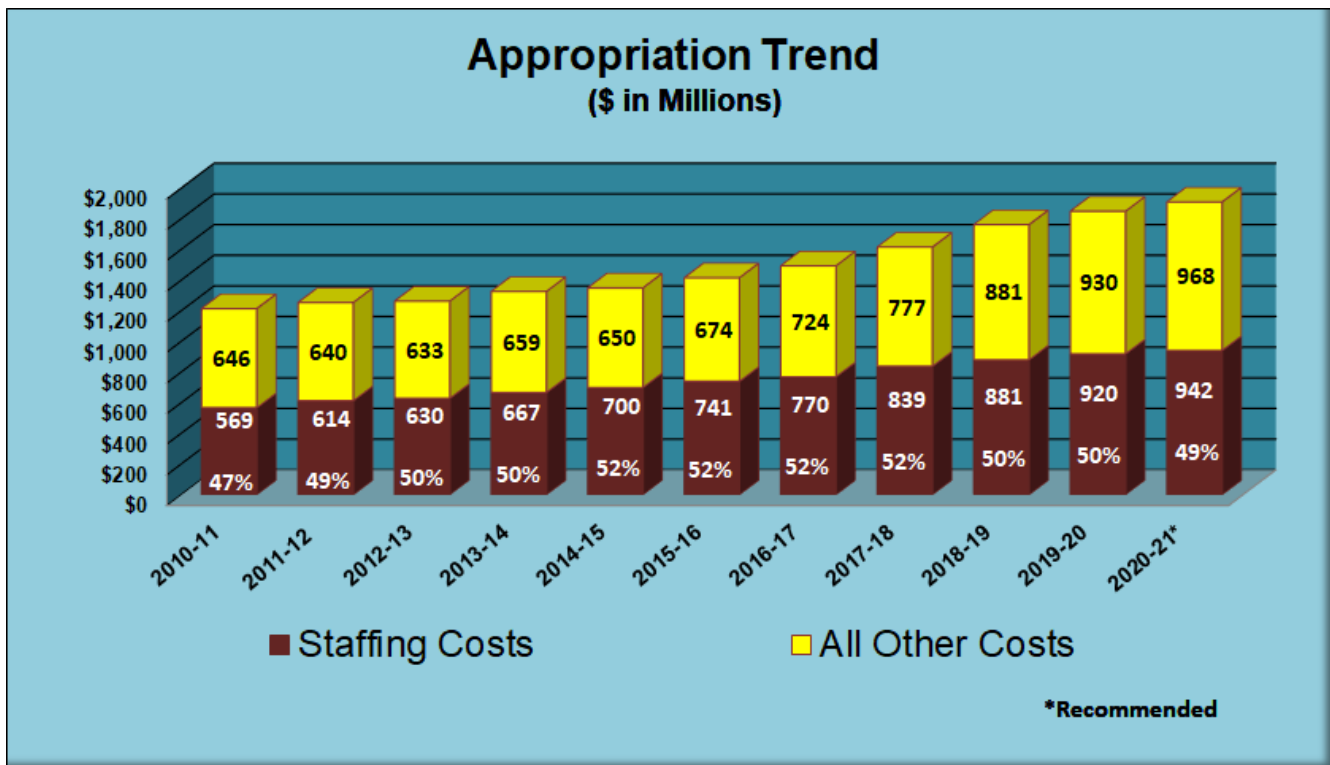
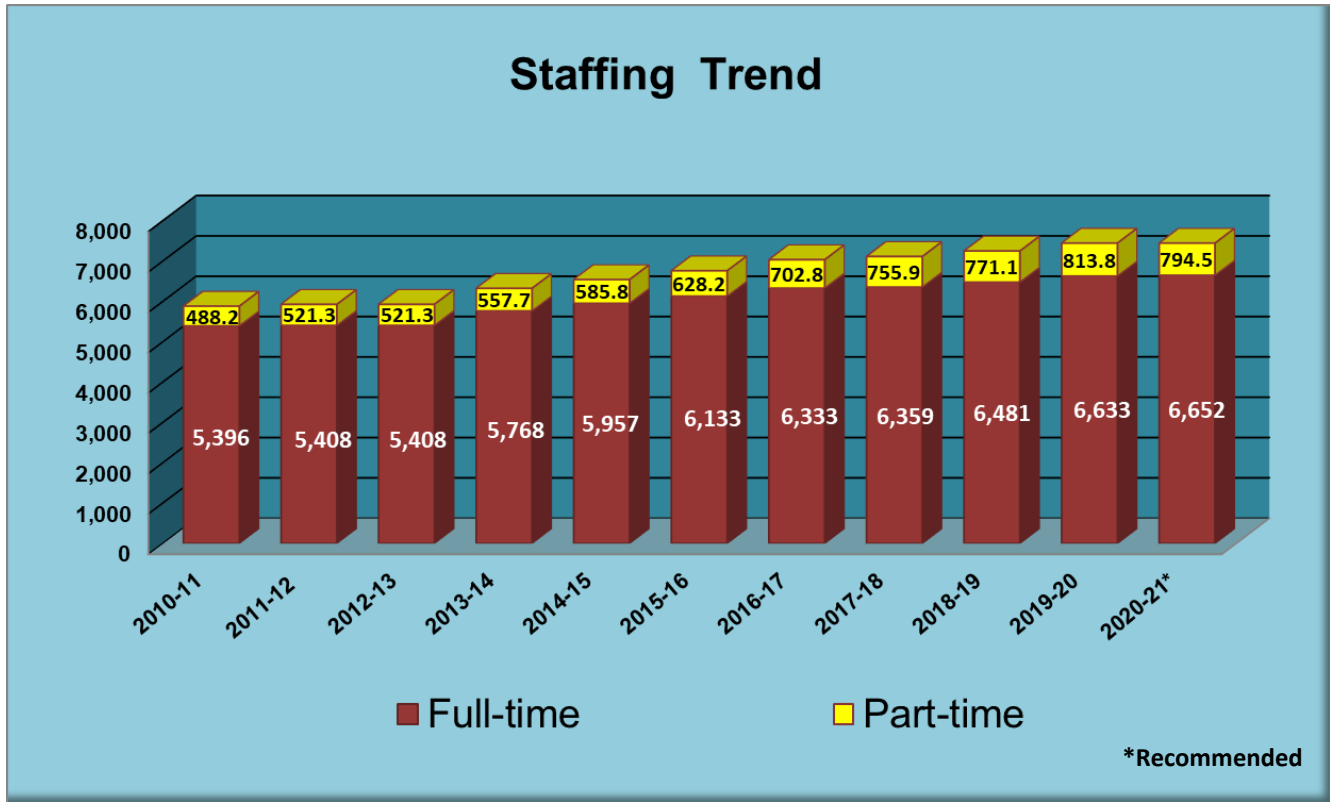
While the Governor's proposed State Budget provides funding through the CARES Act to counties as a backfill for the loss in Realignment funding in 2020-2021, there is no proposal for the impact in future fiscal years.

### ***Potential Redistricting***

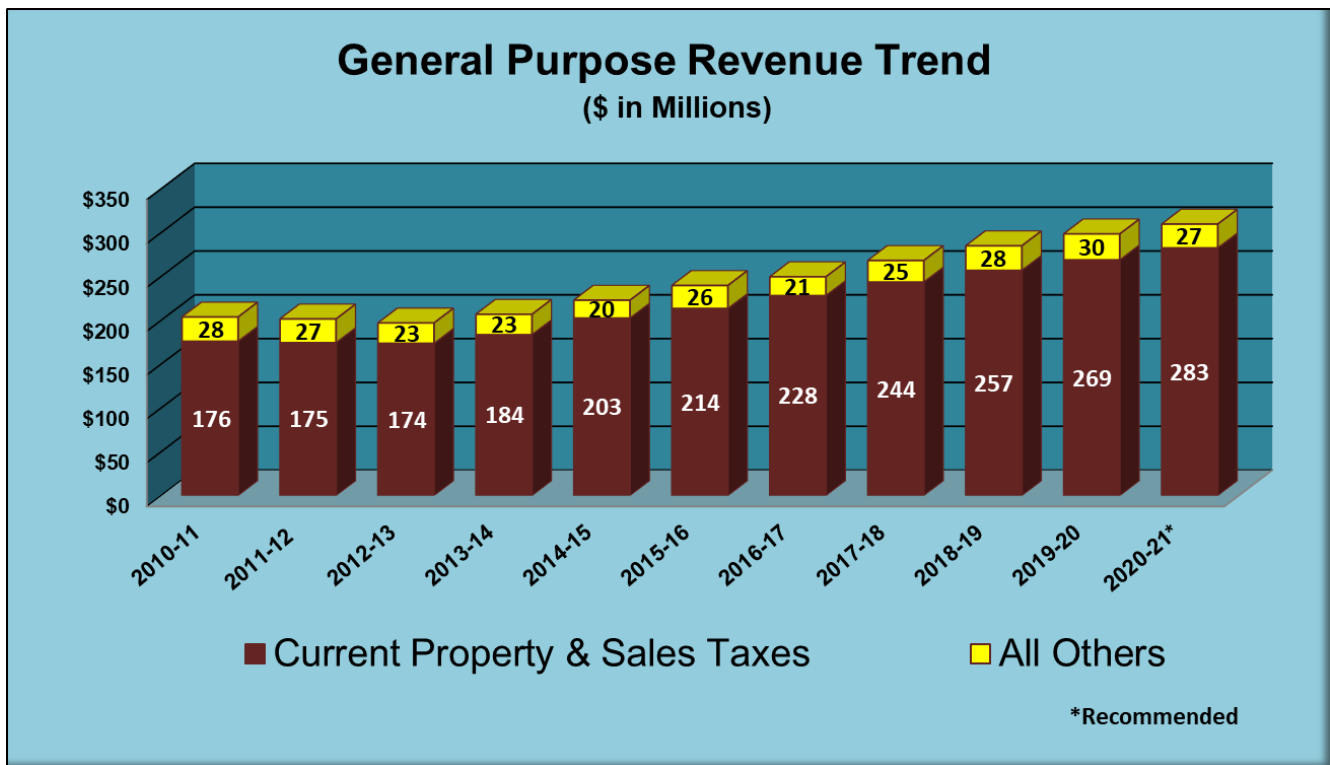
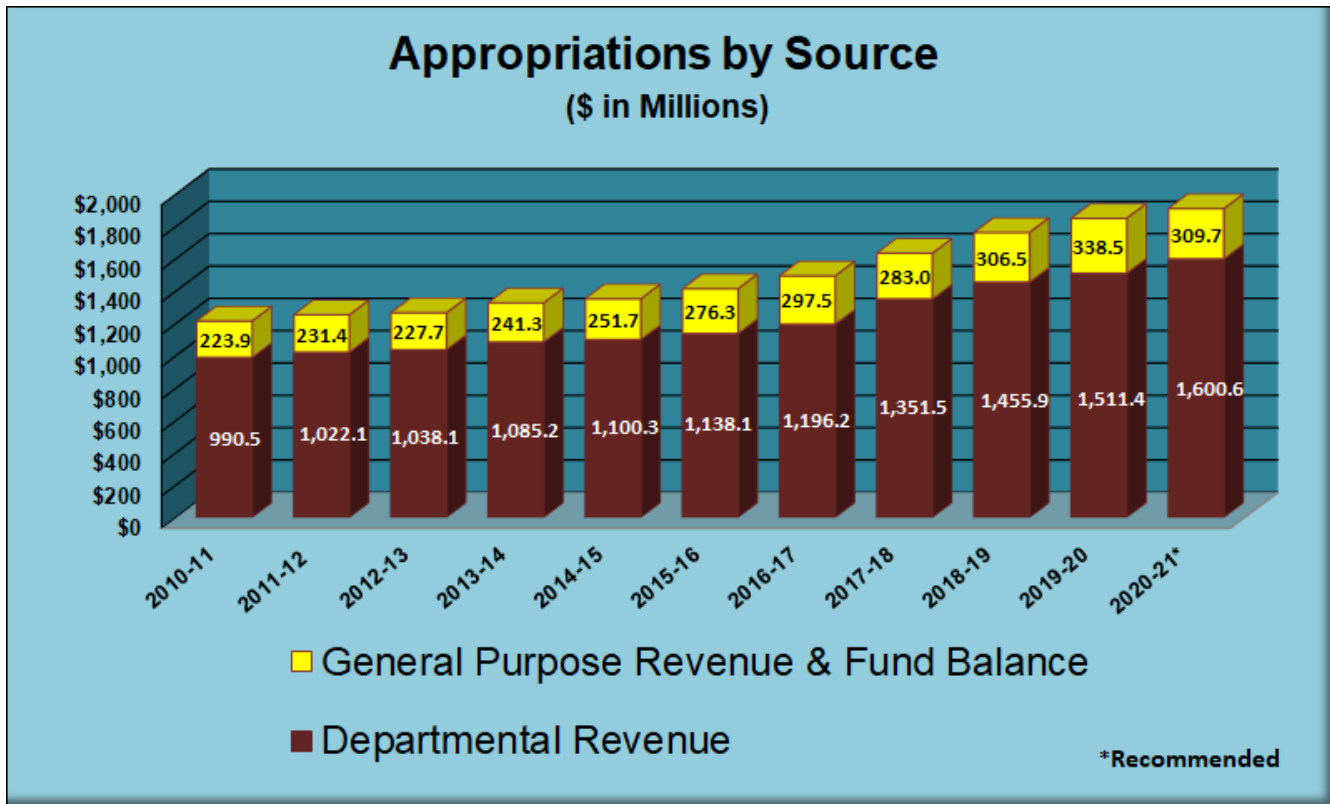
By November 1, 2021, the California Citizens Redistricting Commission, the County Supervisorial Redistricting Commission, and various cities and districts will provide the Registrar of Voters with new district boundaries. Their reapportionment process will be based on data from the 2020 Census and data provided by the County. The Registrar will need to apply these boundary changes by January 23, 2022 and must be able to issue candidate papers for those running for the county central committees of qualified political parties by December 31, 2021. This short turn-around time will require the County to develop tools, workflow, and train staff, so that when the geographic data becomes available, the Registrar of Voters Office will be able to quickly apply, document, and validate the changes in the voter registration database and election management system. Several staff members will be dedicated to this project and will require additional training by the State and in the geographic information systems that support redistricting.

# Statistical Summary

## 10-Year Trend: 2010-2011 to 2020-2021

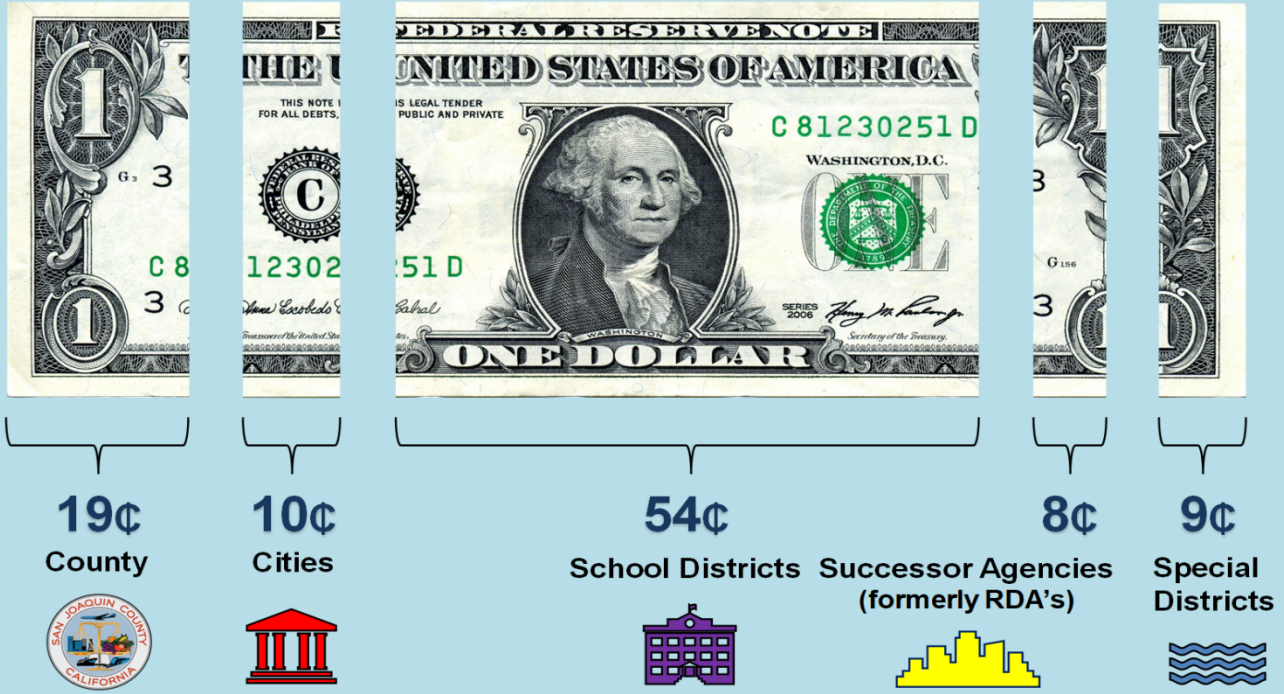


**Statistical Summary**  
**10-Year Trend: 2010-2011 to 2020-2021**

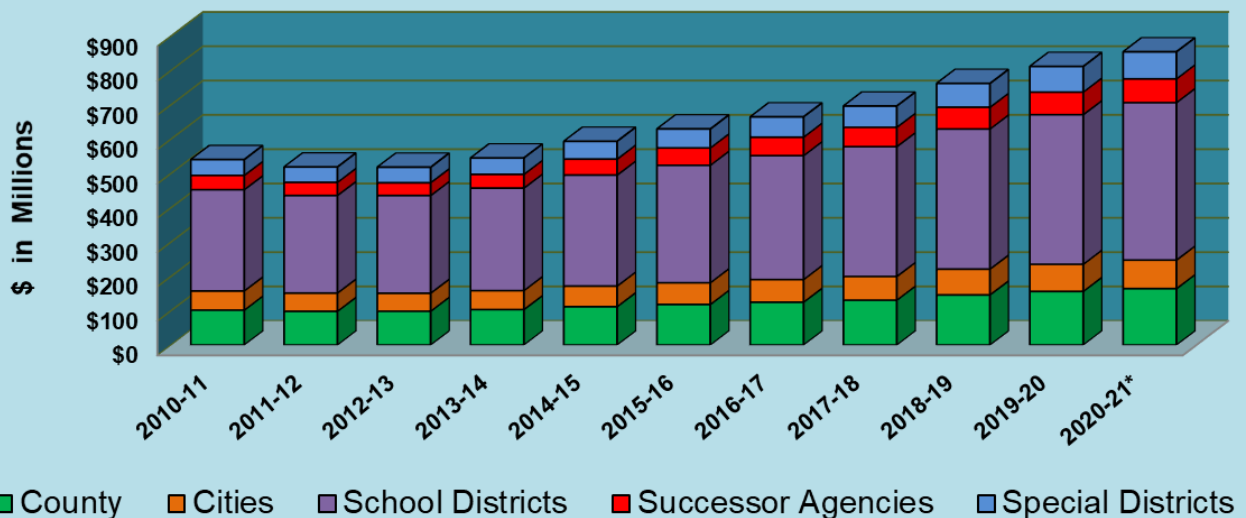


# Distribution of Countywide Property Taxes 10-Year Trend: 2010-2011 to 2020-2021

## Distribution of Property Tax Dollars



## 10-Year Property Tax Distribution Trend



\*Preliminary Property Tax Roll

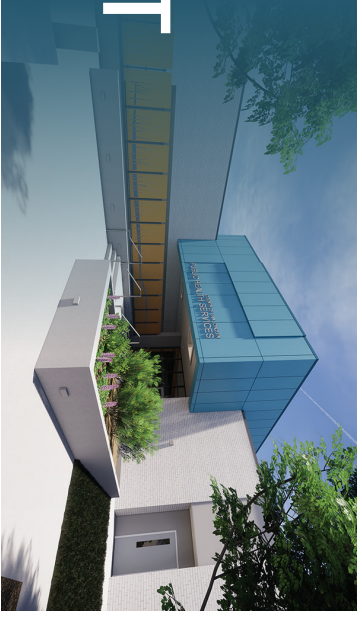
# San Joaquin County Profile

<b>County Profile</b>		
	<b>County</b>	<b>California</b>
Population, 2019 estimate	762,148	39,512,223
Population, 2018 estimate	752,660	39,557,045
Population, 2010	685,308	37,254,503
Population, 2000	563,598	33,871,648
Population, percent change, 2017 to July 1, 2019	1.3%	-0.1%
Population, percent change, 2010 to July 1, 2019	11.2%	6.1%
Population, percent change, 2000 to July 1, 2019	35.2%	16.7%
Persons under 5 years, percent, 2019	7.1%	6.2%
Persons under 18 years, percent, 2019	27.1%	22.7%
Persons 65 years and over, percent, 2019	12.7%	14.3%
Female persons, percent, 2019	50.2%	50.3%
High school graduates, percent of persons age 25+, 2014-2018	78.9%	82.9%
Bachelor's degree or higher, pct of persons age 25+, 2014-2018	18.4%	33.3%
Mean travel time to work (minutes), workers age 16+, 2014-2018	33.2	29.3
Housing units, July 2018	245,541	14,277,157
Homeownership rate, 2014-2018	55.6%	54.6%
Median value of owner-occupied housing units, 2014-2018	\$313,800	\$475,900
Median monthly owner costs with mortgage, 2014-2018	\$1,838	\$2,282
Median monthly gross rent, 2014-2018	\$1,159	\$1,429
Households, 2014-2018	226,727	12,965,435
Persons per household, 2014-2018	3.16	2.96
Per capita annual income (2018 dollars) 2014-2018	\$26,145	\$35,021
Median household income (2018 dollars) 2014-2018	\$61,145	\$71,228
Persons below poverty level, percent, 2014-2018	14.3%	12.8%
Persons with a disability, under 65, percent, 2014-2018	8.6%	6.8%
Persons without health insurance, percent, 2018	7.8%	8.3%
Merchant wholesaler sales, 2012 (\$1,000)	\$11,713,538	\$666,652,186
Retail sales, 2012 (\$1,000)	\$7,059,491	\$481,800,461
Retail sales per capita, 2012	\$10,047	\$12,665
Accommodation and food services sales, 2012 (\$1,000)	\$808,606	\$90,830,372
<i>Information provided by the U.S. Census Bureau</i>		



PROPOSED BUDGET

GENERAL GOVERNMENT



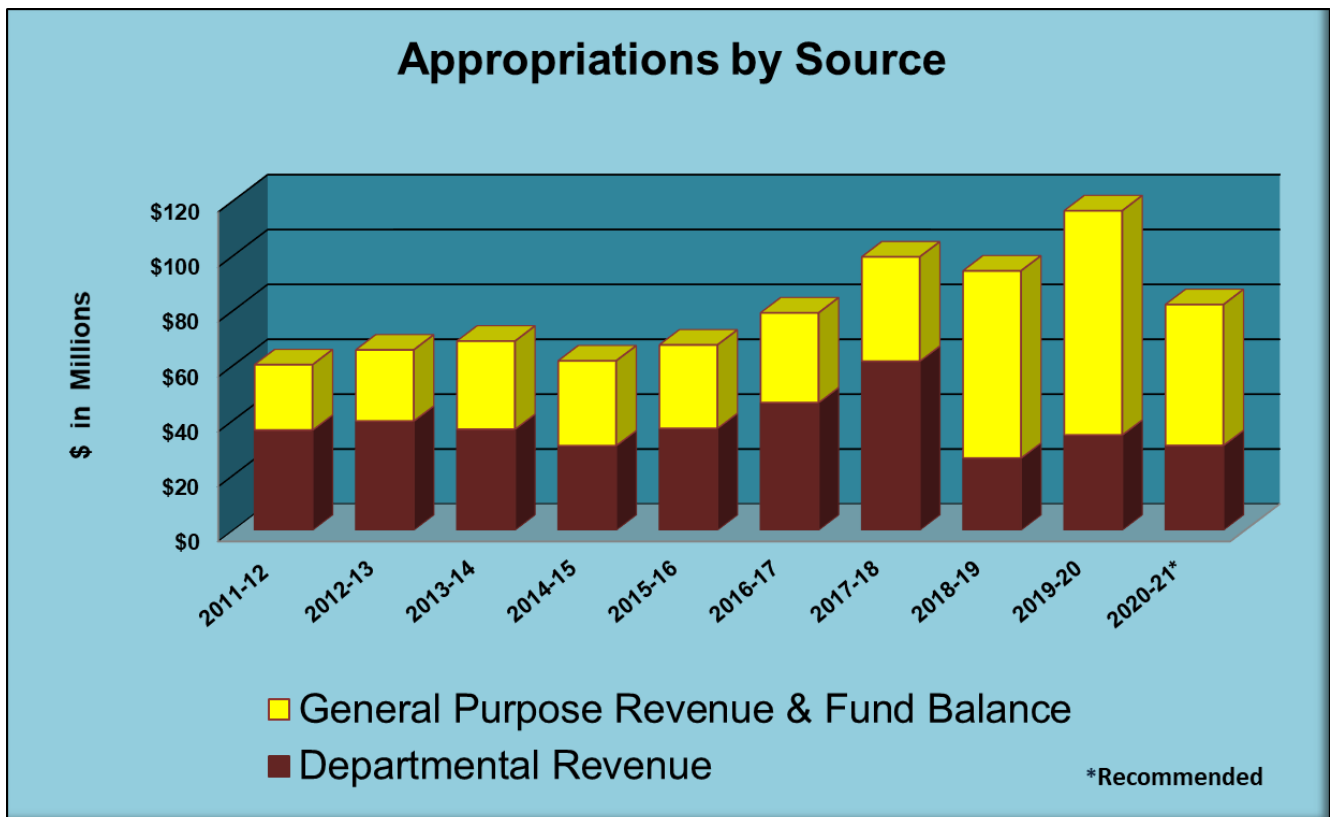
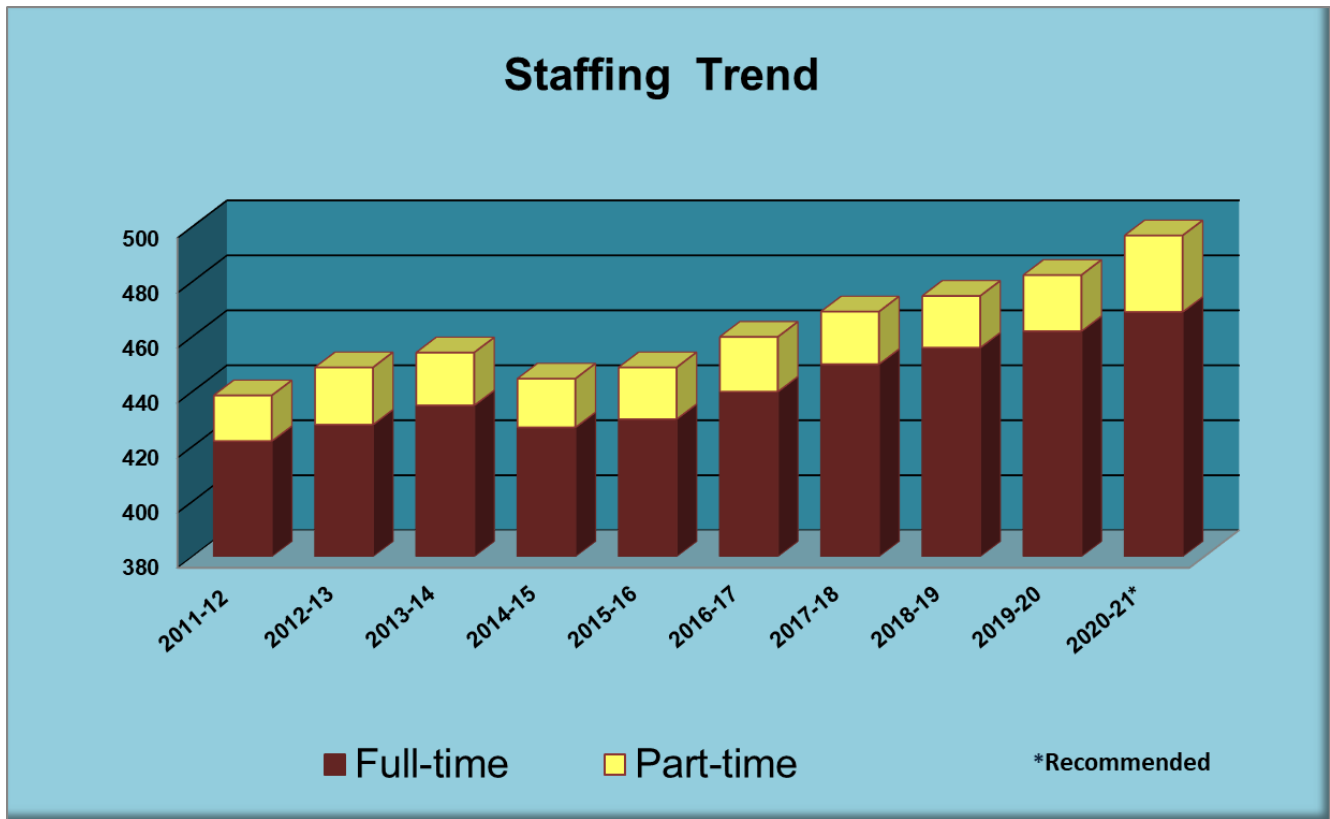
# General Government

## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)	
1010100000	Board of Supervisors	A-4	\$3,594,560	\$4,664,817	\$4,664,817	\$1,070,257
1010200000	County Administrator	A-7	3,897,536	1,562,377	1,562,377	(2,335,159)
1010800000	Auditor-Controller	A-13	3,874,158	2,623,013	2,623,013	(1,251,145)
1010804000	Operating Transfers	A-17	50,949,141	24,401,948	24,401,948	(26,547,193)
1010805000	Tobacco Settlement	A-19	7,300,000	6,700,000	6,700,000	(600,000)
1011000000	Treasurer-Tax Collector	A-21	6,024,657	6,835,395	6,835,395	810,738
<b>Summary —</b>	<b>All Assessor-Recorder Budgets</b>	A-26				
1011100000	Assessor-Recorder-County Clerk	A-28	16,117,558	16,902,801	16,902,801	785,243
2025901000	Recorder-Equipment/Automation	A-32	2,317,300	2,500,300	2,500,300	183,000
1011200000	Purchasing & Support Services	A-34	1,302,911	376,965	376,965	(925,946)
8400112200	Purchasing ISF*	A-39	7,577,985	7,577,985	7,577,985	0
1011800000	County Counsel	A-41	858,807	538,073	536,073	(322,734)
1012400000	Human Resources	A-45	1,471,211	2,951,843	2,951,843	1,480,632
8592500000	Self-Insurance ISF-Health*	A-52	46,413,960	45,027,648	45,027,648	(1,386,312)
8592600000	Self-Insurance ISF-Dental*	A-54	6,287,624	6,143,653	6,143,653	(143,971)
8594800000	Self-Insurance ISF-Medical Malpractice*	A-56	2,436,712	2,547,969	2,547,969	111,257
8594900000	Self-Insurance ISF-Casualty*	A-58	9,402,154	10,326,372	10,326,372	924,218
8595000000	Self-Insurance ISF-Workers' Comp.*	A-60	12,126,053	13,372,546	13,372,546	1,246,493
8595100000	Self-Insurance ISF-Unemployment*	A-62	1,255,842	2,284,919	2,284,919	1,029,077
<b>Summary —</b>	<b>All Information Systems Budgets</b>	A-64				
1013000000	Registrar of Voters	A-66	10,304,709	9,613,575	9,613,575	(691,134)
8240000000	Information Systems Division Internal Service Fund (ISF)*	A-70	23,198,063	26,677,518	26,677,518	3,479,455
8250000000	Office Automation ISF*	A-75	2,609,763	2,299,211	2,299,211	(310,552)
8260000000	Telephone ISF*	A-77	6,318,471	5,762,238	5,762,238	(556,233)
8270000000	Radio ISF*	A-80	3,449,504	3,472,527	3,472,527	23,023
1015400000	Economic Promotion	A-83	294,223	494,781	419,781	125,558
1016000000	Surveyor	A-87	503,147	490,082	490,082	(13,065)
1016500000	Rebates/Refunds & Judgments/Damages	A-89	11,000	11,000	11,000	0
1018000000	Equipment Use Allowance & Debt Service	A-90	7,593,892	1,627,385	1,627,385	(5,966,507)
8190000000	Fleet Services ISF*	A-92	15,584,216	15,678,677	15,678,677	94,461
	<i>Less: ISF Appropriations*</i>		(136,660,347)	(141,171,263)	(141,171,263)	(4,510,916)
	<b>Total - General Government</b>		<b>\$116,414,810</b>	<b>\$82,294,355</b>	<b>\$82,217,355</b>	<b>(\$34,197,455)</b>

\*Internal Service Fund (ISF) appropriations are included in departmental budgets.

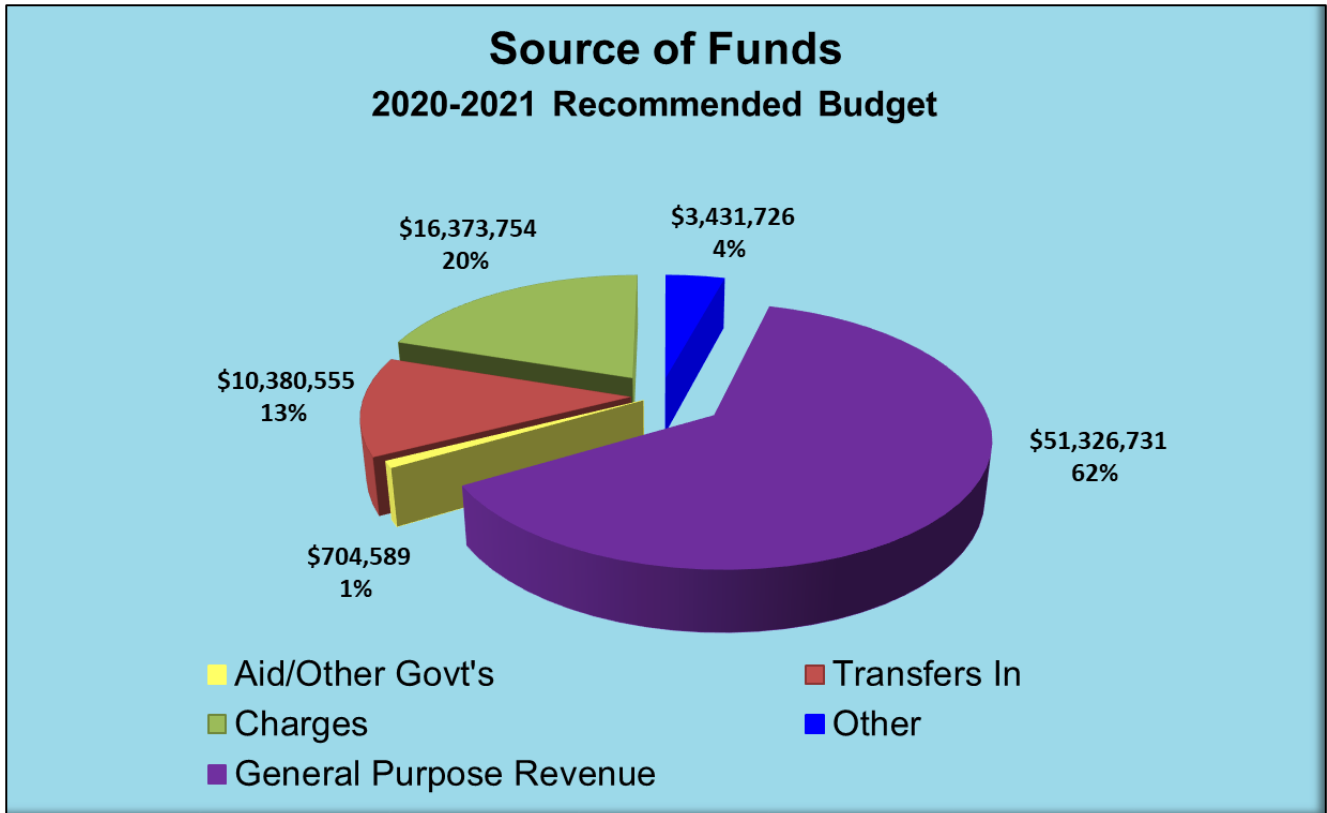
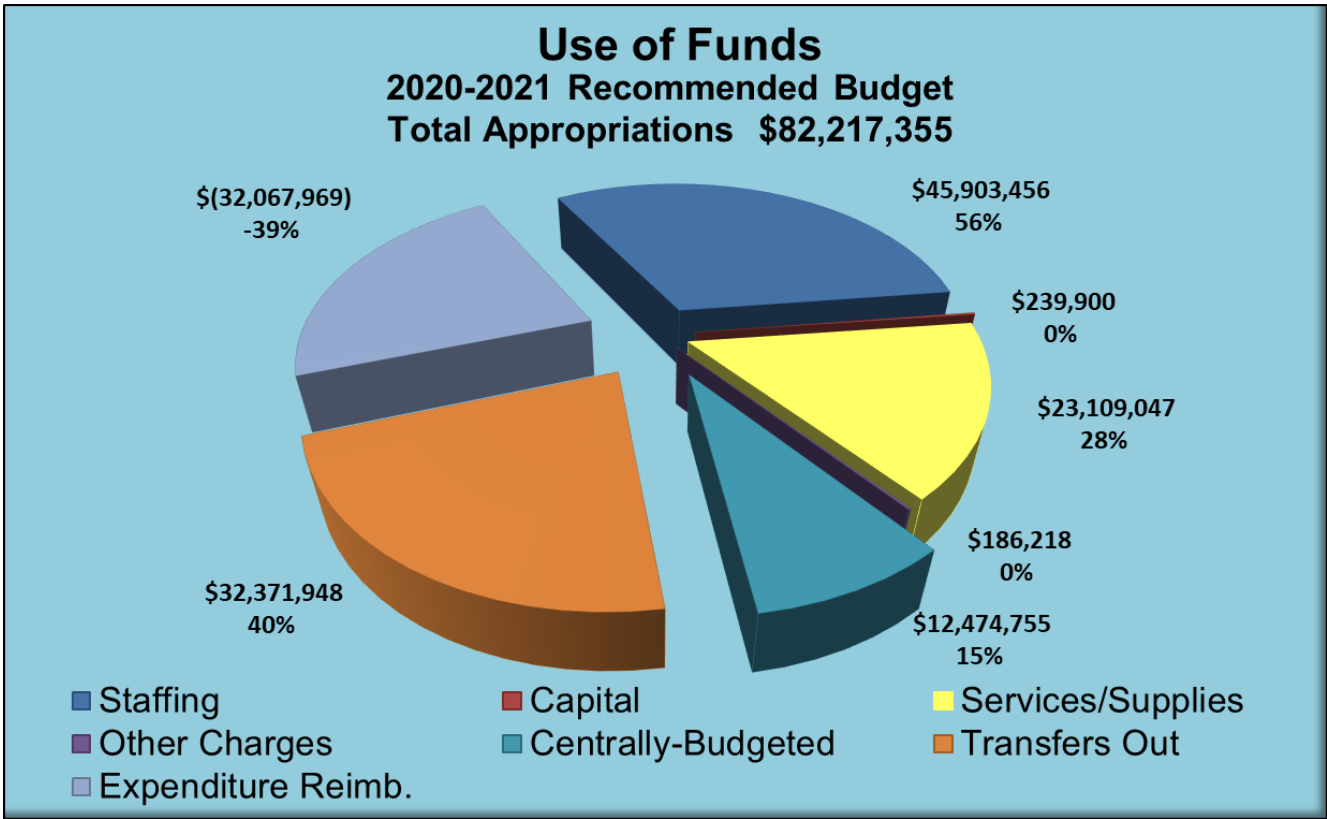
# General Government Appropriations





# General Government

## Appropriations



# 1010100000—Board of Supervisors/Clerk of the Board

## General Government

Katherine M. Miller, *Chair*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,259,875	\$2,363,508	\$2,390,069	\$2,390,069	\$26,561
Services & Supplies	118,096	182,475	182,475	182,475	0
Centrally-Budgeted Expenses	191,142	1,048,707	2,092,403	2,092,403	1,043,696
<b>Total Expenditures</b>	<b>\$2,569,113</b>	<b>\$3,594,690</b>	<b>\$4,664,947</b>	<b>\$4,664,947</b>	<b>\$1,070,257</b>
Expenditure Reimbursements	(89)	(130)	(130)	(130)	0
<b>Total Appropriations</b>	<b>\$2,569,024</b>	<b>\$3,594,560</b>	<b>\$4,664,817</b>	<b>\$4,664,817</b>	<b>\$1,070,257</b>
<b>Earned Revenues By Source</b>					
Charges For Services	\$10,362	\$8,100	\$8,100	\$8,100	\$0
Operating Transfers In	2,657	0	0	0	0
<b>Total Revenues</b>	<b>\$13,019</b>	<b>\$8,100</b>	<b>\$8,100</b>	<b>\$8,100</b>	<b>\$0</b>
<b>Net County Cost</b>	<b>\$2,556,005</b>	<b>\$3,586,460</b>	<b>\$4,656,717</b>	<b>\$4,656,717</b>	<b>\$1,070,257</b>
<b>Allocated Positions</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>	<b>0.0</b>

### Purpose

The Board of Supervisors (Board) is mandated by law and responsible to ensure the public trust through representative government. As the governing body of the County, the Board:

- Sets County and public policy.
- Adopts ordinances/resolutions.
- Appropriates funds and levies necessary fees.
- Represents the citizens of San Joaquin County in matters involving the State/Federal governments.
- Plans land use and determines zoning for the unincorporated area.
- Represents the public on several County commissions, special district boards, and local committees.
- Works with constituents to resolve problems and issues.

The Clerk of the Board (COB) attends all meetings of the Board of Supervisors, Assessment Appeals Board, City Selection Committee, and Countywide Oversight Board; processes and retains all resultant documents; prepares and posts meeting agendas; prepares meeting minutes; maintains

Local Appointments - List of County's Boards, Commissions and Committees; maintains lobbyist registration log; hearing officer panel; and provides support to all County departments.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$26,561 Salary and benefits adjustments.

#### Centrally-Budgeted Expenses

- \$50,300 Increase in data processing charges.

# 1010100000—Board of Supervisors/Clerk of the Board

## General Government

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- \$15,223 Increase in Casualty insurance costs.
- \$976,779 Cost Allocation Plan adjustment.

### Program Discussion

The 2020-2021 recommended budget for the Board of Supervisors (Board)/Clerk of the Board (COB) totals \$4,664,947, which is an increase of \$1,070,257 from the 2019-2020 adjusted budget. This change primarily reflects a Cost Allocation Plan adjustment (\$976,779), due to the change in methodology of indirect charges from County Counsel beginning in 2020-2021.

#### Board of Supervisors

Consistent with the Board Strategic Priority to ensure fiscal responsibility, for the past five years, the budget was structurally-balanced, where ongoing revenues were sufficient to fund ongoing expenses. The Board has also adopted a policy directing a portion of the year-end projected budget savings to be set aside for the Unfunded Pension Liability Reserve, after the Reserve for Contingencies contribution has been met. In 2009-2010, the Board adopted a reserve policy including the goal of setting the Reserve for Contingencies at 5.0% of the County budget by 2020-2021. This goal was achieved in 2018-2019. As of February 29, 2020, the balance of the Reserve totals \$88.5 million, and the balance of the Unfunded Pension Liability Reserve totals \$25.7 million.

On May 30, 2019, the Board and Stockton City Council held a joint meeting to address homelessness. Both the Board and Stockton City Council adopted San Joaquin Continuum of Care as the primary organization to develop homelessness solutions and adopted a resolution to end veteran homelessness in the County by 2025. As part of the 2019-2020 Budget process, the Board established homelessness as an operational priority for all County departments.

On October 8, 2019, the Board approved a new 36-month contract with the Deputy Sheriff's Association, concluding over three years of negotiations. Elements of the contract included a 6% cost-of-living increase over a 36-month period and a new longevity supplement for deputies with 7, 10, and 20 years of service.

In November 2019, the Board agreed to partner with United Way of San Joaquin to accept donations directed to a list of local charities that meet the mission of the Clean San Joaquin Program. The Clean San Joaquin website went live on November 20, 2019. The County continues to initiate outreach with community groups, build a network of volunteers, and conduct media outreach and education with the general public.

On December 10, 2019, the Board adopted changes to the 2019 and 2020 State and Federal Legislative Platforms (B-19-702).

State platform changes include:

- Supporting legislation that increases resource family retention and targeted recruitment efforts, including Intensive Services Foster Care providers, and enhances support services available to resource families to ensure the available local placement resources meet the needs of the community.
- Adding "Emergency Medical Services (EMS)" to the existing policy regarding additional funding for hospital and trauma services.

Federal platform changes include:

- Opposing the proposed rule change by the United States Department of Agriculture (USDA) to revise Categorical Eligibility in SNAP.
- Supporting legislation that would eliminate a provision of the Ryan Haight Act, which prohibits the ability of psychiatrists to prescribe medications while utilizing telemedicine.
- Minor word changes to the existing platform Clean Water Act: EPA Rule Making, Navigable Waters to reflect the proposed repeal of the Waters of the United States (WOTUS Rule) and other proposed changes.

In September 2017, the Board of Supervisors adopted an interim urgency ordinance declaring a temporary moratorium on the cultivation of industrial hemp to address the inadequacy of State law, which did not properly regulate industrial hemp cultivation. By March 2019, the California Department of Food and Agriculture had not developed a comprehensive regulatory package to address these inadequacies, prompting the Board to adopt another interim urgency ordinance declaring a temporary moratorium on the cultivation of industrial hemp for any purpose. The Board extended the temporary moratorium and directed the Agricultural Commissioner to assess the impacts of industrial hemp cultivation and explore reasonable regulations for Board consideration. On September 24, 2019, the Board adopted such regulations for the cultivation of industrial hemp in the unincorporated areas of the County.

On March 24, 2020, the Board of Supervisors adopted an MOU between IHSS Public Authority and SEIU 2015, concluding four years of labor negotiations. The term of the MOU is from March 24, 2020 through March 31, 2023. Key elements of the MOU contain a wage supplement of \$0.50 per hour above the California minimum wage, reduction in the benefits rate from \$0.74 to \$0.70 while removing the cap on IHSS hours used for benefits payments, increase in funding

# **1010100000—Board of Supervisors/Clerk of the Board**

## **General Government**

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for Safety Equipment from \$6,250 per year to \$7,250, and formalized SEIU 2015 access to provide orientations.

### **2019 Novel Coronavirus (COVID-19)**

On January 31, 2020, United States Health and Human Service Secretary Alex M. Azar II declared a public health emergency for the United States in response to COVID-19. On March 4, 2020, Governor Gavin Newsom declared a State of Emergency in California waiving the 30-day time period requirement for renewal of a local health emergency for the duration of the statewide emergency. On March 12, 2020, the San Joaquin County Public Health Officer declared a local health emergency in San Joaquin County, ratified by the Board of Supervisors on March 17, 2020. During this meeting, General Services Director declared a local emergency for San Joaquin County, ratified by the Board of Supervisors on March 24, 2020. As of May 6, 2020, there were 579 cases confirmed positive for COVID-19 and 27 fatalities in San Joaquin County. Based on guidance from the California Department of Public Health and the California Governor's Office, the Board encouraged and took measures to minimize the spread of COVID-19 by implementing social distancing measures at public Board meetings. The Governor temporarily waived provisions of the Brown Act allowing Board Members, COB, and staff to participate remotely from a location that is not accessible to the public and further allowed the Board to deny in-person participation at meetings provided the public could participate telephonically. The Board will continue to monitor this pandemic closely and take necessary actions in response to the COVID-19 pandemic.

The Board will continue to focus on its strategic goals and pursue long-term solutions for optimal organizational

structure and infrastructure needs that best serve the residents of San Joaquin County.

### **Clerk of the Board**

The COB sets priorities reflective of the needs of the Board and the needs of the public and continues to seek ways to engage with departments and the public to provide efficient services.

In 2019-2020, the COB will process an estimated 23 ordinances, 170 resolutions, 413 agreements, and 750 Board Orders adopted by the Board over the course of 28 regular, special, and joint meetings. In response to COVID-19, the Board will continue to meet weekly through the months of April and May 2020.

During 2019-2020, the COB will process an estimated 413 new Assessment Appeals applications, compared to 473 in 2018-2019. A combined total of 736 active appeals are in various stages of resolution. Staff continues efforts to provide exceptional customer service to the taxpayers who file appeals, and to bring their applications to a timely resolution by working effectively with the Assessor's Office.

The COB will continue to evaluate the staffing needs of the office and consider the addition of an Office Supervisor in 2021-2022 to provide oversight of the administrative functions of the office. The COB will also seek review of the current salaries of the Chief Deputy Clerk and Deputy Clerk positions to ensure fair and appropriate salaries are reflected for the positions.

# 1010200000—County Administrator

## General Government

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,886,558	\$3,478,187	\$3,596,728	\$3,596,728	\$118,541
Services & Supplies	428,976	1,084,425	1,060,425	1,060,425	(24,000)
Centrally-Budgeted Expenses	277,169	242,085	399,734	399,734	157,649
<b>Total Expenditures</b>	<b>\$3,592,703</b>	<b>\$4,804,697</b>	<b>\$5,056,887</b>	<b>\$5,056,887</b>	<b>\$252,190</b>
Expenditure Reimbursements	(861,599)	(907,161)	(3,494,510)	(3,494,510)	(2,587,349)
<b>Total Appropriations</b>	<b>\$2,731,104</b>	<b>\$3,897,536</b>	<b>\$1,562,377</b>	<b>\$1,562,377</b>	<b>(\$2,335,159)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$6,479	\$153,113	\$153,113	\$153,113	\$0
Charges For Services	0	0	90,392	90,392	90,392
Miscellaneous Revenues	105	0	0	0	0
Operating Transfers In	0	34,500	34,500	34,500	0
<b>Total Revenues</b>	<b>\$6,584</b>	<b>\$187,613</b>	<b>\$278,005</b>	<b>\$278,005</b>	<b>\$90,392</b>
<b>Net County Cost</b>	<b>\$2,724,520</b>	<b>\$3,709,923</b>	<b>\$1,284,372</b>	<b>\$1,284,372</b>	<b>(\$2,425,551)</b>
<b>Allocated Positions</b>	<b>14.0</b>	<b>14.0</b>	<b>14.0</b>	<b>14.0</b>	<b>0.0</b>

### Purpose

The County Administrator leads the administrative functions of County government. Specifically, the position oversees five departments, directs and coordinates all other County departments and agencies; acts to enforce ordinances, policies, rules, and regulations as adopted by the Board of Supervisors; advises and makes recommendations to the Board on a vast number of issues; supervises the preparation of the annual County budget; monitors departmental and agency revenues and expenditures throughout the fiscal year; prepares the County’s legislative platform and monitors legislation that can significantly affect County programs; coordinates and leads efforts/activities related to County Economic Development; and leads efficiencies in customer service delivery, innovation, and labor negotiations.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the County Administrator’s Office (CAO) focuses on carrying out the Board’s June 2019 directive that all departments establish

homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues. The following highlights provide an overview of several projects, programs, and activities led and administered by the CAO that directly aligns and supports the Board’s strategic and operational priorities.

#### Ensure Fiscal Responsibility

##### Maintain a Structurally-Balanced Budget

The Board is a strong advocate for the County maintaining a structurally-balanced budget. By definition, according to the Government Finance Officers Association, a structurally-balanced budget is achieved when “recurring revenues equal or exceed recurring expenditures.” Additionally, “a true structurally-balanced budget is one that supports financial sustainability for multiple years into the future.” The Board has wisely limited major expansion of services to only those areas that have ongoing revenue from outside the General Fund. The County Administrator has been upholding the

# 1010200000—County Administrator

## General Government

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County's reserve policies, negotiating labor agreements the County can afford in its entirety, limiting the issuance of debt, and taking steps to address the County's unfunded pension liability. Continuation of these practices will provide the necessary foundation for a structurally-balanced and sustainable budget, ensuring expenditures remain contained within available revenues in future years.

During 2019-2020, the CAO has continued to advocate for the County's retention of its share of property taxes when negotiating master property tax sharing agreements with cities in San Joaquin County. In 2019-2020, the CAO successfully negotiated new master property tax sharing agreements with the Cities of Tracy, Ripon, and Escalon. These agreements protect the County's property tax revenue associated with any unincorporated property annexations that occur in or around these Cities for a minimum of the next six years.

### *Responsibly Consider Resources to Address the County's Pension Obligations and Labor Related Costs*

The County participates in the San Joaquin County Employees' Retirement Association (SJCERA). As of January 1, 2020, net pension liability was \$1.7 billion with San Joaquin County's portion totaling \$1.6 billion. Since January 2017, the County has been contributing an additional 5% towards the County's unfunded retirement liability. Through June 30, 2020, the total value of this 5% contribution is approximately \$77.9 million. The Board has also established a reserve for the unfunded liability, which can be used in those years when the County is unable to achieve the 5% contribution within a structurally-balanced budget. The projected fund balance in the Unfunded Pension Liability Reserve at year-end is approximately \$33.1 million. The recommended budget adds an additional \$7.3 million to the Reserve. The County Administrator continues to advocate for prudent rate-of-return assumptions and appropriate reserves to ensure the long-term viability of the County's pension fund.

In 2019-2020, the CAO successfully negotiated equitable and sustainable labor agreements with the Deputy Sheriff's Association (DSA) and the In-Home Support Services workers (SEIU 2015).

During 2019-2020, the CAO and Human Resources staff conducted a competitive procurement process to secure an additional employee health care plan that provides employees the option of a High-Deductible Health Plan and an associated Health Savings Account. This new option was discussed in detail with the various collective bargaining units in 2019-2020 and was offered during open enrollment for a July 2020 effective date.

### *County Debt Reduction*

In 2019-2020, the County paid off two of its three Certificates of Participation (COPs) that were issued in the last 30 years to finance the County's major capital improvement projects. The County made the final debt payment for the San Joaquin General Hospital (SJGH) Project COP in September 2019 and the final payment for the Capital Facilities Project COP for the Jail, Sheriff's Operations Center, and the Child Support Services Building addition in November 2019. These actions significantly reduced the County's overall debt service costs.

The CAO will continue to focus on strategies that eliminate the use of debt for the replacement of facilities or new construction. The County does not anticipate the need for any new long-term debt in 2020-2021.

### **Promote Good Governance and Increase Organizational Capabilities**

The County Administrator actively participated in several successful projects during 2019-2020 that directly contributed to the Board's priority of promoting good governance and increasing the County's operational capabilities. The CAO successfully implemented several innovative projects, including:

- **The Clean San Joaquin Program** – On November 19, 2019, the CAO presented the Clean San Joaquin Program to the Board of Supervisors (B-19-693). The Clean San Joaquin Program was created at the request of the Board to promote community participation in the beautification of San Joaquin County. The goal of the program is to decrease the amount of littering, graffiti, illegal dumping and other blight-related activities that exist in the County. The Program accomplishes this through a dedicated website, marketing material, and a video highlighting the need for the program. Residents can report a problem on-line, sign-up to volunteer their time, or make a donation through a partnership with United Way of San Joaquin County.
- **Electric Vehicle Workplace Charging Policy and Fee Schedule** - In 2019-2020, the CAO, in collaboration with the General Services Department and Public Works, developed an Electronic Vehicle Workplace Charging Policy and Fee Schedule. The policy establishes a fee schedule to allow employees to charge their personal electric vehicles at County-owned facilities while ensuring that County costs are appropriately recovered. The Board adopted the policy and fee schedule on February 25, 2020 (B-20-121).
- **Phase II of the New County Budget System** – In 2018-2019, San Joaquin County was one of the first counties in California to implement the new cloud-based budgeting system available from Oracle Corporation.

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## General Government

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In 2019-2020, the CAO staff, in partnership with Information Systems Division (ISD), expanded the functionality of the cloud-based system to include calculation and projection of the County's largest expense, employee salary and benefits. This new system functionality, known as Workforce Planning, improves the accuracy of the salary and benefit cost projections developed during the budget process. The CAO team successfully utilized the module during the development of the 2020-2021 Proposed Budget.

- **Data Analytics** – During 2019-2020, the CAO expanded its use of the Oracle Business Intelligence product to analyze large amounts of County data related to employee salary and benefits costs; employee leave usage; departmental vacancy rates; employee earnings analysis; and costs associated with labor negotiations.
- **Enhanced Infrastructure Financing District (EIFD)** – In 2019-2020, the CAO met with local jurisdictions to evaluate participation in a new governance entity that would support the implementation of a Finance Plan for 200-year flood protection improvements to the Reclamation District 17 Basin (Project). The new governance entity would be part of an EIFD, which is a new financing mechanism utilized to fund regional projects whereby each jurisdiction contributes an increment of their property tax revenue to fund the project with reimbursement to the jurisdictions as development growth occurs. The EIFD is currently being analyzed for feasibility and negotiations with the local jurisdictions are ongoing. During 2020-2021, it is anticipated that the proposed EIFD will be brought before the Board of Supervisors for approval. If approved, San Joaquin County would be one of the first counties in California to implement this new financing mechanism.
- **Legislation Tracking Tool** – In 2019-2020, the CAO began using a legislation-tracking tool, known as Capital Track, to monitor the status of Legislative bills of interest to the County. This product allows the CAO to run reports regarding introduced bill reports, amended bill reports, final action reports, and provide this information in a timely way to impacted departments and the Board.

Other projects and efforts the CAO team were successfully engaged in during 2019-2020 include:

- **Department Head Recruitments** – During 2019-2020, the County Administrator, in collaboration with Human Resources, conducted six successful recruitments to fill vacant department head positions (Human Resources Director, Purchasing and Support Services Director, Community Development Director, Clerk of the Board,

Human Services Agency Director, and also participated in the hiring of the Chief Probation Officer).

- **San Joaquin General Hospital** – During 2019-2020, the County Administrator provided strategic guidance to SJGH on both fiscal and policy issues. The County Administrator routinely attends the San Joaquin Community Clinics Finance Committee meetings to provide input and direction. In addition, CAO staff actively participated in the monthly meetings of the Health Care Services Review Committee at which topics of significance for SJGH are discussed, including financial performance, capital projects, and various operational issues. The County Administrator has worked closely with SJGH executives in 2019-2020 to evaluate and identify options to eliminate Hospital and clinic services that are not financially sustainable. Many of these clinics have been operating at a loss and have contributed to the financial challenges facing SJGH.
- **County Administrative Manual Update** – The CAO, in partnership with County departments, developed a series of new policies and procedures resulting in an update to the County Administrative Manual (Manual). In total, five policy sub-sections were revised. On January 7, 2020, the Board of Supervisors approved the new policies and revisions to the Manual (B-20-6).
- **State and Federal Legislative Platform Update** – During 2019-2020, CAO staff facilitated the efforts necessary to update the County's State and Federal Legislative Platforms. These updates were developed through a series of meetings held with department heads, and State and Federal Advocates. The updates included a new State policy on Resource Family Recruitment and Retention, a new Federal policy on Supplemental Nutrition Assistance Program, and minor amendments to the County policy on Clean Water Act and Telehealth. The Board adopted these updates on December 10, 2019 (B-19-702).

### *Encourage Collaboration Internally Among County Departments and Externally with Other Governmental and/or Community Organizations that Provide Opportunities for Residents*

In 2019-2020, the CAO staff was instrumental in the development and execution of numerous initiatives focused on the Board's operational priorities regarding homelessness. Staff has focused on efforts intended to make homelessness rare, brief, and non-recurring, thereby improving the quality of life for all residents. CAO staff engaged in a number of initiatives focused on homelessness during 2019-2020, including:

- Led the San Joaquin Continuum of Care (CoC).

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## General Government

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- Supported the Board as it developed its budget priority policy statement: Quality of Life - Addressing and Limiting the Impacts of Homelessness in San Joaquin County.
- Participated with the City of Stockton and the CoC in the development of a Strategic Plan for Homelessness.
- Supported the development of a 35-unit permanent supportive housing project for Behavioral Health clients.
- Developed a streamlined process for the utilization of grant funds for affordable housing.
- Applied for approximately \$6.0 million in Homeless Housing, Assistance and Prevention Program funds for a broad range of homeless initiatives.
- Provisioned over \$2.0 million in entitlement funds to support programs providing emergency shelter, rapid re-housing, and the Homeless Management Information System.
- Supported the implementation of over \$2.0 million in grant funding for homeless housing under the State of California's No Place Like Home Program.

### Improve Public Safety and Enhance Overall Criminal Justice System

On May 15, 2018, the Board approved the separation of the Coroner function from the Sheriff, and the creation of an independent Medical Examiner's Office (B-18-292). The County Administrator, along with County Counsel, developed an organizational plan for the Medical Examiner's Office. In June 2019, the Board appointed a Chief Medical Examiner. Transition activities were implemented shortly thereafter to place the Office of the Medical Examiner within the Health Care Services Agency.

During 2019-2020, civilian job classifications were created to perform Medical Examiner investigative functions (previously performed by Deputy Sheriffs), and Human Resources initiated the recruitment process for the new positions. Until the Medical Examiner's Office is fully staffed, the Sheriff's Office will continue to assist with the transition efforts. Medical Examiner staff will continue to operate from the Sheriff's Administration Building and existing Morgue facility until interim office space is available at SJGH and eventually the Morgue replacement facility. In 2020-2021, a new budget will be created for the Office of the Medical Examiner within the Health Care Services Agency.

### Promote Economic Development

During 2019-2020, the CAO continued to support the efforts of County departments and community agencies focused on advancing economic development opportunities throughout

the County. The following highlights provide an overview of efforts administered by the CAO:

- **Airpark 599 Project** – During 2019-2020, staff continued efforts to obtain a release from the Federal Aviation Administration (FAA) for restrictions related to a 32.5-acre portion of the Airpark 599 property. The FAA has determined that a release of the restrictions on this Airpark property will require the completion of an Environmental Assessment pursuant to the National Environmental Policy Act (NEPA). Staff now anticipates issuance of the FAA release in 2020-2021.

Staff has also continued to work with the County's development partner on revisions of the current Master Development Agreement and on an amendment of the County's adopted Stockton Metropolitan Airport Special Purpose Plan. The Airpark 599 development is projected to generate new jobs and promote economic activity, benefitting the County well into the future.

- **iHub San Joaquin (iHub)** – During 2019-2020, CAO staff continued to participate as a member of the iHub San Joaquin Board of Directors. In 2019-2020, iHub supported multiple industry-specific events. iHub was instrumental in supporting and sponsoring the first Ag-Tech Summit in the County focusing on innovative technology from start-ups catering to the agricultural industry. During 2019-2020, iHub also supported an event focused on cybersecurity. The event, titled "The Human Firewall", focused on the cyber threats and safeguards businesses and government should be monitoring and implementing. Approximately 100 local business and government leaders attended this cybersecurity event.
- **Annual Report - A Year In Review** – In September 2019, the CAO released the 2018-2019 Annual Report in hard-copy and posted on the County's webpage. This report highlighted the County's efforts and accomplishments during 2018-2019 related to the Board-adopted Strategic Priorities.
- **Construction Management Services Contract** – In 2019-2020, the CAO released a Request for Qualifications (RFQ) for Construction Project Management services to augment the County's ability to deliver a number of concurrently progressing capital projects. The projects include the demolition of the old courthouse building, construction of a new office building for the District Attorney's Office, construction of a new building for the County Morgue/Medical Examiner, and construction of a new building for the Public Defender's Office. The County selected and entered into a contract with AECOM Technical Services, Inc. (AECOM) for pre-demolition



# 1010200000—County Administrator

## General Government

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construction management services to assist the County in identifying a strategy for the demolition of the old courthouse, develop the Request for Proposals for the demolition service, and assist the County with review of submittals and preparation of bid documents.

- **Establishment of an Affordable Housing Developer List** – In 2019-2020 the CAO released a RFQ to identify and pre-qualify traditional developers and teams with both management and development experience who are interested in submitting future proposals to develop a broad range of housing projects for individuals and families experiencing poverty or homelessness. The County received widespread interest in the solicitation, with responses from local developers in the Northern California area, Los Angeles, and as far away as Camden, New Jersey. Eight respondents were found to be qualified. It is anticipated the County will begin to utilize the list in 2020-2021 to solicit project-specific proposals as opportunities arise.
- **San Joaquin County Economic Development Website** – In 2019-2020, CAO staff began work on the development of the next phase of the County’s Economic Development website. The focus of this project is to improve the website navigation and to expand the amount of information available to the public and businesses. The intent is to develop a new website that will effectively communicate the key strengths of the County, including the region’s culture of innovation, strategic geographic location, its robust transportation infrastructure, the depth and strength of its workforce, and the available business incentives.

### Stay Informed and Proactive in Dealing with Water Issues

The County continues to invest significant resources to support the Delta Counties Coalition, and the County’s legislative efforts related to water. A Deputy County Administrator is anticipated to dedicate approximately 10% of her time to Delta-related activities.

## Major Budget Changes

### Salaries & Employee Benefits

- \$94,541 Salary and benefits adjustments.
- \$24,000 Increase in overtime

### Services & Supplies

- (\$26,850) Decrease in professional services.

### Centrally-Budgeted Expenses

- \$113,294 Increase in data processing charges.
- \$43,544 Increase in Casualty insurance costs.

### Expenditure Reimbursements

- \$2,587,349 Cost Allocation Plan adjustment.

### Revenues

- \$90,392 A-87 reimbursement from non-County agencies.

## Program Discussion

The 2020-2021 recommended budget for the County Administrator’s Office (CAO) totals \$5,056,887, which is an increase of \$252,190 from the 2019-2020 adjusted budget. This change primarily reflects salary and benefits adjustments (\$94,541), and increases in data processing charges (\$113,294) and Casualty insurance costs (\$43,544).

### 2019 Novel Coronavirus (COVID-19)

The COVID-19 pandemic has had wide and far-reaching impacts on both the community and County government. Due to the aggressive public health response to the COVID-19 pandemic, including County facility closures, stay-at-home measures, and preparation for a potential surge in COVID-positive patients in the County, revenues have declined unexpectedly in the third and fourth quarters of 2019-2020 for several County departments. In 2020-2021, the CAO will closely monitor State and Federal budgets, as well as local and national economic indicators, with the intent to return to the Board of Supervisors in the August/September 2020 timeframe if budget adjustments are warranted.

On March 27, 2020, the President signed into law the Coronavirus Aid, Relief, and Economic Security Act (CARES Act). The CARES Act established the Coronavirus Relief Fund (Fund) and appropriated \$150 billion to the Fund, to be used to provide payments to State, Local, and Tribal governments navigating the impact of the COVID-19 outbreak. Local governments eligible for receipt of direct payment from the Fund include counties, cities, or other units of general government below the State level with populations that exceed 500,000.

On April 24, 2020, the County received approximately \$133.0 million in CARES Act funding. The County has utilized the CARES Act funding to appropriately address departmental COVID-19 expenditures, as well as assist other non-County entities impacted by the COVID-19 pandemic. In 2020-2021,

# 1010200000—County Administrator

## General Government

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the CAO will continue to support the Board as it leverages the CARES Act funding for the benefit of the community.

During 2020-2021, the CAO will continue to provide administrative leadership and support for several major projects that will have long-term implications for the County. Many of these projects will continue into 2021-2022:

- **Labor Negotiations** – The County is in the midst of negotiations with the unions representing 85.4% of the County labor force. The bargaining units currently in negotiations with the County are Law Enforcement Management Association (LEMA), Sheriff Deputy Sergeant Association (SDSA), Nurses (CNA), Physicians (UAPD), and the Service Employees International Union (SEIU). Labor agreements with two additional bargaining units (San Joaquin County Attorney’s Association and Middle Management) are scheduled to expire in 2020-2021. These two units represent 3.9% of the County’s workforce.
- **Budget Reduction Plan** – Because of the economic impacts of COVID-19, the State and Federal governments will realize actual revenues and anticipated expenditures after the Board’s consideration of the County’s Final Budget. The CAO will monitor this situation closely and respond rapidly as reductions in State and Federal funding begin to materialize.
- **Capital Construction Projects** – During 2019-2020, CAO staff assumed lead project management responsibility for the District Attorney’s Office Program Development and Space Planning project, as well as the New Morgue and the Medical Examiner’s Office space. The preliminary design for the District Attorney’s Office is scheduled to be completed in August 2020 and will be followed by the contract award for final design and construction. Staff has released a Request for Proposal for the design work necessary to demolish the courtroom-wing of the old courthouse building. The contract for this demolition design service will be presented for the Board’s consideration in 2020-2021, with demolition completed by early 2021-2022. Construction documents for the Morgue/Medical Examiner building will be completed by March 2021. Construction is scheduled to begin in November 2021, with completion scheduled for June 2024.
- **Transition of the Program Administrator - Homelessness Initiatives to the Health Care Services Agency** – In 2020-2021, the position and associated budget for the Program Administrator - Homelessness

Initiatives will be transferred from the CAO to the Health Care Services Agency. The CAO will take the appropriate steps to ensure that this transition is effectively executed.

- **Data Analytics** – In 2020-2021, the CAO intends to leverage the County’s data analytics product to focus on several key areas including County revenue projections, financial analysis of Countywide spending for potential cost savings, and the aggregation of departmental data related to homelessness services and costs.
- **Clean San Joaquin - Phase II** – During 2020-2021, the CAO will complete Phase II of the Clean San Joaquin Program. Phase II will include outreach and social media advocacy to promote the program and expand the number of participating volunteers and partners. This outreach will include weekly social media posts, communication to cities, schools, businesses, local Chambers, and other local partners in an effort to expand and grow overall program participation.
- **Annual Report - A Year In Review** – This report will highlight the successes realized by the County during 2019-2020. The 2019-2020 Annual Report is currently being developed and the finished report is scheduled to be delivered to the Board in August 2020.
- **Office of the Medical Examiner** – In 2020-2021, the CAO will continue to support efforts surrounding the creation of the Office of the Medical Examiner. The establishment of this new Office within the Health Care Services Agency was completed in 2019-2020 and the CAO anticipates ongoing participation in this effort to ensure a successful transition.
- **San Joaquin General Hospital** – In 2020-2021, the County Administrator will continue to be engaged in the resolution of fiscal and policy challenges faced by SJGH. The County Administrator will continue to participate in the Health Care Services Review Committee meetings, SJCC Finance Committee meetings, and other critical leadership meetings that are focused on the overall success of SJGH. During 2020-2021, CAO staff will be active participants on the team responsible for evaluating potential opportunities for SJGH’s affiliation or consolidation with other Health Care systems. The CAO intends to work closely with the Board, SJGH leadership team, and the Auditor-Controller to monitor monthly financial inflows, outflows, and cash balances to measure the success of ongoing operational changes being made at the Hospital.

# 1010800000—Auditor-Controller

## General Government

Jerome C. Wilverding, Auditor-Controller

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$3,921,153	\$4,215,828	\$4,537,142	\$4,537,142	\$321,314
Services & Supplies	415,905	387,563	371,863	371,863	(15,700)
Centrally-Budgeted Expenses	1,920,787	2,345,306	1,870,872	1,870,872	(474,434)
<b>Total Expenditures</b>	<b>\$6,257,845</b>	<b>\$6,948,697</b>	<b>\$6,779,877</b>	<b>\$6,779,877</b>	<b>(\$168,820)</b>
Expenditure Reimbursements	(2,685,308)	(3,074,539)	(4,156,864)	(4,156,864)	(1,082,325)
<b>Total Appropriations</b>	<b>\$3,572,537</b>	<b>\$3,874,158</b>	<b>\$2,623,013</b>	<b>\$2,623,013</b>	<b>(\$1,251,145)</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$126	\$0	\$0	\$0	\$0
Aid From Other Governments	26,271	10,000	20,000	20,000	10,000
Charges For Services	1,008,386	1,046,176	1,064,517	1,064,517	18,341
Miscellaneous Revenues	163,786	190,050	190,050	190,050	0
<b>Total Revenues</b>	<b>\$1,198,569</b>	<b>\$1,246,226</b>	<b>\$1,274,567</b>	<b>\$1,274,567</b>	<b>\$28,341</b>
<b>Net County Cost</b>	<b>\$2,373,968</b>	<b>\$2,627,932</b>	<b>\$1,348,446</b>	<b>\$1,348,446</b>	<b>(\$1,279,486)</b>
<b>Staffing</b>					
Allocated Positions	31.0	32.0	33.0	33.0	1.0
Temporary (Full-Time Equivalent)	1.0	1.0	1.0	1.0	0.0
<b>Total Staffing</b>	<b>32.0</b>	<b>33.0</b>	<b>34.0</b>	<b>34.0</b>	<b>1.0</b>

### Purpose

The Auditor-Controller's Office (ACO) provides accounting and auditing services for departments, districts, and agencies throughout the County.

The ACO has four major functions:

1. Property tax calculations and allocations;
2. Employee payroll and benefits maintenance;
3. Fund and budget reporting, accounts payable, and claim processing; and
4. Internal audits and cost allocation.

Functions of the Auditor-Controller are mandated while service levels are discretionary. Duties include maintenance of accounting records, preparation of financial reports, auditing, processing payments and payrolls, and a wide variety of accounting support to County operations.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Auditor-Controller's Office (ACO) focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

#### Ensure Fiscal Responsibility

As the County's central accounting department, the ACO handles and controls disbursements related to payroll and vendor payables; accounts for cash received from taxpayers and other agencies; allocates costs between governmental entities; and reports on the financial condition of County operations. Policies and procedures are established and

# 1010800000—Auditor-Controller

## General Government

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followed to ensure assets are properly safeguarded; fiscal compliance is maintained regarding laws and sound business practices; reporting information is proper and accurate; and activities that flow through the ACO are efficient and effective.

As the County's internal auditor, the ACO is responsible for ensuring that County entities meet the same standards of fiscal responsibility as the ACO. This happens through various mandated and discretionary audits and reviews performed by the Internal Audit Division. The results of these projects are reported to management and the Board of Supervisors.

### Promote Good Governance and Increase Organizational Capabilities

Good internal controls provide the cornerstone for good governance, and the ACO is the central nervous system within the County for sound internal controls. Fiscal operations should be consistent with the goals and objectives of County management. This means financial activities should be properly authorized; accurate and complete; and correctly and promptly reported. As County leaders are provided timely and accurate information, departments can carry out duties more effectively. As an added control, internal auditing assesses the levels of governance and effectiveness of County entities by directly measuring their activities against established standards and best practices.

### Improve Public Safety and Enhance Overall Criminal Justice System

The most effective role the ACO performs regarding this priority is to provide support to departments that are directly responsible for public safety and criminal justice. This would include ensuring that law enforcement and attorneys operating on the frontlines have the economic resources and related support services needed to effectively perform their jobs. This also includes basic functions such as timely disbursements for critical supply and equipment purchases, as well as facilitating grant-related submissions and receipt of funds to support specific programs. The ACO has the responsibility and authority to ascertain and investigate instances of fraud. Within the past six years, the ACO has worked on four issues related to internal management fraud at entities within the County, and will continue to be vigilant for any potentially fraudulent activity.

### Promote Economic Development

As noted with public safety, the most effective role the ACO can play regarding this priority is to provide support to departments directly responsible for economic development.

In addition, the ACO will conduct performance audits of programs related to economic development, to assess their effectiveness and efficiency.

## Major Budget Changes

### Salaries & Employee Benefits

- \$141,121 Salary and benefits adjustments.
- \$180,193 Add a Chief Deputy Auditor-Controller.

### Services & Supplies

- \$6,300 Increase in software costs for purchase of Audit Analytics software.
- (\$12,500) Decrease in professional services and contracted independent auditors.
- (\$6,700) Decrease in communications costs due to elimination of excess telephone and data lines.

### Centrally-Budgeted Expenses

- \$14,456 Increase in Casualty insurance costs.
- (\$487,059) Decrease in data processing and automation charges.

### Expenditure Reimbursements

- \$22,800 Increase in audit cost recovery.
- \$1,059,525 Cost Allocation Plan adjustment.

### Revenues

- \$66,841 Increase in auditing fees and charges to outside agencies.
- \$10,000 Increase in reimbursement from Senate Bill (SB) 90 claims.
- (\$48,500) Decrease in property tax assessment processing fees.

## Program Discussion

The 2020-2021 recommended budget for the Auditor-Controller's Office (ACO) totals \$6,779,877, which is a decrease of \$168,820 from the 2019-2020 adjusted budget. This decrease primarily reflects a reduction in data processing charges (-\$487,059), partially offset by the addition of a Chief Deputy Auditor-Controller (\$180,193), and salary and benefits adjustments (\$141,121).

# 1010800000—Auditor-Controller

## General Government

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The Auditor-Controller performs key operational business functions for the County and operates as the County's financial hub. These functions include general accounting, payroll, property tax, internal auditing, grants, and cost allocations. In 2019-2020, the ACO calculated and allocated \$811.7 million in property tax revenue to the County, its 7 cities, 14 school districts, 132 Special Districts, and 4 redevelopment successor agencies; which is an increase of approximately \$49.7 million from 2018-2019. The gross annual salary and benefits processed for County and Special Districts exceeded \$800.0 million and covered over 8,000 employees. Over 150,000 checks to vendors are processed each year. The ACO also tracks and accounts for grant money from Federal and State sources, which is allocated for schools, human services, public safety, and realignment.

The Auditor-Controller is responsible for the County's financial reporting and controls. This includes the annual financial audit, audits of Special Districts (Board-governed and locally-governed), single audits of grant programs, and the Title 2, Code of Federal Regulations, Part 200 - Cost Allocation Plan. The County is currently under contract with an independent audit firm, Eide Bailly, LLP (formerly Vavrinek, Trine, Day & Co., LLP) to provide single audit services for fiscal year 2019-2020.

In 2019-2020, the Auditor-Controller engaged the NBS Government Finance Group (NBS) to generate the County's Cost Allocation Plan and submission to the State Controller's Office. The purpose of the engagement was to leverage NBS's knowledge and experience as a premier provider of cost plan services for local governments. The ACO is currently in the process of contracting with NBS for services through 2020-2021. The Auditor-Controller expects to continue to leverage NBS's knowledge and experience beyond the 2020-2021 submission to further improve the Cost Allocation Plan accounting and reporting.

### Payroll Division

The 2020-2021 recommended budget includes funding of \$180,193 for a Chief Deputy Auditor-Controller position to provide management of the payroll maintenance and processing environment. The ACO has requested this position for the past five years. The position will be responsible for the testing and functional maintenance of PeopleSoft Time and Labor, Payroll, and Absence Management systems. The position will be entrusted with ensuring the proper use of public funds. The consequences of a Payroll Division error are significant in terms of both the County's reputation and protection of its financial resources. Failure to achieve proper reporting and timely payment of employee compensation, State and Federal taxes, and related reports can expose the County to serious risk and result in

severe penalties being imposed on the County by State and Federal agencies.

The ACO has four divisions consisting of Property Tax, General Accounting, Internal Audit, and Payroll. Only the Payroll Division has not had the leadership position of Chief Deputy Auditor-Controller. All four divisions carry equal weight of responsibility; however, the Payroll Division has the most visibility, as it directly impacts all County and various Special District employees. The Chief Deputy position for the Payroll Division is consistent with the structure of many other counties.

### Audit Division

As the financial oversight function for the County, the Auditor-Controller maintains an Internal Audit Division, which performs mandated and discretionary audits. In 2019-2020, the Division completed a series of audits of San Joaquin General Hospital vendor activities. It also began a Countywide audit of trust and special revenue funds which should be completed in 2020-2021. The Division completed small projects related to the Lovelace Transfer Station break-in and Hourly Union Reimbursable Release Time. In addition, it performed mandatory projects, such as the quarterly and annual Treasury compliance audits, the Juvenile Probation audit, and the 2020-2021 Countywide Cost Allocation Plan.

Among the audits planned for 2020-2021 are mandatory audits of Juvenile Probation, Treasury Redemption, and Treasury quarterly and annual compliance. Discretionary audits planned include Board Agenda fiscal items, Countywide supply inventories, Mental and Public Health Services, and take-home vehicles. The Division will also prepare the 2021-2022 Countywide Cost Allocation Plan.

For all audits performed, reports have been issued and copies filed with the Board of Supervisors' Clerk of the Board. In the case of the Hospital vendor activity audit, a presentation of findings was also made by the Auditor-Controller at the Board meeting of November 19, 2019, and is available on video on the County's website.

### Redevelopment Dissolution

Effective February 1, 2012, the Auditor-Controller is charged with the accounting functions related to redevelopment dissolutions. Accounting for redevelopment tax revenue, associated pass through payments, and allocation of residual monies to affected taxing entities is accounted for and controlled by the Auditor-Controller. Senate Bill 107, approved by Governor Brown in September 2015, further expanded the role of the Auditor-Controller regarding redevelopment, requiring the establishment of a Countywide oversight board to be staffed by the Auditor-Controller by

# 1010800000—Auditor-Controller

## General Government

July 1, 2018. The ACO, working in conjunction with the Clerk of the Board, established the Countywide Oversight Board as of the statutory deadline. This Oversight Board held its initial meeting in November 2018, and generally holds its regular annual meeting each January.

### County Accounting and Personnel System

The ACO is a major participant in upgrading and maintaining the County Accounting and Personnel System (CAPS), the

core automated administrative system. CAPS, originally implemented in 2003-2004, was upgraded in 2016-2017, and new functional modules were introduced in 2017-2018. In partnership with Information Systems Division, further evaluation of additional CAPS functionalities/modules to enhance internal controls, productivity, and efficiencies in all County departments will continue in 2020-2021.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Treasury Deposit Receipts</b>	12,999	13,480	14,463	15,100	15,200
<b>Disbursements</b>					
<i>Checks Handled</i>	151,948	144,000	159,476	154,000	156,000
<i>Direct Deposits</i>	186,333	185,797	189,487	190,000	191,000
<i>Purchase Orders &amp; Claims</i>	133,057	140,749	158,644	163,400	164,000
<i>1099s Issued</i>	1,362	1,357	1,483	1,400	1,500
<b>Journal Entries</b>	14,250	16,755	24,845	25,000	25,000
<b>Property Taxes</b>					
<i>Changes to Tax Roll</i>	9,953	8,687	17,944**	11,000	11,200
<i>Auditor's Tax Refunds</i>	3,561	3,311	3,319	3,300	3,300
<i>Tax Redemptions</i>	649	254	297	400	450
<i>Supplemental Billings</i>	25,466	16,500*	27,817	25,000	25,300
<b>Internal Audit Engagements/Projects</b>	12	17	20	23	20

\*Decrease due to Megabyte implementation during the year.

\*\*Included approximately 6,000 one-time mass roll corrections.

# 1010804000—Operating Transfers

## General Government

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$108,456	\$150,000	\$150,000	\$150,000	\$0
Operating Transfers Out	31,468,807	50,799,141	24,251,948	24,251,948	(26,547,193)
<i>Total Appropriations</i>	\$31,577,263	\$50,949,141	\$24,401,948	\$24,401,948	(\$26,547,193)
<b>Earned Revenues By Source</b>					
Miscellaneous Revenues	\$12	\$0	\$0	\$0	\$0
Operating Transfers In	974,912	223,283	0	0	(223,283)
<i>Total Revenues</i>	\$974,924	\$223,283	\$0	\$0	(\$223,283)
<b>Net County Cost</b>	\$30,602,339	\$50,725,858	\$24,401,948	\$24,401,948	(\$26,323,910)

### Purpose

This appropriation provides General Fund monies to be transferred to other funds and received as revenue transfers from other funds to the General Fund.

### Revenues

- (\$223,283) Decrease in operating transfers in from Child Support Services due to closure of General Fund Revolving Fund (#81453).

### Major Budget Changes

#### Operating Transfers Out

- \$6,261,512 Increase in operating transfers out to Approved Labor Agreements Reserve.
- \$2,924,831 Increase in operating transfers out to the Reserve for Contingencies.
- (\$35,280,367) Decrease in operating transfers out to the Capital Outlay Fund due to one-time transfer in 2019-2020.
- (\$295,558) Decrease in operating transfers out to the Economic Promotion Reserve.
- (\$157,611) Decrease in operating transfers out to Information Systems Division due to one-time funding in 2019-2020.

### Program Discussion

The State Controller requires that transfers between funds of end-of-the-year balances be done by appropriating the funds in the next fiscal year and transferring them to the receiving fund where they are recorded as revenues. Operating Transfers are reflected in the budget for 2020-2021 as follows:

- **Approved Labor Agreements Reserve** - \$11,261,512 will be provided to the Approved Labor Agreements Reserve, bringing the total General Fund contribution to \$16,261,512.
- **Airport Enterprise Fund** - \$639,826 will be provided as a loan to support Airport operations, which is not covered by its operating revenues and available fund balance.
- **Contingency Fund** - \$4,974,834 will be provided to bring the Reserve for Contingencies to the recommended level of \$95,517,602 for 2020-2021, which represents 5.0% of the total 2020-2021 recommended budget appropriations.

# 1010804000—Operating Transfers

## General Government

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➤ *Economic Promotion Reserve* - \$90,219 will be provided to the Economic Promotion Reserve reflecting the estimated non-programmed Transient Occupancy Tax revenue for 2020-2021 to bring the Reserve balance to approximately \$1,373,758.

➤ *Unfunded Pension Liability Reserve* - \$7,285,557 will be provided to bring the Unfunded Pension Liability Reserve to approximately \$40,428,900 for 2020-2021.

The recommended budget also appropriates \$150,000 to reimburse Public Works for non-Road Fund related projects or program costs.



# 1010805000—Tobacco Settlement

## General Government

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Operating Transfers Out	\$7,300,000	\$7,300,000	\$6,700,000	\$6,700,000	(\$600,000)
Total Appropriations	\$7,300,000	\$7,300,000	\$6,700,000	\$6,700,000	(\$600,000)
<b>Earned Revenues By Source</b>					
Operating Transfers In	\$0	\$7,300,000	\$6,700,000	\$6,700,000	(\$600,000)
Total Revenues	\$0	\$7,300,000	\$6,700,000	\$6,700,000	(\$600,000)
<b>Net County Cost</b>	\$7,300,000	\$0	\$0	\$0	\$0

- Beginning in 2019-2020, Revenue is being allocated to this budget unit.

### Purpose

This appropriation provides the annual allocation of local Tobacco Settlement Funds based on the policy guidelines established by the Board of Supervisors.

In November 1998, a national tobacco industry settlement was reached. The California portion of the settlement allows counties to share in the settlement and specifies that the dollars are discretionary for counties. The State and counties recognize that there are uncertainties surrounding the level of funds to be received over time.

### Major Budget Changes

#### Operating Transfers Out

- (\$600,000) Decrease in allocation of Tobacco Settlement funds.

#### Revenues

- (\$600,000) Decrease in projected Tobacco Settlement revenue.

### Program Discussion

In November 1999, the Board adopted the Tobacco Settlement Policy Guidelines and a general funding formula for a ten-year time frame that ended in 2007-2008. However, due to substantial budget shortfalls, most of the Tobacco Settlement Funds were directed to support County operations for 2008-2009 through 2010-2011.

In October 2010, the Board reaffirmed the Policy Guidelines (B-10-964) and modified the allocation funding formula to

reflect the Board's priorities. These Policy Guidelines and the modified allocation formula are summarized as follows:

- Restrict Tobacco Settlement Trust Fund monies to one-time uses with multiyear benefits, not operational program expansions and staffing.
- Maximize the use of non-County funding resources to meet community needs, while avoiding building up service levels that cannot be supported with ongoing revenues.
- Minimize debt financing costs requiring ongoing support.
- Adopt a general allocation formula as follows for a 10-year time frame, effective 2011-2012 through 2020-2021 with a comprehensive review and recommendation for another ten-year allocation when the time frame expires:

Funding Allocation Formula	
One-Time Uses/Multiyear Benefits	Allocation Percentage
Health Care Facilities	50%
Capital Improvement Program	20%
Automation Replacement/Expansion	20%
Public Works (non-Road Fund services & Delta/water-related activities)	10%
<b>Total</b>	<b>100%</b>

- Direct the County Administrator to annually develop specific project recommendations for Board review and action in the annual budget process from the general allocation.

# 1010805000—Tobacco Settlement

## General Government

### Tobacco Settlement Trust Fund

For 2020-2021, receipts from the Tobacco Settlement program are expected to total \$6.7 million, which is a decrease of \$600,000 from 2019-2020. The 2019-2020 Tobacco Settlement budget totaled \$7.3 million; however, the actual Tobacco Settlement payment received in late April 2020 for 2019-2020 totaled \$6,740,236. The shortfall of nearly \$560,000 from the budgeted amount was covered through the Tobacco Settlement Trust Fund (#10116). The projected Trust Fund balance at June 30, 2020 is approximately \$700,000. In future years, if the actual payment received is significantly less than budgeted, lower amounts could be allocated for the designated uses.

### Funding Allocation

As the current allocation formula set by the Board of Supervisors ends in 2020-2021, a review of the allocation formula will be revisited and presented to the Board for consideration, and will be reflected in the 2021-2022 budget.

2020-2021 Funding Allocation	
Identified Area	Allocation
Health Care Facilities	\$3,350,000
Capital Improvement Program	1,340,000
Automation Replacement/Expansion	1,340,000
Public Works (non-Road Fund services & Delta/water-related activities)	670,000
<b>Total</b>	<b>\$6,700,000</b>

Based on the Board-adopted guidelines, the funding allocation to four identified areas for 2020-2021 and the specific recommendations and project descriptions are as follows:

#### Health Care Facilities - \$3,350,000

- Since 2010, capital funds have been accumulated for facility projects to address the changing health care environment. The Public Health Facility Replacement project is an example of the type of one-time use for which Tobacco Settlement funds have been allocated. It is estimated that this project will be partially funded with approximately \$20.0 million in Tobacco Settlement funds designated for Health Care facilities. Staff will evaluate the replenishment of these resources through the CARES Act funding, especially for the portion related to the Public Health Laboratory. During COVID-19, the Laboratory has done testing for a number of other counties besides San Joaquin County. In addition, \$1,162,738 was transferred from the Hospital Enterprise Fund to the Capital Outlay Fund in 2019-2020 (B-19-734) as a reimbursement of prior year Health realignment funds

due to Public Health Services. These funds were also designated toward the Public Health Facility Replacement. The recommended budget allocates \$3,350,000 of the 2020-2021 Tobacco Settlement funds for health care facilities projects. This amount, in addition to the previously mentioned augmentation from the Hospital Enterprise Fund, will bring the total funding available for Health Care facility projects to \$37,186,254. By assembling funding now, potential future debt financing costs are minimized.

#### Capital Improvement Program - \$1,340,000

- Americans with Disabilities Act improvements at County facilities (\$575,000).
- Juvenile Justice Center kitchen refrigeration systems replacement (\$175,000).
- Honor Farm 124 barracks security lighting upgrade-Phase II (\$132,000).
- Juvenile Hall wiring upgrade (\$100,000).
- Micke Grove Zoo water filtration system upgrade (\$80,000).
- Micke Grove shelter roof replacement (\$75,000).
- Micke Grove Zoo electrical upgrades (\$65,000).
- Juvenile Facility lighting upgrade (\$60,000).
- Replacement of select walkway bollards at the Sheriff's Office Operations Center and Honor Farm 124 barracks (\$50,000).
- Monitoring and mitigation for underground fuel storage tanks (\$28,000).

See Public Improvement budget #1040148000 for details.

#### Automation Replacement/Expansion - \$1,340,000

- To begin the process of replacing the District Attorney's case management system that has been in service for 10 years (\$1,100,000).
- To continue to enhance cybersecurity capabilities for the County's critical infrastructure and election systems (\$240,000).

See Information Systems Division Internal Service Fund budget #8240000000 for details.

#### Public Works - \$670,000

- To supplement the General Fund support of the advocacy efforts and activities related to the Delta and the County's water rights (\$670,000).

See Delta Activities budget #2023070000 for details.

# 1011000000—Treasurer-Tax Collector

## General Government

Phonxay Keokham, *Treasurer-Tax Collector*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Salaries &amp; Benefits</i>	\$3,766,407	\$4,266,070	\$4,365,579	\$4,365,579	\$99,509
<i>Services &amp; Supplies</i>	679,427	995,746	1,035,720	1,035,720	39,974
<i>Centrally-Budgeted Expenses</i>	1,098,369	1,382,039	2,005,888	2,005,888	623,849
<i>Operating Transfers Out</i>	230,000	230,000	230,000	230,000	0
<i>Total Expenditures</i>	\$5,774,203	\$6,873,855	\$7,637,187	\$7,637,187	\$763,332
<i>Expenditure Reimbursements</i>	(833,020)	(849,198)	(801,792)	(801,792)	47,406
<i>Total Appropriations</i>	\$4,941,183	\$6,024,657	\$6,835,395	\$6,835,395	\$810,738
<b>Earned Revenues By Source</b>					
<i>Licenses/Permits/Franchises</i>	\$190,559	\$210,000	\$210,000	\$210,000	\$0
<i>Fines/Forfeitures/Penalties</i>	261,020	230,000	230,000	230,000	0
<i>Interest/Rents</i>	467,557	425,000	450,000	450,000	25,000
<i>Aid From Other Governments</i>	21,158	15,000	20,000	20,000	5,000
<i>Charges For Services</i>	2,223,505	2,220,676	2,282,700	2,282,700	62,024
<i>Miscellaneous Revenues</i>	147,583	118,500	123,500	123,500	5,000
<i>Operating Transfers In</i>	0	50,000	50,000	50,000	0
<i>Total Revenues</i>	\$3,311,382	\$3,269,176	\$3,366,200	\$3,366,200	\$97,024
<b>Net County Cost</b>	\$1,629,801	\$2,755,481	\$3,469,195	\$3,469,195	\$713,714
<b>Staffing</b>					
<i>Allocated Positions</i>	41.0	42.0	42.0	42.0	0.0
<i>Temporary (Full-Time Equivalent)</i>	0.7	0.7	0.7	0.7	0.0
<i>Total Staffing</i>	41.7	42.7	42.7	42.7	0.0

### Purpose

This narrative includes the Treasurer-Tax Collector (TTC) (#1011000000) and the Revenue and Recovery (#1011600000) budgets.

Functions of the Treasurer-Tax Collector are mandated while service levels are discretionary. Duties of the Treasurer-Tax Collector include the collection and processing of property tax payments and the investing and safekeeping of funds for the County and other public entities. The Treasurer-Tax Collector's operation is a critical component of the property tax system. The investments generate interest earnings to the County and the agencies depositing funds with the Treasury. These earnings, along with the collection of property taxes, provide a major source of General Purpose Revenue and

support vital local government services for the residents and taxpayers of San Joaquin County.

The Revenue and Recovery Division collects debts owed to the County and takes appropriate legal action to secure such accounts. Its services are not mandated.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Treasurer-Tax Collector (TTC) focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal

# 1011000000—Treasurer-Tax Collector

## General Government

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responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

### Ensure Fiscal Responsibility

The TTC monitors its expenses and revenues monthly to ensure fiscal responsibility. In addition, the TTC continuously explores ways to eliminate waste, reduce costs, and increase services through automation and the implementation of new technologies.

Although no longer mandated, the County Treasury Oversight Committee reviews and monitors the Investment Policy annually. The Committee requires an annual audit to determine the County Treasury's compliance with the law and the Investment Policy.

### Promote Good Governance and Increase Organizational Capabilities

The TTC is committed to creating a culture of continuous improvement among employees and throughout all functions. It will continue to analyze evolving technologies that will broaden public access to its services while reducing costs.

In 2019-2020, the TTC added a Management Analyst III to focus on enhancing tax collection programs and allow the management team to focus on increasing services, collections, and efficiency. In addition, four Office Assistant Specialist positions were converted to Accounting Technicians midyear 2019-2020, to focus on new tax collection programs and to create a path for succession planning. These changes will greatly increase the organizational capabilities without adding positions.

### Improve Public Safety and Enhance Overall Criminal Justice System

The Revenue and Recovery Division continues to assist in the enhancement of the overall criminal justice system by collecting fines and fees owed to the Probation Department and restitution owed to victims within the County.

## Major Budget Changes

### Salaries & Employee Benefits

- \$99,509 Salary and benefits adjustments.

### Services & Supplies

- \$20,000 Increase in software and related license costs.
- \$19,000 Increase in small tools and instruments costs.

- \$6,000 Increase in training and travel costs.
- \$5,000 Increase in communications costs.
- (\$10,276) Decrease in professional services.
- (\$7,500) Elimination of e-check payment fees.

### Centrally-Budgeted Expenses

- \$7,842 Increase in Workers' Compensation insurance costs.
- (\$178,011) Decrease in data processing charges.
- (\$14,036) Decrease in Casualty insurance costs.
- \$700,762 Addition of Cost Allocation Plan charges to Treasurer-Tax Collector.
- \$108,040 Cost Allocation Plan adjustment to Revenue and Recovery.

### Expenditure Reimbursements

- (\$50,000) Decrease in charges to San Joaquin General Hospital.

### Revenues

- \$71,700 Increase in property tax administration and fees.
- \$25,000 Increase in interest on delinquent accounts receivable.
- \$13,346 Increase in Treasury investment service fees.
- \$5,000 Increase in State revenue for public protection.
- \$5,000 Increase in sale of goods and materials.
- (\$23,000) Decrease in delinquent tax sales charges.

## Program Discussion

The 2020-2021 recommended budget for the Treasurer-Tax Collector (TTC) totals \$7,637,187, which is an increase of \$763,332 from the 2019-2020 adjusted budget. This increase primarily reflects salary and benefits adjustments (\$99,509), and Cost Allocation Plan adjustments (\$808,802), partially offset by a decrease in data processing charges (-\$178,011).

# 1011000000—Treasurer-Tax Collector

## General Government

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### Treasury Division

State law requires that all funds of the County, school districts, and certain Special Districts be held by the County Treasurer-Tax Collector. The funds on deposit are predominantly derived from local government revenues consisting of property taxes, State and Federal funding, and other fees and charges.

During 2019-2020, the estimated average daily balance of the investment pool was approximately \$3.3 billion, up from \$3.2 billion in 2018-2019. Estimated investment earnings of approximately \$58.0 million will be apportioned to all participating entities in 2019-2020. This represents a decrease of \$6.2 million from 2018-2019 actuals of \$64.2 million.

On March 11, 2020, the World Health Organization declared the outbreak of the Novel Coronavirus (COVID-19) a pandemic. As a result, economic uncertainties have arisen that are likely to negatively impact interest earnings. The duration of such impacts is unknown at this time, but is reflected in the projected investment earnings for 2019-2020 and 2020-2021.

In November 2019, the Treasury Division completed the implementation of an investment terminal, which enables the Treasury to analyze real-time pricing, market data, and financial news. In addition, the service allows the Treasury to analyze individual securities and place trades automatically through an electronic trading platform.

In December 2019, the County's Investment Policy was reviewed and certified by the California Municipal Treasurers Association (CMTA). The certification program, developed by CMTA with support from the California Debt and Investment Advisory Commission, demonstrates the high standards and due diligence that was performed in the development of the County's Investment Policy.

### Tax Division

The Tax Division is responsible for the billing and collection of secured, unsecured, supplemental, and Transient Occupancy Tax (TOT). In 2018-2019, over 285,000 secured, unsecured, and supplemental property tax bills, representing over \$1.1 billion, were mailed and processed. In 2018-2019, the Division collected and processed over \$1.0 billion for the schools, County, Special Districts, and Cities. The Division will continue to promote electronic payments on the County website, tax bills, and in the Office. The TTC will continue to improve its online presence to reduce costs and deliver vital information in an efficient manner.

The 2019 tax bill included a QR (Quick Response) code that allows taxpayers to quickly view and make tax payments with their smartphone by scanning the QR code. The TTC is currently collecting e-mail addresses through its website for

e-mail notifications for specific reminders and eBilling. The eBilling service is anticipated to be available in 2020-2021, upon selection of a vendor. It is anticipated that eBilling will reduce printing, postage, and payment processing expenses.

On December 4, 2018, the Board of Supervisors approved (B-18-728) authorizing the TTC to execute a Voluntary Collection Agreement with Airbnb to collect TOT for rental of homes in unincorporated areas of the County (A-18-427) to begin January 1, 2019. This agreement generated over \$64,000 in 2019 and is expected to be a continuous source of tax revenue.

In February 2019, an agreement was signed with Bid4Assets for online auction services for the sale of tax-defaulted properties. Online auctions are expected to reduce costs associated with public auctions.

In August 2019, the Tax Division joined the California Department of Motor Vehicles (DMV) Tax Delinquent Vessels Program. The Program is primarily aimed at reducing the inordinately high rate of unpaid property taxes attributable to unsecured boat assessments by withholding the DMV renewal of the registered vessel number until the property tax has been paid or cancelled. This effort has resulted in the collection of over \$250,000 in taxes and interest from over 1,400 unpaid boat assessments.

In September 2019, the Tax Division eliminated the deposit of paper checks with the implementation of Check 21. The Check 21 process utilizes imaging technology to deliver same-day deposit, reduce calls associated with payment status, reduce processing costs, and increase interest earnings.

In November 2019, the Tax Division conducted a tax sale of defaulted properties. The purpose of the tax sale is to collect unpaid taxes and return the property to a revenue-generating status by conveying the property to another owner. The tax sale generated \$1.2 million in property tax revenue and encourages payment of property taxes.

In 2020-2021, the Division intends to pursue:

- **Business License Software** - The TTC plans to implement new software to automate its business license process. The software is anticipated to reduce costs associated with issuing a business license by automating delinquency notices, invoicing, and receivable processing. In addition, the business license software will allow for scalability with additional modules.
- **Delinquent Property Tax Collections Software** - In an effort to automate and increase the collection of delinquent unsecured property taxes, the TTC plans to integrate its existing debt collection software, utilized by the Revenue and Recovery Division, into the delinquent tax collections process. The collection

# 1011000000—Treasurer-Tax Collector

## General Government

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software is anticipated to increase efficiency and tax revenue with no additional cost.

- **Business Continuity and Cybersecurity Testing** - The TTC plans to review, test, evaluate, and revise as necessary its Business Continuity Plan to ensure critical functions are operational during various types of emergency events. In addition, cybersecurity testing will be performed to protect the County against catastrophic financial losses.
- **Develop a Cash Payment Kiosk** - Due to software limitations, this project has experienced delays. The TTC will continue to explore alternative automated payment options.

### Revenue & Recovery Division

The Revenue and Recovery Division is the designated collection agency for the County and is responsible for the management and collection of court fines and outstanding fees due to County departments. Approximately 95% of the Revenue and Recovery Division's referrals are from San Joaquin General Hospital and the Probation Department.

As a result of the COVID-19 pandemic, collections and revenues are anticipated to decrease. On March 19, 2020, the Governor issued a Stay-At-Home order affecting collectors and debtors. On the same day, the San Joaquin County Superior Court continued all civil proceedings, including trials. Therefore all pending legal actions filed by the Revenue & Recovery Division have been continued. The full impact of the COVID-19 pandemic on collections is unknown.

### San Joaquin General Hospital (SJGH)

In 2018-2019, the Revenue and Recovery Division received \$675,872 from SJGH for its collection services on delinquent medical bills. Collections for 2018-2019 totaled \$2.7 million compared to \$3.2 million in 2017-2018. For 2018-2019, referrals slowed as SJGH worked to upgrade to the Cerner enterprise information system. During 2019-2020, SJGH referrals have increased to similar levels as previous years. During 2019-2020, the Revenue and Recovery Division completed a programming enhancement to begin transferring bad debt from SJGH. Also, a project has been initiated to transfer accounts from the new health clinic system.

### Probation Department

The Revenue and Recovery Division is responsible for collecting probation supervision fees, probation pre-sentence report fees, processing fees, and restitution accounts. In 2018-2019, the Revenue and Recovery Division received \$320,721 from Probation for collection services provided. Collections for the same period totaled \$1.1 million which is similar to the amount collected in 2017-2018. Collections are expected to remain flat in 2019-2020.

### Equipment Maintenance Trust

As of March 31, 2020, the Treasurer-Tax Collector's Equipment Maintenance Trust (#10330) had a balance of \$2,027,923. The funds are derived from delinquent fee charges and are set aside for future replacement costs of equipment and systems.

# 1011000000—Treasurer-Tax Collector

## General Government

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Workload Data</b>					
<b>Treasury Division</b>					
<i>Investment Pool-Daily Avg.</i>	\$2.9 billion	\$3.0 billion	\$3.2 billion	\$3.3 billion	\$3.4 billion
<i>Investment Earnings</i>	\$24,630,426	\$44,623,329	\$64,211,259	\$58,000,000	\$43,500,000
<b>Property Tax Division</b>					
<i>Total Tax Charge</i>	\$904,450,065	\$1,054,545,619	\$1,123,223,676	\$1,212,421,426	\$1,237,000,000
<i>Total Charge Paid</i>	\$890,001,176	\$994,949,985	\$1,048,814,513	\$1,132,103,262	\$1,155,000,000
<i>Property Tax Bills Produced</i>	243,756	253,540	285,511	268,929	275,000
<i>Delinquent Notices</i>	35,419	30,500	21,852	22,289	22,734
<i>Property Tax Defaulted</i>	17,615	17,313	15,896	16,318	16,600
<i>Properties Approved-Auction</i>	175	122	-	131	125
<i>Properties redeemed/Removed</i>	90	70	-	81	75
<i>Properties Sold</i>	67	34	-	38	40
<i>Sales Proceeds from Auction</i>	\$4,053,600	\$1,208,000	\$0	\$2,123,499	\$2,100,000
<i>Business Licenses Issued</i>	2,032	2,050	2,051	2,120	2,160
<b>Revenue &amp; Recovery Division</b>					
<i>Referrals Processed</i>	26,897	22,478	16,698	23,800	24,200
<i>Statements Mailed</i>	122,887	120,895	99,046	130,500	133,100
<i>Dollars Collected</i>	\$7,711,196	\$6,701,824	\$6,093,262	\$4,300,000	\$5,000,000

# Summary

## All Assessor-Recorder Budgets

Steve J. Bestolarides, Assessor-Recorder-County Clerk

	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Salaries &amp; Benefits</i>	\$10,009,389	\$11,632,274	\$12,421,547	\$12,421,547	\$789,273
<i>Services &amp; Supplies</i>	1,036,290	3,314,532	3,584,734	3,584,734	270,202
<i>Centrally-Budgeted Expenses</i>	1,544,347	2,470,865	2,310,823	2,310,823	(160,042)
<i>Other Charges &amp; Uses</i>	0	10,437	10,437	10,437	0
<i>Capital Expenditures</i>	0	0	169,900	169,900	169,900
<i>Operating Transfers Out</i>	352,924	1,268,500	1,180,000	1,180,000	(88,500)
<i>Total Expenditures</i>	\$12,942,950	\$18,696,608	\$19,677,441	\$19,677,441	\$980,833
<i>Expenditure Reimbursements</i>	(327,350)	(261,750)	(274,340)	(274,340)	(12,590)
<i>Total Appropriations</i>	\$12,615,600	\$18,434,858	\$19,403,101	\$19,403,101	\$968,243
<b>Earned Revenues By Source</b>					
<i>Licenses/Permits/Franchises</i>	\$2,728	\$4,000	\$2,800	\$2,800	(\$1,200)
<i>Interest/Rents</i>	104,952	75,000	75,000	75,000	0
<i>Charges For Services</i>	6,570,863	6,207,910	6,699,445	6,699,445	491,535
<i>Miscellaneous Revenues</i>	9,105	9,000	5,000	5,000	(4,000)
<i>Operating Transfers In</i>	692,839	3,303,482	1,954,762	1,954,762	(1,348,720)
<i>Fund Balance</i>	(387,540)	0	1,475,300	1,475,300	1,475,300
<i>Total Revenues</i>	\$6,992,947	\$9,599,392	\$10,212,307	\$10,212,307	\$612,915
<b>Net County Cost</b>	\$5,622,653	\$8,835,466	\$9,190,794	\$9,190,794	\$355,328
<b>Staffing</b>					
<i>Allocated Positions</i>	105.0	110.0	110.0	110.0	0.0
<i>Temporary (Full-Time Equivalent)</i>	1.3	1.6	1.6	1.6	0.0
<i>Total Staffing</i>	106.3	111.6	111.6	111.6	0.0

This is a summary of the budgets administered by the Assessor-Recorder-County Clerk. These include:

- 1011100000 Assessor
- 2025900000 Recorder-County Clerk
- 2025901000 Recorder-Equipment/Automation

## Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Assessor-Recorder-County Clerk (ARCC) focuses on carrying out the Board's June 2019 directive that all departments establish

homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

The following highlights provide a high-level review of projects, programs, and activities administered by the ARCC.

### Ensure Fiscal Responsibility

The ARCC's vision is to be a leader in service and innovation, where the public and employees are valued. Consistent with the vision statement, the Department has taken on several



# Summary

## General Government

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technology-related projects to improve efficiencies while diligently monitoring all revenues and expenditures throughout the year to maintain a structurally-balanced budget. While maintaining fiscal responsibility, the ARCC will continue to explore innovative opportunities and make improvements in the delivery of services.

### **Promote Good Governance and Increase Organizational Capabilities**

During 2019-2020, the ARCC began implementing a Succession Plan, including training of the workforce. Numerous retirements and the loss of institutional knowledge have created gaps in the leadership and workforce pipelines.

In the last three years, the ARCC has experienced approximately 60% turnover in staff. In anticipation of this high turnover, the ARCC has focused on training and development of staff through detailed desktop manuals for every position. The ARCC encourages appraisers to participate in Board of Equalization training and offers webinar classes for all disciplines in the department. The ARCC continues to provide leadership training and development training to the management team and supervisorial staff.

The 2019 Novel Coronavirus pandemic reinforces the need to rely more on technologies and the goal of greater public access through the website development project. The ARCC continues to develop and improve the functionality of the Megabyte Property Tax System, and will be the first county in the State to incorporate Artificial Intelligence in the transfer section that will enhance productivity by multiples and produce an anticipated accuracy rate of 98%.

The quality, timeliness, and accuracy of ARCC's work product is for the benefit of the entire tax unit including the offices of the Auditor-Controller and Treasurer-Tax Collector.

The recommended budget continues to fund Public Health Services with \$60,000 from the Recorder's Vital and Health Statistics Fund to support efforts to maintain and update its vital record database.

### **Improve Public Safety and Enhance Overall Criminal Justice System**

The ARCC will continue to maximize new technologies that advance public accountability and employee safety through continued collaborative efforts with the District Attorney's Office by providing the necessary information to aggressively pursue the prosecution of real estate fraud.

### **Promote Economic Development**

The ARCC provides vital services to the cities, special districts, and school districts; and strives to enhance transparency through greater public access through new website development. The ARCC is in discussion with numerous counties regarding a collaborative project to enhance desktop workflow procedures for the Megabyte Property Tax System for a pooling of resources to achieve mutually beneficial outcomes.

Development and refinement of a Geographic Information System will significantly improve the ability to measure performance metrics and provide enhanced vital services to cities, special districts, school districts, and other County departments.

### **Stay Informed and Proactive in Dealing with Water Issues**

It is vital to preserve all pertinent maps and recordings of water use since the formation of the County. During 2020-2021, the Department will develop a plan to digitize and index all historical recorded maps and documents. The preservation of these documents is necessary as they are currently held in original form and their safety may be at risk.

# 1011100000—Assessor-Recorder-County Clerk

## General Government

Steve J. Bestolarides, Assessor-Recorder-County Clerk

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Salaries &amp; Benefits</i>	\$10,009,389	\$11,632,274	\$12,421,547	\$12,421,547	\$789,273
<i>Services &amp; Supplies</i>	756,058	2,205,732	2,362,834	2,362,834	157,102
<i>Centrally-Budgeted Expenses</i>	1,544,347	2,470,865	2,310,823	2,310,823	(160,042)
<i>Other Charges &amp; Uses</i>	0	10,437	10,437	10,437	0
<i>Capital Expenditures</i>	0	0	11,500	11,500	11,500
<i>Operating Transfers Out</i>	0	60,000	60,000	60,000	0
<i>Total Expenditures</i>	\$12,309,794	\$16,379,308	\$17,177,141	\$17,177,141	\$797,833
<i>Expenditure Reimbursements</i>	(327,350)	(261,750)	(274,340)	(274,340)	(12,590)
<i>Total Appropriations</i>	\$11,982,444	\$16,117,558	\$16,902,801	\$16,902,801	\$785,243
<b>Earned Revenues By Source</b>					
<i>Licenses/Permits/Franchises</i>	\$2,728	\$4,000	\$2,800	\$2,800	(\$1,200)
<i>Charges For Services</i>	5,735,119	5,227,910	5,829,445	5,829,445	601,535
<i>Miscellaneous Revenues</i>	9,105	9,000	5,000	5,000	(4,000)
<i>Operating Transfers In</i>	612,839	2,041,182	1,874,762	1,874,762	(166,420)
<i>Total Revenues</i>	\$6,359,791	\$7,282,092	\$7,712,007	\$7,712,007	\$429,915
<b>Net County Cost</b>	\$5,622,653	\$8,835,466	\$9,190,794	\$9,190,794	\$355,328
<b>Staffing</b>					
<i>Allocated Positions</i>	105.0	110.0	110.0	110.0	0.0
<i>Temporary (Full-Time Equivalent)</i>	1.3	1.6	1.6	1.6	0.0
<i>Total Staffing</i>	106.3	111.6	111.6	111.6	0.0

### Purpose

Functions of the Assessor-Recorder-County Clerk are mandated, while service levels are discretionary. This narrative includes both the Assessor (#1011100000) and the Recorder-County Clerk (#2025900000) budgets.

The Assessor is responsible for producing annual property assessment rolls including real property and personal property, which is the basis of property tax revenue. The Assessor discovers, values, and enrolls all taxable property in San Joaquin County. The Office also determines change in ownership status and values all properties with a change of ownership; monitors the planting/removal of all commercial trees and vines; administers the constitutional exemption on young trees and vines; revalues Williamson Act property annually; makes reductions to Proposition 13 values where

appropriate; administers various tax exemption programs; and maintains Countywide ownership data and parcel maps.

Property taxes are the local public agencies' major source of General Purpose Revenue. The Assessor's property tax assessment rolls establish the property tax base for all local government agencies in the County, including cities, Special Districts, and school districts. Currently, the County collects approximately \$792.0 million for these entities. Maximum revenue is achieved only through an active, fair, and complete assessment program.

The Recorder-County Clerk maintains recorded parcel maps, property, birth, marriage, and death records; records fictitious name statements; issues marriage licenses; processes notary bonds; registers process servers, unlawful detainer assistants, and professional photocopiers; and processes Department of Fish and Game notices of determination.

# 1011100000—Assessor-Recorder-County Clerk

## General Government

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### Major Budget Changes

#### Salaries & Employee Benefits

- \$515,370 Salary and benefits adjustments.
- \$273,903 Eliminate salary savings from 2019-2020 midyear adjustment.

#### Services & Supplies

- \$243,147 Increase in professional services.
- \$38,700 Increase in software and licenses costs.
- \$10,500 Increase in Structured Query Language and Geographic Information System (GIS) training costs.
- \$9,105 Increase in furniture and small tools costs.
- \$6,000 Increase in forklift and disposal services for imaging project.
- \$5,900 Increase in office supplies.
- \$5,000 Increase in photography costs.
- (\$131,600) Decrease in software maintenance cost due to completion of Megabyte implementation.
- (\$25,000) Decrease in postage costs.
- (\$7,000) Decrease in travel costs.

#### Centrally-Budgeted Expenses

- \$29,707 Increase in Workers' Compensation and Casualty insurance costs.
- (\$53,959) Decrease in data processing charges.
- (\$23,496) Decrease in rents and leases of automation equipment.
- (\$112,294) Cost Allocation Plan adjustment.

#### Expenditure Reimbursements

- \$11,210 Increase in reimbursement for tax administration from non-County agencies.

#### Revenues

- \$465,300 Increase in recording fee revenue.
- \$137,335 Increase in tax administration revenue.
- (\$166,420) Decrease in operating transfers from trust funds.

### Capital Expenditures

- \$11,500 Mapping plotter.

### Program Discussion

The 2020-2021 recommended budget for the Assessor-Recorder-County Clerk (ARCC) totals \$17,177,141, which is an increase of \$797,833 from the 2019-2020 adjusted budget. The increase is primarily due to salary and benefits adjustments (\$789,273), and an increase in professional services (\$243,147), partially offset by decreases in software maintenance (-\$131,600), and a Cost Allocation Plan adjustment (-\$112,294).

The recommended budget includes fund transfers of \$1,874,762, primarily from the Recorder's Modernization Fund (#20037) and the Assessor's Automation Fund (#10225), totaling \$1,696,162 for automation projects and upgrades, administrative support, and services aligning with the Board Strategic Priority of promoting good governance and increasing organizational capabilities. In addition, \$60,000 has been programmed from the Recorder's Vital and Health Statistics Fund (#12020) in support of Public Health Services' effort to maintain and update its vital records database.

For the last nine years, the County has been in a sustained economic recovery. Due to the 2019 Novel Coronavirus (COVID-19) pandemic, economic recovery may stagnate with the possibility of a recession in 2020-2021. Proposition 8 values are anticipated to remain the same as 2019-2020 for the first time in eight years. There is potential for moderate reduction in assessed values of real property. It is anticipated that there will be a significant impact on business filings. Both real property valuations and business property filings will result in a significant increase in workload. Any significant economic downturn will result in a reduction in roll value.

Due to challenges related to establishing a telecommuting program in response to COVID-19, the ARCC requested and the Board of Equalization (BOE) approved a 40-day extension for delivery of the roll. The Department has adapted to the telecommuting environment, and productivity

# 1011100000—Assessor-Recorder-County Clerk

## General Government

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is at or above pre-pandemic levels. The ARCC will endeavor to deliver the roll in an accurate and timely manner.

Pre-pandemic projections indicated sustained growth in all real estate market segments Countywide, with a more optimistic and robust forecast especially in the South County for 2020-2021, due mainly to proximity to the Silicon Valley. The ARCC will continue tracking real property market trends on a quarterly basis to monitor the fiscal impacts the pandemic may have on real estate assessments.

Pre-pandemic recordings increased likely due to favorable interest rates and a rise in refinancing. It is notable that within four weeks of the Governor's Stay-At-Home order, was a 25% increase in electronic recordings, an increase from 60% to 85% of all recorded documents. The ARCC will continue to monitor electronic recordings from qualified agents, as increases may reflect a new segment of customers using this service due to the walk-in counter service being temporarily discontinued, while electronic recording continued uninterrupted.

The Split Roll tax roll proposal is being discussed and is projected to be a ballot measure in 2020. This proposal would strip Proposition 13 protection for some commercial and industrial properties. Impacts to the Assessor's Office may require the immediate need to hire an additional 8 to 10 commercial appraisers; significant software updates/changes; staff training; and a need for an additional investment in technology. If the measure passes, implementation is projected to take 16 to 18 months. Investment in information technology infrastructure may mitigate or reduce the number of employees needed to address the increased workload.

The Assessor's Office applied for a competitive grant under the State Supplementation for County Assessors Program (SSCAP). In September 2019, the State of California Department of Finance awarded San Joaquin County over \$645,000 spanning over fiscal years 2019-2020 and 2020-2021. Matching funds of \$322,500 are included in the 2020-2021 recommended budget.

The ARCC is actively working on the following projects:

- **Continue Megabyte Property Tax System Adaption** - ARCC went live on December 27, 2017, with the Megabyte system. The Department will continue to improve the direct enrollment program, which is a neighborhood-specific evaluation tool. The aforementioned process has required a significant amount of attention from the management team to update neighborhood boundaries, value parameters, automatic value adjustments, alternative neighborhoods, and trend analysis.
- **Desktop Workflow Procedures** – In 2019 the consultant group, ProPoint Technologies completed the

desktop work procedures for the Megabyte system. The product consists of 14 volumes of specific desktop procedures for the Megabyte system. The ARCC is collaborating with other Megabyte counties on Phase 2, which will update specific modules. This project is supported by SSCAP funding.

- **Design and Development of Updated Website** – The website redesign project was awarded to Rolling Orange, Inc. and kicked off in November 2019. The redesign will focus on web strategy with the primary objective of improving customer experience, “on-line versus in-line”. This project will focus on modernizing the site's look and feel, updating content, and improving the overall experience. This project will continue into 2020-2021, and is supported by SSCAP funding.
- **Assessor's Parcel Layer** – The parcel fabric layer project was awarded to the Sidwell Company and kicked off in December 2019. The project will convert the Assessor's GIS parcel layer to a parcel fabric layer, which will aid in making parcel maintenance and updates more accurate and efficient. This project is supported by SSCAP funds to install the software and hardware to run the GIS Pro system.

Beginning in 2020-2021, the ARCC will embark on a 5 to 10 year project to fully develop the Assessor's Parcel Layer, funded by \$200,000 in start-up funding from the Recorder's Modernization fund (#20037).

This project aligns with the Three-Year Board Strategic Priorities to ensure fiscal responsibility, promote good governance, and increase organizational capabilities. The Department will leverage the expertise of consultant services for the creation and development of internal management production information, dashboards, and GIS applications. Longer range goals may include discovery and eventually mass appraising. This long-term project will be funded in subsequent years by redirecting ongoing funds that will be available when several current projects conclude in the next one to two years.

Use of GIS consulting services, like the recently implemented Deed Verification Software system, is consistent with the ARCC's vision to be a leader in service and innovation, where the public and employees are valued. Some of the key GIS innovations include:

1. **System of Record** – Use of GIS to manage parcels, tax maps, and other layers pertinent to business in the Assessor's office. This is the first step as it requires building resources and data points.
2. **System of Insight** – The ability to bring data together for access and analysis. The system of

# 1011100000—Assessor-Recorder-County Clerk

## General Government

insight is about the solutions that fully utilize the data – from the most basic, as a parcel viewer with attributes that allow the viewer to click for information, to performing statistical analysis on neighborhood sales data. Using parcel and Megabyte data, the system will be able to create dashboards that inform the user regarding values and trends.

3. **System of Engagement** – Providing GIS tools to multiple users and having those users contribute to the system. Appraisers can have data and tools on their laptops or smart phones, and collect data in the field for upload into a central geodatabase.

➤ **Digitization of Assessor Files** – The digitization project was awarded to BMI Imaging and kicked off in December 2019. This project will transform the office to a paperless environment. Significant gains in operational efficiencies are expected upon completion of the project, including more robust telecommuting capabilities. Elimination of paper files will enhance the

ability to address operational efficiency through proper space planning. Unnecessary documents are being purged to reduce file size and improve image retrieval for end users. The overall project timeline may be impacted by the COVID-19 pandemic, as our vendor has suspended scanning operations. This project is supported by SSCAP funding and will continue into 2021-2022.

➤ **Passport Services** - The Recorder-County Clerk’s office has been working with the San Francisco Passport Agency to evaluate becoming a passport acceptance facility. The State Department, Bureau of Consular Affairs, Passport Services must approve all new acceptance offices. Submitting a letter of interest/justification on why the San Joaquin County ARCC would want to become an acceptance facility is the first step. Some of the requirements include that the office be open for passport acceptance five days a week for at least five hours per day and that there must be two assigned employees who are eligible to accept applications.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Total Roll Values (000)</b>	<b>\$66,159,882</b>	<b>\$70,616,889</b>	<b>\$74,038,031</b>	<b>\$79,112,336</b>	<b>\$83,859,076</b>
<i>Secured</i>	\$62,248,506	\$66,379,876	\$69,917,514	\$74,946,527	\$79,443,319
<i>Unsecured</i>	\$3,911,376	\$4,237,013	\$4,120,517	\$4,165,809	\$4,415,758
<b>Assessor’s Operations</b>					
<i>Appeals Processed</i>	529	572	502	421	450
<i>Audits-Mandatory</i>	164	194	30	116	179
<i>Boats and Aircraft</i>	5,842	6,326	6,202	6,366	6,400
<i>Exemptions Processed</i>	90,166	89,682	94,246	90,547	90,000
<i>New Subdivision Lots</i>	1,080	2,500	2,513	3,330	3,255
<i>Property Statements</i>	18,645	16,817	12,909	10,288	10,500
<i>Property Transfers</i>	29,421	30,694	32,349	32,319	33,000
<i>Proposition 8 Reviews</i>	26,845	22,235	15,116	13,287	12,000
<i>Permits Received</i>	24,605	22,462	26,153	23,937	23,000
<b>Recorder’s Operations</b>					
<i>Documents Recorded</i>	165,661	176,238*	141,890	145,000	145,000
<i>Pages Recorded</i>	736,096	790,893*	636,899	650,000	650,000
<i>Marriage Licenses Issued</i>	3,425	3,157*	3,171	2,800	2,800
<i>Vital Statistics</i>	28,368	29,862*	33,191	30,000	30,000

\*restated

# 2025901000—Recorder-Equipment/Automation

## General Government

Steve J. Bestolarides, Assessor-Recorder-County Clerk

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$280,232	\$1,108,800	\$1,221,900	\$1,221,900	\$113,100
Capital Expenditures	0	0	158,400	158,400	158,400
Operating Transfers Out	352,924	1,208,500	1,120,000	1,120,000	(88,500)
<b>Total Appropriations</b>	<b>\$633,156</b>	<b>\$2,317,300</b>	<b>\$2,500,300</b>	<b>\$2,500,300</b>	<b>\$183,000</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$104,952	\$75,000	\$75,000	\$75,000	\$0
Charges For Services	835,744	980,000	870,000	870,000	(110,000)
Operating Transfers In	80,000	1,262,300	80,000	80,000	(1,182,300)
Fund Balance	(387,540)	0	1,475,300	1,475,300	1,475,300
<b>Total Revenues</b>	<b>\$633,156</b>	<b>\$2,317,300</b>	<b>\$2,500,300</b>	<b>\$2,500,300</b>	<b>\$183,000</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

### Purpose

The Recorder’s Modernization Fund is established by Government Code Section 27361(c) and can be used “solely to support, maintain, improve, and provide for the full operation for modernized creation, retention, and retrieval of information in each county’s system of recorded documents.”

This budget centralizes special purpose revenues and expenditures for the ongoing support, maintenance, and improvement of the Recorder’s micrographics and data automation.

### Major Budget Changes

#### Services & Supplies

- \$93,800 Increase in software costs.
- \$29,000 Increase in Information Systems Division direct costs transferred from Assessor budget.
- \$6,100 Increase in equipment maintenance costs.
- (\$19,400) Decrease in professional services due to completion of image migration.

#### Operating Transfers Out

- (\$88,500) Decrease in transfers to Assessor-Recorder-County Clerk budget for automation projects.

#### Revenues

- \$1,475,300 Increase in use of fund balance due to reclassifying revenues from operating transfers to use of fund balance.
- (\$1,182,300) Decrease in operating transfers due to reclassifying revenues from operating transfers to use of fund balance.
- (\$110,000) Decrease in recording fees.

#### Capital Expenditures

- \$121,900 Server equipment.
- \$25,000 Scanners (3).
- \$11,500 Micrographics plotter.

# 2025901000—Recorder-Equipment/Automation

## General Government

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### Program Discussion

The 2020-2021 recommended Recorder-Equipment Automation/Modernization budget totals \$2,500,300, which is an increase of \$183,000 from the 2019-2020 adjusted budget. The increase is primarily due to increases in capital expenditures (\$158,400) and software costs (\$93,800), partially offset by a decrease in transfers out for automation projects (-\$88,500). The digitization of historical documents and maps continues to be of great importance to the Department. Their preservation is necessary, as they are currently in original form and their safety may be at risk.

As of March 31, 2020, the Recorder's Modernization Fund (#20037) balance was \$6,267,866. Annual revenue averages \$853,000, and an additional \$640,500 is anticipated to be expensed during the fourth quarter of 2019-2020. The 2020-2021 recommended budget includes a transfer of \$1,120,000 from the Fund to the Assessor-Recorder-County Clerk budget (#1011100000) to support maintenance and management of equipment and automation efforts. This represents a decrease of \$88,500 from 2019-2020.

The Recorder-County Clerk accomplishments for 2019-2020 include:

- **Develop Desktop Procedures** – Similar to the desktop procedures developed for Assessor-Recorder-County Clerk Megabyte users, desktop procedures were developed for all Recorder functions to assist with new employee training and cross-training of existing staff.
- **Index Grantor/Grantee Names for Historical Documents** – Indexing grantor/grantee names provides an index for historical documents recorded into the Eagle Recorder System in 2017. The project involved over 500,000 documents and was completed in November 2019.

Ongoing projects for the Recorder-County Clerk:

- **Digitize & Index Historical Books (Date of Recording, Instrument/Document Number, Book & Page, Grantor/Grantee) & Historical Maps (Years: 1800 to 2000)** – These projects will improve customer service, enable quicker searching capabilities, and

enhance operations. Information will be stored electronically in the event of a disaster, while preserving the quality of the original documents.

- **Design & Develop an Updated Website** – The website redesign project was awarded to Rolling Orange and kicked off in November 2019. The redesign will focus on web strategy with the primary objective of improving the customer experience, “on-line versus in-line”. This project will focus on modernizing the site’s look and feel, updating content, and improving the overall experience. The intent is to improve public access to a variety of information currently provided by staff.
- **Continue Recording Documents Electronically** – The electronic deed recording system remains fully functional. All local title companies that record documents in the County are processing them using the CeRTNA system. There are approximately 800 submitters that use nine authorized agents to submit their documents electronically, which includes the Treasurer-Tax Collector’s Office. The Recorder’s Office currently records approximately 60% of the daily documents electronically, an increase of 2% over last year. Project development and ongoing support costs are funded by a recording surcharge designated for electronic recording systems. As of March 31, 2020, the Electronic Recording Delivery System Fund (#10227) balance was \$541,569. Annual revenue averages \$141,000, and an additional \$115,200 is anticipated to be expensed during the fourth quarter of 2019-2020. The recommended Assessor-Recorder-County Clerk budget includes \$73,600 from the fund primarily for ongoing maintenance and licensing fees.
- **Vital Records** – Vital records projects and upgrades are funded by vital record copying fees. As of March 31, 2020, the Recorder’s Vital and Health Statistics Fund (#12020) balance was \$268,643. Annual revenue averages \$65,000, and an additional \$37,500 is anticipated to be expensed during the fourth quarter of 2019-2020. The recommended budget includes a \$60,000 transfer to the Public Health Services budget (#4041000000) to maintain and update its vital records database.

# 1011200000—Purchasing & Support Services

## General Government

Johnnie E. Terry Jr., *Purchasing & Support Services Director*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Salaries &amp; Benefits</i>	\$2,569,978	\$2,848,751	\$2,850,760	\$2,850,760	\$2,009
<i>Services &amp; Supplies</i>	763,378	1,021,898	977,971	977,971	(43,927)
<i>Centrally-Budgeted Expenses</i>	135,029	272,294	306,938	306,938	34,644
<i>Capital Expenditures</i>	9,632	5,305	30,000	30,000	24,695
<i>Operating Transfers Out</i>	10,000	10,000	10,000	10,000	0
<i>Total Expenditures</i>	\$3,488,017	\$4,158,248	\$4,175,669	\$4,175,669	\$17,421
<i>Expenditure Reimbursements</i>	(3,147,821)	(2,855,337)	(3,798,704)	(3,798,704)	(943,367)
<i>Total Appropriations</i>	\$340,196	\$1,302,911	\$376,965	\$376,965	(\$925,946)
<b>Earned Revenues By Source</b>					
<i>Charges For Services</i>	\$159,781	\$154,562	\$155,000	\$155,000	\$438
<i>Miscellaneous Revenues</i>	50,364	50,000	50,000	50,000	0
<i>Operating Transfers In</i>	2,908	0	0	0	0
<i>Total Revenues</i>	\$213,053	\$204,562	\$205,000	\$205,000	\$438
<b>Net County Cost</b>	\$127,143	\$1,098,349	\$171,965	\$171,965	(\$926,384)
<b>Staffing</b>					
<i>Allocated Positions</i>	25.0	25.0	25.0	25.0	0.0
<i>Temporary (Full-Time Equivalent)</i>	3.3	3.9	3.9	3.9	0.0
<i>Total Staffing</i>	28.3	28.9	28.9	28.9	0.0

## Purpose

The Purchasing and Support Services Division (Purchasing) is responsible for providing a variety of central support services to County departments. These areas of responsibility include procurement of materials and services; mail/courier duties; duplicating and print shop operations; records storage; and recycling functions. The Division is also responsible for the disposition of surplus or scrap County personal property.

## Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for Purchasing focuses on carrying out the Board’s June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility,

promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

### Ensure Fiscal Responsibility

This is a core responsibility for Purchasing. County ordinances and purchasing standards are established to seek and confirm that low prices and best values are obtained in all County contracts. Policies and practices are also in place that guarantee funding for any County contract prior to contract execution. This eliminates any opportunity for runaway spending. Purchasing acts as the County “gatekeeper”, as all agreements for the purchase of goods and services must have a purchase order before the Auditor-Controller will execute payment. Purchasing manages solid fiscal controls to ensure that reasonable pricing and terms, proof of insurance, and contract risk avoidance measures are in place before a contract is executed. Recent deployment of contract tracking tools supports the Purchasing workload. Notably, there are over 741 contracts that require oversight and participation of



# 1011200000—Purchasing & Support Services

## General Government

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the Division, including 384 for San Joaquin General Hospital (SJGH).

In 2018-2019, the Purchasing Agent’s authority for professional services contracts increased from \$100,000 to \$200,000 per vendor, per year, in County aggregate. This threshold requires tracking by Purchasing and approval by the Board of Supervisors for vendor spend that exceeds the \$200,000 limit. Each new purchase order requires review of the vendor’s spend before proceeding.

Purchasing will continue to play an active role in the financial year-end close by overseeing the updated purchase order and rollover encumbrance processes.

### Promote Good Governance and Increase Organizational Capabilities

Purchasing continues to develop and monitor the contract management dashboard. The dashboard serves as a contract management tool, providing contract status and alerts to departments as a contract nears the end of its term. Purchasing is currently developing a Countywide contract management manual to assist County personnel in monitoring vendor performance. The goal is to decrease contract risk and increase vendor performance.

### Promote Economic Development

Purchasing consistently administers the County’s Local Vendor Preference (LVP) policy when evaluating proposals. The preference amount remains at 5%, but the “evaluative preference” has been replaced by the preferred “match” method. If a local vendor’s proposal is within 5% of the lowest bid, they have an option to submit a new bid and will be awarded if the new bid amount is less than or equal to the lowest responsive bid. Also, the upper-end preference limit of \$7,500 has been eliminated, allowing the LVP to be exercised regardless of bid size. This preference policy has had a slight impact in enabling contract awards to local vendors.

Purchasing regularly conducts outreach events informing businesses on how to do business with government agencies. Historically, these outreach efforts have had a modest effect on economic development.

## Major Budget Changes

### Salaries & Employee Benefits

- (\$17,223) Salary and benefits adjustments.
- \$97,911 Add an Administrative Assistant.
- (\$78,679) Delete an Office Supervisor.

### Services & Supplies

- \$5,305 Increase in professional services.
- (\$49,382) Decrease in postage costs.

### Centrally-Budgeted Expenses

- \$19,370 Increase in Workers’ Compensation and Casualty insurance costs.
- \$14,328 Increase in data processing charges.

### Expenditure Reimbursements

- \$943,367 Cost Allocation Plan adjustment.

## Capital Expenditures

- \$30,000 Business card and envelope printer.

## Program Discussion

The 2020-2021 recommended Purchasing and Support Services Division (Purchasing) budget totals \$4,175,669, which is an increase of \$17,421 from the 2019-2020 adjusted budget. The increase is primarily due to an increase in capital expenditures (\$24,695), Worker’s Compensation and Casualty insurance costs (\$19,370) and data processing charges (\$14,328), offset by a decrease in postage costs (-\$49,382).

The following departments reimburse Purchasing for dedicated full-time equivalent (FTE) staffing:

Departments	FTE
Public Works Department	1.75
San Joaquin General Hospital	2.0
Human Services Agency	1.0
Behavioral Health Services	1.0
Information Systems Division	1.0

Purchasing continues to provide core procurement services to all departments using competitive quotes, bids, proposals, negotiations, and cooperative purchase contracts to help attain cost reduction and avoidance. The Countywide procurement workload continues to be distributed among 10 full-time and 3 part-time Purchasing personnel. Each purchasing professional provides dedicated services to assigned departments, “one point of contact” for consultation and service needs. This has provided Purchasing with a better

# 1011200000—Purchasing & Support Services

## General Government

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understanding of each department's needs and has delivered a higher level of customer service.

The total dollar value of purchase orders for 2020-2021 is projected at \$249.6 million, a slight decrease over the 2019-2020 estimate.

### *Uniform Public Construction Cost Accounting Act (UPCCAA)*

UPCCAA provides departments with a streamlined bidding process for construction projects under \$200,000. In 2019-2020, 15 projects were to be completed via UPCCAA with an estimated construction contract value of \$1,128,747. In 2020-2021, project volume is expected to be 10 projects with an estimated construction contract value of \$712,235. Projects primarily encompass needed repairs, modifications, or enhancements to County properties.

### *Local Vendor Preference (LVP)*

LVP continues to be applied where appropriate, providing benefit to local vendors competing for County contracts. In 2017-2018, the Board of Supervisors maintained the LVP at 5%, but eliminated the \$7,500 cap allowing local vendors to increase their competitiveness. Local spend has increased slightly over the past two years. Efforts to identify, encourage, and advocate for local participation continues. Strategies include continued participation and support in the San Joaquin Public Agency Consortium. Vendor outreach for requests for bids, proposals, and quotes are enhanced through the use of the Public Purchase software application. This tool automatically notifies vendors of bid opportunities for registered commodity classifications.

### *Executive Dashboard*

The Contract Management feature in PeopleSoft is a tracking tool in the software used by departments to assist in managing multiyear contract expirations. This tool continues to provide departments with real-time contract information, including contract expiration date, vendor name, and dollar amount in addition to helping departments extend or replace contracts within required renewal time frames.

### **Surplus**

County surplus furniture and equipment is managed by Purchasing, which disposes of these assets by methods that will return the greatest value to the County. Some examples of outreach methods include on-line auction, live auction with firms that specialize in the disposal of government assets, and negotiated sale. When items are no longer in need by a department, Purchasing utilizes an on-line system to reach a broad audience, which provides for an easier and more convenient way to access surplus auctions. Items are listed on an internal surplus on-line auction where all departments

may view and request the asset for use at no additional cost to the department. On-line auction access is also expanded to local charities whom may request items for a nominal fee. Additionally, on-line auction access is expanded to include the general public where anyone may participate in on-line bidding. Items not sold by any of the above mentioned methods are sent for recycling where possible. Any remaining items are taken to a landfill with the exception of vehicles. Vehicles are sent directly to a live auction company that specializes in this commodity and has proven to provide the highest value. Each year a memorandum is provided to the Board detailing the types of commodities sold and revenue generated. In 2020-2021, it is anticipated the total amount of surplus goods sold will total \$127,353, a decrease from 2019-2020.

### **Duplicating/Print Shop**

The Duplicating/Print Shop is equipped with high-volume equipment that provides all-digital technology including speed, quality, and in-line finishing capabilities. The equipment carries a lower per-copy cost as compared to standard office size equipment. Duplicating projects to produce 4.2 million copies in 2019-2020. A decrease in volume is expected in 2020-2021, reflecting a downward trend related to increased reliance of on-line resources for communication and storage needs.

In 2018-2019, a consulting group was engaged to conduct an extensive Duplicating Operations Review. Key findings were that costs charged to user departments were very competitive, slightly below market prices; service to departments was exemplary, with quick production turnaround times and prompt delivery (when requested); and that opportunities exist within the County to increase future duplicating volume. As a result, specialized equipment will be added in 2020-2021 to enable printing of business cards for all County personnel. This is intended to increase volume as business card acquisition has been previously outsourced.

### **Mail Room**

The Mail Room provides daily courier and mail collection services for 51 separate delivery collections of mail. Mail routes total approximately 20,000 miles annually. Equipment used to sort and barcode outgoing mail provides a postage savings of up to 10.5 cents for each piece of presorted mail. Total outgoing U.S. mail volume is projected at 705,000 pieces in 2019-2020, a decrease of 8% from 2018-2019, due to Child Protective Services personalized first-class mailings being transferred to the West Sacramento location. However, volume is estimated to increase in 2020-2021, due to a projected increase in other first-class and standard mailings. The Mail Room also provides folding and inserting services at a minimal fee to departments.

# **1011200000—Purchasing & Support Services**

## **General Government**

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The Mail Room is responsible for collecting and staging items for recycling from the Administration Building including paper, cardboard, pallets, foam packaging, single use and rechargeable batteries, toner cartridges, and other recyclable materials. In addition, the Mail Room receives palletized deliveries for the District Attorney's Office, as well as all incoming freight for the Administration Building.

### **Records Management**

Records Management operates the records storage warehouse facility, provides records retrieval and delivery, and destruction and recycling of records, as needed. Staff collects paper waste throughout the County for disposal. A commercial shredder is used to shred records requested for destruction by departments. A vehicle with an on-board shredder is also used on-site at various County locations, should department personnel need to witness document destruction.

All recyclable paper products are taken to a recycler and sold. In 2019-2020, an estimated 275 tons of paper will be recycled. It is estimated that these amounts will increase slightly in 2020-2021 as an increase in shredding volume is anticipated.

### **Human Resources Review**

The Department requested that Human Resources (HR) review and consider deleting a vacant Office Supervisor position and add a more appropriate level classification to better align and measure various department programs such as the LVP Program, UPCCAA Program, Green Purchasing Program and other Purchasing ancillary support functions. HR has completed their review. The recommended budget includes the deletion of an Office Supervisor and the addition of an Administrative Assistant I position.

# 1011200000—Purchasing & Support Services

## General Government

### Workload Data

	Actual			Est./Act.	Projected
	2016-2017	2017-2018	2018-2019	2019-2020	2020-2021
<b>Procurement</b>					
<i>Purchase Orders Issued</i>	6,001	6,755	6,500	6,052	6,327
<i>Dollar Value of Purchase Orders</i>	\$202,000,129	\$244,607,184	\$260,000,000	\$291,931,909	\$249,634,805
<i>UPCCAA Projects</i>	10	12	10	15	10
<i>Construction Contract Value</i>	\$512,123	\$813,073	\$395,000	\$1,128,747	\$712,235
<i>Formal Bids and RFP's</i>	104	71	75	45	74
<b>Local Spend*</b>					
<i>Dollars</i>	\$108,000,000	\$121,000,000	\$130,000,000	\$142,000,000	\$125,250,000
<i>Percentage</i>	53%	49%	50%	49%	50%
<i>*Based on vendor zip code information with statistical outliers of greater than \$10 million removed.</i>					
<b>Surplus Income</b>					
<i>Property</i>	\$33,207	\$12,622	\$15,000	\$18,000	\$13,729
<i>Recycled Ink/Toner</i>	\$3,404	\$164	\$200	\$100	\$129
<i>Recycled Metal</i>	\$324	\$1,013	\$2,700	\$1,300	\$318
<i>Recycled Pallets</i>	\$499	\$100	\$100	\$100	\$0**
<i>Recycled Paper</i>	\$41,259	\$30,572	\$40,000	\$36,000	\$8,373
<i>Vehicle Sales</i>	\$108,811	\$0	\$203,000	\$120,000	\$104,804
<i>**No longer a market to sell pallets.</i>					
<b>Duplicating (Print Shop)</b>					
<i>Print Jobs</i>	1,487	1,354	1,020	1,010	891
<i>Copies Produced</i>	6,258,063	5,525,000	4,200,000	4,200,000	3,136,546
<b>Mail Room</b>					
<i>Outgoing U.S. Mail</i>	909,353	845,690	765,408	705,000	769,632
<i>1st Class Regular</i>	180,064	172,336	136,404	125,000	153,451
<i>Postal Barcode</i>	729,289	673,324	629,004	575,000	651,654
<i>Service Locations</i>	51	51	51	51	51
<b>Records Management</b>					
<i>Paper Recycled (tons)</i>	401	262	252	275	300
<i>Records Requests Processed</i>	2,567	2,690	9,600***	2,600	2,880
<i>Shredding (hours)</i>	2,180	1,661	1,775	1,850	1,867

\*\*\*Included high volume of records processed for the District Attorney's Office due to legislative changes (Resentencing Propositions 47 and 64).

# Purchasing Internal Service Fund

## General Government

Johnnie E. Terry Jr., Purchasing & Support Services Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$7,964,583	\$7,568,500	\$7,628,908	\$7,628,908	\$60,408
Centrally-Budgeted Expenses	89,234	9,485	(50,923)	(50,923)	(60,408)
<b>Total Appropriations</b>	<b>\$8,053,817</b>	<b>\$7,577,985</b>	<b>\$7,577,985</b>	<b>\$7,577,985</b>	<b>\$0</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$26,229	\$18,000	\$18,000	\$18,000	\$0
Operating Transfers In	10,000	0	0	0	0
MP-TEL-RAD-PUR Revenue	7,825,556	7,559,985	7,559,985	7,559,985	0
<b>Total Revenues</b>	<b>\$7,861,785</b>	<b>\$7,577,985</b>	<b>\$7,577,985</b>	<b>\$7,577,985</b>	<b>\$0</b>
<b>Revenues Over/(Under) Expenses</b>	<b>\$192,032</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

- MP-TEL-RAD-PUR revenue includes charges to user departments for CAL-Card, office supply, and security alarm costs

### Purpose

The Purchasing Internal Service Fund (ISF) (#8400112100, #8400112200, #8400112300) serves as an operating mechanism for the Procurement Card (CAL-Card), Office Supply, Copy Machine, Security Alarm, and Bottled Water programs. Vendor charges are paid from the ISF and billed to user departments.

### Major Budget Changes

#### Services & Supplies

- \$60,408 Increase in office supplies costs.

#### Centrally-Budgeted Expenses

- (\$60,408) Cost Allocation Plan adjustment.

### Program Discussion

#### Procurement Card Program

San Joaquin County participates in the State CAL-Card Visa Program providing users with the option to procure a limited number of low-dollar items quickly and efficiently. The Program allows departments to make day-to-day purchases from a wide range of sources, saving the County both time

and money when compared to procurement of the same items using the Purchase Order method. All CAL-Card users are trained on the guidelines pertaining to use, prior to receiving a credit card. Purchases from each card holder are audited thoroughly. There are currently 483 cardholders, an increase of 19 from 2018-2019. Total estimated purchases were \$3.8 million, an increase of \$39,042 from 2018-2019.

#### Office Supply Program

The current office supply vendor contract with Office Depot provides fiscal advantages resulting in reduced costs to all departments. The cooperative contract includes a 13% average discount for core products purchased, while maintaining a small rebate. Prompt weekly payment processing to the vendor provides an additional 2% discount. These lower prices have reduced supply costs Countywide. Administrative processing fees help offset the cost of administering this contract. Purchases under this Program are projected to be approximately \$1.5 million in 2020-2021, an increase of \$160,000 from the prior year.

#### Copy Machine Program

There are currently 463 leased copiers in the Copy Machine Program producing approximately 55.5 million copies a year, same as prior year. Many older copiers have been replaced with newer models at a reduced rental rate and cost-per-copy price providing the best possible overall copier solution that meets cost, performance, and environmental objectives.

# Purchasing Internal Service Fund

## General Government

### Security Alarm Program

Contracts for security alarm services are administered by the Purchasing Department. There are currently 100 service contracts in effect for the County, which is in line with 2018-2019. Centralized billing and contracting provided by Purchasing has allowed oversight of this service and ensures that all contracts are properly executed.

### Bottled Water Program

The Bottled Water Program is administered by the Purchasing Department through centralized billing and contracting. The Program provides bottled water to user departments.

### Purchasing ISF Fund Balance

Program costs in the Purchasing ISF budget are fully reimbursed by user departments for services rendered. As of June 30, 2019, the Purchasing ISF had a designated fund balance of \$1,170,135, which is a decrease of \$192,032 from June 30, 2018, and serves as the working capital for various programs/operations under the ISF. The projected fund balance on June 30, 2020 is \$1,138,853. This fund meets the required minimum 60-day operating reserve set by the State Controller's guidelines.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Procurement Card</b>					
<i>Cards Issued</i>	433	462	464	483	490
<i>Transactions</i>	20,015	20,478	20,861	21,100	22,224
<i>Total Charges</i>	\$3,494,548	\$3,516,041	\$3,760,958	\$3,800,000	\$4,151,016
<i>Average Transaction Value</i>	\$175	\$172	\$180	\$187	\$190
<b>Office Supply (Office Depot)</b>					
<i>Value of Purchases</i>	\$1,330,888	\$1,327,019	\$1,489,874	\$1,315,247	\$1,474,844
<b>Copy Machine</b>					
<i>Copy Machines Installed</i>	448	458	463	463	463
<i>Lease Payments</i>	\$1,161,594	\$1,339,805	\$1,360,585	\$1,400,000	\$1,414,371
<i>Copy Transactions</i>	53,984,445	55,265,942	53,455,678	55,500,000	55,500,000
<b>Security Alarm</b>					
<i>Contracts</i>	87	93	100	100	100

# 1011800000—County Counsel

## General Government

J. Mark Myles, County Counsel

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$4,527,282	\$4,724,049	\$5,076,738	\$5,076,738	\$352,689
Services & Supplies	178,988	163,594	165,594	163,594	0
Centrally-Budgeted Expenses	78,373	75,661	99,012	99,012	23,351
<b>Total Expenditures</b>	<b>\$4,784,643</b>	<b>\$4,963,304</b>	<b>\$5,341,344</b>	<b>\$5,339,344</b>	<b>\$376,040</b>
Expenditure Reimbursements	(3,294,973)	(4,104,497)	(4,803,271)	(4,803,271)	(698,774)
<b>Total Appropriations</b>	<b>\$1,489,670</b>	<b>\$858,807</b>	<b>\$538,073</b>	<b>\$536,073</b>	<b>(\$322,734)</b>
<b>Earned Revenues By Source</b>					
Charges For Services	\$257,493	\$185,262	\$185,262	\$185,262	\$0
Miscellaneous Revenues	5	0	0	0	0
<b>Total Revenues</b>	<b>\$257,498</b>	<b>\$185,262</b>	<b>\$185,262</b>	<b>\$185,262</b>	<b>\$0</b>
<b>Net County Cost</b>	<b>\$1,232,172</b>	<b>\$673,545</b>	<b>\$352,811</b>	<b>\$350,811</b>	<b>(\$322,734)</b>
<b>Allocated Positions</b>	<b>19.0</b>	<b>19.0</b>	<b>20.0</b>	<b>20.0</b>	<b>1.0</b>

### Purpose

The Office of the County Counsel provides legal services to the Board of Supervisors (BOS), County departments, and Special Districts governed by the BOS. The Office also acts as legal advisor to various boards and commissions, and prosecutes or defends all court actions of a civil nature involving the County.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Office of the County Counsel (Office) focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

#### Ensure Fiscal Responsibility

The Office will continue to work closely with the BOS, the County Administrator (CAO), and County departments on

significant legal matters affecting the County. County Counsel supervises and/or directly staffs significant litigation on a wide variety of matters including tort defense, civil rights claims, medical malpractice, breach of contract, water law, and employment-related claims. County Counsel provides legal and practical advice to all County departments in their day-to-day business to help achieve the overall goal of avoidance of legal disputes and the appropriate resolution of legal disputes and lawsuits, which may still occur. County Counsel's advisory and advocacy roles are key tools in liability-avoidance and adherence to fiscal responsibility.

#### Promote Good Governance and Increase Organizational Capabilities

As the County's advisor, County Counsel will continue to remain abreast of the ever-changing law as it affects the County's governance and its organizational efficiencies. County Counsel staff routinely assist with the preparation of policies and procedures, rules and/or regulations as required by law or best business practices. When an organization has effective policies and procedures in place, on which its staff can rely, it is best able to increase its organizational capabilities. The Office also provides training for ethics, duties and authority, conflict of interest for designated County officers and employees, and training in utilizing the disciplinary process and for Skelly hearing officers. On an

# 1011800000—County Counsel

## General Government

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as-needed basis, the Office proffered the services of its legal staff to assist in the supervision and oversight of various departments or departmental divisions during management transition periods. The Registrar of Voters and Community Development Department recently utilized County Counsel’s legal staff in this manner.

### Improve Public Safety and Enhance Overall Criminal Justice System

County Counsel advises and litigates on behalf of the County departments that deal with public safety including the District Attorney, Health Care Services, Probation, Public Defender, Public Works, and the Sheriff’s Office.

### Promote Economic Development

The Office has a full-time Deputy County Counsel who devotes a significant amount of time in advising and assisting the Community Development Department and Environmental Health Code Enforcement teams. Another Deputy County Counsel represents and advises the Employment and Economic Development Department.

### Stay Informed and Proactive in Dealing with Water Issues

County Counsel works closely with the BOS, CAO, and County departments on significant legal matters affecting the County’s water concerns, including, but not limited to: water rights and governance issues concerning the San Joaquin Delta; the Sustainable Groundwater Management Act; the new Single Tunnels project; and the State Water Resources Control Board Point of Diversion Hearings. County Counsel has, either directly, and/or with the assistance of outside counsel, kept abreast of water issues affecting not only the County, but a significant portion of Northern California. With the State of California’s growth and recurring drought issues, water will continue to be at the forefront of the County’s key concerns. In most instances of water litigation, County Counsel has been able to work with other local governments to ease the financial burden of the County. The impending retirement of outside water counsel is of immediate concern, and has presented County Counsel with the opportunity to develop in-house expertise in this important area of law.

## Major Budget Changes

### Salaries & Employee Benefits

- \$124,476 Salary and benefits adjustments.
- \$228,213 Deputy County Counsel IV position.

### Centrally-Budgeted Expenses

- \$20,052 Increase in data processing charges.
- \$5,177 Increase in Casualty insurance costs.

### Expenditure Reimbursements

- \$103,000 Reimbursement of direct costs from Public Works to offset new Deputy County Counsel position.
- \$595,774 Cost Allocation Plan adjustment.

## Program Discussion

The 2020-2021 recommended budget for the Office of the County Counsel (Office) totals \$5,339,344, which is an increase of \$376,040 from the 2019-2020 adjusted budget. The increase is primarily due to the addition of a new Deputy County Counsel IV (\$228,213), and salary and benefits adjustments (\$124,476).

In 2019-2020, the Office participated in the Encampment Response Team (a component of the Homeless Encampment Management Policy) and continues its work to help complete the final processes in the development of the Office of the Medical Examiner.

During 2020-2021, the Office will continue to work on significant legal matters affecting the County including providing legal advice to the Board of Supervisors, Public Health Officer, Office of Emergency Services, and other County departments responding to the 2019 Novel Coronavirus (COVID-19) crisis; governance and operations of San Joaquin General Hospital; continued legal efforts related to business development at the Airport; water rights and governance issues concerning the San Joaquin Delta; significant labor issues, including various ongoing labor negotiations; implementation of cannabis policies; continuing transition of the Coroner’s Office to the Office of the Medical Examiner; and further implementation of the hemp cultivation ordinance.

In addition, County Counsel will continue to provide the following:

- Supervise and staff litigation on a wide variety of matters including tort defense, civil rights claims, medical malpractice, breach of contract, and employment-related claims.
- Coordinate internal and external risk management services for the County.



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## General Government

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- Assist with the preparation of policies and procedures for required and optional ethics, duties and authority, and conflicts of interest training for designated County officers and employees.
- Legal and practical advice to all County departments in their day-to-day business to help achieve the overall goal of avoidance of legal disputes and the appropriate resolution of any legal disputes and lawsuits, which may still occur.
- Litigation defense and related services to the County and its officials and employees, while maintaining its efforts to retain a significant number of litigated cases to be defended by in-house attorneys, thereby decreasing the number of cases that are referred to outside litigation counsel at a considerably higher cost to the County.

### Supplemental Requests

County Counsel is requesting supplemental funding totaling \$127,213 for the following:

#### *Deputy County Counsel IV Position*

The Office is seeking additional funding to allow existing staff to meet their assigned duties, to provide for greater efficiency, and to enhance in-house representation and expertise in water law issues. The request for increased funding is to add an additional Deputy County Counsel IV position. This position is crucial to having County Counsel stay informed and proactive in dealing with water issues involving the Delta and the County's groundwater. Water and the effort to construct a single tunnel continues to be an intense and non-stop activity by the Governor's Administration. Even during the COVID-19 crisis, the deadlines for comments on the single tunnel have not been

delayed or waived, and stakeholder meetings continue to be held even though requests have been made to cancel these meetings. This position is needed in order to maintain the current level of resources and ensure that the county is protected against any potential negative impacts of these projects.

The position is also integral to the timely and effective representation of the County and its departments in the increasing workload in the area of personnel and labor matters. The position cost is \$228,213, however, it is anticipated that the cost of the position will be offset by direct charges to the Public Works Department for legal services related to Delta Activities, the Eastern San Joaquin County Ground Basin Authority and Water Investigation - Zone 2. The three-year average of direct charges (for the above-mentioned legal services) to Public Works for fiscal years 2016-2017, 2017-2018, and 2018-2019 was \$103,000. Therefore, the total Net County Cost of the position would be approximately \$125,213. The legal services previously provided to Public Works in the above-mentioned matters were from outside water counsel, which are no longer available.

The recommended budget has been augmented by \$125,213 in order to address this request.

#### *Periodicals and Subscriptions*

County Counsel is requesting an additional \$2,000 for subscriptions and periodicals. This funding will provide the Office with the ability to review updated and relevant statutory and case law and be informed on all relevant issues of importance to the County.

Funding for this request has not been included in the recommended 2020-2021 Budget.

# 1011800000—County Counsel

## General Government

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Attorney Hours</b>					
<i>Community Development &amp; Public Works Issues</i>	940	999	1,901	1,945	1,100
<i>Contract Issues</i>	1,450	2,356	2,259	2,325	2,300
<i>County Administration Issues</i>	2,412	1,830	2,389	2,330	2,400
<i>Health &amp; Public Assistance Issues</i>	3,384	3,122	3,061	3,035	3,400
<i>Labor Relations Issues</i>	2,600	2,762	2,540	2,410	2,525
<i>Law and Justice Issues</i>	2,126	1,586	1,197	1,000	1,550
<i>Litigation Hours</i>	7,600	7,753	7,856	7,250	7,350
<i>Retirement Issues</i>	444	556	594	640	615
<i>Revenue Issues</i>	948	844	572	725	850
<i>Water &amp; Special District Issues</i>	60	48	41	90	100
<b>Total Attorney Hours</b>	21,964	21,856	22,410	21,650	22,190

# 1012400000—Human Resources

## General Government

Brandi Hopkins, Human Resources Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$7,032,497	\$8,036,101	\$7,927,747	\$7,927,747	(\$108,354)
Services & Supplies	967,410	1,201,243	1,177,893	1,177,893	(23,350)
Centrally-Budgeted Expenses	1,940,540	2,387,294	1,927,696	1,927,696	(459,598)
Capital Expenditures	0	27,000	0	0	(27,000)
<b>Total Expenditures</b>	<b>\$9,940,447</b>	<b>\$11,651,638</b>	<b>\$11,033,336</b>	<b>\$11,033,336</b>	<b>(\$618,302)</b>
Expenditure Reimbursements	(9,498,575)	(10,180,427)	(8,081,493)	(8,081,493)	2,098,934
<b>Total Appropriations</b>	<b>\$441,872</b>	<b>\$1,471,211</b>	<b>\$2,951,843</b>	<b>\$2,951,843</b>	<b>\$1,480,632</b>
<b>Earned Revenues By Source</b>					
Charges For Services	\$768,911	\$732,446	\$762,907	\$762,907	\$30,461
Miscellaneous Revenues	97,515	100,075	100,075	100,075	0
Operating Transfers In	4,452	0	0	0	0
<b>Total Revenues</b>	<b>\$870,878</b>	<b>\$832,521</b>	<b>\$862,982</b>	<b>\$862,982</b>	<b>\$30,461</b>
<b>Net County Cost</b>	<b>(\$429,006)</b>	<b>\$638,690</b>	<b>\$2,088,861</b>	<b>\$2,088,861</b>	<b>\$1,450,171</b>
<b>Allocated Positions</b>	<b>50.0</b>	<b>52.0</b>	<b>52.0</b>	<b>52.0</b>	<b>0.0</b>

### Purpose

The Human Resources (HR) Division provides centralized human resources and labor relations services for all County departments including recruitment; exam development; equal employment opportunity coordination; administration of County health, dental, workers' compensation, unemployment, casualty, and life insurance programs; and the administration of training, education, deferred compensation, and flexible spending programs. HR also negotiates labor contracts; processes complaints and grievances; conducts meet-and-confer sessions and joint labor management meetings; advises County departments on disciplinary actions and counseling matters; recommends policy and procedures for employer-employee relations; and provides staff support for the Civil Service Commission.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Human Resources focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an

operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

#### Ensure Fiscal Responsibility

The cost of salary and benefits continues to be the largest single item in the County budget. By negotiating labor agreements within Board-established limits, HR provides a solid foundation for a structurally-balanced budget. In addition, the rising cost of health care for employees is being addressed through administrative changes and opportunities for revised health care plan offerings with potential vendors through the Request for Proposal (RFP) process.

#### Promote Good Governance and Increase Organizational Capabilities

With the continued development of the SJC Engage website, and over 4,000 registered users, HR continues to work towards increasing employee satisfaction and wellness. Attending to the financial, physical, mental, and emotional

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## General Government

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health and wellness of employees enhances the capabilities of the organization. SJC Engage also allows the local business community to partner with the County and offer goods and services directly to County employees. Over the last 12 months, registered users have saved over \$268,000.

### Improve Public Safety and Enhance Overall Criminal Justice System

Providing hiring support to our various law enforcement departments such as the Sheriff's Office, District Attorney's Office, Probation Department, and other County departments that provide public safety services is one of HR's major contributions to the improvement of Public Safety and the Criminal Justice System in the County.

### Promote Economic Development

Facilitating hiring activities on behalf of the largest employer in San Joaquin County provides HR with an opportunity to provide quality employment and economic development throughout the County. Contact with thousands of candidates each year provides HR the opportunity to reinforce the County brand "Greatness Grows Here" and raises the visibility of the County as a progressive, technologically-advanced employer of choice.

## Major Budget Changes

### Salaries & Employee Benefits

- (\$108,354) Salary and benefits adjustments.

### Services & Supplies

- \$28,500 Increase in professional services for legal consultation and labor negotiations.
- (\$20,300) Decrease in professional training and supplies.
- (\$10,000) Decrease in communications costs.
- (\$10,000) Decrease in software-related costs.
- (\$8,000) Decrease in job advertisements and legal notices.

### Centrally-Budgeted Expenses

- \$26,445 Increase in Casualty insurance costs.
- (\$484,127) Decrease in data processing charges.

### Expenditure Reimbursements

- (\$279,524) Decrease in reimbursements that support County self-insured plans.
- (\$28,624) Decrease in reimbursement from San Joaquin General Hospital.
- (\$1,790,786) Cost Allocation Plan adjustment.

### Revenues

- \$56,767 Increase in administrative fee revenue due to anticipated increase in Kaiser enrollment.
- \$21,940 Increase in administrative fee revenue due to anticipated increase in Sutter Health Plus enrollment.
- (\$51,765) Refund of prior year payment for services to Mountain House Community Services District.

## Program Discussion

The 2020-2021 recommended Human Resources (HR) budget totals \$11,033,336, which is a decrease of \$618,302 from the 2019-2020 adjusted budget. The decrease is primarily due to salary and benefits adjustments (-\$108,354) and a decrease in data processing charges (-\$484,127).

The recommended budget includes a \$1.8 million decrease in reimbursement from the Cost Allocation Plan due to actual costs for 2018-2019 being lower than estimated.

### 2019 Novel Coronavirus (COVID-19)

During the COVID-19 pandemic, the health and safety of the County, as well as employees and their families is of utmost importance. HR has worked to ensure that it disseminates accurate and timely information, and as a result, has created a Human Resources Employee Informational page on the County's website. Post include leave information and forms, safety/wellness tips, links to helpful resources, and FAQs. HR has also maintained minimal staffing to ensure immediate employees' needs are addressed.

COVID-19 has impacted HR's ability to conduct in-person services, to include new employee orientation (NEO), trainings, and written and oral examinations. For NEO, HR is communicating with new employees, via email, and providing required documents on-line. Training that can be postponed, has been, and others are being provided via webinars. HR is also working with County departments to determine recruitment priorities and explore alternative testing methods

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## General Government

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that comply with social distancing and County Civil Service Rules. When written and/or oral examinations must be administered, HR has taken safety measures to ensure compliance with social distancing to fill critical positions, primarily for law enforcement and healthcare departments.

### Recruitment

Due to the October 2018 Civil Service Rule changes and related process improvements, the HR Recruitment Unit has experienced improved efficiencies related to the recruitment and selection processes. Departments are receiving larger pools of qualified candidates for hiring consideration. The overall need to hold examinations, specifically oral examinations, has reduced from 106 sessions in 2018-2019 to approximately 65 sessions in 2019-2020, a reduction of 38.7%. This saving of roughly 612 hours of staff time provided staff the ability to focus on other outreach areas. Additionally, the process improvements achieved the desired efficiencies at every stage in the recruitment process, reducing time to open recruitments, establish eligible lists of qualified candidates, and to make referrals to departments for hiring consideration. These efficiencies resulted in County departments reducing the length of time to hire staff from an average of 134 days in 2018-2019 to 108 days in 2019-2020, a reduction of 19.4%. In 2020-2021, staff will focus on additional improvements to further support County departments seeking to fill critical vacancies with a targeted focus on law enforcement departments and key health care positions.

Recruitment staff will increase productivity by leveraging technology to communicate with candidates and to facilitate selection processes for Civil Service and exempt status positions. Bilingual Proficiency Examinations will be conducted on-demand, which allows for testing and certification of language proficiency in over 100 languages. Staff will launch on-line written examination administration in 2020-2021, which will allow qualified candidates to take the written exam at a time and place convenient for them, improving candidate participation rates for examinations. Staff will continue to expand the social media profile and community outreach activities through high schools, colleges, and other academic groups to educate and capture the interest of students considering a career in public employment.

Recruitment staff also supports the County's pre-employment screening processes including drug screening and arduous physicals for all County candidates, as well as background checks for candidates for unrepresented positions. During 2020-2021, HR will review and revise the pre-employment physical examination program to ensure the County has a cost-effective and legally defensible pre-employment physical examination process, which utilizes best practices to streamline these components with minimal impacts to the

onboarding process. Staff will also implement a pre-employment background check program, which will be utilized for all County employment candidates, with the exception of candidates for departments who already complete their own law enforcement background investigation before hire. Recruitment staff will partner with the Staff Development Unit to ensure all employees, interns, and volunteers complete appropriate background components before entering the County workforce.

### Classification & Compensation

To address the ongoing classification and compensation needs of the organization, a Classification and Compensation analyst was hired midyear 2019-2020. Prior to filling the position, complex projects were outsourced. In 2020-2021, the Department will focus on addressing the backlog of classification studies from the two prior years as well as significantly reducing the need to rely on contracted services. Compensation studies are anticipated to be in demand as existing and upcoming collective bargaining continues with the County's various employee units.

### Policy & Leave Management

With the assistance of a leave consultant in 2019-2020, a thorough review of the County's practices in the area of protected leave entitlement laws identified several areas for improvement. This process included a complete audit of leave of absence practices, forms, and processing procedures from a compliance perspective and an analysis of written documentation of current policies and procedures. Preliminary findings indicate a need to develop a Countywide leave policy, and in some cases recommend policy changes to ensure legal compliance and consistency. The outcome of this audit yielded significant improvements in the required documentation and notification process when leaves occur, and revised forms incorporating best practices will be implemented for Countywide use by December 2020. As a result of this audit, formal training will be initiated for HR staff, followed by training for department leave processors, supervisors, and managers.

With the growing number of complex leave cases and the increased need for specialized knowledge in this area to advise departments, a Leave Administration Coordinator position was added to the HR budget in 2019-2020 and was filled in March 2020. The position will focus primarily on leading and advising in the area of leave administration and HR policy compliance.

The addition of this position will reduce the need for Personnel Analyst positions at the department level and ensure consistency in advising and implementing a best practice model consistent with the public personnel industry standard.

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## General Government

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### Staff Development, Training, & Wellness

Staff Development oversees the coordination of all HR mandated trainings, an employee wellness program, and other areas of employee engagement. In 2019-2020, HR focused efforts on ensuring a proper onboarding for new staff. Through the creation of a revamped New Employee Orientation process, all staff, including full-time, part-time, temporary, and seasonal, participate in a mandatory two-day training. This New Employee Orientation process focuses on giving staff a high-level overview of their role in County government and consists of mandatory training classes including but not limited to: Workplace Violence Prevention; Diversity, Respect and Inclusion; Sexual Harassment and Discrimination Prevention; and provides an overview of applicable new employee benefits. As of December 31, 2019, over 750 employees completed the two-day New Employee Orientation.

On January 1, 2020, HR launched the “2020 Wellness Wakeup”, a campaign targeted to promote health and wellness in a variety of capacities for County employees. Classes, seminars, and wellness challenges are part of the promotion. The SJC Engage wellness program focuses on mental, physical, financial, and professional wellness. This program is offered with support from Power Partners at In-Shape Health Clubs, Kaiser Permanente, and Bank of the West.

A task force was created to support the County’s efforts to comply with new State regulations around training for Sexual Harassment Prevention. Starting in 2019-2020, the task force set goals to ensure compliance by offering additional courses through the New Employee Orientation and adding more calendar dates for training, including the addition of a Sexual Harassment Prevention Week. Senate Bill (SB) 778 amended SB 1343 to extend the training deadline for all County staff to December 31, 2020. In the first six months of 2019-2020, 4,546 staff completed the legally-mandated training. HR will continue to make every effort to ensure compliance in this area by December 31, 2020, and will explore additional on-line training options to accommodate the diverse training needs of the County workforce.

In 2019-2020, San Joaquin County pioneered a highly innovative new Civil Service incumbent apprenticeship program that was developed and implemented to support training and career growth for County employees interested in advancing their careers. Three participants were afforded the opportunity, which allows the incumbent staff to attend college courses and participate in on-the-job training towards a career in the Information Systems Division. This three-year program, the first of its kind in the State of California, is recognized on a State and Federal level through the Division of Apprenticeship Standards and is quickly becoming a model program for other Civil Service apprenticeships.

A significant goal in 2020-2021 is to restructure and centralize a County Internship Program. The County hosts interns that are paid and unpaid, and departments process and monitor their interns differently than other departments. In order to ensure accurate reporting, eliminate liability, and manage the interns effectively, HR will develop a procedure to streamline the process and ensure consistency Countywide, including appropriate background components.

### Employee Benefits Overview

Employee Benefits oversees the administration of health insurance, supplemental retirement savings, and employee benefits programs. Most of these programs are highly regulated by Federal and State laws and regulations that change frequently. In calendar year 2019, County and employee-paid premiums, contributions, and costs for these programs exceeded \$110.0 million. Additionally, Employee Benefits provides management and fiduciary oversight of over \$443.0 million in accumulated employee assets in deferred compensation programs as of December 31, 2019.

In 2020-2021, as part of fiscally-responsible benefits administration and transparency, Benefits staff will continue the process of conducting RFPs and/or bid processes on long-standing vendor contracts. This is necessary to ensure the County receives the most cost-effective agreements while ensuring superior customer service programs for County employees, retirees, and their dependents. As of February 29, 2020, enrollment was 3,881 employees or 73.6% enrolled in Kaiser; 100 employees or 1.9% enrolled in Sutter Health Plus; and 1,292 or 24.5% enrolled in the Self-Insured Plans.

### Health Care

The County’s self-funded plans have historically enjoyed large reserve levels. However, over the last several years, medical and prescription claims experience has depleted reserves to a concerning level. In 2018-2019, the County increased rates by 16%, and in 2019-2020 the rates increased by 22.8%. Although there has been some leveling out due to new vendor contracts associated with the self-funded plans, rates are anticipated to increase again in 2020-2021 by 3.5%.

Due to these significant costs associated with the self-funded plans, County staff is looking to discontinue these plans in 2021-2022. With this anticipated change, staff are conducting RFPs to look for plan alternatives. The first RFP process concluded with adding a new Health Maintenance Organization (HMO) plan through Sutter Health Plus in July 2019, which is provided to non-Medicare retirees and certain employee groups. Staff is currently requesting bids for a Health Savings Account Qualified-High Deductible Health Plan option, which is anticipated to be available in open enrollment for a July 2020 effective date.

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## General Government

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### Deferred Compensation

In 2019-2020, as part of an effort to ensure transparency, staff, along with its record keeper and consultant, updated the Deferred Compensation Investment Policy. The changes include a more thorough description of the record-keeper's and consultant's roles and provides more detail on the specific investment lineup available to its members.

### Equal Employment Opportunity (EEO) Program

The EEO Program provides Countywide services through its primary programs of workplace investigations, consultation and support, workforce compliance, training, and EEO Advisory Committee (EEOAC) administrative support. The Program's goal is to enforce Federal and State laws, and County policies related to non-discrimination, anti-harassment, and retaliation.

As the recipient of Federal funds, the County is required to formulate, implement, and maintain an EEO Plan under the authority of Code of Regulations Title 28, Part 42 - Non-discrimination, and applicable County EEO related Policies and Procedures. The EEO Office prepares the EEO Plan with input and review by the EEOAC. The Plan is presented to the Board of Supervisors for approval and adoption every two years. The EEO Office will develop the 2020-2022 EEO Plan to present to the EEOAC and subsequently the Board for approval and adoption in 2020.

In 2019-2020, the EEO Program received 60 complaints of discrimination and harassment, including 42 formal complaints, which was an increase of 31% from 2018-2019. The observed influx of complaints and the additional time required to ensure a thorough investigation has made it difficult for the EEO Program to finalize investigations within the 60-day timeline required by Civil Service Rule 20 – Discrimination Prohibited. To address this concern, the EEO Program will review the Rule 20 process with HR and County Counsel, and anticipates presenting any proposed changes to the EEOAC and the Board of Supervisors for adoption in 2020-2021.

The County is required to keep records relevant to the determination of whether unlawful employment practices have been or are being committed. As part of this requirement, the EEO Program investigates complaints of discrimination and harassment and completes comprehensive post-investigation reports at the conclusion of each investigation. Post-investigation reports can take up to 50 hours or more to complete, and require numerous additional hours to conduct interview preparation, participant interviews, and the final review and analysis of witness statements. The EEO Program facilitated 31 formal investigations in 2018-2019. Use of outside investigators has been a cost-effective

means to prepare for possible litigation and manage multiple investigations simultaneously.

In order to stay in compliance with Federal and State laws on employment discrimination and harassment, the County must provide ongoing Countywide training for managers, supervisors, and general employees. In 2019-2020, the EEO Program conducted 60 trainings, compared to the 70 trainings conducted in 2018-2019. The decrease in trainings is due to the increase in class sizes. In 2019, SB 1343 required all organizations in the State with 50 or more employees to train their staff on Sexual Harassment and Abusive Conduct Prevention. To comply with the new law, the EEO Program is scheduling all County employees to take the Sexual Harassment and Discrimination Prevention Training in 2019 and 2020. In 2019-2020, to expand the training capacity to meet the large volume of employees requiring training, the EEO Program along with the Staff Development Unit launched a Sexual Harassment Prevention week for all employees in September 2019, along with providing other means of training to employees, such as on-line training. In 2019, the EEO Office trained over 4,500 employees. In 2020-2021, the EEO Program plans to expand the number of on-line training courses to meet the demands of the EEO training requirements to supervisors and managers.

The EEO Program also leads in the coordination and planning of the Annual Diversity Luncheon with a sub-committee of the EEOAC. The EEO Program is responsible for the majority of the tasks related to facilitating this event, including the collection of funds, recordkeeping, purchasing of supplies, advertising, and the coordination of volunteers. More than 430 County employees attended the 22<sup>nd</sup> Diversity Luncheon in October 2019. The EEO Program looks forward to hosting the 23<sup>rd</sup> Annual Diversity Luncheon in October 2020.

It is projected that the requests for services provided by the EEO Program will increase in 2020-2021 due to the increased trend in the number of complaints of discrimination and harassment, the increased awareness of County employees, and the projected increase in hiring. In 2020-2021, the EEO Office intends to initiate research and review of various EEO policies and program requirements to stay current, seek continuous improvement opportunities, and focus on policy development where needed.

### Labor Relations

During 2019-2020, a new agreement was reached with the San Joaquin County Deputy Sheriff's Association. The County currently has 85% of its represented workforce operating under expired contracts. Bargaining is continuing with the California Nurses Association, the Union of American Physicians and Dentists, the Sheriff's Sergeants Association, and the Service Employees International Union, all of whom

# 1012400000—Human Resources

## General Government

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have expired contracts. It is expected that bargaining will begin before the end of 2019-2020 with the San Joaquin County Law Enforcement Management Association, whose contract expired in 2015, and with the San Joaquin County Attorneys Association, whose contract expires in June 2020. Bargaining will begin in 2020-2021 with the San Joaquin County Management Association and the San Joaquin County District Attorney Investigators Association, both having contracts that expire March 2021.

The Labor Relations Unit will continue to ensure the County complies with the Meyers-Milias-Brown Act (MMBA), which governs labor management relationships within California governmental agencies. Changes in terms and conditions of employment and successor agreement negotiations are subject to the MMBA. In addition to contract bargaining, staff are involved in meet and confer sessions over impacts of various decisions including policy changes as well as processing union complaints, and advising departments on employee discipline.

The table below outlines the status of the 17 labor contracts.

<b>Representation Unit Name</b>	<b>Organization</b>	<b>Contract Expires</b>
Sheriff's Management	LEMA	06/30/15**
Sheriff's Sergeants	SDSA	06/30/15**
Registered Nurses	CNA	12/31/18**
Physicians	UAPD	06/30/19**
Office & Office Technical	SEIU*	09/05/19**
Paraprofessional & Technical	SEIU*	09/05/19**
Professional	SEIU*	09/05/19**
Safety, Investigative, & Custodial	SEIU*	09/05/19**
Supervisors	SEIU*	09/05/19**
Trades, Labor, & Institutional	SEIU*	09/05/19**
Attorney	SJCAA	06/30/20
Middle Management	SJCMA	03/31/21
Peace Officers Miscellaneous	SJDAIA	03/31/21
Probation Officers	SJCPOA	12/31/21
Correctional Officers	SJCCOA	03/31/22
Sheriff's Non-Management	DSA	10/07/22
In-Home Supportive Services	SEIU*	02/24/23

*\*SEIU Local 1021*

*\*\*Indicates expired contract.*



# 1012400000—Human Resources

## General Government

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Recruitment &amp; Certification</b>					
<i>Recruitments</i>	508	519	627	659	650
<i>Applications Processed</i>	24,231	25,580	32,185	30,880	31,000
<b>Examinations</b>					
<i>Written Exam Sessions</i>	212	183	266	215	200
<i>Oral Exam Days</i>	150	122	106	65	75
<b>Referrals</b>	1,497	1,511	1,981	1,901	1,900
<b>Countywide Vacancy Rate</b>					
<i>Fiscal Year Start</i>	12.89%	10.74%	11.95%	11.98%	10.50%
<i>Fiscal Year Midpoint</i>	11.64%	10.38%	10.53%	11.09%	9.50%
<i>Fiscal Year End</i>	10.25%	10.43%	9.88%	10.00%	8.50%
<i>Fiscal Year Average</i>	11.59%	10.52%	10.63%	11.00%	9.50%
<b>Allocated Position Hires</b>	792	653	568	710	800
<b>Part-time or Temporary Hires</b>	869	727	658	802	650
<i>Total Hires</i>	1,661	1,380	1,226	1,512	1,450
<b>Employee Training Attendance</b>	8,799	8,218	11,959	14,000	12,500
<b>Classification &amp; Compensation</b>					
<i>Classification Projects</i>	33	15	8	23	28
<i>Compensation Studies</i>	20	16	16	8	15
<b>Civil Service Hearings</b>	2	1	1	3	4
<b>Position Control</b>					
<i>E-Pad Actions Processed</i>	9,248	8,226	9,088	13,867	14,144
<i>Mass Updates Processed</i>	14,216	5,161	14,424	4,040	14,365
<b>Equal Employment Opportunities</b>					
<i>Complaints Received (Countywide)</i>	30	32	73	60	70
<i>Formal Complaints (EEO Office)</i>	26	18	42	30	35
<b>Labor Relations</b>					
<i>MOU's Negotiated</i>	9	3	1	10	4
<i>Arbitration Hearings Scheduled</i>	4	4	5	7	6
<i>Complaints Processed</i>	91	54	83	25	50
<i>Impact Meet &amp; Confer Sessions</i>	44	37	20	17	20
<i>Public Employee Relations Board Charges</i>	9	9	9	5	7

# Self-Insurance Internal Service Fund-Health

## General Government

Brandi Hopkins, Human Resources Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Services &amp; Supplies</i>	\$962,032	\$1,335,197	\$1,159,296	\$1,159,296	(\$175,901)
<i>Centrally-Budgeted Expenses</i>	138,545	12,563	92,152	92,152	79,589
<i>Medical And Dental Insur ISF Exp</i>	43,544,883	45,016,800	43,726,800	43,726,800	(1,290,000)
<i>Total Expenditures</i>	\$44,645,460	\$46,364,560	\$44,978,248	\$44,978,248	(\$1,386,312)
<i>Expenditure Reimbursements</i>	0	49,400	49,400	49,400	0
<i>Total Appropriations</i>	\$44,645,460	\$46,413,960	\$45,027,648	\$45,027,648	(\$1,386,312)
<b>Earned Revenues By Source</b>					
<i>Interest/Rents</i>	\$331,045	\$250,000	\$250,000	\$250,000	\$0
<i>Miscellaneous Revenues</i>	828,191	400,000	700,000	700,000	300,000
<i>Self-Insurance Revenue</i>	41,807,832	39,797,920	41,098,651	41,098,651	1,300,731
<i>Total Revenues</i>	\$42,967,068	\$40,447,920	\$42,048,651	\$42,048,651	\$1,600,731
<b>Revenues Over/(Under) Expenses</b>	\$1,678,392	\$5,966,040	\$2,978,997	\$2,978,997	(\$2,987,043)

- Medical and Dental Insurance ISF Expenses includes self-insurance medical claims paid by the Health Insurance budget.

### Purpose

The Health Insurance (#8592500000) budget provides for the centralized administration of the County's self-funded employee health insurance benefit program.

The County's self-funded insurance programs provide quality benefits to eligible recipients. In addition to the self-funded plans, County employees have access to fully-insured Health Maintenance Organization (HMO) plans offered by Kaiser Permanente (Kaiser) and Sutter Health Plus. With the

Affordable Care Act (ACA), and discussions over repeal and replace options for the ACA, there has been a measure of uncertainty within the health care industry. These, and other factors, make the marketplace unpredictable. Although influencing factors are beyond the County's administrative control, the County strives to use a combination of cost containment measures, vendor contract analysis, and reserve funds to ensure adequately funded, quality programs. Funding levels and premiums are determined actuarially on an annual basis.

Employee Participation in County-Offered Plans as of February 29, 2020				
Health Plan Enrollment	Kaiser	Sutter Health Plus	Self-Insured Plans	Total
<b>Employees + Dependents</b>				
<i>Enrollments</i>	9,133	291	2,733	12,157
<i>Percentage</i>	75.1%	2.4%	22.5%	100.00%
<b>Employees</b>				
<i>Enrollments</i>	3,881	100	1,292	5,273
<i>Percentage</i>	73.6%	1.9%	24.5%	100.00%

# Self-Insurance Internal Service Fund-Health

## General Government

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### Major Budget Changes

#### Services & Supplies

- (\$96,000) Decrease in professional consulting services.
- (\$79,901) Decrease in administration costs.

#### Centrally-Budgeted Expenses

- \$79,589 Cost Allocation Plan adjustment.

#### Other Charges

- \$1,255,000 Increase in stop-loss insurance premiums.
- (\$2,000,000) Decrease in medical claims due to full year of the new Anthem claims contract.
- (\$500,000) Decrease due to new pharmacy benefit manager contract.

#### Revenues

- \$1,300,731 Increase in health insurance premiums.
- \$300,000 Increase in pharmacy rebates and refunds.

### Program Discussion

The 2020-2021 recommended budget for the Health Insurance budget is \$44,978,248, which is a decrease of \$1,386,312 from the 2019-2020 adjusted budget. This reflects staff's effort to utilize different vendors and negotiate new agreements, which decreases prices and increases rebates.

The County operates three self-funded employee health plans. It also contracts with Kaiser and Sutter Health Plus for fully-insured options, which are not addressed in this budget.

The County purchases excess insurance (stop-loss) to protect against catastrophic claims. Individual deductibles up to \$400,000 per person/per year must be met prior to any reimbursements.

The February 2020 actuarial report identified the following:

- Self-insured health plans are required to have reserves on-hand for catastrophic and Incurred But Not Reported (IBNR) claims. Approximately \$11.2 million is required to meet these obligations. As of March 31, 2020, the fund (#38925) had a cash balance of \$14,289,720 million. In March 2019, the balance was at \$14.6 million. This represents a reduction of \$0.3 million. This is a significant improvement from the \$8.1 million reduction to the reserves in the previous one-year period.
- Due to these significant costs associated with the self-funded plans, County staff is looking to discontinue these plans in the coming year. With the anticipated change, staff are vigilantly conducting Request for Proposals (RFP) to look for plan alternatives. The first RFP process concluded with adding a new HMO plan through Sutter Health Plus in July 2019, which is provided to non-Medicare retirees and certain employee groups. Currently, staff is completing a second RFP to introduce a Health Savings Account Qualified-High Deductible Health Plan, with lower monthly premiums to be effective July 2020. The new plan option will be made the subject of input with the bargaining groups.
- The actuary recommends a 3.5% premium increase for all enrollees. This slight increase for active employees is due to the pooling of active and retiree population in establishing the rates. The recommended budget includes the 3.5% premium increase.

# Self-Insurance Internal Service Fund-Dental

## General Government

Brandi Hopkins, Human Resources Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$120,004	\$141,870	\$129,304	\$129,304	(\$12,566)
Centrally-Budgeted Expenses	18,068	(3,246)	14,349	14,349	17,595
Medical And Dental Insur ISF Exp	5,531,752	6,149,000	6,000,000	6,000,000	(149,000)
<b>Total Appropriations</b>	<b>\$5,669,824</b>	<b>\$6,287,624</b>	<b>\$6,143,653</b>	<b>\$6,143,653</b>	<b>(\$143,971)</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$83,061	\$75,000	\$75,000	\$75,000	\$0
Self-Insurance Revenue	5,582,480	5,370,000	5,101,500	5,101,500	(268,500)
<b>Total Revenues</b>	<b>\$5,665,541</b>	<b>\$5,445,000</b>	<b>\$5,176,500</b>	<b>\$5,176,500</b>	<b>(\$268,500)</b>
<b>Revenues Over/(Under) Expenses</b>	<b>\$4,283</b>	<b>\$842,624</b>	<b>\$967,153</b>	<b>\$967,153</b>	<b>\$124,529</b>

- Medical and Dental Insurance ISF Expenses includes self-insurance claims paid by the Dental Insurance budget.

### Purpose

The Dental Insurance (#8592600000) budget provides for the centralized administration of the County's self-funded employee dental benefit program.

The County's self-funded insurance programs are designed to provide quality, cost-effective benefits to eligible members. In addition to the self-funded dental plan, the County also offers a fully-funded dental plan which is not addressed in this budget.

### Major Budget Changes

#### Services & Supplies

- (\$12,566) Decrease in administrative costs.

#### Centrally-Budgeted Expenses

- \$17,595 Cost Allocation Plan adjustment.

#### Other Charges

- (\$149,000) Decrease in dental insurance claims costs.

### Revenues

- (\$268,500) Decrease in reimbursement from departments based on positive claims experience.

### Program Discussion

The 2020-2021 recommended budget for the self-funded dental insurance program is \$6,143,653, which is a decrease of \$143,971 from the 2019-2020 adjusted budget.

The County offers dental plans through two vendors: Delta Dental and United Healthcare. The majority of employees are enrolled in one of three Delta Dental plan offerings due to a larger network of providers. Two of the three Delta Dental plan offerings were added in July 2019. The Delta Dental self-insured plans covers 5,252 employees (92%). The fully-insured United Healthcare plan covers 507 employees (8%).

The self-insured plans are administered through the California State Association of Counties Excess Insurance Authority. In February 2020, an actuarial report was completed. Major findings and recommendations are as follows:

- As of March 31, 2020, the fund (#38926) had a cash balance of \$4,580,250.

# **Self-Insurance Internal Service Fund-Dental**

## **General Government**

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- Industry best practices dictate a reserve equal to four months of actual claims expenses, which is approximately \$2.0 million. Due to favorable claims experience, plus healthy reserves, the County is implementing the actuary's recommended rate decrease of 5% for 2020-2021.

During the development of the 2020-2021 Proposed Budget, departments were provided costs assuming status quo dental rates. The actuarial report was completed after the budget amounts were established. Departments should see some budget savings in 2020-2021 due to the implementation of a 5% rate decrease.

# Self-Insurance Internal Service Fund-Medical Malpractice

## General Government

Brandi Hopkins, Human Resources Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$1,534,079	\$1,536,034	\$1,632,893	\$1,632,893	\$96,859
Centrally-Budgeted Expenses	33,329	678	15,076	15,076	14,398
Medical And Dental Insur ISF Exp	928,454	900,000	900,000	900,000	0
<b>Total Appropriations</b>	<b>\$2,495,862</b>	<b>\$2,436,712</b>	<b>\$2,547,969</b>	<b>\$2,547,969</b>	<b>\$111,257</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$189,159	\$80,000	\$120,000	\$120,000	\$40,000
Self-Insurance Revenue	420,000	1,000,000	1,000,000	1,000,000	0
<b>Total Revenues</b>	<b>\$609,159</b>	<b>\$1,080,000</b>	<b>\$1,120,000</b>	<b>\$1,120,000</b>	<b>\$40,000</b>
<b>Revenues Over/(Under) Expenses</b>	<b>\$1,886,703</b>	<b>\$1,356,712</b>	<b>\$1,427,969</b>	<b>\$1,427,969</b>	<b>\$71,257</b>

- Medical and Dental Insurance ISF Expenses includes self-insurance claims paid by the Medical Malpractice Insurance budget.

### Purpose

The Medical Malpractice Insurance (#8594800000) budget provides for the centralized administration of the County's self-funded Medical Malpractice Insurance program for various County departments including San Joaquin General Hospital, Correctional Health Services, Mental Health Services, Behavioral Health Services, Public Health Services, and the Medical Examiner's Office.

The County's self-funded insurance programs are designed to provide quality, cost-effective benefits to eligible recipients. Frequently, market and legislative factors beyond the County's administrative control influence costs (mandated benefit levels and usage). The County uses a combination of premium adjustments and cost containment measures to assure adequately funded programs. Funding levels and premiums are determined actuarially on an annual basis.

### Major Budget Changes

#### Services & Supplies

- \$98,480 Increase in stop-loss insurance premiums.

#### Centrally-Budgeted Expenses

- \$14,398 Cost Allocation Plan adjustment.

### Revenues

- \$40,000 Increase in interest income.

### Program Discussion

The 2020-2021 recommended budget for the Medical Malpractice program is \$2,547,969, which is an increase of \$111,257 from the 2019-2020 adjusted budget.

The County participates in the California State Association of Counties Excess Insurance Authorities' medical malpractice program. The excess medical malpractice insurance provides coverage from \$1.0 million to \$21.5 million, with the County maintaining a \$1.0 million self-insured retention.

Claims for 2019-2020 through February 29, 2020 include new, 23; current, 39; and closed, 17. The total cost paid out for closed claims was \$104,581 for expenses and settlements with an average cost per claim of \$6,152.

The 2020-2021 excess insurance premium is anticipated to be \$1.2 million, an increase of \$98,480 from 2019-2020.

In December 2019, an actuarial report was completed. Major findings and recommendations are as follows:

- Year-end 2019-2020, outstanding liability claims are estimated at \$5.6 million at the 80% confidence level while program assets are estimated at \$6.4 million, resulting in a funding surplus of \$759,000.

# **Self-Insurance Internal Service Fund-Medical Malpractice**

## **General Government**

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- 2017-2018 was the first year to address a funding reserve surplus. As of March 31, 2020, the fund (#38948) had a cash balance of \$9,087,195. With the addition of the \$2.0 million rebate in May 2019, it is anticipated that the fund balance will be reduced by approximately \$3.2 million by June 30, 2020.
- Department contributions for 2020-2021 will total \$1.0 million, which is consistent with 2019-2020 contributions. It is anticipated that the 2020-2021 year-end fund balance will be \$5.7 million.

During 2020-2021, the fund balance will continue to be used with the goal of reducing the balance to the 80% confidence level as outlined in the annual actuarial report, estimated at \$5.6 million.

Based on the actuarial findings and recommendations, the 2020-2021 Medical Malpractice Insurance premium is set at \$1.0 million (\$2.5 million program cost less \$1.4 million from fund balance, and \$120,000 from interest earnings). This represents a status quo premium from 2019-2020.

# Self-Insurance Internal Service Fund-Casualty

## General Government

Brandi Hopkins, Human Resources Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$5,734,481	\$6,439,450	\$6,401,469	\$6,401,469	(\$37,981)
Centrally-Budgeted Expenses	(406,676)	(269,411)	692,788	692,788	962,199
Other Charges & Uses	132,115	132,115	132,115	132,115	0
Medical And Dental Insur ISF Exp	6,067,857	3,100,000	3,100,000	3,100,000	0
<b>Total Expenditures</b>	<b>\$11,527,777</b>	<b>\$9,402,154</b>	<b>\$10,326,372</b>	<b>\$10,326,372</b>	<b>\$924,218</b>
Expenditure Reimbursements	(64,183)	0	0	0	0
<b>Total Appropriations</b>	<b>\$11,463,594</b>	<b>\$9,402,154</b>	<b>\$10,326,372</b>	<b>\$10,326,372</b>	<b>\$924,218</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$305,276	\$140,000	\$200,000	\$200,000	\$60,000
Miscellaneous Revenues	114,689	0	0	0	0
Self-Insurance Revenue	7,152,077	6,764,230	10,132,115	10,132,115	3,367,885
<b>Total Revenues</b>	<b>\$7,572,042</b>	<b>\$6,904,230</b>	<b>\$10,332,115</b>	<b>\$10,332,115</b>	<b>\$3,427,885</b>
<b>Revenues Over/(Under) Expenses</b>	<b>\$3,891,552</b>	<b>\$2,497,924</b>	<b>(\$5,743)</b>	<b>(\$5,743)</b>	<b>(\$2,503,667)</b>

- Medical and Dental Insurance ISF Expenses includes self-insurance claims paid by the Casualty Insurance budget.

### Purpose

The Casualty Insurance (#8594900000) budget provides for the centralized administration of the County's self-funded Casualty Insurance program. The County's self-insured Casualty Insurance program consists of three major components: general liability, property, and automobile insurance.

The County's self-funded insurance programs are designed to provide quality, cost-effective benefits to eligible recipients. Frequently, market and legislative factors beyond the County's administrative control influence costs (mandated benefit levels and usage). The County uses a combination of premium adjustments and cost containment measures to assure adequately funded programs. Funding levels and premiums are determined actuarially on an annual basis.

### Major Budget Changes

#### Services & Supplies

- \$350,169 Increase in stop-loss insurance premiums.

- (300,000) Decrease in County Counsel legal services.

- (\$88,150) Decrease in administrative costs.

#### Centrally-Budgeted Expenses

- \$962,199 Cost Allocation Plan adjustment.

#### Revenues

- \$3,367,885 Increase in general liability insurance premiums charged to departments.

- \$60,000 Increase in interest income.

### Program Discussion

The 2020-2021 recommended budget for Casualty Insurance is \$10,326,372, which is an increase of \$924,218 from the 2019-2020 adjusted budget.

The 2020-2021 budget includes a program cost of \$9.5 million, an annual Airport settlement reimbursement of



# Self-Insurance Internal Service Fund-Casualty

## General Government

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\$132,115 related to litigation, which ends in 2029, and a Cost Allocation Plan charge of \$692,788.

General Liability claims for 2019-2020 through February 29, 2020 include new, 140; current, 228; and closed, 136. The total cost paid out for closed claims was \$7,189,795 for property damage, bodily injury and/or reserves, with an average cost per claim of \$52,866.

Since July 1995, the County has participated in the California State Association of Counties' Excess Insurance Authority (CSAC EIA) property and excess liability program. The excess insurance provides general liability coverage from \$1.0 million to \$25.0 million with the County maintaining a \$1.0 million self-insured retention. The 2020-2021 excess insurance premium is estimated at \$3.7 million, an increase of \$350,169 from 2019-2020.

Major findings and recommendations included in the December 2019 actuarial report are as follows:

- By year-end 2019-2020, outstanding liability claims are estimated at \$23.1 million at the 80% confidence level,

while program assets are estimated at \$10.8 million. This results in a funding deficit of \$12.3 million at the 80% confidence level.

- Compared to other CSAC EIA members, San Joaquin County's rate is lower than average reflecting a lower than average loss per claim and a lower than average number of claims per \$1.0 million of payroll.
- As of March 31, 2020, the fund (#38949) had a cash balance of \$10,500,430.

Based on the actuarial findings and recommendations, the 2020-2021 Casualty Insurance premium is set at \$10.0 million. This represents an increase of \$3.4 million from 2019-2020.

Since 2015-2016, the allocation is calculated using: 1) a 7-year loss history instead of a 10-year loss history; 2) capping the claim loss amount at \$500,000 per claim; and 3) applying an allocation factor of 70% based on experience and 30% based on exposure.

# Self-Insurance Internal Service Fund-Workers' Comp.

## General Government

Brandi Hopkins, Human Resources Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$4,103,585	\$3,668,000	\$3,757,900	\$3,757,900	\$89,900
Centrally-Budgeted Expenses	897,347	608,053	(135,354)	(135,354)	(743,407)
Medical And Dental Insur ISF Exp	9,210,763	7,850,000	9,750,000	9,750,000	1,900,000
<b>Total Appropriations</b>	<b>\$14,211,695</b>	<b>\$12,126,053</b>	<b>\$13,372,546</b>	<b>\$13,372,546</b>	<b>\$1,246,493</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$854,874	\$400,000	\$550,000	\$550,000	\$150,000
Miscellaneous Revenues	2,849	0	0	0	0
Self-Insurance Revenue	8,688,024	8,000,000	9,000,000	9,000,000	1,000,000
<b>Total Revenues</b>	<b>\$9,545,747</b>	<b>\$8,400,000</b>	<b>\$9,550,000</b>	<b>\$9,550,000</b>	<b>\$1,150,000</b>
<b>Revenues Over/(Under) Expenses</b>	<b>\$4,665,948</b>	<b>\$3,726,053</b>	<b>\$3,822,546</b>	<b>\$3,822,546</b>	<b>\$96,493</b>

- Medical and Dental Insurance ISF Expenses includes self-insurance claims paid by the Workers' Compensation Insurance budget.

### Purpose

The Workers' Compensation Insurance (#8595000000) budget provides for the centralized administration of the County's self-funded Workers' Compensation program.

The County's self-funded insurance programs are designed to provide quality, cost-effective benefits to eligible recipients. Frequently, market and legislative factors beyond the County's administrative control influence costs (mandated benefit levels and usage). The County uses a combination of premium adjustments and cost containment measures to assure adequately funded programs. Funding levels and premiums are determined actuarially on an annual basis.

### Major Budget Changes

#### Services & Supplies

- \$193,288 Increase in stop-loss insurance premiums.
- (\$103,388) Decrease in administrative costs.

#### Centrally-Budgeted Expenses

- (\$743,407) Cost Allocation Plan adjustment.

### Other Charges

- \$1,900,000 Increase in temporary disability and claims expense.

### Revenues

- \$1,000,000 Increase in Workers' Compensation insurance premiums charged to departments.
- \$150,000 Increase in interest income.

### Program Discussion

The 2020-2021 recommended budget for the Workers' Compensation program totals \$13,372,546, which is an increase of \$1,246,493 from the 2019-2020 adjusted budget. The increase is primarily due to an increase of anticipated claim activity expenses and insurance premiums, offset by a Cost Allocation Plan adjustment.

Workers' Compensation claims for 2019-2020 through February 29, 2020 include new, 357; current, 620; and closed, 459. The total cost paid out for closed claims was \$7,250,162 for temporary disability and claim expenses, with an average cost per claim of \$15,796.

# **Self-Insurance Internal Service Fund-Workers' Comp.**

## **General Government**

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As of April 21, 2020, there were 44 reported potential exposures related to the 2019 Novel Coronavirus (COVID-19) pandemic. Seven of the 44 filed workers' compensation claims. Two were denied as not being related to COVID-19, and five are currently in delayed status. However, there is pending legislation related to COVID-19. Assembly Bill (AB) 664, which as written, includes a conclusive (i.e. uncontestable) presumption of compensability for healthcare workers and first responders. If AB 664 passes, it could have a larger impact on the program.

The County participates in the California State Association of Counties Excess Insurance Authority (CSAC EIA) and has a self-insured retention of \$500,000. Funding of this program has generally been at the 80% confidence level. The excess insurance premium is estimated at \$1.7 million, an increase of \$193,288 from 2019-2020.

In December 2019, an actuarial report was completed. Major findings and recommendations are as follows:

- By year-end 2019-2020, outstanding liability claims are estimated at \$47.0 million at the 80% confidence level

while program assets are estimated at \$32.1 million. This results in a funding deficit of \$14.9 million at the 80% confidence level.

- Compared to other CSAC EIA members, San Joaquin County's loss rate is lower than average reflecting a lower-than-average cost per claim and a lower-than-average number of claims per \$1.0 million of payroll.
- 2017-2018 was the first year to address a funding reserve surplus. As of March 31, 2020, the fund (#38950) had a cash balance of \$35,929,666. Department contributions will total \$9.0 million, an increase of \$1.0 million from 2019-2020. Remaining costs for claims and expenses will be paid by fund balance, totaling \$3.8 million.

Based on the actuarial findings and recommendations, the 2020-2021 Workers' Compensation Insurance premium is set at \$9.0 million. This represents an increase of \$1.0 million from 2019-2020.

# Self-Insurance Internal Service Fund-Unemployment

## General Government

Brandi Hopkins, Human Resources Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$1,029,102	\$1,253,937	\$2,260,039	\$2,260,039	\$1,006,102
Centrally-Budgeted Expenses	(3,241)	1,905	24,880	24,880	22,975
<i>Total Appropriations</i>	\$1,025,861	\$1,255,842	\$2,284,919	\$2,284,919	\$1,029,077
<b>Earned Revenues By Source</b>					
Interest/Rents	\$99,268	\$15,000	\$70,000	\$70,000	\$55,000
Self-Insurance Revenue	744,269	250,000	0	0	(250,000)
<i>Total Revenues</i>	\$843,537	\$265,000	\$70,000	\$70,000	(\$195,000)
<b>Revenues Over/(Under) Expenses</b>	\$182,324	\$990,842	\$2,214,919	\$2,214,919	\$1,224,077

### Purpose

The Unemployment Insurance (#8595100000) budget provides for the centralized administration of the County's self-funded Unemployment Insurance program.

The self-funded insurance programs are designed to provide quality, cost-effective benefits to eligible recipients. Frequently, market and legislative factors beyond the County's administrative control influence costs (mandated benefit levels and usage). The County uses a combination of premium adjustments and cost containment measures to assure adequately funded programs.

### Major Budget Changes

#### Service & Supplies

- \$1,006,102 Increase in administrative costs due to increase in claims related to the 2019 Novel Coronavirus (COVID-19).

#### Centrally-Budgeted Expenses

- \$22,975 Cost Allocation Plan adjustment.

#### Revenues

- \$55,000 Increase in interest income.
- (\$250,000) Decrease in unemployment insurance reimbursements.

### Program Discussion

The 2020-2021 recommended budget for the Unemployment Insurance program is \$2,284,919, which is an increase of \$1,029,077 from the 2019-2020 adjusted budget. The increase is mainly due to an anticipated increase in claims related to COVID-19 (\$1,006,102). As of March 31, 2020, the fund (#38951) had a cash balance of \$4,909,772.

Due to a funding reserve surplus, the 2017-2018 and 2018-2019 department premium contributions were reduced to 0.15% of payroll, a reduction from 0.3% of payroll in 2016-2017. This resulted in a decrease of approximately \$2.0 million of the fund balance.

The 2019-2020 budget included a rate reduction of 0.10%, to 0.05% of payroll. It is anticipated that the year-end fund balance will be \$4.2 million. Industry standards suggest a fund balance of approximately \$2.5 million would be appropriate. To further reduce the fund balance to align with industry standards, it is recommended that the 2020-2021 payroll include an unemployment rate holiday. Beginning July 1, 2020, departments will not be charged unemployment costs until further notice. However, with the anticipated increase in claims expected due to COVID-19, fund balance may be significantly reduced, which may result in the rate holiday being lifted, and departments will be charged unemployment costs.

More than halfway through the fiscal year, the County's workforce appeared to stabilize and benefit payments continued to decline. Unemployment claims for 2019-2020 will be approximately 642, which is a slight decrease from 2018-2019. However, as of April 21, 2020, 133

# ***Self-Insurance Internal Service Fund-Unemployment***

## ***General Government***

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unemployment claims have been filed related to the COVID-19 pandemic. As we move forward with the economic downturn and COVID-19 related impacts, it is anticipated that the numbers will dramatically increase, possibly by more than 1,000 in 2020-2021, for an estimated total projection of 1,800

claims. This is a potential claim increase of 280%. The County reimburses the State quarterly, so the first payment made for April-June, which includes claims filed in March, will occur after July 1, 2020.

# Summary

## All Information Systems Budgets

Chris Cruz, Information Systems Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,064,575	\$2,075,877	\$2,404,488	\$2,404,488	\$328,611
Services & Supplies	5,078,762	4,282,619	6,614,402	6,614,402	2,331,783
Centrally-Budgeted Expenses	649,880	1,487,787	1,455,505	1,455,505	(32,282)
Capital Expenditures	309,376	3,777,876	603,971	603,971	(3,173,905)
<b>Total Appropriations</b>	<b>\$8,102,593</b>	<b>\$11,624,159</b>	<b>\$11,078,366</b>	<b>\$11,078,366</b>	<b>(\$545,793)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$603,417	\$3,595,429	\$1,974,036	\$1,974,036	\$1,621,393)
Charges For Services	2,640,716	102,000	4,755,431	4,755,431	4,653,431
Miscellaneous Revenues	9,973	7,400	10,000	10,000	2,600
Operating Transfers In	87,495	250,000	16,408	16,408	(233,592)
<b>Total Revenues</b>	<b>\$3,341,601</b>	<b>\$3,954,829</b>	<b>\$6,755,875</b>	<b>\$6,755,875</b>	<b>\$2,801,046</b>
<b>Net County Cost</b>	<b>\$4,760,992</b>	<b>\$7,669,330</b>	<b>\$4,322,491</b>	<b>\$4,322,491</b>	<b>(\$3,346,839)</b>
<b>Staffing</b>					
Allocated Positions	116.0	112.0	115.0	115.0	3.0
Temporary (Full-Time Equivalent)	11.0	12.4	19.8	19.8	7.4
<b>Total Staffing</b>	<b>127.0</b>	<b>124.4</b>	<b>134.8</b>	<b>134.8</b>	<b>10.4</b>

\* This chart does not include appropriations for the Internal Service Fund budgets listed below.

This is a summary of the budgets administered by the Information Systems Director. These include:

- 1013000000 Registrar of Voters
- 2026000150 Homeland Security Grants
- 8240000000 Information Systems Division Internal Service Fund\*
- 8250000000 Office Automation Internal Service Fund\*
- 8260000000 Telephone Internal Service Fund\*
- 8270000000 Radio Internal Service Fund\*

## Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Information Systems Division (ISD) focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

### Ensure Fiscal Responsibility

ISD, in partnership with the County Administrator's Office (CAO), Auditor-Controller's Office, Human Resources Department, and representatives from several County departments will deliver expanded Financial and Human Resources System capabilities and improved business processes. The implementation of these new automated tools

# Summary

## General Government

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and improved business processes will deliver greater support for County departments, enhance fiscal insight and control, streamline administrative operations, and promote best practices for the County's administrative functions.

### **Promote Good Governance and Increase Organizational Capabilities**

The Registrar of Voters' Division of ISD will be conducting the Presidential Election in November 2020 on behalf of the County. ISD will continue to make improvements to the County website with a focus on expanding on-line services available to the citizens of San Joaquin County. ISD will be working with County departments to identify government functions and information of value to citizens and businesses if delivered via on-line interaction. Priority will be given to development and delivery of on-line government services and information that result in a measurable improvement to service delivery.

### **Improve Public Safety and Enhance Overall Criminal Justice System**

During 2020-2021, ISD will continue to take a multi-prong approach to improve the technologies that support the County's law and justice departments. ISD intends to:

1. Work in partnership with the Sheriff's Office to replace the existing Computer Aided Dispatch (CAD) system for the Sheriff's Office.

2. In partnership with the Probation Department, complete the implementation of a new Juvenile Justice Information System that was procured in 2019-2020 replacing the previous system that has been used by the Probation Department for over 15 years to administer Juvenile Justice cases and manage the Juvenile Detention Center.
3. Continue the process of evaluating options for the replacement of the County's Local Area Warrant System that has been in use by all law and justice agencies within the County for over 10 years.
4. In partnership with the District Attorney's Office, begin the process of replacement of the District Attorney's case management system that has been in service for 10 years.
5. Continue the support of the Sheriff's Office technology team in the construction phase of the new medium security Jail construction project.

### **Promote Economic Development**

During 2019-2020, ISD continued to work closely with the CAO to further expand the capabilities of the County's Economic Development web pages. The new Economic Development web pages will support both existing and prospective businesses in the County through effective delivery of on-line services and information valuable to these organizations.

# 1013000000—Registrar of Voters

## General Government

Chris Cruz, Information Systems Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,064,575	\$2,075,877	\$2,404,488	\$2,404,488	\$328,611
Services & Supplies	4,923,549	4,090,741	5,715,813	5,715,813	1,625,072
Centrally-Budgeted Expenses	649,880	1,488,325	1,453,274	1,453,274	(35,051)
Capital Expenditures	0	2,649,766	40,000	40,000	(2,609,766)
<b>Total Appropriations</b>	<b>\$7,638,004</b>	<b>\$10,304,709</b>	<b>\$9,613,575</b>	<b>\$9,613,575</b>	<b>(\$691,134)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$21,035	\$2,655,378	\$511,476	\$511,476	(\$2,143,902)
Charges For Services	2,640,716	102,000	4,755,431	4,755,431	4,653,431
Miscellaneous Revenues	9,973	7,400	10,000	10,000	2,600
Operating Transfers In	87,495	250,000	16,408	16,408	(233,592)
<b>Total Revenues</b>	<b>\$2,759,219</b>	<b>\$3,014,778</b>	<b>\$5,293,315</b>	<b>\$5,293,315</b>	<b>\$2,278,537</b>
<b>Net County Cost</b>	<b>\$4,878,785</b>	<b>\$7,289,931</b>	<b>\$4,320,260</b>	<b>\$4,320,260</b>	<b>(\$2,969,671)</b>
<b>Staffing</b>					
Allocated Positions	16.0	16.0	17.0	17.0	1.0
Temporary (Full-Time Equivalent)	11.0	12.4	19.8	19.8	7.4
<b>Total Staffing</b>	<b>27.0</b>	<b>28.4</b>	<b>36.8</b>	<b>36.8</b>	<b>8.4</b>

### Purpose

The Registrar of Voters (ROV) conducts elections openly and fairly by providing equal access to the public, candidates, campaigns, and news media. ROV registers voters, maintains voter registration records, provides outreach services for voter registration, and provides voter registration and election information to the public, candidates, campaigns, and news media.

### Major Budget Changes

#### Salary & Employee Benefits

- \$26,966 Salary and benefits adjustments.
- \$78,679 Add Elections Specialist.
- \$222,966 Increase in extra-help.

#### Services & Supplies

- \$1,409,020 Increase in election costs for 2020 Presidential election.
- \$449,627 Increase in software maintenance and license costs.
- \$85,794 Increase in poll worker costs.
- \$41,887 Increase in small tools purchases.
- \$15,020 Increase in advertising expense.
- \$14,006 Increase in rents for polling places.
- (\$238,602) Decrease in professional services due to completion of electronic voting system, poll books, and cyber security projects.
- (\$161,000) Decrease in equipment lease costs.
- (\$25,753) Decrease in postage expense.



# 1013000000—Registrar of Voters

## General Government

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### Centrally-Budgeted Expenses

- \$32,620 Increase in Workers' Compensation and Casualty insurance costs.
- (\$85,044) Decrease in data processing charges
- (\$8,618) Decrease in equipment rents/lease charges.
- \$25,991 Cost Allocation Plan adjustment.

### Revenues

- \$4,535,431 Increase in elections revenue.
- \$140,000 Increase in revenue from ROV Trust fund for candidate statement costs and temporary staff election support.
- (\$1,200,392) Decrease in State grant funding for elections projects.
- (\$943,510) Decrease in Federal grant funding for elections projects.
- (\$233,592) Decrease in transfer from ROV Project Trust fund for election technology.
- (\$22,000) Decrease in revenue for candidate filings fees.

### Capital Expenditures

- \$25,000 Poll print voter check-in system
- \$15,000 Warehouse cage.

### Program Discussion

The 2020-2021 recommended Registrar of Voters (ROV) budget totals \$9,613,575, which is a decrease of \$691,134 from the 2019-2020 adjusted budget. The net budget decrease is due primarily to the completion capital projects in 2019-2020 (-\$2,609,766) and a decrease in professional services (-\$238,602), offset by increases in election costs for the November 2020 Presidential General Election (\$1,409,020), software maintenance and license costs related to several planned election technology upgrades (\$449,627), extra-help costs (\$222,966), and an increase in poll workers costs (\$85,794).

The Net County Cost totals \$4,320,260, which is a decrease of \$2,969,671 from 2019-2020, primarily due to the collection of 2019-2020 election expense reimbursements for the March 2020 Primary Election in 2020-2021, and a higher ratio of

election expense reimbursements anticipated for the November 2020 Presidential General Election. Reimbursements for the November 2020 General Election should be received in 2020-2021 and will be based on the number of cities and districts participating in the election, and number of registered voters. Though too early to accurately estimate reimbursements for the November 2020 General Election, a review of historically similar elections suggests that reimbursements may be approximately \$3.6 million.

### 2020 Presidential General Election

The November 3, 2020 Presidential General Election will include the contests for President and Vice-President, US Representatives, State Senate, State Assembly, County Supervisor, city, school, and special district offices. ROV anticipates an increased number of local ballot measures, which increases the cost of translations, and the printing and mailing of the County's Sample Ballot and Voter Information Pamphlet.

A dramatic increase in voter registration and voter turn-out is expected for the 2020 Presidential General Election due to increased voter interest and increased use of conditional voter registration. The County will continue to provide overseas and military voters the ability to download their ballots. These voters and voters with disabilities will be able to mark their ballots using a certified remote accessible vote-by-mail system. All ballots marked using the remote accessible vote-by-mail system will need to be remade and duplicated onto official ballot paper for scanning.

In order to ensure fiscal responsibility, promote good governance, and increase organizational capabilities, ROV will recruit and hire temporary workers in the classifications of Elections Technician Assistant and Elections Technician Trainee for the 2020 Presidential General Election. Traditionally, temporary workers have been hired at the Elections Technician classification. In the 2020 Primary election, ROV successfully piloted the use of Elections Technician Trainees for specific duties, and the use of poll workers to assist with line management and other poll worker duties at the Voter Service Centers. ROV will pursue this practice going forward. ROV will also pursue the reduction of printing and mailing costs for the Sample Ballot and Voter Information Pamphlet by promoting the option to "opt-out" of mailing the paper pamphlet. Voters are able to access their specific ballot information on the ROV website and can be notified of its on-line availability via e-mail. Opting out of the paper mailing, saves approximately \$2.00 per voter.

### 2019 Novel Coronavirus (COVID-19)

In response to COVID-19, ROV will need to conduct the November 3, 2020 Presidential General Election as a mail ballot election. This means all registered voters will be

# 1013000000—Registrar of Voters

## General Government

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mailed a ballot, and replacing neighborhood polling places with larger voter service centers, similar to the voter service centers used for the March 2020 Primary Election. ROV would conduct voter education and outreach through advertising and direct mail. In the March 2020 Presidential Primary, ROV issued 275,5000 vote-by-mail ballots. Currently, the projected number of registered voters for the November 2020 Presidential General Election is 365,000. Savings from a reduction of the number of poll workers, polling places, and required precinct supplies appears to be sufficient to offset costs of increased vote-by-mail voting. Additional funding is required to implement anticipated State requirements for more voter service centers. The recommended budget includes an additional \$120,000 for this purpose. Legislation is pending that would potentially reimburse the County for COVID-19 related election expenses. Based on current assumptions and the additional \$120,000 general fund contribution, the proposed ROV budget appears to be sufficient to conduct the November 3, 2020 Presidential General Election under COVID-19 related executive order, board order, or legislation.

### Election Technology Upgrade

The County will pursue three major areas of election technology upgrades in 2020-2021:

1. Enhancements to vote-by-mail ballot sorting and signature verification system - to enhance security, speed processing, and to provide more flexibility in handling mail.
2. A ballot-on-demand system for the ROV satellite offices (Voter Service Centers) - to replicate ballot-issuing procedures currently in use at the ROV office.
3. An upgrade to the ROV phone system - to include a “call center” module that will allow staff to efficiently answer calls from voters and election workers.

There will be no Net County Cost associated with these upgrades. Appropriations will be required in order to purchase and implement the upgrades; however, costs will be offset through grants, the ROV technology trust, and existing appropriations.

### ROV Trusts

As of March 31, 2020, the ROV Trust (#10352) had a balance of \$254,432 and the ROV Project Trust (#10355) had a balance of \$256,663. The recommended budget transfers \$200,000 from the ROV Trust (#10352) as reimbursement for costs incurred by ROV in the production of candidate statements of qualification and one-time temporary staffing support for the November 2020 Presidential Election. The recommended budget also transfers \$16,408 from the ROV Project Trust (#10355), for election technology upgrades.

### Supplemental Request

The Registrar of Voters is requesting supplemental funding of \$66,151 for an Elections Specialist position. The total cost of this position is \$78,679 and will be partially offset by \$12,528 of anticipated revenue received from election billings. The proposed Elections Specialist will support the ROV Geographic Information Systems (GIS) function in the precinct operations section, allowing for better analysis of polling place selections, voter service center access, and election worker assignments. The Elections Specialist will also support ROV’s new poll book software, by means of testing and securely synchronizing the hardware and software, data format manipulation, processing data exports and imports/uploads.

The recommended budget has been augmented by \$66,151 in order to address this request.

# 1013000000—Registrar of Voters

## General Government

	<b>Workload Data</b>			<b>Est./Act. 2019-2020</b>	<b>Projected 2020-2021</b>
	<b>2016-2017</b>	<b>2017-2018</b>	<b>2018-2019</b>		
Eligible Voters	442,435	455,449	458,818	468,757	475,000
Registered Voters (Active)	334,255	334,212	344,891	326,470	365,000
Registered Voters (Inactive)	68,079	72,446	63,076	63,254	68,000
Registered Voters (Pending)	8	48	24	100	150
<b>Total Registered Voters</b>	<b>402,342</b>	<b>406,706</b>	<b>407,991</b>	<b>389,824</b>	<b>433,150</b>
Newly Registered Voters	24,390	16,854	48,397	31,432	20,000
Countywide Elections	1	1	1	1	1
Total Ballots Cast	227,002	107,960	196,635	130,000	300,000
Countywide Precincts	500	521	485	377	460
Other Elections	0	1	1	0	1
Ballots Cast	0	730	1,898	0	5,000

# Information System Division Internal Service Fund

## General Government

Chris Cruz, Information Systems Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$10,314,574	\$14,838,478	\$15,706,585	\$15,706,585	\$868,107
Services & Supplies	4,974,057	6,785,042	8,912,796	8,912,796	2,127,754
Centrally-Budgeted Expenses	366,485	1,388,210	2,058,137	2,058,137	669,927
Capital Expenditures	196,298	186,333	0	0	(186,333)
<b>Total Expenditures</b>	<b>\$15,851,414</b>	<b>\$23,198,063</b>	<b>\$26,677,518</b>	<b>\$26,677,518</b>	<b>\$3,479,455</b>
Expenditure Reimbursements	(872,506)	0	0	0	0
<b>Total Appropriations</b>	<b>\$14,978,908</b>	<b>\$23,198,063</b>	<b>\$26,677,518</b>	<b>\$26,677,518</b>	<b>\$3,479,455</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$67,705	\$110,556	\$180,000	\$180,000	\$69,444
Charges For Services	14,253,348	20,684,896	24,272,199	24,272,199	3,587,303
Operating Transfers In	9,874,317	2,402,611	1,790,000	1,790,000	(612,611)
<b>Total Revenues</b>	<b>\$24,195,370</b>	<b>\$23,198,063</b>	<b>\$26,242,199</b>	<b>\$26,242,199</b>	<b>\$3,044,136</b>
<b>Revenues Over/(Under) Expenses</b>	<b>(\$9,216,462)</b>	<b>\$0</b>	<b>\$435,319</b>	<b>\$435,319</b>	<b>\$435,319</b>
<b>Allocated Positions</b>	<b>87.0</b>	<b>83.0</b>	<b>87.0</b>	<b>87.0</b>	<b>4.0</b>

- Operating Transfers In includes a General Fund Contribution of \$1,340,000 provided by Tobacco Settlement funds for 2020-2021.

### Purpose

The Information Systems Division (ISD) Internal Service Fund (ISF) (#8240000000) provides information processing services, support, and coordination to County departments. The Division is responsible for the County's information technology and communications functions. ISD's mission is to "support technology solutions that create opportunities to transform the way service is brought to the public through leading, integrating, partnering, educating, consulting, and supporting."

### Major Budget Changes

#### Salaries & Employee Benefits

- \$282,822 Salary and benefits adjustments.
- \$230,354 Transfer of a Department Information Systems Manager from San Joaquin General Hospital (#9221100000).

- \$185,281 Transfer of an Accounting Technician and Administrative Assistant from Telephone ISF (#8260000000).
- \$169,650 Transfer of a Department Information Systems Analyst from Community Development Department (#2025600000).

#### Services & Supplies

- \$2,120,366 Increase in software licensing costs.
- \$81,220 Increase in professional services for ISD Apprentice costs.
- \$75,598 Increase in equipment maintenance costs.
- \$59,050 Increase in membership costs.
- (\$43,082) Decrease in consultant services costs.

# Information System Division Internal Service Fund

## General Government

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- (\$33,600) Decrease in professional services for Google Drive now managed by Human Services Agency.
- (\$29,567) Decrease in software maintenance costs.

### Centrally-Budgeted Expenses

- \$144,445 Increase in Casualty insurance costs.
- \$30,058 Increase in office automation lease charges.
- \$488,102 Cost Allocation Plan adjustment.

### Revenues

- \$3,663,747 Increase in revenue from user departments.
- \$162,366 Increase in revenue from outside agencies.
- \$69,444 Increase in interest income.
- (\$335,000) Decrease in transfer from the County Systems Automation Reserve fund.
- (\$157,611) Decrease in General Fund contribution for position added in 2019-2020.
- (\$125,000) Decrease in revenue from direct charges.
- (\$120,000) Decrease in contribution from Tobacco Settlement fund.
- (\$112,879) Decrease in revenue from other service fees.

## Program Discussion

The 2020-2021 recommended Information Systems Division (ISD) Internal Service Fund (ISF) budget totals \$26,677,518, which is an increase of \$3,479,455 from the 2019-2020 adjusted budget, and includes projects funded by Tobacco Settlement revenue totaling \$1,340,000. The increase is primarily due to salary and benefits adjustments (\$282,822), transfers of one position from San Joaquin General Hospital, two positions from the Telephone ISF, and one position from the Community Development Department (\$585,285), an increase in software license costs (\$2,120,366), and a Cost Allocation Plan adjustment (\$488,102).

In 2020-2021, ISD will focus on implementing projects consistent with the Board of Supervisors' Strategic Priorities, as well as San Joaquin County's Strategic Direction for Technology.

During 2020-2021, ISD intends to:

- **Continue to Improve Security for the County's Critical Data and Technology Infrastructure** - ISD will continue to enhance and augment existing security measures to ensure the County's critical information and systems, including the County's elections systems, are protected from cybersecurity threats.
- **Complete PeopleSoft Enhancements to the Human Resources Capital Management System and Financials** - ISD will complete planned PeopleSoft enhancements for the Human Resources Division and will deploy Project Costing and electronic timesheet capabilities for remaining departments, including the Sheriff's Office.
- **Deliver New Automated Tools to Improve the County's Financial and Human Resources Systems** - ISD will continue its efforts to ensure responsible fiscal management of the County's budget and enhance operational efficiency. ISD will partner with the Auditor-Controller's Office, County Administrator's Office (CAO), the Human Resources Division, and representatives from several County departments to deliver expanded Financial and Human Resources System capabilities and improved business processes. The implementation of these new automated tools and improved business processes will deliver greater support for County departments, enhance fiscal insight and control, streamline administrative operations, and promote best practices for the County's administrative functions.

ISD will complete planned PeopleSoft enhancements for the Human Resources Division. The enhancements include Mobile Access, Enhanced Self-Service, Dashboards and Reporting, Electronic Forms, and Automated Onboarding/Offboarding.

ISD will Implement Project Costing and self-service electronic timesheet capabilities for the remaining departments, including the Sheriff's Office, with an estimated completion date of November 2020. Absence Management will have been implemented in 20 departments.

Start deployment of Electronic Time Clocks to interface with PeopleSoft in production capacity as requested by County departments.

# Information System Division Internal Service Fund

## General Government

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- **Expand and Enhance Technology Solutions for the County's Law and Justice Departments** - ISD will continue to take a multi-prong approach to improve the technologies that support the County's law and justice departments. ISD intends to:

1. Work in partnership with the Sheriff's Office to replace the existing Computer Aided Dispatch and Records Management System.
2. In partnership with the Probation Department, ISD will complete the implementation of a new Juvenile Justice Information System that was procured in 2019-2020.

This replaced the previous system that has been used by the Probation Department for over 15 years to administer Juvenile Justice cases and manage the Juvenile Detention Center.

3. Continue the process of evaluating options for the replacement of the County's Local Area Warrant System.
4. In partnership with the District Attorney's Office, begin the process of replacement of the District Attorney's case management system that has been in service for 10 years.
5. Continue the support of the Sheriff's Office technology team in the construction phase of the new medium security Jail construction project.

- **Continue Improvements to the County Website** - ISD will continue to make improvements to the County website with a focus on expanding on-line services available to the citizens of the County. ISD will be working in partnership with departments to identify government functions and information that would be of value to citizens and businesses if delivered via on-line interaction. Improvements include the implementation of a new citizen-engagement platform to improve citizen interaction with the County and expansion of the use of Chatbot technology to County departments to enhance the user experience for their websites.

- **Provide Technical Input for the Construction of the New Public Health Facility** - ISD will provide input and support on technology needs for the construction of the new Public Health facility.

- **Assist the Relocation of the Employment and Economic Development Department to a New Facility** - ISD will provide project oversight of the technology implementation for the relocation of the Employment and Economic Development Department to a new facility.

- **Assist Department of Child Support Services to Establish a South County Office** - ISD will provide project oversight of the technology implementation for a new South County facility for the Department of Child Support Services.

- **Provide Technical Assistance for the Relocation of the Mountain House Waste Water Facility** - ISD will provide technical assistance in the planning of Mountain House Community Services District's new Waste Water facility.

- **Phase II Microsoft Office 365 Suite** - As a County Enterprise application and an effort to further strengthen our common security posture, ISD will be implementing Phase II of the Microsoft Office 365 rollout in 2020-2021. The suite of tools that will be utilized includes Microsoft Teams as a County collaboration tool for document management and first level communication; One Drive for supporting the access and retrieval of Microsoft Office documents on Word, Excel, and PowerPoint on Smartphones; mobile device management that will properly secure remote devices in the event they are lost or stolen; and multi-factor authentication which will add additional levels of security to all remote devices.

This aligns with the goal of standardizing the County's infrastructure that will lead to greater efficiencies both from a cost and maintenance perspective. The goal is start this effort in July 2020 and have it completed by March 2021.

During 2019-2020, ISD accomplished the following activities in partnership with County departments:

- **Implemented Phase II of the County's Budget System** - ISD, in partnership with the CAO, implemented Phase II of the new County budgeting system. Phase II of the implementation delivered enhanced features that focused on:

1. Modernizing the process of determining position costs.
2. Establishing automated workflow for the budget development and approval process.
3. Streamlining the preparation of materials for the creation of the annual Proposed and Final Budget books.

- **Continued to Improve Security for the County's Critical Data and Technology Infrastructure** - ISD continued to enhance and augment existing security measures that ensures the County's critical information and systems are protected from cybersecurity threats, including the County's elections systems. ISD also

# Information System Division Internal Service Fund

## General Government

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developed a comprehensive multiyear cybersecurity strategy to address threats to critical County data and infrastructure.

- **Began Development of a Digital Services Strategy** - ISD contracted with a vendor to develop a three-year Digital Services Strategy to prioritize the technology efforts of the County. This project will be completed by August 2020.
- **Expanded and Enhanced Technology Solutions for the County's Law and Justice Departments** - ISD continued to improve the technologies that support the County's law and justice departments, which included:
  1. Partnering with the Sheriff's Office to replace aging equipment within the Sheriff's Office technology infrastructure.
  2. In partnership with the Probation Department, procured and began the implementation of the replacement for the Juvenile Justice Information System to manage Juvenile Justice cases and the Juvenile Detention Center. This project will be completed by June 2021.
  3. Began the process of evaluating options for the replacement of the County's Local Area Warrant System that has been in use by all law and justice agencies within the County for over 10 years.
  4. Fostering broader use of mobile computing devices by County law and justice departments.
  5. Supporting the Sheriff's Office technology team in the design phase of the new medium security Jail construction project.
- **Continued Improvements to the County Website** - ISD continued to make improvements to the County website with a focus on expanding on-line services available to the citizens of the County. ISD worked in partnership with County departments to identify government functions and information that would be of value to citizens and businesses if delivered via on-line interaction. ISD began the implementation of a new Content Management System which will become the platform for new website development and enhancements to the County's search engine. ISD also implemented Chatbot technology on the County's website to assist residents in retrieving information easily.
- **Support Technology and Business Process Optimization Efforts at San Joaquin General Hospital (SJGH)** - ISD provided support for implementation of enhanced budgetary controls for SJGH.

- **SJGH Time Clock Project** – ISD, in partnership with SJGH, is implementing a non-production pilot project to install time clocks for five pilot SJGH departments by June 30, 2020. The time clocks will interface with the County's PeopleSoft system. Staff from the pilot departments will be able to swipe their proximity cards to punch-in at the start of their shift and punch-out at the end of their shift. A test PeopleSoft environment will capture the punch times and will calculate the staff's timesheet hours for regular, overtime, and holiday pay.

The benefit of the project is to provide a more accurate time capturing method that can save staff time in processing timesheets.

- **Assisted Mountain House Community Services District in Relocating to a New Facility** - ISD provided project oversight of the technology implementation for the construction of the new Mountain House Town Hall, and provided technical support for the relocation of Mountain House Community Services District into the new Town Hall.
- **Moved County Departments to a Single Cloud-Based E-mail System** - ISD completed the migration of all County departments' e-mail services to a single cloud-based e-mail system, which will enable greater efficiencies and standardization for e-mail communications for County departments.
- **Completed the Agricultural Center Audio/Visual Equipment Upgrade** - ISD partnered with the General Services Department and the Agricultural Commissioner to upgrade the audio/visual equipment at the Agricultural Center.
- **Provided Project Oversight and Support for Department of Child Support Services (DCSS) Relocation** - ISD provided project oversight and support for the relocation of DCSS to their new facility.
- **COVID-19** - ISD continues to expand the County's infrastructure to accommodate virtual work and online services.

### County Systems Automation Reserve Fund

As of March 31, 2020, the County Systems Automation Reserve Fund (#10130) had a balance of \$3,939,927. The Fund is used for PeopleSoft upgrades. The 2020-2021 recommended budget includes \$450,000 in funding from the Fund.

### Information Systems Division – Internal Service Fund

As of March 31, 2020, the ISD ISF (#38024) had a balance of \$6,325,734. Program costs in the ISD ISF budget are fully

# Information System Division Internal Service Fund

## General Government

reimbursed by user departments for services rendered. In anticipation of the County budget experiencing the downturn of the economy during the fiscal year, returning the ISD fund

balance back to its original source, the General Fund, will be determined.

### Workload Data

	<u>Actual</u>			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Systems, Programming, &amp; Desktop Computer Labor Hours</b>	28,703	29,683	33,166	26,600	24,424
<b>Support Tickets</b>					
<i>Law &amp; Justice Applications</i>	N/A	2,864	2,378	1,150	1,350
<i>Administration Applications</i>	N/A	1,527	6,020	6,035	6,650
<i>Hardware</i>	N/A	N/A	3,482	3,740	3,800



# Office Automation Internal Service Fund

## General Government

Chris Cruz, Information Systems Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$2,150,941	\$2,500,880	\$2,121,842	\$2,121,842	(\$379,038)
Centrally-Budgeted Expenses	202,584	108,883	177,369	177,369	68,486
<b>Total Appropriations</b>	<b>\$2,353,525</b>	<b>\$2,609,763</b>	<b>\$2,299,211</b>	<b>\$2,299,211</b>	<b>(\$310,552)</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$4,423	\$5,000	\$4,200	\$4,200	(\$800)
Charges For Services	40,000	0	0	0	0
MP-TEL-RAD-PUR Revenue	2,199,011	2,604,763	2,295,011	2,295,011	(309,752)
<b>Total Revenues</b>	<b>\$2,243,434</b>	<b>\$2,609,763</b>	<b>\$2,299,211</b>	<b>\$2,299,211</b>	<b>(\$310,552)</b>
<b>Revenues Over/(Under) Expenses</b>	<b>\$110,091</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

- MP-TEL-RAD-PUR revenue includes charges to user departments for Office Automation operating costs.

### Purpose

The Office Automation Internal Service Fund (ISF) (#8250000000) provides a mechanism to consolidate the distribution and management of desktop and server technology costs, establishes an ongoing funding source to improve fiscal planning, and increases the County's responsiveness to rapid changes in technological opportunities. Currently, 2,591 County technology devices are funded by the Office Automation ISF. The program is coordinated through the Information Systems Division (ISD).

Benefits to the organization from the Office Automation ISF include:

- Fostering and supporting consolidated technology purchasing, which leverages the County's buying power and results in discounts for bulk purchases of computer equipment.
- ISD performing the administrative functions of placing orders and arranging delivery.
- The ISF mechanism allowing ISD to help departments plan and configure technology to maximize its value and effectiveness while maintaining Countywide standards.
- ISD advising on necessary hardware and software issues such as virus protection and network connectivity.

- ISD scheduling and coordinating timely system upgrades required for optimum service and remaining ahead of the demand for computing resources. Personal Computer (PC) hardware is currently on a four-year replacement cycle.

### Major Budget Changes

#### Services & Supplies

- \$29,036 Increase in finance payments.
- (\$408,074) Decrease in cost of software licenses due to Microsoft Enterprise Agreement (EA) software cost now included in ISD budget.

#### Centrally-Budgeted Expenses

- \$35,448 Increase in data processing charges.
- \$33,032 Cost Allocation Plan adjustment.

#### Revenues

- \$10,867 Increase in payments from user departments.
- (\$320,619) Reduction in revenue due to no longer charging for Microsoft EA.

# Office Automation Internal Service Fund

## General Government

### Program Discussion

During 2019-2020, the Office Automation ISF realized the following accomplishments:

- Replaced 633 aging County devices (PCs, servers, printers, and other computing devices).
- Ensured that desktop computers purchased through the ISF were “Gold” level certified by the Electronic Product Environmental Assessment Tool (EPEAT). EPEAT evaluates electronic products to determine power consumption and use of environmentally sensitive materials and packaging.

In 2020-2021, the Office Automation ISF will continue to support departments as they replace obsolete computer

equipment. Scheduled for replacement in 2020-2021 are 551 PCs and 140 Mobile Data Computers for Sheriff’s Office vehicles.

During 2020-2021, ISD will continue to encourage departmental participation in the PC Replacement Program. Through consolidated technology purchasing, the Program will continue to leverage its buying power and realize discounts for bulk purchases.

### Office Automation ISF Fund Balance

Program costs in the Office Automation ISF budget are fully reimbursed by user departments for services rendered. As of March 31, 2020, the Office Automation ISF (#38025) had a fund balance of \$334,712, which serves as the working capital for various programs/operations under the ISF.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Installation of Replacement Computers	444	270	368	633	551
Installation of New Computers Purchased by Departments	88	168	32	130	0
Purchase of Sheriff and Probation Mobile Data Computers	140	0	0	22	140
Purchase of Sheriff Body Worn Cameras	40	175	0	0	0

# Telephone Internal Service Fund

## General Government

Chris Cruz, Information Systems Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,599,327	\$1,877,278	\$1,683,391	\$1,683,391	(\$193,887)
Services & Supplies	3,022,900	3,430,647	3,741,543	3,741,543	310,896
Centrally-Budgeted Expenses	986,346	838,263	337,304	337,304	(500,959)
Depreciation	0	172,283	0	0	(172,283)
<b>Total Expenditures</b>	<b>\$5,608,573</b>	<b>\$6,318,471</b>	<b>\$5,762,238</b>	<b>\$5,762,238</b>	<b>(\$556,233)</b>
Expenditure Reimbursements	(192,785)	0	0	0	0
<b>Total Appropriations</b>	<b>\$5,415,788</b>	<b>\$6,318,471</b>	<b>\$5,762,238</b>	<b>\$5,762,238</b>	<b>(\$556,233)</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$7,414	\$9,618	\$9,618	\$9,618	\$0
Charges For Services	18,816	0	0	0	0
MP-TEL-RAD-PUR Revenue	5,655,204	6,308,853	5,752,620	5,752,620	(556,233)
<b>Total Revenues</b>	<b>\$5,681,434</b>	<b>\$6,318,471</b>	<b>\$5,762,238</b>	<b>\$5,762,238</b>	<b>(\$556,233)</b>
<b>Revenues Over/(Under) Expenses</b>	<b>(\$265,646)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>13.0</b>	<b>13.0</b>	<b>11.0</b>	<b>11.0</b>	<b>(2.0)</b>

### Purpose

The Telephone Internal Service Fund (ISF) (#8260000000) was created to centralize the cost of operating and maintaining the County's central telephone system. Services provided include telephone, voicemail, long distance, call routing, data communications, video communications, fiber optics, and data cabling services.

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$4,606) Salary and benefits adjustments.
- (\$185,281) Transfer of Accounting Technician and Administrative Assistant to Information Systems Division (ISD) budget.
- (\$4,000) Decrease in overtime costs.

#### Services & Supplies

- \$194,278 Increase in finance payments for Voice over Internet Protocol (VoIP) equipment.
- \$77,308 Increase in telephone usage and VoIP costs.
- \$51,352 Increase in equipment maintenance costs.
- \$45,000 Increase in professional services for social media archiving and security services.
- \$25,170 Increase in VoIP phone and security camera licenses costs.
- \$24,100 Increase in data circuit costs.
- (\$93,630) Decrease in software maintenance costs due to Office 365 fully funded from ISD budget.

# Telephone Internal Service Fund

## General Government

- (\$19,000) Decrease in directory listing costs.

### Centrally-Budgeted Expenses

- (\$499,898) Decrease in data processing charges.
- (\$35,911) Decrease in technology lease costs.
- \$32,492 Cost Allocation Plan adjustment.

### Other Charges

- (\$172,283) Decrease in depreciation expense.

### Revenues

- (\$556,233) Decrease in operating revenue reflecting a decrease in charges.

## Program Discussion

The 2020-2021 recommended budget for the Telephone Internal Service Fund (ISF) totals \$5,762,238, which is a decrease of \$556,233 from the 2019-2020 adjusted budget. The decrease is primarily due to the transfer of two positions to the ISD budget (-\$185,281), and decreases in data processing costs (-\$499,898) and software maintenance costs (-\$93,630), offset by an increase in finance payments for additional VoIP equipment (\$194,278).

The costs for Telephone ISF services are recovered through billings to departments based on the following categories:

Services	Cost
<b>Operating Expense</b>	<b>\$2,416,636</b>
<i>Salary and benefits for communications staff, equipment maintenance, and overhead.</i>	
<b>Centrex Line Rate</b>	<b>\$680,400</b>
<i>Monthly contract costs for Centrex telephone service.</i>	
<b>VoIP Line Rate</b>	<b>\$1,150,208</b>
<i>Monthly costs for VoIP telephone service.</i>	
<b>Department-Specific Expense</b>	<b>\$959,270</b>
<i>Charges based on actual usage by County departments for long distance, local toll calls, local calls, data circuit charges, data wiring, and maintenance costs for all routers and switches.</i>	
<b>Centrex Voice Mail</b>	<b>\$61,786</b>
<i>Charges specific to Centrex voice mail services provided to departments.</i>	

Services	Cost
<b>VoIP Voice Mail</b>	<b>\$88,864</b>
<i>Charges specific to VoIP voice mail services provided to departments.</i>	
<b>Internet &amp; Virtual Private Network</b>	<b>\$405,074</b>
<i>Charges specific to Internet and remote network access services provided to departments.</i>	

The following rates apply for 2020-2021 Telephone ISF services:

Line Rate	Monthly Cost Per Line		
	2019-2020	2020-2021	Change
<i>Centrex Telephone</i>	\$49.02	\$47.91	(\$1.11)
<i>VoIP Telephone</i>	\$38.52	\$32.94	(\$5.58)
<b>Mail Box</b>			
<i>Centrex Voice</i>	\$12.28	\$15.89	\$3.61
<i>VoIP Voice</i>	\$1.91	\$1.66	(\$0.25)
<b>Internet</b>	\$16.20	\$4.83	(\$11.37)
<b>Virtual Private Network</b>	\$13.00	\$13.00	\$0.00

Changes in line rates are offset by a decrease in overhead costs and the elimination of depreciation charges in 2020-2021.

### 2020-2021 Major Projects

- **Improve Security for the County's Confidential Data** – ISD staff will continue to strengthen the security surrounding the County's confidential data and associated systems. Additional security will be focused on securing critical infrastructure, including continued strengthening of the County's election systems.
- **Replace Aging VoIP Handsets** – ISD will replace VoIP handsets in the County Administration Building that are no longer supported by the manufacturer.

### 2019-2020 Accomplishments

- **Expanded Digital Telephone and Voice Mail Services** – ISD completed the deployment of VoIP technology to the Sheriff's Office, Emergency Medical Services, and to satellite County offices totalling over 900 phones.
- **Improved Security for the County's Confidential Data** – ISD staff procured and implemented new technologies to strengthen the security for the County's confidential data and associated systems.

# Telephone Internal Service Fund

## General Government

- **Migrated County Departments to a Cloud-based E-mail System** – ISD migrated the County to a single cloud-based e-mail system that included the migration of over 7,000 e-mail accounts.
- **Completed the Upgrade of Network Switches in the County Administration Building** – ISD replaced network switching equipment in the County Administration Building to insure reliability, improve speed, and strengthen security.

### Telephone ISF Fund Balance

Program costs in the Telephone ISF budget are fully reimbursed by user departments for services rendered. As of March 31, 2020, the Telephone ISF (#38026) had a fund balance of \$1,128,818, which serves as the working capital for various programs/operations under the ISF. For 2020-2021, no fund balance is designated for use in the recommended budget.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Repairs (Trouble Reports)	780	326	255	317	300
Telephone Moves & Changes (Number of Station Equipment/Sets)	955	1,661	2,281	2,112	2,100
Voice Mail (Orders/Changes/Programs)	396	695	762	564	575
Data Network Wiring Terminations	280	86	36	0	0
Building Security Access Requests & Changes	0	0	0	549	600
Work Orders (Processed & Completed)	2,887	2,682	3,331	3,552	3,575

# Radio Internal Service Fund

## General Government

Chris Cruz, Information Systems Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$2,418,646	\$3,035,677	\$2,902,338	\$2,902,338	(\$133,339)
Centrally-Budgeted Expenses	434,244	387,709	484,189	484,189	96,480
Capital Expenditures	77,305	0	86,000	86,000	86,000
Depreciation	0	26,118	0	0	(26,118)
<b>Total Appropriations</b>	<b>\$2,930,195</b>	<b>\$3,449,504</b>	<b>\$3,472,527</b>	<b>\$3,472,527</b>	<b>\$23,023</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$6,573	\$3,500	\$10,000	\$10,000	\$6,500
MP-TEL-RAD-PUR Revenue	3,180,651	3,446,004	3,402,479	3,402,479	(43,525)
<b>Total Revenues</b>	<b>\$3,187,224</b>	<b>\$3,449,504</b>	<b>\$3,412,479</b>	<b>\$3,412,479</b>	<b>(\$37,025)</b>
<b>Revenues Over/(Under) Expenses</b>	<b>(\$257,029)</b>	<b>\$0</b>	<b>\$60,048</b>	<b>\$60,048</b>	<b>\$60,048</b>

- MP-TEL-RAD-PUR revenue includes charges to user departments for Radio operating costs.

### Purpose

The Radio Internal Service Fund (ISF) (#827000000) exists for the purpose of centralizing the administrative and operating costs of the County's radio communications system. These costs are recovered through charges to user departments.

### Major Budget Changes

#### Services & Supplies

- \$50,987 Increase in equipment maintenance costs.
- \$35,162 Increase in finance payments and lease costs.
- (\$112,567) Decrease in administrative charges from Telephone ISF.
- (\$73,470) Decrease in radio equipment maintenance costs.
- (\$20,520) Decrease in cell phone costs.
- (\$15,375) Decrease in data circuit costs.

#### Centrally-Budgeted Expenses

- \$36,738 Increase in data processing charges.
- \$59,583 Cost Allocation Plan adjustment.

#### Revenues

- \$6,500 Increase in interest income.
- (\$43,525) Decrease in charges to user departments.

#### Capital Expenditures

- \$44,000 Air conditioning units (2).
- \$22,000 DC power plant.
- \$20,000 Portable generator.

### Program Discussion

During 2020-2021, departments have an operational need for a modest number of new radios and for the replacement of radio equipment seven years or older. The equipment recommended for purchase is consistent with the technologies identified in the County's Master Radio Communications Plan.

# Radio Internal Service Fund

## General Government

Below is a list of radio equipment recommended for purchase in 2020-2021 and the associated annual finance costs:

Department	Equipment	Costs
<b>Replacement Equipment</b>		
<i>District Attorney</i>	18 portables	\$18,605
<b>Probation</b>		
<i>Pretrial Monitoring</i>	3 portables	3,064
<i>Juvenile</i>	5 portables	5,107
<i>Adult &amp; Pretrial</i>	5 portables	5,107
<i>SB 678</i>	8 portables	8,172
<i>Administration</i>	2 portables	2,073
<i>AB 109</i>	1 portable	1,021
<i>Juvenile Detention</i>	15 portables	15,332
<b>Public Works</b>		
<i>Road Maintenance</i>	3 portables	2,920
<b>Sheriff</b>		
<i>Mountain House</i>	6 portables	5,995
<i>Administration</i>	3 portables	2,997
<i>Animal Control</i>	10 portables	9,992
<i>Patrol</i>	78 portables	77,936
<i>Patrol</i>	1 console	7,779
<i>Detectives</i>	1 portable	999
<i>Lathrop Police</i>	5 portables	4,996
<i>Unified Court</i>	2 portables	1,998
<b>New Equipment</b>		
<b>Probation</b>		
<i>Juvenile Detention</i>	4 portables	4,086
<b>Public Works</b>		
<i>Solid Waste</i>	12 portables	12,142
<b>Sheriff</b>		
<i>Patrol</i>	1 mobile	1,021
<b>Total</b>		<b>\$191,342</b>

During 2019-2020, the Information Systems Division (ISD) Communications Division focused on the following projects:

- **Relocating Public Safety Radio Equipment in the town of Clements** - ISD completed a Request for Proposal process to identify qualified vendors to relocate existing radio equipment to the newly-constructed radio facility at the Clements Fire Department. This project is scheduled to be completed by December 2020.

- **Upgrading Public Safety Radio Equipment Infrastructure** - ISD completed an agreement with Motorola Solutions to ensure the Public Safety Radio Equipment Infrastructure receives regularly-scheduled hardware and software upgrades to remain at manufacturer-supported levels for the next 10 years. The initial upgrade is scheduled to be completed in November 2020.

- **Encrypting the Sheriff's Radio Channels** - ISD worked with the manufacturer and the Sheriff's Office to identify the requirements and procedures necessary to encrypt Sheriff radio communications. This project will be completed as part of the Public Safety Radio Equipment Infrastructure Upgrade scheduled to be completed in November 2020.

- **Developing Cost Estimate and Timeline for Replacement of the County's Local Government and Interoperable Radio Equipment** - ISD has worked with radio manufacturers and identified the cost estimate and timeline required to replace the County's Local Government and Interoperable Radio equipment. By December 2021, ISD will seek to identify funding sources to complete this project or determine appropriate alternatives.

During 2020-2021, ISD intends to:

- **Relocate Public Safety Radio Equipment in the town of Clements** - ISD will issue a contract to relocate existing Public Safety Radio Equipment in the town of Clements.

- **Upgrade Public Safety Radio Equipment Infrastructure** - ISD will complete the upgrade of the Public Safety Radio Equipment Infrastructure with the manufacturer, and the first responders in the County, to ensure minimal impact to system users.

- **Encrypt the Sheriff's Radio Channels** - ISD will work with the manufacturer and the Sheriff's Office to implement radio encryption technology for the Sheriff's Office when the Public Safety Radio Equipment Infrastructure upgrade is complete.

- **Develop Cost Estimate and Timeline for Replacement of the County's Local Government and Interoperable Radio Equipment** - ISD will evaluate funding options to complete the replacement of the County's Local Government and Interoperable Radio equipment based on the cost projections identified in 2019-2020.

- **Adoption of FirstNet Communications Technology** - ISD will seek out opportunities to expand and leverage FirstNet communications technology as a component of the County's public safety communications system.

# ***Radio Internal Service Fund***

## ***General Government***

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### **Radio ISF Fund Balance**

The majority of the program costs in the Radio ISF budget are reimbursed by user departments for services rendered. As of

March 31, 2020, the Radio ISF (#38021) had a fund balance of \$217,191, which serves as the working capital for various programs/operations under the ISF. For 2020-2021, \$60,048 is designated for use in the recommended budget.



# 1015400000—Economic Promotion

## General Government

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$8,710	\$127,289	\$245,000	\$245,000	\$117,711
Other Charges & Uses	184,223	166,934	249,781	174,781	7,847
<b>Total Appropriations</b>	<b>\$192,933</b>	<b>\$294,223</b>	<b>\$494,781</b>	<b>\$419,781</b>	<b>\$125,558</b>
<b>Earned Revenues By Source</b>					
Taxes	\$655,105	\$680,000	\$510,000	\$510,000	(\$170,000)
<b>Total Revenues</b>	<b>\$655,105</b>	<b>\$680,000</b>	<b>\$510,000</b>	<b>\$510,000</b>	<b>(\$170,000)</b>
<b>Net County Cost</b>	<b>(\$462,173)</b>	<b>(\$385,777)</b>	<b>(\$15,219)</b>	<b>(\$90,219)</b>	<b>\$295,558</b>

- The Net County Cost in 2020-2021 (-\$90,219) represents the unprogrammed amount of the estimated Transient Occupancy Tax revenue that will be transferred to the Economic Development Reserve for tourism and economic development-related programs/activities.

### Purpose

The Economic Promotion budget provides funding for the County’s economic development, as well as for Non-County Organizations (NCOs) to promote tourism, economic development, and revitalization within San Joaquin County. The Economic Promotion budget is funded by the Transient Occupancy Tax (TOT) revenues from the unincorporated areas of the County. A TOT is levied at 12% on the privilege of occupying a room in a hotel, inn, or other lodging, and requires voter approval.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the County Administrator’s Economic Promotion focuses on carrying out the Board’s June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

The following highlights provide an overview of several projects, programs, and activities related to Economic Promotion that are administered by the County Administrator’s Office.

### Promote Economic Development

Economic Promotion focuses on the Board strategic priority, which is to promote economic development by:

1. Enhancing the County’s economic development and tourism-related marketing efforts, to include an increase in web-based marketing to potential visitors, businesses, and customers.
2. Strengthening partnerships with educational institutions and programs with a focus on preparing students to meet local workforce demands.
3. Continuing to work collaboratively with cities within the County, Special Districts, and other key stakeholders to enhance San Joaquin County competitiveness as the location of choice.

### Major Budget Changes

#### Service and Supplies

- \$140,000 Increase in promotion costs for tourism-related activities.
- (\$22,289) Decrease in professional services costs for economic development support.

# 101540000—Economic Promotion

## General Government

### Other Charges

- \$5,000 Increase in NCO funding.

### Revenues

- (\$170,000) Decrease in TOT revenue.

## Program Discussion

The 2020-2021 recommended budget for Economic Promotion totals \$419,781, which is an increase of \$125,558 from the 2019-2020 adjusted budget. The increase primarily reflects increased costs for the promotion of tourism-related activities in the County (\$140,000).

### 2019 Novel Coronavirus (COVID-19)

TOT revenues declined unexpectedly in the third and fourth quarters of 2019-2020 due to the aggressive public health response to the COVID-19 pandemic. Social-distancing policies, restrictions on non-essential travel, stay-at-home measures, and related indirect effects stemming from the closure of restaurants and wineries, parks, sports and entertainment venues and the curtailment of public gatherings have resulted in severe impacts to the hotel and lodging industry in the County. For some businesses, this has meant low occupancy rates or temporary closures, and has resulted in layoffs and furloughs for employees.

As the economy reopens and conditions gradually return to normal, conditions for the hospitality industry are expected to improve. This may not rapidly occur and a 25% decrease in TOT revenue is projected for 2020-2021. Due to this uncertainty, the Department will closely monitor economic activity in 2020-2021 and may return to the Board for midyear budget adjustments.

### Tourism Industry Recovery

In 2020-2021, staff will collaborate and partner with the Conference and Visitor Bureaus, Chambers of Commerce, the cities within the County, Special Districts, San Joaquin Valley partners, local educational institutions, and others to support the development of Countywide tourism-related activities and marketing strategies focused on efforts to support the economic recovery of the tourism and hospitality industry in the County. Many of these County partners have also been impacted by the pandemic, resulting in reduced marketing budgets and decreased staffing. The recommended budget includes \$150,000 to supplement promotional efforts to increase awareness and drive visitation to Countywide activities, businesses, destinations and events through various

strategies, including web-based marketing, social media, radio, television, and print advertisements.

A total of \$419,781 will be used to fund the County's 2020-2021 Economic Development program, with the remaining \$90,219 to be reserved for future economic development and tourism activities/programs. Following is a summary of the TOT contributions to the Economic Development Reserve:

### Transient Occupancy Tax – Reserve Contributions Projected 2020-2021 Year-End Balance

2015-2016	Actual	\$189,189
2016-2017	Actual	236,308
2017-2018	Actual	357,480
2018-2019	Actual	462,173
2019-2020	Estimate	386,466
2020-2021	Recommended	90,219
<b>Total</b>		<b>\$1,721,835</b>

Highlights of the 2020-2021 Economic Development program include:

- Working in partnership with the Employment and Economic Development Department (EEDD), the San Joaquin (SJ) Partnership, the San Joaquin County Economic Development Association (EDA), Innovation Hub San Joaquin (iHub SJ), Chambers of Commerce, the cities within the County, Special Districts, San Joaquin Valley partners, local educational institutions, and others on economic development activities.
- Marketing efforts with a focus on Bay Area and Silicon Valley businesses looking to expand or relocate, in collaboration with the SJ Partnership, the cities within the County, and other economic development partners.
- Collaborating with Conference and Visitor Bureaus, Chambers of Commerce, the cities within the County, Special Districts, San Joaquin Valley partners, local educational institutions, and others for purposes of promoting Countywide tourism-related activities and developing marketing strategies to support the economic recovery of the tourism industry.
- During 2019-2020, staff began work on the development of the next phase of Economic Development webpages to provide additional content and improve the overall user experience of the County's Economic Development web presence. The project is expected to be completed in early 2020-2021 and includes the creation of new pages that collectively serve as a marketing platform to welcome business visitors to our community and effectively communicate key San Joaquin County strengths.

# 1015400000—Economic Promotion

## General Government

### Non-County Organizations (NCOs)

The County provides funding to NCOs to promote economic development within the County. Funding for 2019-2020 and the recommended amount for 2020-2021 are as follows:

<b>Non-County Organization</b>	<b>2019-2020 Approved</b>	<b>2020-2021 Recommended</b>
iHub San Joaquin	\$10,000	\$10,000
SJ County EDA	50,000	55,000
SJ Partnership	50,000	50,000
<b>Total</b>	<b>\$110,000</b>	<b>\$115,000</b>

#### *iHub SJ - \$10,000*

In November 2014, the State of California Governor’s Office of Business and Economic Development, San Joaquin County, and the Business Incubator and Innovation Center (an affiliation of AG Spanos Company), entered into a Memorandum of Understanding constituting iHub SJ as an official iHub of the State’s Innovation Hub Program. The goal of iHub SJ is to stimulate economic development and job creation by leveraging assets to provide an innovation platform for startup businesses, economic development organizations, business groups, and financial institutions. iHub SJ focuses on three areas, Health Care, Sustainable Construction Technology, and Agricultural Technology, and is solely within the boundaries of the County.

iHub SJ is supported by a Senior Deputy County Administrator who serves as the County’s primary contact for iHub SJ related matters. In-kind support for 2019-2020 is projected to total \$3,000. In addition to the County’s in-kind support, the recommended budget includes \$10,000 in support of iHub SJ for 2020-2021. The iHub’s 5th Annual H<sub>2</sub>O Hackathon was canceled in March 2020 due to the COVID-19 pandemic, however a number of other events were held in 2019-2020 in partnership with the iHub. Approximately 75 participants attended the Ag-Tech Summit developed by Café Coop, which included panel discussions with farmers and industry experts, emerging and experienced entrepreneurs, and a pitch competition judged by investors from a technology company. The iHub Leadership Series included two cybersecurity awareness events attended by more than 250 participants, The Human Firewall and Industrial Control Systems/Supervisory Control and Data Acquisition Connected Systems: Guarding Against Cyber Breaches.

During 2020-2021, iHub SJ’s goals are to work collaboratively with regional partners to sponsor industry specific events with a focus on agriculture, health care, and construction, including continuation of the Leadership Series

and the development of a construction trades event focused on emerging technologies in the industry and employment recruitment; leverage existing relationships with organizations already operating in the innovation space, including Launch Pad, Café Coop, the Small Business Development Center, local and State Chambers of Commerce, and educational institutions; and to facilitate the 2021 H<sub>2</sub>O Hackathon to be hosted by the San Joaquin County Office of Education.

#### *San Joaquin County EDA - \$55,000*

The EDA is San Joaquin County’s lead agency for promoting Countywide economic development, job creation, business retention and expansion, and entrepreneurial development. The EDA works in partnership with all public and private sector economic development and business service organizations to create a friendlier business climate that is more responsive and supportive to the needs of the County’s key and emerging industry sectors. One of EDA’s primary objectives is to promote economic vitality and diversification Countywide. EDA is responsible for developing the County’s Comprehensive Economic Development Strategy (CEDS). Infrastructure projects contained in the CEDS are eligible for Federal funding. The recommended budget includes \$55,000 for collaborative economic development efforts, lead by EDA in partnership with the County’s Economic Development Program and regional partners; with focused efforts on business retention, expansion, job creation, and business attraction.

#### *San Joaquin Partnership - \$50,000*

The SJ Partnership is a public-private partnership between private stakeholders, the County, and its incorporated cities focusing on Countywide economic development. Since 1996-1997, funding for the SJ Partnership has been considered on a year-to-year basis. The recommended budget includes a \$50,000 contribution to the SJ Partnership for economic development and marketing activities focused on attracting new and expanding businesses to the County.

## Supplemental Requests

#### *iHub SJ*

A funding request totaling \$20,000 has been submitted by iHub SJ for the promotion of economic development and job creation by leveraging assets to provide an innovation platform for startup businesses, economic development organizations, business groups, and financial institutions. Funding of \$10,000 has been included in the recommended budget. Once a majority of the cities routinely participate financially, the request for additional funding will be reconsidered.

# 1015400000—Economic Promotion

## General Government

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The additional funding request of \$10,000 has not been included in the 2020-2021 recommended budget.

### *EDA*

A funding request totaling \$110,000 has been submitted by EDA to assist in business retention, expansion, attraction activities, and for a consultant to study the creation and implementation of an Enhanced Infrastructure Financing District (EIFD) to provide an option for funding critical regional infrastructure. Funding of \$55,000 has been included in the recommended budget. The request for additional funding will be reconsidered when a specific EIFD project strategy is presented.

The additional funding request of \$55,000 has not been included in the 2020-2021 recommended budget.

### *SJ Partnership*

A funding request totaling \$60,000 has been submitted by SJ Partnership to assist in business retention, expansion, and attraction activities, and to support a Regional Investor Summit that would attract investors, site selectors, and industry and project consultants. Funding of \$50,000 has been included in the recommended budget. The request for additional funding to support a Regional Investor Summit will be reconsidered once a comprehensive plan for the event is developed and a budget is identified.

The additional funding request of \$10,000 has not been included in the 2020-2021 recommended budget.

# 1016000000—Surveyor

## General Government

Kris Balaji, Public Works Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$194,137	\$286,660	\$332,658	\$332,658	\$45,998
Services & Supplies	258,633	195,929	155,309	155,309	(40,620)
Centrally-Budgeted Expenses	2,519	26,558	8,115	8,115	(18,443)
<b>Total Expenditures</b>	<b>\$455,289</b>	<b>\$509,147</b>	<b>\$496,082</b>	<b>\$496,082</b>	<b>(\$13,065)</b>
Expenditure Reimbursements	(2,433)	(6,000)	(6,000)	(6,000)	0
<b>Total Appropriations</b>	<b>\$452,856</b>	<b>\$503,147</b>	<b>\$490,082</b>	<b>\$490,082</b>	<b>(\$13,065)</b>
<b>Earned Revenues By Source</b>					
Charges For Services	\$409,341	\$363,975	\$370,000	\$370,000	\$6,025
<b>Total Revenues</b>	<b>\$409,341</b>	<b>\$363,975</b>	<b>\$370,000</b>	<b>\$370,000</b>	<b>\$6,025</b>
<b>Net County Cost</b>	<b>\$43,515</b>	<b>\$139,172</b>	<b>\$120,082</b>	<b>\$120,082</b>	<b>(\$19,090)</b>
<b>Staffing</b>					
Allocated Positions	2.0	2.0	2.0	2.0	0.0
Temporary (Full-Time Equivalent)	0.8	0.8	0.8	0.8	0.0
<b>Total Staffing</b>	<b>2.8</b>	<b>2.8</b>	<b>2.8</b>	<b>2.8</b>	<b>0.0</b>

### Purpose

The Public Works Surveyor Division processes maps and legal descriptions for subdivisions, annexations, and County acquisitions and abandonments. The Division also ensures that mandated functions, such as review of final maps, parcel maps, records of survey, corner records, certifications of correction, and legal descriptions are in conformance with State law and local ordinances. The review of final maps includes those prepared for the seven cities in San Joaquin County.

The Division also provides services to the public and various governmental agencies. This involves preparation, review, and maintenance of legal descriptions and records related to over 200 agencies, special districts, and the Local Agency Formation Commission (LAFCo).

### Major Budget Changes

#### Salaries & Employee Benefits

- \$45,998 Salary and benefits adjustments.

#### Services & Supplies

- \$5,000 Increase in development services costs.
- (\$45,000) Decrease in allocated administration costs.

#### Centrally-Budgeted Expenses

- (\$19,796) Cost Allocation Plan adjustment.

#### Revenues

- \$6,025 Increase in survey services.

### Program Discussion

The 2020-2021 recommended budget for the Surveyor Division totals \$496,082, which is a decrease of \$13,065 from the 2019-2020 adjusted budget. This decrease is primarily due to a decrease in allocated administration costs (-\$45,000) and a Cost Allocation Plan adjustment (-\$19,796), partially offset by salary and benefits adjustments (\$45,998). The Net

# 1016000000—Surveyor

## General Government

County Cost, which covers costs associated with assisting the public and other agencies with land surveyor records research and responding to general inquiries, is \$19,090 less than the previous year. The Division provides mandated services, which are generally offset by fee-based revenue.

In 2020-2021, the Division will continue to scan archival documents and maps that are stored in the Public Works vault into the document management system. Development activities and revenues have increased sufficiently to continue to support this activity.

### Survey Monument Preservation Trust

In April 2006, the Board of Supervisors established the Survey Monument Preservation Trust Fund (#10601). The

purpose of the Fund is to set aside a portion of revenue collected from the recordation of certain deeds in order to repair and replace the survey monuments used by professional surveyors. As of March 31, 2020, the fund balance was \$157,016.

### 2019 Novel Coronavirus (COVID-19)

Economic impacts from the COVID-19 pandemic could result in reduced land development activity in the County, which would likely translate to lower than projected Surveyor Division revenues, although actual impacts are unknown at this time. A low to moderate revenue impact is anticipated depending on the severity and duration of economic impacts from the pandemic.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Mandated Time Frames</b>					
<i>Certificates of Correction</i>	3	5	5	8	5
<i>Corner Records</i>	400	314	181	250	300
<i>Final Maps</i>	18	30	34	32	32
<i>Parcel Maps</i>	15	21	20	20	20
<i>Records of Survey</i>	38	65	54	50	50
<b>Legal Descriptions</b>					
<i>Abandonments &amp; Acquisitions</i>	7	5	9	9	10
<i>County Applications</i>	33	44	57	55	55
<i>LAFCo</i>	5	2	3	4	4
<i>Strip Dedications &amp; Easement</i>	6	4	9	10	10

# 1016500000—Rebates/Refunds & Judgments/Damages

## General Government

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Services &amp; Supplies</i>	\$13,441	\$10,000	\$10,000	\$10,000	\$0
<i>Other Charges &amp; Uses</i>	0	1,000	1,000	1,000	0
<i>Total Appropriations</i>	\$13,441	\$11,000	\$11,000	\$11,000	\$0
<b>Earned Revenues By Source</b>					
<i>Miscellaneous Revenues</i>	\$1,809	\$0	\$0	\$0	\$0
<i>Total Revenues</i>	\$1,809	\$0	\$0	\$0	\$0
<b>Net County Cost</b>	\$11,632	\$11,000	\$11,000	\$11,000	\$0

### Purpose

This budget provides funds to cover refunds of unused licenses, permits, and other payments erroneously made into the County's General Fund. It also provides funds for the payment of miscellaneous judgments and damages that may be assessed against the County during the fiscal year.

### Program Discussion

It is recommended that an appropriation of \$11,000 be established for 2020-2021; \$10,000 for rebates and refunds, and \$1,000 for judgments and damages. The actual amount required to support the payment of refunds and judgments fluctuates considerably from year-to-year. In 2019-2020, required payments are estimated at \$11,000, while the prior four years averaged approximately \$35,234. It may become necessary to appropriate additional funds during the course of the year as the exact number and amount of claims and refunds are made.

# 1018000000—Equipment Use Allowance/Debt Service

## General Government

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Services &amp; Supplies</i>	\$15,262,967	\$14,161,224	\$8,278,250	\$8,278,250	(\$5,882,974)
<i>Total Expenditures</i>	\$15,262,967	\$14,161,224	\$8,278,250	\$8,278,250	(\$5,882,974)
<i>Expenditure Reimbursements</i>	(1,128,304)	(6,567,332)	(6,650,865)	(6,650,865)	(83,533)
<i>Total Appropriations</i>	\$14,134,663	\$7,593,892	\$1,627,385	\$1,627,385	(\$5,966,507)
<b>Earned Revenues By Source</b>					
<i>Miscellaneous Revenues</i>	\$10,093	\$0	\$0	\$0	\$0
<i>Operating Transfers In</i>	8,568,502	7,593,892	1,624,885	1,624,885	(5,969,007)
<i>Total Revenues</i>	\$8,578,595	\$7,593,892	\$1,624,885	\$1,624,885	(\$5,969,007)
<b>Net County Cost</b>	\$5,556,068	\$0	\$2,500	\$2,500	\$2,500

### Purpose

The Equipment Use Allowance and Debt Service budget provides funds for debt payments on County projects financed on a long-term basis and for interest owed on short-term borrowing. This budget also receives a cost reimbursement for building depreciation allowed by the Federal Cost Allocation Plan.

- (\$90,158) Decrease in transfer from County Facilities Fee Program reflecting one-time adjustment in 2020-2021.

### Major Budget Changes

#### Services & Supplies

- \$1,812,750 Increase in Administration Building debt payment.
- (\$5,878,849) Decrease in Hospital debt payment due to payoff.
- (\$1,819,375) Decrease in Capital Facilities project debt payment due to payoff.

#### Expenditure Reimbursements

- (\$83,533) Cost Allocation Plan adjustment.

#### Operating Transfers

- (\$5,878,849) Decrease in transfer from Hospital due to Hospital debt payoff.

### Program Discussion

In 2020-2021, the recommended budget includes a one-time Net County Cost of \$2,500 for audit fees from the Auditor Controller's Office.

#### Long-Term Financing (\$8,269,750)

This budget funds the annual debt payments for the Certificates of Participation (COPs) and Revenue Bonds issued to finance the County's major capital improvements, construction, and acquisition projects. Since 1989, the County has issued seven COPs, one of which is still outstanding:

- **Administration Building Project (General Government COP):** In 2017, \$79.9 million was issued at 2.155% to: 1) refinance the Series 2007 Administration Building COPs that financed the construction of a 250,000 square foot, six-story County Administration Building in Downtown Stockton; and 2) refinance the callable portion of the 1993 COPs, consisting of the Jail and Sheriff's Operation Center project and the Family Support Building addition project. The refinancing reduced the County's total present value debt obligations by \$26.8 million, and reduced the final maturity date from November 2035 to November 2031, with an average annual debt payment of \$7.1 million through 2019-2020 and \$8.3 million



# 1018000000—Equipment Use Allowance/Debt Service

## General Government

beginning 2020-2021 to the final maturity date of November 15, 2031.

In 1993, \$110.7 million was issued to refinance previous COPs issued in 1989 and finance the construction of a \$3.0 million Capital Facilities project. Additionally in 1993, \$106.7 million was issued to finance the construction of a 245,000 square foot, three-story replacement hospital on Mathews Road. Both COPs were subsequently refinanced in later years and final maturity for both COPs occurred in 2019-2020.

In addition to the General Government COP, the County has two outstanding Revenue COPs whose debt payments are funded by user fees and are accounted for in its respective operating budgets. They include:

- **Solid Waste Facilities Project:** In 2014, \$36.8 million was issued at 3.697% to: 1) fund the construction of modules and gas collection systems at the County landfill facilities; and 2) refinance the 1991 and 1994 Solid Waste Facilities Project Revenue COPs. This project was refinanced at 2.888% in 2014 and reduced the Solid Waste Enterprise total debt obligations by approximately \$1.2 million. Final maturity will occur in April 2022 with an average annual debt payment of \$1.9 million. In November 2017, a material change took place. S&P Global Ratings upgraded this COPs' credit rating from A- to A. S&P Global upgraded rating was based on the Solid Waste system's improved debt service coverage and liquidity for the prior three years.
- **Wastewater Conveyance Project:** In 2018, \$7.8 million was issued at 4.244% to: 1) refinance the Series 2007 County Service Area (CSA) 31 Wastewater

project COPs and refinance the 2007 County General Fund loan to CSA 31 that funded the construction of sanitary sewer improvements in CSA 31 (Flag City), the connection fee to the City of Lodi's wastewater treatment facility, and the decommission of the Flag City Treatment Plant. The refinancing reduced the CSA 31 Wastewater project total present value debt obligations by \$961,260, and extended the final maturity date from August 2037 to August 2042 with an average annual debt payment of \$538,501.

### Cost Allocation Plan/Capital Facilities Fee Transfer

This budget also provides an accounting mechanism by which various depreciation charges can be made by the Auditor-Controller's Office according to the Federal Cost Allocation Plan. It is anticipated that during 2020-2021, \$6,614,208 of depreciation expense will be credited to this budget from other County entities, which is an increase of \$83,533 in reimbursement from the 2019-2020 adjusted budget.

A portion of the fees collected in the County Facilities Fee (CFF) Program is allocated to pay for debt service payment on the Administration Building. The 2020-2021 debt payment on the outstanding COP will be \$8,269,750. The CFF portion (29.85%) of the debt service payment would be \$2,468,520. However, in 2020-2021, the total sum of the Cost Allocation Plan reimbursement (\$6,614,208) allocated to the debt service budget and the full CFF portion of revenue allowed (\$2,468,520) would exceed the net cost of operations. As a result, the recommended budget includes a decrease of \$843,635 in the transfer of revenue from the County Facilities Fee Program in 2020-2021, for a total of \$1,624,885 in offsetting CFF revenue.

### Long-Term Debt Financing as of June 30, 2020

	Original Debt Service	Remaining Debt Service	Average Annual Payment	Maturity Date
<b>General Government - Project</b>				
<i>2017 Certificates of Participation</i>				
<i>County Administration Building</i>	\$110,355,307	\$90,823,450	\$8,256,287	November 15, 2031
<b>Subtotal</b>	<b>\$110,335,307</b>	<b>\$90,823,450</b>	<b>\$8,256,287</b>	
<b>Funded by User Fees - Projects</b>				
<i>2014 Certificates of Participation</i>				
<i>Solid Waste Facilities</i>	\$14,862,356	\$3,576,500	\$1,857,794	April 1, 2022
<i>2018 Revenue Bonds</i>				
<i>CSA 31 (Flag City) Wastewater</i>	13,462,531	12,384,525	538,501	August 1, 2042
<b>Subtotal</b>	<b>\$28,324,887</b>	<b>\$15,961,025</b>	<b>\$2,396,295</b>	
<b>Total Debt</b>	<b>\$138,660,194</b>	<b>\$106,784,475</b>	<b>\$10,652,582</b>	

# Fleet Services Internal Service Fund

## General Government

Kris Balaji, Public Works Director

Internal Service Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$3,329,436	\$3,786,894	\$4,149,699	\$4,149,699	\$362,805
Services & Supplies	5,421,022	6,105,875	6,315,836	6,315,836	209,961
Centrally-Budgeted Expenses	542,867	480,866	703,556	703,556	222,690
Other Charges & Uses	15,003	2,509,586	2,509,586	2,509,586	0
Capital Expenditures	3,569,119	2,700,995	2,000,000	2,000,000	(700,995)
<b>Total Appropriations</b>	<b>\$12,877,447</b>	<b>\$15,584,216</b>	<b>\$15,678,677</b>	<b>\$15,678,677</b>	<b>\$94,461</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$284,000	\$220,000	\$220,000	\$220,000	\$0
Aid From Other Governments	221,810	310,000	282,500	282,500	(27,500)
Miscellaneous Revenues	208,037	200,000	200,000	200,000	0
Operating Transfers In	409	0	0	0	0
MP-TEL-RAD-PUR Revenue	11,871,591	12,095,000	12,380,000	12,380,000	285,000
<b>Total Revenues</b>	<b>\$12,585,847</b>	<b>\$12,825,000</b>	<b>\$13,082,500</b>	<b>\$13,082,500</b>	<b>\$257,500</b>
<b>Revenues Over/(Under) Expenses</b>	<b>\$291,600</b>	<b>\$2,759,216</b>	<b>\$2,596,177</b>	<b>\$2,596,177</b>	<b>(\$163,039)</b>
<b>Staffing</b>					
Allocated Positions	37.0	38.0	40.0	40.0	2.0
Temporary (Full-Time Equivalent)	0.7	0.0	0.0	0.0	0.0
<b>Total Staffing</b>	<b>37.7</b>	<b>38.0</b>	<b>40.0</b>	<b>40.0</b>	<b>2.0</b>

- MP-TEL-RAD-PUR Revenue includes Charges for Services for Fleet.

## Purpose

The Fleet Services Division (#819000000) provides fleet management services including replacement planning, financing, market research, specification development, and disposal. The Division's daily mission includes managing assigned vehicles, operating departmental motor pools, and performing maintenance and repair services, along with outfitting special application and patrol vehicles. The Division also operates several fueling stations throughout the County and is committed to adopting sustainability measures, such as electric vehicles, renewable fuels, and solar technology.

Fleet Services is an Internal Service Fund (ISF) that provides transportation services such as vehicles, fuel, repairs, and parts, and charges departmental customers for these services.

Fleet costs, including asset replacement, are grouped into asset classes, and rates are set to recover those costs. These rates are analyzed and adjusted annually using historical and projected costs. Additional revenue is generated from maintenance, repairs, and fuel provided to non-fleet managed vehicles.

## Major Budget Changes

### Salaries & Employee Benefits

- \$169,278 Salary and benefits adjustments.
- \$97,061 Add an Equipment Parts Room Supervisor.
- \$92,566 Add an Automotive Mechanic.
- \$3,900 Increase in overtime.

# Fleet Services Internal Service Fund

## General Government

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### Services & Supplies

- \$200,000 Increase in automotive parts costs.
- \$160,000 Increase in software costs for system upgrade.
- \$94,350 Increase in communication costs.
- \$40,000 Increase in outside repair costs.
- \$35,000 Increase in fuel costs.
- \$17,000 Increase in tank maintenance costs.
- \$12,361 Increase in Department allocated costs.
- \$11,000 Increase in travel costs.
- \$10,000 Increase in uniform costs.
- (\$250,000) Decrease in capital equipment lease costs.
- (\$75,000) Decrease in structural project costs.
- (\$52,000) Decrease in equipment maintenance costs.

### Centrally-Budgeted Expenses

- \$183,450 Increase in Casualty insurance costs.
- \$29,924 Increase in data processing charges.
- \$9,655 Cost Allocation Plan adjustment.

### Revenues

- \$500,000 Increase in mileage revenue.
- \$35,000 Increase in sublet repair revenue from non-fleet managed vehicles.
- \$32,500 Increase in revenue from Electric Vehicle grant revenues.
- \$30,000 Increase in parts revenues.
- \$20,000 Increase in miscellaneous income revenue.
- (\$300,000) Decrease in lease and rent revenues.
- (\$60,000) Decrease in Federal grant revenue for Congestion Mitigation and Air Quality.

### Capital Expenditures

- \$1,989,000 Replacement vehicles (44).
- \$11,000 Vehicle Lift at Rigging Shop.

### Program Discussion

The 2020-2021 recommended Fleet Services budget totals \$15,678,677 which is an increase of \$94,461 from the 2019-2020 adjusted budget. This change is primarily due to increases in costs for automotive parts (\$200,000), the addition of an Equipment Parts Room Supervisor and Automotive Mechanic (\$189,627), an increase in Casualty insurance costs (\$183,450), salary and benefits adjustments (\$173,178), increases in software costs (\$160,000), communications (\$94,350), and an increase in outside repairs (\$40,000), partially offset by decreases in capital expenditures (-\$700,995), and capital equipment lease costs (-\$250,000). The projected year-end fund balance (#38019) at June 30, 2020, is \$13.1 million.

The recommended budget adds an Equipment Parts Room Supervisor and an Automotive Mechanic to bolster law enforcement build-up operations, which has seen significant growth with new funding laws. The Equipment Parts Room Supervisor is needed due to continued increase in workload for vehicle maintenance and the outfitting of new law enforcement units. Parts procurement is a vital role in the functioning of all fleet services; therefore, efficient, timely, and accurate parts procurement is essential. The Automotive Mechanic position is needed due to increased and sustained workload for law enforcement, Sheriff, District Attorney, and Probation vehicles. In addition to increased workload, these customers require installation of increasingly complex and sophisticated systems that have proven to be labor intensive.

### Electric Vehicle (EV) Technology

Fleet Services asset management strategy is to replace older, higher operating cost vehicles with newer, cleaner, and more efficient vehicles. Compact and intermediate cars feature hybrid, plug-in, and EV technologies that help reduce carbon fuel use and produce cleaner air. Currently, the County fleet has 22 plug-in EVs and 12 pure battery EVs, along with 45 EV charging stations, 11 of which are solar. In 2020-2021, the Department plans to add 6 additional EVs to its inventory. To help offset the cost of those new technologies and speed up adoption in the community at large, grant funding is leveraged to finance acquisitions. In addition, grants are used to develop fleet EV charging infrastructure. In 2020-2021, the Division plans to replace 44 of the most aged, repair-intensive, or mission-critical vehicles from the replacement reserves. The County's commitment to clean air was

# Fleet Services Internal Service Fund

## General Government

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recognized in 2019 by being named one of the Top 20 Green Fleets in the nation.

### Patrol Cars

The Sheriff was successful in having the mileage limit increased by the Deputy Sheriffs' Association for patrol cars on a trial basis from 110,000 to 135,000 miles. While this will eventually generate cost savings, those savings will not occur during 2020-2021. The delay in realizing savings is due to Fleet's requirement to purchase patrol cars in advance. Advance purchasing is necessary due to manufacturer lead time and the time required to outfit new patrol cars in order to have them ready to replace older cars as they hit the mileage limit. Because of the inability of Chrysler Corporation to supply Dodge patrol cars to meet demand, the County's replacement patrol car inventory is near depletion, and the Sheriff is evaluating other patrol car options. Once a decision is made regarding which new patrol car will be used, all funds projected for 2020-2021 will be used to replenish patrol car inventory to replace high mileage units currently in service.

### SJCars Fleet Sharing Program

Fleet Services operates seven rental sites that share over 170 cars, trucks, and vans serving 19 County departments. The SJCars system provides the convenience of checking vehicle availability, choosing a vehicle to match the need, and enhanced trip planning. It can also enable departments to identify opportunities for scheduling, ride sharing, multiple trip reservations, and dispatching to increase asset utilization, reduce fleet size, and encourage innovative resource use. As of March 31, 2020, the system has allowed for a fleet reduction of 59 vehicles.

### Fleet Innovation Team

Innovation in processes and technology creates opportunities for improvement in asset utilization and availability of resources for all employees. The Fleet Innovation Team seeks to strengthen the relationship between customers and Fleet service. A cloud-based fleet management system, Global Positioning System, and car sharing technology, along with customer involvement helps departments achieve maximum utility from fleet investments and lowers transportation costs. Fleet innovation aligns with the Board of Supervisors Strategic priorities to ensure fiscal responsibility, promote good governance and increase organizational capabilities, along with enabling improvements to public safety and enhancing the overall criminal justice system. Fleet Services has been recognized by being named of the 100 Best Fleets and as an American Public Works Association Leading Fleet for 2020.

### Equipment Replacement and Air Quality

Improving air quality and reducing carbon impacts are ongoing concerns. Fleet services is collaborating with departments to identify and replace worn units with new equipment that offers higher utility and cleaner air. Fleet Services has an active role in planning replacements, analyzing life-extending repairs, reporting to California Air Resources Board, and working with customer departments to ensure operational and regulatory goals can be met. The County has also switched from petroleum diesel to renewable diesel, which reduces carbon emissions up to 90% and particulate matter by 33%.

### Mileage Rates

Mileage rates are structured to reflect costs to Fleet Services' operations, including a fixed rate to recapture the capital (vehicle) cost and a variable rate to cover the Division's operating cost. Reflecting a median increase of 11.9% in the mileage rates and a median decrease of 1.3% in the monthly rate from 2019-2020, the 2020-2021 rates are set at the following levels:

<b>Rental Unit</b>	<b>Mileage Rates</b>	<b>Mo. Rates</b>
Compact Pickup	\$0.69	\$125
Compact Sedan	0.46	151
Full-Size Van	1.09	116
Intermediate Sedan	0.51	117
Minivan	0.68	134
Patrol Car	0.99	498
Pickup 1 Ton	1.01	147
Pickup 1/2 Ton	0.69	137
Pickup 1/2 Ton 4x4	0.94	247
Pickup 3/4 Ton	1.01	138
Pickup 3/4 Ton 4x4	0.94	125
Sedan-Emergency	0.61	120
Special Body Truck	0.86	306
Utility Vehicle	0.78	174
Utility Vehicle-Emergency	0.82	263

### Vehicle Replacements

Based on current inventory, Fleet Services recommends replacing 44 vehicles at an estimated cost of \$1,989,000, funded from the ISF's replacement reserve. Prior to finalizing the list of the replacement vehicles, the Division will evaluate the condition and usefulness of vehicles turned in by departments, which may result in the purchase of fewer replacements.

# Fleet Services Internal Service Fund

## General Government

Class of Vehicles	No. of Vehicles	Total Cost
Compact Pickup	2	\$61,000
Compact Sedans	2	60,000
Dump Truck	3	495,000
Full-Size Vans	1	35,000
Intermediate Sedans	1	32,000
Minivan	4	120,000
Pickups 1/2 Ton	3	87,000
Pickup 3/4 Ton	1	35,000
Patrol Cars	17	680,000
Sedans-Emergency	5	179,000
Special Body Truck	1	40,000
Utility Vehicles	1	40,000

Class of Vehicles	No. of Vehicles	Total Cost
Utility Vehicles-Emergency	3	125,000
<b>Total</b>	<b>44</b>	<b>\$1,989,000</b>

### 2019 Novel Coronavirus (COVID-19)

Impacts from COVID-19 are expected to be mild to moderate. Hard vehicle surfaces and high-touch areas of vehicles are routinely disinfected to keep the spread of COVID-19 to a minimum. Revenue from miles driven on fleet vehicles may decrease, but fuel costs are also expected to decrease. If mileage decreases significantly, then vehicle replacement schedules may be extended. The fund balance is anticipated to be sufficient to absorb any impacts in 2020-2021.

### Workload Data

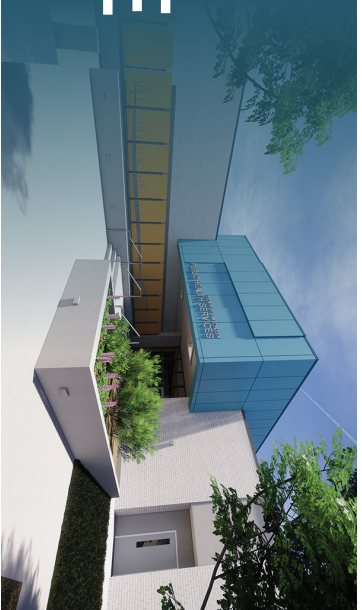
	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Assigned Vehicles	978	984	993	1,000	1,000
Time and Material Vehicles	243	244	132	140	140
Preventative Maintenance Services (hours)	14,406	14,646	14,894	15,000	15,100
Unscheduled Vehicle Repairs (hours)	11,690	11,306	10,018	11,000	11,500
Garage Rental Trips	33,264	35,852	34,434	34,500	35,000
Garage Rental Miles	1,321,847	1,426,272	1,422,509	1,420,000	1,440,000
Vehicle Miles Driven (millions)*	9.8	9.9	9.3	9.8	10.0

\*Excludes time-and-materials vehicle mileage.



PROPOSED BUDGET

CAPITAL MAINTENANCE



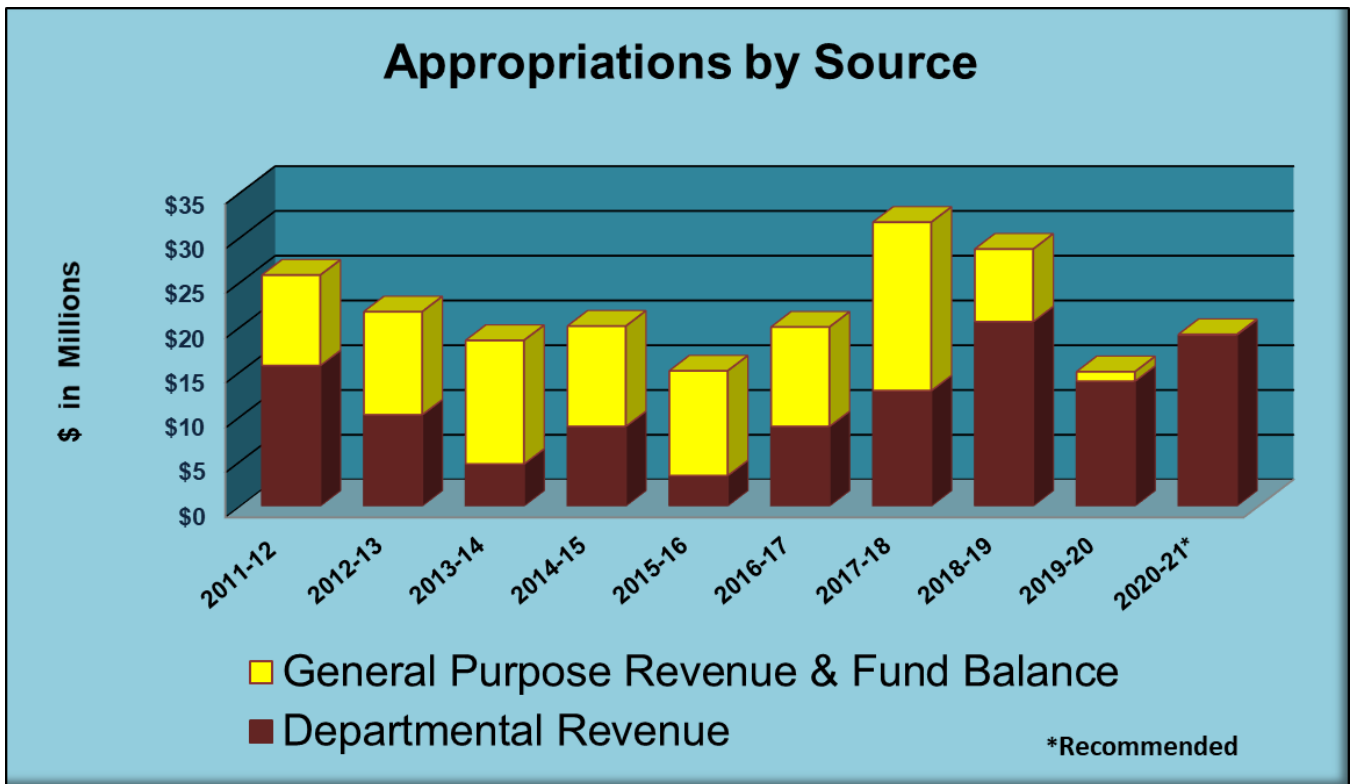
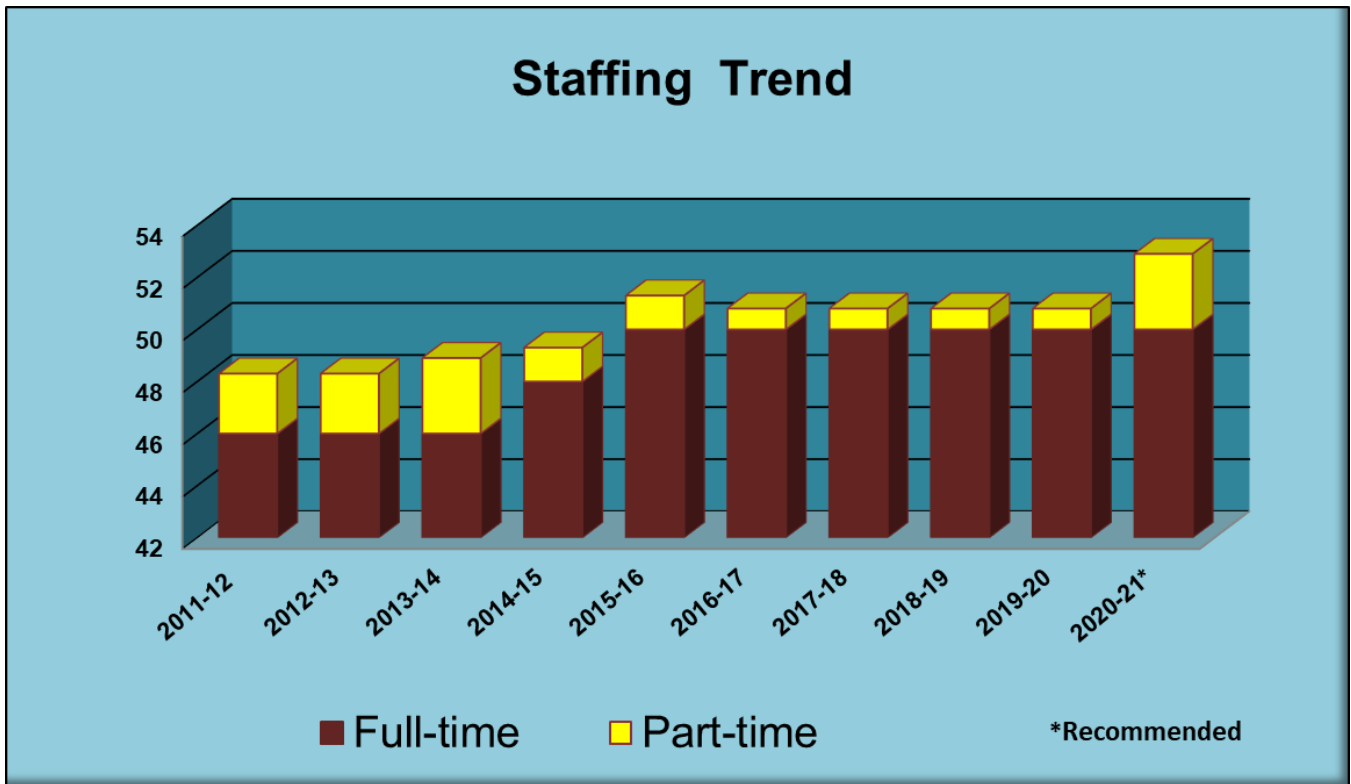
# Capital Maintenance & Improvements

## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Summary — All General Services Budgets</b>	B-4				
1014000000 General Services Administration	B-7	\$0	\$0	\$0	\$0
1014200000 Facilities Management	B-9	1,726,031	107,627	107,627	(1,618,404)
1014300000 Capital Projects Administration	B-12	0	0	0	0
1040148000 Public Improvement	B-14	13,072,157	18,242,317	18,242,317	5,170,160
1014400000 Airpark 599	B-20	208,979	213,277	213,277	4,298
<b>Total - Capital Maintenance &amp; Improvements</b>		<b>\$15,007,167</b>	<b>\$18,563,221</b>	<b>\$18,563,221</b>	<b>\$3,556,054</b>

# Capital Maintenance & Improvements

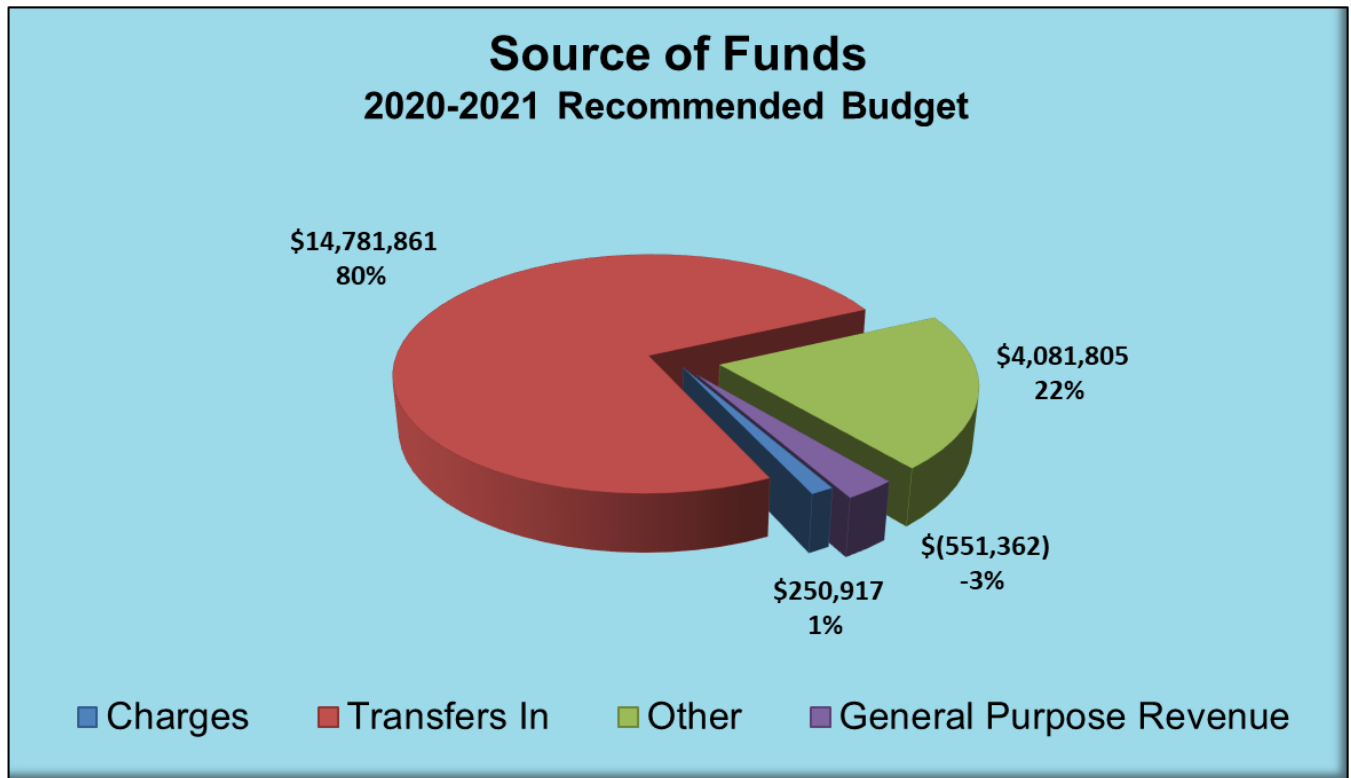
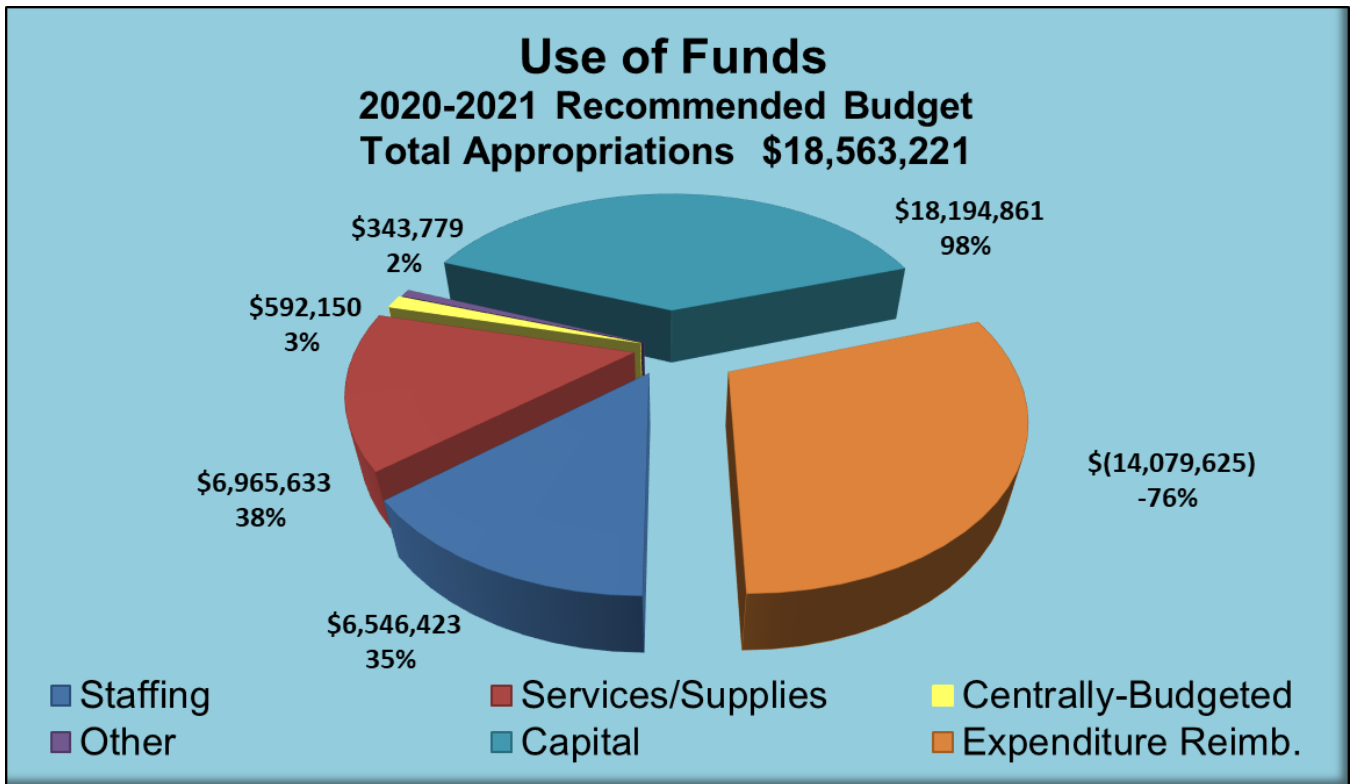
## Appropriations





# Capital Maintenance & Improvements

## Appropriations



# Summary

## All General Services Budgets

Marcia Cunningham, General Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$9,653,884	\$10,738,462	\$11,105,875	\$11,105,875	\$367,413
Services & Supplies	7,723,095	8,845,957	9,724,835	9,724,835	878,878
Centrally-Budgeted Expenses	630,278	1,943,944	2,715,137	2,715,137	771,193
Other Charges & Uses	346,838	364,338	355,338	355,338	(9,000)
Capital Expenditures	17,437	139,440	415,000	415,000	275,560
<b>Total Expenditures</b>	<b>\$18,371,532</b>	<b>\$22,032,141</b>	<b>\$24,316,185</b>	<b>\$24,316,185</b>	<b>\$2,284,044</b>
Expenditure Reimbursements	(5,062,346)	(12,547,631)	(14,530,415)	(14,530,415)	(1,982,784)
<b>Total Appropriations</b>	<b>\$13,309,186</b>	<b>\$9,484,510</b>	<b>\$9,785,770</b>	<b>\$9,785,770</b>	<b>\$301,260</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$367,411	\$426,772	\$409,272	\$409,272	(\$17,500)
Aid From Other Governments	402,817	768,467	1,246,511	1,246,511	478,044
Charges For Services	1,979,768	2,004,558	2,040,348	2,040,348	35,790
Miscellaneous Revenues	9,527	139,028	1,950	1,950	(137,078)
Operating Transfers In	1,085,083	348,000	1,053,000	1,053,000	705,000
<b>Total Revenues</b>	<b>\$3,844,606</b>	<b>\$3,686,825</b>	<b>\$4,751,081</b>	<b>\$4,751,081</b>	<b>\$1,064,256</b>
<b>Net County Cost</b>	<b>\$9,464,580</b>	<b>\$5,797,685</b>	<b>\$5,034,689</b>	<b>\$5,034,689</b>	<b>(\$762,996)</b>
<b>Staffing</b>					
Allocated Positions	93.0	93.0	93.0	93.0	0.0
Temporary (Full-Time Equivalent)	10.3	9.9	12.0	12.0	2.1
<b>Total Staffing</b>	<b>103.3</b>	<b>102.9</b>	<b>105.0</b>	<b>105.0</b>	<b>2.1</b>

This is a summary of the five budgets administered by the Director of General Services.

- 1014000000 Administration
- 1014200000 Facilities Management
- 1014300000 Capital Projects Administration
- 2026000000 Emergency Services
- 7070300000 Parks and Recreation

## Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the General Services Department focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues. The following highlights provide an overview of several projects, programs, and activities administered by the General Services Department.

# Summary

## General Services

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### Ensure Fiscal Responsibility

- Capital Projects Administration will continue to provide administration to the Department to deliver capital projects, major repairs, and renovations in a timely, efficient, and cost-effective manner.
- Facilities Management will continue to manage the County's real estate needs by obtaining the best value for leased space, which effectively and efficiently meets the growing and changing operational needs of County departments.
- Parks and Recreation will monitor and manage operational costs to ensure efficiency. The Division will collaborate with Facilities Management and Capital Projects on projects and contribute in cost sharing when applicable.

### Promote Good Governance and Increase Organizational Capabilities

- Capital Projects Administration is a valued and trusted partner, delivering exceptional and reliable services for both its internal and external customers. This includes providing a high level of service to plan, organize, and deliver projects in a collaborative manner with customer departments. In 2020-2021, Capital Projects will continue its collaborative effort with the Probation Department to oversee the construction of the Canlis Building Basement Remodel to reconnect existing space to the Day Reporting Center, Community Accountability and Prevention Services, and School Units.
- Facilities Management continues to coordinate Americans with Disability Act (ADA) access issues for its internal and external customers to mitigate barriers and ensure full access to County facilities.
- Parks and Recreation will continue to collaborate with the General Relief Program, Alternative Work Program, and WorkNet to provide opportunities for training disadvantaged workers.
- Parks and Recreation will continue efforts to implement an automated fee collection system.

### Improve Public Safety and Enhance Overall Criminal Justice System

- Facilities Management will continue to provide preventative maintenance and repairs for the County's detention facilities to ensure they operate in a safe and efficient manner. In 2020-2021, Capital Projects will continue its annual ADA Building Upgrades initiative to address ADA deficiencies at various County facilities including the Community Development Department,

Sheriff's Office, Behavioral Health Services, and Mickle Grove Regional Park.

- Capital Projects Administration will plan, organize, and deliver capital projects, major repairs, and renovations that improve or enhance the safety and security of the public and incarcerated individuals.
- Office of Emergency Services (OES) will use the newly developed public-facing preparedness website, [www.SJReady.org](http://www.SJReady.org), to educate and empower citizens to prepare their home, families, and businesses for disasters. The site will continue to provide trusted, up-to-date information and instructions during an emergency or developing event that may impact the public. OES will continue to utilize social media tools such as YouTube, Twitter, and Facebook as a vehicle to notify the public of an emergency event. OES will also continue to improve the agency website to provide valuable information for stakeholders in the community and to be a repository for emergency plans and policy documents.
- The Emergency Operations Plan (EOP) explains the general concept of operations, reviews the expected mission execution for each emergency phase, and identifies the agencies that will have the lead for a given Emergency Support Function (ESF). The Basic Plan then outlines the ESFs activated during an emergency. With the completion of the Basic EOP in 2018-2019, and supporting annexes in 2019-2020. OES will continue to develop an operation recovery plan for the County during 2020-2021. OES will continue ensuring County employees and stakeholders are familiar with these emergency plans through training and exercises.
- OES will continue to utilize the Integrated Public Alerting and Warning System to send emergency notifications.

### Stay Informed and Proactive in Dealing with Water Issues

- Capital Projects Administration will plan, organize, and deliver capital projects that incorporate water conservation measures. In 2020-2021, Capital Projects Administration will continue its oversight of underground storage tanks monitoring and remediation as required by regulatory agencies at the following sites: Human Services Agency (Sutter Street); Records Management (California Street); and Public Works Corporation Yard (Hazelton Avenue).
- Facilities Management will continue to monitor and track water consumption, trends, and costs at various County facilities.

# Summary

## General Services

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- Facilities Management will explore reducing water consumption in cooling towers through regular maintenance and water treatment techniques.
- Parks and Recreation will look to reduce water consumption in the Parks by adopting smart-water technology irrigation controllers, aerating, and over seeding park grounds for optimal water penetration and the use of upgraded irrigation sprinklers with water-efficient nozzles.
- Parks and Recreation will continue to collaborate with the Public Works Water Resources Coordinator to pursue grant funding opportunities related to upgrading aging water delivery and irrigation systems infrastructure within the County Parks system.

### **2019 Coronavirus (COVID-19) Countywide Emergency Operations Center Activation and Recovery**

On March 17, 2020, the Board of Supervisors ratified the declaration of the Local Health Emergency by the San Joaquin County Public Health Officer on March 12, 2020 in response to the COVID-19 pandemic. The Public Health Officer, by County Order, supported the statewide stay-at-home order with requirements of social distancing, personal hygiene, and allowing only designated essential critical infrastructure employees to work. On March 22, 2020, the

President of the United States issued a Major Disaster Declaration for the State. The Emergency Operations Center (EOC) was activated in support of the response efforts in accordance with Emergency Support Function 08 – Public Health and Medical. In April 2020, advanced planning efforts began for the eventual re-opening of County departments and businesses.

Several State and Federal funding streams for reimbursement are being identified as the COVID-19 pandemic continues, including eligibility through the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) with up to a 75% cost share by the Federal Government for allowable costs. In addition, the California Disaster Assistance Act (CDAA) is available as a supplemental reimbursement between 18 to 25% of allowable costs.

Additional supplemental funding for COVID-19 response has been provided for in various amounts under recently passed Federal legislation, including the Coronavirus Aid, Relief and Economic Security (CARES) Act, Coronavirus Preparedness and Response Supplemental Act, and Families First Response Act. Specific guidance on reimbursement under these supplemental funding streams is not fully developed, however; they will be considered prior to filing for reimbursement under the Stafford Act. OES will continue to seek out and file for all eligible reimbursement of costs for the County.

# 1014000000—General Services Administration

## Capital Maintenance & Improvements Marcia Cunningham, General Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$578,473	\$667,588	\$640,107	\$640,107	(\$27,481)
Services & Supplies	12,111	18,507	19,109	19,109	602
Centrally-Budgeted Expenses	13,247	10,366	34,400	34,400	24,034
<b>Total Expenditures</b>	<b>\$603,831</b>	<b>\$696,461</b>	<b>\$693,616</b>	<b>\$693,616</b>	<b>(\$2,845)</b>
Expenditure Reimbursements	(603,793)	(696,461)	(693,616)	(693,616)	2,845
<b>Total Appropriations</b>	<b>\$38</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Earned Revenues By Source</b>					
Operating Transfers In	\$327	\$0	\$0	\$0	\$0
<b>Total Revenues</b>	<b>\$327</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Net County Cost</b>	<b>(\$289)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>0.0</b>

### Purpose

General Services Administration provides oversight and direction for the General Services Department (GSD), which includes four divisions: Facilities Management, Capital Projects Administration, Office of Emergency Services (OES), and Parks and Recreation.

GSD’s vision is “to be valued as a trusted partner, delivering exceptional and reliable services for our customers and the community.” Its mission is “to provide sound stewardship of County resources; to provide extraordinary customer service; to plan, organize, and deliver projects and services that support our customers’ needs, interests, and priorities; to minimize property damage and personal injury from emergencies; and to develop and maintain clean and safe parks.”

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$27,481) Salary and benefits adjustments.

#### Centrally-Budgeted Expenses

- \$23,840 Increase in data processing charges.

### Expenditure Reimbursements

- (\$2,845) Decrease in cost reimbursements from the four GSD divisions.

### Program Discussion

The 2020-2021 recommended budget for General Services Administration totals \$693,616, which is a decrease of \$2,845 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments, partially offset by an increase in data processing charges.

GSD provides centralized support of the business objectives of County departments, including facility management services; real estate leasing and acquisition support; and capital projects administration. The Department also manages the County’s 18-park network, a Zoo, and a regional sports complex for the recreation and enjoyment of the public. OES coordinates the planning, preparation, and training in response to and recovery from, emergencies in the San Joaquin Operational Area. The Director and Assistant Director, with the support of the Administrative Secretary, provide leadership, supervision, and oversight to the GSD divisions to ensure operational efficiency and exceptional service is achieved. The administrative overhead costs, inclusive of the aforementioned positions are distributed across all GSD divisions.

**1014000000—General Services Administration**  
**Capital Maintenance & Improvements**

**Administration Distribution**

<b>Budget</b>	<b>Name</b>	<b>Allocated Position</b>	<b>Admin Costs*</b>	<b>Allocation</b>
1014200000	Facilities Management	41	45.56%	\$315,981
1014300000	Capital Projects	6	6.67%	46,241
2026000000	Emergency Services	7	7.77%	53,948
7070300000	Parks and Recreation	36	40.00%	277,446
	<b>Total Distribution</b>	<b>90</b>	<b>100.00%</b>	<b>\$693,616</b>

*\*Percentages rounded to the nearest hundredth*

# 1014200000—Facilities Management

## Capital Maintenance & Improvements Marcia Cunningham, General Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$4,589,980	\$4,871,741	\$5,174,684	\$5,174,684	\$302,943
Services & Supplies	6,127,400	6,586,079	6,620,273	6,620,273	34,194
Centrally-Budgeted Expenses	325,643	421,057	495,854	495,854	74,797
Other Charges & Uses	336,480	352,779	343,779	343,779	(9,000)
<i>Total Expenditures</i>	\$11,379,503	\$12,231,656	\$12,634,590	\$12,634,590	\$402,934
Expenditure Reimbursements	(3,469,586)	(10,505,625)	(12,526,963)	(12,526,963)	(2,021,338)
<i>Total Appropriations</i>	\$7,909,917	\$1,726,031	\$107,627	\$107,627	(\$1,618,404)
<b>Earned Revenues By Source</b>					
Interest/Rents	\$365,909	\$425,572	\$408,072	\$408,072	(\$17,500)
Charges For Services	235,207	241,334	250,917	250,917	9,583
<i>Total Revenues</i>	\$601,116	\$666,906	\$658,989	\$658,989	(\$7,917)
<b>Net County Cost</b>	\$7,308,801	\$1,059,125	(\$551,362)	(\$551,362)	(\$1,610,487)
<b>Staffing</b>					
Allocated Positions	41.0	41.0	41.0	41.0	0.0
Temporary (Full-Time Equivalent)	0.8	0.8	2.9	2.9	2.1
<i>Total Staffing</i>	41.8	41.8	43.9	43.9	2.1

### Purpose

The Facilities Management Division provides facility-related services for all County-owned and leased facilities, except for San Joaquin General Hospital and Stockton Metropolitan Airport.

The Division is responsible for providing maintenance, repair, and construction services to 416 structures totaling 3,997,863 square feet. Other services include management of construction projects, major renovations and repair projects to existing structures, and property leasing and management. Three of the facilities that are maintained are 24-hour custodial facilities.

The Division is also responsible for the County's carpool and bus pass programs as well as billing for services such as the Hospital Lift Station, French Camp McKinley Fire District, and reclamation districts. Additionally, the Division is responsible for real property management for County-owned and County-leased facilities.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$245,637 Salary and benefits adjustments.
- \$57,306 Increase in extra-help.

#### Services & Supplies

- \$50,000 Increase in costs for maintaining the glass surfaces of the County Administration Building atrium.
- \$34,190 Increase in janitorial costs.
- \$32,936 Increase in utilities costs.
- \$6,500 Increase in equipment maintenance costs.
- (\$93,394) Decrease in professional services.

# 1014200000—Facilities Management

## Capital Maintenance & Improvements

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### Centrally-Budgeted Expenses

- \$53,554 Increase in data processing charges.
- \$27,192 Increase in Workers' Compensation and Casualty insurance costs.

### Expenditure Reimbursements

- \$50,000 Increase in reimbursement for non-maintenance work to County facilities.
- \$1,971,338 Cost Allocation Plan adjustment.

### Revenues

- \$9,583 Increase in reimbursement from Special Districts.
- \$5,000 Increase in parking facility revenue.
- (\$22,500) Decrease in revenue due to reallocation of oil and gas royalties.

### Program Discussion

The 2020-2021 recommended budget for Facilities Management totals \$12,634,590, which is an increase of \$402,934 from the 2019-2020 adjusted budget. This increase is primarily due to salary and benefits adjustments (\$245,637), and increases in extra-help (\$57,306), data processing charges (\$53,554), maintenance of the glass surfaces of the County Administration Building atrium (\$50,000), janitorial costs (\$34,190), and utilities costs (\$32,936), partially offset by a decrease in professional services (-\$93,394). The 2020-2021 recommended budget includes an increase in reimbursements for support services provided to County departments in the amount of \$1,971,338 based on Cost Allocation Plan expenses for 2018-2019 and results in a \$551,362 credit to the General Fund.

In 2020-2021, Facilities Management will continue to review and assess County facilities in order to identify building capacities and deficiencies. This includes the ongoing effort to accurately inventory buildings and square footage of space occupied by the County, including County-owned and County-leased facilities, and to provide improved identification of County-owned property eligible for sale as surplus property. The goal is to improve space planning allocation and the preventative maintenance program. Currently, there are no long-term plans for funding deferred facility maintenance needs. Maintaining the County's facility infrastructure requires a strategic approach to identify both needs and costs.

### Utilities

Facilities Management will continue to focus on utility cost management for County-owned and County-leased facilities. In 2018-2019, actual costs for utilities totaled \$2,120,258, approximately \$150,000 under budget. Based on actual experience to date, staff estimates total annual utilities costs will be higher in 2019-2020, but within the budgeted amount of \$2,274,000 for utilities. Three of the County's major local utility service providers, including the California Water Service Company, Pacific Gas and Electric, and the City of Stockton are in the second year of phased three-year rate increases. Rate increases and increased utility usage are projected to drive costs higher in 2020-2021. The 2020-2021 recommended budget includes \$2,306,936 for utilities, which is an increase of \$32,936 from the 2019-2020 adjusted budget. Staff will continue to work with the County's utility service providers to review historical usage data and analyze trends; understand complicated tiered and time-of-use rate structures; identify best management practices; and implement cost-effective energy-efficiency measures.

### Hunter Street Parking Garage

Since 2013, the City of Stockton managed and operated the County-owned Hunter Street Parking Garage in downtown Stockton, which provides 268 parking spaces for monthly, transient, County-provided, and special event parking. The agreement with the City (A-13-305) expired in August 2019 and during the course of negotiations staff determined County management of the garage would result in cost savings and operational efficiencies. In February 2020, the Board adopted an amended ordinance for administration of County-owned parking and a fee schedule (Ordinance No. 4548). The recommended 2020-2021 budget includes the addition of 2.1 full-time equivalent extra-help positions, including parking attendants, to staff the garage.

### Administration Building On-site Security

The security screening procedure implemented in 2018-2019 at the County Administration Building will continue in 2020-2021. The procedure includes an x-ray inspection system and walk-through metal detectors operated by two security guards to screen all visitors, clients, and staff entering the building. Additionally, the 2020-2021 recommended budget includes \$75,188 for the cost of an armed guard to staff the lobby area.

### Supplemental Request

#### Administration Building Window Washing

Facilities Management is requesting additional funding in the amount of \$50,000 for maintenance of the interior and exterior glass surfaces of the County Administration Building



# 1014200000—Facilities Management

## Capital Maintenance & Improvements

atrium and certification of the high-rise safety system. Interior window glass surfaces up to eight feet in height are cleaned periodically through the janitorial services contract. For several years, interior window glass surfaces greater than eight feet in height and exterior glass surfaces have not been maintained, resulting in unsightly stains and streaks and if left untreated, could become permanently damaged. An

Occupational Safety and Health Administration certified inspection of the rooftop and pedestal systems (the safety system for the window-cleaning process) is required annually before this equipment is utilized.

The recommended budget has been augmented by \$50,000 in order to address this request.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Number of Structures	415	416	416	416	416
Square Footage	3,990,465	3,997,863	3,997,863	3,997,863	3,997,863
Maintenance Work Orders	20,928	24,222	25,818	24,706	24,170
Capital Projects/Major Repairs & Renovations	15	20	14	15	15
Service Requests	304	339	337	341	364
Leases Negotiated/Renewed	11	9	13	14	11

# 101430000—Capital Projects Administration

## Capital Maintenance & Improvements Marcia Cunningham, General Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$593,952	\$763,134	\$731,632	\$731,632	(\$31,502)
Services & Supplies	55,286	114,418	114,251	114,251	(167)
Centrally-Budgeted Expenses	15,283	49,514	13,163	13,163	(36,351)
<i>Total Expenditures</i>	\$664,521	\$927,066	\$859,046	\$859,046	(\$68,020)
Expenditure Reimbursements	(663,987)	(927,066)	(859,046)	(859,046)	68,020
<i>Total Appropriations</i>	\$534	\$0	\$0	\$0	\$0
<b>Earned Revenues By Source</b>					
Charges For Services	\$534	\$0	\$0	\$0	\$0
<i>Total Revenues</i>	\$534	\$0	\$0	\$0	\$0
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0
<i>Allocated Positions</i>	6.0	6.0	6.0	6.0	0.0

### Purpose

Management and coordination of capital improvements are the responsibility of the General Services Department (GSD). Staffing and other project-related administrative costs are included in the Capital Projects Administration budget. Staff duties include project planning, contract negotiation and monitoring, construction management, and fiscal administration.

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$31,502) Salary and benefits adjustments.

#### Centrally-Budgeted Expenses

- (\$36,780) Cost Allocation Plan adjustment.

#### Expenditure Reimbursements

- (\$56,020) Decrease in reimbursement for project administration.
- (\$12,000) Decrease in reimbursement from County departments.

### Program Discussion

The 2020-2021 recommended budget for Capital Projects Administration totals \$859,046, which is a decrease of \$68,020 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments and a Cost Allocation Plan adjustment. Reimbursements are from the Public Improvement budget (#1040148000) for projects and include direct charges from client departments for project administration.

In 2019-2020, more than 40 projects were completed totaling approximately \$4.9 million. Projects included remodeling the first floor of the Canlis Building to create four classrooms to be used for Probation Department clients; upgrading the heating, ventilation and air conditioning vents in the Sheriff's Office general population-housing units and two administrative segregation units for compliance with current Title 24 Building Code Standards; and repair and replacement of the Memorial building roof at Micke Grove Regional Park.

For 2020-2021, \$17.9 million in projects are proposed, including continued work on outstanding and planned projects related to existing facilities and major new construction. A comprehensive listing of planned projects are listed in the Public Improvement budget (#1040148000). As resources allow, Capital Projects Administration may provide reimbursable project management assistance for direct charge

# **1014300000—Capital Projects Administration**

## **Capital Maintenance & Improvements**

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to County department projects not included in the 2020-2021 Public Improvement budget.

### ***Construction Management Services***

In 2019-2020, the Board of Supervisors awarded a multi-year professional services contract for construction management services. The construction management firm will be utilized to augment the capacity of the Division to deliver a number of upcoming capital projects, including demolition of the old courthouse building, construction of a new office building for the District Attorney and construction of the new Morgue/Medical Examiner office space.

### ***Job Order Contracting***

In 2019-2020, 22 projects totaling \$3.4 million were completed in Year 4 of the Job Order Contracting (JOC) Program. In May 2020, the Board of Supervisors awarded 4 master construction contracts for Year 5. Under the Program, JOC contractors may be used to perform qualified individual projects during a one-year period. The JOC Program has allowed GSD and other County departments to complete projects in a cost-effective, timely, and collaborative manner. Other procurement methods, such as the traditional bid process and Purchasing and Support Services' Uniform Public Construction Cost Accounting Act process, will continue to also be utilized.

# 1040148000—Public Improvement

## Capital Maintenance & Improvements Marcia Cunningham, General Services Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Centrally-Budgeted Expenses</i>	\$0	(\$82,405)	\$47,456	\$47,456	\$129,861
<i>Capital Expenditures</i>	6,310,212	13,154,562	18,194,861	18,194,861	5,040,299
<i>Operating Transfers Out</i>	40,000	0	0	0	0
<i>Total Appropriations</i>	<u>\$6,350,212</u>	<u>\$13,072,157</u>	<u>\$18,242,317</u>	<u>\$18,242,317</u>	<u>\$5,170,160</u>
<b>Earned Revenues By Source</b>					
<i>Interest/Rents</i>	\$2,154,771	\$2,291,597	\$2,791,597	\$2,791,597	\$500,000
<i>Aid From Other Governments</i>	495,204	0	0	0	0
<i>Miscellaneous Revenues</i>	2,223	0	0	0	0
<i>Operating Transfers In</i>	12,760,383	41,802,121	14,781,861	14,781,861	(27,020,260)
<i>Other Financing Source</i>	56,082	56,082	56,082	56,082	0
<i>Fund Balance</i>	(9,118,451)	(31,077,643)	612,777	612,777	31,690,420
<i>Total Revenues</i>	<u>\$6,350,212</u>	<u>\$13,072,157</u>	<u>\$18,242,317</u>	<u>\$18,242,317</u>	<u>\$5,170,160</u>
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

- Operating Transfers In includes a Tobacco Settlement Fund Contribution of \$4,690,000 for 2020-2021.

### Purpose

The Public Improvement budget for projects provides funding for new construction, building alterations, property acquisition, park improvements, facility planning, and major maintenance or equipment replacement. Project phases typically include scope development and programming, design/engineering, solicitation and award of bids, construction, and project acceptance.

Completion time for a capital project may be longer than a fiscal year, depending on the complexity and magnitude. Funding is re-budgeted at the end of the fiscal year for multiyear projects still in progress or projects that have been contractually obligated in order to complete work in the new fiscal year. As a result, the budget includes appropriations re-budgeted from the prior fiscal year and new appropriations supported with either project-specific revenue or discretionary funding.

Following the close of the fiscal year, adjustments may be required to reconcile budgeted amounts with actual revenues and expenditures incurred during the prior fiscal year. The budget narrative includes projects administered by the General Services Department.

### Program Discussion

The 2020-2021 recommended Public Improvement budget totals approximately \$18,242,317, which is an increase of \$5,170,160 from the 2019-2020 adjusted budget. The increase is due to a greater number of projects requested in the 2020-2021.

In 2019-2020, more than 40 projects were completed totaling approximately \$4.9 million. For 2020-2021, approximately 100 facility-related budget requests were submitted by departments for consideration. Criteria for project funding includes safety and security; reduction in maintenance, utility, and/or operating costs; justification; and the availability of department matching funds. Funding for in-progress complex multiyear projects that will be re-budgeted includes the Public Health Services Facility Replacement at \$201,000 and County Facilities Master Planning at \$500,000. The County Detention and Program Facility pursuant to Senate Bill (SB) 1022 has also been re-budgeted with approximately \$1.1 million of remaining funding from the initial appropriation of \$4.3 million in 2013-2014. All other projects that will be re-budgeted are anticipated to be contractually obligated.

# 1040148000—Public Improvement

## Capital Maintenance & Improvements

Projects are funded by interest earnings, rents, Tobacco Settlement monies, reimbursement from departments with specific projects, and the Capital Outlay Fund, as shown in the table following this discussion. As of March 31, 2020, the Capital Outlay Fund (37004) balance was \$116,881,504. The estimated fund balance at the beginning of Fiscal Year 2020-2021 is approximately \$119.6 million. Tobacco Settlement (#1010805000) funds are allocated 50% for Health Care-Related Facilities and 20% for Capital Improvements. Of the 30% remaining, 20% is allocated to the Information Systems Division (#8240000000) and 10% for Delta Activities (#2023070000). For 2020-2021, this represents \$3,350,000 in reserve for future Health Care-Related Facility costs and \$1,340,000 to be used for Capital Improvement projects.

Approximately \$119.0 million of the Capital Outlay Fund balance anticipated on July 1, 2020 is not recommended for appropriation at this time, pending additional analysis to determine the viability of a number of projects.

<b>Capital Outlay Fund Balance</b>	<b>Approx. \$ Million</b>
Associated with past allocations for Health Care-related facilities including the 2020-2021 allocation of \$3.4 million of Tobacco Settlement funds.	\$37.2
Associated with past allocations for future Law and Justice facilities.	44.7
Programmed for the anticipated construction cost of the New Morgue and Office Space facility.	12.0
Remaining is unprogrammed.	25.1
<b>Total</b>	<b>\$119.0</b>

The following ongoing multiyear projects are included in the 2020-2021 work program and project-specific funding recommendations for each will be scheduled for Board of Supervisors consideration at the next stage of project development:

- **District Attorney’s Office Space** – To fund the design and engineering costs as a precursor to the construction of a replacement District Attorney’s Office.
- **New Morgue/Medical Examiner Office Space** – To fund the design and engineering costs as a precursor to the construction of a replacement morgue and Medical Examiner office space facility.
- **Old Courthouse Demolition** – To fund the design and demolition of the unoccupied three-story former courthouse structure to prepare the site for reuse.

The following are descriptions of certain proposed projects recommended for funding in 2020-2021:

### **New Construction & Facility Planning (\$5.9 million)**

- **Behavioral Health Services Adult Residential Treatment Modular Building** – To fund the purchase, installation and construction costs of a new modular building to provide adult residential treatment services.
- **County Detention and Program (SB 1022) Facility** – To fund the design, engineering, and construction of new medium security housing units and program facility to replace the existing Honor Farm barracks. Project construction is estimated to be completed in April 2022.
- **Capital Projects Contingency** – Contingency funding for approved projects in the 2020-2021 work program.
- **County Facilities Master Planning** – To fund master planning for various County departments and facilities. The project is estimated to be completed in January 2021.
- **Project Planning and Development** – To fund project pre-planning expenses as directed or authorized.
- **Public Health Services Facility Replacement** – To fund the design, engineering, and construction cost of a replacement Public Health Services facility. Project construction is estimated to be completed in August 2021.

### **Existing Facilities (\$12.3 million)**

- **222 E. Weber Avenue Building Repairs** – To fund continuing design, engineering, and construction costs for repairs and remaining District Attorney’s Office modifications within the seven-story building.
- **Americans with Disabilities Act (ADA) Building Upgrades** – To address and ensure building compliance with ADA regulations within available funding. New projects for 2020-2021 include: select areas at the Sheriff’s Office, Community Development Department, Behavioral Health Services, Harmony Grove Church, and Micke Grove Regional Park.
- **Behavioral Health Services Facility Back-up Generator** – To fund the design and engineering for the installation of a power generator to allow the uninterrupted operation of 24 hours a day, 7 days per week (365) facility services.
- **Behavioral Health Services 24-Hour Services Restroom Remodel** – To fund the design, engineering, and construction costs for the remodel of select restrooms and showers throughout the 24-hour services area.

# 1040148000—Public Improvement

## Capital Maintenance & Improvements

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- **Canlis Building Basement Remodel** – To fund construction costs to relocate the Reconnect Day Reporting Center, and Community Accountability and Prevention Services Unit to the basement.
- **County Facility Exterior Paint and Repairs** – Countywide facility exterior repairs and painting to County-owned facilities on an as needed basis, including BHS facilities exterior painting, regional parks basketball court restriping, Juvenile Hall, and Sheriff’s Office Jail and Operations Center.
- **Countywide Security Improvements** – To fund the design, engineering, and construction costs for Security improvements to Countywide facilities on an as-needed basis. The Behavioral Health Services (BHS) Facility Camera Upgrades, Canlis Building Camera and Alarm Upgrades, Community Development Department Lobby Remodel (Phase Two)\*, Juvenile Hall Alarm Upgrades, Sheriff’s Office Civil Division Counter Upgrade, Sheriff’s Office Jail Central Control Upgrades\* and Sheriff’s Office Lobby Glass Partition Installation (Phase Two) will be new projects for 2020-2021.

*\*Currently, these projects are not included in the recommended budget, but will be recommended for future Board consideration.*

- **Facility Flooring Replacements** – To fund continuing construction costs to replace flooring at County-owned facilities based on safety and high priority need to be identified in the Countywide Facility Flooring Replacement Plan within available funding. New projects for 2020-2021 include: the Community Development Department, Juvenile Hall Medical areas, Sheriff’s Administration (Phase Four), and Sheriff’s Jail Compound (Phase Four).
- **Facility Parking Lot Repairs** – To fund continuing construction costs to repair parking lots at County-owned facilities based on safety and high priority need to be identified in the Countywide Parking Lot Repair Plan within available funding. New projects for 2020-

2021 include the Micke Grove Regional Park (Phase One) and Dos Reis Park (Phase One).

- **Honor Farm 124 Barracks Security Lighting Upgrade (Phase Two)** – To fund the ongoing replacement of lights in the 124 barracks at the Honor Farm with vandal resistant, high-efficiency LED light fixtures.
- **Human Services Agency Heating, Ventilation and Air Conditioning (HVAC) System Improvements** – To fund the continuing construction costs for needed repairs and improvements to the HVAC system.
- **Juvenile Hall Shower Partitions Upgrade** – To fund construction costs for upgrades to the existing shower partitions at Juvenile Hall in alignment with Title 15 regulatory requirements.
- **Juvenile Justice Center Kitchen Refrigeration Systems Replacement** – To fund the replacement of the refrigeration system components for three walk-in coolers and two walk-in freezers at the Juvenile Justice Center kitchen.
- **Micke Grove Zoo Electrical Upgrade** – To fund the design and engineering for upgrades to the existing electrical system at Micke Grove Zoo.
- **Sheriff’s Office Coleman Range Facility Improvements** – To fund the construction costs for improvements to San Joaquin County Sheriff’s Office Coleman Range.
- **Sheriff’s Office Fire Alarm Panels Replacement** – To fund the replacement of existing MXL networked fire alarm panels with new generation networked fire alarm panels at the Sheriff’s Office Compound.
- **Sheriff’s Office Lighting Bollards Replacement** – To fund the replacement of select walkway bollards at the Sheriff’s Office Operations Center and Honor Farm 124 barracks with Title 24 compliant LED retrofit light fixture bollards.

# 1040148000—Public Improvement Capital Maintenance & Improvements

Estimated Capital Outlay Fund Balance at the Beginning of the 2020-2021 Fiscal Year: \$119.6 million

	Capital Outlay Funding	Department /Grant Funding	Other Funding	Total 2020-2021 Recommended
<b>New Construction &amp; Facility Planning</b>				
<i>BHS Adult Residential Treatment Modular Bldg.</i>		\$3,856,861		\$3,856,861
<i>County Detention (SB 1022) Facility</i>	\$1,067,000			1,067,000
<i>Capital Projects Contingency</i>	150,000			150,000
<i>County Facilities Master Planning</i>	500,000			500,000
<i>Project Planning &amp; Development</i>	125,000			125,000
<i>Public Health Facility Replacement</i>	201,000			201,000
<b>Total New Construction &amp; Facility Planning</b>	<b>\$2,043,000</b>	<b>\$3,856,861</b>		<b>\$5,899,861</b>
<b>Existing Facilities</b>				
<i>222 E. Weber Avenue Building Repair</i>	\$150,000			\$150,000
<i>ADA Building Upgrades</i>	\$850,000	\$275,000		1,125,000
<i>BHS Facility Back-Up Generator</i>		125,000		125,000
<i>BHS 24-Hour Services Restroom Remodel</i>		720,000		720,000
<i>Canlis Building Basement Remodel</i>		675,000		675,000
<i>County Facility Exterior Paint &amp; Repairs</i>	250,000	450,000		700,000
<i>Countywide Security Improvements</i>	650,000	200,000		850,000
<i>Countywide Unanticipated Major Repairs</i>	300,000			300,000
<i>Facility Flooring Replacements</i>	500,000			500,000
<i>Facility Parking Lot Repairs</i>	500,000			500,000
<i>Honor Farm</i>				
<i>124 Barracks Security Lighting Upgrade (Phase I)</i>	132,000			132,000
<i>Human Services Agency HVAC System Improvements</i>		3,500,000		3,500,000
<i>Juvenile Facilities Exterior LED Lighting Upgrade</i>	60,000			60,000
<i>Juvenile Hall Shower Partitions Upgrade</i>	250,000			250,000
<i>Juvenile Justice Center Kitchen Refrigeration Systems Replacement</i>	175,000			175,000
<i>Juvenile Hall Wiring Cable Upgrades</i>	100,000			100,000
<i>Micke Grove</i>				
<i>Delta Shelter Roof Replacement</i>	75,000			75,000
<i>Horse Shoe Pits &amp; Fencing Repairs</i>		70,000		70,000
<i>Zoo East End Snow Leopard Exhibit</i>		60,000		60,000
<i>Zoo Electrical Upgrade</i>	65,000	75,000		140,000
<i>Zoo Lemur Island Moat Filtration System Upgrade</i>	80,000	10,000		90,000
<i>Micke Grove Ballfield Improvements</i>		75,000		75,000
<i>Regional Sports Complex Drain Repair</i>	125,000			125,000

**1040148000—Public Improvement  
Capital Maintenance & Improvements**

	<b>Capital Outlay Funding</b>	<b>Department /Grant Funding</b>	<b>Other Funding</b>	<b>Total 2020-2021 Recommended</b>
<i>Sheriff's Office</i>				
<i>Coleman Range Facility Improvement</i>	750,000			750,000
<i>Fire Alarm Panels Replacement</i>	648,000			648,000
<i>Lighting Bollards Replacement</i>	50,000			50,000
<i>Underground Fuel Tanks Monitoring</i>	350,000			350,000
<b><i>Total Existing Facilities &amp; Park Projects</i></b>	<b>\$6,060,000</b>	<b>6,235,000</b>		<b>\$12,295,000</b>
<b><i>Public Improvement Budget</i></b>	<b>\$8,103,000</b>	<b>\$10,091,861</b>		<b>\$18,194,861</b>
<b>Capital Outlay Fund</b>				
<b><i>Unprogrammed</i></b>				
<i>Health Care-Related Facilities</i>				\$37,186,254
<i>Law &amp; Justice Facilities</i>				44,715,546
<i>Capital Outlay Fund</i>				25,115,079
<b><i>Programmed</i></b>				
<i>Health Care-Related Facilities – Morgue/Examiner</i>				11,970,000
<b><i>Total Capital Outlay Fund</i></b>				<b>\$118,986,879</b>



# 1040148000—Public Improvement

## Capital Maintenance & Improvements

### Revenue Analysis

Funding for the Public Improvement budget is derived from a combination of fund balance and revenue sources outlined below. The 2020-2021 recommended budget assumes the

collection of most revenues in 2019-2020; however, the budget will be adjusted for those revenues not received by June 30, 2020. Revenue covers capital expenditures of \$18,194,861 and A-87 indirect costs of \$47,456.

Revenue Description	2019-2020 Approved	2020-2021 Recommended	Increase/ (Decrease)
<b>Fund Balances</b>			
<i>Re-Budgeted</i>	\$5,838,949	\$2,362,588	(\$3,476,361)
<i>Capital Outlay Fund</i>	2,013,775	1,600,189	(413,586)
<i>Tobacco Settlement/Health Care-Related Facilities</i>	(3,650,000)	(3,350,000)	(300,000)
<i>Total Fund Balances</i>	\$4,202,724	\$612,777	(\$3,637,403)
<b>Interest Earnings</b>			
<i>Capital Outlay Fund</i>	\$2,000,000	\$2,500,000	\$500,000
<i>Total Interest Earnings</i>	\$2,000,000	\$2,500,000	\$500,000
<b>Rents</b>			
<i>Veterans Affairs Clinic</i>	\$291,597	\$291,597	\$0
<i>Total Rents</i>	\$291,597	\$291,597	\$0
<b>Repayments</b>			
<i>Klein Property Purchase</i>	\$56,082	\$56,082	\$0
<i>Total Repayments</i>	\$56,082	\$56,082	\$0
<b>Operating Transfers In</b>			
<i>Human Services Agency</i>	\$58,500	\$3,500,000	\$3,441,500
<i>Local Tobacco Settlement</i>	5,110,000	4,690,000	(\$420,000)
<i>Behavioral Health Services</i>	0	5,626,861	5,626,861
<i>Community Development Department</i>	100,000	0	(100,000)
<i>Emergency Medical Services</i>	300,000	0	(300,000)
<i>Probation</i>	878,254	675,000	(203,254)
<i>Public Works</i>	75,000	0	(75,000)
<i>Parks &amp; Recreation</i>	0	290,000	290,000
<i>Total Operating Transfers</i>	\$6,521,754	\$14,781,861	\$8,260,107
<b>Total Revenue Available</b>	<b>\$13,072,157</b>	<b>\$18,242,317</b>	<b>\$5,170,160</b>

# 1014400000—Airpark 599

## Capital Maintenance & Improvements

Monica Nino, County Administrator

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$11,799	\$200,000	\$212,000	\$212,000	\$12,000
Centrally-Budgeted Expenses	0	8,979	1,277	1,277	(7,702)
<i>Total Appropriations</i>	\$11,799	\$208,979	\$213,277	\$213,277	\$4,298
<b>Earned Revenues By Source</b>					
Fund Balance	\$11,799	\$208,979	\$213,277	\$213,277	\$4,298
<i>Total Revenues</i>	\$11,799	\$208,979	\$213,277	\$213,277	\$4,298
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

### Purpose

Airpark 599 (formerly known as Airport East Business Park) is a 275-acre, mixed-use warehouse and distribution center development with retail and commercial components located adjacent to the Stockton Metropolitan Airport. This public/private partnership involves an initial County capital contribution, combined with land development expertise of the County’s development partner.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for Airpark 599 focuses on carrying out the Board’s June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

The following highlights provide an overview of several projects, programs, and activities administered by the County Administrator’s Office.

#### Promote Economic Development

In its current conceptual configuration, Airpark 599 is anticipated to include 3.7 million square feet of industrial space, in addition to approximately 178,500 square feet of retail and restaurant space. Up to 4,100 jobs may be created,

depending on the number and type of businesses that are located in the development.

### Major Budget Changes

#### Services and Supplies

- \$30,000 Increase in project development costs.
- (\$20,000) Decrease in professional legal services.

#### Centrally-Budgeted Expenses

- (\$7,702) Cost Allocation Plan adjustment.

### Program Discussion

The 2020-2021 recommended Airpark 599 budget totals \$213,277, which is an increase of \$4,298 from the 2019-2020 adjusted budget. This change primarily reflects an increase in project development costs (\$30,000), partially offset by a decrease in professional services costs (-\$20,000) and a Cost Allocation Plan adjustment (-\$7,702). The recommended budget includes anticipated project-related costs such as engineering and planning, environmental clearance, utility expenses, landscape maintenance, and attorney fees.

Over the past several years, County staff and consultants have been working with the Federal Aviation Administration (FAA) to obtain a release from land-use restrictions on an approximately 32.5 acre area of the 275 acre development site, referenced as Parcel B-5. The balance of the site is not

# **1014400000—Airpark 599**

## **Capital Maintenance & Improvements**

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encumbered by these restrictions and the requested release would allow the entire 275 acres to be treated similarly when negotiating lease terms with potential tenants. Various issues, including FAA staffing changes over the years, have continued to impact progress in moving the Airpark 599 project forward. In 2019-2020, the FAA determined further consideration of the requested release would require the project to prepare an Environmental Assessment pursuant to the National Environmental Policy Act (NEPA), extending the timeline for resolution of the issue. Staff now estimates issuance of the FAA release will occur in late 2021.

In September 2019, the FAA expressed new reservations concerning the County's development of the balance of County-owned Airpark 599 property, suggesting State property land-use restrictions must exist, thereby limiting use of the land for airport purposes, because of the County's original acquisition of the property through condemnation. The County disagreed and the issue was ultimately resolved after several months of discussion with the FAA and the State of California Department of Transportation, Division of Aeronautics. To avoid confusion going forward, staff will work with the Airport Director to revise the Airport Layout Plan to remove references to County-owned property.

It is anticipated that a revised Master Development Agreement with the County's development partner, Catellus Stockton, LLC (Catellus) will be brought to the Board for consideration by year-end 2019-2020. In 2019-2020, site-planning work continued for the construction of an initial phase of new large industrial buildings and site infrastructure on the eastern, non-Parcel B-5 portion of the Airpark 599 property. This included the preparation of project plans and updated analysis to support a proposed amendment of the County's adopted Stockton Metropolitan Airport Special Purpose Plan. The amendment is expected to be scheduled for Board consideration in early 2020-2021, followed by the start of construction on the initial project phase. Staff will continue to work with Catellus to plan for the balance of the Airpark 599 property, including preparation of NEPA documentation to obtain the FAA release from restrictions.

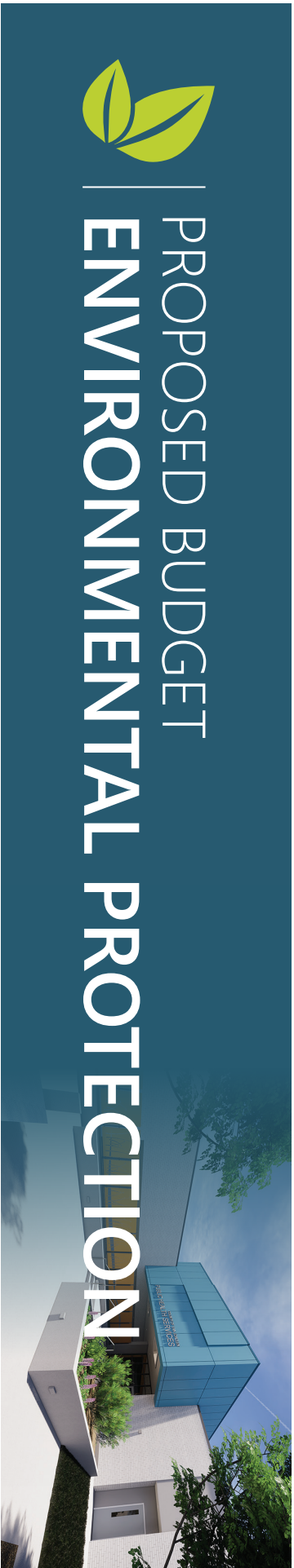
### **Airport East Project Fund**

The Airport East Project Fund (#20048) was established in June 2008 with a contribution of \$13.0 million in County capital funds to reimburse project-related costs. As of March 31, 2020, the fund balance totaled \$6,656,251.



PROPOSED BUDGET

ENVIRONMENTAL PROTECTION



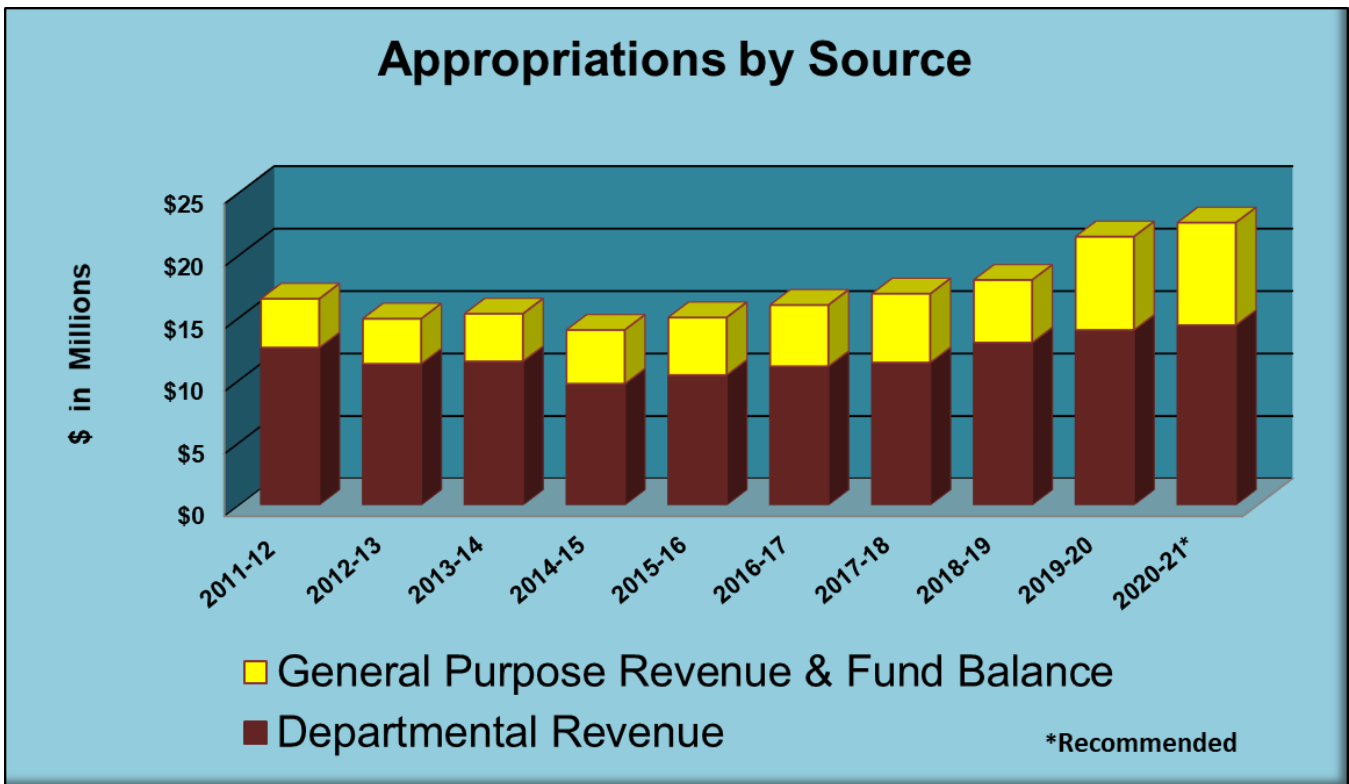
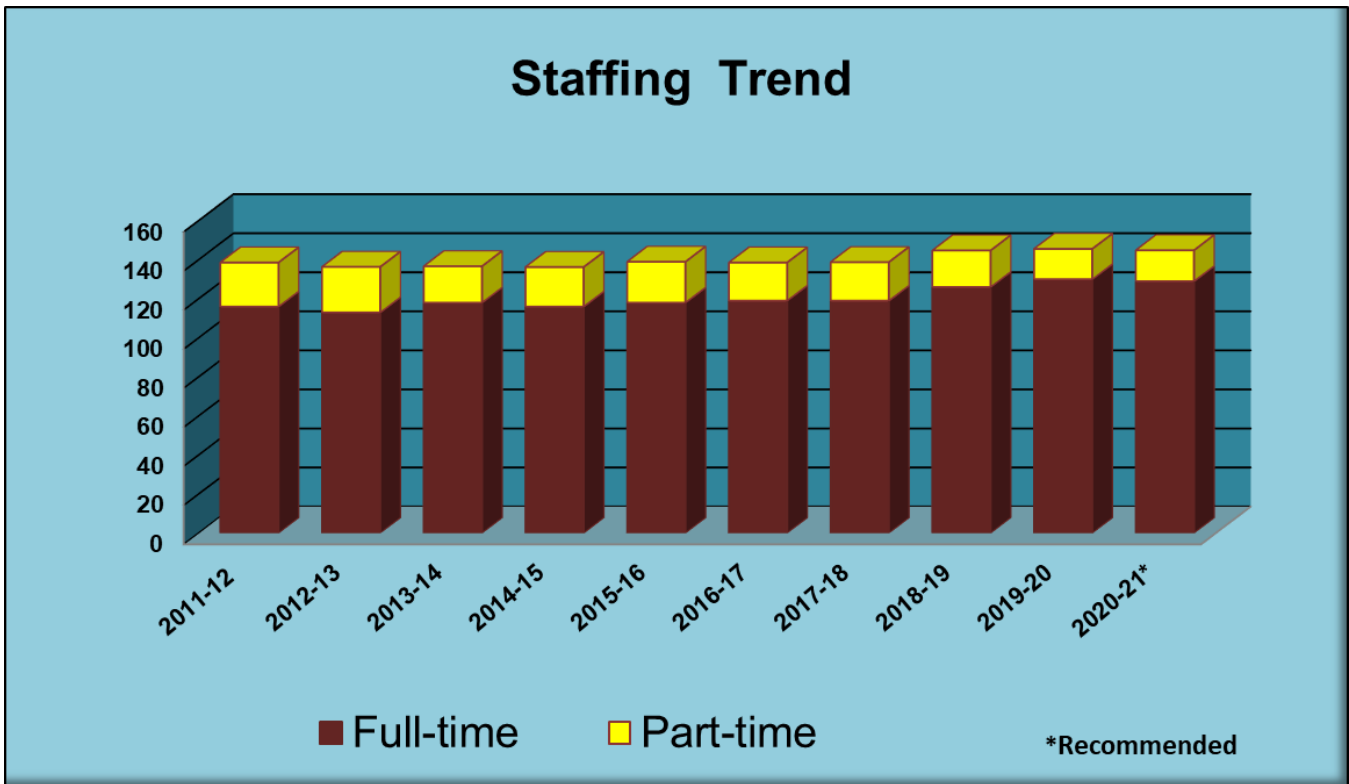
# Environmental Protection

## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)	
<b>Environmental Protection</b>						
2024100000	Public Works-Flood Channel Maintenance	C-4	\$0	\$0	\$0	\$0
2024700000	Agricultural Commissioner	C-7	8,482,036	8,562,468	8,562,468	80,432
<b>Summary— All Community Development Budgets</b>						
2025600000	Community Development	C-16	9,732,944	10,116,110	10,116,110	383,166
2026000000	Emergency Services	C-22	1,702,731	2,214,325	2,214,325	511,594
2026000150	Homeland Security Grants	C-26	1,319,450	1,464,791	1,464,791	145,341
2026500000	Fish & Game	C-28	7,000	2,000	2,000	(5,000)
2026700000	LAFCo Contribution	C-29	222,800	229,500	229,500	6,700
<b>Total - Environmental Protection</b>			<b>\$21,466,961</b>	<b>\$22,589,194</b>	<b>\$22,589,194</b>	<b>\$1,122,233</b>

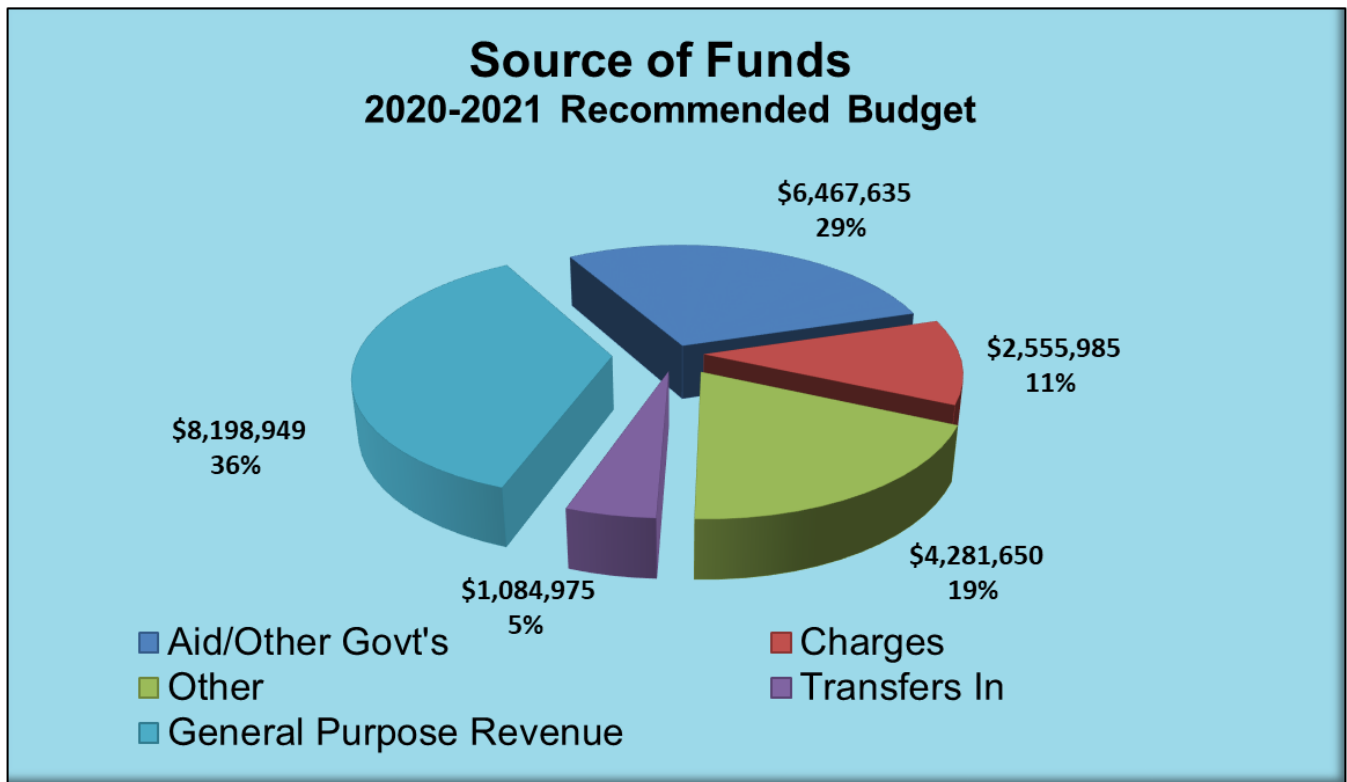
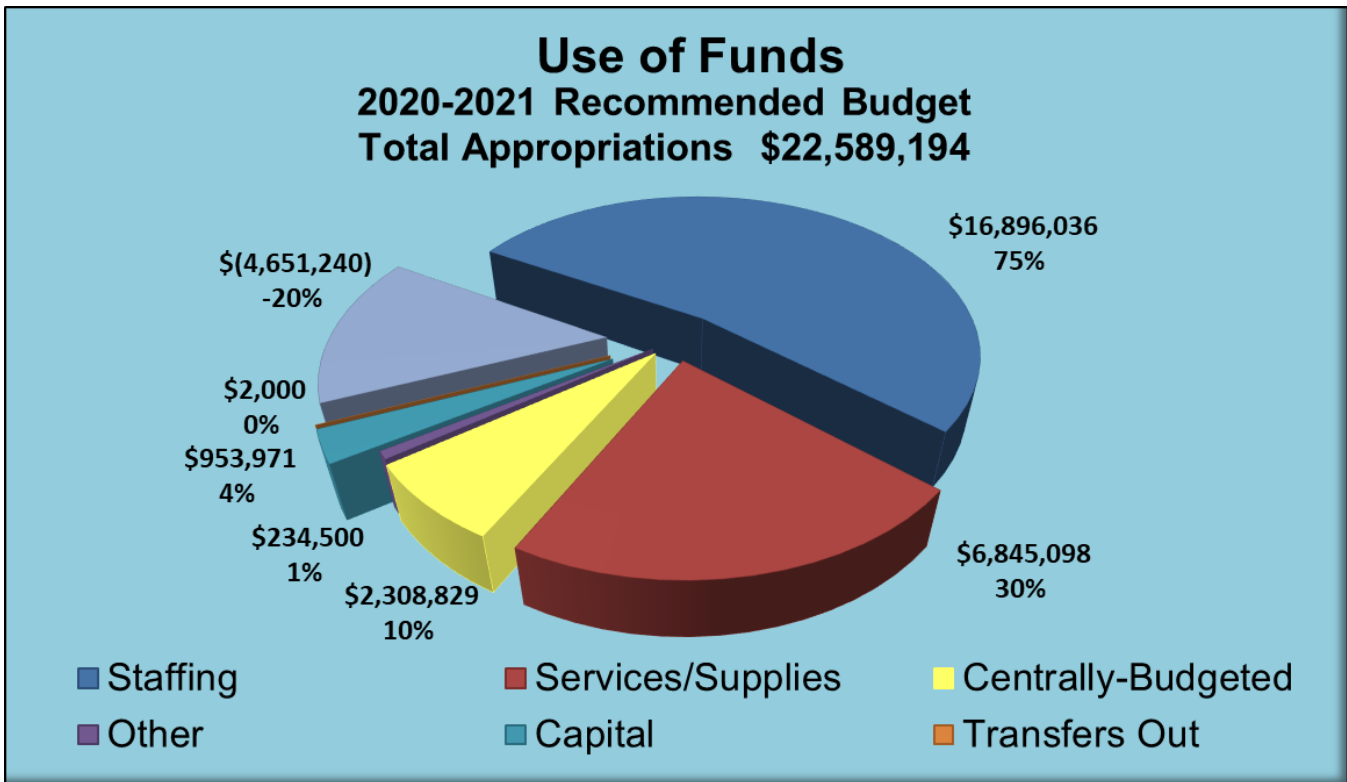
# Environmental Protection

## Appropriations



# Environmental Protection

## Appropriations



# 2024100000—Public Works-Flood Channel Maintenance

## Environmental Protection

Kris Balaji, Public Works Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,054,333	\$2,900,419	\$2,963,647	\$2,963,647	\$63,228
Services & Supplies	0	0	1,480,040	1,480,040	1,480,040
Centrally-Budgeted Expenses	154,646	112,388	164,807	164,807	52,419
<b>Total Expenditures</b>	<b>\$2,208,979</b>	<b>\$3,012,807</b>	<b>\$4,608,494</b>	<b>\$4,608,494</b>	<b>\$1,595,687</b>
Expenditure Reimbursements	(2,220,825)	(3,012,807)	(4,608,494)	(4,608,494)	(1,595,687)
<b>Total Appropriations</b>	<b>(\$11,846)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Earned Revenues By Source</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Net County Cost</b>	<b>(\$11,846)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	28.0	28.0	28.0	28.0	0.0
Temporary (Full-Time Equivalent)	2.1	1.9	1.5	1.5	(0.4)
<b>Total Staffing</b>	<b>30.1</b>	<b>29.9</b>	<b>29.5</b>	<b>29.5</b>	<b>(0.4)</b>

- Prior to 2020-2021, Services & Supplies were allocated in Special Districts budget.

### Purpose

The Flood Channel Maintenance budget provides funding for personnel who perform the maintenance of channels and levees within the San Joaquin County Flood Control and Water Conservation District Zones 9 and 10, and flood control maintenance for the San Joaquin Area Flood Control Agency (SJAFCA). Maintenance activities include vegetation management programs, repair of washouts and erosions, maintenance of patrol roads, removal of siltation and debris, and rodent control programs.

The Division maintains over 300 miles of project and non-project levees and flood control channels. Project channels are those constructed as part of a State or Federal flood control project. These projects are maintained in accordance with the State Department of Water Resources and the United States Army Corps of Engineers (USACE) mandates. Non-project channels are existing unimproved waterways that carry storm runoff. These channels are maintained as funding and work priorities allow.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$78,918 Salary and benefits adjustments.
- (\$15,690) Decrease in extra-help.

#### Services & Supplies

- \$1,480,040 Services and supplies costs due to reallocation from Special Districts budget.

#### Centrally-Budgeted Expenses

- \$22,931 Increase in Casualty insurance costs.
- \$22,015 Increase in data processing charges.

#### Expenditure Reimbursements

- \$1,429,281 Increase in reimbursement from Zone 9 Fund.



# 2024100000—Public Works-Flood Channel Maintenance

## Environmental Protection

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- \$164,807 Increase in reimbursement from government funds.

### Program Discussion

The 2020-2021 recommended Flood Channel Maintenance budget totals \$4,608,494, which is an increase of \$1,595,687 from the 2019-2020 adjusted budget. This change primarily reflects the transition of division services and supplies costs, which had previously been reflected solely within the Special District funds (\$1,480,040), and an increase in salary and benefits adjustments (\$78,918).

During 2020-2021, enhanced maintenance programs for Federal project levees and channels will continue in an effort to address State and Federal inspection criteria. These efforts include meeting enhanced vegetation guidelines; addressing minimally acceptable and unacceptable inspection ratings related to erosion repairs; rodent control; encroachments; and structures going through levees that require visual inspection. In addition, the Division continues to install erosion control material and remove debris flowing down the watershed, which resulted from localized flooding, reservoir releases, and snow melt in 2018-2019. These efforts are an integral part of maintaining full accreditation of County-maintained levees that provide critical urban flood protection.

#### Zone 9

The Flood Control and Water Conservation District Zone 9, consists of 110 miles of project levees and approximately 217 miles of non-project channels. The levels of service provided are identified in the annual Engineer's Report for Zone 9.

In 2020-2021, the primary focus will remain on vegetation removal and rodent control along project channels to meet USACE maintenance standards. Continued emphasis will be placed on addressing unauthorized urban encroachments along Bear Creek, Calaveras River, and Mormon Slough. In 2016-2017, a video pipeline inspection system was obtained for Zone 9 to meet Federal requirements. A grant was secured to pay for this activity. Staff was certified during 2017-2018 and the pipeline inspection program will continue into 2020-2021.

The enhanced maintenance programs undertaken over the past six years significantly depleted Zone 9 reserves. Budget reductions in the past three fiscal years avoided further depletion of the reserve, which has a projected balance of \$1.4 million at the end of 2019-2020. To ensure that services

continue and levee accreditation is maintained, staff will continue to work with the Water Resources Division on a Proposition 218 effort to raise the fee for Zone 9, which has been flat-funded for over two decades. The goal is to complete the Proposition 218 process in 2020-2021, which if successful, would create a new assessment district with an additional revenue of approximately \$1.5 million in 2021-2022.

#### Zone 10

The San Joaquin County Flood Control and Water Conservation District Zone 10 provides limited funding for emergency pumping in the event of a flood or emergency repairs to five miles of levee in the Woodbridge area. Levels of service provided are identified in the annual Engineer's Report. As of March 31, 2020, the fund balance (#21114) was \$273,070, and is used to support expenditures necessary to respond to an emergency flood event.

#### Assessment District 96-1

The SJAFCA has constructed improvements to various levees within Assessment District 96-1. The County provides maintenance for these improvements through a reimbursement agreement with SJAFCA. As the improvements age, increasing maintenance efforts are needed. Upon request, various special maintenance projects are performed for SJAFCA to provide enhanced flood protection within its jurisdiction.

#### 2019 Novel Coronavirus (COVID-19)

Most Channel Maintenance Division revenue comes from the Flood Control District Zone 9 and Assessment District 96-1 benefit assessment districts, which are anticipated to be unaffected by economic impacts of COVID-19 since these assessments are billed on the property tax roll and are teetered. Zone 9 also derives roughly a quarter of its revenue from property tax, and impact on these revenues is likely to be minimal unless the severity and duration of COVID-19 economic impacts result in a significant and widespread reduction in real property values and property tax revenue.

COVID-19 considerations have delayed the effort to establish a new benefit assessment district that would generate additional revenue needed for levee maintenance activities. Due to inability to conduct effective outreach and likely unreceptiveness of constituents, the effort has been postponed until at least the fall 2020, which will delay potential revenue generation by one year.

**2024100000—Public Works-Flood Channel Maintenance**  
**Environmental Protection**

**Workload Data**

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Channel Debris Clearing (cu yds*)	35,000	45,000	65,000	65,000	75,000
Erosion Control (cu yds*)	12,000	15,000	15,000	17,500	17,500
Illegal Dumping (cu yds*)	2,000	2,500	3,500	2,500	2,500
Levee Clearing (acres)	1,200	3,000	2,400	2,400	2,400
Mowing (acres)	2,800	3,000	3,000	2,500	2,400
Patrol Road Maintenance (miles)	36	40	40	40	30
Rodent Control (acres)	1,400	1,400	1,300	1,200	1,200
Rodent Hole Grouting (holes)	2,200	1,000	1,000	1,200	0
Structured Maintenance	N/A	N/A	N/A	300	275
Tree Trimming/Removal	3,000	3,500	4,500	4,500	5,500
Weed Control (acres)	1,000	1,000	1,000	1,000	1,000
*cu yds = cubic yards					

# 2024700000—Agricultural Commissioner

## Environmental Protection

Tim Pelican, Agricultural Commissioner/Sealer

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$5,142,610	\$5,765,326	\$5,903,207	\$5,903,207	\$137,881
Services & Supplies	847,064	1,182,142	1,471,900	1,471,900	289,758
Centrally-Budgeted Expenses	283,525	979,868	905,107	905,107	(74,761)
Other Charges & Uses	7,500	5,000	5,000	5,000	0
Capital Expenditures	144,228	591,000	320,000	320,000	(271,000)
<b>Total Expenditures</b>	<b>\$6,424,926</b>	<b>\$8,523,336</b>	<b>\$8,605,214</b>	<b>\$8,605,214</b>	<b>\$81,878</b>
Expenditure Reimbursements	(35,751)	(41,300)	(42,746)	(42,746)	(1,446)
<b>Total Appropriations</b>	<b>\$6,389,175</b>	<b>\$8,482,036</b>	<b>\$8,562,468</b>	<b>\$8,562,468</b>	<b>\$80,432</b>
<b>Earned Revenues By Source</b>					
Licenses/Permits/Franchises	\$21,866	\$20,110	\$20,250	\$20,250	\$140
Interest/Rents	77	0	0	0	0
Aid From Other Governments	3,562,175	3,742,703	3,720,069	3,720,069	(22,634)
Charges For Services	657,120	648,500	649,000	649,000	500
Miscellaneous Revenues	45,298	50,450	40,200	40,200	(10,250)
Operating Transfers In	342,281	1,323,961	1,084,975	1,084,975	(238,986)
<b>Total Revenues</b>	<b>\$4,628,816</b>	<b>\$5,785,724</b>	<b>\$5,514,494</b>	<b>\$5,514,494</b>	<b>(\$271,230)</b>
<b>Net County Cost</b>	<b>\$1,760,359</b>	<b>\$2,696,312</b>	<b>\$3,047,974</b>	<b>\$3,047,974</b>	<b>\$351,662</b>
<b>Staffing</b>					
Allocated Positions	41.0	43.0	43.0	43.0	0.0
Temporary (Full-Time Equivalent)	13.1	14.2	13.7	13.7	(0.5)
<b>Total Staffing</b>	<b>54.1</b>	<b>57.2</b>	<b>56.7</b>	<b>56.7</b>	<b>(0.5)</b>

### Purpose

The Agricultural Commissioner’s Office (Office) enforces the provisions of the California Food and Agricultural Code for the protection of public health, safety, and welfare, and to promote and protect the agricultural industry.

The Agricultural Commissioner is also the Sealer of Weights and Measures, responsible for testing and certifying all commercial weighing and measuring devices in the County; inspecting prepackaged items to assure content; testing and inspecting petroleum products for quality and label truth; and regulating procedures used by weighmasters to issue certificates for the sale of bulk products.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Agricultural Commissioner’s Office (Office) focuses on carrying out the Board’s June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

# 2024700000—Agricultural Commissioner

## Environmental Protection

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### Ensure Fiscal Responsibility

In 2019-2020, the Office worked with Graviton Consulting Inc. (Graviton) to develop an application for project costing to track staff time spent reporting daily activities for all State and Federal contracts and other programs administered by the Office. Staff time entered through the application is linked to the Oracle Payroll System requiring staff to enter hours in real time to reduce errors, replacing spreadsheets used to track expenses, and reducing the number of hours spent by staff producing annual financial reports required by the State. In 2020-2021, the Office plans to work on a second application to produce invoices for all hours worked.

### Promote Good Governance and Increase Organizational Capabilities

Currently, the Office has 24 Agricultural Biologist/Standards Inspector III positions. In order to increase the efficiency of the management team, a classification study was completed and the Office hired two Agricultural Biologist/Standards Inspector IVs. These individuals are responsible for day-to-day supervision of field staff in the satellite offices, which was previously a responsibility of two Deputy Agricultural Commissioners. These new lead positions also work in the field to cover workload as needed allowing the Deputy Agricultural Commissioners to focus on their respective programs by spending more time in the centralized Stockton location and offers the opportunity for succession planning and internal growth. Additionally, the Office continues to improve its Pesticide Use Enforcement program by enhancing the permitting and inspection process for the agricultural industry.

A new program, BeeWhere, is being used by the Office to register beekeepers and track bee colonies. This program helps farmers to identify any bee colonies within one mile of a pesticide application that may be toxic to bees and notify beekeepers to reduce potential pesticide exposure thus providing increased pollinator protection.

To continue promoting good governance, the Office accepts electronic payments over the phone as well as on-line eliminating the need for customers to drive to the physical location to avoid any late payment penalties. The option of credit card payments has saved time, costs of generating late payment billings, and has increased overall accounting staff efficiency. During 2019-2020, the Office collected \$31,294 in credit card payments through March 31, 2020.

### Promote Economic Development

The Agricultural Commissioner's Office will continue to improve its effectiveness in the detection of pests, diseases,

and other threats to agriculture, including responding to, and mitigating their presence within the County. The agricultural district boundaries have been reorganized within the County to provide better services to the agricultural industry.

Staff will continue to support new and existing businesses by assuring equity in the market place through the Weights and Measures Division inspection programs.

### Stay Informed and Proactive in Dealing with Water Issues

The Office will continue to collaborate with growers, agricultural industries, the Resource Conservation District, the San Joaquin County Farm Bureau Federation, and the County Water Coalition to manage the use and handling of pesticides in order to minimize the accidental introduction of pesticides into local waterways.

In March 2017, a female nutria pregnant with seven young was captured in a private wetland southeast of Gustine, Merced County. Nutria are large, semi-aquatic rodents and are highly invasive in the United States. In 2018, Nutria were found in San Joaquin County near the town of Lathrop and there have been sightings in Walthall Slough and Rough and Ready island in the Southern Sacramento San Joaquin Delta. It was determined in the fall of 2018 that there is a breeding population in Walthall Slough, and since that time 91 animals have been harvested by the California Fish and Wildlife Services trappers. These sightings are particularly troublesome due to the fact that the Delta is an extremely fragile system of levees and islands that provide water to millions of acres of farmland and people in California.

The Delta in San Joaquin County alone contains 1,100 miles of levees that protect a deep water channel used for ship traffic to and from the Port of Stockton and 223,000 acres of production agriculture. Nutria are known to burrow in levees in the areas they are found which can cause levees to collapse, especially in times of high water runoff in spring when levees are under extreme stress due to high water levels. Levee collapse can cause the weakening and collapse of surrounding levees and cause the incursion of saline water from the San Francisco Bay threatening the water quality not only in the Delta but to those who receive water supplies from the Delta Mendota Canal.

The Federal Government has set aside \$12.0 million annually beginning in 2021 for Nutria eradication which will be distributed to the States on a first-come first-served basis. Nutria eradication continues to be a top priority for the County and the Office continues to work on eradication efforts at the State and Federal level.

# 2024700000—Agricultural Commissioner

## Environmental Protection

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### Major Budget Changes

#### Salaries & Employee Benefits

- \$155,520 Salary and benefits adjustments.
- (\$17,639) Decrease in extra-help.

#### Services & Supplies

- \$236,000 Increase in professional services for the development of a new billing system.
- \$57,898 Increase in fleet services costs due to vehicles for new positions added in 2019-2020.
- \$30,000 Increase in professional services costs for Weed Management program.
- (\$33,802) Decrease in pest detection supplies due to decrease in contract costs.

#### Centrally-Budgeted Expenses

- \$12,279 Increase in Casualty insurance costs.
- (\$8,636) Decrease in data processing charges.
- (\$6,699) Decrease in lease cost for technology equipment.
- (\$71,656) Cost Allocation Plan adjustment.

#### Revenues

- \$41,233 Increase in Pest Exclusion contracts.
- \$40,000 New State Weed Management Grant.
- \$18,059 Increase in State unclaimed gas tax funds.
- (\$241,986) Decrease in funding from the Agricultural Inspection Trust Fund.
- (\$73,704) Decrease in State funding for Pest Detection contracts.
- (\$24,000) Decrease in Bee Safe State Contract revenue.
- (\$19,949) Decrease in Specialty Crop Block Grant funds.

### Capital Expenditures

- \$295,000 Parking lot fence extension.
- \$25,000 Weights & Measures trailer.

### Program Discussion

The 2020-2021 recommended Agricultural Commissioner's Office (Office) budget totals \$8,605,214, which is an increase of \$81,878 from the 2019-2020 adjusted budget. The increase primarily reflects costs associated with building a new inspection and device registration program for Weights & Measures (\$236,000), salary and benefits adjustments (\$155,520), and fleet services costs (\$57,898), offset by a decrease in overall Capital Expenditures (-\$271,000) and a Cost Allocation Plan adjustment (-\$71,656).

Currently, Weights and Measures inspectors in the field are unable to utilize the existing device registration program on their mobile devices to perform inspections. In 2019-2020, the Office began contracting with Graviton to create a new application that will help with device registration billings that will be used when performing inspections.

The recommended budget also includes the replacement of a trailer to carry heavy-duty testing equipment. The existing trailer used for hauling test weights and a loader does not meet the needs of the Office. Other capital expenditures include the extension of the parking lot fence to accommodate fleet vehicles for seasonal employees.

The Office plans to expand the existing mobile application to enhance billing capabilities. The mobile application will assist staff in preparing automated customer invoices for fee-based programs.

#### 2019 Novel Coronavirus (COVID-19)

The COVID-19 pandemic is expected to affect the ability of some agricultural commodity groups to export due to the lack of transportation to foreign markets. The following would be expected to impact the Office budget if there is a prolonged or re-occurring COVID-19 event:

- Inability to export will have a direct budgetary effect due to fewer inspections for phytosanitary certificates.
- Reduced fuel sales will have a corresponding effect on Unclaimed Gas Tax revenues.
- Due to the cancellation of the State Fair, the Office does not anticipate entering into a contract to construct a San Joaquin County booth at the Fair.

# 2024700000—Agricultural Commissioner

## Environmental Protection

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- Inability to hold Ag Venture events in 2020-2021 could impact the State Grant, and the contract with the Ag Venture Coordinator.
- Cancellation of all conference room bookings at the Agricultural Center has resulted in a loss of \$29,922 as of May 1, 2020. If the closure of the Agricultural Center and large gatherings continues this will continue to impact this revenue stream in 2020-2021.

### *Unclaimed Gas Tax (UGT) Revenue*

State law provides that refunds of fuel taxes may be requested for off-highway usage, such as for agricultural purposes; however, not all allowable refunds are requested. These unclaimed monies are deposited into a special fund administered by the California Department of Food and Agriculture (CDFA), with a portion distributed annually to county Agricultural Commissioners. The amount received by each county is based on the relative amount of General Fund support received in comparison to other counties and overall costs of agricultural programs. The County's share of gas tax revenues is budgeted at \$1.25 million for 2020-2021.

The UGT revenue for 2020-2021 is projected to be steady. Due to the passage of Senate Bill 1 in 2017, there has been an overall increase in UGT funding to CDFA. The increased UGT funding led to the enhancement of the Pest Exclusion Program by covering all of the terminal inspection costs. The Office is anticipating a \$256,233 Pest Exclusion contract for 2020-2021. The Office will also be receiving a grant for \$40,000 for a Weed Management program and is planning to contract with a local Resource Conservation District to map noxious weed areas in the County.

### *Pest Detection Programs*

In 2019, two Oriental Fruit flies were found during inspection of surveillance traps in the area around the City of Tracy resulting in delimitation and treatment efforts. Currently, the CDFA is performing delimitation trapping and treatments, but any new finds during this season will result in quarantines. The Office is working with the agricultural industry to provide options for pre-quarantine treatments, especially in cherries. State Pest Detection contracts typically provide funding for seasonal staff, vehicle expenses, and other supplies to deal with quarantine and eradication efforts.

- **Asian Citrus Psyllid (ACP)** - In 2019, there were no new finds of ACP in the County resulting in a decrease of traps and the State contract by \$145,514 for 2019-2020. The Office received \$47,901 for July-September of 2020. If a new contract is received for October through June, it will be reflected as a mid-year budget adjustment during 2020-2021 due to the timing of the Federal budget.

- **European Grape Vine Moth (EGVM)** - The contract status for the EGVM program is uncertain due to Statewide eradication in 2016. In 2019-2020, a contract was received for \$262,070, which is 21% less than the previous year to continue survey work throughout the County to ensure that eradication of the EGVM is maintained. If a new State contract is received, the Office will present the item and any necessary budget adjustments to the Board of Supervisors for consideration during 2020-2021.

In the long-term view, if State quarantine and pest detection projects scale down significantly, there will be an impact to the Office's budget. Aside from direct reductions in seasonal staff and other program expenditures, there will also be an impact to departmental overhead charges. Typically, State contracts allow a 25% overhead charge on part-time seasonal labor costs to help cover indirect administrative and support expenses. If contract revenue is eliminated, the ability to spread indirect costs to these programs is also eliminated, leaving overhead costs to be absorbed by remaining programs or the General Fund. Staff will continue to monitor the situation and reduce or adjust overhead costs as appropriate.

### *AgVenture Program*

In 2018, a Specialty Crop Block Grant (SCBG) was awarded by CDFA to cover approximately 65% of the costs for the AgVenture Program from 2018-2021. The recommended budget includes \$123,000 in salary and supply costs for the program. The Office is planning to transfer any costs not reimbursed by the SCBG funding from the AgVenture Trust Fund to cover transportation and a portion of the AgVenture Program Coordinator's salary.

### *Agricultural Center*

The Agricultural Center was built in 2008 and has over 62,000 square feet of housing offices for the Agricultural Commissioner, the Office of Emergency Services and the University of California, Cooperative Extension as well as several assembly rooms.

In December of 2018, the Board of Supervisors approved enhancements in the assembly rooms at the Agricultural Center. These enhancements included new audio visual equipment with laser projectors, new media outlets as well as capability of projecting any presentations from one room to other during overflow of attendees. These enhancements will also allow the use of multiple microphones in each assembly room.

### *Pesticide Enforcement Revenues*

A State mill fee is assessed on pesticides sold in California with monies collected to pay for State Department of Pesticide Regulation programs and to help counties offset the

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## Environmental Protection

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cost of its Pesticide Use Enforcement program. Revenue is projected to remain steady at approximately \$1.2 million in 2020-2021.

### State Fair (Fair) Display

The Fair is an important venue to promote and highlight the County's agriculture and its tourism opportunities through production of a display. Many counties in the State have participated in the Fair through visitor bureaus and Chambers of Commerce. In 2019, the Office collaborated with Visit Stockton and the Stockton Convention and Visitors Bureau to enhance the Fair display. The recommended budget includes \$5,000 in funding toward the costs of the County's display; however, the State Fair has been cancelled this year due to COVID-19 and the County's contribution will not be necessary but may be used for other purposes.

### Crop Report

California Food and Agriculture Code Section 2272 mandates the Agricultural Commissioner of each county to compile an annual crop report in accordance with the methods outlined by the National Agricultural Statistics Services. The Annual Crop Report helps in providing agriculture production data to private lending institutions, Assessor, Federal, State, and local governmental agencies.

<u>Calendar Year</u>	<u>Gross Ag Value</u>
2018	\$2,594,246,000
2017	\$2,527,989,000
2016	\$2,337,922,000
2015	\$2,732,917,000
2014	\$3,234,705,000
2013	\$2,976,997,000
2012	\$2,869,472,000

### Weights and Measures Division

The Weights and Measures 2020-2021 Annual Device Registration Fees remain steady. The Division has seen an increase in large capacity devices being tested as existing businesses diversify by manufacturing and selling diverse products that require additional weighing and measuring equipment to be tested and certified. In 2019-2020, the Division purchased a new weight truck and crane to replace a 30-year-old vehicle. The Division also purchased an electric charging station inspection unit to increase testing capabilities to better serve customers. The recommended 2020-2021 budget includes a new heavy-duty trailer which will be used to carry heavy-duty testing equipment and a loader for cattle scale inspections.

### Emerging Issues

#### Hemp

In 2019, the Board of Supervisors passed a Hemp Ordinance to allow cultivation of hemp in San Joaquin County. The Office has been working with applicants and has registered and licensed three hemp producers. As of April 13, 2020, there are five registered industrial hemp growers in the County with a total of 374.72 acres and 199,100 square feet of greenhouse space. The Office continues to work with the California Agricultural Commissioner and Sealers Association and its hemp-working group at the State level due to the continuous changes to State regulations in this area of policy. In addition, staff has been attending Hemp Advisory Board meetings and conference calls with hemp regulators from throughout the United States. CDFA is currently revising regulations for hemp, to be in line with the Federal proposed regulations. Any oversight activities by staff are covered by fees similar to those already in place for the issuance of export certifications and contracts with CDFA.

#### Trust Funds

The Office maintains four special trust funds established by the Board of Supervisors to carry out different programs.

#### Agricultural Facilities Trust Fund

The Agricultural Facilities Trust Fund (#12005), established in 2010 (B-10-432), receives all fees collected for use of the County Agricultural Center. Funds are used for replacement of furnishings, window coverings, flooring and equipment, special maintenance needs, and incidental supplies for the public use areas. As of March 31, 2020, the fund balance totaled \$317,332. After the revision of facility use fees in October 2015, the fund has seen yearly increases in revenue, but are expected to be impacted in 2019-2020 by the loss of facility bookings due to COVID-19.

<u>Fiscal Year</u>	<u>Trust Revenues</u>
2015-2016	\$37,105
2016-2017	\$66,448
2017-2018	\$78,400
2018-2019	\$80,549
2019-2020	\$79,405

#### AgVenture Trust Fund

As of March 31, 2020, the AgVenture Trust Fund (#12006) balance totaled \$196,732. Continuation of the program will be dependent on grant funding, private donations, and County funding.

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## **Environmental Protection**

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### ***Glassy-Winged Sharp Shooter (GWSS) Prevention Trust Fund***

On January 5, 2000, the San Joaquin County GWSS task force was organized to address the threats of the GWSS in the County. Subsequently, the Board established the Trust Fund (#12002) to fund the program in the absence of local, State, or Federal funding availability. The original source of funding came through donations from the local wine grape industry. The GWSS program continues to be active, but is now funded with Federal monies through a State contract with the County. As of March 31, 2020, the fund balance totaled \$55,386.

### ***Special Agriculture Inspection Trust Fund***

The Special Agriculture Inspection Trust Fund (#12004) captures revenues from inspection and certification services.

Average annual revenue is approximately \$635,000. As of March 31, 2020, the fund balance totaled \$2,580,269, and does not include revenue that would have been received from spring 2020 activities, including cherry inspections that did not occur due to COVID-19. The 2020-2021 recommended budget includes a transfer of \$985,175 from this trust fund to support the Office's operations which is a decrease of \$241,986 from the amount transferred in 2019-2020.

### ***Weights and Measures Trust Fund***

The Weights and Measures Trust Fund (#12007) was established to transmit the administrative fee portion of device registration fees collected by the Agricultural Commissioner to the State Department of Measurement Standards. As of March 31, 2020, the fund balance totaled \$0 and the Office proposed to eliminate this fund in 2020-2021 due to the creation of a separate line item in the budget.



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## Environmental Protection

### Workload Data

Action	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<i>Complaint Investigations</i>	40	35	126	88	84
<i>Insect Traps Monitored</i>	13,286	13,300	13,475	15,983	15,983
<i>Investigations</i>	95	110	153	114	124
<i>Pesticide Permits/I.D.#s Issued</i>	1,668	1,846	1,985	2,000	1,870
<b>Issuance</b>					
<i>Export Certificates Issued</i>	16,158	16,250	16,700	15,750	16,750
<b>Inspections</b>					
<i>Civil Penalty Actions</i>	9	5	11	21	21
<i>Establishments Inspected</i>	2,899	2,750	2,930	2,854	2,750
<i>Fruit and Vegetable Inspections</i>	1,486	1,616	896	1,150	1,640
<i>Measuring Devices Inspected</i>	8,312	7,500	8,513	9,123	8,500
<i>Nursery Inspections</i>	85	85	85	59	59
<i>Package Inspections</i>	1,114	500	715	840	840
<i>Pesticide Inspections</i>	771	650	757	850	850
<i>Petroleum Inspections</i>	285	250	201	313	250
<i>Seed Inspections</i>	120	120	120	132	132
<i>Weighing Devices Inspected</i>	3,210	3,000	3,648	3,857	3,500
<i>Weighmaster Inspections</i>	31	25	20	17	15
<b>Shipments</b>					
<i>Incoming Plant Shipments</i>	6,231	4,887	4,922	4,912	4,896
<b>AgVenture</b>					
<i>Program Student Participants</i>	9,985	9,584	9,850	10,000	9,992
<i>Program Volunteers</i>	1,183	1,176	1,192	1,192	1,270

# Summary

## All Community Development Budgets David Kwong, Community Development Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$7,044,298	\$8,218,004	\$8,161,234	\$8,161,234	(\$56,770)
Services & Supplies	1,596,400	2,085,408	2,140,610	2,140,610	55,202
Centrally-Budgeted Expenses	77,537	541,727	1,375,392	1,375,392	833,665
Other Charges & Uses	6,287,271	16,391,562	18,853,723	18,853,723	2,462,161
Capital Expenditures	239,352	807,410	687,426	687,426	(119,984)
<b>Total Expenditures</b>	<b>\$15,244,858</b>	<b>\$28,044,111</b>	<b>\$31,218,385</b>	<b>\$31,218,385</b>	<b>\$3,174,274</b>
Expenditure Reimbursements	(904,239)	(665,000)	(732,187)	(732,187)	(67,187)
<b>Total Appropriations</b>	<b>\$14,340,619</b>	<b>\$27,379,111</b>	<b>\$30,486,198</b>	<b>\$30,486,198</b>	<b>\$3,107,087</b>
<b>Earned Revenues By Source</b>					
Licenses/Permits/Franchises	\$5,136,633	\$4,440,000	\$4,218,000	\$4,218,000	(\$222,000)
Aid From Other Governments	5,689,989	17,684,662	20,408,583	20,408,583	2,723,921
Charges For Services	2,221,644	2,024,758	1,906,985	1,906,985	(117,773)
Miscellaneous Revenues	7,226	1,200	1,200	1,200	0
Operating Transfers In	8,302	0	0	0	0
<b>Total Revenues</b>	<b>\$13,063,794</b>	<b>\$24,150,620</b>	<b>\$26,534,768</b>	<b>\$26,534,768</b>	<b>\$2,384,148</b>
<b>Net County Cost</b>	<b>\$1,276,825</b>	<b>\$3,228,491</b>	<b>\$3,951,430</b>	<b>\$3,951,430</b>	<b>\$722,939</b>
<b>Staffing</b>					
Allocated Positions	57.0	59.0	58.0	58.0	(1.0)
Temporary (Full-Time Equivalent)	2.0	1.2	0.6	0.6	(0.6)
<b>Total Staffing</b>	<b>59.0</b>	<b>60.2</b>	<b>58.6</b>	<b>58.6</b>	<b>(1.6)</b>

This presentation represents a summary of the two budgets administered by the Director of the Community Development Department. They include:

- 2025600000 Community Development
- 5055246000 Neighborhood Preservation

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Community Development Department focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted

by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

The following highlights provide an overview of several projects, programs, and activities administered by the Community Development Department.

#### Ensure Fiscal Responsibility

- Due to the current economic conditions and uncertainty associated with the 2019 Novel Coronavirus (COVID-19) pandemic, the Department has closely monitored permit activities in the third and fourth quarters of 2019-2020 and is projecting a 5% decrease in permit activity in 2020-2021. The Department will closely monitor economic indicators and permit activity and may return

# Summary

## All Community Development Budgets David Kwong, Community Development Director

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to the Board of Supervisors for midyear budget adjustments.

- The Community Development Department only adds staff when necessary to meet increased workload volumes or if service levels would be compromised. The 2020-2021 proposed budget includes the addition of a Code Enforcement Officer to address an increased workload and to meet long-term strategic goals. The cost of the new position will be offset by the deletion of a vacant Department Information Systems Manager position.

### Promote Good Governance and Increase Organizational Capabilities

- The Building Inspection Division is continuing its efforts to streamline permit issuance and inspections. Building Division Inspectors utilize electronic tablets allowing them network access at the job site to maximize efficiencies.
- The Department is continuing to implement ePlanSoft and updating processes to allow for the acceptance of electronic plans for review.
- In 2019-2020, the Department was awarded Senate Bill (SB) 2 Planning Grant Program funding in the amount of \$310,000 to implement projects that streamline housing approvals and accelerate housing production. The grant funding will be used to develop improvements to departmental permitting and application processing, and to make efficiency upgrades to the counter and permitting staff areas.
- Code Enforcement Officers have been provided tablets and portable printers for use in the field. Additionally, staff is developing an electronic case management system to track active code enforcement cases to assist in more efficient and timely resolution of cases.
- Code Enforcement Officers continue to participate in a weekly triage meeting and respond to complaints as part of the Community Service Team, which includes the Sheriff's Office and the Environmental Health Department.

In 2020-2021, continuing projects that address homelessness issues include:

- Expansion of the number of beds in homeless shelters.
- Rental assistance for longer term housing.

- Support services such as counseling, child care, transportation, and employment assistance for shelter residents.
- Rapid re-housing for those at risk of losing their housing to prevent homelessness.
- Emergency and temporary shelter housing for those who cannot afford housing.

### Promote Economic Development

- Due to the COVID-19 pandemic, staff anticipates a 5% reduction for permit activity and construction through 2020-2021. Staff is working with Mountain House developers on construction projects scheduled to be completed in summer 2020, including the Mountain House Community Services District administration building, town hall, and library. Phase I development of the Mountain House Shopping Center, comprised of a 55,000 square-foot supermarket, a fuel center, a 3,000 square-foot convenience store, and three multi-tenant commercial buildings is expected to begin construction in summer 2020. At full buildout of the Town Center, it is estimated that over 2,000 jobs will be created.
- A new neighborhood commercial and retail project plaza has begun construction in Neighborhood D "Cordes Village Plaza." Upon completion in fall 2020, the structure will contain eight tenant spaces and is estimated to create 24 jobs. Another major highlight for the community is the Mountain House Technology Center, the first advanced manufacturing facility, office center, and light industrial complex in Mountain House. It is expected to generate 895 full-time jobs and is scheduled for completion in summer 2020.
- The Planning/Development Services Division continues to work with the County Administrator's Office and the Master Developer to revise the Special Purpose Plan (SPP), as necessary, for the development of the Airpark 599 project. This is expected to include a revision to the SPP Site Plan and Development Guidelines, as well as coordination of the environmental review process.

### Stay Informed and Proactive in Dealing with Water Issues

- The Planning/Development Division is working with the Public Works Department on SB 5 issues regarding flooding and on the Sustainable Groundwater Management Act. The General Plan includes language and policies for consistency with State regulations.

# 2025600000—Community Development

## Environmental Protection

David Kwong, Community Development Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$6,127,027	\$7,253,937	\$7,165,289	\$7,165,289	(\$88,648)
Services & Supplies	1,517,261	1,917,958	1,935,660	1,935,660	17,702
Centrally-Budgeted Expenses	62,724	501,639	945,161	945,161	443,522
Capital Expenditures	11,096	59,410	70,000	70,000	10,590
<b>Total Appropriations</b>	<b>\$7,718,108</b>	<b>\$9,732,944</b>	<b>\$10,116,110</b>	<b>\$10,116,110</b>	<b>\$383,166</b>
<b>Earned Revenues By Source</b>					
Licenses/Permits/Franchises	\$5,136,633	\$4,440,000	\$4,218,000	\$4,218,000	(\$222,000)
Aid From Other Governments	26,784	38,495	38,495	38,495	0
Charges For Services	2,221,644	2,024,758	1,906,985	1,906,985	(117,773)
Miscellaneous Revenues	7,226	1,200	1,200	1,200	0
Operating Transfers In	8,302	0	0	0	0
<b>Total Revenues</b>	<b>\$7,400,589</b>	<b>\$6,504,453</b>	<b>\$6,164,680</b>	<b>\$6,164,680</b>	<b>(\$339,773)</b>
<b>Net County Cost</b>	<b>\$317,519</b>	<b>\$3,228,491</b>	<b>\$3,951,430</b>	<b>\$3,951,430</b>	<b>\$722,939</b>
<b>Staffing</b>					
Allocated Positions	50.0	52.0	51.0	51.0	(1.0)
Temporary (Full-Time Equivalent)	2.0	1.2	0.6	0.6	(0.6)
<b>Total Staffing</b>	<b>52.0</b>	<b>53.2</b>	<b>51.6</b>	<b>51.6</b>	<b>(1.6)</b>

### Purpose

The Building Inspection Division protects the public's health and safety by regulating new building construction, inspecting existing buildings, and by reviewing all new development projects.

The Planning/Development Services Division processes building and development projects, including any necessary environmental review, and prepares reports for the Planning Commission and the Board of Supervisors. This Division also prepares, maintains, and implements the Development Title and the General Plan. Code Enforcement staff are responsible for investigating complaints and providing enforcement services for violations of the County's Development Title.

Additional Department functions include Administration, Fire Prevention, and Geographic Information Systems.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$217,849 Salary and benefits adjustments.
- \$107,338 Add a Code Enforcement Officer position.
- (\$176,434) Delete a Department Information Systems Manager.
- (\$169,650) Transfer a Department Information Systems Analyst II to the Information Systems Division (ISD) Internal Service Fund (824000000).
- (\$67,751) Decrease in extra-help.

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## Environmental Protection

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### Services & Supplies

- \$109,400 Increase in computer maintenance costs.
- \$75,000 Increase in plan check services costs.
- \$22,000 Increase in revitalization program costs.
- \$10,000 Increase in training costs.
- \$10,000 Increase in fleet services costs.
- (\$98,088) Decrease in costs for environmental review consultants.
- (\$56,710) Decrease in professional services costs for building inspection.
- (\$52,300) Decrease in small tools expense.

### Centrally-Budgeted Expenses

- \$249,796 Increase in data processing charges.
- \$174,775 Cost Allocation Plan adjustment.

### Revenues

- (\$175,000) Decrease in building permit fees reflecting lower volume/activity.
- (\$57,500) Decrease in plan check revenue reflecting lower volume/activity.
- (\$50,000) Decrease in large environmental review projects.
- (\$35,373) Decrease in planning fees reflecting lower volume/activity.

### Capital Expenditures

- \$40,000 Truck.
- \$30,000 Vehicle.

### Program Discussion

The 2020-2021 recommended Community Development budget totals \$10,116,110, which is an increase of \$383,166 from the 2019-2020 adjusted budget. This change reflects an increase in data processing charges (\$249,796), salary and benefits adjustments (\$217,849), a Cost Allocation Plan

adjustment (\$174,775), increased software purchases and maintenance costs (\$109,400), and the addition of a Code Enforcement Officer position (\$107,338); partially offset by the deletion of a Department Information Systems Manager position (-\$176,434), the transfer of a Department Information Systems Analyst II to ISD (-\$169,650), and a decrease in costs for environmental review consultants (-\$98,088). The additional Code Enforcement Officer is necessary to meet increased demands for investigation of code enforcement complaints and to provide enforcement services for violations of the County's Development Title.

### 2019 Novel Coronavirus (COVID-19)

Prior to the COVID-19 pandemic, the Community Development Department had anticipated a 3% annual growth rate, which mirrored the State of California Governor's annual growth estimate for 2020-2021. Due to the current economic conditions and uncertainty, the Department has closely monitored permit activities in the third and fourth quarters of 2019-2020 and is now projecting a 5% decrease in permit activity in 2020-2021. The Department will continue to closely monitor economic indicators and permit activity and may return to the Board of Supervisors for midyear budget adjustments.

### Building Inspection

The Building Inspection Division provides building plan check and inspection services to determine compliance with California Building Code as adopted by the County.

Due to the COVID-19 pandemic, staff anticipates a 5% reduction for permit activity and construction within the Mountain House Community Services District (Mountain House) through 2020-2021. There will continue to be demand for building permits and inspections for single-family residential homes, commercial, and industrial development.

Countywide residential, agricultural, and commercial development had maintained a steady growth leading up to the COVID-19 pandemic and Division inspection staff have continued to provide next day inspection services without the need to roll over requests.

Staff continues to evaluate additional means and methods to make the permitting and plan check process more streamlined for the Division and the public. With the heightened demand for affordable housing including second unit dwellings referred to as Accessory Dwelling Units (ADU) and Junior Accessory Dwelling Units (JADU), the Division is working on specific methods to simplify the permitting process. Plan check and building staff answer questions from the public and provide guidance in understanding building code requirements. A separate triage room is planned to be completed in summer 2020, where applicants can meet face-

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## Environmental Protection

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to-face with plan check and building staff and receive answers to specific project questions, to review plans, and review project documents for completeness prior to submitting a building permit application. In addition, staff is developing specific handout materials to address the recently adopted 2019 California Building Codes and recent changes in State law concerning ADUs and JADUs. These highlighted services should shorten the total amount of time from permit application to permit issuance.

The transition to providing electronic plan submittal and review has been slow but continues to be a top priority of the Division. When fully implemented, the service will reduce the required physical visits to the office and reduce the turnaround time of the plan check process. Field inspection staff will also benefit with having electronic plans and specifications available on their electronic devices. Once triage is open, the plan is to have the service in full operation on a voluntary basis, with mandatory electronic submittal for certain large projects implemented by late 2020. The Division will continue to send staff to building code-training classes to improve the Division's knowledge of the recently adopted 2019 California Building Codes.

### Planning/Development Services

The Planning Division reviews land use development proposals of project applicants. The Division is divided into three areas: Current Planning, Advanced Planning, and Mountain House. Current Planning focuses on advising, processing, and conducting required environmental review of development projects. Advanced Planning focuses on longer term development projects, General Plan amendments, Zone Reclassifications, Quarries, Development Title updates, and implementation of the 2035 General Plan. Mountain House planning and project processing are described below.

The 2019-2020 budget included the addition of a second Principal Planner to oversee the Current Planning area due to increased development demand and to assist with implementation of the 2035 General Plan. This additional position has increased efficiency, streamlined development application processing, and improved the organization of the Planning Division.

To accomplish projects and environmental review, the Division relies heavily on review by other County departments and non-County agencies. The Division continues to improve workflow processes between County departments by improving communication and coordination of planning projects. Planning staff currently utilizes Permits Plus software to assist with those activities and communications.

In 2020-2021, the Division will continue to review its processes, including its use of software and technology, and

strive to further streamline procedures, improve accountability, and enhance the customer experience. A new dedicated planning e-mail address has been added to the department's website that allows the public to send questions regarding zoning, permitting and general land use inquiries. Planning staff responds to these e-mail inquiries throughout the day and the average response time is less than one day. In addition, the Department has implemented Planner of the Day duties that include answering phone calls from the public to improve response time for planning questions.

### Development Title Update

On March 24, 2020, the Board of Supervisors approved (B-20-152) a multiyear contract with Dyett and Bhatia Urban and Regional Planners to update the Development Title, the County's zoning code document that implements the policies of the 2035 General Plan. Advanced Planning staff is leading the effort, which will produce an improved planning document that reflects the policies and priorities of the Board of Supervisors for the preservation of agriculture and the development of commercial, industrial, and residential land. The total contract amount is \$705,551 and \$286,985 is included in the recommended 2020-2021 budget. The project is funded by a combination of General Plan Implementation Fee revenue and Senate Bill 2 Planning Grant Program funding, and is expected to be completed in June 2021.

### Mountain House

The Department provides building, planning, and development services to the unincorporated Mountain House community. The Mountain House New Town Planning Trust (#81329) is maintained in perpetuity with advanced deposits from the Master Developers. The trust fund balance as of April 30, 2020 was approximately \$184,461 and is adequate to cover Department charges of approximately \$166,250 related to staff review of Planning and Public Works entitlements for 2020-2021. Due to the COVID-19 pandemic, staff anticipates a 5% reduction for permit activity and construction through 2020-2021.

Construction projects scheduled to come online in 2020-2021 include the Mountain House Community Services District administration building, town hall, and library and are scheduled to be completed in summer 2020. This new building will serve as the first anchor building for the new Main Street and downtown for the future Town Center. In addition, Phase I development of the Mountain House Shopping Center, comprising of a 55,000 square-foot supermarket, a fuel center, a 3,000 square-foot convenience store, and three multi-tenant commercial buildings is expected to begin construction in summer 2020. The new commercial grocer will serve as the second anchor building for the Town Center. At full buildout of the Town Center, it is estimated that over 2,000 jobs will be created.

# 2025600000—Community Development

## Environmental Protection

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A new neighborhood commercial and retail project plaza has begun construction in Neighborhood D “Cordes Village Plaza.” Upon completion in fall 2020, the structure will contain eight tenant spaces and is estimated to create 24 jobs. Another major highlight for the community is the Mountain House Technology Center, which is the first advanced manufacturing facility, office center, and light industrial complex in Mountain House. The Mountain Technology Center project is expected to generate 895 full-time jobs and will be completed in summer 2020.

In the Specific Plan III area of the community, residential Neighborhoods A and B have begun construction and are expected to result in the construction of 570 homes and 57 second unit dwellings during 2020-2021. The first of several final maps for Neighborhood J are expected to be recorded late 2020. This will begin the first phase of residential and recreational development north of Byron Road.

### Airpark 599 Project

The Planning/Development Services Division’s Advanced Planning staff will continue to work with the County Administrator’s Office and the Master Developer to update the existing Stockton Metropolitan Airport Special Purpose Plan (SPP) for development of Airpark 599. This is expected to include a comprehensive revision to the text, tables, maps, and figures of the SPP to maximize the value of the site in the current marketplace and to ensure consistency with the updated Airport Layout Plan. Advanced Planning staff will assist and facilitate in discussions, process amendments, and perform any necessary environmental review.

### Department Information Systems

The Department has been staffed with two Information Systems positions to provide technical support, including a Department Information Systems Analyst II and a Department Information Systems Manager. After reviewing the Department’s needs and consultation with Human Resources and ISD, it was determined changes to the organizational structure would result in improved operational efficiencies. The recommended 2020-2021 budget deletes the vacant Department Information Systems Manager allocation and transfers the Department Information Systems Analyst II position to ISD, which will continue to provide technical support to the Department.

### Code Enforcement

The Code Enforcement Division is responsible for investigating complaints and providing enforcement services for violations of the County’s Development Title. The majority of complaints relate to building code and land use violations. To ensure a safe and efficient County response, Code Enforcement staff participate in a weekly triage meeting

with the Sheriff’s Office and Environmental Health staff to review and investigate complaints. If a property owner found in violation of the Development Title does not voluntarily abate the conditions after notification from Department staff, staff will then refer the property owner to the District Attorney’s Office for further enforcement action.

Code Enforcement improved service delivered to the community with the addition of a Code Enforcement Officer, a Senior Office Assistant, and the use of computer tablets in the field. The recommended budget includes the addition of a new Code Enforcement Officer position to address the code enforcement backlog and current caseload. The Division will continue to increase use and functionality of the tablets to respond more efficiently and effectively to complaints. Additionally, staff is exploring organizational changes that will allow for better overall enforcement of County Code violations.

### Weed/Abandoned Vehicle Abatement Programs

Division staff also oversees the Weed Abatement Program enforcement and the abandoned vehicle removal program, which is funded by the Abandoned Vehicle Abatement Service Authority. With the addition of a Deputy Fire Warden and a Fire Services Inspector in 2019-2020, the Weed Abatement Program will transition from the Code Enforcement Division back to the Fire Prevention Bureau Division.

### Community Clean-Up Program

Initiated during 1997-1998, the Department’s Code Enforcement Division organizes the County’s Community Clean-Up Program, which continues to be successful in the communities. The Division was able to add 3 additional events in 2019-2020, bringing the total number of events to 12. The program places dumpsters for trash, electronics, and tires in the designated County neighborhoods during the advertised events. Staff also distributes landfill passes to Lovelace Materials Recovery Facility and Transfer Station and North County Recycling Center and Sanitary Landfill to County residents to aid in the proper disposal of accumulated trash. Staff will continue to work with community stakeholders to explore ways to increase access to program services.

In the 2020-2021 Fiscal Year, the Program will be conducted in the following twelve neighborhoods:

<u>Neighborhoods</u>	<u>Location</u>
Boggs Tract	Stockton
Country Club	Stockton
French Camp	French Camp
Garden Acres	Stockton

# 2025600000—Community Development

## Environmental Protection

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<u>Neighborhoods</u>	<u>Location</u>
Gianone Park	Stockton
Kennedy	Stockton
Larch Clover	Tracy
Lockeford	Lockeford
Morada	Morada
Taft	Stockton
Thornton	Thornton
Woodbridge	Woodbridge

### Fire Prevention Bureau

The Fire Prevention Bureau Division is responsible for the administration and enforcement of the California Fire Code as adopted by the County. The Bureau is tasked with reviewing building plans and performing inspections to determine Fire Code compliance, reviewing appeals on fire prevention issues, certifying occupancy, providing technical consultation with County stakeholders including County Fire Districts, administering the County's operational fire permit program and administering and enforcing the County's Weed Abatement Program. Additionally, the Bureau coordinates and oversees the fire protection programs of the Fire Districts and departments within the County that provide fire services through a Memorandum of Understanding (MOU) with the County.

The Bureau is now managed by a Deputy Fire Warden position filled in December 2019 and is staffed with a Fire Prevention Inspector and an Office Assistant, whose time is shared with the Code Enforcement Division. Due to the previous lack of staff, several functions of the Bureau were assigned to other divisions of the Department and will transition back to the Bureau in 2020-2021. Administration of the Weed Abatement Program was performed by the Code Enforcement Division. The Building Inspection Division has aided the Bureau by performing fire inspections and fire plan review. In addition, many fire prevention functions have been delegated by the Bureau to individual Fire Districts through

MOU's. This has led to inconsistency in enforcement of the fire codes. The Department is working with County Counsel to update the current MOU's with the Fire Districts to meet the requirements of State law and the needs and priorities of both the Districts and the Bureau. The Department anticipates that some Districts may wish to revise their MOU's and ask the Bureau to take back those fire prevention functions, currently performed by the Districts, which the Bureau is obligated by State law to provide. This will increase the Bureau's workload, and may create the need for additional staff in the future.

### Fee Schedule Review and Adjustment

#### *Planning/Development Services and Building Divisions*

On January 16, 2019, the Office of the Auditor-Controller issued a report on its 2018 audit of the Department's existing fee structure. The report was inconclusive and recommended that the Department complete a time and fee study for the Department's Planning/Development Services and Building Divisions. The Department has engaged an outside consultant to complete the study, which will include documentation of the actual time spent by staff on applications and permits such that fees can be adjusted, if necessary, to recover departmental costs. The study was originally planned to be completed in 2019-2020, however, additional time is needed as a result of expanding the original scope of work to include the Code Enforcement Division. The Department is committed to completing the study in the first quarter of 2020-2021. The Department may return to the Board should the study indicate the need for any fee adjustments. Fees were last adjusted in 2010-2011.

#### *Fire Prevention Bureau Division*

On June 11, 2019, the Board approved the adjustments to Fire operational permit and inspection fees (R-19-80). Additionally, the Division began its comprehensive time and fee study in 2019-2020 and will continue its completion in 2020-2021, and may return to the Board for any additional fee adjustments.



# 2025600000—Community Development

## Environmental Protection

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Building Permits</b>					
<i>Commercial</i>	419	417	501	480	457
<i>Residential-County</i>	1,795	1,762	1,900	1,919	1,823
<i>Residential-Mountain House</i>	532	998	919	654	621
<i>Over-the-Counter (roof, HVAC, etc.)</i>	2,201	2,420	2,264	2,055	1,953
<b>Total Permits</b>	4,947	5,597	5,584	5,108	4,854
<b>Planning</b>					
<i>Business License</i>	305	304	321	329	313
<i>Development Applications</i>	245	295	304	272	258
<i>Williamson Act</i>	32	31	14	20	19
<b>Total Applications</b>	582	630	639	621	590
<b>Enforcement</b>					
<i>Abandoned Vehicles</i>	9	2	43	19	18
<i>Community Service Complaints</i>	1,555	1,738	2,024	1,614	1,533
<i>Enforcement Cases</i>					
<i>Cases-Closed</i>	338	417	424	178	169
<i>Cases-Other</i>	92	113	89	273	259
<b>Total Enforcement</b>	1,994	2,270	2,580	2,084	1,979
<b>Fire Services</b>					
<i>Fire Access Roads</i>	99	100	93	88	84
<i>Fire Inspections</i>	216	271	267	253	240
<i>Permits Issued</i>	477	443	451	413	392
<i>Plan Reviews</i>	66	55	654	623	592
<i>Weed Abatement Parcels Abated</i>	31	23	19	18	17
<b>Total Fire Services</b>	889	892	1,484	1,395	1,325

# 2026000000—Emergency Services

## Environmental Protection

Marcia Cunningham, General Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$599,950	\$833,827	\$863,893	\$863,893	\$30,066
Services & Supplies	152,727	577,717	1,058,909	1,058,909	481,192
Centrally-Budgeted Expenses	59,183	288,039	291,523	291,523	3,484
Capital Expenditures	0	3,148	0	0	(3,148)
<b>Total Expenditures</b>	<b>\$811,860</b>	<b>\$1,702,731</b>	<b>\$2,214,325</b>	<b>\$2,214,325</b>	<b>\$511,594</b>
Expenditure Reimbursements	(406)	0	0	0	0
<b>Total Appropriations</b>	<b>\$811,454</b>	<b>\$1,702,731</b>	<b>\$2,214,325</b>	<b>\$2,214,325</b>	<b>\$511,594</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$402,817	\$768,467	\$1,246,511	\$1,246,511	\$478,044
Operating Transfers In	547,928	0	0	0	0
<b>Total Revenues</b>	<b>\$950,745</b>	<b>\$768,467</b>	<b>\$1,246,511</b>	<b>\$1,246,511</b>	<b>\$478,044</b>
<b>Net County Cost</b>	<b>(\$139,291)</b>	<b>\$934,264</b>	<b>\$967,814</b>	<b>\$967,814</b>	<b>\$33,550</b>
<b>Allocated Positions</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>0.0</b>

### Purpose

The Office of Emergency Services (OES) is responsible for coordinating with County departments, local cities, and Special Districts to mitigate against, prepare for, respond to, and recover from disasters. OES does not execute tactical field operations, but instead supports requests from first responders in the field.

The central site for managing and coordinating the County's support of field operations is the San Joaquin County Emergency Operations Center (EOC). The EOC is not a "Command Post," rather, it is a multi-agency coordination point for emergencies affecting multiple jurisdictions or disciplines. During a disaster, the EOC is also the communication link with city or special district EOCs, Department/Agency Operations Centers, adjacent counties, the California Inland Regional EOC, and other State and Federal offices.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$30,066 Salary and benefits adjustments.

#### Services & Supplies

- \$298,735 Increase in State Public Safety Power Shutoff (PSPS) Resiliency Grant expenditures.
- \$176,500 Increase in professional services costs for Department of Water Resources (DWR) Delta Flood Emergency Response Round 2.

#### Centrally-Budgeted Expenses

- \$6,821 Increase in data processing charges.
- \$3,846 Increase in Workers' Compensation and Casualty insurance costs.
- (\$9,707) Decrease in radio maintenance and rents/leases-automation equipment costs.
- \$2,524 Cost Allocation Plan adjustment.

#### Revenues

- \$298,735 Increase in State PSPS Resiliency Grant revenue.

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## Environmental Protection

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- \$198,423 Increase in DWR Delta Flood Emergency Response Round 2 revenue.
- (\$17,614) Decrease in budgeted revenue for 2019-2020 DWR Statewide Grant, which ended on June 30, 2020.

## Program Discussion

The 2020-2021 recommended Office of Emergency Services (OES) budget totals \$2,214,325, which is an increase of \$511,594 from the 2019-2020 adjusted budget. This change primarily reflects increases in grant-related expenditures for the State PSPS Resiliency Program (\$298,735), DWR Round 2 grants (\$176,500), and salary and benefits adjustments (\$30,066).

In 2020-2021, OES priorities will include continued progress in improving County employee overall familiarity and understanding of the newly developed Emergency Operations Plan (EOP). This includes conducting two training courses and at least three tabletop exercises on the EOP. In addition, OES will continue to conduct the following activities:

- Work with County departments with roles in emergency response to update and/or develop specific EOP Functional and Hazard Annexes that meet the new Federal Emergency Management Agency (FEMA) required format.
- Maintain County Employee Preparedness Program (EPP).
- Maintain 24-hour disaster response coordination, including alert and warning systems.
- Perform local emergency response coordination, monitoring, and reporting.
- Planning, training, exercises, and stockpiling of flood-fight resources.
- Update and implement the comprehensive five-year training and exercise plan with continued efforts to include cities and Special Districts.
- Implement the Countywide usage of Everbridge, a web-based public alert and warning software.
- Initiate early stages of assessment planning to become an Emergency Management Accredited Program accredited county within the next five years.

### Emergency Operations Plan

The EOP explains the general concept of operations, reviews the expected mission execution for each emergency phase,

and identifies the agencies that will have the lead for a given Emergency Support Function (ESF). The Basic Plan then outlines the ESFs activated during an emergency.

With the completion of the Basic EOP in 2018-2019, and subsequent completion of 21 recommended supporting functional and hazard annexes in 2019-2020, OES will focus on providing training and exercises to the County, cities, and other stakeholders that reinforce knowledge of the EOP fundamentals. This endeavor will require continued cooperation with most County departments and Disaster Service Workers.

In addition, quarterly countywide stakeholder meetings will continue to ensure plans and training opportunities are effectively communicated throughout the stakeholder community. These meetings include County departments with roles in emergency response, cities, and Special Districts.

OES is currently striving towards all staff completing required training for a Type III Emergency Operations Center Credential offered by the State of California Governor's Office of Emergency Services (Cal OES). This includes completing approximately 100 hours of a standard training curriculum. Credentials allow staff to become Emergency Management Mutual Aid responders that may be deployed to adjacent counties during emergencies.

Through the Information Systems Division (ISD) managed Homeland Security Grant Program (HSGP), OES will have access to a new alerting solution by Everbridge. This solution is projected to go live by mid-July 2020 and will provide improved measures to alert citizens and County employees in an emergency. The HSGP will fund the implementation and initial training of the solution for the first year, however an OES EOC funding mechanism may need to be sought to continue to provide the solution in future years, if subsequent grant funding is not available. OES will work closely with ISD to fully implement and administer the program.

### 2019 Coronavirus (COVID-19) Countywide Emergency Operations Center Activation and Recovery

On March 17, 2020, the Board of Supervisors ratified the declaration of the Local Health Emergency by the San Joaquin County Public Health Officer on March 12, 2020 in response to the COVID-19 pandemic. The Public Health Officer, by County Order, supported the statewide stay-at-home order with requirements of social distancing, personal hygiene, and allowing only designated essential critical infrastructure employees to work. On March 22, 2020, the President of the United States issued a Major Disaster Declaration for the State. The EOC was activated in support of the response efforts in accordance with ESF 08 – Public

# 2026000000—Emergency Services

## Environmental Protection

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Health and Medical. In April 2020, advanced planning efforts began for the eventual re-opening of County departments and businesses.

Several State and Federal funding streams for reimbursement are being identified as the COVID-19 pandemic continues, including eligibility through the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) with up to a 75% cost share by the Federal Government for allowable costs. In addition, the California Disaster Assistance Act (CDAA) is available as a supplemental reimbursement between 18 to 25% of allowable costs.

Additional supplemental funding for COVID-19 response has been provided for in various amounts under recently passed Federal legislation, including the Coronavirus Aid, Relief and Economic Security (CARES) Act, Coronavirus Preparedness and Response Supplemental Act, and Families First Response Act. Specific guidance on reimbursement under these supplemental funding streams is not fully developed, however; they will be considered prior to filing for reimbursement under the Stafford Act. OES will continue to seek out and file for all eligible reimbursement of costs for the County.

### Emergency Preparedness Program (EPP)

The 2020-2021 recommended budget includes \$18,600 in staffing costs to provide 16 EPP training courses to newly-hired County employees to ensure they can safely evacuate from their work area, or shelter in place. This includes ensuring training for Facility Threat Coordinators, Area Wardens, and assisting with development of department emergency plans.

The goals for this Program include development of a video-based on-line training curriculum for Area Wardens and updated new employee preparedness orientations, which incorporate required trainings for public employees in the Standardized Emergency Management System.

During 2019-2020, 26 facility evacuation drills were coordinated by OES. In 2020-2021, OES plans to coordinate 30 facility evacuation drills.

### Emergency Preparedness Exercises

Exercises are an essential part of emergency preparedness and are commonly used to test and evaluate plans, policies, and procedures in place in a learning environment. OES maintains a five-year training and exercise plan, which builds up in complexity as mastery is achieved. OES will conduct six discussion-based exercises and a functional exercise during 2020-2021. Exercise scenarios will be based on previously identified hazard vulnerabilities and will include participants from other County departments, cities, Special

Districts, and non-government agencies where appropriate. OES will join four other Delta counties in a functional exercise, testing a regional response to a flood scenario in the spring 2021. After-Action Report and Improvement Planning reports will be developed following all OES facilitated exercises.

### Emergency Management Performance Grant

Funding for the FEMA Emergency Management Performance Grant (EMPG) flows to the State and is allocated to counties on a per capita basis, requiring a 50% local match. These funds are used to enhance local emergency planning, response, and recovery. For many years, OES has successfully applied for EMPG funding. The 2020-2021 recommended budget includes \$278,111 in revenue from this grant, consistent with EMPG funding awarded in 2019-2020.

For planning efforts, this grant covers current emergency plans and annex revisions. FEMA priorities are adjusted annually, but flexibility is allowed in establishing specific local goals as long as they meet generic Federal goals.

Staff will continue to participate in local government exercises and County department exercises to test assigned Operational Area coordination procedures. OES also participates in annual dam failure exercises with 15 dam operators and State and Federal agencies.

### Department of Water Resources Delta Flood Emergency Response Grant Program, Round 2

In 2018-2019, OES was awarded \$2,404,820 through the DWR Delta Flood Emergency Response Grant Program, Round-2 grant for flood management solutions. This three-year grant includes funding for tasks directed at improving the overall response within the five-county Delta region such as development of Delta-wide evacuation and logistics maps, flood exercises, development of flood just-in-time training videos in English and Spanish, and a mass mailing to citizens in the Delta Region providing evacuation information and maps. In addition, OES will continue the oversight of the purchase and placement of Levee Maintaining Agency flood fight storage containers and equipment for increased flood readiness throughout the San Joaquin Delta Region. The 2020-2021 recommended budget includes \$419,665 in revenue from this grant.

### Public Safety Power Shutoff (PSPS)

In 2019, Pacific Gas and Electric notified their customers of the potential for a power shutoff to decrease the chance of electricity-caused wildland fires due to high fire danger. This meant that OES added PSPS preparedness to all public outreach activities. In October 2019, several PSPS events were recorded in the State, including two unincorporated

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## Environmental Protection

areas of San Joaquin County that had their power temporarily disabled due to weather dangers. OES activated the EOC at a low level for several days to provide additional monitoring and situational awareness to stakeholders and affected citizens. The event triggered three daily conference calls, with situational reports developed daily by staff from October 6 through November 2. It is anticipated that PSPS events will be consistent for the next 10 years based upon reports from the Public Utility Commission and Cal OES.

As a result of the PSPS events, the State awarded San Joaquin County \$548,735 through a PSPS Resiliency Grant for use in supporting equipment purchases and to improve local preparedness and response to PSPS events over the next three years. At the time of budget development, OES was coordinating a stakeholder panel, made up of County and city management, to evaluate and prioritize the 21 projects submitted by local and County jurisdictions for use of the funds. Approved projects will begin in early July 2020 and will continue until all funds are expended. As a condition of receiving funding, the County is required to collaborate with cities in the jurisdiction to support critical infrastructure and resiliency Countywide with a particular focus on public

safety, vulnerable communities, and individuals with access and functional needs.

### Public Outreach

OES increased public outreach activities significantly from 9 events in 2018-2019, to 30 events in 2019-2020. Events included fairs, town halls, and public speaking engagements.

In 2019-2020, OES implemented [www.SJReady.org](http://www.SJReady.org), a public-facing preparedness website that educates and empowers citizens in the community to prepare their homes, families, and businesses for disasters. During the October 2019 PSPS events and COVID-19 pandemic, the website served as a trusted source of real-time information and resources for the community. OES will continue to add resources to the website as available and seek opportunities to market the site and promote preparedness actions.

OES also continues to utilize Facebook, Twitter, and NextDoor to share real-time information and instructions during severe weather or potentially hazardous conditions. In 2019-2020, OES increased public preparedness information sharing by adding 1,600 followers on Facebook and doubling the number of followers on Twitter.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Employee Preparedness Program</b>					
<i>Department Plan Assistance</i>	40	45	34	31	34
<i>Disaster Responses</i>	4	3	0	2	4
<i>Employee Preparedness Orientation</i>	11	15	21	15	16
<i>Evacuation Drills</i>	26	26	29	26	30
<i>Facility Threat Plan Assistance</i>	24	26	28	23	25
<i>Warden Training</i>	4	4	2	4	8

# 2026000150—Homeland Security Grants

## Environmental Protection

Chris Cruz, Information Systems Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$155,212	\$191,878	\$898,589	\$898,589	\$706,711
Centrally-Budgeted Expenses	0	(538)	2,231	2,231	2,769
Capital Expenditures	309,376	1,128,110	563,971	563,971	(564,139)
<b>Total Appropriations</b>	<b>\$464,588</b>	<b>\$1,319,450</b>	<b>\$1,464,791</b>	<b>\$1,464,791</b>	<b>\$145,341</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$582,382	\$940,051	\$1,462,560	\$1,462,560	\$522,509
<b>Total Revenues</b>	<b>\$582,382</b>	<b>\$940,051</b>	<b>\$1,462,560</b>	<b>\$1,462,560</b>	<b>\$522,509</b>
<b>Net County Cost</b>	<b>(\$117,794)</b>	<b>\$379,399</b>	<b>\$2,231</b>	<b>\$2,231</b>	<b>(\$377,168)</b>

### Purpose

The State of California is responsible for administering more than \$2.0 billion in funds for homeland security, emergency management, justice programs, and victim services. The majority of these grant funds are distributed to local and regional entities to enable the most effective prevention, detection, response, and recovery efforts.

In 2011, Information Systems Division (ISD) assumed responsibility for overall grant management of the Homeland Security Grants allocated to San Joaquin County: Homeland Security Grant Program and Urban Area Security Initiative. As Grant Administrator, ISD provides administrative oversight and financial management of the Homeland Security grant funds to ensure compliance with State and Federal laws, regulations, and policies.

### Major Budgets Changes

#### Services & Supplies

- \$496,163 Increase in costs for Phase 2 of Mednet communication system and trauma kits for County first responders.
- \$210,548 Increase in software and implementation costs for Countywide mass notification system.

### Revenues

- \$522,509 Increase in net reimbursement for grant projects.

### Capital Expenditures

- \$563,971 Trunking base station.

### Program Discussion

During 2019-2020, ISD met with the Local Approval Authority, which includes representatives from local police, local and rural fire, and emergency medical services agencies, to fund projects that directly support the County's first responders.

The 2020-2021 recommended budget reflects the priorities established by the Local Approval Authority and focuses on investing grant funds to support the region's multiyear Public Safety Interoperable Radio Communications Project and projects that benefit the County in the areas of law enforcement, fire protection, and medical response. A contribution of \$2,231 from the General Fund is included in this budget to cover Cost Allocation Plan costs charged to the Homeland Security Grants budget, which cannot be used to fund such costs.

In 2020-2021, Homeland Security grant funds will be used to complete the following projects:

# 2026000150—Homeland Security Grants

## Environmental Protection

- Complete the upgrade of the Mednet communications system for emergency medical response (\$415,168).
- Install radio communications firewall software to aid in the cybersecurity for the County’s two-way radio communications system (\$146,250).

In 2019-2020, the Department of Homeland Security grant funds were used to realize the following accomplishments:

- Purchased equipment for the MedNet communications system upgrade (\$514,675).
- Installed a Bio Terrorism Forensic Lab Genome Sequencer for Public Health Services (\$115,356).
- Installed a Mass Notification System for the Office of Emergency Services to notify residents of emergency situations (\$117,485).

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Grants Administered	2	3	3	3	3
Value of Grants Administered	\$823,864	\$2,429,117	\$2,457,961	\$2,476,694	\$2,504,636

# 2026500000—Fish & Game

## Environmental Protection

Patrick Withrow, Sheriff-Coroner-Public Administrator

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Operating Transfers Out</i>	\$7,911	\$7,000	\$2,000	\$2,000	(\$5,000)
<i>Total Appropriations</i>	\$7,911	\$7,000	\$2,000	\$2,000	(\$5,000)
<b>Earned Revenues By Source</b>					
<i>Fines/Forfeitures/Penalties</i>	\$1,923	\$1,539	\$1,500	\$1,500	(\$39)
<i>Fund Balance</i>	5,988	5,461	500	500	(4,961)
<i>Total Revenues</i>	\$7,911	\$7,000	\$2,000	\$2,000	(\$5,000)
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

### Purpose

The Fish and Game Program provides funding for the protection and propagation of natural resources as prescribed by State Fish and Game code. This Program is fully supported by fines collected for Fish and Game violations and is operated at a level determined by available funding.

### Major Budget Changes

#### Operating Transfers Out

- (\$5,000) Decrease in transfer to Parks and Recreation for the Trout Stocking Program at Oak Grove Regional Park

#### Revenues

- (\$4,961) Decrease in use of fund balance.

### Program Discussion

The 2020-2021 recommended budget for Fish and Game totals \$2,000, which is a decrease of \$5,000 from the 2019-2020 adjusted budget. This change reflects a decrease in

operating transfers out for the Trout Stocking Program at Oak Grove Regional Park (\$5,000).

Historically, fund balance has provided for the overtime enforcement by Sheriff deputies, in conjunction with the State Department of Fish and Game, to conduct fishing and hunting license checks and compliance with regulations, as well as enforcement during dove and pheasant seasons, waterfowl hunting, and salmon and bass migrations. In 2018-2019, overtime was no longer part of the recommended budget due to the dwindling balance of the Fish and Game Fund.

For many years an annual contribution of \$7,000 from the Fish and Game fund has been made to support the Trout Stocking Program at Oak Grove Regional Park. However, also due to declining fund balance, this amount is no longer sustainable. A decrease in the contribution amount to \$2,000 is budgeted for 2020-2021.

#### Fish & Game Fund

Costs in this budget are paid from the Fish and Game Fund (#20005). A total of \$500 in fund balance is designated for use in the 2020-2021 recommended budget. The fund balance as of March 31, 2020 was \$2,942.



# 2026700000—LAFCo Contribution

## Environmental Protection

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<i>Expenditures</i>					
<i>Other Charges &amp; Uses</i>	\$216,300	\$222,800	\$229,500	\$229,500	\$6,700
<i>Total Appropriations</i>	\$216,300	\$222,800	\$229,500	\$229,500	\$6,700
<i>Earned Revenues By Source</i>					
	\$0	\$0	\$0	\$0	\$0
<i>Net County Cost</i>	\$216,300	\$222,800	\$229,500	\$229,500	\$6,700

### Purpose

The Local Agency Formation Commission (LAFCo) is established under the provisions of the Cortese-Knox-Hertzberg Local Government Reorganization Act of 2000 (Government Code Sections 56000 - 57550). LAFCo is mandated in each California county for the purposes of discouraging urban sprawl, preserving open space and prime agricultural lands, efficiently providing government services, and encouraging the orderly formation and development of local agencies.

The five-member San Joaquin LAFCo consists of two County Supervisors, two Mayors or Council Members representing all the cities within the County, and a member of the general public. The LAFCo operating budget is governed by LAFCo, not by the Board of Supervisors. The County LAFCo budget reflects only the County’s contribution toward the operation of the LAFCo, not the portion contributed by cities or other LAFCo revenues.

### Program Discussion

The 2020-2021 recommended County contribution to LAFCo totals \$229,500, matching the total contribution amount from the seven cities within the County. In 2018-2019, an annual increase of 3% to minimize the ongoing budget deficit increased the County’s contribution to \$216,300, \$222,800 in 2019-2020, and \$229,500 in 2020-2021.

The preliminary LAFCo operating budget for 2020-2021 totals \$750,158 consisting of \$469,455 in salary and benefits, and \$280,703 in operating expenses. Funding is provided by

the County’s contribution of \$229,500, the contribution from cities totaling \$229,500, filing fees and interest of \$31,000 and \$260,158 from LAFCo Contingency/Reserve funds, as needed.

### 2020-2021 Work Program

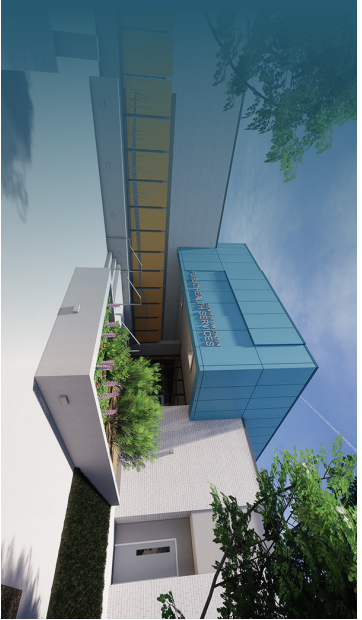
In 2020-2021, the LAFCo Work Program will include application processing for annexations, other organizational changes, and out-of-agency service requests. Municipal Service Reviews (MSRs) and Sphere of Influence (SOI) Plans will be updated for the cities of Escalon and Lodi and for the East Stockton and Central San Joaquin Water Conservation Districts in anticipation of their consolidations. Pending Commission direction, LAFCo may begin work on the remaining 29 reclamation districts, and MSRs and SOIs for County rural fire districts in 2020-2021. LAFCo will continue to expend considerable resources defending litigation filed by Tracy Rural Fire Protection District and the City of Tracy.

During 2019-2020, LAFCo completed the Tracy Fire Governance Study and the MSR and SOI Plans for Tracy, Stockton, and South San Joaquin Irrigation District. In addition, several annexation requests were approved (including Tracy Village subject to an election), and an MSR and SOI were adopted for Byron-Bethany Irrigation District and West Side Irrigation District in anticipation of their consolidation in 2020-2021. Upper and Lower Jones Tract Reclamation Districts were consolidated into one district and several districts were also dissolved.

The Commission will review and update, as needed, its operational policies and procedures.



PROPOSED BUDGET  
|  
**LAW & JUSTICE**



# Law & Justice

## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Summary — All District Attorney Budgets</b>	D-5				
2020200000 District Attorney	D-8	\$37,670,336	\$41,370,150	\$40,342,971	\$2,672,635
2020202000 Real Estate Fraud Prosecution	D-18	831,005	795,012	795,012	(35,993)
2020204000 Family Justice Center	D-20	1,572,599	1,254,116	1,254,116	(318,483)
2020205000 Victim Assistance Center	D-22	782,134	782,134	782,134	0
2020206000 Consumer Fraud Proposition 64	D-24	3,801,130	4,034,663	4,034,663	233,533
2020209000 Child Abduction Unit	D-27	634,207	638,472	638,472	4,265
2020210000 Narcotics Enforcement	D-29	76,164	100,756	100,756	24,592
2020212000 Auto Insurance Fraud Prosecution	D-30	290,260	290,260	290,260	0
2020216000 Workers' Compensation Insurance Fraud	D-32	499,330	499,330	499,330	0
2020217000 Public Assistance Fraud Prosecution	D-34	0	0	0	0
2020278250 Criminal Restitution Program	D-36	215,569	215,569	215,569	0
2020281000 State COPS	D-38	250,000	250,000	250,000	0
State Grant Programs	D-39	2,556,519	2,637,717	2,637,717	81,198
2020300000 Child Support Services	D-41	18,835,813	17,720,970	17,720,970	(1,114,843)
2020400000 Public Defender	D-46	18,086,757	18,872,932	18,658,459	571,702
2021000000 Grand Jury	D-52	196,384	195,966	195,966	(418)
2021274000 County Support to the Courts	D-54	9,855,251	10,548,019	10,548,019	692,768
2021300000 Court Assigned Counsel	D-56	5,560,930	5,741,337	5,741,337	180,407
<b>Summary — All Sheriff Budgets</b>	D-58				
2021602000 Boating Safety	D-63	1,899,310	1,921,980	1,921,980	22,670
2021615000 Mountain House	D-65	1,913,754	1,746,343	1,746,343	(167,411)
2021619000 Animal Services	D-67	2,872,555	2,909,947	2,909,947	37,392
2021620000 Patrol	D-69	35,634,836	36,508,782	36,508,782	873,946
2021622000 Communications	D-73	4,683,712	4,639,234	4,639,234	(44,478)
2021626000 Detectives	D-75	14,267,651	15,297,082	15,297,082	1,029,431
2021628000 Records	D-79	4,829,600	5,111,426	5,111,426	281,826
2021635000 Civil	D-82	2,375,347	2,454,815	2,454,815	79,468
2021640000 Office of the Medical Examiner	D-85	5,152,634	0	0	(5,152,634)
2021645000 Administration/Support Services	D-86	13,677,231	12,892,104	12,892,104	(785,127)
2021650000 Lathrop Police Contract	D-90	7,449,931	6,960,304	6,960,304	(489,627)
2021658000 Court Services	D-92	11,553,086	11,730,945	11,730,945	177,859
2022600000 Custody	D-95	64,391,325	66,105,230	66,105,230	1,713,905
2022610000 Local Community Corrections	D-99	6,466,959	6,571,517	6,571,517	104,558
2022620000 Work Programs	D-101	1,229,318	1,201,258	1,201,258	(28,060)
2025700000 Public Administrator	D-103	605,524	681,470	681,470	75,946
State COPS Patrol & Custody	D-105	1,598,740	1,884,175	1,884,175	285,435
Operational Grants	D-107	1,972,053	1,545,841	1,545,841	(426,212)

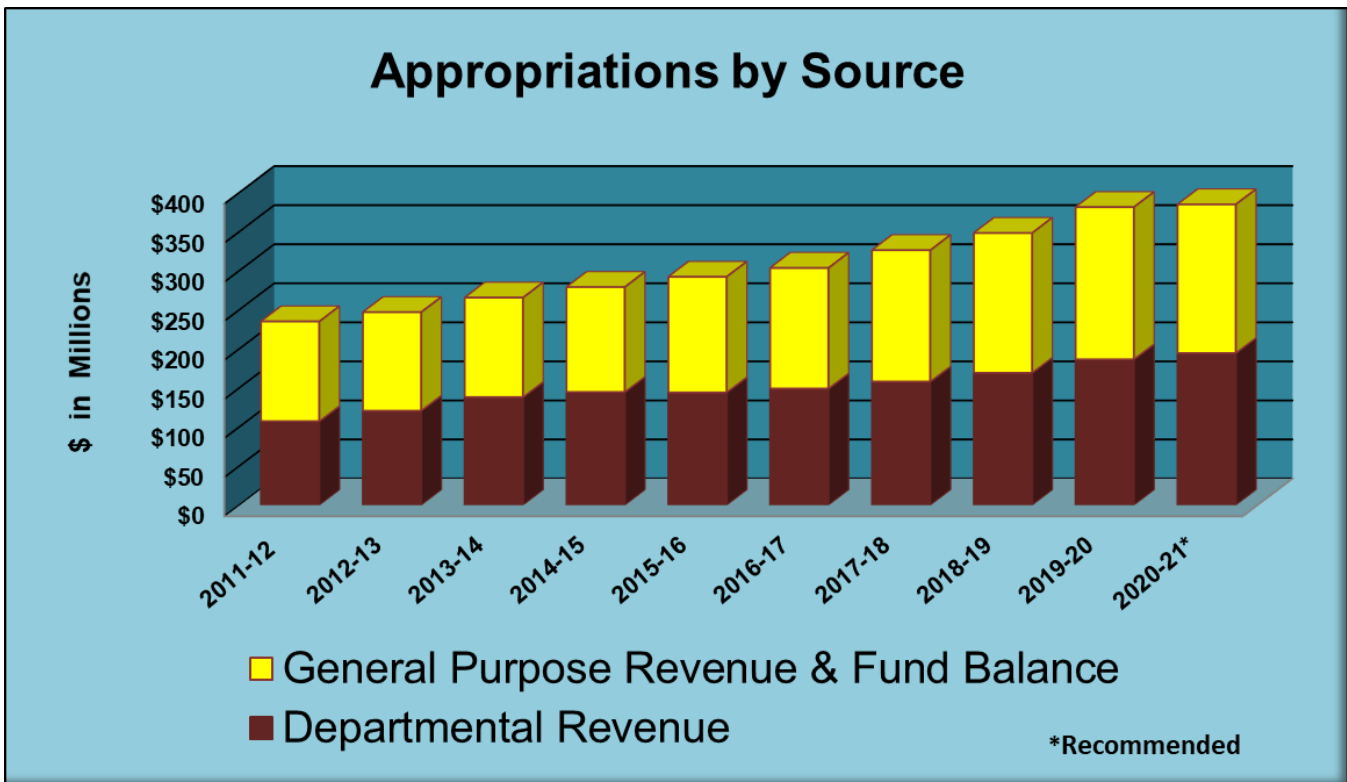
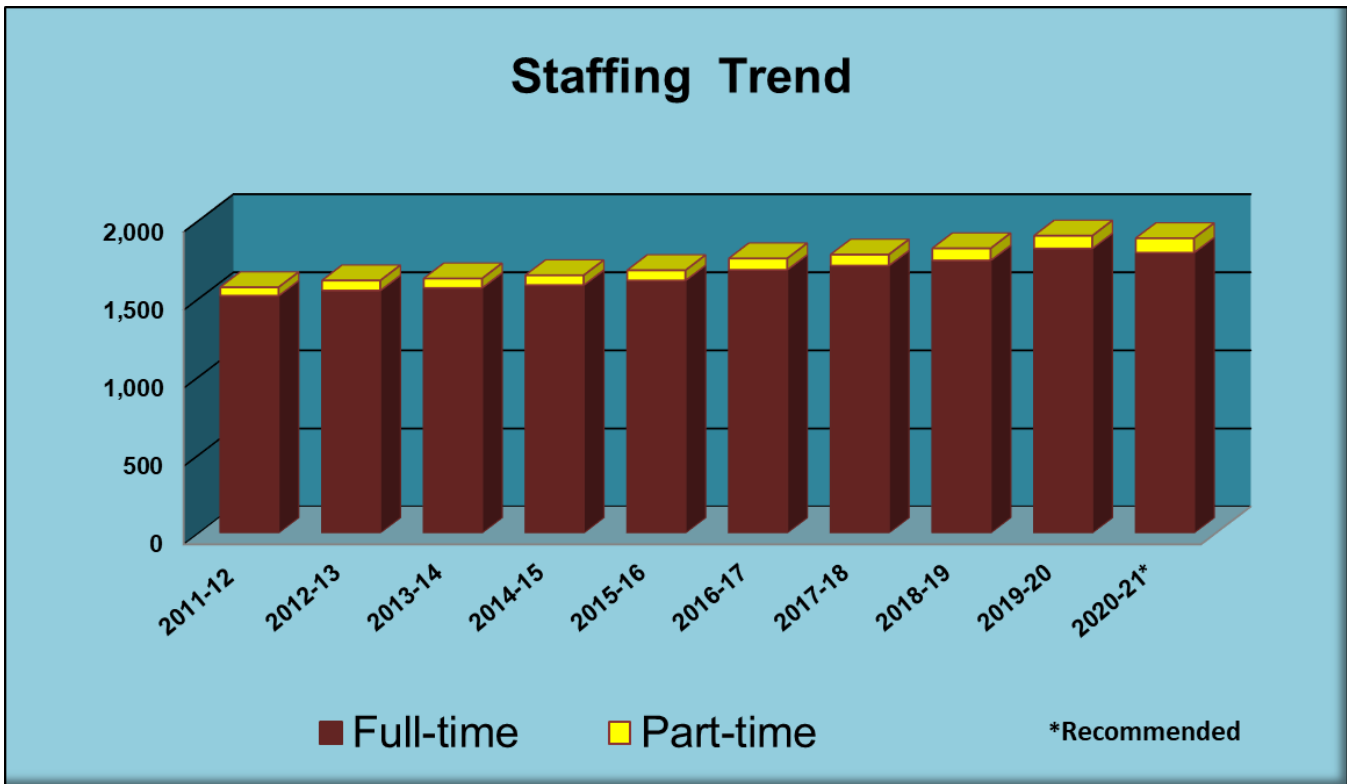
# Law & Justice

## Appropriations

		Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
	<i>Special Fund Programs</i>	D-110	2,054,261	1,944,877	1,944,877	-109,384
2022621000	<i>Correctional Health Services</i>	D-113	13,536,341	13,428,000	13,428,000	-108,341
<b>Summary —</b>	<b>All Probation Budgets</b>	D-118				
2022700000	<i>Juvenile</i>	D-123	6,022,724	6,624,344	6,624,344	601,620
2022702000	<i>Adult &amp; Pretrial Services</i>	D-128	14,599,848	14,085,070	14,085,070	(514,778)
2022702510	<i>Local Community Corrections</i>	D-134	30,681,511	32,954,233	32,954,233	2,272,722
2022745000	<i>Administration</i>	D-140	6,013,122	5,819,026	5,819,026	(194,096)
2022785000	<i>Juvenile Supplemental Law Enforcement Act</i>	D-143	4,018,648	4,975,072	4,975,072	956,424
2022800000	<i>Juvenile Detention</i>	D-147	19,779,368	19,746,600	19,746,600	(32,768)
	<i>Justice Assistance Grants</i>	D-151	389,647	342,163	342,163	(47,484)
<b>Total - Law &amp; Justice</b>			<b>\$381,383,424</b>	<b>\$386,029,243</b>	<b>\$384,787,589</b>	<b>\$3,404,165</b>

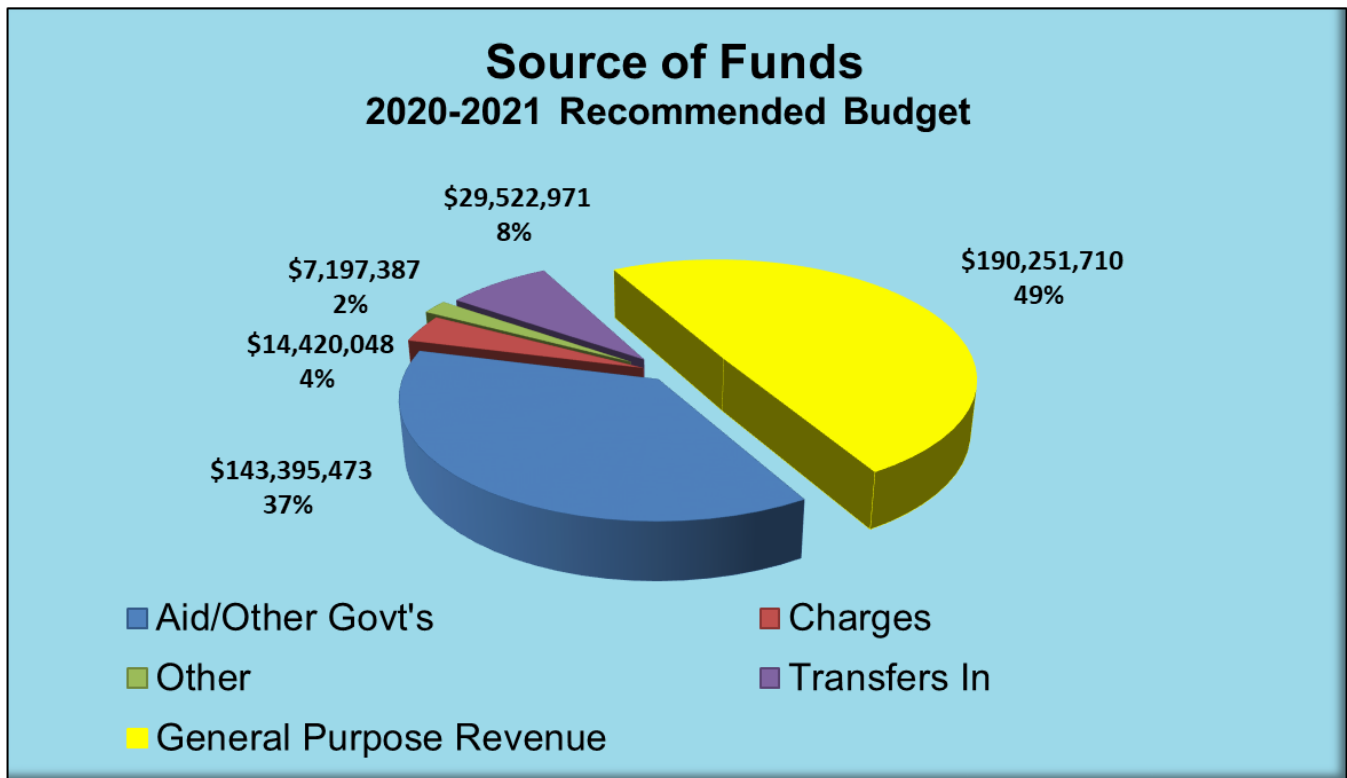
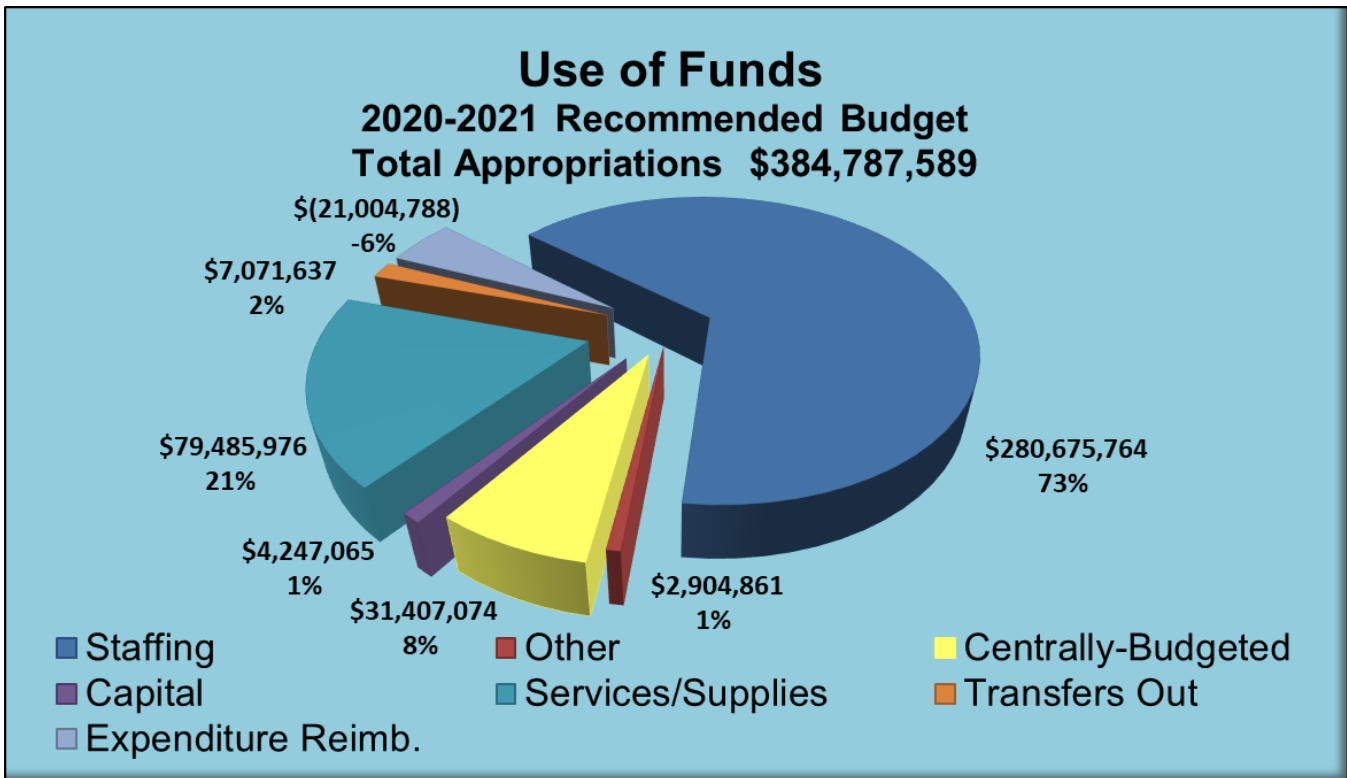
# Law & Justice

## Appropriations



# Law & Justice

## Appropriations



# Summary

## All District Attorney Budgets

Tori Verber Salazar, District Attorney

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$38,811,542	\$44,976,007	\$47,010,563	\$46,084,739	\$1,108,732
Services & Supplies	6,193,140	8,725,729	9,355,297	9,283,942	558,213
Centrally-Budgeted Expenses	1,655,564	4,409,499	5,280,965	5,280,965	871,466
Capital Expenditures	177,248	136,750	130,000	100,000	(36,750)
Operating Transfers Out	126,500	25,000	50,000	50,000	25,000
<b>Total Expenditures</b>	<b>\$46,963,994</b>	<b>\$58,272,985</b>	<b>\$61,826,825</b>	<b>\$60,799,646</b>	<b>\$2,526,661</b>
Expenditure Reimbursements	(6,823,261)	(9,093,732)	(8,958,647)	(8,958,647)	135,085
<b>Total Appropriations</b>	<b>\$40,140,732</b>	<b>\$49,179,253</b>	<b>\$52,868,178</b>	<b>\$51,840,999</b>	<b>\$2,661,746</b>
<b>Earned Revenues By Source</b>					
Fines/Forfeitures/Penalties	\$60,945	\$2,400	\$2,400	\$2,400	\$0
Interest/Rents	3,741	2,000	2,000	2,000	0
Aid From Other Governments	12,669,305	13,119,727	14,015,288	14,015,288	895,561
Charges For Services	394,188	434,900	375,000	375,000	(59,900)
Miscellaneous Revenues	99,911	76,164	100,756	100,756	24,592
Operating Transfers In	4,143,873	5,049,077	5,227,379	5,227,379	178,302
<b>Total Revenues</b>	<b>\$17,371,963</b>	<b>\$18,684,268</b>	<b>\$19,722,823</b>	<b>\$19,722,823</b>	<b>\$1,038,555</b>
<b>Net County Cost</b>	<b>\$22,768,769</b>	<b>\$30,494,985</b>	<b>\$33,145,355</b>	<b>\$32,118,176</b>	<b>\$1,623,191</b>
<b>Staffing</b>					
Allocated Positions	261.0	272.0	284.0	274.0	2.0
Temporary (Full-Time Equivalent)	9.5	8.1	10.9	10.9	2.8
<b>Total Staffing</b>	<b>270.5</b>	<b>280.1</b>	<b>294.9</b>	<b>284.9</b>	<b>4.8</b>

This is a summary of the budgets administered by the District Attorney's Office:

- 2020200000 District Attorney
- 2020202000 Real Estate Fraud Prosecution
- 2020204000 Family Justice Center
- 2020205000 Victim Assistance Center
- 2020206000 Consumer Fraud Proposition 64
- 2020209000 Child Abduction Unit
- 2020210000 DA Narcotics Enforcement
- 2020212000 Auto Insurance Fraud Prosecution

- 2020216000 Workers' Compensation Insurance Fraud
- 2020217000 Public Assistance Fraud Prosecution
- 2020278250 Criminal Restitution Program
- 2020281000 DA State COPS
- State Grant Programs

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the District Attorney (DA) focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an

# Summary

## Law & Justice

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operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

The following provides an overview of several projects, programs, and activities administered by the DA. These Strategic Priorities will continue to be used as a guide when bringing new programs or initiatives to the Board of Supervisors.

### Ensure Fiscal Responsibility

The DA employs sound fiscal practices and procedures throughout the Department. The Department has expanded the use of technology, acquired grant funding, and invested in training to ensure a fiscally responsible approach in each of the services the Department provides.

In 2019-2020, the Department was successful in obtaining approximately \$11.0 million in grant funding. The Fiscal Division staff strictly adheres to and follows the regulations associated with State and Federal funding sources. The DA has established strong internal controls and grant monitoring to ensure compliance.

All new programs brought to the Board are vetted internally and include the best utilization of available resources. When local resources are unavailable, the Department seeks outside funding opportunities and builds upon the many community partnerships they have established.

### Promote Good Governance and Increase Organizational Capabilities

For 2020-2021 and beyond, the DA is committed to:

- Further development of an on-line presence to include platforms for resource sharing and on-line engagement.
- Enhance victim outreach in response to critical incidents.
- Promote opportunities for community training and emergency readiness for public places including schools, community groups, and places of worship.
- Expansion of the Neighborhood Deputy DA Program to include a dedicated resource for each Supervisorial District.
- Develop and implement a Department-wide Peer Support Program for all DA staff.

### Improve Public Safety and Enhance Overall Criminal Justice System

The DA is committed to proactive victim prevention through public awareness and education. Outreach efforts are designed to keep the community aware, informed, and engaged when it involves threats to public safety. The Department attempts to reach potential victims of crime before they are targeted and thrust into the criminal justice system. This involves keeping the community informed of ongoing economic crime, fraud, embezzlement, identity theft, and sharing information through various media platforms to deter and help prevent victimization. Efforts to predict and address criminal behavior, and deter youth from becoming involved in gangs and narcotics is equally as important. The Department is encouraged by the results and will expand their efforts during 2020-2021.

The San Joaquin County Family Justice Center has expanded into the first floor of the DA's Office. The Department is now serving the community in ways that were only imagined a few short years ago. On-site justice partners continue to deliver services and over 1,800 victims have been assisted to date.

The DA is committed to ensuring fairness, protecting public safety, and promoting justice. In pursuit of these goals, the Department must always seek the truth and justice, even after a conviction occurs. The Department seeks to accomplish these goals through the work of a unit designed to review post-conviction issues.

Accordingly, on January 1, 2019, the Department created a Post-Conviction Review Unit (PCRU) to address several laws and appellate court cases that have greatly expanded the post-conviction relief available to California defendants. Two new laws (Senate Bills 384 and 1437) allow inmates to petition the DA, or the courts, directly without the need to use the normal appeals process. In 2019-2020, eight positions were added, and the Department is in the process of staffing the PCRU with experienced attorneys, paralegals, investigators, and victim advocates. For 2020-2021, the Department will seek to expand staffing within the Unit to meet the mandates of Senate Bill 384 and tiered registration requirements for sex offenders.

The Neighborhood DA Program is now in its third year of operation and making a tremendous impact in the communities served. Working closely with law enforcement and local government agencies to address community concerns, the Department hopes to expand the Program in the near future.

### Proposition 172

In 1993, Proposition 172 established a permanent Statewide half-cent sales tax to provide funding for qualifying public



# Summary

## Law & Justice

safety programs. Funds are collected by the State Board of Equalization and apportioned to each county based on its proportionate share of Statewide taxable sales.

Assembly Bill (AB) 2788 created a Maintenance of Effort (MOE) provision requiring cities and counties to maintain funding levels to public safety programs, which receive Proposition 172 funds. AB 2788 also established 1992-1993 as the MOE Base Year and required an adjustment to MOE levels based on the annual change in Proposition 172 revenues. In Base Year 1992-1993, the total for all qualifying budgets in San Joaquin County was \$68,997,254.

The current program allocation formula for the County was established by the Board of Supervisors on May 24, 1994 (B-94-613), which allocates Proposition 172 revenue to the Sheriff (68.1%), Probation (13%), DA (11%), and the Public Defender/Court Assigned Counsel (7.9%). During 2019-2020, the County Administrator's Office, working with Law and Justice departments, reviewed the current program

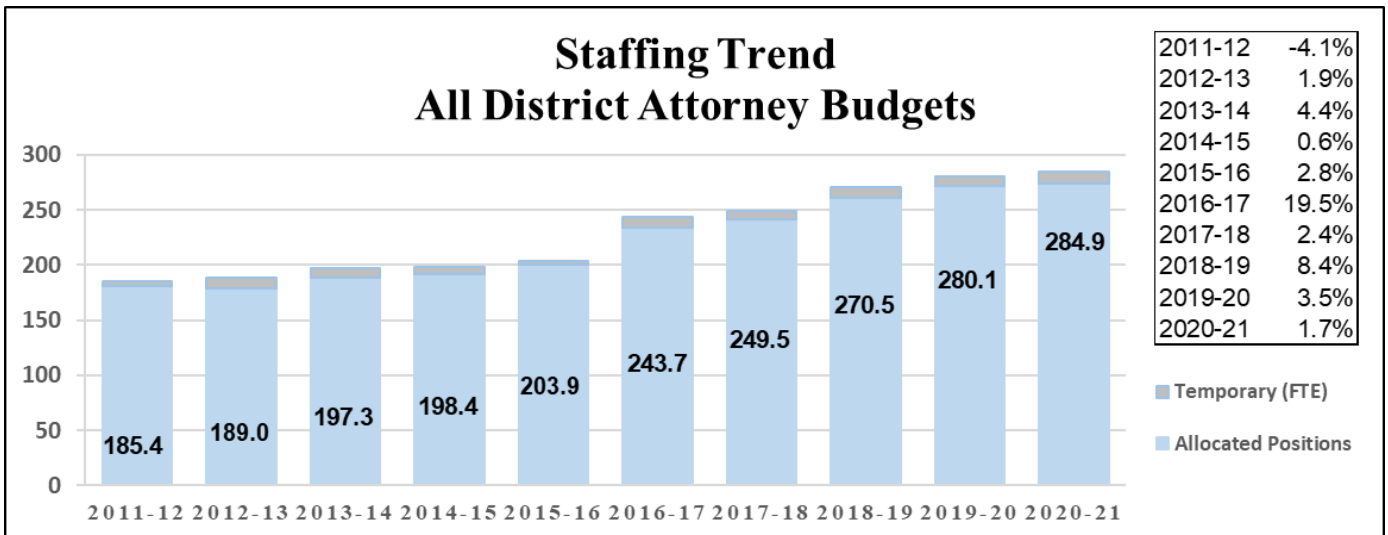
allocation formula and determined the percentage of allocation distributed to each department was appropriate and consistent with the Proposition's intent.

In 2019-2020, the MOE for all qualifying public safety programs was \$111,828,837. The County's adjusted AB 2788 Certification for 2019-2020 was \$243,243,658. Overall, the General Fund provided overmatching funds of \$131,414,821 to all qualifying public safety programs. Not all budgets are included for each public safety department. The DA's portion of the MOE was \$12.6 million. The chart below illustrates that County General Funds provided approximately \$24.6 million above the required MOE for 2019-2020.

The County Administrator's Office is reviewing the COVID-19 pandemic's impact on Proposition 172 funding. Since sales tax revenue is not received until two months after the funds are collected by the State, revised projections are uncertain at this time. The loss of funding for every 5% decrease equals approximately \$3.4 million.

### Public Safety Fund (Proposition 172) - AB 2788 Maintenance of Effort

District Attorney	2019-2020 Adopted	AB 2788 Adjustments				Adjusted AB 2788	1992-1993 Base Year	Prop 172 MOE	Overmatch
		State/Federal Grant	Charges for Services	Trust Fund	Fixed Assets				
Administration	\$37,670,336	(\$109,023)	(\$425,000)	(\$420,000)	(\$30,000)	\$36,686,313	\$7,629,626	\$12,365,886	\$24,320,427
Victim/Witness	2,082,506	(1,561,726)	0	0	0	520,780	138,634	224,694	296,086
<b>Total</b>	<b>\$39,752,842</b>	<b>(\$1,670,749)</b>	<b>(\$425,000)</b>	<b>(\$420,000)</b>	<b>(\$30,000)</b>	<b>\$37,207,093</b>	<b>\$7,768,260</b>	<b>\$12,590,581</b>	<b>\$24,616,512</b>



# 2020200000—District Attorney

## Law & Justice

Tori Verber Salazar, District Attorney

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$30,180,507	\$34,636,874	\$36,900,934	\$35,975,110	\$1,338,236
Services & Supplies	2,853,602	3,776,727	4,474,655	4,403,300	626,573
Centrally-Budgeted Expenses	1,568,812	4,125,653	4,895,050	4,895,050	769,397
Capital Expenditures	0	36,750	130,000	100,000	63,250
<b>Total Expenditures</b>	<b>\$34,602,921</b>	<b>\$42,576,004</b>	<b>\$46,400,639</b>	<b>\$45,373,460</b>	<b>\$2,797,456</b>
Expenditure Reimbursements	(3,272,271)	(4,905,668)	(5,030,489)	(5,030,489)	(124,821)
<b>Total Appropriations</b>	<b>\$31,330,650</b>	<b>\$37,670,336</b>	<b>\$41,370,150</b>	<b>\$40,342,971</b>	<b>\$2,672,635</b>
<b>Earned Revenues By Source</b>					
Fines/Forfeitures/Penalties	\$60,945	\$2,400	\$2,400	\$2,400	\$0
Aid From Other Governments	7,433,086	6,798,057	8,000,955	8,000,955	1,202,898
Charges For Services	394,188	434,900	375,000	375,000	(59,900)
Operating Transfers In	572,282	420,000	400,000	400,000	(20,000)
<b>Total Revenues</b>	<b>\$8,460,501</b>	<b>\$7,655,357</b>	<b>\$8,778,355</b>	<b>\$8,778,355</b>	<b>\$1,122,998</b>
<b>Net County Cost</b>	<b>\$22,870,149</b>	<b>\$30,014,979</b>	<b>\$32,591,795</b>	<b>\$31,564,616</b>	<b>\$1,549,637</b>
<b>Staffing</b>					
Allocated Positions	188.0	199.0	209.0	199.0	0.0
Temporary (Full-Time Equivalent)	3.7	3.3	6.1	6.1	2.8
<b>Total Staffing</b>	<b>191.7</b>	<b>202.3</b>	<b>215.1</b>	<b>205.1</b>	<b>2.8</b>

### Purpose

The Office of the District Attorney (DA) is a constitutionally-mandated office whose primary function is to act as the public prosecutor of State law violations. To this end, the DA investigates such offenses and conducts collaborative investigations with local law enforcement agencies.

The DA also establishes policies and standards for filing criminal complaints; institutes proceedings for the arrest of persons suspected of public offenses; advises the Grand Jury; institutes criminal and/or civil proceedings to remedy consumer fraud, abate nuisances and environmental hazards; manages various grant programs; provides services to victims and witnesses of crime; and provides legal training for local law enforcement agencies.

The DA's Bureau of Investigations provides investigative support to the Department. The Bureau is also responsible for the service of criminal and civil processes.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$1,266,877 Salary and benefits adjustments.
- \$114,245 Full year cost of a Public Information Specialist position added midyear 2019-2020.
- (\$42,997) Decrease in extra-help.

#### Services & Supplies

- \$380,000 Increase in professional services costs.
- \$150,000 Increase in fleet services costs.
- \$56,800 Increase in rent expense.

# 2020200000—District Attorney

## Law & Justice

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- \$50,000 Increase in court reporting and transcription costs.
- \$30,000 Increase in rents and leases of copying machines.
- \$28,990 Increase in small tools and furniture purchases.
- \$27,420 Increase in electronic media costs.
- (\$60,708) Decrease in communications costs.
- (\$48,159) Decrease in software and related licenses costs.

### Centrally-Budgeted Expenses

- \$532,378 Increase in data processing charges.
- \$49,795 Increase in Workers' Compensation and Casualty insurance costs.
- \$13,929 Increase in radio maintenance costs.
- \$179,301 Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$51,035 Increase in reimbursement from other DA budgets due to increase in labor costs and administrative charges.
- \$38,475 Increase in reimbursement of costs related to reducing recidivism and related activities.
- \$18,044 Increase in reimbursement from Human Services Agency.
- \$17,267 Increase in reimbursement from Local Community Corrections.

### Revenues

- \$631,836 Increase in Proposition 172 funding.
- \$575,085 Increase in State revenue received for Ready to Work Program.
- (\$65,000) Decrease in transfer from Identity Theft Piracy and Privacy grant.

## Capital Expenditures

- \$100,000 Vehicles (4).

## Program Discussion

The 2020-2021 recommended District Attorney (DA) main budget totals \$45,373,460, an increase of \$2,797,456 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments (\$1,266,988), increases in data processing charges (\$532,378), professional services costs (\$380,000), a Cost Allocation Plan adjustment (\$179,301), fleet services costs (\$150,000), the full-year cost of a Public Information Specialist position added midyear 2019-2020 (\$114,245), and increases in capital expenditures (\$63,250), rent expense (\$56,800), and court reporting costs (\$50,000).

### Felony Trial Unit

The Felony Trial Unit is responsible for the prosecution of all mainline criminal felony cases heard in the Lodi, Manteca, and Stockton courts. Mainline criminal felony cases include attempted murders, robberies, burglaries, and illegal possession of weapons cases. These categories alone account for approximately 69% of the caseload.

The Unit is responsible for the supervision of the attorneys in both the Lodi and Manteca offices as well as the cases that have been through a preliminary hearing. The size of the Unit varies, but is currently at 17 attorneys in Stockton (up from 12 in 2019), 4 in Manteca, and 2 in Lodi.

Beyond handling the above prosecutions, the Unit also staffs the Collaborative Courts, such as parole re-entry, mental health, veterans, and drug court as well as handles welfare fraud cases for the IMPACT Unit.

In the fourth quarter of 2019, the Stockton Felony Trial Unit handled over 1,000 felony cases, over half of which originated with the Stockton Police Department as the arresting agency.

### Collaborative Courts

The DA's Office currently has two Deputy DAs assigned to represent the public in Drug Court, Proposition 36 Compliance Court, Mental Health Court, Driving Under the Influence (DUI) Tier II Court, Veteran's Court, and Monitoring Court. These Deputy DAs also represent the people in Proposition 36 violations, Parole Revocations and Re-entry Court, Post Release Community Supervision Revocations, and DUI cases for in-custody arraignments.

The goal is to work collaboratively with the court and Case Managers to ensure that the clients/defendants successfully complete the various programs, which help reduce recidivism,

# 2020200000—District Attorney

## Law & Justice

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while ensuring that public safety is prioritized. This is accomplished by ensuring defendants are held accountable for continuing violations of law that impact public safety. For example, DUI Tier II Court requires if a defendant is charged with driving while license is suspended for a DUI, the DA hold them accountable for that conduct that directly impacts public safety. However, the defendant is given the opportunity to obtain a valid license, which would reduce the fines and affect the outcome the charge would have on their driving privileges. This makes it more advantageous for the defendants to make the effort to obtain a valid driver's license and be in compliance with the vehicle code, including insurance requirements.

The goal with Collaborative Courts is to protect the public while assisting the defendants to become positive law-abiding members of society. These same concepts are applied in the Parole and Post Release Community Supervision Revocation Court. The DA's Office continues to voice concerns that public safety be prioritized, while the collaborative nature of the court proceedings is respected. New violations of law are now being prosecuted, while the collaborative court conditions are made part of the defendant's probation.

### *Misdemeanor Trial Unit (MTU)*

The MTU is responsible for the prosecution of all misdemeanor criminal cases brought by the DA's Office. This includes four separate weekly calendars: Driving Under the Influence; Domestic Violence; In-Custody Misdemeanors; and Out-of-Custody Misdemeanors. In addition to keeping calendars, the Unit is responsible for all misdemeanor motions, hearings, and jury and court trials. The Unit works collaboratively with misdemeanor prosecuting attorneys in both the North and South County Circuit Courts. All jury trials set in the Circuit Courts are sent to the Main Office for the MTU attorneys to handle.

The MTU has recently grown to 13 Deputy DAs. This number of attorneys allows the Unit to spend the time and effort needed to fully evaluate, resolve, and if necessary, take these cases to trial. For the past three years, the number of jury trials undertaken by the MTU has steadily increased from 30 in 2016 to 43 in 2019. Efforts are made to resolve cases rather than proceed to jury trial. However, the DA's Office respects the defendant's right to a jury trial and will be prepared and ready to proceed if it is in the best interest of justice.

In 2019, the Department began keeping detailed statistics on cases that go to trial. This includes tracking such information as the type of crime, location of the crime, racial/gender statistics of both defendants and victims, case results, and the number of court days between arraignment and the resolution of the case. This data collection will enable the Department

to pinpoint the drivers of violence, destabilize organized crime, and make informed decisions.

### *Violent Criminal Enterprise Unit (VCEU)*

The VCEU is responsible for prosecuting the individuals and groups who commit and drive serious and violent crime in the County. As the lead agency in the County's Gang Task Force, VCEU fosters collaboration and information sharing between and among the local, State, and Federal law enforcement agencies who investigate violent crime in the County as well as gun, drug, and human trafficking crimes committed by criminal street gangs. Through the Task Force, VCEU members provide education and training to law enforcement regarding changes in the law and stay apprised of changes in gang rivalries, alliances, and criminal behavior on the streets, in the jails, and prisons.

In addition to prosecution, VCEU has also taken a leading role in efforts aimed at gang crime prevention and intervention. Members of VCEU are actively involved in leading and contributing to community discussions regarding the dangers and risks related to gang crime and gang violence. Another example of the Unit's important community engagement is its active participation in the City of Stockton's Project Ceasefire program, which is aimed at combatting Stockton's longstanding firearm-related violence problems. In 2018, through partnership with the Stockton Police Department and other community agencies and organizations, VCEU helped reduce homicides in Stockton by 40% and non-fatal shootings by 34%. The 40% reduction in homicides included a 22% drop in gang-related homicides. In 2019, the overall homicide number increased by just one, translating to the lowest two-year total in more than a decade. Also, gang-related homicides decreased again in 2019, by more than 10% over 2018.

These improvements in public safety are at least partially attributable to several proactive investigations in 2018 and 2019 aimed at several feuding criminal street gangs operating in Stockton. These groups were believed by community members and law enforcement to be responsible for a staggering number of homicides and shootings in recent years. The investigative efforts, led in the DA's Office by VCEU and the Homicide Unit, resulted in the apprehension and prosecution of a core group of individuals identified as repeat gun violence offenders for multiple homicides and related violent offenses.

For many years, criminal street gangs and gang members have called the County home and have done business and waged war with each other in the streets, jails, and prisons of the community. Through a more collaborative approach with all willing partners, the DA's Office, led by VCEU, fights to identify those relative few who choose to exploit and bring violence into the community, intervene with them and prevent

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criminal behavior whenever possible, and incarcerate whenever necessary.

### *Homicide Unit*

The Homicide Unit reviews, charges and prosecutes murders, cold-case homicides, and unlawful killings. Cases prosecuted by this Unit involve some of the most heinous and violent crimes committed within the County and usually entail substantial trial preparation, and the use of cutting-edge forensic DNA and social media analysis and evidence as well as follow-up investigations by the Bureau of Investigations (BOI). Experienced attorneys work closely with BOI and law enforcement agencies responsible for investigating the crime from the inception. At the same time, prosecutors in the Unit work with the victims' families to provide support through the Victim Witness Unit.

While the overall number of homicides was nearly the same amount in calendar year 2019 as 2018, the number of cases filed increased as law enforcement agencies increased their solved case rates.

As part of the review of Lifer Parole Hearings, the Unit is working with Re:store Justice, a 501(c)(3) organization designed to facilitate engagement opportunities for victims of violent crimes, incarcerated violent offenders, DAs, and the community with the goal to heal. Part of the mission of this approach is for all sides to discuss their experience with what happened, how victims were harmed, encourage the offender to take responsibility, and create consensus on how the offender can attempt to repair the damage of their crime.

### *Bureau of Investigation (BOI)*

The DA's BOI provides investigative and trial support services to the DA and Deputy DAs who prosecute homicide, felony, and misdemeanor cases. The BOI also supports regional crime reduction efforts, collaborating with local, State, and Federal law enforcement agencies, and is the primary law enforcement agency in the County authorized to conduct investigations of Child Abduction, Public Assistance and In-Home Supportive Services Fraud, Real Estate Fraud, Public Integrity, Environmental and Consumer Crimes, Witness Relocation, and Officer-Involved Critical Incidents.

In 2019, the Mainline Criminal Investigations Division completed over 6,500 tasks and personally served over 5,400 subpoenas. After the formation of the Witness Protection and Transportation Unit in 2018, the Department was able to conduct 135 transportations of witnesses safely to and from their court appearances in 2019. Over the last year, organizational changes have effectively increased monitoring, productivity, and efficiency of the Division, as well as enabled investigators to have more direct contact with a supervisor.

### *Cold Case Unit*

The DA's Office has two dedicated Criminal Investigators and a Deputy DA assigned to the Cold Case Unit. The Cold Case Unit Investigators review cold case investigations from law enforcement agencies within the County to determine whether there is additional investigation to be completed and whether there is physical evidence to be tested, or could be tested utilizing new technology and methodologies to identify a suspect(s) in the case.

The cold case investigations involve unsolved homicides, missing person cases with suspicious circumstances, and sexual assault cases with unidentified suspects. The Unit has a Deputy DA assigned to collaborate with Investigators on the case investigations as well as prosecute any criminal cases once a suspect has been identified and it is determined a criminal case can be filed.

During 2019-2020, the DA's Office established a Cold Case Task Force comprised of representatives from law enforcement agencies Countywide who are working on cold case investigations.

The Task Force is currently working on identifying the extent of the unsolved cold case investigations within the County and how the agencies can work together on the unsolved cases. The Task Force includes representatives from the Department of Justice Forensics Laboratory who consult with the Investigators on testing methods of available evidence to assist in identifying suspects in the cases.

Victim Advocates from the DA's Victim Witness Division also participate with the Task Force to assist in contacting the victims' families on these cases to keep them informed of progress on the cold case investigations.

During 2020-2021, the DA's Office will continue expanding the scope of the Cold Case Task Force by developing additional procedures to digitally track the Countywide cold cases. The DA's Office will continue to identify cases with physical evidence that can be tested using new technology or other methods, such as genealogy, to identify suspects and bring justice to the victims and their families in the cases.

### *CDCR Special Investigations Unit*

The BOI has one Investigator assigned to the California Department of Corrections and Rehabilitation (CDCR) - Duel Vocational Institution. This partnership provides investigative support to CDCR's Special Investigations Unit at the prison, by assisting with a multitude of investigations that range from inmate crimes, visitor crimes, and employee crimes.

The Investigator provides training, advance investigative skills to their staff, and is a liaison between the prison and the

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DA's Office. In 2019, the BOI participated in 339 criminal investigations, numerous special internal criminal investigations, issued 10 civilian arrest warrants, and served 4 civilian search warrants. BOI is researching and exploring assigning an Investigator to other CDCR facilities in the County.

### *Child Abduction Team (CAT)*

The CAT often uses the Hague Convention in order to process a child's return from other countries around the world. The Hague Convention was drafted originally in 1980 to ensure the prompt return of children who have been abducted from their country of habitual residence or wrongfully retained in a signatory state, not their country of habitual residence. Currently, 108 countries are partners in the treaty.

In 2019-2020, the prosecution team of the CAT did intake on 84 cases, which were all resolved. Of the 84 cases, the DA's Office assisted in the recovery or return of 30 children, which included: California, 13 recoveries, throughout the United States, 13, Japan, Mexico, and the Philippines, 4. There are currently four active cases in Mexico and Costa Rica. Cases range from assistance with locating and serving a parent whose location is unknown and violations of family court orders, to parental and non-parental abductions.

### *Quality of Life Crimes Unit*

The Quality of Life Crimes Unit uses both criminal and civil prosecution to address crimes that directly affect the daily lives of the citizens of the County. Prosecution of insurance fraud targets those offenders whose actions affect workers' compensation insurance rates for the businesses of the County, whose fraud harms the employees of the County, and the premiums all of us pay in auto insurance.

Identity theft and complex white-collar fraud crimes consistently rank as some of the most damaging forms of crime this unit confronts. Consumer fraud scams and environmental crimes leave no resident of the County immune.

The Quality of Life Crimes Unit processes referrals from numerous city, County, and State agencies such as the Department of Consumer Affairs, Bureau of Automotive Repair, Department of Motor Vehicles, Occupational Safety and Health Administration, Contractors State License Board, Fish and Wildlife, Environmental Health Department, County Agriculture Commission, Cal Fire and local fire districts, Department of Insurance, Animal Services, California State Bar, and local law enforcement.

### *Environmental and Consumer Fraud Units*

During 2019, over 150 case referrals were made to the DA's Consumer Fraud Unit. Referrals came from nine unique

agencies, and work continued on three Statewide consumer fraud investigations. In September 2019, the DA's Office announced it was investigating Juul Labs, Inc., a major contributor to the vaping and e-cigarette epidemic sweeping across the County, State, and nation.

Connected to this investigation, the DA and partner agencies are also investigating and prosecuting local businesses that illegally sell vaping products to underage customers. Investigation and enforcement of Juul, other e-cigarette companies, and outlets for their products in the County will continue through 2020-2021, including outreach and media campaigns to educate County residents of the dangers of these products.

The DA's Environmental Protection Unit, working in partnership with numerous local and State agencies, continues to enforce local and State environmental regulations.

Investigations and prosecutions include matters of unique local concern, as well as on larger scale Statewide fronts. In 2019-2020, the DA partnered with other counties in California in approximately 70 civil prosecutions impacting not only the County, but the State as a whole. Regardless if local or State, when settlements are negotiated, enforcement and collection of penalties is a top priority.

The Real Estate Fraud Unit conducted 48 investigations in 2019-2020. These are often complex cases requiring many hours to investigate and see the cases through the prosecution and court process.

Additionally, in 2019-2020, the DA's Workers' Compensation Fraud and Auto Insurance Fraud Units reviewed 77 referrals, and investigated 31 cases. These investigations are much like any other financial case that requires many hours of work by the Investigators, such as the creation of hundreds of reports and collection of documents, due to the voluminous nature of the evidence.

BOI currently has one Investigator assigned to animal cruelty investigations. This Investigator assists prosecutors with animal cruelty prosecutions and trial preparation, acts as a liaison with law enforcement and animal control services to accomplish the following:

1. Provide a Countywide protocol on preparing animal cruelty cases and animal seizures in cruelty, hoarding, neglect, and animal fighting cases;
2. Provide expert witness testimony; and
3. Deliver community outreach.

These investigations involve a high level of experience, expertise, and interaction and collaboration with other County and city agencies. From January 2018 to December 2019,

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BOI investigated 65 animal cruelty cases. The investigations involve willful cruelty to animals, some involving the death of the animals. Severe neglect cases involved starvation; lack of medical care; cockfighting and being a spectator at cockfighting events; and the illegal practice of veterinary medicine in which the defendant performed Caesarean sections on pregnant dogs in a private residence. BOI conducted several outreach meetings with animal welfare and rescue groups.

In 2019, the BOI Public Integrity Unit investigated 14 cases. Through the first nine months of 2019-2020, the Unit received 27 complaints for investigation and it is anticipated that the number will double in 2020-2021. The Unit has been more proactive with outreach to the community through education and ease of access for reporting these types of issues. The Unit posted a new link on the DA website with information that explains what these crimes entail, and provides easier access for community members to report alleged issues with a new reporting form and tracking system. These are difficult investigations and often times involve prominent public figures and/or public employees. Cases are scrutinized and investigated in a professional and ethical manner.

Investigations often times act as a deterrent and hold public figures and government employees accountable for their actions, and provides education. The goal is prevention of criminal and unethical behavior in the future. The Unit now provides community education presentations to various community organizations and government agencies.

### *Identity Theft Unit*

The DA's Office continues to investigate and prosecute identity theft. In 2019-2020, the team was strengthened with additional attorney support, investigative support, and a paralegal.

For the first months of 2019-2020, the Identity Theft Unit had active felony prosecutions for nearly 40 defendants, while closing another nearly 50 felony cases. Referrals were submitted from seven different law enforcement agencies including the police departments in Lodi, Manteca, Stockton, and Tracy; California Highway Patrol; Sheriff's Office; and the Delta Regional Auto Theft Task Force. Additionally, felony prosecutions were pursued for over 330 individual and 50 business victims of identity theft.

Over the last several years, the DA's Office has seen a significant upswing in identity theft-related crimes by groups and individuals. These groups often share their criminal information with other groups or criminal enterprises. The BOI Identity Theft Unit investigated over 202 cases in 2019-2020, which has more than doubled from 2018-2019. Many cases go unreported to law enforcement and are settled by

financial institution fraud departments. These financial losses are often passed down to consumers.

Identity theft is a personal crime, an attack on individual identity, and can affect anyone of any social or economic background. Identity theft is a crime that elders in the community fall prey to. The majority of these types of cases take several months to investigate and gather all the documentation, three months for a forensic consultant to organize the evidence, and an additional three months until a defense attorney can review the evidence and be ready for a preliminary court hearing.

Identity theft investigations and prosecutions involve suspect and victim identification, record auditing, certified document collection and verification, interviews of those involved, account audits, and expert review and testimony. The Unit's proactive approach targets and monitors known identity theft criminals, subjects on probation and parole, identifying active and past participants in the County, and monitoring the Dark Web for victim profiles. Until contacted by a DA Investigator, many victims may not realize their identities have been stolen and utilized to make illegal purchases including vehicles, open credit cards and accounts, secure loans, offer items for sale they do not own, and steal deposits or rents. In some cases, information is used to make fraudulent driver's licenses.

The Unit works collaboratively with law enforcement, and has been working to expand community outreach, training, and education to assist with early intervention, prevention, and education.

### *Neighborhood Deputy District Attorney (NDDA) Team*

The DA's Office has three prosecutors assigned as NDDAs divided to serve three geographical regions within the north and south areas of the County, and within the City of Stockton. The NDDAs facilitate conversation and collaboration by engaging partners to address areas of concerns within the County's communities. The NDDAs meet regularly with community leaders and groups, provide presentations relevant to the community's concerns, and seek ways to bring resolution by collaborating with citizens, government agencies, law enforcement, and community-based organizations. The NDDAs focus a large portion of their work on three major areas of concern:

1. Homelessness;
2. Truancy; and
3. Drivers of crime within the County's communities.

The NDDA assigned to the City of Stockton focuses primarily on Business Watch groups and the Law Enforcement Assisted Diversion (LEAD) program, both done in conjunction with

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the Stockton Police Department. Both programs are focused on the reduction of criminal activities within the community, maintaining public safety, and providing dedicated services to those homeless individuals in need of direct services such as substance abuse and/or mental health treatment, housing, and employment. The NDDA will prosecute cases arising from the Business Watch program to address those individuals who are the drivers of crime within those communities. The NDDA team members attend bi-weekly LEAD program meetings focusing on case plans for the 25-average monthly participants, as well as developing strategies to reach additional participants, such as expanding the target areas within the City of Stockton.

The NDDAs are actively involved with reducing and eliminating student truancy by collaborating with local school districts and the County Office of Education. The NDDAs participate with the Truancy Task Force, attend and participate with Student Attendance Review Board hearings on student truancy for several school districts within the County and support the Parenting Project, which assists parents in working on issues in an effort to return their students to regular school attendance.

The NDDAs participate in the monthly Municipal Advisory Council meetings within the County and provide presentations on those topics that are an issue in those communities. The NDDAs provide presentations on cyberbullying and Internet safety for students and parent groups. The NDDAs participate in numerous monthly meetings on homelessness to collaborate on solutions within the communities they serve, and participate in outreach efforts with the homeless, done in conjunction with government agencies and community-based organizations. The NDDAs participate in community events hosted by non-profit organizations, law enforcement sponsored events, and Career Day events in several school districts. The focus of the NDDA program is to bring wellness to the citizens of the County by working collaboratively to address some of the County's greatest topics of concern and bring communities together by connecting groups with resources to resolve issues as they arise.

### ***San Joaquin County Fireworks Task Force***

In 2017-2018, the DA's Office in partnership with City and County fire departments, and local law enforcement created and implemented a collaborative effort to focus on educating the public about the dangers of illegal fireworks. The Task Force has provided public service announcements and distributed educational material to the residents of the County, advising them of the laws pertaining to fireworks. The DA's Office is committed to prosecuting those who are in possession of illegal fireworks.

The Task Force strategizes on ways to improve efforts, and has adopted the use of a Countywide On-line Application (App) to report illegal fireworks called "Go Request". This App is available to the public to utilize throughout the County. Magnets have been created with information regarding illegal fireworks to be distributed to the public. Training to law enforcement on illegal fireworks has been provided. The DA attends public events with local fire departments to educate and inform the public about the dangers associated with illegal fireworks.

During 2019-2020, building on the previous year's downward trend, the DA continued to see a drop in fireworks-related offenses. Community outreach and education on the dangers of these devices have proven to be effective in reducing the harm to property, pets, and County residents.

The DA, in coordination with City and County fire departments, as well as local law enforcement, prosecuted nearly 30 criminal filings, including two felonies. One felony prosecution, investigated by the California Department of Forestry and Fire Protection, netted nearly 3,000 pounds of illegal fireworks being shipped from out of State, with a street value of \$15,000.

In addition, local fire districts and law enforcement seized hundreds of pounds of dangerous fireworks before they could cause harm. The Task Force continues to provide opportunities for information sharing and collaboration amongst the local agencies seeking to combat this dangerous activity.

### ***Juvenile Division***

The Juvenile Division is responsible for reviewing and prosecuting all referrals for juvenile criminal activity committed in the County. This includes screening cases for informal supervision, diversion, and certification to adult court in specific, limited circumstances.

In addition to these responsibilities, the Juvenile Division has been instrumental in creating and implementing a number of specialized programs designed to meet the needs of youthful offenders while furthering the primary mission of rehabilitation and community safety. These programs include highly acclaimed intervention programs and alternatives to traditional prosecution such as Project Navigate Constructive Change (PNCC) and Reducing Racial and Ethnic Disparities (RRED). PNCC is a diversion program for youth and young adults ages 15 to 30. It utilizes evidence based practices and positive youth development principles to motivate participants to succeed, and not to reoffend. RRED is a joint collaboration of law enforcement, County organizations, and community groups with the goal of reducing racial and ethnic disparities within our dependency and criminal justice systems.



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The Juvenile Division has fairly and reasonably implemented the provisions of voter-approved propositions. Specifically, serious, violent, and repeat offenders are prosecuted in Juvenile Court, or, when appropriate, in the adult division of the Superior Court. In contrast, first time, low-level offenders are offered an opportunity to participate in the very successful PNCC diversion program. Youth who enter the program can have their record sealed upon meeting certain court-ordered conditions such as obeying all laws, paying restitution, participating in counseling, attending school, and completing community service hours.

### *Family Crimes Unit (FCU)*

The FCU is responsible for the vertical prosecution of all felony domestic violence, elder abuse, child abuse, adult and child sexual assault, human trafficking, and sex offender failure to register cases.

- Domestic Violence (DV) Deputy DAs review, charge, and prosecute felony cases in which the victim is the offender's spouse or former spouse, cohabitant or former cohabitant, parent of the offender's child, or with whom the offender has or had a dating relationship. These attorneys handle all DV felonies, including most DV homicide cases.
- Child Abuse and Sexual Assault (CASA) Deputy DAs charge and prosecute felony cases involving physical and sexual abuse crimes against children, sexual abuse crimes against adults, and sex offender failure to register cases. These Deputy DAs also prosecute most cases involving child homicide victims.
- DA's Elder Abuse Unit are responsible for felony crimes against elderly and dependent adult victims. In 2019, the FCU was combined with the Unit. Additionally, the Elder Abuse Deputy DAs prosecute most homicides with elderly victims.

The FCU also includes the CAT and Sexually Violent Predator (SVP) prosecutions. The CAT investigates, locates, and recovers abducted children and prosecutes the responsible perpetrators. The SVP Deputy DA prosecutes convicted sex offenders who have served their custody time and are deemed an unreasonably high-risk to commit another sex crime, and seeks to have them committed or recommitted to a State Hospital.

The FCU Deputy DAs are responsible for staffing the Family Justice Center (FJC). The FJC is a one-stop location for victims of family crimes to access the outstanding service providers within our community. A Deputy DA from the FCU is available Monday through Friday to assist victims and witnesses with their cases, and to assist law enforcement and other DA staff with issues that arise at the FJC.

The FCU Deputy DAs also engage in community outreach and education on child abuse, sexual assault and consent, dating violence prevention, elder fraud prevention, human trafficking, and sex offender registration compliance. Outreach and education presentations are conducted regularly for schools, community organizations, faith communities, youth programs, law enforcement, and civic groups.

### *Post-Conviction Review Unit (PCRU)*

On January 1, 2019, the DA's Office created the PCRU. This unit was created to address new legislation that provided several avenues for incarcerated persons to seek relief. These pieces of legislation require a significant amount of resources.

These new laws include:

<b>Legislation</b>	<b>Title</b>
Assembly Bill 2942	District Attorney Resentencing Petitions and Recommendation
Senate Bill 1437	Felony-Murder Resentencing
Proposition 57	Early Parole Eligibility and Release
People v. Banks	Habeas Corpus in Special
People v. Clark	Circumstances Cases
Proposition 66	Habeas Corpus in Capital Cases
Senate Bill 620	Personal Firearm Use Resentencing Hearings
Senate Bill 1393	Serious Felony Prior Resentencing Hearings
Proposition 47	Reduced Crimes Resentencing
Proposition 64	Marijuana Legalization
Senate Bill 1793	Youthful Offender Sentencing Hearings
People v. Franklin	

Many of these new laws require attorneys to sort through cases that are decades old. Most of the murder cases reviewed may involve reports and documents contained in 8 to over 20 boxes of files.

Since the creation of the PCRU, significant gains have been seen. All SB 1437 petitions have been tracked, assigned, and accounted for. Between January 2019 to late February 2020, 107 petitions have been filed by incarcerated persons seeking to have their murder convictions vacated.

In addition, a more streamlined process for addressing Proposition 57 (early parole) letters has been created. Between January 2019 to late February 2020, 128 Proposition 57 letters have been received regarding early release of prison.

The Unit has also assumed the impact on the Homicide Unit in Proposition 66 cases (habeas corpus review for all death

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penalty cases). Moreover, the PCRU has assisted original prosecutors active with the DA's Office in handling all Franklin sentencing hearings; in those cases where the original prosecutor is no longer with the office, the PCRU does the hearing. In addition, the PCRU has allowed the Office to incorporate a conviction integrity function as well as a sentence review procedure (Assembly Bill 2942) to address any issues with past convictions or sentences. There are less than 40 of these units nation-wide.

### Long-Term Planning

The Department anticipates the need of funding in future budget cycles for Information Systems Technology equipment to support a move to a paperless environment.

### 2019 Novel Coronavirus (COVID-19) Impacts

The DA assisted in implementing procedures in order to prevent the spread of COVID-19 including:

1. Established daily screening for COVID-19 at the Stockton Shelter and Gospel Center. As of May 2020, in partnership with Behavioral Health Services, Community Medical Centers, Public Health Services, and San Joaquin County Clinics, screened and educated more than 200 unsheltered individuals.
2. In partnership with the above, established the testing for support personnel of the shelters and on-site testing for the unsheltered in the camps, canals, and under the freeway.
3. Established outreach and supplied food and hygiene backpacks to over 2,000 unsheltered, three days a week from 5:00 pm until 9:00 pm.
4. Delivered dinner three nights a week to the camps to provide healthy food to assist in fighting COVID-19.
5. Took a leadership role in developing the policy and procedures for the Project Roomkey assessment tool, and continues to assist in the screening process.
6. Established Court realignment policy and procedures team for COVID-19-related Court schedule. Working to manage the criminal justice system since March 2020 on a daily basis to address the changes to criminal justice procedures and bail.
7. Provides assistance by regularly attending approximately 10 homeless-related meetings per week to support the development and implementation of service providers to those living on the street.

8. Took a leadership role in ensuring the successful release of over 450 inmates with only 34 returning. With the zero bail system, careful analysis and communication with the Sheriff's Office was critical to the success.
9. Completed preliminary hearings via tele/video conferencing ensuring the preservation of the testimony and successful holding orders of violent felons, which limits the exposure of people to the courthouse and ensuring public safety.
10. Processed all in-custody cases through video conferencing with the courts, further ensuring public safety.

## Supplemental Requests

The DA is requesting additional funding of \$1,027,179 for the following:

### *SB 384 – Sex Offender Registration (5 positions)*

Funding of \$648,694 is requested to add five positions to address the increased workload associated with SB 384. The new law allows convicted sex offenders who are now required to register their information and location for life, to petition the court to be taken off the registry completely, and reduce their registration requirement for 10 to 20 years (5 to 10 for juvenile sex offenders). The request is for a Deputy DA, a DA Investigative Assistant, an Office Assistant, a Paralegal, and a Victim Advocate.

Funding for this request is not included in the recommended 2020-2021 budget.

### *District Attorney Discovery Team (5 positions)*

Funding of \$378,485 is requested to staff five Senior Office Assistants (SOAs) to process discovery for the mainline units and the Intake Divisions in the Manteca and Stockton offices. Two SOAs for the mainline units would process the digital discovery including reports, body worn cameras, 911 recording tapes, surveillance videos, and photographs that are requested from law enforcement agencies. Three SOAs would add an additional search criteria to the frontloading process to more than 75% of the incoming police reports. The additional search would require staff to search the Sheriff's Management System in order to locate and confirm the individuals identification/LAR number.

Funding for this request is not included in the recommended 2020-2021 budget.

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### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Felony Filings</b>					
<i>Countywide</i>	5,254	5,349	5,249	5,739	5,818
<i>Stockton</i>	3,923	3,848	3,741	3,930	3,973
<i>Lodi</i>	427	433	434	570	557
<i>Manteca/Ripon/Escalon/Tracy</i>	904	1,068	1,074	1,239	1,288
<b>Misdemeanor Filings</b>					
<i>Countywide</i>	16,589	16,210	10,861	10,653	6,639
<i>Stockton</i>	10,055	9,461	6,061	5,789	2,648
<i>Lodi</i>	2,495	2,343	1,514	1,578	1,118
<i>Manteca/Ripon/Escalon/Tracy</i>	4,039	4,406	3,286	3,286	2,873
<b>Traffic*</b>					
<i>Countywide</i>	N/A	N/A	4,434	9,875	15,316
<i>Stockton</i>	N/A	N/A	3,175	7,515	11,855
<i>Lodi</i>	N/A	N/A	357	617	877
<i>Manteca/Ripon/Escalon/Tracy</i>	N/A	N/A	902	1,743	2,584
<b>Parole/Probation/Transfer in Filings</b>					
<i>Countywide</i>	978	895	719	735	668
<i>Post Release Community Supervision</i>	690	444	426	426	440
<i>Pre-Sentence</i>	169	149	143	143	120
<i>Transfer In</i>	119	302	150	166	108
<b>Juvenile Division</b>					
<i>Countywide</i>	883	629	920	755	930
<i>Felony</i>	486	420	543	594	648
<i>Misdemeanor</i>	341	180	329	129	168
<i>Transfer In</i>	56	29	48	32	114
<b>Total Filings</b>	23,704	23,083	22,183	27,757	29,371
<b>Investigations</b>					
<i>Investigations Opened</i>	450	1,870	400	250	450
<i>Investigations Tasks</i>	5,950	6,800	6,531	6,400	6,500
<i>Subpoenas Served</i>	4,075	5,600	5,421	5,300	5,500

\* N/A - Data separated and unavailable.

# 2020202000—DA-Real Estate Fraud Prosecution

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$653,291	\$829,596	\$774,491	\$774,491	(\$55,105)
Centrally-Budgeted Expenses	673	1,409	20,521	20,521	19,112
<i>Total Appropriations</i>	\$653,964	\$831,005	\$795,012	\$795,012	(\$35,993)
<b>Earned Revenues By Source</b>					
Operating Transfers In	\$653,964	\$831,005	\$795,012	\$795,012	(\$35,993)
<i>Total Revenues</i>	\$653,964	\$831,005	\$795,012	\$795,012	(\$35,993)
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

### Purpose

California Government Code Section 27388 authorizes counties to impose a recordation fee on certain real estate documents. The fund receives an \$8 recording fee per eligible document. These funds can only be used for programs which enhance the capacity of local district attorneys and local law enforcement to deter, investigate, and prosecute crimes involving real estate fraud.

### Major Budget Changes

#### Services & Supplies

- (\$55,105) Decrease in program expense for a Legal Technician from 100% to 50%.

#### Centrally-Budgeted Expenses

- \$19,117 Cost Allocation Plan adjustment.

#### Operating Transfers In

- (\$35,993) Decrease in reimbursement from Real Estate Fraud Prosecution Trust Fund.

### Program Discussion

The 2020-2021 recommended budget for Real Estate Fraud Prosecution totals \$795,012, which is a decrease of \$35,993 from the 2019-2020 adjusted budget. The change primarily

reflects a decrease in staff assigned to this program (-\$55,105), partially offset by a Cost Allocation Plan adjustment (\$19,117).

Recommended funding in the Real Estate Fraud Prosecution budget for 2020-2021 will be used to reimburse the District Attorney's (DA's) main and Consumer Fraud Proposition 64 budgets for a Deputy DA, two DA Investigators, and 50% of a Legal Technician.

The budget also includes funding for expert witnesses, such as forensic accountants and other experts who specialize in real estate transactions, interpreters, transcribers, outreach, and fleet services expenses.

#### Real Estate Fraud Prosecution Trust Fund

The Real Estate Fraud Prosecution Trust Fund (#12128) receives revenue from a real estate document recordation fee. Fund proceeds are used to offset costs of deterring, investigating, and prosecuting real estate fraud cases.

The fund balance as of March 31, 2020 was \$1,223,932. Annual revenue is projected at approximately \$603,696 for 2020-2021.

Criminal real estate crimes may involve home equity fraud, mortgage fraud, rental fraud, grand theft, securities fraud, forgery, foreclosure fraud, loan fraud, and any other type of fraud that steals a homeowner's dreams with a single forged signature. Unless they promptly alert the authorities, unsuspecting victims can lose their life savings or homes. The perpetrators of these crimes prey upon an especially vulnerable population, which includes the poor, homeless, and diverse communities.

# 2020202000—DA-Real Estate Fraud Prosecution

## Law & Justice

When the DA’s Office receives a consumer complaint involving real estate fraud, a Deputy DA will review the complaint and determine if a criminal investigation will be initiated. A criminal investigator from the DA’s Office will then be assigned to investigate the alleged criminal act(s). Two investigators are assigned to the unit to ensure a thorough investigation of all real estate fraud cases. After the completion of an investigation, the Deputy DA will review the facts of the case and determine whether to file criminal charges against an individual or business. If charges are filed, the DA’s Office will represent the People of California throughout the completion of the court case. The case may include charges such as the recording of forged or false deeds and other instruments, grand theft, forgery, securities fraud, and mortgage foreclosure consultant scams.

The unit, if appropriate, may refer a complaint to another department or agency that may be of assistance to the complainant. The unit works closely with the DA’s Consumer Protection Unit, Family Justice Center, and other State and Federal agencies.

When the criminal act(s) result in an actual loss to the victim, the unit is committed to obtaining restitution orders on behalf of the victim. However, if the defendant does not have the means to pay restitution to the victim, the victim is informed they may seek compensation from the Bureau of Real Estate’s Consumer Recovery Fund, if the fraud is committed by a real estate licensee, or pursue civil remedies.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Real Estate Fraud</b>					
<i>Prosecution Cases</i>	8	8	9	9	10
<i>Investigations</i>	20	20	45	45	45
<b>Mortgage Fraud</b>					
<i>Prosecution Cases</i>	1	1	1	1	1
<i>Investigations</i>	6	6	3	3	3

# 2020204000—DA-Family Justice Center

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$262,961	\$676,667	\$466,868	\$466,868	(\$209,799)
Services & Supplies	280,008	891,119	775,873	775,873	(115,246)
Centrally-Budgeted Expenses	1,584	4,813	11,375	11,375	6,562
Capital Expenditures	177,248	0	0	0	0
<b>Total Appropriations</b>	<b>\$721,801</b>	<b>\$1,572,599</b>	<b>\$1,254,116</b>	<b>\$1,254,116</b>	<b>(\$318,483)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$715,247	\$1,572,599	\$1,254,116	\$1,254,116	(\$318,483)
<b>Total Revenues</b>	<b>\$715,247</b>	<b>\$1,572,599</b>	<b>\$1,254,116</b>	<b>\$1,254,116</b>	<b>(\$318,483)</b>
<b>Net County Cost</b>	<b>\$6,554</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	4.0	4.0	5.0	5.0	1.0
Temporary (Full-Time Equivalent)	0.8	1.0	1.0	1.0	0.0
<b>Total Staffing</b>	<b>4.8</b>	<b>5.0</b>	<b>6.0</b>	<b>6.0</b>	<b>1.0</b>

### Purpose

The San Joaquin County Family Justice Center (FJC) purpose is to establish a multi-disciplinary team of professionals under one roof to provide services to victims of elder abuse, child abuse, sexual abuse, domestic violence, stalking and human trafficking.

The FJC is funded by multiple grants including the California Office of Emergency Services (CalOES), FJ Program grant funded through State General funds, and the County Victim Services XC Program grant, which provided one-time Federal Victims of Crime Act funding, also administered through CalOES. Additionally, the FJC received Federal funding via the United States Department of Justice Improving Criminal Justice Responses (ICJR) grant through Violence Against Women Act funding.

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$31,075) Salary and benefits adjustments.

- \$63,118 Full-year cost of an Office Assistant added midyear 2019-2020.
- (\$241,842) Eliminate salary and benefits for a multiyear grant added midyear 2019-2020.

#### Services & Supplies

- (\$38,933) Decrease in consultant services for volunteer coordinator.
- (\$38,289) Decrease in administrative costs.
- (\$25,080) Decrease in office furniture and supplies.
- (\$9,126) Decrease in travel costs.

#### Centrally-Budgeted Expenses

- \$3,319 Increase in data processing charges.

# 2020204000—DA-Family Justice Center

## Law & Justice

### Revenues

- \$40,222 Increase in Federal human trafficking grant funds.
- (\$358,705) Decrease in State grant funds.

### Program Discussion

The 2020-2021 recommended Family Justice Center (FJC) budget totals \$1,254,116, which is a decrease of \$318,483 from the 2019-2020 adjusted budget. The change primarily reflects a decrease in salary and benefits for a multiyear grant added midyear 2019-2020 (-\$241,842), salary and benefits adjustments (-\$31,075), consultant services (-\$38,933), and administrative costs (-\$38,289), offset by the full-year cost of an Office Assistant added midyear 2019-2020 (\$63,118).

The 2020-2021 funding is comprised of the CalOES XC Grant award of \$403,131 authorized by the Board of Supervisors in November 2019. The ICJR grant is in the final year of a three-year grant period with an allocation of \$250,000 in this final performance period. The CalOES FJ Grant \$750,000 funding will be utilized during the 2021 calendar year. In addition, the Department received \$40,000 in Federal Human Trafficking funds. Reduced budget needs primarily reflects decreases in office equipment, small furniture, and consultant services. Staffing consists of two Victim Witness Advocates and two Senior Office Assistants.

The one-stop (central location) approach of the FJC allows a victim seeking help to meet with either a police officer, a Deputy District Attorney, a counseling professional, a victim advocate, a child support staff member, a teacher to pursue a high school diploma, or a family law attorney; apply for financial assistance; or obtain information on shelters and transportation. If the victim arrives with children, childcare is provided while the victim obtains services. A centralized intake process will eliminate the need for victims to complete multiple forms to receive services. The one-stop allows for a

better flow of delivery of services for victims and their children.

In 2019-2020, services included emergency food bags for approximately 65 families, clothing for 90 victims and their children, transportation for 162 people seeking services at the FJC. Additionally, victims gain access to housing; criminal and family law advocacy services; restraining order assistance; case management; individual and group counseling services; education; financial aid; tutoring; and childcare. The vision of the FJC is to reduce the generational cycles of abuse.

During 2019-2020, on-site partners included the District Attorney’s Victim Witness Division, Stockton Police Department, District Attorney’s Family Crimes Unit Prosecutors, San Joaquin County Office of Education, Child Abuse Prevention Council, Women’s Center Youth and Family Services, Department of Child Support Services, and the Family Law Legal Center. Off-site partners include the Human Services Agency representatives from Financial Aid Eligibility and Adult Protective Services.

During 2019-2020, there was an expansion of services offered at the FJC. New services include Ending the Game, a curriculum for victims of human trafficking. As the manipulative grooming tactics used by traffickers are discussed, it is important to have victims surrounded by support services, should they recognize their victimization. Additionally, the Parent Project is offered at the FJC to provide parents with skills for effective communication and child rearing of adolescents. Finally, all partners of the FJC participate in quarterly HOPE events, which are family-focused community activities to provide connection between the population served and the FJC partners outside of trauma services.

In June 2019, the FJC welcomed Lavender, a golden retriever who serves as a facility dog to provide comfort and support for those seeking services. October 2019 marked the one-year anniversary of the opening of the modified space at 222 E. Weber Avenue, Stockton.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
New/Continuing FJC Cases	195	594	2,918	4,724	5,736
Number of New Adults Served	124	290	1,191	2,464	3,480
Number of New Children Served	13	44	188	160	194
Number of Referrals Outside of FJC	56	162	996	996	812

# 2020205000—DA-Victim Assistance Center

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$840,067	\$882,561	\$893,985	\$893,985	\$11,424
Services & Supplies	21,557	21,606	21,606	21,606	0
Centrally-Budgeted Expenses	8,566	64,125	78,750	78,750	14,625
<b>Total Expenditures</b>	<b>\$870,190</b>	<b>\$968,292</b>	<b>\$994,341</b>	<b>\$994,341</b>	<b>\$26,049</b>
Expenditure Reimbursements	0	(186,158)	(212,207)	(212,207)	(26,049)
<b>Total Appropriations</b>	<b>\$870,190</b>	<b>\$782,134</b>	<b>\$782,134</b>	<b>\$782,134</b>	<b>\$0</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$829,207	\$782,134	\$782,134	\$782,134	\$0
<b>Total Revenues</b>	<b>\$829,207</b>	<b>\$782,134</b>	<b>\$782,134</b>	<b>\$782,134</b>	<b>\$0</b>
<b>Net County Cost</b>	<b>\$40,983</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>10.0</b>	<b>10.0</b>	<b>10.0</b>	<b>10.0</b>	<b>0.0</b>

### Purpose

The Victim Assistance Center provides claims verification services for the California Victim Compensation Board (CalVCB). The Center provides local verification of claims to ensure timely issuance of payments to victims in San Joaquin County. This discretionary program operates through a Joint Powers Agreement with the CalVCB. The agreement includes processing claims for seven counties in addition to San Joaquin County. The program provides payment for expenses; such as, funeral/burial, counseling, relocation, medical/dental, loss of wages, and home/vehicle modifications.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$11,424 Salary and benefits adjustments.

#### Centrally-Budgeted Expenses

- \$8,298 Increase in data processing charges.
- \$4,086 Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- (\$26,049) Decrease in reimbursement from District Attorney's main budget (#2020200000).

### Program Discussion

The 2020-2021 recommended Victim Assistance Center budget totals \$994,341, which is an increase of \$26,049 from the 2019-2020 adjusted budget. The change primarily reflects salary and benefits adjustments (\$11,424), data processing charges (\$8,298), and a Cost Allocation Plan adjustment (\$4,086). Staffing consists of a Victim Claims Supervisor, eight Victim Claims Specialists, and a Senior Office Assistant. The budget also provides funding for 10% of the Program Manager position allocated to the Victim/Witness Program budget (#2020273000).

The three-year agreement with the CalVCB ends June 30, 2021. The Department intends to apply for the grant renewal prior to the end of this term.

In 2018-2019, the District Attorney received one-time supplemental funding of \$70,827 to fund an additional Victim Claims Specialist position for one year to assist in processing an increase in applications and bills. The law states that applications shall be approved or denied within an average of



# 2020205000—DA-Victim Assistance Center

## Law & Justice

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90 calendar days and no later than 180 days of acceptance by the Center. The Department requested that CalVCB fund the position beginning in 2019-2020 and does not anticipate receiving funding in 2020-2021. The County's no back-fill

Policy requires that departments seek replacement funding from non-County sources or to eliminate or phase-out programs when grant funding is reduced or eliminated. The position will remain unfilled until funding is secured.

### Workload Data

	<u>Actual</u>			<u>Est./Act.</u>	<u>Projected</u>
	<u>2016-2017</u>	<u>2017-2018</u>	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021</u>
Applications Processed	3,672	4,344	3,320	4,634	4,634
Bills Processed	5,491	5,225	5,372	7,920	7,920
Benefits Secured for San Joaquin County Victims	\$1,429,763	\$1,407,074	\$2,226,361	\$1,633,611	\$1,633,611

# 2020206000—DA-Consumer Fraud Proposition 64

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,796,869	\$3,144,874	\$3,108,852	\$3,108,852	(\$36,022)
Services & Supplies	323,748	859,424	859,424	859,424	0
Centrally-Budgeted Expenses	28,434	48,984	66,387	66,387	17,403
<b>Total Expenditures</b>	<b>\$3,149,051</b>	<b>\$4,053,282</b>	<b>\$4,034,663</b>	<b>\$4,034,663</b>	<b>(\$18,619)</b>
Expenditure Reimbursements	(231,784)	(252,152)	0	0	252,152
<b>Total Appropriations</b>	<b>\$2,917,267</b>	<b>\$3,801,130</b>	<b>\$4,034,663</b>	<b>\$4,034,663</b>	<b>\$233,533</b>
<b>Earned Revenues By Source</b>					
Operating Transfers In	\$2,917,628	\$3,798,072	\$4,032,367	\$4,032,367	\$234,295
<b>Total Revenues</b>	<b>\$2,917,628</b>	<b>\$3,798,072</b>	<b>\$4,032,367</b>	<b>\$4,032,367</b>	<b>\$234,295</b>
<b>Net County Cost</b>	<b>(\$361)</b>	<b>\$3,058</b>	<b>\$2,296</b>	<b>\$2,296</b>	<b>(\$762)</b>
<b>Allocated Positions</b>	<b>18.0</b>	<b>18.0</b>	<b>19.0</b>	<b>19.0</b>	<b>1.0</b>

### Purpose

The District Attorney (DA) Consumer Fraud Proposition 64 budget (#2020206000) was established to account for civil penalties received under the Business and Professions Code from those who participate in unfair competition. Penalties are placed in the Consumer Fraud Trust Fund and Environmental Code Enforcement Trust Fund to be used by the DA for enforcement of consumer protection laws. Limited case-specific funding is also received from the Craig Thompson Environmental Protection Prosecution (CTEPP) Fund, to reimburse costs for investigation and prosecution of environmental violations by large companies throughout the State of California (DA-Environmental Protection Unit (EPU) #2020207000).

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$108,855) Salary and benefits adjustments reflecting lower pay steps.
- \$72,833 Add a Senior Office Assistant.

#### Centrally-Budgeted Expenses

- \$14,936 Increase in data processing charges.
- \$4,974 Increase in Workers' Compensation and Casualty insurance costs.

#### Expenditure Reimbursements

- (\$252,152) Decrease in reimbursement for labor costs from DA's main budget (#2020200000).

#### Operating Transfers In

- \$234,295 Increase in funding from the Consumer Fraud Trust Fund.

### Program Discussion

The 2020-2021 recommended Consumer Fraud Proposition 64 budget totals \$4,034,663, which is a decrease of \$18,619 from the 2019-2020 adjusted budget. This change mainly represents salary and benefits adjustments (-\$108,855), offset by the addition of a Senior Office Assistant (\$72,833), and increases in data processing charges (\$14,936). The budget

# 2020206000—DA-Consumer Fraud Proposition 64

## Law & Justice

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provides funding to investigate and enforce consumer protection laws and prosecute environmental violations by large companies. Staff and program expenses are funded by various trust funds, with a portion of costs reimbursed from the District Attorney's (DA's) Real Estate Fraud Prosecution budget. A contribution of \$2,296 from the General Fund is included in this budget to cover Cost Allocation Plan costs charged to the DA-EPU budget, which cannot be used to fund such costs.

The 2020-2021 recommended budget adds a Senior Office Assistant to address the increased workload in the Identity Theft Unit. The position is funded with Proposition 64 collections.

During 2019, over 150 case referrals were made to the DA's Consumer Fraud division. Referrals came from nine unique agencies, and work continued on three Statewide consumer fraud investigations. In September 2019, the DA's Office announced it was investigating Juul Labs, Inc., a major contributor to the vaping and e-cigarette epidemic sweeping across the County, State, and nation. Connected to this investigation, the DA and partner agencies are also investigating and prosecuting local businesses that illegally sell vaping products to underage customers. Investigation and enforcement of Juul, other e-cigarette companies, and outlets for their products in the County will continue through 2020-2021, including outreach and media campaigns to educate County residents of the dangers of these products.

The DA's EPU, working in partnership with numerous local and State agencies, continues to enforce local and State

environmental regulations. Investigations and prosecutions include matters of unique local concern and Statewide fronts. In 2019-2020, the DA partnered with other California counties in approximately 70 civil prosecutions impacting the County and Statewide. Regardless if local or Statewide, when settlements are negotiated, enforcement and collection of penalties is a top priority.

### **Consumer Fraud & Environmental Code Enforcement Trust Funds**

Costs in this budget are reimbursed primarily from the Consumer Fraud Trust Fund (#12129) and the Environmental Code Enforcement Trust Fund (#12130). Annual revenues vary depending on civil penalties and settlements received. As of March 31, 2020, trust fund balances were \$873,698 and \$8,318,818 respectively. A total of \$3,473,367 is designated from these trust funds for use in the 2020-2021 recommended budget.

### **Craig Thompson Environmental Protection Prosecution Fund**

Monies in the CTEPP Fund (#12131) are also used to supplement this budget for case-specific investigations and prosecution-related costs. Annual revenues vary depending on the number and types of cases investigated and prosecuted. As of March 31, 2020, the CTEPP Fund balance was \$648,957. A total of \$559,000 is designated for use in the 2020-2021 recommended budget.

# 2020206000—DA-Consumer Fraud Proposition 64

## Law & Justice

### Workload Data

	Actual			Act./Est. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Local Civil EPU Pre-file/Investigation	18	11	8	15	15
Local Civil EPU Filed	11	8	5	3	8
Local Civil EPU Collections	\$58,425	\$152,958	\$124,915	\$75,000	\$80,000
State Civil EPU Pre-file/Investigation	35	47	58	70	65
State Civil EPU Filed	0	0	5	2	5
State EPU Collections	\$1,192,013	\$2,057,825	\$1,694,266	\$1,200,000	\$1,200,000
Consumer Fraud Referrals	N/A	N/A	<150	200	200
Local Civil Pre-file/Invest. Con. Fraud	N/A	N/A	N/A	60	75
Local Civil Consumer Fraud Filed	N/A	N/A	N/A	5	75
State Civil Pre-file/Invest. Con. Fraud	6	5	4	3	1
State Civil Filings Consumer Fraud	1	0	2	0	2
Criminal Filings - P64 Cons. Fraud	481	569	585	590	590
ID Theft Filings	N/A	N/A	N/A	95	100
<i>Closed Felony ID Theft cases</i>	N/A	N/A	N/A	65	75
<i>Victims of Felony ID Theft</i>	N/A	N/A	N/A	475	500

Proposition 64 related referrals came from following agencies:

*Alcoholic Beverage and Control*

*Board of Equalization*

*Bureau of Automotive Repair*

*California Department of:*

*Fish and Wildlife*

*Forestry and Fire Protection*

*Motor Vehicles*

*Toxic Substances Control*

*California Environmental Protection Agency*

*California State Bar*

*California Structural Pest Control Board*

*Central Valley Regional Water Quality Control Board*

*City and County Municipalities*

*City and County Public Works Departments*

*Contractors State License Board*

*Department of Consumer Affairs*

*Department of Forestry and Fire*

*Department of Veteran Affairs*

*Employment Development Department*

*Local and County Fire Departments*

*San Joaquin County:*

*Agricultural Commissioner's Office*

*Community Development Department*

*Environmental Health Department*

*Metro Narcotics Task Force*

*Mosquito and Vector Control District*

*Parks and Recreation Department*

*San Joaquin Valley Air Pollution Control District*

*United States Coast Guard*

*United States Department of Agriculture*

# 2020209000—DA-Child Abduction Unit

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$384,039	\$423,021	\$423,058	\$423,058	\$37
Services & Supplies	214,260	222,172	222,172	222,172	0
Centrally-Budgeted Expenses	4,223	7,055	11,283	11,283	4,228
<i>Total Expenditures</i>	\$602,522	\$652,248	\$656,513	\$656,513	\$4,265
Expenditure Reimbursements	(2,595)	(18,041)	(18,041)	(18,041)	0
<i>Total Appropriations</i>	\$599,927	\$634,207	\$638,472	\$638,472	\$4,265
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$594,612	\$632,207	\$636,472	\$636,472	\$4,265
Miscellaneous Revenues	970	2,000	2,000	2,000	0
<i>Total Revenues</i>	\$595,582	\$634,207	\$638,472	\$638,472	\$4,265
<b>Net County Cost</b>	\$4,345	\$0	\$0	\$0	\$0
<i>Allocated Positions</i>	3.0	3.0	3.0	3.0	0.0

### Purpose

The District Attorney (DA) is mandated, under the Family Code, to enforce custody and visitation decrees; locate and return children unlawfully taken by a parent; proceed with civil court action; and guarantee appearance of offenders in court. The Uniform Child Custody Jurisdiction and Enforcement Act requires staff to locate children who are unlawfully detained in San Joaquin County and return them to courts in other jurisdictions.

### Major Budget Changes

#### Centrally-Budgeted Expenses

- \$2,490 Increase in data processing charges.
- \$1,077 Cost Allocation Plan adjustment.

### Revenues

- \$4,265 Increase in reimbursement for State-mandated functions.

### Program Discussion

The 2020-2021 recommended Child Abduction Unit budget totals \$656,513, which is an increase of \$4,265 from the 2019-2020 adjusted budget. The change primarily reflects an increase in data processing charges (\$2,490). This budget will provide funding for a DA Investigator, an Investigative Assistant, and an Office Assistant. State reimbursement funds approximately 5% of a Deputy DA allocated in the DA's main budget (#2020200000).

# 2020209000—DA-Child Abduction Unit

## Law & Justice

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### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Child Abduction Cases	31	34	33	25	35
Child Visitation Cases	32	34	18	15	22
Notification/Good Cause Cases	11	17	16	19	30
Recovered Children	35	35	13	30	30

# 2020210000—DA-Narcotics Enforcement

## Law & Justice

Tori Verber Salazar, District Attorney

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$7,985	\$10,500	\$10,500	\$10,500	\$0
Centrally-Budgeted Expenses	0	40,664	40,256	40,256	(408)
Operating Transfers Out	126,500	25,000	50,000	50,000	25,000
<b>Total Appropriations</b>	<b>\$134,485</b>	<b>\$76,164</b>	<b>\$100,756</b>	<b>\$100,756</b>	<b>\$24,592</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$3,741	\$2,000	\$2,000	\$2,000	\$0
Miscellaneous Revenues	98,941	74,164	98,756	98,756	24,592
<b>Total Revenues</b>	<b>\$102,682</b>	<b>\$76,164</b>	<b>\$100,756</b>	<b>\$100,756</b>	<b>\$24,592</b>
<b>Net County Cost</b>	<b>\$31,803</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

### Purpose

This budget unit serves as a pass-through account for transferring funds from the District Attorney’s (DA) Narcotics Enforcement Special Revenue Fund to the DA’s General Fund budget. The Special Revenue Fund holds the DA’s share of proceeds from the forfeiture of assets seized from narcotics dealers.

### Major Budget Changes

#### Operating Transfers Out

- \$25,000 Increase in transfer to DA’s main budget (#202020000).

#### Revenues

- \$24,592 Increase in projected Seized Asset Forfeiture revenue.

### Program Discussion

The 2020-2021 recommended Narcotics Enforcement budget totals \$100,756, which is an increase of \$24,592 from the 2019-2020 adjusted budget. The change is due to an increase in operating transfers to the DA’s main budget (\$25,000).

The DA proposes to use asset forfeiture proceeds to continue to enhance the technology for the DA’s Office. In order to further enhance the line of communication between the new courthouse and the DA building, funds will be used to purchase additional laptops, scanners, and printers. Annual revenue to the DA Narcotics Enforcement Special Revenue Fund (#20034) anticipated in 2020-2021 is approximately \$100,756 including interest earnings of \$2,000.

As of March 31, 2020, the fund balance was \$177,143.

# 2020212000—DA-Auto Insurance Fraud Prosecution

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Services &amp; Supplies</i>	\$288,980	\$289,781	\$288,780	\$288,780	(\$1,001)
<i>Centrally-Budgeted Expenses</i>	246	479	1,480	1,480	1,001
<i>Total Appropriations</i>	\$289,226	\$290,260	\$290,260	\$290,260	\$0
<b>Earned Revenues By Source</b>					
<i>Aid From Other Governments</i>	\$290,091	\$290,260	\$290,260	\$290,260	\$0
<i>Total Revenues</i>	\$290,091	\$290,260	\$290,260	\$290,260	\$0
<b>Net County Cost</b>	(\$865)	\$0	\$0	\$0	\$0

### Purpose

The Auto Insurance Fraud Prosecution program provides State funding for the District Attorney (DA) to investigate and prosecute automobile insurance fraud cases. Funds are provided through a special surcharge collected by the California Department of Insurance (CDI) Commission on automobile insurance policies. Funding levels are based on San Joaquin County’s proportionate share of the State population and the proportionate share of automobile insurance suspected fraudulent claims submitted to the CDI, Fraud Division.

### Program Discussion

The 2020-2021 recommended Auto Insurance Fraud Prosecution budget totals \$290,260, which is the same as the 2019-2020 adjusted budget. The budget provides funding for 60% of a Deputy DA, 30% of a DA Investigator, 30% of a DA Investigative Assistant, and 25% of a Paralegal, all of whom are allocated in the DA’s main budget (#2020200000). Also included in this budget are the cost of communications, trainings, vehicle usage, audit expenses, and administrative charges.

The Auto Insurance Fraud Prosecution program is committed to investigating and prosecuting all forms of auto insurance fraud. This form of theft affects all residents of the County, resulting in higher insurance rates.

The District Attorney seeks to achieve vigorous prosecution of these cases by fostering close relationships with the CDI, local insurance agencies, local law enforcement, and by using more aggressive outreach efforts.

During 2019-2020, in addition to regular meetings with partners, the Auto Insurance Fraud Prosecution program has developed new forms of outreach including a designated Deputy DA participating as a guest speaker on a local cable television access program to inform viewers about auto insurance fraud and other forms of fraud.

Recently, the Auto Insurance Fraud Prosecution program also partnered with the San Joaquin County Office of Education to prepare an information video, supported by social media platforms, discussing the various forms in which auto insurance fraud can manifest itself. With additional efforts aimed at outreach, the goal is to promote greater visibility of the unit, and increase the number of referrals sent to the unit.



# 2020212000—DA-Auto Insurance Fraud Prosecution

## Law & Justice

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Auto Insurance Fraud Cases</b>					
<i>Referrals</i>	3	48	39	50	50
<i>New Filings</i>	20	11	7	11	10
<i>Active Investigation</i>	60	43	66	20	15
<i>Convictions</i>	28	2	9	15	8
<i>Declined</i>	2	10	7	2	5
<i>Carryover Cases</i>	18	18	9	7	10
<i>Restitution Ordered</i>	\$89,003	\$1,179	\$11,799	\$13,998	\$15,000
<i>Restitution Collected</i>	\$16,959	\$50,710	\$22,141	\$16,348	\$25,000
<i>Outreach Sessions/Number of Attendees</i>	N/A	N/A	N/A	7/901	10/2,000

# 2020216000—DA-Workers' Compensation Insurance Fraud

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$485,566	\$497,176	\$496,229	\$496,229	(\$947)
Centrally-Budgeted Expenses	557	2,154	3,101	3,101	947
<i>Total Appropriations</i>	\$486,123	\$499,330	\$499,330	\$499,330	\$0
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$497,486	\$499,330	\$499,330	\$499,330	\$0
<i>Total Revenues</i>	\$497,486	\$499,330	\$499,330	\$499,330	\$0
<b>Net County Cost</b>	(\$11,363)	\$0	\$0	\$0	\$0

### Purpose

The Workers' Compensation Insurance Fraud Prosecution program allows the District Attorney (DA) to expand the investigation and prosecution of those who commit workers' compensation insurance fraud, including applicant fraud, premium fraud, medical provider fraud, and uninsured employer fraud.

Funds are provided through a special assessment collected by the State Insurance Commission on workers' compensation insurance policies. Funding levels are based on the number of workers and the County's proportion of suspected fraudulent workers' compensation claims reported.

### Major Budget Changes

#### Services and Supplies

- \$14,033 Increase in labor costs reimbursed to DA's main budget.
- (\$14,980) Decrease in jury and witness expense.

### Program Discussion

The 2020-2021 recommended Workers' Compensation Insurance Fraud Prosecution budget totals \$499,330, which is the same amount as the 2019-2020 adjusted budget. This will provide funding for 70% of a Deputy DA, 75% of a DA Investigator, 30% of a DA Investigative Assistant, and 30%

of a Paralegal, all of whom are allocated in the DA's main budget (#2020200000). Also included in this budget are the cost of office supplies, communications, trainings, vehicle usage, audit expenses, and administrative charges.

Fighting insurance fraud, particularly medical provider fraud, continues to be an important priority for the California Department of Insurance and the DA's Office. Medical provider fraud in the workers' compensation system has monetary losses that significantly surpass the losses seen in other types of insurance fraud. With the partnership of the California Department of Insurance (CDI), the DA will make sustained efforts to investigate and root out medical provider fraud in San Joaquin County, while still maintaining a commitment to the other types of workers' compensation fraud.

Another area of workers' compensation fraud, premium fraud, looks at the role the employer plays in this form of theft. The DA will utilize partnerships and resources common to the fight against consumer fraud and environmental crimes to investigate employers and businesses which under-report payroll, in an effort to defraud a system designed to protect the working residents of the County. Regardless of the form of workers' compensation fraud, the DA will request restitution in all cases where appropriate, and will aggressively pursue collection of restitution ordered by the court.

Referrals to the DA for workers' compensation fraud have remained steady over the last three fiscal years, but are down from 2015-2016 and years prior. For the DA to investigate then prosecute an allegation of insurance fraud, a referral is started within an insurance agency's Special Investigations Unit (SIU), forwarded to CDI for further investigation by

# 2020216000—DA-Workers' Compensation Insurance Fraud

## Law & Justice

trained law enforcement personnel, and sent to the DA for any follow-up investigation necessary to file charges and prosecute. Building close relationships with CDI and with the SIUs in the County will lead to steady, if not increased levels of referrals for workers' compensation fraud. Additionally, the DA will continue to promote the efforts by

the Workers' Compensation Insurance Fraud Prosecution program by engaging in outreach with the public and insurance industry members. Such outreach includes updated multimedia materials, as well as meetings with SIUs and claims adjusters at local insurance agencies.

### Workload Data

	Actual			Act./Est.	Projected
	2016-2017	2017-2018	2018-2019	2019-2020	2020-2021
<b>Workers' Compensation Cases</b>					
<i>Referrals</i>	55	63	25	20	22
<i>New Filings</i>	4	7	2	2	5
<i>Active Investigations</i>	10	14	15	12	12
<i>Convictions</i>	13	2	1	3	4
<i>Declined</i>	5	5	7	10	5
<i>Carryover Cases</i>	9	8	10	7	8
<i>Restitution Ordered</i>	\$4,945	\$0	\$52,000	\$25,360	\$30,000
<i>Restitution Collected</i>	\$75,638	\$62,346	\$74,445	\$12,076	\$15,000
<i>Outreach Sessions/Number of Attendees</i>	N/A	N/A	N/A	9/701	10/2,000

# 2020217000—DA-Public Assistance Fraud Prosecution

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,759,573	\$3,006,215	\$2,925,575	\$2,925,575	(\$80,640)
Services & Supplies	287,603	398,698	398,698	398,698	0
Centrally-Budgeted Expenses	25,667	55,395	74,696	74,696	19,301
<b>Total Expenditures</b>	<b>\$3,072,843</b>	<b>\$3,460,308</b>	<b>\$3,398,969</b>	<b>\$3,398,969</b>	<b>(\$61,339)</b>
Expenditure Reimbursements	(3,072,843)	(3,460,308)	(3,398,969)	(3,398,969)	61,339
<b>Total Appropriations</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Earned Revenues By Source</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>17.0</b>	<b>17.0</b>	<b>17.0</b>	<b>17.0</b>	<b>0.0</b>

### Purpose

The Public Assistance Fraud Prosecution Unit operates through a Memorandum of Understanding between the District Attorney (DA) and the Human Services Agency (HSA). This Unit investigates and prosecutes criminal welfare fraud, including Aid to Families with Dependent Children, CalFresh (food stamps), and vendor fraud cases. Funding is primarily provided from Federal and State welfare administration funds received by the HSA.

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$80,640) Salary and benefits adjustments.

#### Centrally-Budgeted Expenses

- \$14,106 Increase in data processing charges.
- \$6,332 Increase in Workers' Compensation and Casualty insurance costs.

#### Expenditure Reimbursements

- (\$61,339) Decrease in reimbursement from HSA.

### Program Discussion

The 2020-2021 recommended Public Assistance Fraud Prosecution budget totals \$3,398,969, which is a decrease of \$61,339 from the 2019-2020 adjusted budget. The change primarily reflects salary and benefits adjustments (-\$80,640), offset by an increase in data processing charges (\$14,106). The budget continues to provide funding for 1 DA Lieutenant Investigator, 10 DA Investigators, 4 DA Investigative Assistants, and 2 DA Legal Technicians.

In 2020-2021, DA staff, both sworn and non-sworn, will continue to pursue the recovery of fraudulently obtained public assistance benefits. Staff will, in a fair and timely manner, preserve and ensure program integrity with fraud prevention, detection, and investigation, including internal fraud. Staff will work to protect taxpayer dollars and ensure that only those who are truly eligible for public assistance benefits receive those benefits. Income and Eligibility Verification System cases, criminal prosecutions, and intentional program violations processed by the Unit have resulted in penalties with longer disqualification periods and restitution collected.

In addition, the DA Bureau of Investigations consists of two separate teams, which are overseen by the designation of two Lead DA Investigators. The Lead Investigators directly supervise each team and are managed by a Lieutenant. This structure allows for a manageable span of control ratio with effective supervision, productivity, and efficiency. In

# 2020217000—DA-Public Assistance Fraud Prosecution

## Law & Justice

addition, due to policy changes and new investigative practices put in place, the workload data numbers for ongoing fraud investigations have increased, while no arrest/bench warrants have been issued since 2018-2019.

As of February 29, 2020, the Unit has achieved an increase of recovered public funds. These increases reflect an estimated cost avoidance of \$1,798,294 for cash assistance, and \$758,550 for the CalFresh program according to the formula

of the State Department of Social Services. Prior to benefit distribution, early fraud detection efforts resulted in a cost avoidance of \$534,089 for cash assistance, and \$202,050 for CalFresh in 2019-2020. Overall cost avoidance totals \$3,292,983. The Department anticipates these cost avoidance savings of public funds to substantially increase by year-end. Cost avoidance saves public funds that would otherwise be inappropriately given to public assistance applicants.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Referrals</b>					
<i>Convictions</i>	32	50	119	179	98
<i>Declined</i>	0	2	2	2	0
<b>DA Investigations</b>					
<i>Ongoing Fraud</i>	911	900	942	1,439	1,559
<i>Duplicate Warrants - Aid to Families         with Dependent Children</i>	8	8	3	6	6
<i>Arrest/Bench Warrants</i>	39	39	0	0	0
<i>Early Fraud Cases</i>	720	720	577	749	705

# 2020278250—DA-Criminal Restitution Program

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$271,018	\$288,279	\$307,108	\$307,108	\$18,829
Centrally-Budgeted Expenses	2,196	5,497	8,284	8,284	2,787
<i>Total Expenditures</i>	\$273,214	\$293,776	\$315,392	\$315,392	\$21,616
Expenditure Reimbursements	(50,972)	(78,207)	(99,823)	(99,823)	(21,616)
<i>Total Appropriations</i>	\$222,242	\$215,569	\$215,569	\$215,569	\$0
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$319,995	\$215,569	\$215,569	\$215,569	\$0
<i>Total Revenues</i>	\$319,995	\$215,569	\$215,569	\$215,569	\$0
<b>Net County Cost</b>	(\$97,753)	\$0	\$0	\$0	\$0
<i>Allocated Positions</i>	3.0	3.0	3.0	3.0	0.0

## Purpose

The Criminal Restitution Program is funded by a grant from the California Victim Compensation Board (CalVCB). The purpose of the program is to ensure the imposition of restitution fines and orders against criminal offenders in all cases involving an applicant who has filed a victim compensation claim.

## Major Budget Changes

### Salaries & Employee Benefits

- \$18,829 Salary and benefits adjustments.

### Centrally-Budgeted Expenses

- \$2,490 Increase in data processing charges.

### Expenditure Reimbursements

- \$21,616 Increase in reimbursement from District Attorney's main budget (#20202000000).

## Program Discussion

The 2020-2021 recommended Criminal Restitution Program budget totals \$315,392, which is an increase of \$21,616 from the 2019-2020 adjusted budget, primarily due to salary and benefits adjustments (\$18,829). The CalVCB grant will provide funding for 1.75 full-time equivalent Paralegals. A part-time Office Assistant will under-fill an allocated Office Assistant position to work on the direct victim restitution assistance program.

The current agreement with the CalVCB ends June 30, 2022. If the Department is not successful in renewing the grant, program expenditures will be reduced and staff moved to vacant positions or terminated.

Staff will work to ensure that restitution is imposed by the court in all eligible cases, unless the court waives imposition for compelling and extraordinary reasons that are stated on the record.

# 2020278250—DA-Criminal Restitution Program

## Law & Justice

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### Workload Data

	2016-2017	Actual 2017-2018	2018-2019	Est./Act. 2019-2020	Projected 2020-2021
Restitution Orders Reviewed	2,015	2,286	2,466	2,964	2,964
Amount of Restitution Ordered for Crime Victims or CalVCB	\$257,769	\$373,365	\$710,100	\$535,561	\$535,561

# 2020281000—DA-State-COPS

## Law & Justice

Tori Verber Salazar, *District Attorney*

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Services &amp; Supplies</i>	\$249,687	\$249,756	\$248,516	\$248,516	(\$1,240)
<i>Centrally-Budgeted Expenses</i>	313	244	1,484	1,484	1,240
<i>Total Appropriations</i>	\$250,000	\$250,000	\$250,000	\$250,000	\$0
<b>Earned Revenues By Source</b>					
<i>Aid From Other Governments</i>	\$311,864	\$250,000	\$250,000	\$250,000	\$0
<i>Total Revenues</i>	\$311,864	\$250,000	\$250,000	\$250,000	\$0
<b>Net County Cost</b>	(\$61,864)	\$0	\$0	\$0	\$0

### Purpose

The State Citizens’ Option for Public Safety program provides funding to local agencies for front-line law enforcement services, enhanced prosecution efforts, and for jail operation/construction. Funding is provided from the State Vehicle License Fees and allocated by formula to city and county law enforcement, the District Attorney’s Office, and the County Jail. This narrative represents the program funding allocated by the State to the District Attorney.

### Program Discussion

The 2020-2021 recommended budget for the State Citizens’ Option for Public Safety (COPS) program totals \$250,000. Funding will be used to underwrite the cost of a Deputy District Attorney allocated in the District Attorney’s main budget (#2020200000) to prosecute felony cases.

### Supplemental Law Enforcement Services Fund

The Supplemental Law Enforcement Services Fund receives funding for the State COPS programs (operated by the Sheriff and the District Attorney), as well as Juvenile Justice Crime Prevention Act activities (operated by Probation). For 2019-2020, the beginning fund balance for District Attorney COPS was \$364,011.



# DA-State Grant Programs

## Law & Justice

Tori Verber Salazar, *District Attorney*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,316,506	\$1,917,516	\$1,984,184	\$1,984,184	\$66,668
Services & Supplies	526,853	679,174	784,353	784,353	105,179
Centrally-Budgeted Expenses	14,294	53,027	68,298	68,298	15,271
Capital Expenditures	0	100,000	0	0	(100,000)
<b>Total Expenditures</b>	<b>\$1,857,653</b>	<b>\$2,749,717</b>	<b>\$2,836,835</b>	<b>\$2,836,835</b>	<b>\$87,118</b>
Expenditure Reimbursements	(192,796)	(193,198)	(199,118)	(199,118)	(5,920)
<b>Total Appropriations</b>	<b>\$1,664,857</b>	<b>\$2,556,519</b>	<b>\$2,637,717</b>	<b>\$2,637,717</b>	<b>\$81,198</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$1,677,717	\$2,079,571	\$2,086,452	\$2,086,452	\$6,881
<b>Total Revenues</b>	<b>\$1,677,717</b>	<b>\$2,079,571</b>	<b>\$2,086,452</b>	<b>\$2,086,452</b>	<b>\$6,881</b>
<b>Net County Cost</b>	<b>(\$12,860)</b>	<b>\$476,948</b>	<b>\$551,265</b>	<b>\$551,265</b>	<b>\$74,317</b>
<b>Staffing</b>					
Allocated Positions	18.0	18.0	18.0	18.0	0.0
Temporary (Full-Time Equivalent)	5.0	3.8	3.8	3.8	0.0
<b>Total Staffing</b>	<b>23.0</b>	<b>21.8</b>	<b>21.8</b>	<b>21.8</b>	<b>0.0</b>

### Purpose

The California Governor’s Office of Emergency Services (Cal OES) provides financial and technical assistance to agencies throughout the State, including various public safety and crime victim support grants. Cal OES provides grants to the District Attorney’s (DA’s) Office for the Victim/Witness Program (#2020273000) and Unserved/Underserved Victim Advocacy and Outreach (#2020278270). The Department also receives a grant for Rural Crime Prevention (#2020278240), which is allocated directly from the State Local Law Enforcement Safety Account.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$66,668 Salary and benefits adjustments.

#### Services & Supplies

- \$100,000 Increase in administrative charges from DA’s main budget (#2020200000).
- \$5,920 Increase in office supplies.

#### Centrally-Budgeted Expenses

- \$15,126 Increase in data processing charges.
- \$4,147 Increase in Workers’ Compensation and Casualty insurance costs.
- (\$4,002) Cost Allocation Plan adjustment.

#### Expenditure Reimbursements

- \$5,920 Increase in reimbursement from Victim Assistance budget.

# DA-State Grant Programs

## Law & Justice

### Revenues

- \$6,881 Increase in Proposition 172 funding.

### Program Discussion

#### Victim/Witness Program

This program provides support services to victims and witnesses of crimes, including responding to crime scenes with a mobile unit to provide on-call crisis intervention and emergency services; providing information and referrals to other service agencies; providing court support services such as emergency transportation, courtroom escorts, information on case status and disposition, and general orientation to the criminal justice system; and assisting victims with claims for assistance from the California Victim Compensation Fund. Advocates are co-located in six cities throughout the County to ensure accessibility for victims.

The 2020-2021 recommended budget of \$2,362,822 consists of a total of \$1,561,726 from the CalOES grant, \$79,726 from Proposition 172 sales tax revenue, a General Fund contribution of \$522,252, and \$199,118 reimbursed from the Victim Assistance and Unserved/Underserved budgets. Funding is provided for 80% of 1 Victim/Witness Program Manager, 1 Victim/Witness Advocate Supervisor, 14 Victim/Witness Advocates, 2 Office Assistants, and 5 part-time Victim/Witness Advocates.

#### Unserved/Underserved Victim Advocacy & Outreach Program

This Program is incorporated with the DA’s Victim/Witness Program and enhances the provision of victim services and training in the geographically isolated regions of the County. This is a Statewide competitive grant intended to provide services to unserved and underserved populations. The current grant expires April 2021, and renewal of the grant is anticipated in the recommended budget.

The 2020-2021 recommended budget of \$204,013 is comprised of \$175,000 in grant funds and \$29,013 in required matching funds. This will fund one full-time Victim/Witness Advocate and .85 Full-Time Equivalent (FTE) of a Victim/Witness Advocate position and .64 FTE of an Office Assistant allocated to the program.

#### Rural Crime Prevention Program

The DA’s Office provides administrative oversight for the Rural Crime Task Force consisting of the District Attorney, Sheriff, Agricultural Commissioner, and rural farm owners and operators. The mission of the task force is education, loss prevention, recovery of property, and prosecution of criminal activity in rural areas.

The 2020-2021 recommended budget is \$270,000, based on activity during 2019-2020. However, if the amount of State funding changes significantly, the Department will return to the Board of Supervisors to request budget adjustments as appropriate.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Victim/Witness Program</b>					
<i>New victims served</i>	6,812	7,485	5,713	5,640	5,640
<i>Continuing victims served</i>	5,643	7,857	6,361	7,815	7,815
<i>Victims provided crisis intervention</i>	989	994	736	697	697
<i>Rural Crimes Prosecuted (Felony)</i>	N/A	N/A	16	16	40

# 2020300000—Child Support Services

## Law & Justice

Lori Cruz, *Child Support Services Director*

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Salaries &amp; Benefits</i>	\$12,586,872	\$15,848,706	\$14,482,097	\$14,482,097	(\$1,366,609)
<i>Services &amp; Supplies</i>	1,812,631	2,087,203	2,066,332	2,066,332	(20,871)
<i>Centrally-Budgeted Expenses</i>	1,060,771	724,524	1,172,541	1,172,541	448,017
<i>Capital Expenditures</i>	693,644	176,880	0	0	(176,880)
<i>Total Expenditures</i>	\$16,153,918	\$18,837,313	\$17,720,970	\$17,720,970	(\$1,116,343)
<i>Expenditure Reimbursements</i>	(1,445)	(1,500)	0	0	1,500
<i>Total Appropriations</i>	\$16,152,473	\$18,835,813	\$17,720,970	\$17,720,970	(\$1,114,843)
<b>Earned Revenues By Source</b>					
<i>Interest/Rents</i>	\$25,570	\$26,500	\$30,028	\$30,028	\$3,528
<i>Aid From Other Governments</i>	15,950,413	18,599,651	17,579,662	17,579,662	(1,019,989)
<i>Miscellaneous Revenues</i>	125,955	209,662	56,800	56,800	(152,862)
<i>Operating Transfers In</i>	5,216	0	54,480	54,480	54,480
<i>Total Revenues</i>	\$16,107,154	\$18,835,813	\$17,720,970	\$17,720,970	(\$1,114,843)
<b>Net County Cost</b>	\$45,319	\$0	\$0	\$0	\$0
<b>Staffing</b>					
<i>Allocated Positions</i>	133.0	159.0	144.0	144.0	(15.0)
<i>Temporary (Full-Time Equivalent)</i>	1.5	2.5	0.0	0.0	(2.5)
<i>Total Staffing</i>	134.5	161.5	144.0	144.0	(17.5)

### Purpose

The Department of Child Support Services (DCSS) works with parents and caretakers to establish and adjust financial support orders for the benefit of their children. Additionally, the DCSS collects, disburses, and accounts for child support payments under the Title IV-D Child Support Enforcement Program.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Department of Child Support Services (DCSS) focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic

development, and to proactively deal with water issues. The following highlights are an overview of several projects, programs, and activities administered by the DCSS.

#### Ensure Fiscal Responsibility

The DCSS strongly supports the goal to ensure the fiscal responsibility of the County. The Department does not request funds from the County. Its revenue sources are State and Federal grant funds.

Due to efforts led by San Joaquin County in 2019, \$19.1 million was allocated from the State General Fund (a total of \$56.0 million with the Federal match of \$36.9 million) to 21 underfunded Local Child Support Agencies (LCSAs). San Joaquin County's allocation was \$3.1 million in 2019-2020, with an additional \$3.1 million to be added in 2020-2021 and 2021-2022. However, due to the economic impacts brought by the 2019 Novel Coronavirus (COVID-19), California will have a budget shortfall of \$54.3 billion in 2020. As a result, the second year Child Support Enforcement funding increase

# 2020300000—Child Support Services

## Law & Justice

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(an additional \$3.1 million), initially identified in the Governor's Budget in January 2020 was eliminated in the Governor's Revised Budget in May 2020. Additionally, the Child Support program is identified to be funded at the 2018-2019 level Statewide. In order to reduce the impact to the original 21 underfunded counties, the State Child Support Department created and applied a reduction methodology for the \$56.0 million to all LCSAs. This resulted in a decrease of \$1.0 million to San Joaquin County's 2020-2021 allocation, rather than the initial projected loss of \$3.1 million.

The State has determined that San Joaquin County will be more than 31.6% underfunded in 2020-2021 based on caseload size in 2020-2021. DCSS executive managers continue to work with the Child Support Directors Association and the State Child Support Department (State) to improve the Statewide funding methodology to the LCSAs.

### Promote Good Governance and Increase Organizational Capabilities

In 2019-2020, the Department focused on conducting research to implement a new local Customer Contact Call Center. Since 2015, customer calls have been managed by Butte County DCSS. Redirecting customer calls from Butte County DCSS will allow the Department to provide services more efficiently, and maintain a better tracking of the customer service provided by staff. The Department's Customer Contact Center is being designed to deliver exceptional case management services, by quickly resolving customer needs. The goal is to resolve 90% of all calls the first time a call is received. The new Customer Contact Center will be launched in December 2020.

Last year, the DCSS implemented a self check-in process via Lobby Central software. In 2020-2021, Lobby Central will be implemented for the court process. Court participants will be able to use the self check-in process and verify their demographic information. Plans are also underway to link a text messaging system to Lobby Central, so that customers receive a text message when it is time for their appointment.

In October 2019, the Department's Behavioral Interventions for Child Support grant ended. The DCSS continues to use what it has learned and is applying behavioral interventions to all aspects of its program and administration.

In 2019, the Department joined a collaborative of other LCSAs to enhance the service of legal documents. If a party being served resides outside of the initiating County's jurisdiction, the initiating LCSA will contact the LCSA where the party resides to request assistance with service. This service is provided at no cost to the requesting LCSA. The assisting LCSA incurs the cost, per the agreement with the service of a process contractor. To date, San Joaquin County DCSS has submitted five requests for service of process and

has received 26 requests from other LCSAs. This is a win-win for counties involved and strengthens the working relationship between LCSAs.

In 2020-2021, DCSS will host succession planning training that will be available to other County departments. It is expected that this training will provide County leadership with up-to-date tools to ensure that County staff is ready to assume leadership positions as today's leaders retire.

### Improve Public Safety and Enhance Overall Criminal Justice System

In a joint effort with the District Attorney's Office, DCSS is providing case management services to survivors of domestic violence and human trafficking at the San Joaquin County Family Justice Center.

### Promote Economic Development

In 2019, DCSS partnered with WorkNet to expand its referral program. The new referral process allows caseworkers to refer DCSS customers to WorkNet, to utilize the valuable employment services it offers. This holistic approach helps customers overcome barriers to complying with their court orders.

DCSS will also co-host two Job Fairs with WorkNet in 2020-2021. E-mail invitations will be sent to unemployed and underemployed DCSS customers. Additionally, Job Fairs and employment opportunities will be advertised via social media, at outreach events, and in the DCSS customer lobby.

The Department will collaborate with approximately 65 community agencies for its fifth Annual Block Party, tentatively scheduled for summer 2020. The Block Party is a fun event for families to learn about the resources available to promote self-sufficiency and reduce childhood poverty.

### 2019 Novel Coronavirus (COVID-19)

The COVID-19 pandemic is having significant effects on the economy and will likely result in a recession. During the Great Recession, child support collections fell by 1.8% nationally and the poverty rate in California grew by 4.4%. Projections for a mild to moderate recession indicate that between 500,000 to 1.2 million Californians could fall into poverty. Higher rates of unemployment and general economic stress can lead to escalating conflict among families, resulting in one or more parents leaving the home and a greater need for child support services. An expected increase in public assistance cases will result in additional child support referrals. Requests for modifications of court orders, due to loss of income, has already increased significantly since the COVID-19 Public Health Emergency was declared in March 2020, up 217% in April from the 2020 first quarter average.

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Customer service has been largely impacted by the pandemic. There are less staff to assist customers due to leaves of absence to care for themselves or a family member, and the need to maintain social distancing. However, eligible DCSS staff have been assigned to work remotely from their homes, to help minimize the disruption in services to customers. The establishment and modification of child support orders is challenging, at this time, with the San Joaquin County Superior Court being closed to the public and providing minimal document processing services for child support cases. The effect is a backlog of cases and longer wait times for customers who are in desperate need of payments and/or modifications.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$431,459 Salary and benefits adjustments.
- \$429,174 Add 6 Office Assistants.
- \$100,268 Add 1 Child Support Supervisor.
- (\$811,276) Delete 10 Child Support Officers.
- (\$692,541) Delete 7 Accounting Technicians.
- (\$285,693) Delete 3 Legal Technicians.
- (\$275,843) Delete 1 Child Support Attorney.
- (\$71,005) Delete 1 Office Secretary.
- (\$107,689) Decrease due to addition of Chief Child Support Attorney position not occurring in 2019-2020.
- (\$83,463) Decrease in extra-help.

#### Services & Supplies

- \$72,590 Increase in rent due to Department expansion.
- \$23,000 Increase in utilities costs.
- \$22,845 Increase in professional services primarily due to increased processing costs.
- (\$55,000) Decrease in training costs.
- (\$23,000) Decrease in genetic testing costs.
- (\$20,000) Decrease in small purchases and small tool costs.
- (\$13,500) Decrease in structures and ground maintenance costs.

- (\$13,000) Decrease in rents and leases costs.
- (\$9,000) Decrease in communication costs.

#### Centrally-Budgeted Expenses

- \$422,480 Increase in data processing charges.
- \$29,482 Increase in Casualty insurance costs.
- (\$15,353) Decrease in Workers' Compensation insurance costs.
- \$12,169 Cost Allocation Plan adjustment.

#### Revenues

- \$54,480 Utilization of Child Support County recoupment funds to pay for ongoing utility costs at former location.
- (\$673,193) Decrease in Federal Child Support Enforcement Program funding.
- (\$346,796) Decrease in State Child Support funding.
- (\$152,862) Decrease in revenue due to end of State inter-jurisdictional contract.

### Program Discussion

The 2020-2021 recommended budget for the Department of Child Support Services (DCSS) totals \$17,720,970, which is a decrease of \$1,116,343 from the 2019-2020 adjusted budget. The change is primarily due to the deletion of 22 positions (-\$2,136,358), the addition of a Chief Child Support Attorney not occurring in 2019-2020 (-\$107,689), a decrease in extra help (-\$83,463), and a decrease in training costs (-\$55,000), partially offset by salary and benefits adjustments (\$431,459), the addition of six Office Assistants (\$429,174), an increase in data processing charges (\$422,480), and the addition of one Child Support Supervisor (\$100,268).

The 2020-2021 recommended budget does not include \$3.1 million in anticipated additional Child Support Enforcement formula funds identified in the Governor's Budget released in January 2020. The Governor's proposed budget included an increase of \$56.0 million (\$36.9 million Federal funds and \$19.1 million State General Fund) for LCSA administrative costs. This increase would have been the second year of a three-year, phased-in new funding methodology. However, due to the State's budget shortfall resulting from COVID-19, the Child Support program was reduced to the 2018-2019 funding level, eliminating all increases previously identified. The State DCSS created and applied a reduction methodology

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for the \$56.0 million to all LCSAs to reduce the impact on the original 21 underfunded counties; thus reducing San Joaquin County's reduction in 2020-2021 from \$3.1 million to \$1.0 million.

In 2019-2020, the DCSS requested that Human Resources (HR) study the possibility of adding a management level Child Support Attorney classification, which currently does not exist. It was anticipated this new position would be added to the Department's budget in 2019-2020. However, this item is still pending and will not be finalized until 2020-2021. HR will prepare an item for Board consideration to adopt the new classification in 2020-2021. The Department may subsequently request that the Board consider allocating the new position and identify funding sources for the new position.

In 2019, the Department relocated to 409 E. Market Street in downtown Stockton. In spring 2020, the Department began leasing an additional 13,052 square feet of office space at this same location. The space will house the Department's new Customer Contact Center, case management staff, an administrative suite, storage space, and conference/break rooms.

In February 2020, General Services sent a notice of availability to public agencies notifying the former location is for sale. The property was appraised in December 2019 with a value of \$4,500,000.

In fall 2020, the DCSS will co-locate with the San Joaquin Housing Authority at sites in Thornton and Tracy. This partnership will make services more readily available to residents in these outlying areas of the County.

DCSS serves over 37,000 children. In 2019-2020, DCSS collected over \$62.0 million, where 86% of in-State collections went directly to families. Reliable child support payments contribute to the stability of families, leading to less poverty, increased likelihood of children attending college, greater parental involvement, and a decreased use of public assistance programs.

### Department Extended Hours

The Department recently changed the availability of its payment kiosk so customers can make payments earlier in the morning. The kiosk is now available from 7:30 a.m. to 5:00 p.m. on weekdays. The Department also has plans to extend its customer service hours to accommodate working parents. These plans are currently on hold and will be evaluated following the COVID-19 pandemic.

### Child Support Services Funds

Child Support Services Fund (#20038) serves as the operating fund for Department expenditures. The Child Support Enforcement Fund (#20381) serves as the depository for State DCSS funding advances. Actual costs are reconciled on a monthly basis through the LCSA expenditure claim submitted to the State DCSS. Funds are then transferred from Fund #20381 to Fund #20038 to cover Department expenditures. Unspent funds received in Fund #20381 are returned to the State DCSS each quarter. Any remaining fund balance in Fund #20038 at the end of the fiscal year primarily exists due to unreconciled program expenses for the fiscal year and unspent incentive funds. Unspent incentive funds serve as a reserve for unanticipated costs. For 2019-2020, the beginning fund balance in Fund #20038 was \$52,069.

### Fund No. 81452

This fund is a dormant fund that was created to transfer funds to the County Human Services Agency and the State for Title IV-A assistance recoupment, and to forward monies to families that were collected above what was due to the County and State. As of March 31, 2020, the fund balance was \$145,398. No fund balance from Fund #81452 is designated for use in the 2020-2021 recommended budget. The fund will remain open in 2020-2021 as available emergency funds due to COVID-19. If the funds are unused, then the fund will be closed by year-end 2020-2021.

### Fund No. 81453

The balance in this fund exists from General Fund contributions and a General Fund Revolving Fund closeout retained prior to 2008-2009. This fund has remained stagnant since 2014-2015. In 2018-2019, the ending fund balance was \$447,165. The decision was made to transfer half of the fund balance (\$223,582) to the Undesignated/Unreserved in the General Fund during the 2018-2019 fiscal year-end close. The remaining half of the funds (\$223,583) was due to be transferred during the 2019-2020 fiscal year-end close. As of March 31, 2020, the fund balance was \$223,583.

Due to the Department's ongoing utility and maintenance costs of approximately \$54,500 annually for its former location, (826 N. California Street in Stockton) a portion of the fund balance has been requested by the Department to pay for these ongoing costs, until such time the building is sold. As a result, in 2020-2021, \$109,000 from Fund #81453 will be retained to cover costs associated with maintaining the Department's former location until the property is sold. While two years' utility costs are being provided as reserves, it is anticipated the building will sell before then. The remaining funds in the amount of \$114,583 will be transferred to the General Fund during the 2019-2020 fiscal year-end close.

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	<b>Workload Data</b>				
	<u>Actual</u>			<b>Est./Act.</b>	<b>Projected</b>
	<b>2016-2017</b>	<b>2017-2018</b>	<b>2018-2019</b>	<b>2019-2020</b>	<b>2020-2021</b>
Total Collections	\$61,326,945	\$61,549,250	\$62,291,493	\$62,914,408	\$64,801,840
Distribution to Families	\$49,539,472	\$50,453,175	\$51,421,011	\$52,449,432	\$54,022,915
Number of Families Receiving Child Support Services	34,472	34,445	34,193	34,877	35,923
Percent of Families Receiving 75% or More of Mo. Child Support	51.38%	50.35%	49.42%	54.67%	56.50%
Lobby Visitors	N/A	15,817	31,330	32,270	33,238
Average Number of Days to Obtain a Child Support Order	173	183	181	179	173
Number of Homeless Customers Served	N/A	N/A	N/A	800	824
Public Outreach Events	30	107	139	160	180
N/A - Data not available					

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Miriam Lyell, Public Defender

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$15,957,853	\$17,053,438	\$17,496,434	\$17,281,961	\$228,523
Services & Supplies	584,672	649,510	649,510	649,510	0
Centrally-Budgeted Expenses	419,237	1,085,695	1,340,245	1,340,245	254,550
<b>Total Expenditures</b>	<b>\$16,961,762</b>	<b>\$18,788,643</b>	<b>\$19,486,189</b>	<b>\$19,271,716</b>	<b>\$483,073</b>
Expenditure Reimbursements	(299,671)	(701,886)	(613,257)	(613,257)	88,629
<b>Total Appropriations</b>	<b>\$16,662,091</b>	<b>\$18,086,757</b>	<b>\$18,872,932</b>	<b>\$18,658,459</b>	<b>\$571,702</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$4,741,954	\$4,848,213	\$5,306,167	\$5,306,167	\$457,954
Charges For Services	537,194	589,886	700,298	700,298	110,412
Miscellaneous Revenues	220	0	1,500	1,500	1,500
<b>Total Revenues</b>	<b>\$5,279,368</b>	<b>\$5,438,099</b>	<b>\$6,007,965</b>	<b>\$6,007,965</b>	<b>\$569,866</b>
<b>Net County Cost</b>	<b>\$11,382,723</b>	<b>\$12,648,658</b>	<b>\$12,864,967</b>	<b>\$12,650,494</b>	<b>\$1,836</b>
<b>Staffing</b>					
Allocated Positions	87.0	89.0	91.0	90.0	1.0
Temporary (Full-Time Equivalent)	1.8	1.6	1.6	1.6	0.0
<b>Total Staffing</b>	<b>88.8</b>	<b>90.6</b>	<b>92.6</b>	<b>91.6</b>	<b>1.0</b>

### Purpose

The Public Defender provides constitutionally mandated indigent defense services in all criminal matters as is required by the U.S. Constitution. The San Joaquin County Public Defender is dedicated to serving the diverse needs of the community and its justice system by furnishing clients whose cases have been entrusted to the Department, with competent, effective, loyal, ethical, zealous, compassionate, and efficient advocacy.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Public Defender focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public

safety, promote economic development, and to proactively deal with water issues.

The following highlights provide an overview of several projects, programs, and activities administered by the Public Defender's Office.

#### Promote Good Governance and Increase Organizational Capabilities

The Public Defender continues to implement operational changes in the representation of individuals charged with serious felony offenses by implementing the American Bar Association's recommendation that such cases be handled vertically, by one attorney from the first arraignment through the conclusion of the case, ensuring continuity of representation. This change has the potential for a positive fiscal impact by delivering mandated legal services in a more cost-effective manner.

In order to broaden public access to County services and information in a more timely and efficient manner, the Department continues the development and deployment of



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technology such as an interactive web page, which will include links to provide explanations of various court processes, laws, and procedures. The website will have links to information and applications regarding various restorative justice programs such as expungements and Propositions 47 and 64. The website will explain significant changes in the law, such as the overhaul of the homicide liability through Senate Bill (SB) 1437 and overhaul of the lifetime sexual offender registration through SB 384. This will allow the Department to maximize efficiency, while providing practical and helpful information to the community and promoting good governance.

### Improve Public Safety and Enhance Overall Criminal Justice System

- **Uprust Project** – The Public Defender continues to contract with Uprust, an organization that provides automatic reminders to out-of-custody clients of upcoming court dates and other court-ordered obligations through a two-way, text-messaging platform. Uprust has generously provided 100 cellular phones to clients without a cellular phone. The goal of this Project is to reduce the number of bench warrants, that according to the Pretrial Justice Institute, can lead to incarceration, destabilizing the individual, and increasing the risk factors for recidivism. Text message reminders have decreased failures-to-appear rates by 50%. Reduction of failures to appear in court also results in cost savings to the courts, law enforcement, and the Jail. The Community Corrections Partnership provides funding for the Uprust Project.
- **Stockton Alliance for Equity (SAFE)** – The Public Defender continues to collaborate with other County departments and law enforcement agencies in SAFE, whose identified goals are to create deflection, diversion, and a warrant assistance programs. To date, over 10,000 warrants have been recalled. SAFE has developed a Law Enforcement Assisted Diversion (LEAD) Program, which deflects low-level offenders into community-based treatment and supportive services rather than being processed through the traditional criminal justice system. This community-driven diversion approach is to improve public safety, public order, and the reduction of unnecessary justice system involvement for individuals who participate in the Program. LEAD also addresses homelessness issues through early intervention and opportunities for the disadvantaged. To date, LEAD has deflected 25 individuals into community-based treatment and supportive services.
- **Code for America** – Through a partnership with the District Attorney’s (DA’s) Office, Code for America has developed a logic code, which automatically clears or reduce thousands of eligible cannabis convictions through Proposition 64 relief using cutting-edge technology. In 2019-2020, the Department nearly tripled the amount of petitions compared to the prior year. Record clearing of Proposition 64 convictions will be streamlined with automation. This improves all aspects of the criminal justice system by allowing people to successfully clean up their criminal cannabis convictions and remove the collateral consequences including barriers to employment, housing, and licensure.
- **Diversion of Mentally Ill** – With collaborative County partners, the Public Defender continues its work to divert seriously mentally-ill individuals from the Department of State Hospitals into evidence-based mental health treatment and wraparound services. This case management approach will increase public safety, reduce recidivism, incarceration, and institutionalism. The diversion program will be implemented once the housing component is secured. The Public Defender continues its work to divert other mentally-ill clients into pre-trial diversion alternatives to traditional incarceration.
- **Stockton Unified School District (SUSD) Community Advisory Group** – Per a settlement that SUSD reached with the Department of Justice, the Public Defender is a part of a community advisory group made up of organizations whose goal is to divert more youth from the criminal justice system, reduce referrals to law enforcement, and develop alternatives to school disciplinary infractions. The group reviews and provides comment to law enforcement policies, procedures, and feedback from the community. This collaboration among justice partners and community members promotes good governance and improves the criminal justice system by ensuring fairness and justice for youth by implementing less punitive measures.
- **Project Navigate Constructive Change (PNCC)** – The Public Defender continues its participation with other County departments in Project Navigate Constructive Change (PNCC), a young adult program to divert those charged with low-level criminal offenses from traditional incarceration. Once enrolled in the year-long program, an individualized case plan is created and implemented with each participant to address their needs. To date, over 95 participants have graduated from PNCC. The Public Defender continues to collaborate with other justice partners to expand PNCC and to add a Restorative Justice component.
- **Outreach Activities** – The Public Defender provides up to 300 non-mandated appearances by attorneys at community activities, including schools, community

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centers, service groups, and non-profit organizations to discuss the criminal justice system and the role of the Public Defender. The Department provides volunteers for First Impression, Mock Trial, and other community activities, which provide opportunities for youth. The Department continues to provide information and advice, but not representation, to individuals seeking Clean Slate Program remedies, such as expungements and Certificates of Rehabilitation. The Department has provided attorneys at multiple OneJustice Bus Expungement Clinics. The Department collaborates with advocacy organizations and other community groups to develop and implement Second Chance programs and restorative justice programs within the County.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$14,049 Salary and benefits adjustment.
- \$214,474 Add a Deputy Public Defender.

#### Services & Supplies

- \$20,446 Increase in travel and training costs.
- \$9,000 Increase in subscription fees.
- (\$14,380) Decrease in professional services.
- (\$14,094) Decrease in communication costs.

#### Centrally-Budgeted Expenses

- \$137,403 Increase in Workers' Compensation and Casualty insurance costs.
- (\$79,170) Decrease in data processing charges.
- (\$14,169) Decrease in equipment lease costs.
- \$210,486 Cost Allocation Plan adjustment.

#### Expenditure Reimbursements

- (\$88,629) Decrease in reimbursement from Probation's Justice Assistance Grant program.

#### Revenues

- \$457,954 Increase in Proposition 172 funding.

- \$110,412 Increase in revenue from dependency defense contract with Judicial Council.

### Program Discussion

The 2020-2021 recommended budget for the Public Defender totals \$19,271,716, which is an increase of \$483,073 from the 2019-2020 adjusted budget. This increase is primarily due to the addition of a Deputy Public Defender (\$214,474), a Cost Allocation Plan adjustment (\$210,486) and an increase in Workers' Compensation and Casualty insurance costs (\$137,403), partially offset by decreases in data processing charges (-\$79,170).

#### Proposition 47

It is anticipated that by the end of 2019-2020, the Department will have filed over 28,000 petitions since Proposition 47 went into effect on November 4, 2014. Eligible applicants could exceed 30,000. Assembly Bill (AB) 1076, mandates that automatic relief be granted to eligible people without filing a petition or request. After Code for America has proven successful in automating the Proposition 64 record clearance, the Department will partner with Code for America to automatically clear the remaining Proposition 47 petitions and other expungements. It is anticipated that the program will end November 2022.

#### Proposition 57

In November 2016, Proposition 57 was approved, extensively amending Welfare and Institutions Code Sections 602 and 707 by eliminating the prosecution's discretion to directly file cases against youth in the adult criminal justice system. It is anticipated that by end of 2019-2020, the Department will have prepared approximately 91 judicial transfer hearings since the passage of Proposition 57.

#### Proposition 64

Proposition 64 was approved by the voters in November 2016. Similar to Proposition 47, petitions are required to be filed for clients with closed cases to reclassify or dismiss certain cannabis-related offenses. In the beginning of 2019, the Department collaborated with Code for America and the DA's Office to assist in developing code logic to automate the Record Clearing of individuals with cannabis convictions through technology. For 2019-2020, the Department will process nearly 1,900 Proposition 64 petitions.

#### Senate Bill 1437

SB 1437 redefined first- and second-degree murder liability and provided for previously-convicted inmates or parolees to

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petition for relief and re-sentencing. Based on information received from the California Department of Corrections and Rehabilitation, there are currently 432 incarcerated individuals serving time for sentences originating from San Joaquin County for first- or second-degree murder convictions and 78 people on lifetime parole for first- or second-degree murder convictions. To date, 87 petitions by individuals formally represented by the County have been filed with the court, (Public Defender's Office 59, Court Assigned Counsel (CAC) 28) compared to 59 the prior year (Public Defender's Office 51, CAC 8). The Public Defender's Office processes these petitions or forwards co-defendants petitions to the County Bar Association, CAC, in instances where a conflict of interest may exist in advocating against a former client.

### Assembly Bill (AB) 1810

AB 1810 added Welfare and Institutions Code Section 4361, providing authority for the Department of State Hospitals (DSH) to establish a county grant program for counties to develop or expand pretrial diversion programs for seriously mentally-ill individuals facing felony charges who would otherwise be found incompetent to stand trial and committed to the DSH. The goal is to provide long-term community mental health services to avoid criminal charges and institutionalization. Those eligible have the potential to: a) be found incompetent to stand trial, b) have been diagnosed with certain mental disorders, c) have a significant relationship between the individual's serious mental disorder and the charged offense or between the individual's condition of homelessness and the charged offense, and d) not pose an unreasonable danger to public safety.

Based on the high number of referrals to DSH, San Joaquin County is one of the 15 counties who received funding. While in the diversion program, clients will be provided evidence-based mental health treatment and wraparound services. Upon completion of the diversion program, clients will be connected to services in the community to decrease recidivism. The grant program will be implemented once the housing component is secured. The Public Defender continues its work to divert other mentally ill clients into pretrial diversion alternatives to traditional incarceration.

### Proposition 172

In 1993, Proposition 172 established a permanent Statewide half-cent sales tax to provide funding for qualifying public safety programs. Funds are collected by the State Board of Equalization and apportioned to each county based on its proportionate share of Statewide taxable sales.

AB 2788 created a Maintenance of Effort (MOE) provision requiring cities and counties to maintain funding levels to public safety programs that receive Proposition 172 funds. AB 2788 also established 1992-1993 as the MOE base year and required an adjustment to MOE levels based on the annual change in Proposition 172 revenues. In 1992-1993, the total for all qualifying budgets in the County was \$68,997,254 (base year).

The current program allocation formula for the County was established by the Board of Supervisors on May 24, 1994 (B-94-613), which allocates Proposition 172 revenue to the Sheriff (68.1%), Probation (13%), District Attorney (11%), and the Public Defender/Court Assigned Counsel (7.9%). During 2019-2020, the County Administrator's Office, working with Law and Justice departments, reviewed the current program allocation formula and determined the percentage of allocation distributed to each department was appropriate and consistent with the Proposition's intent.

In 2019-2020, the MOE for all qualifying public safety programs was \$111,828,837. The County's adjusted AB 2788 Certification for 2019-2020 was \$243,243,658. Overall, the General Fund provided overmatching funds of \$131,414,821 to all qualifying public safety programs. Not all budgets are included for each public safety department.

Public Defender and Court Assigned Counsel combined made up \$12.8 million of the County's MOE. The chart below illustrates that County General funds provided approximately \$10.3 million above the required MOE for 2019-2020.

The County Administrator's Office is reviewing the COVID-19 pandemic's impact on Proposition 172 funding. Since sales tax revenue is not received until two months after the funds are collected by the State, revised projections are uncertain at this time. The loss of funding for every 5% decrease equals approximately \$3.4 million.

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### Public Safety Fund (Proposition 172) - AB 2788 Maintenance of Effort

Indigent Defense	2019-2020 Adopted	AB 2788 Adjustments				Adjusted AB 2788	1992-1993 Base Year	Prop 172 MOE	Overmatch
		State/Federal Grant	Charges Services	Trust Fund	Fixed Assets				
Public Def.	\$18,086,757	0	(\$589,886)	0	0	\$17,496,871	\$5,077,086	\$8,228,800	\$9,268,071
Crt Assig Cnsl	5,560,930	0	0	0	0	5,560,930	2,798,964	4,536,483	1,024,447
<b>Total</b>	<b>\$23,647,687</b>	<b>0</b>	<b>(\$589,886)</b>	<b>0</b>	<b>0</b>	<b>\$23,057,801</b>	<b>\$7,876,050</b>	<b>\$12,765,284</b>	<b>\$10,292,517</b>

### Supplemental Requests

The Public Defender is requesting supplemental funding totaling \$428,947 for the following:

#### *Deputy Public Defender (1)*

Funding of \$214,474 is requested to add a Deputy Public Defender position to staff the eight Collaborative Court calendars per week. The Collaborative Courts utilize law students for defense presentation. The law students require supervision from an experienced attorney. The supervision is handled by the Bar Association on a monthly basis through the Court-Assigned Counsel contract. The Public Defender recommends utilizing a Deputy Public Defender instead of law students under supervision. Costs of the position will be offset with unused portion of 2020-2021 Net County Cost and \$60,000 that would have otherwise been given to Court Assigned Counsel, for a net position cost of \$42,562 in 2020-2021.

The recommended budget has been augmented by \$42,562 in order to address this request.

#### *Deputy Public Defender (1)*

Funding of \$214,473 is requested to add a Deputy Public Defender to begin the investigative and preparation process for the SB 384 (Sex Offender Registration Act) related petitions. The bill, signed into law October 2017, effective 2018, and will implemented 2021, establishes a three-tier registration system based on 1) periods of at least 10 years, 2) at least 20 years, and 3) life, for a conviction of specified sex offenses, and 5 years and 10 years for tiers one and two, respectively, for an adjudication as a ward of the juvenile court. Each petition will take approximately 30 to 40 hours of preparation; obtaining documents to establish eligibility; litigating challenged petitions; and other legal tasks.

Funding for this request has not been included in the 2020-2021 recommended budget.

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### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Special Circumstances	9	8	16	7	8
Felony	3,091	3,416	3,634	2,975	3,000
Superior Court Direct/Grand Jury	40	24	15	12	15
Violations of Probation-Felony	1,046	1,066	1,105	1,089	1,100
Violations of Probation-Misdemeanor	1,322	1,144	1,740	1,289	1,500
Misdemeanor	3,948	3,331	3,972	3,450	3,500
Traffic	1,212	1,218	1,156	865	865
Juvenile Dependency	296	292	262	215	250
Juvenile Delinquency	720	779	826	582	600
Habeas Corpus	111	326	200	217	220
Mental Health	279	243	329	297	325
Special Proceedings	847	656	805	584	600
Guardianship & Conservatorship	95	130	179	178	200
Proposition 63	172	173	175	611	650
Homeless Court	0	0	0	0	0
Section 6500	6	7	14	9	10
Civil Contempt	36	24	43	34	35
Motions	264	479	293	181	200
Writs	9	21	55	53	55
Violations of Community Supervision	901	511	587	444	500
Conditional Release Program	3	3	4	3	4
Parole Violations	153	154	175	145	150
Proposition 47 Petitions-Filed	8,484	8,237	4,524	1,782	1,000
Proposition 47 Petitions-Litigated	523	405	250	180	150
Proposition 57 Jud Trans Hearings	15	21	45	10	45
Proposition 64	N/A	721	767	1,877	2,000
California Health Care Facility	20	43	10	77	75
Veterans' Court	0	46	39	46	45
Senate Bill 1437 petitions	N/A	N/A	45	89	120
<b>Total</b>	<b>23,602</b>	<b>23,478</b>	<b>21,265</b>	<b>17,301</b>	<b>17,222</b>

# 2021000000—Grand Jury

## Law & Justice

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Services &amp; Supplies</i>	\$183,678	\$199,042	\$199,042	\$199,042	\$0
<i>Centrally-Budgeted Expenses</i>	3,592	(2,658)	(3,076)	(3,076)	(418)
<i>Total Appropriations</i>	\$187,270	\$196,384	\$195,966	\$195,966	(\$418)
<b>Earned Revenues By Source</b>	\$0	\$0	\$0	\$0	\$0
<b>Net County Cost</b>	\$187,270	\$196,384	\$195,966	\$195,966	(\$418)

### Purpose

Article I, Section 23, of the California Constitution requires that a Grand Jury be summoned annually in each county. The Grand Jury investigates the operations of county and city governments; selectively examines the books, records, and the accounts of county offices; reports the findings to the Board of Supervisors in an annual report; investigates charges of public offenses committed or triable within the county, and may bring forth indictments.

This budget includes funding for Grand Jury stipends, mileage reimbursement, transcription services, clerical support, training, travel expenses, and other costs incurred by

the Civil Grand Jury. Funds are also provided for impaneling separate Criminal Grand Juries as needed. Both Civil and Criminal Grand Juries are comprised of a panel of 19 with 10 alternates. Both Civil and Criminal Grand Jurors receive a stipend of \$15 per meeting, plus mileage reimbursement.

### Program Discussion

The 2020-2021 recommended Grand Jury budget totals \$195,966, which is a decrease of \$418 from the 2019-2020 adjusted budget.

Court staff concur with this recommendation.

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Civil Grand Juries</b>					
<i>Investigations</i>	12	14	11	10	12
<b>Criminal Grand Juries</b>					
<i>Days Impaneled</i>	63	71	37	37	37
<i>Indictments Issued</i>	58	88	8	8	8

# 2021000000—Grand Jury

## Law & Justice

### Final Grand Jury Reports

<i>2016-2017 Final Reports</i>	<i>Case</i>
The Housing Authority of the County of San Joaquin - Progressing from Caretaker to Developer	0216
San Joaquin County Property Rooms - Missing or Messy	0316
San Joaquin County Self-Governing Special Districts - Who is Watching the Cookie Jar?	0416
Manteca Unified School District - Meeting the After-School Needs of Weston Ranch High School Students	0516
Countywide Dispatch for Fire - Two Are Not Always Better Than One	0616
French Camp McKinley Fire District	0716
2016-2017 Law and Justice Report - Overview	–
Review/Follow-Up investigation of six cases from 2015-2016	–
<i>2017-2018 Final Reports</i>	<i>Case</i>
Code Enforcement Departments of San Joaquin County	0117
Office of Emergency Services - Operational Assessment	0417
Shining Light in the Dark Corners - Is the Office of Violence Prevention Worth the Money?	0817
San Joaquin County Municipality Ethics Policies	0917
2017-2018 Law and Justice Report - Overview	–
Review/Follow-Up investigation of nine cases from 2016-2017	–
<i>2018-2019 Final Reports</i>	<i>Case</i>
San Joaquin County Parks and Recreation - Budget Challenges and Matters of Trust	0118
Micke Grove Zoo - Honoring the Past, Securing the Future	0218
Cold Cases in San Joaquin County - On the Back Burner	0318
Tracy City Council - Restore the Public Trust	0418
French Camp McKinley Fire District - A District in Disarray	0518
2018-2019 Law and Justice Report - Overview	–
Review/Follow-Up investigation of four cases from 2017-2018 and one case from 2016-2017	–

# 2021274000—County Support to the Courts

## Law & Justice

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$10,200,043	\$10,605,875	\$10,280,126	\$10,280,126	(\$325,749)
Centrally-Budgeted Expenses	1,203,940	(750,624)	267,893	267,893	1,018,517
<b>Total Appropriations</b>	<b>\$11,403,983</b>	<b>\$9,855,251</b>	<b>\$10,548,019</b>	<b>\$10,548,019</b>	<b>\$692,768</b>
<b>Earned Revenues By Source</b>					
Fines/Forfeitures/Penalties	\$2,872,325	\$3,244,085	\$3,215,500	\$3,215,500	(\$28,585)
Aid From Other Governments	45,979	60,000	48,000	48,000	(12,000)
Charges For Services	513,990	557,020	607,220	607,220	50,200
Operating Transfers In	256,253	215,007	225,758	225,758	10,751
<b>Total Revenues</b>	<b>\$3,688,547</b>	<b>\$4,076,112</b>	<b>\$4,096,478</b>	<b>\$4,096,478</b>	<b>\$20,366</b>
<b>Net County Cost</b>	<b>\$7,715,436</b>	<b>\$5,779,139</b>	<b>\$6,451,541</b>	<b>\$6,451,541</b>	<b>\$672,402</b>

### Purpose

This budget provides the County’s Maintenance-of-Effort payments to the State for operation of the courts; extraordinary expenditures associated with death penalty capital cases; and court-related costs not recognized by the State under its definition of “court operational costs.”

### Major Budget Changes

#### Services & Supplies

- \$16,000 Increase in jury parking costs reflecting actual experience.
- \$10,751 Increase in lease costs for Lodi Court facility.
- (\$328,000) Decrease in one-time settlement costs paid in 2019-2020.
- (\$25,000) Decrease in psychiatric exam and other investigative costs.

#### Centrally-Budgeted Expenses

- \$33,833 Increase in Casualty insurance costs.
- \$984,684 Cost Allocation Plan adjustment.

### Revenues

- \$50,000 Increase in recording-indexing fees reflecting actual experience.
- \$10,751 Increase in operating transfers from Court Construction Fund.
- (\$28,585) Decrease in court-related fines and fees.
- (\$12,000) Decrease in State fine rebate revenues.

### Program Discussion

The 2020-2021 recommended County Support to the Courts budget totals \$10,548,019, which is an increase of \$692,768 from the 2019-2020 adjusted budget. This change is primarily due a Cost Allocation Plan adjustment (\$984,684), partially offset by a decrease in one-time settlement costs (\$328,000).

#### Courthouse Facility Buyout

In 2007, as part of the State-mandated separation of Court responsibilities, a Court Transfer Agreement (A-07-317) was negotiated between the County and the Judicial Council of California for the Stockton Courthouse. The agreement requires that upon relocation of Superior Court, the County is required to purchase the State’s interest in the existing



# 2021274000—County Support to the Courts

## Law & Justice

Stockton Courthouse for an agreed sum. Annual County contributions and interest earnings were set aside for this purpose. The Courts relocated to the new Courthouse in late July 2017.

Remittance of the equity buyout payment was delayed following the Court’s relocation due to potential sewer capacity use issues. On July 28, 2017, the County and the Judicial Council agreed to amend the agreement to delay payment until the earlier of the following: (a) 60 months after the effective date of the amendment, which is July 29, 2022, or (b) 60 days after completion of the sewer project designed to correct the sewer capacity use issues. On March 5, 2020,

the City of Stockton notified the County that the sewer capacity issues have been resolved and that the County was released from limitations contained in the July 28, 2017 agreement. On May 5, 2020, the Board of Supervisors authorized the payment of \$7,544,540 to the Judicial Council (B-20-241). The County has remitted the equity buyout payment, fully satisfying the terms of the agreement.

The Court Transfer Agreement requires the County to contribute an ongoing annual County Facility Payment of \$536,358 to the State, which is included in the recommended 2020-2021 budget.

### Distribution of Funds

	Actual			Adjusted 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Paid to Superior Court</b>					
<i>Trial Court MOE-County Contribution</i>	\$6,543,068	\$6,543,068	\$6,543,068	\$6,543,068	\$6,543,068
<i>Trial Court MOE-Fees &amp; Fines</i>	2,158,804	2,158,803	2,158,803	2,158,802	2,158,802
<i>Court Facility Payment-MOE</i>	187,640	675,901	723,998	723,998	723,998
<i>Settlement to Superior Court</i>	0	0	0	328,000	0
<i>Sub-Total Paid to Superior Court</i>	\$8,889,512	\$9,377,772	\$9,425,869	\$9,753,868	\$9,425,868
<b>Paid to Others</b>					
<i>Attorney and Investigative Services</i>	\$399,554	\$207,242	\$423,548	\$490,000	\$466,000
<i>Rents/Leases</i>	191,045	197,988	204,601	215,007	225,758
<i>Juror Parking/Bus Passes</i>	163,640	162,075	146,025	147,000	162,500
<i>Casualty Insurance</i>	43,767	39,096	44,130	38,315	72,148
<i>Allocated Costs (A-87)</i>	1,086,585	1,281,575	1,159,810	(788,939)	195,745
<i>Sub-Total Paid to Others</i>	\$1,884,591	\$1,887,973	\$1,978,114	\$101,383	\$1,122,151
<b>Total</b>	<b>\$10,774,103</b>	<b>\$11,265,745</b>	<b>\$11,403,983</b>	<b>\$9,855,251</b>	<b>\$10,548,019</b>

MOE-Maintenance of Effort

# 2021300000—Court Assigned Counsel

## Law & Justice

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$5,123,632	\$5,546,714	\$5,709,274	\$5,709,274	\$162,560
Centrally-Budgeted Expenses	72	14,216	32,063	32,063	17,847
Total Appropriations	\$5,123,704	\$5,560,930	\$5,741,337	\$5,741,337	\$180,407
Earned Revenues By Source	\$0	\$0	\$0	\$0	\$0
Net County Cost	\$5,123,704	\$5,560,930	\$5,741,337	\$5,741,337	\$180,407

### Purpose

The Court Assigned Counsel budget provides funds for indigent defense in cases where the Public Defender has declared a conflict-of-interest or is otherwise unavailable. Funding is provided for defense attorneys, investigators, witnesses, interpreters, and other costs related to cases appointed by the Superior Court. The County contracts with the San Joaquin County Bar Association (SJCBA) to administer the various legal services.

### Major Budget Changes

#### Services & Supplies

- \$134,727 Increase in SJCBA attorney costs based on contract rates.
- \$15,833 Increase in investigator costs based on contract rates.
- \$12,000 Increase in administration costs based on contract rates.

#### Centrally-Budgeted Expenses

- \$17,691 Cost Allocation Plan adjustment.

### Program Discussion

The 2020-2021 recommended Court Assigned Counsel budget totals \$5,741,337, which is an increase of \$180,407 from the 2019-2020 adjusted budget. This change reflects increases in attorney costs (\$134,727), a Cost Allocation Plan adjustment (\$17,691), investigator services costs (\$15,833), and administration costs (\$12,000).

On June 12, 2018, the Board of Supervisors approved a three-year agreement through June 30, 2021 with SJCBA (A-18-171). The agreement includes 2020-2021 cost increases of 6% for administration, 2% for attorney fees, and 2% for investigator fees.

In order to provide a greater level of County oversight and analysis, the agreement requires SJCBA to track two additional data points:

1. Whether the case was referred to SJCBA because it presents a conflict of interest for the Public Defender, or if it was referred because of workload issues at the Public Defender’s Office. Tracking of this data point will provide the County Administrator’s Office with the detail necessary to better allocate resources; and
2. The type of case (juvenile, felony, misdemeanor, special circumstances, etc.) in order to allow the County Administrator’s Office to determine the appropriate hourly rate charged by SJCBA attorneys and investigators.

SJCBA began providing these new data points when the agreement became effective on July 1, 2018.

#### Senate Bill (SB) 1437

SB 1437 “Accomplice Liability for Felony Murder” became effective January 1, 2019. The legislation allows certain defendants (accomplices) who have been convicted under the theories of felony-murder, or natural and probable consequences, to petition the sentencing court to vacate their murder conviction. In 2019-2020, SJCBA received 20 referrals and anticipates receipt of 25 referrals during 2020-2021.

# 2021300000—Court Assigned Counsel

## Law & Justice

### Collaborative Courts

The Collaborative Courts currently utilize law students for defense presentation. The law students require supervision from an experienced attorney. Supervision is handled by the SJCBA on a monthly basis through the Court-Assigned Counsel contract. The Public Defender recommends utilizing a Deputy Public Defender rather than law students under

supervision. Therefore, the Public Defender’s 2020-2021 recommended budget includes the addition of a Deputy Public Defender for this purpose. Consequently, \$60,000 of General Fund resources that would have been included in the Court Assigned Counsel budget has been reallocated to the Public Defender (#2020400000) 2020-2021 recommended budget.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Civil/Other	12	13	20	15	20
Class I Felony	103	160	162	140	155
Class II Felony	501	419	438	440	450
Domestic Violence	5	5	8	7	9
Felony (Murder)	14	20	15	10	18
Juvenile Delinquency	369	381	336	264	300
Minor Felony	508	504	580	384	400
Misdemeanor	1,586	1,019	800	700	800
Misdemeanor Appeals	12	16	20	12	18
Pro Per	6	8	10	10	12
Senate Bill 1437	N/A	N/A	8	20	25
Sexually Violent Predator	0	2	1	3	2
Special Circumstances (Murder)	10	12	14	15	15
Youth Authority Placement	3	3	1	0	2
<b>Total New Cases Assigned</b>	<b>3,129</b>	<b>2,562</b>	<b>2,413</b>	<b>2,020</b>	<b>2,226</b>

# Summary

## All Sheriff Budgets

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$131,700,239	\$140,756,836	\$140,453,597	\$140,453,597	(\$303,240)
Services & Supplies	16,937,983	22,884,988	21,249,848	21,249,848	(1,635,140)
Centrally-Budgeted Expenses	10,927,850	16,794,995	16,056,945	16,056,945	(738,050)
Other Charges & Uses	1,217,225	1,494,657	1,494,657	1,494,657	0
Capital Expenditures	1,259,330	3,409,926	3,272,065	3,272,065	(137,861)
Operating Transfers Out	87,911	87,000	132,362	132,362	45,362
<b>Total Expenditures</b>	<b>\$162,130,538</b>	<b>\$185,428,402</b>	<b>\$182,659,474</b>	<b>\$182,659,474</b>	<b>(\$2,768,928)</b>
Expenditure Reimbursements	(1,039,781)	(758,575)	(550,143)	(550,143)	208,432
<b>Total Appropriations</b>	<b>\$161,090,757</b>	<b>\$184,669,827</b>	<b>\$182,109,331</b>	<b>\$182,109,331</b>	<b>(\$2,560,496)</b>
<b>Earned Revenues By Source</b>					
Taxes	\$171,779	\$171,779	\$190,792	\$190,792	\$19,013
Licenses/Permits/Franchises	88,657	88,200	101,200	101,200	13,000
Fines/Forfeitures/Penalties	112,794	139,539	106,500	106,500	(33,039)
Interest/Rents	92,585	100	100	100	0
Aid From Other Governments	45,585,437	47,056,220	51,268,803	51,268,803	4,212,583
Charges For Services	12,209,450	13,615,682	12,397,755	12,397,755	(1,217,927)
Miscellaneous Revenues	62,549	117,700	0	0	(117,700)
Operating Transfers In	18,276,179	22,283,985	22,888,066	22,888,066	604,081
Fund Balance	(312,130)	997,557	1,218,031	1,218,031	220,474
<b>Total Revenues</b>	<b>\$76,287,300</b>	<b>\$84,470,762</b>	<b>\$88,171,247</b>	<b>\$88,171,247</b>	<b>\$3,700,485</b>
<b>Net County Cost</b>	<b>\$84,803,457</b>	<b>\$100,199,065</b>	<b>\$93,938,084</b>	<b>\$93,938,084</b>	<b>(\$6,260,981)</b>
<b>Allocated Positions</b>					
Allocated Positions	846.0	863.0	852.0	852.0	(11.0)
Temporary (Full-Time Equivalent)	27.2	28.5	26.8	26.8	(1.7)
<b>Total Staffing</b>	<b>873.2</b>	<b>891.5</b>	<b>878.8</b>	<b>878.8</b>	<b>(12.7)</b>

This is a summary of the budgets administered by the Sheriff's Office:

- 2021602000 Boating Safety
- 2021615000 Mountain House
- 2021619000 Animal Services
- 2021620000 Patrol
- 2021622000 Communications
- 2021626000 Detectives/AGNET
- 2021628000 Records
- 2021635000 Civil
- 2021645000 Administration/Information Systems
- 2021650000 Lathrop Police Contract
- 2021658000 Court Services
- 2022600000 Custody

# Summary

## Law & Justice

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- 2022610000 Local Community Corrections
- 2022620000 Work Programs
- 2025700000 Public Administrator
- 2026500000 Fish & Game Propagation
- State COPS Patrol & Custody
- Operational Grants
- Special Fund Programs

## Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Sheriff's Office focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

These Strategic Priorities will continue to be used as a guide when bringing new programs or initiatives to the Board. The following provides an overview of several projects, programs, and activities administered by the Sheriff's Office.

### Ensure Fiscal Responsibility

- Monitoring fiscal results monthly and quarterly, and investigating and reporting upon variances ensuring transparency and control.
- Funding requests substantiated and vetted through the budgeting process, at multiple levels, ensuring best utilization of available resources and commitment to truth in budgeting.
- Sound internal controls are regularly revisited ensuring compliance with applicable policy and procedures as well as laws and regulations.
- Multiple levels of internal reviews over expenditures and revenues ensuring proper coding, recording, and approvals.
- Monitoring of grants to ensure compliance with funding source requirements.
- Actively utilizing Information System Division's cloud services to avoid replication of cost.

- Partnering with Information Systems Division to review areas of possible redundant resources and staffing.
- Transfer of fiscal control for Federal asset forfeitures from the Narcotics Task Force to the Sheriff's Office Management Services Division, which will allow for expenditures to be processed and vetted via established County controls.
- Sheriff's Animal Services continues to focus on returning animals to owners to avoid costs associated with the Stockton Animal Shelter.
- Maximize cost savings by taking advantage of free or low cost training in the Court Services Division.
- Acquired two new trash compactors in 2019-2020, expected to reduce costs associated with Jail refuse.

### Promote Good Governance and Increase Organizational Capabilities

- Continue to operate Field Training Officer and Terrorism Liaison Officer programs in the Court Services Division.
- Public Administrator's Office continues to develop partnerships with County Counsel, Public Guardian/Conservator, local code enforcement agencies, Probate Court, funeral homes, hospitals, and convalescent facilities to identify and administer estates.
- Updated the web presence of the Public Administrator's Office including a dedicated public e-mail.
- With the passage of Proposition 64, legalization of recreational marijuana, the Metropolitan Narcotics Task Force continues to work with County Counsel, County Administrator, District Attorney, Public Defender, Agricultural Commissioner, Environmental Health, Community Development, and other public stakeholders regarding legal and illicit cannabis and hemp cultivation.
- Custody Division is implementing a secure tablet system to provide increased accessibility to education options and programs for more inmates, anticipated in mid-2020.
- Developing and enhancing technologies creating public access to the Alternatives to Incarceration programs (Alternative Work Programs) to promote enrollment and expedite processes, with expected functionality in late 2020.

# Summary

## Law & Justice

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- Communications Center upgrading its 911 customer premise equipment in mid-2020, which is Next Generation 911 ready, allowing for texting to 911 and improved 911 caller location tracking.
- Transitioned and relocated Radio Communication (Control 5) duties from Communications to Records Division in 2019 to increase efficiencies and continuity.
- Assurance and Compliance Unit performing divisional business process reviews.
- Custody Division improving oversight of Jail operations by adding a second Correctional Captain.
- Added a Correctional Sergeant position in 2019-2020, responsible for compliance with the increase in legislative changes, and inspections with the Board of State and Community Corrections, Public Health, Fire, and Environmental Health.
- Implemented new procedures and tracking measures in the Professional Standards Division relating to training and out-of-County travel, resulting in greater efficiency.
- Implemented new Carry Concealed Weapon (CCW) software application, which is expected to result in the elimination of paper files and a reduction in processing time by allowing for on-line applications and investigations to be recorded and stored on-line.
- In 2019-2020, funds were approved for an electronic case management system for background investigation files. This is expected to increase efficiency by over 30% and help reduce the backlog of pending investigations, resulting in the filling of positions at a faster rate.
- Continuing Human Trafficking operations targeting early intervention, rescue of victims, and offender apprehension.
- Expansion of the Cold Case Unit in 2019-2020 to one Sergeant and two Deputy Sheriffs to continue investigative work on cold case homicides.
- Instituted standby for call-outs for the Investigations Persons Unit, resulting in faster response to criminal investigations and more successful outcomes.
- Creation of a Redaction Lab for the Technical Services Division, allowing for better fulfillment of body worn camera footage requests by the District Attorney's Office and by subpoenas.
- Addition of Social Worker positions in 2019-2020, funded through Local Community Corrections Assembly Bill (AB) 109, to provide services and assistance to inmates being released from Custody.
- Working in conjunction with Correctional Health Services and the Department of State Hospitals, implemented a Jail-Based Competency Treatment Program in January 2020 providing care, treatment, and a therapeutic housing environment for 8 to 10 inmates found not competent to stand trial with the goal of restoration to competency.
- Instituted a behavioral health incentive program within the segregated housing area to promote medication compliance and healthy living for those that suffer from behavioral health issues. The goal of the program is to transfer inmates to a less restrictive housing environment.
- New metal detection devices replacing outdated equipment used during housing and security searches have enhanced public safety.

### Improve Public Safety and Enhance Overall Criminal Justice System

- Implemented a new property and evidence management system, which will streamline the booking and disposition of property.
- In 2019-2020, funds were approved to hire part-time Deputy Sheriffs to perform evidence disposition, which is expected to assist with the backlog of cases.
- In 2019-2020, upgraded to new software system for Civil Division, which will increase efficiencies in the service of civil process. Additional functionality for the public is expected with additional upgrades in 2020-2021.
- The Custody Division added cameras in housing areas and other locations during 2019-2020 and continues to work with General Services on the Jail Security Electronics Upgrades Engineering project to replace systems in use for door controls and cameras for greater safety and transparency.
- The upgrade of dispatch consoles in the Communications Center to provide for safer work environment is expected to be completed mid-2020.
- Communications Division installed and is building out new mass notification system to promote public safety in all areas of concern including flooding, major crimes, natural disasters, and casualty events.

# Summary

## Law & Justice

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- The Department received an award of \$32.3 million from the Board of State and Community Corrections (BSCC) to build a new medium security detention facility. In 2019-2020 BSCC awarded an additional \$4.2 million dollars, which will be used to add an additional housing unit to the plan. The estimated completion is June 2022.
- Expanded use of body worn cameras to the Detectives Division. Planned expansion for 2020-2021 includes the Court Services Division.
- Expansion of the Crime Analysis Unit from two to four analysts, including a dedicated supervisor, to identify crime patterns, measure workload and effectiveness of responses to problems, and development of staffing and deployment plans.
- Implemented new analytical software including Prisoner Intelligence Notification Systems and Vigilant Solutions (License Plate Reader and Facial Recognition) to support stratified policing methods to crime reduction.
- Successful in award of new grants in 2019 under the Port Security Grant Program, BSCC Mental Health Training Initiative, and Tobacco Law Enforcement Grant Program.
- First approved in 2018-2019, continuing to operate the Small Unmanned Aerial Vehicle program in the Field Forces Division to augment response and planning capacity and assist in Search and Rescue, crime scene reconstruction, intelligence gathering, SWAT missions, and general Patrol functions.
- Created a dedicated Agricultural Narcotics Property Enforcement Team which, using data analytics and technology tools, addresses crime with an aggressive multi-disciplinary response.
- Records Division implemented new system of processes for inmate releases, resulting in a more thorough review of records prior to scheduling a release from Custody.

### Proposition 172

In 1993, Proposition 172 established a permanent Statewide half-cent sales tax to provide funding for qualifying public safety programs. Funds are collected by the State Board of Equalization and apportioned to each county based on its proportionate share of Statewide taxable sales.

AB 2788 created a Maintenance of Effort (MOE) provision requiring cities and counties to maintain funding levels to public safety programs, which receive Proposition 172 funds. AB 2788 also established 1992-1993 as the MOE Base Year and required an adjustment to MOE levels based on the annual change in Proposition 172 revenues. In Base Year 1992-1993, the total for all qualifying budgets in San Joaquin County was \$68,997,254.

The current program allocation formula for San Joaquin County was established by the Board on May 24, 1994, which allocates Proposition 172 revenue to the Sheriff (68.1%), Probation (13%), District Attorney (11%), and the Public Defender/Court Assigned Counsel (7.9%). During 2019-2020, the County Administrator's Office, working with Law and Justice departments, reviewed the current program allocation formula and determined the percentage of allocation distributed to each department was appropriate and consistent with the Proposition's intent.

In 2019-2020, the MOE for all qualifying public safety programs was \$111,828,837. The County's adjusted AB 2788 Certification for 2019-2020 was \$243,243,658. Overall, the General Fund provided overmatching funds of \$131,414,821 to all qualifying public safety programs. Not all budgets are included for each public safety department. The Sheriff's portion of the MOE was \$68.5 million. The chart below illustrates that County General funds provided approximately \$76.9 million above the required MOE for 2019-2020.

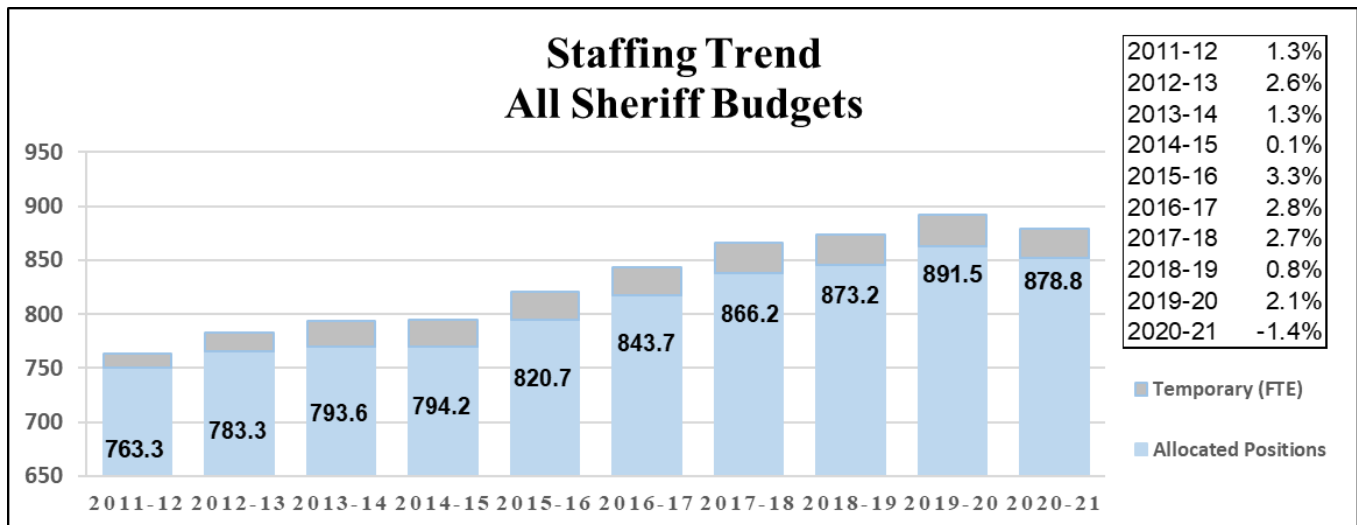
The County Administrator's Office is reviewing the COVID-19 pandemic's impact on Proposition 172 funding. Since sales tax revenue is not received until two months after the funds are collected by the State, revised projections are uncertain at this time. The loss of funding for every 5% decrease equals approximately \$3.4 million.

# Summary

## Law & Justice

### Public Safety Fund (Proposition 172) - AB 2788 Maintenance of Effort

Sheriff	2019-2020 Adopted	AB 2788 Adjustments				Adjusted AB 2788	1992-1993 Base Year	Prop 172 MOE	Overmatch
		State/Federal Grant	Charges for Services	Trust Fund	Fixed Assets				
Boating Safety	1,899,310	(699,108)	0	0	(21,000)	1,179,202	504,000	816,869	362,333
Patrol	35,777,025	(304,118)	(554,081)	(160,000)	(47,500)	34,711,326	8,619,072	13,969,553	20,741,773
Communications	4,683,712	(71,000)	(180,000)	0	0	4,432,712	1,795,358	2,909,866	1,522,846
Detectives	9,882,439	(55,000)	(40,000)	(197,532)	(897,724)	8,692,183	2,057,798	3,335,222	5,356,961
Records	4,829,600	(3,400)	(205,000)	(68,738)	0	4,552,462	2,893,710	4,690,045	(137,583)
Civil	2,375,347	0	(938,977)	0	0	1,436,370	681,465	1,104,500	331,870
Admin/Support	10,547,593	(51,365)	(471,597)	(32,898)	(32,031)	9,959,702	1,926,664	3,122,684	6,837,018
Info. Systems	3,129,638	0	(174,128)	0	0	2,955,510	-	0	2,955,510
Custody	64,391,535	(1,246,370)	(160,000)	(2,300)	(128,528)	62,854,337	23,108,943	37,454,334	25,400,003
Work Programs	1,154,318	0	0	0	0	1,154,318	686,643	1,112,892	41,426
Correctional Hlth	13,536,341	0	0	0	0	13,536,341	-	0	13,536,341
<b>Total</b>	<b>152,206,858</b>	<b>(2,430,361)</b>	<b>(2,723,783)</b>	<b>(461,468)</b>	<b>(1,126,783)</b>	<b>145,464,463</b>	<b>42,273,653</b>	<b>68,515,965</b>	<b>76,948,498</b>





# 2021602000—Sheriff-Boating Safety

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,533,097	\$1,428,304	\$1,471,202	\$1,471,202	\$42,898
Services & Supplies	240,876	305,293	287,725	287,725	(17,568)
Centrally-Budgeted Expenses	135,045	144,713	163,053	163,053	18,340
Capital Expenditures	247,715	21,000	0	0	(21,000)
<b>Total Appropriations</b>	<b>\$2,156,731</b>	<b>\$1,899,310</b>	<b>\$1,921,980</b>	<b>\$1,921,980</b>	<b>\$22,670</b>
<b>Earned Revenues By Source</b>					
Taxes	\$171,779	\$171,779	\$190,792	\$190,792	\$19,013
Fines/Forfeitures/Penalties	4,955	2,000	1,000	1,000	(1,000)
Aid From Other Governments	872,581	800,735	749,343	749,343	(51,392)
Charges For Services	1,192	0	0	0	0
<b>Total Revenues</b>	<b>\$1,050,507</b>	<b>\$974,514</b>	<b>\$941,135</b>	<b>\$941,135</b>	<b>(\$33,379)</b>
<b>Net County Cost</b>	<b>\$1,106,224</b>	<b>\$924,796</b>	<b>\$980,845</b>	<b>\$980,845</b>	<b>\$56,049</b>
<b>Allocated Positions</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>0.0</b>

### Purpose

The Sheriff's Boating Safety program enforces State and local laws and regulations on the County's waterways. Assigned deputies also inspect vessels, aid injured persons, assist emergency medical personnel, perform search and rescue operations, and recover drowning victims.

- (\$6,574) Decrease in equipment maintenance costs.
- (\$6,366) Decrease in boat fuel costs.
- (\$3,512) Decrease in travel and training expense.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$42,898 Salary and benefits adjustments.

#### Services & Supplies

- \$5,000 Increase in boat towing expense.
- \$3,246 Increase in rental expense for the Boating Safety office and boat berths.
- (\$8,500) Decrease in one-time purchases funded by the State Boating and Waterways equipment grant.

#### Centrally-Budgeted Expenses

- \$11,381 Increase in Workers' Compensation and Casualty insurance costs.
- \$5,153 Increase in data processing charges.
- (\$8,346) Decrease in radio maintenance costs.
- \$7,787 Cost Allocation Plan adjustment.

#### Revenues

- \$19,013 Increase in boat tax revenues.
- \$4,984 Increase in Proposition 172 funding.

# 2021602000—Sheriff-Boating Safety

## Law & Justice

- (\$48,864) Decrease in grant revenues for one-time purchases completed in 2019-2020.
- (\$7,512) Decrease in reimbursements from the Department of Boating and Waterways Marine Law Enforcement training program.

from 2019-2020. Funding from the State Department of Boating and Waterways remains at \$686,596. The recommended budget also includes estimated revenue of \$5,000 for the Department of Boating and Waterways Marine Law Enforcement Grant Training program, which provides reimbursement for training Boating Safety deputies.

During 2019-2020, the Unit actively identified and facilitated removal of one abandoned vessel from the Delta waterways and five vessels have been turned in on the vessel turn-in program, funded by the Surrendered and Abandoned Vessel Exchange Grant, discussed further in the Sheriff-Operational Grants budget section (Abandoned Watercraft budget #2021608000).

Also during 2019-2020, the Unit completed the purchase of a 27-foot regional response vessel and obtained a new grant for 10 night vision goggle systems, discussed further in the Sheriff-Operational Grants budget section (Port Security Grant Program budget #2021616000).

### Program Discussion

The 2020-2021 recommended budget for the Boating Safety program totals \$1,921,980, which is an increase of \$22,670 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments (\$42,898), and an increase in Workers' Compensation and Casualty insurance costs (\$11,381), offset by decreases in capital expenditures (-\$21,000) and one-time purchases (-\$8,500).

Estimated property tax revenue for the Boating Safety program totals \$190,792, which is an increase of \$19,013

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Recreational Boating Contacts	4,127	6,088	6,775	5,686	5,669
Verbal Warnings	426	462	173	150	162
Citations Issued	59	399	176	120	148
Boating Under the Influence Arrests	9	14	26	25	26
Accident Investigation	23	22	20	20	21

# 2021615000—Sheriff-Mountain House

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,424,130	\$1,484,975	\$1,479,961	\$1,479,961	(\$5,014)
Services & Supplies	109,557	291,573	165,432	165,432	(126,141)
Centrally-Budgeted Expenses	58,698	82,663	100,950	100,950	18,287
Capital Expenditures	0	54,543	0	0	(54,543)
<b>Total Appropriations</b>	<b>\$1,592,385</b>	<b>\$1,913,754</b>	<b>\$1,746,343</b>	<b>\$1,746,343</b>	<b>(\$167,411)</b>
<b>Earned Revenues By Source</b>					
Charges For Services	\$1,591,845	\$1,913,754	\$1,746,343	\$1,746,343	(\$167,411)
<b>Total Revenues</b>	<b>\$1,591,845</b>	<b>\$1,913,754</b>	<b>\$1,746,343</b>	<b>\$1,746,343</b>	<b>(\$167,411)</b>
<b>Net County Cost</b>	<b>\$540</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>8.0</b>	<b>8.0</b>	<b>8.0</b>	<b>8.0</b>	<b>0.0</b>

### Purpose

This budget unit captures costs and reimbursements for the Sheriff's Office to provide contract law enforcement services to the Mountain House Community Services District (MHCSD). Patrol services have been provided since 2004 through a Police Protection Services Agreement with costs fully reimbursed by the MHCSD.

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$5,014) Salary and benefits adjustments.

#### Services & Supplies

- \$19,598 Increase in fleet services costs.
- \$6,557 Increase in law enforcement equipment purchases.
- (\$136,039) Decrease in Department allocated overhead costs.
- (\$16,529) Decrease in travel and training expenses.

### Centrally-Budgeted Expenses

- \$5,888 Increase in data processing charges.
- \$4,313 Increase in Workers' Compensation and Casualty insurance costs.
- \$7,489 Cost Allocation Plan adjustment.

### Revenues

- (\$167,411) Decrease in reimbursement from MHCSD.

### Program Discussion

The 2020-2021 recommended Sheriff-Mountain House budget totals \$1,746,343, which is a decrease of \$167,411 from the 2019-2020 adjusted budget. This change reflects a decrease in Department overhead costs (-\$136,039) and a decrease in capital expenditures (-\$54,543), offset by an increase in fleet services costs (\$19,598). This budget provides funding for seven Deputy Sheriffs, a Sergeant, and operating expenses.

# 2021615000—Sheriff-Mountain House

## Law & Justice

### Workload Data

	<u>2016-2017</u>	<u>Actual</u> <u>2017-2018</u>	<u>2018-2019</u>	<u>Est./Act.</u> <u>2019-2020</u>	<u>Projected</u> <u>2020-2021</u>
Crime Reports	1,369	1,566	1,412	1,449	1,593
Calls for Service	11,789	12,669	9,976	10,543	12,625
Adult Arrests	495	609	518	540	575
Parking Citations	178	249	136	175	192
Traffic Citations	427	535	367	443	487
Coroner Investigations*	7	3	6	6	*

*\*Transferred to the Office of the Medical Examiner July 1, 2020*

# 2021619000—Sheriff-Animal Services

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$717,202	\$939,056	\$996,866	\$996,866	\$57,810
Services & Supplies	213,967	327,691	316,335	316,335	(11,356)
Centrally-Budgeted Expenses	67,184	167,233	158,171	158,171	(9,062)
Other Charges & Uses	1,161,142	1,438,575	1,438,575	1,438,575	0
Capital Expenditures	11,945	0	0	0	0
<b>Total Appropriations</b>	<b>\$2,171,440</b>	<b>\$2,872,555</b>	<b>\$2,909,947</b>	<b>\$2,909,947</b>	<b>\$37,392</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$0	\$100	\$100	\$100	\$0
Charges For Services	12,253	0	0	0	0
Miscellaneous Revenues	1,280	0	0	0	0
Operating Transfers In	11,935	94,252	84,733	84,733	(9,519)
<b>Total Revenues</b>	<b>\$25,468</b>	<b>\$94,352</b>	<b>\$84,833</b>	<b>\$84,833</b>	<b>(\$9,519)</b>
<b>Net County Cost</b>	<b>\$2,145,972</b>	<b>\$2,778,203</b>	<b>\$2,825,114</b>	<b>\$2,825,114</b>	<b>\$46,911</b>
<b>Allocated Positions</b>	<b>11.0</b>	<b>11.0</b>	<b>11.0</b>	<b>11.0</b>	<b>0.0</b>

### Purpose

The Sheriff's Animal Services Unit protects public health and safety by implementing dog licensing and rabies vaccination programs, responding to complaints regarding dangerous or rabid animals, and removing injured, sick, or dead strays. This Unit also provides assistance with predatory animals, investigates complaints of animal abuse or neglect, and takes appropriate action to deal with other problems associated with the care and control of animals.

- (\$9,519) Decrease in travel and training expense.
- (\$5,000) Decrease in animal removal costs.

### Centrally-Budgeted Expenses

- \$8,097 Increase in data processing charges.
- (\$11,289) Decrease in rents and leases of automation equipment.
- (\$3,323) Decrease in radio maintenance costs.
- (\$4,420) Cost Allocation Plan adjustment.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$57,810 Salary and benefits adjustments.

#### Services & Supplies

- \$2,929 Increase in cellphone communications expense.

#### Revenues

- (\$9,519) Decrease in reimbursement from Rabies Trust Fund.

# 2021619000—Sheriff-Animal Services

## Law & Justice

### Program Discussion

The 2020-2021 recommended budget for Animal Services totals \$2,909,947, which is an increase of \$37,392 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments (\$57,810), partially offset by decreases in automation equipment rents and leases (-\$11,289) and travel and training expense (-\$10,824).

In November 2018, the Board of Supervisors retroactively approved a Memorandum of Understanding (B-18-702) with the City of Stockton for use of its animal shelter. While the previous contract called for the City and County to share costs based on the number of animals for each agency, the current contract calls for a flat rate of \$325 per animal up to 3,000 animals and \$525 per animal above 3,000. Based on this anticipated increase in pricing, the 2019-2020 budget added \$780,457 to the animal shelter budget, for a total of \$1,438,575. The 2020-2021 recommended budget for animal shelter services remains at this level.

Due to a continuing effort to reduce costs associated with impounding animals, Animal Services officers spend additional time identifying owners in an attempt to return stray animals to homes. The 2019-2020 midyear cost projection as of December 31, 2019, was \$1.1 million, or \$353,000 under budget.

The Sheriff's Office has requested the County explore options to build a County animal shelter facility, rather than continuing to use City of Stockton shelter. The General Services Department has indicated that the construction of a new shelter will be evaluated as part of the Facility Master Plan.

### Rabies Treatment & Eradication Fund

The Rabies Treatment and Eradication Fund (#20010) receives revenue from dog licenses and fines. Fund proceeds are used to offset costs of enforcing rabies control measures. Annual revenue is approximately \$53,000. As of March 31, 2020, the fund balance was \$203,709. In 2020-2021, \$84,733 is budgeted for Animal Services Officer training and rabies vaccination clinics.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Licenses Sold	4,141	3,859	2,705	3,169	3,402
Animals Impounded	3,091	3,015	2,786	2,712	2,241
Complaints/Calls For Service	9,391	10,342	10,844	8,626	9,524
Notices of Violation	646	841	626	506	621
Citations	23	68	42	50	60
Humane Investigations	512	546	630	568	575
Animal Bites Reported	365	348	348	360	356
Deceased Animals*	N/A	N/A	N/A	1,684	2,047
Returned to Owner*	N/A	N/A	N/A	714	809
Euthanized*	N/A	N/A	N/A	104	107
Medical clearance prior to impound*	N/A	N/A	N/A	126	148
Dangerous Dog Notice*	N/A	N/A	N/A	38	43
Quarantine*	N/A	N/A	N/A	356	372

\*Additional data now collected and reported beginning 2019-2020

# 2021620000—Sheriff-Patrol

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$29,636,075	\$29,080,342	\$29,321,923	\$29,321,923	\$241,581
Services & Supplies	3,211,778	4,060,858	4,090,994	4,090,994	30,136
Centrally-Budgeted Expenses	2,802,218	2,885,216	3,244,503	3,244,503	359,287
Capital Expenditures	65,948	37,500	52,660	52,660	15,160
<b>Total Expenditures</b>	<b>\$35,716,019</b>	<b>\$36,063,916</b>	<b>\$36,710,081</b>	<b>\$36,710,081</b>	<b>\$646,165</b>
Expenditure Reimbursements	(561,732)	(429,080)	(201,299)	(201,299)	227,781
<b>Total Appropriations</b>	<b>\$35,154,287</b>	<b>\$35,634,836</b>	<b>\$36,508,782</b>	<b>\$36,508,782</b>	<b>\$873,946</b>
<b>Earned Revenues By Source</b>					
Licenses/Permits/Franchises	\$1,200	\$1,200	\$1,200	\$1,200	\$0
Fines/Forfeitures/Penalties	105,917	136,000	104,000	104,000	(32,000)
Aid From Other Governments	9,114,883	9,311,947	10,167,803	10,167,803	855,856
Charges For Services	687,771	623,581	668,242	668,242	44,661
Miscellaneous Revenues	2,338	0	0	0	0
Operating Transfers In	126,397	160,000	160,000	160,000	0
<b>Total Revenues</b>	<b>\$10,038,506</b>	<b>\$10,232,728</b>	<b>\$11,101,245</b>	<b>\$11,101,245</b>	<b>\$868,517</b>
<b>Net County Cost</b>	<b>\$25,115,781</b>	<b>\$25,402,108</b>	<b>\$25,407,537</b>	<b>\$25,407,537</b>	<b>\$5,429</b>
<b>Staffing</b>					
Allocated Positions	167.0	158.0	159.0	159.0	1.0
Temporary (Full-Time Equivalent)	2.5	2.5	1.0	1.0	(1.5)
<b>Total Staffing</b>	<b>169.5</b>	<b>160.5</b>	<b>160.0</b>	<b>160.0</b>	<b>(0.5)</b>

### Purpose

The Patrol Division of the Sheriff's Office provides law enforcement services in the unincorporated areas of the County. Deputies respond to calls for service and engage in proactive law enforcement designed to enhance public safety and reduce criminal activity.

The Division fields several specialized units including: Abatement Enforcement, Community Car, Administrative Support, Case Management, Mobile Law Enforcement Center (MLEC), County Parks Enforcement, and Crime Analysis.

The Division also maintains a Critical Incident Management Team covering Special Weapons and Tactics (SWAT), Explosive Ordnance Disposal (EOD), Hostage Negotiations Team (HNT), and Unmanned Aircraft System (UAS). This Team is managed within the Patrol Division, but members

may be assigned to other divisions. Citizen volunteer units such as the Sheriff's Team of Active Retired Seniors (STARS), Patrol Mounted Unit, and Search and Rescue complement Division operations.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$142,122 Salary and benefits adjustments.
- \$205,556 Add a Lieutenant.
- (\$106,096) Decrease in extra-help due to discontinuation of service at Human Services Agency.

# 2021620000—Sheriff-Patrol

## Law & Justice

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### Services & Supplies

- |              |  |              |  |
|--------------|--|--------------|--|
| ➤ \$30,992   | Increase in communications costs.  | ➤ \$9,500    | Increase in charges for criminal histories for the Office of Personnel Management.                   |
| ➤ \$20,000   | First-year cost for the aerial drone program expenses.                                   | ➤ \$7,991    | Increase in reimbursements from the Community Corrections Partnership Task Force (City of Stockton). |
| ➤ \$19,500   | Increase in psychological services costs.  | ➤ (\$32,000) | Decrease in reimbursements from the Driving Under the Influence (DUI) laboratory fee trust.          |
| ➤ \$15,802   | Increase in law enforcement equipment.   | ➤ (\$28,000) | Decrease in false alarm fee revenues.  |
| ➤ \$15,800   | Increase in office expense.  |              |  |
| ➤ \$11,250   | Increase in costs associated with rental of a private range for shooting qualifications. |              |  |
| ➤ \$11,200   | Increase in travel and training expense.   |              |  |
| ➤ (\$90,117) | Decrease in fleet services costs.  |              |  |

### Centrally-Budgeted Expenses

- \$298,585 Increase in Workers' Compensation and Casualty insurance costs.
- \$95,948 Increase in data processing charges.
- (\$46,559) Decrease in radio maintenance costs.
- (\$35,935) Decrease in rents and leases of automation equipment.
- \$47,248 Cost Allocation plan adjustment.

### Expenditure Reimbursements

- (\$226,854) Decrease in reimbursements from Human Services Agency.

### Revenues

- \$850,865 Increase in Proposition 172 funding.
- \$32,854 Increase in reimbursements from the City of Lathrop.
- \$24,607 Increase in reimbursements from school districts for the School Resource Officer program.

### Capital Expenditures

- \$45,000 Canines (3).
- \$7,660 Portable radio.

### Program Discussion

The 2020-2021 recommended budget for the Patrol Division totals \$36,710,081, which is an increase of \$646,165 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments (\$142,122), and increases in Workers' Compensation and Casualty insurance costs (\$298,585), the addition of a Lieutenant (\$205,556), data processing charges (\$95,948), and a Cost Allocation Plan adjustment (\$47,248), partially offset by decreases in extra-help (-\$106,096), and fleet services costs (-\$90,117).

The recommended budget adds a Lieutenant to coordinate and supervise targeted activities for community revitalization (to address behavioral illness crises, homelessness, and blight/abatement) and ordinance compliance (commercial cannabis and hemp enforcement), and provide management for the Sheriff's Boating Safety Unit (#2021602000).

### Crime Analysis Unit

The 2019-2020 budget added a Crime Analyst and a Supervising Crime Analyst, for a total of four Crime Analysts to lead the Department's analytic-based crime prevention strategy unit. This Unit is critical to provide support to all divisions and is central to the strategic plan of the Sheriff's Office. As of March 31, 2020, two of the four positions remained vacant with recruitment efforts continuing.

### Abandoned Watercraft on Roadways and Waterways in Unincorporated Areas

During 2018-2019, the Sheriff's Office partnered with Public Works to institute a pilot program into operations of both agencies with the specific goal of improving public safety by



# 2021620000—Sheriff-Patrol

## Law & Justice

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removing abandoned watercraft from County roadways. Once a vessel is taken to the County landfill, Solid Waste removes and disposes of hazardous waste materials, then dismantles and discards the vessel. This partnership has addressed a large part of the problem and has yielded positive results.

The 2019-2020 budget included \$100,000 to continue to remove abandoned vessels from roadways and remove abandoned watercraft and other large debris from the County's unincorporated area waterways. It is estimated that \$6,490 will be spent on vessel removal through June 30, 2020. The cost for homeless encampment clean ups performed in March 2020 totaled approximately \$13,500. Additionally, \$15,000 of the funds were used for the match on a new Surrendered and Abandoned Vessel Exchange (SAVE) grant award accepted in February 2020 (B-20-117). This program is further discussed in the Sheriff-Operational Grants section of the 2020-2021 recommended budget.

During 2018-2019, a total of 34 abandoned vessels were removed from roadways. Through the first seven months of 2019-2020, 17 abandoned vessels were removed.

The County will continue to collaborate where possible with other Federal, State, and local government entities to address waterway clean-up efforts in the unincorporated County.

### Community Car Program

Based on staffing issues, the Sheriff's Office has reduced the number of Community Car deputies from 20 to 4 and placed them on patrol. On November 11, 2019, one Community Car was reinstated to Morada and one was reinstated to Woodbridge. In March 2020, the Sheriff's Office deployed two more Community Car deputies, to East Stockton and West Stockton, based on high-call volume and to address quality-of-life issues.

In April 2020, the Sheriff's Office took steps to ensure the safety of Patrol Officers due to the 2019 Novel Coronavirus (COVID-19) outbreak. A schedule was created to ensure that, at any time, half of Patrol staff would be isolated for a two-week period. In order for the schedule to work, it was necessary to assign staff from the Community Car Program and other programs to the Patrol staffing pool. Once the public health crisis is over, the 4 Community Car Program deputies will be restored and the remaining 16 deputies redeployed in the Program as Patrol staffing levels allow.

The 2020-2021 recommended budget reduces estimated reimbursements for services previously provided to the Human Services Agency. Based on critically low staffing levels, the Department is unable to staff this ancillary assignment.

# 2021620000—Sheriff-Patrol

## Law & Justice

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Service Population	149,672	151,318	154,949	157,118	159,684
Documented Calls for Service	142,757	137,296	130,786	125,779	126,590
<i>Officer Initiated</i>	44,938	41,205	37,301	32,064	32,792
<i>Citizen Initiated</i>	97,819	96,091	93,485	93,715	93,798
SWAT/Hostage Negotiation	40	41	43	54	63
Trainees In Field Training	31	49	22	16	19
Canine Searches	317	312	271	249	325
Missing Person Calls	3,106	1,489	1,105	814	880
Adult Arrests	5,927	5,287	5,885	4,915	5,010
Juvenile Arrests	355	241	172	158	165
Towed Vehicles Processed	1,073	1,892	1,829	1,661	1,545
Abated Properties	128	258	73	86	258
Coroner Investigations*	1,905	1,379	2,019	1,927	N/A
Documented Reports	22,215	20,555	19,146	15,677	16,143
Supplemental Reports	10,918	11,815	11,095	10,152	10,271
Community Meetings	105	96	116	116	116
Community Car Program					
<i>Special Operations</i>	15	56	25	15	20
Calls for Service-Homelessness	1,108	1,481	2,006	2,412	3,015

*\*Transferred to the Office of the Medical Examiner July 1, 2020*

# 2021622000—Sheriff-Communications

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$4,091,361	\$4,274,854	\$4,258,035	\$4,258,035	(\$16,819)
Services & Supplies	99,426	106,600	115,067	115,067	8,467
Centrally-Budgeted Expenses	200,053	167,258	190,103	190,103	22,845
Capital Expenditures	0	135,000	76,029	76,029	(58,971)
<b>Total Appropriations</b>	<b>\$4,390,840</b>	<b>\$4,683,712</b>	<b>\$4,639,234</b>	<b>\$4,639,234</b>	<b>(\$44,478)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$2,435,968	\$2,556,999	\$2,720,823	\$2,720,823	\$163,824
Charges For Services	566,616	180,000	648,019	648,019	468,019
<b>Total Revenues</b>	<b>\$3,002,584</b>	<b>\$2,736,999</b>	<b>\$3,368,842</b>	<b>\$3,368,842</b>	<b>\$631,843</b>
<b>Net County Cost</b>	<b>\$1,388,256</b>	<b>\$1,946,713</b>	<b>\$1,270,392</b>	<b>\$1,270,392</b>	<b>(\$676,321)</b>
<b>Allocated Positions</b>	<b>42.0</b>	<b>36.0</b>	<b>36.0</b>	<b>36.0</b>	<b>0.0</b>

### Purpose

The Communications Division of the Sheriff’s Office is responsible for radio dispatching Patrol and Animal Services, and providing investigative support to field forces and allied agencies, including research and data entry access to various Criminal Justice Information Systems. The Communications Center handles all incoming phone traffic, both emergency and non-emergency.

The Communications Center is the Public Safety Answering Point (PSAP) for all emergency 911 calls originating in the unincorporated County areas and the City of Lathrop. Emergency 911 calls from any phone outside a city limit are automatically routed to the Sheriff’s Communications Center, with calls requiring emergency medical services and/or fire response forwarded to the appropriate agency. The Communications Center also serves as the alternate answering point for other PSAPs in the County during a crisis. The Communications Center is staffed 24 hours a day, 7 days per week.

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$16,820) Salary and benefit adjustments.

#### Services & Supplies

- \$6,458 Increase in law enforcement equipment purchases.
- \$4,661 Increase in communications and radio maintenance costs.
- (\$6,000) Decrease in office expense.

#### Centrally-Budgeted Expenses

- \$26,214 Increase in data processing charges.
- \$8,471 Increase in Casualty insurance costs.
- (\$4,733) Decrease in radio maintenance costs.
- (\$4,444) Decrease in Workers’ Compensation insurance costs.

# 2021622000—Sheriff-Communications

## Law & Justice

### Revenues

- \$468,019 Increase in reimbursements from the City of Lathrop.
- \$234,824 Increase in Proposition 172 funding.
- (\$71,000) Decrease in reimbursements from State 911 for 911 Coordinator.

### Capital Expenditures

- \$68,369 Re-budget for dispatch consoles (5).
- \$7,660 Portable radio.

### Program Discussion

The 2020-2021 recommended budget for Communications totals \$4,639,234, which is a decrease of \$44,478 from the 2019-2020 adjusted budget. This decrease primarily reflects salary and benefits adjustments (-\$16,820) and a decrease in capital expenditures (-\$58,971), partially offset by an increase in data processing charges (\$26,214).

In 2019-2020, a replacement project for five dispatch consoles, partially funded by the State 911 Customer Premise Equipment allotment, was started. As it is not expected to be completed by the end of 2019-2020, \$68,369 is being re-budgeted in 2020-2021 in order to reissue the purchase order after July 1, 2020.

### Radio Communications (Control 5)

Control 5’s Radio Communications Specialists primarily respond to radio requests from officers in the field seeking criminal records-related information. The Specialists provide Deputy Sheriffs with information on warrants, missing persons, restraining orders, probation/parole status, and stolen property, and are responsible for entering time sensitive data, such as emergency protective orders, into multiple law enforcement databases, including the California Law Enforcement Telecommunications System (CLETS).

The 2019-2020 budget included the transfer and conversion of six Communications Dispatcher positions to the Sheriff Records budget as Office Assistant Specialist positions as part of an overall process to return Control 5 functions from the Communications Division to the Records Division where previously allocated prior to budget reductions occurring in 2011.

Due to the lack of full-time position allocations in Records to staff Radio Communications 24 hours a day, 7 days per week, the Communications Division continues to field requests on the graveyard shift.

The inability to hire and retain qualified dispatcher staff continues to contribute to mandatory overtime and places a burden on dispatch staff. As of March 1, 2020, 23% of Dispatcher I/II positions are vacant, resulting in a critical staffing shortage.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
911 Calls Received	53,989	51,110	50,586	52,990	51,895
Dispatch System Entries	203,207	201,280	184,209	173,395	164,185
Incoming Calls (911 & Non-Emergency)	257,512	249,461	236,571	209,017	198,566
Outbound Calls	75,291	71,984	64,356	59,738	55,556
Control 5 Incoming Calls	22,667	22,395	22,301	*	*
Warrant Checks	22,436	24,645	17,548	*	*
Warrant Hits	7,595	8,864	6,874	*	*

*\*Workload transferred to Records Division*

# 2021626000—Sheriff-Detectives

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$9,793,837	\$12,032,961	\$12,572,849	\$12,572,849	\$539,888
Services & Supplies	656,012	1,015,213	962,213	962,213	(53,000)
Centrally-Budgeted Expenses	498,398	659,602	809,542	809,542	149,940
Capital Expenditures	45,522	872,641	1,285,355	1,285,355	412,714
<i>Total Expenditures</i>	<i>\$10,993,769</i>	<i>\$14,580,417</i>	<i>\$15,629,959</i>	<i>\$15,629,959</i>	<i>\$1,049,542</i>
Expenditure Reimbursements	(336,764)	(312,766)	(332,877)	(332,877)	(20,111)
<i>Total Appropriations</i>	<i>\$10,657,005</i>	<i>\$14,267,651</i>	<i>\$15,297,082</i>	<i>\$15,297,082</i>	<i>\$1,029,431</i>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$2,273,550	\$2,376,998	\$2,589,330	\$2,589,330	\$212,332
Charges For Services	82,738	75,000	83,000	83,000	8,000
Miscellaneous Revenues	2,708	1,200	0	0	(1,200)
Operating Transfers In	0	197,532	770,932	770,932	573,400
<i>Total Revenues</i>	<i>\$2,358,996</i>	<i>\$2,650,730</i>	<i>\$3,443,262</i>	<i>\$3,443,262</i>	<i>\$792,532</i>
<b>Net County Cost</b>	<b>\$8,298,009</b>	<b>\$11,616,921</b>	<b>\$11,853,820</b>	<b>\$11,853,820</b>	<b>\$236,899</b>
<b>Staffing</b>					
Allocated Positions	55.0	68.0	68.0	68.0	0.0
Temporary (Full-Time Equivalent)	0.0	0.4	0.3	0.3	(0.1)
<i>Total Staffing</i>	<i>55.0</i>	<i>68.4</i>	<i>68.3</i>	<i>68.3</i>	<i>(0.1)</i>

## Purpose

The Detectives Division of the Sheriff's Office provides law enforcement investigative services in the unincorporated areas of the County, as well as technical evidence services to the Sheriff's Office and other agencies. This discussion includes budgets Detectives (#2021626000) and Agricultural, Gangs, Narcotics, and Property Crimes Enforcement Team (AGNET) (#2021614000), previously the Rural Crime Task Force budget. Assigned units include:

- **Child Abuse and Sexual Assault Unit** - Investigates cases involving rape, sexual assaults, child/elder abuse, child neglect, molestation, abduction, and missing or runaway children. The Unit carries the responsibility for the application of Megan's Law, dealing with the identification and mandated registration of known sex offenders.
- **Persons Unit** - Investigates homicides, suspicious deaths, robberies, assaults, domestic violence, kidnappings, adult missing persons, officer-involved shooting incidents, and protocol case investigations.
- **Metropolitan (METRO) Narcotics Task Force** - Investigates street-level drug activities and handles drug asset forfeitures, intelligence, and confidential investigations, including clandestine drug laboratories, laboratory dumps, and short-stay traffic reports.
- **Technical Services Unit** - Provides investigative support in the form of photographs, evidence collection and storage, fingerprinting of crime scenes and applicants, processing of blood and chemical evidence, processing of latent prints, and assistance to other law enforcement agencies.
- **AGNET Unit** - Investigates burglaries, property thefts, identity theft, arson, fraud, gangs, narcotics, and agriculture-related crimes.

# 2021626000—Sheriff-Detectives

## Law & Justice

- **Cold Case Unit** – Investigates cold case homicides and participates in the San Joaquin County Cold Case Task Force.

- \$14,000 Increase in Livescan fee revenues.
- (\$7,000) Decrease in Drug Enforcement Administration grant revenues.
- (\$7,000) Decrease in charges to City of Lathrop.

## Major Budget Changes

### Salaries & Employee Benefits

- \$539,888 Salary and benefits adjustments.

### Services & Supplies

- \$23,400 Establish a budget for laboratory processing of DNA for cold cases.
- \$10,829 Increase in law enforcement equipment purchases.
- \$9,250 Increase in cost for sexual assault exams.
- \$8,728 Increase in costs for upgrade of interview rooms.
- \$7,250 Increase in costs for copy machine upgrades.
- \$6,357 Increase in communications expense.
- (\$117,061) Decrease in fleet services costs.
- (\$5,000) Decrease in professional services costs.

### Centrally-Budgeted Expenses

- \$144,722 Increase in Workers' Compensation and Casualty insurance costs.
- \$45,325 Increase in data processing charges.
- (\$50,880) Decrease in rents and leases of automation equipment.
- (\$14,483) Decrease in radio maintenance costs.
- \$25,304 Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$21,702 Increase in reimbursement from State Rural Crimes program.

### Revenues

- \$219,332 Increase in Proposition 172 funding.

### Operating Transfers In

- \$499,638 Increase in transfers in from the sale of the helicopter and totaled airplane insurance proceeds to be used toward the purchase of an airplane.
- \$50,362 Increase in transfers in from the COPS-Patrol fund to be used toward the purchase of an airplane.
- \$23,400 Transfers in from the Proposition 69 fund to pay for laboratory fees for DNA processing.

### Capital Expenditures

- \$725,011 Re-budget for purchase of airplane camera.
- \$550,000 Fixed-wing airplane.
- \$10,344 Forensic lab table.

## Program Discussion

### Detectives

The 2020-2021 recommended budget for the Detectives Division totals \$10,739,024, which is an increase of \$628,986 from the 2019-2020 adjusted budget. This change represents salary and benefits adjustments (\$107,602), increases in capital expenditures (\$441,314), Workers' Compensation and Casualty insurance (\$89,671), and data processing charges (\$45,325), partially offset by decreases in fleet services costs (-\$14,819) and automation equipment rents and leases (-\$41,677).

The 2018-2019 Adopted Budget included \$725,011 to replace the airplane camera for the METRO Narcotics Task Force. As of March 2020, it has not been purchased. This amount is being re-budgeted in 2020-2021 so procurement efforts can continue. The recommended budget also includes \$550,000 to replace the Department's airplane, which was involved in an accident in October 2019 and is no longer usable.

# 2021626000—Sheriff-Detectives

## Law & Justice

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The 2020-2021 recommended budget includes a \$48,000 Federal grant from the Drug Enforcement Administration for the Domestic Cannabis Eradication/Suppression Program. This annual grant funds training, overtime, supplies, and aids in the annual Campaign Against Marijuana Planting.

### Technical Services Unit

The Technical Services Unit has been tasked with fulfilling requests for body worn camera footage from the District Attorney's Office and subpoenas. During 2019-2020, a redaction lab was created for the purpose of reviewing and redacting video to be released.

The recommended budget includes \$106,195 in order to upgrade the interview rooms used by Detectives. The new redaction system will integrate the body worn camera, taser, and Evidence.com system purchased in 2019-2020.

### Cold Case Unit

The Cold Case Unit was expanded in 2019-2020 and currently consists of one Sergeant and two Deputy Sheriffs dedicated exclusively to investigate cold case homicides. The Sheriff's Office participates in the San Joaquin County Cold Case Task Force and has spearheaded the development of a cold case matrix of data points, which will be shared with all law enforcement agencies within the County. The budget includes \$23,400 funded by Proposition 69 funds, to hire outside laboratories to process degraded DNA samples that the

Department of Justice is unable to process due to the quality of the samples.

### AGNET

The 2020-2021 recommended budget for AGNET totals \$4,890,935, an increase of \$420,556 from the 2019-2020 adjusted budget. This consists of salary and benefits adjustments (\$432,286) and increases in data processing charges (\$17,689), Workers' Compensation and Casualty insurance (\$55,051), partially offset by a decrease in fleet services costs (-\$102,242).

AGNET consists of 23 staff: 20 Deputy Sheriffs, 2 Sergeants, and 1 Lieutenant. The Sheriff's Office acknowledges the advantage of consolidating resources to attack a problem. AGNET actively includes staff previously assigned to Field Forces and the Community Car Program in investigations, leveraging their experience and local, beat-specific knowledge as a material contribution to AGNET investigations. The local, beat-specific information from these sources gives context to the intelligence used by AGNET staff for investigative decisions. Staff freely share information and intelligence with other Sheriff's Office personnel. The Sheriff's Office recognizes that free communication and the exchange of information is a basis for analysis and improved decisions. Crime Analysts provide real-time intelligence to AGNET staff, and provide enhanced data analytics to increase the solvability of crimes.

# 2021626000—Sheriff-Detectives

## Law & Justice

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Technical Services/Counter Traffic</b>	6,272	6,473	9,538	10,524	11,941
<b>Technical Services/Field Calls</b>	4,132	6,180	4,787	4,036	4,004
<b>Child Abuse/Sexual Assault Cases</b>	4,676	4,457	4,931	3,936	4,688
<i>Human Trafficking</i>	70	84	71	86	75
<i>Sex Offender Registration</i>	16	15	40	26	24
<i>Missing Persons</i>	1,429	1,607	1,150	896	1,395
<i>Other Child Abuse/Sexual Assault Crimes</i>	3,161	2,751	3,670	2,928	3,194
<b>Property Cases Received (AGNET)</b>	6,558	6,482	5,537	4,575	6,192
<i>Identity Theft</i>	51	153	108	480	532
<i>Embezzlement</i>	2	4	2	5	4
<i>Other Property Crimes</i>	6,505	6,325	4,951	4,267	5,656
<b>Persons Cases Received</b>	3,300	2,797	2,516	2,246	2,563
<i>Homicide</i>	9	11	6	6	9
<i>Robbery</i>	161	170	212	170	174
<i>Other Persons Crimes</i>	3,130	2,617	2,298	2,070	2,376
<b>Agricultural Crimes Cases (AGNET)</b>	524	514	381	338	360
<i>Livestock Theft</i>	5	13	8	5	7
<i>Equipment Theft</i>	131	132	86	24	55
<i>Commodities Theft</i>	39	11	17	19	18
<i>Other Agricultural Crimes</i>	349	358	270	290	280
<b>Cold Cases Investigated</b>	36	36	30	196	198
<b>Narcotics Cases Received</b>	1,151	1,247	1,091	1,170	1,164
<b>Metro Narcotics Task Force</b>					
<b>Investigations</b>	240	240	171	146	199
<b>Narcotics Tips Received</b>	387	387	214	126	278
<b>No Case Narcotics</b>	174	174	132	44	131
<b>Narcotics Arrests</b>	208	148	209	214	195
<b>Narcotics-Weapons Seized</b>	114	71	175	104	116



# 2021628000—Sheriff-Records

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$3,556,369	\$4,169,465	\$4,512,583	\$4,512,583	\$343,118
Services & Supplies	83,858	89,607	98,958	98,958	9,351
Centrally-Budgeted Expenses	520,563	570,528	492,226	492,226	(78,302)
Capital Expenditures	0	0	7,660	7,660	7,660
<i>Total Appropriations</i>	<u>\$4,160,790</u>	<u>\$4,829,600</u>	<u>\$5,111,426</u>	<u>\$5,111,426</u>	<u>\$281,826</u>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$2,404,861	\$2,456,822	\$2,688,568	\$2,688,568	\$231,746
Charges For Services	246,980	205,000	337,228	337,228	132,228
Operating Transfers In	73,980	68,738	81,837	81,837	13,099
<i>Total Revenues</i>	<u>\$2,725,821</u>	<u>\$2,730,560</u>	<u>\$3,107,633</u>	<u>\$3,107,633</u>	<u>\$377,073</u>
<b>Net County Cost</b>	\$1,434,969	\$2,099,040	\$2,003,793	\$2,003,793	(\$95,247)
<b>Staffing</b>					
Allocated Positions	40.0	48.0	49.0	49.0	1.0
Temporary (Full-Time Equivalent)	2.0	2.0	2.0	2.0	0.0
<i>Total Staffing</i>	<u>42.0</u>	<u>50.0</u>	<u>51.0</u>	<u>51.0</u>	<u>1.0</u>

### Purpose

The Records Division of the Sheriff's Office performs specialized criminal and jail inmate record keeping functions. The Division consists of two primary areas:

- **Criminal Records** - Functions involve processing, maintenance, and dissemination of law enforcement records such as crime reports, citations, warrants, subpoenas, restraining orders, and court-ordered records expungements, as well as maintenance of databases used for inquiry and submission of crime statistics to State and Federal agencies.
- **Custody Records** - Maintains and updates records related to inmates in custody, including court appearances, sentences, court orders, bail bonds, bookings, citations, and releases. Also provides customer assistance to the public regarding inmate and custodial operational procedures.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$184,910 Salary and benefits adjustments.
- \$158,208 Add a Correctional Lieutenant.

#### Services & Supplies

- \$12,900 Increase in small furniture purchases.
- (\$12,000) Decrease in professional services.

#### Centrally-Budgeted Expenses

- \$38,165 Increase in Workers' Compensation and Casualty insurance costs.
- (\$120,202) Decrease in data processing charges.

# 2021628000—Sheriff-Records

## Law & Justice

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### Revenues

- \$231,746 Increase in Proposition 172 funding.
- \$97,228 Increase in reimbursement from the City of Lathrop.
- \$35,000 Increase in fee revenues for over-the-counter services.
- \$13,099 Increase in reimbursement from Automated Warrants Trust Fund.

### Capital Expenditures

- \$7,660 Portable radio.

### Program Discussion

The 2020-2021 recommended budget for the Records Division totals \$5,111,426, which is an increase of \$281,826 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments (\$184,910), addition of a Correctional Lieutenant (\$158,208), and increases in Workers' Compensation and Casualty insurance costs (\$38,165), partially offset by a decrease in data processing charges (-\$120,202).

#### *2019 Novel Coronavirus (COVID-19)*

The recommended budget adds a Correctional Lieutenant to provide additional management oversight to the Records Division. Custody Records has operated with an increasingly complex set of responsibilities due to expanding Department of Justice mandates and reporting requirements, court expectations, scheduling of video arraignments, immigration requests, judicial orders for specialized detailed releases, requests for public information, and most recently emergency and zero bail orders due to COVID-19, which ordered the release of thousands of inmates Statewide.

These increases, coupled with existing responsibilities for Jail records, arrest warrants, court date processing, daily court paperwork, bail, releases, and custodian of records duties, have led to errors and work backlogs. The Correctional Lieutenant will provide support to the Records Manager to include training and mentoring of all Records staff, quality control, working with judicial partners, release oversight, and will introduce a needed level of experience and knowledge of Jail processes to set future policies and procedures for Records processes.

The Records Division receives additional support from four positions funded through the Community Corrections

Partnership. The positions are allocated in the Sheriff-Local Community Corrections budget (#2022610000), but are assigned to the Records Division to address workload issues resulting from the housing of former State prisoners via Public Safety Realignment.

During 2019-2020, the Custody Records Division worked with the Superior Court on the implementation of Full Court Enterprise (FCE), the court's paperless system of record. Because FCE does not integrate with the County's new Jail Management System (JMS) - Automated Technology Information Management Systems (ATIMS), court activity is processed manually, including printing out documentation from FCE for entry into ATIMS. Due to missing or conflicting court documents, daily correspondence with the Court is required in order to implement court order dispositions accurately. In addition, Custody Records uses ATIMS to process and audit bookings, program releases, bail bonds, send teletypes, enter sentence information, add charges and warrants, add court appointments, and schedule pick up appointments for other agencies.

#### **Radio Communications (Control 5)**

Control 5's Office Assistant Specialists primarily respond to radio requests from officers in the field seeking criminal records related information. The Specialists provide Deputy Sheriffs with information on warrants, missing persons, restraining orders, probation/parole status, and stolen property, and are responsible for entering time sensitive data, such as emergency protective orders, into multiple law enforcement databases, including the California Law Enforcement Telecommunications System.

In July 2019, radio communications tasks were transferred from the Sheriff-Communications Division to the Sheriff-Records Division, including the transfer and conversion of six Communications Dispatcher positions to Office Assistant Specialist positions and the addition of two Office Assistant Specialist positions, for a total of eight Control 5 operators. The Communications Division still continues to field responses during the graveyard shift due to lack of full-time staff needed to provide services around the clock.

#### **Automated Warrants Trust**

An assessment of \$15 is imposed on every person who violates their written promise to appear in court or otherwise fails to comply with a valid court order. This assessment is deposited to the Automated Warrants Trust Fund (#11215) to be used for the automated warrant system or for the purpose of serving bench warrants. As of March 31, 2020, the fund balance was \$1,385,344. A total of \$81,837 is being used to fund an existing Office Assistant Specialist position in the 2020-2021 recommended budget.

# 2021628000—Sheriff-Records

## Law & Justice

### Workload Data

	2016-2017	Actual 2017-2018	2018-2019	Est./Act. 2019-2020	Projected 2020-2021
Restraining Orders	956	574	763	860	1,100
Documented Report Pages	90,254	93,605	89,406	87,120	83,433
Documented Reports Sold	2,103	2,379	2,503	3,074	3,369
Citations Processed	1,570	1,583	1,388	1,560	1,464
Jail Bookings	22,491	23,433	24,568	24,498	25,370
Court Cap Inmate Releases	357	230	522	618	1,235
Subpoena-Production of Evidence	110	93	96	96	98
Repossessions	470	274	360	390	490
Public Records Act Requests	141	25	14	14	14
Warrant Checks*	N/A	N/A	N/A	35,096	35,319
Warrant Hits*	N/A	N/A	N/A	13,748	15,034

*\*Transferred workload from Sheriff-Communications*

# 2021635000—Sheriff-Civil

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,749,331	\$1,844,876	\$1,938,351	\$1,938,351	\$93,475
Services & Supplies	355,963	408,538	351,136	351,136	(57,402)
Centrally-Budgeted Expenses	113,697	121,933	165,328	165,328	43,395
<i>Total Appropriations</i>	\$2,218,991	\$2,375,347	\$2,454,815	\$2,454,815	\$79,468
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$268,424	\$274,353	\$300,268	\$300,268	\$25,915
Charges For Services	794,703	938,977	882,682	882,682	(56,295)
Miscellaneous Revenues	5,035	0	0	0	0
<i>Total Revenues</i>	\$1,068,162	\$1,213,330	\$1,182,950	\$1,182,950	(\$30,380)
<b>Net County Cost</b>	\$1,150,829	\$1,162,017	\$1,271,865	\$1,271,865	\$109,848
<b>Staffing</b>					
Allocated Positions	15.0	15.0	15.0	15.0	0.0
Temporary (Full-Time Equivalent)	0.8	0.8	0.8	0.8	0.0
<i>Total Staffing</i>	15.8	15.8	15.8	15.8	0.0

### Purpose

The Civil Division of the Sheriff's Office provides process and legal notice service for the courts. Other responsibilities include enforcing Writs of Possession (evictions) and Writs of Execution (money judgments) by seizure and sale of property. The Division maintains trust funds for seizure of property, makes appropriate disbursements to litigants, and provides an accounting of all transactions to the County Auditor-Controller. Fees charged by the Civil Division are legislated by the State each January.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$93,475 Salary and benefits adjustments.

#### Services & Supplies

- \$7,250 Increase in training expense.
- (\$48,900) Decrease in software due to completion of one-time purchases.

- (\$7,813) Decrease in fleet services costs.

- (\$7,000) Decrease in postage costs.

#### Centrally-Budgeted Expenses

- \$25,843 Increase in Casualty insurance costs.
- \$11,038 Increase in data processing charges.

#### Revenues

- \$114,854 Increase in reimbursement from Civil Process Fee Trust Fund.
- \$25,915 Increase in Proposition 172 funding.
- (\$156,149) Decrease in reimbursement from Civil Automated Trust Fund.
- (\$15,000) Decrease in civil process fee revenues.

# **2021635000—Sheriff-Civil**

## **Law & Justice**

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### **Program Discussion**

The 2020-2021 recommended budget for the Civil Division totals \$2,454,815, which is an increase of \$79,468 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments (\$93,475), increases in Casualty insurance (\$25,843) and data processing charges (\$11,038), partially offset by a decrease in software purchases (-\$48,900).

During 2019-2020 the replacement of the Civil Division software system was completed. In addition, the Division began the implementation of an additional module, which offers e-file access to the public, allowing for services to be initiated electronically on-line. A total of \$48,900 is re-budgeted in 2020-2021 to reissue the purchase order for the outstanding balance of the project.

### **Civil Automated Trust Fund**

A portion of fees collected by the Civil Division is required to be placed in the Civil Automated Trust Fund (#11218) for the purchase and maintenance of automated systems or other equipment supporting Civil Division functions. As of March 31, 2020, the fund balance was \$119,648. A total of \$163,392 is designated for use in the 2020-2021 recommended budget.

### **Civil Process Fee Trust Fund**

A processing fee is assessed for each disbursement of money collected under a writ of attachment, execution, possession, or sale and must be kept in the Civil Process Fee Trust Fund (#11217) to supplement the cost of vehicle replacement, equipment, maintenance, and civil process operations. As of March 31, 2020, the fund balance was \$901,713. A total of \$404,290 is designated for use in the 2020-2021 recommended budget.

# 2021635000—Sheriff-Civil

## Law & Justice

	Workload Data			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	Actual 2017-2018	2018-2019		
<b>Earning Withholding Orders</b>					
<i>Unsuccessful attempts</i>	480	330	244	268	302
<i>Successful completions</i>	1,081	948	951	1,252	1,414
<b>Evictions</b>					
<i>Unsuccessful attempts</i>	79	42	10	14	19
<i>Successful completions</i>	2,212	2,032	1,869	1,922	1,979
<b>Bank Levies</b>					
<i>Unsuccessful attempts</i>	22	26	31	16	26
<i>Successful completions</i>	571	589	608	752	909
<b>Keepers / Till Tap</b>					
<i>Unsuccessful attempts</i>	4	5	5	4	8
<i>Successful completions</i>	15	18	9	24	26
<b>Bench Warrants</b>					
<i>Unsuccessful attempts</i>	58	60	47	24	26
<i>Successful attempts</i>	36	34	33	48	65
<b>Subpoena</b>					
<i>Unsuccessful attempts</i>	39	40	29	84	92
<i>Successful completions</i>	28	25	28	106	122
<b>Protection Orders</b>					
<i>Unsuccessful attempts</i>	1,296	1,528	1,297	1,742	1,916
<i>Successful completions</i>	2,175	1,019	1,292	1,774	2,323
<b>Real/Personal Property</b>	6	29	7	21	23
<b>Other Types of Service</b>					
<i>Unsuccessful attempts</i>	2,756	2,847	2,770	2,820	3,102
<i>Successful completions</i>	1,179	1,211	1,065	2,088	2,296

# 2021640000—Sheriff-Office of the Medical Examiner

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,323,759	\$2,632,180	\$0	\$0	(\$2,632,180)
Services & Supplies	1,497,852	2,162,167	0	0	(2,162,167)
Centrally-Budgeted Expenses	67,405	358,287	0	0	(358,287)
<i>Total Appropriations</i>	\$2,889,016	\$5,152,634	\$0	\$0	(\$5,152,634)
<b>Earned Revenues By Source</b>					
Charges For Services	\$453,736	\$380,000	\$0	\$0	(\$380,000)
Miscellaneous Revenues	4,926	3,000	0	0	(3,000)
<i>Total Revenues</i>	\$458,662	\$383,000	\$0	\$0	(\$383,000)
<b>Net County Cost</b>	\$2,430,354	\$4,769,634	\$0	\$0	(\$4,769,634)
<i>Allocated Positions</i>	11.0	11.0	0.0	0.0	(11.0)

- Office of the Medical Examiner (#2021640000) and Burials (#5053300000) budgets are being consolidated and responsibility will be transferred from the Sheriff's Office to the Health Care Services Agency, effective July 1, 2020.

## Program Discussion

### Transition to Health Care Services Agency

On May 15, 2018, the Board of Supervisors adopted an ordinance establishing the Office of the Medical Examiner as a separate division within the Health Care Services Agency.

Please refer to Health Care Services – Office of the Medical Examiner budget (#4049700000) for the 2020-2021 budget. With the transition of positions and operating costs to the Health Care Services – Office of the Medical Examiner in 2020-2021, the Sheriff – Office of the Medical Examiner budget will become inactive. This budget is included for purposes of disclosing 2018-2019 actuals.

# 2021645000—Sheriff-Administration/Support Services

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$8,832,490	\$8,899,319	\$7,970,015	\$7,970,015	(\$929,304)
Services & Supplies	1,524,884	1,971,683	2,748,904	2,748,904	777,221
Centrally-Budgeted Expenses	1,952,728	2,703,463	2,138,678	2,138,678	(564,785)
Capital Expenditures	75,196	102,766	34,507	34,507	(68,259)
<i>Total Expenditures</i>	\$12,385,298	\$13,677,231	\$12,892,104	\$12,892,104	(\$785,127)
Expenditure Reimbursements	(43,734)	0	0	0	0
<i>Total Appropriations</i>	\$12,341,564	\$13,677,231	\$12,892,104	\$12,892,104	(\$785,127)
<b>Earned Revenues By Source</b>					
Licenses/Permits/Franchises	\$74,051	\$75,000	\$100,000	\$100,000	\$25,000
Aid From Other Governments	1,699,130	1,736,603	1,933,199	1,933,199	196,596
Charges For Services	442,011	645,725	111,495	111,495	(534,230)
Miscellaneous Revenues	314	0	0	0	0
Operating Transfers In	0	32,898	38,337	38,337	5,439
<i>Total Revenues</i>	\$2,215,506	\$2,490,226	\$2,183,031	\$2,183,031	(\$307,195)
<b>Net County Cost</b>	\$10,126,058	\$11,187,005	\$10,709,073	\$10,709,073	(\$477,932)
<b>Staffing</b>					
Allocated Positions	40.0	43.0	37.0	37.0	(6.0)
Temporary (Full-Time Equivalent)	10.9	13.3	13.2	13.2	(0.1)
<i>Total Staffing</i>	50.9	56.3	50.2	50.2	(6.1)

## Purpose

The function of the County Sheriff is mandated by Section 24000 of the Government Code. The level of support services provided to other divisions of the Department is discretionary. This narrative represents both the Sheriff-Administration (#2021645000) and the Sheriff-Information Systems (#2021649000) budgets:

- **Administration Division** – Provides management and support services for the Sheriff’s Office. Responsibilities include policy setting, budgeting, accounting, payroll, contracts, and public information.
- **Professional Standards Division** – Manages the Department’s hiring process, including pre-employment steps such as polygraph tests, background

investigations, interviews, and physical and psychological exams; manages the overall training process, which includes oversight of officer range qualifications, and maintenance of official training records; and is responsible for Internal Affairs and the Evidence Room.

- **Information Systems** – Supports a wide variety of critical automation systems on a 24 hours a day, 7 days per week basis. Systems include Computer Aided Dispatch (CAD), Mobile Data Computers, Custody Information, Records Management System, Automated Reporting, Coroner Reporting, and Civil Automated Processing System. Staff is also responsible for communications, including portable and mobile radios, cell phones, pagers, and landline phones.



# 2021645000—Sheriff-Administration/Support Services

## Law & Justice

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### Major Budget Changes

#### Salaries & Employee Benefits

- \$250,161 Salary and benefit adjustments.
- (\$399,609) Delete an Assistant Sheriff.
- (\$1,939) Decrease in extra-help.
- (\$777,917) Transfer four Correctional Officers and one Correctional Sergeant to the Sheriff-Custody budget.

#### Services & Supplies

- \$625,504 Increase in professional services to implement a new Computer Aided Dispatch (CAD) system.
- \$60,123 Increase in fleet services costs.
- \$35,206 Increase in travel and training expense.
- \$31,854 Increase in software license and maintenance costs.
- \$10,000 Increase in small furniture purchases.
- \$9,000 Increase in office supplies expense.
- \$8,500 Increase in professional services expense.
- \$7,317 Increase in communications expense.
- \$7,300 Increase in supplies for the Sheriff's Honor Guard.
- \$7,000 Increase in postage costs.
- \$6,523 Increase in copy machine lease costs.
- (\$21,681) Decrease in equipment maintenance costs.
- (\$18,192) Decrease in equipment rental and lease costs.

#### Centrally-Budgeted Expenses

- \$148,418 Increase in data processing charges.
- \$90,404 Increase in rents and leases of automation equipment.

➤ \$81,215 Increase in Workers' Compensation and Casualty insurance costs.

➤ (\$883,436) Cost Allocation Plan adjustment.

#### Revenues

- \$147,961 Increase in Proposition 172 funding.
- \$48,635 Increase in California Peace Officer Standards in Training program revenue.
- \$25,000 Increase in Carry Concealed Weapon permit fees.
- \$5,439 Increase in reimbursements from the Proposition 69 Trust.
- (\$509,685) Decrease in reimbursements from the City of Lathrop.
- (\$24,545) Decrease in reimbursements from Mountain House Community Services District.

### Capital Expenditures

- \$34,507 Evidence locker system.

### Program Discussion

#### Administration/Support Services

The 2020-2021 recommended budget for Administration and Support Services totals \$8,875,646, which is a decrease of \$1,671,947 from the 2019-2020 adjusted budget. This change reflects a Cost Plan Allocation adjustment (-\$907,642), the transfer of five positions to the Sheriff-Custody budget (-\$777,917), delete an Assistant Sheriff position (-\$399,609), and a decrease in software and related licenses costs (-\$55,050), partially offset by salary and benefits adjustments (\$212,832) and increases in Workers' Compensation and Casualty insurance costs (\$80,607), fleet services costs (\$59,800), and travel and training expense (\$33,706).

Public interest in Carry Concealed Weapon (CCW) permits continues to grow due to a change in the law in 2014. Background investigators and office staff have been impacted from this increase resulting in additional telephone inquiries, office traffic, and applications submitted. During 2018-2019, the Professional Standards Division began implementation of an on-line system for CCW applications

# 2021645000—Sheriff-Administration/Support Services

## Law & Justice

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and backgrounds, which is expected to streamline operations. The system was implemented in late 2019-2020.

As of March 5, 2020, 124 full-time positions (approximately 14%) Department-wide remain vacant. In 2020-2021, the Professional Standards Division is projected to complete over 2,000 background investigations and security clearances to find suitable candidates to hire in all job classifications including Correctional Health Services and selected positions for the Probation Department.

The 2019-2020 budget included \$105,000 to hire an outside consultant to provide a review and audit of the evidence room. As the procurement process is still ongoing, this amount is re-budgeted in 2020-2021.

The 2019-2020 budget also included funding for a case management system to facilitate electronic records for background investigations. This system is currently in the implementation stages, with completion expected toward the end of June 2020.

### Information Systems

The 2020-2021 recommended budget for Information Systems totals \$4,016,458, which is an increase of \$886,820 from the 2019-2020 adjusted budget. This change reflects increases in professional services costs to implement a new CAD system (\$625,504), data processing charges (\$136,438), automation equipment rents and leases expense (\$86,922), computer software and maintenance costs (\$86,904), salary and benefits adjustments (\$37,329), and a Cost Allocation Plan adjustment (\$24,206), partially offset by the completion of one-time capital expenditures (-\$70,735), and decreases in equipment maintenance costs (-\$21,814), and equipment rental and lease costs (-\$18,192).

In 2018, the Board accepted a grant from the U.S. Department of Justice, Office of Justice Programs for upgrades needed to the CAD system for the transition to National Incident Based Reporting. Due to delays in the State issuing guidelines for its reporting, the work has not

been scheduled. A total of \$118,825 is re-budgeted in 2020-2021 to reissue the purchase order after July 1, 2020.

Information Systems continues to receive workload resulting from the addition of body worn cameras and fixed cameras; the upgrade of infrastructure; and modernized applications for CAD, Records Management, Civil Division, and Evidence Property Management. Information Systems also assists in creating and maintaining custom applications to provide reporting for various divisions to assist with legislative requirements, equipment expiration, and performance metrics.

In 2020-2021, projects expected to carry over or begin include the review and migration of application servers to the central Information Systems Division infrastructure; update of the Sheriff's web presence; improvement of cybersecurity posture; deployment of body worn cameras; adding to the jail video surveillance recording system; implementation of an offsite backup solution; assistance with automated timekeeping systems; and creation of a Power BI (Business Intelligence) pilot environment for Crime Analysis.

## Supplemental Request

### Information Systems

#### *Computer Aided Dispatch (CAD) System*

Funding is requested in the amount of \$625,504 for the purchase of a new CAD system, which will integrate with the recently purchased Evidence.com system, tasers, drones, and body worn camera equipment. Once fully-implemented, there will be a decrease in ongoing software license costs and a reduction in the need for resources due to system efficiencies.

The recommended budget has been augmented by \$625,504 to address this request.

# 2021645000—Sheriff-Administration/Support Services

## Law & Justice

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Sheriff-Administration</b>					
<i>Background Investigations</i>	446	956	1,117	1,598	2,093
<i>Polygraph/Computer Voice</i>					
<i>Stress Analyzer Examinations</i>	236	255	257	228	244
<i>Psychological Examinations</i>	74	72	49	62	64
<i>Physical Examinations</i>	235	150	63	72	130
<i>Pre-Employment Drug Screening</i>	130	125	81	86	106
<i>Training Classes Scheduled</i>	702	920	833	704	790
<i>Travel Requests Completed</i>	766	888	404	344	601
<i>Concealed Weapons Permits</i>	1,406	1,246	1,797	2,758	3,700
<i>Payroll Slips Processed</i>	77,183	83,294	82,955	83,852	84,000
<b>Evidence Room</b>					
<i>Law Enforcement Counter Traffic</i>	N/A	N/A	703	1,656	700
<i>Civilian Counter Traffic</i>	N/A	N/A	637	684	600
<i>Evidence/Property Received</i>	11,184	14,758	12,635	12,777	12,000
<i>Evidence/Property Dispositioned</i>	1,485	5,013	2,527	5,037	7,000
<i>Evidence/Property Checked Out</i>	2,202	4,268	4,486	3,751	4,500
<b>Sheriff-Information Systems</b>					
<i>Work Order Requests Completed</i>	3,300	3,174	3,683	3,406	3,544
<i>Average Number of Work Orders</i>					
<i>Completed Per Info. Systems Staff*</i>	550	794	921	852	709
<i>Locations Supported</i>	14	15	15	15	15

\*Includes staff allocated to Sheriff's Office from Information Systems Division

# 2021650000—Sheriff-Lathrop Police Contract

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$5,197,958	\$6,210,686	\$6,169,046	\$6,169,046	(\$41,640)
Services & Supplies	550,422	914,766	422,985	422,985	(491,781)
Centrally-Budgeted Expenses	268,645	262,079	316,778	316,778	54,699
Capital Expenditures	5,542	62,400	51,495	51,495	(10,905)
<b>Total Appropriations</b>	<b>\$6,022,567</b>	<b>\$7,449,931</b>	<b>\$6,960,304</b>	<b>\$6,960,304</b>	<b>(\$489,627)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$29,902	\$98,286	\$110,306	\$110,306	\$12,020
Charges For Services	5,997,577	7,351,645	6,849,998	6,849,998	(501,647)
<b>Total Revenues</b>	<b>\$6,027,479</b>	<b>\$7,449,931</b>	<b>\$6,960,304</b>	<b>\$6,960,304</b>	<b>(\$489,627)</b>
<b>Net County Cost</b>	<b>(\$4,912)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>26.0</b>	<b>29.0</b>	<b>29.0</b>	<b>29.0</b>	<b>0.0</b>

### Purpose

The Lathrop Police Contract budget unit captures costs and reimbursements for the Sheriff's Office to provide contract law enforcement services to the incorporated City of Lathrop. Services are provided through a Municipal Law Enforcement Services Agreement with costs reimbursed by the City of Lathrop.

➤ (\$560,159) Decrease in Department allocated overhead costs.

### Centrally-Budgeted Expenses

- \$29,354 Increase in Workers' Compensation and Casualty insurance costs.
- \$20,835 Increase in data processing charges.

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$60,912) Salary and benefits adjustments.
- \$19,272 Increase in holiday pay costs based on experience.

#### Revenues

- \$12,020 Increase in revenue from Office of Traffic Safety.
- (\$501,647) Decrease in reimbursement from the City of Lathrop.

#### Services & Supplies

- \$36,163 Increase in video services for vehicle camera, body worn camera, and taser system.
- \$29,539 Increase in fleet services costs.

#### Capital Expenditures

- \$36,000 Motorcycle.
- \$9,000 Mobile radio.
- \$6,495 Glide scooter.

# 2021650000—Sheriff-Lathrop Police Contract

## Law & Justice

### Program Discussion

The 2020-2021 recommended budget for the Lathrop Police Contract totals \$6,960,304, which is a decrease of \$489,627 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments (-\$60,912) and decreases in Department overhead charges (-\$560,159), partially offset by increases in video services for vehicle camera, body worn camera, and taser system (\$36,163), fleet services costs (\$29,539), Workers' Compensation and Casualty insurance costs (\$29,354), data processing charges (\$20,835), and costs for holiday pay (\$19,272).

For 2020-2021, budgeted staffing includes 1 Sheriff's Captain serving as Chief of Police, 1 Lieutenant, 2 Patrol Sergeants, 1 Administrative Sergeant, 14 Patrol Officers, 3 Traffic Officers, 2 Detectives, 1 Community Resource Officer, 2 Community Impact Team Officers, and 2 School Resource Officers.

The 2020-2021 recommended budget includes an anticipated grant from the Office of Traffic Safety, which funds Driving Under the Influence and Distracted Driver enforcement, including overtime and supplies.

The Sheriff's Office has provided contract law enforcement services to the City of Lathrop since June 1990. In April 2017, the Board of Supervisors approved a five-year agreement with the City of Lathrop, extending services for the period July 1, 2017 through June 30, 2022 (B-17-263).

The City of Lathrop has filed a claim against the County alleging that in billing for police services provided to the City of Lathrop, the County has improperly charged and collected overhead expenses. The City is seeking a refund, which is estimated to exceed \$2.3 million.

Since January 2019 the City has short paid its invoices by subtracting the disputed overhead costs before making payment. As of January 31, 2020, the City of Lathrop has not paid \$584,098 in billed overhead charges.

In 2019-2020, the City of Lathrop approached the Sheriff with the desire to rent space at the Sheriff's Office during construction of a new City Police Station. The rental agreement for 469 square feet is estimated to end December 31, 2020.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Crime Reports	4,131	4,630	4,125	6,088	6,200
Calls for Service	19,936	21,761	17,910	19,556	19,791
Traffic Citations	2,196	1,823	2,262	2,262	2,136
Traffic Warnings	1,947	2,129	1,455	1,346	1,719
Criminal Arrests	614	487	716	554	593
DUI Arrests	92	90	63	64	77
Parking Citations	1,385	1,122	1,389	690	1,147

# 2021658000—Sheriff-Court Services

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$9,945,075	\$10,293,110	\$10,575,064	\$10,575,064	\$281,954
Services & Supplies	76,071	342,440	287,460	287,460	(54,980)
Centrally-Budgeted Expenses	468,479	912,086	844,441	844,441	(67,645)
Capital Expenditures	0	5,450	23,980	23,980	18,530
<b>Total Expenditures</b>	<b>\$10,489,625</b>	<b>\$11,553,086</b>	<b>\$11,730,945</b>	<b>\$11,730,945</b>	<b>\$177,859</b>
Expenditure Reimbursements	(94,042)	0	0	0	0
<b>Total Appropriations</b>	<b>\$10,395,583</b>	<b>\$11,553,086</b>	<b>\$11,730,945</b>	<b>\$11,730,945</b>	<b>\$177,859</b>
<b>Earned Revenues By Source</b>					
Charges For Services	\$126,558	\$100,000	\$100,000	\$100,000	\$0
Operating Transfers In	10,272,764	11,176,031	11,381,742	11,381,742	205,711
<b>Total Revenues</b>	<b>\$10,399,322</b>	<b>\$11,276,031</b>	<b>\$11,481,742</b>	<b>\$11,481,742</b>	<b>\$205,711</b>
<b>Net County Cost</b>	<b>(\$3,739)</b>	<b>\$277,055</b>	<b>\$249,203</b>	<b>\$249,203</b>	<b>(\$27,852)</b>
<b>Allocated Positions</b>					
Allocated Positions	54.0	54.0	54.0	54.0	0.0
Temporary (Full-Time Equivalent)	7.5	6.0	6.0	6.0	0.0
<b>Total Staffing</b>	<b>61.5</b>	<b>60.0</b>	<b>60.0</b>	<b>60.0</b>	<b>0.0</b>

### Purpose

The Sheriff's Court Services Division provides courtroom safety and security for the Superior Court at four locations, including Stockton, Juvenile Justice (French Camp), Lodi, and Manteca. Most costs incurred for court security operations are reimbursed from the Trial Court Security Trust Fund.

- \$4,000 Increase in small tools purchases.
- (\$61,077) Decrease in law enforcement equipment purchases.
- (\$10,048) Decrease in travel and training expense.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$281,954 Salary and benefits adjustments.

#### Services & Supplies

- \$8,510 Increase in professional services related to new taser deployment for Correctional Officers.

#### Centrally-Budgeted Expenses

- \$58,760 Increase in Workers' Compensation and Casualty insurance costs.
- \$39,749 Increase in data processing charges.
- (\$120,201) Decrease in rents and leases of automation equipment.
- (\$18,101) Decrease in radio maintenance costs.
- (\$27,852) Cost Allocation Plan adjustment.

# 2021658000—Sheriff-Court Services

## Law & Justice

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### Revenues

- \$205,711 Increase in reimbursement from Trial Court Security Trust Fund.

### Capital Expenditures

- \$23,980 Metal detectors (2).

### Program Discussion

The 2020-2021 recommended budget for Court Services totals \$11,730,945, which is an increase of \$177,859 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments (\$281,954), and increases in Workers' Compensation and Casualty insurance costs (\$58,760), and data processing charges (\$39,749), offset by decreases in automation equipment rents and leases (-\$120,201), law enforcement equipment (-\$61,077), and a Cost Allocation Plan adjustment (-\$27,852). A contribution of \$249,203 from the General Fund is included in this budget to cover Cost Allocation Plan charges that are not allowable under the Trial Court Funding Act.

Due to Department-wide difficulties in Deputy Sheriff recruitments, the Court Services Division is no longer assigning Deputy Sheriff staff to the County Administration building or the Human Services Agency building.

Due to the impact of the 2019 Novel Coronavirus (COVID-19), the Lodi and Manteca courthouses have closed and all services have been consolidated to the Stockton courthouse as of March 17, 2020. In order to limit the exposure of staff, the public, and incarcerated defendants, court security services for Deputy Sheriffs and Correctional Officers have been limited to courtrooms that hear family law matters, criminal arraignments, criminal preliminary hearings, and civil harassment restraining orders. All other matters that would typically be staffed by a bailiff such as jury trials, Driving Under the Influence/collaborative courts, traffic, probate, and civil evictions have been postponed. The number of courtrooms staffed on a daily basis has dropped from between 19 and 20 to between 5 and 7. Part-time bailiffs are no longer being utilized and nine full-time employees have been temporarily transferred to the Patrol Division. Remote functions have been established in order to conduct felony arraignments from the confines of the Jail, rather than transporting newly incarcerated defendants to the court facility, in order to minimize undue exposure of all judicial partners.

### Trial Court Security Trust Fund

Court Services activities are funded from the Trial Court Security Trust Fund (#20107), which receives State sales tax revenue as part of Public Safety Realignment Act. Annual revenue averages approximately \$10.3 million. As of March 31, 2020, the fund balance was \$5,268,710. A total of \$11,381,742 in combined new revenue and fund balance is designated for use in the 2020-2021 recommended budget.

# 2021658000—Sheriff-Court Services

## Law & Justice

	Workload Data			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	Actual 2017-2018	2018-2019		
<b>Allocated Judicial Positions</b>	33	33	33	33	33
<b>No. of Judicial Court Days/Month</b>	21	21	21	21	21
<b>Average Daily Staffing</b>					
<i>Stockton</i>	40	42	49	49	49
<i>Manteca</i>	8	8	6	6	6
<i>Lodi</i>	7	7	5	5	5
<i>Juvenile Justice</i>	4	4	2	2	2
<b>Inmate Appearances</b>					
<i>Stockton</i>	26,047	27,261	28,261	29,424	28,841
<i>Manteca</i>	3,926	4,914	5,029	7,228	5,057
<i>Lodi</i>	3,060	2,943	1,917	2,168	3,050
<b>Ward Appearances</b>					
<i>Juvenile Justice</i>	2,380	2,332	2,320	2,300	2,300
<b>Judicial Protection Unit</b>					
<i>Investigations/Crime reports</i>	83	95	108	122	142



# 2022600000—Sheriff-Custody

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$47,079,898	\$49,871,731	\$51,624,356	\$51,624,356	\$1,752,625
Services & Supplies	6,201,193	7,036,941	7,235,638	7,235,638	198,697
Centrally-Budgeted Expenses	3,494,199	7,354,125	6,967,889	6,967,889	(386,236)
Capital Expenditures	260,531	128,528	277,347	277,347	148,819
<b>Total Appropriations</b>	<b>\$57,035,821</b>	<b>\$64,391,325</b>	<b>\$66,105,230</b>	<b>\$66,105,230</b>	<b>\$1,713,905</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$24,426,983	\$25,318,035	\$27,720,601	\$27,720,601	\$2,402,566
Charges For Services	184,138	220,000	62,748	62,748	(157,252)
Miscellaneous Revenues	41,974	0	0	0	0
Operating Transfers In	1,350,502	1,824,692	2,033,601	2,033,601	208,909
<b>Total Revenues</b>	<b>\$26,003,597</b>	<b>\$27,362,727</b>	<b>\$29,816,950</b>	<b>\$29,816,950</b>	<b>\$2,454,223</b>
<b>Net County Cost</b>	<b>\$31,032,224</b>	<b>\$37,028,598</b>	<b>\$36,288,280</b>	<b>\$36,288,280</b>	<b>(\$740,318)</b>
<b>Staffing</b>					
Allocated Positions	319.0	322.0	326.0	326.0	4.0
Temporary (Full-Time Equivalent)	2.5	2.5	2.5	2.5	0.0
<b>Total Staffing</b>	<b>321.5</b>	<b>324.5</b>	<b>328.5</b>	<b>328.5</b>	<b>4.0</b>

### Purpose

The Custody Division of the Sheriff's Office provides for the secure detention of adults awaiting criminal trial or incarceration by the courts in the County Jail. Responsibilities include: operations of the Jail Core, South Jail, and Honor Farm facilities; in-custody transportation to court and for medical treatment; and oversight of inmate programs including Community Corps (C-Corps).

Central Services operations include responsibility for inmate property, laundry, grounds, mailroom, and warehouse.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$986,246 Salary and benefits adjustments.
- \$226,270 Add a Correctional Captain.
- (\$237,808) Delete two Correctional Officers.

- \$777,917 Transfer four Correctional Officers and a Correctional Sergeant from the Sheriff-Administration budget.

#### Services & Supplies

- \$75,000 Increase in utilities costs.
- \$47,465 Increase in professional services to replace tasers.
- \$31,133 Increase for one-time small furniture purchases.
- \$28,200 Increase in outside training services.
- \$27,000 Increase in Jail household expense.
- \$25,000 Increase in system upgrades for the Stockton Courthouse.
- \$17,946 Increase in communications expense.

# 2022600000—Sheriff-Custody

## Law & Justice

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- \$12,271 Increase in travel and training costs.
- \$10,062 Increase in equipment rents and leases.
- \$9,900 Increase in janitorial services costs.
- (\$38,964) Decrease in less than lethal ammunition costs.
- (\$16,650) Decrease in fleet services costs.
- (\$15,000) Decrease in laundry costs.
- (\$12,702) Decrease in inmate clothing costs.
- (\$11,737) Decrease in grounds maintenance expense.

### Centrally-Budgeted Expenses

- \$429,370 Increase in Workers' Compensation and Casualty insurance charges.
- (\$219,025) Decrease in data processing charges.
- (\$43,713) Decrease in radio maintenance costs.
- (\$9,637) Decrease in rents and leases of automation equipment.
- (\$543,231) Cost Allocation Plan adjustment.

### Revenues

- \$2,240,198 Increase in Proposition 172 funding.
- \$196,209 Increase in transfers from the Inmate Welfare Fund (IWF).
- \$137,464 Increase in reimbursements from the State for the Jail Based Competency Treatment program.
- \$69,119 Increase in reimbursements for the State Criminal Alien Assistance Program.
- \$30,575 Increase in Standards and Training in Corrections funding.
- \$14,748 Increase in rent and utility payments from the City of Lathrop.
- \$12,700 Increase in reimbursements from the Proposition 69 fund.
- (\$160,000) Decrease in charges to Sacramento County due to cancellation of the medical inmate security contract.

- (\$74,790) Decrease in one-time reimbursements for the Mental Health Training grant program.
- (\$12,000) Decrease in booking fees for convicted defendants.

## Capital Expenditures

- \$146,816 Portable modular trailer.
- \$56,500 Pickup.
- \$23,366 Riding lawnmowers (2).
- \$12,268 Dump trailer.
- \$11,087 Passenger electric cart.
- \$10,000 Workstation.
- \$9,650 Warehouse electric cart.
- \$7,660 Portable radio.

## Program Discussion

The 2020-2021 recommended budget for the Custody Division totals \$66,105,230, which is an increase of \$1,713,905 from the 2019-2020 adjusted budget. The change reflects salary and benefits adjustments (\$986,246), the transfer of five positions from the Sheriff-Administration budget (\$777,917), Workers' Compensation and Casualty insurance costs (\$429,370), the addition of a Correctional Captain position (\$226,270), and an increase in capital expenditures (\$148,819), partially offset by a Cost Allocation Plan adjustment (-\$543,231), the deletion of two Correctional Officer positions (-\$237,808), and a decrease in data processing charges (-\$219,025).

### Correctional Officer Staffing

As of March 16, 2020, 39 out of 220 Correctional Officer positions were vacant. The Department continues to make a determined effort to recruit and fill Correctional Officer positions. Overtime overruns of \$3.9 million are projected at year-end 2019-2020, and are currently being partially covered by savings from vacant positions.

To address the Custody Division's increasing workload, four Correctional Officers and a Correctional Sergeant were transferred from the Sheriff Administration budget (#2021645000). Additionally, the recommended budget deletes two vacant Correctional Officer positions in order to add a second Correctional Captain position. This will

# 2022600000—Sheriff-Custody

## Law & Justice

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provide a second manager with oversight of housing, services, programs, alternatives, legislation, major projects, and a staff of 326 full-time allocations.

### *2019 Novel Coronavirus (COVID-19)*

The Jail population has decreased as a byproduct of COVID-19. Total bookings are down due to the court order not allowing any of the daily walk-in commitments and police agencies working to cite more on the streets. Jail population is also down due to the California Judicial Counsel's implementation of the Emergency Zero Dollar Bail order. Cost impacts include COVID-19 supply purchases, and salary and benefits costs for planning and implementation of COVID-19 practices and policies, as well as Deputies required for video arraignments.

### *Public Safety Realignment*

The State's Public Safety Realignment, implemented through Assembly Bill (AB) 109, has significantly impacted the operation of the Division. Since the legislation became effective October 2011, the overall inmate population has increased, with a significant number of inmates held as a result of AB 109. Fortunately, funding allocated through the Local Community Corrections Partnership (CCP), responsible for implementation of AB 109, has been available to offset some of the housing costs for this population. In 2012-2013, an average of 33% of the Jail's population was classified as AB 109. The average decreased to 16.5% in calendar year 2019, resulting in the County picking up a larger portion of costs for inmate housing to include food, clothing, and household expenses.

For 2020-2021, the CCP approved continuing AB 109 funding to support the Sheriff's operation in five service need areas including inmate housing and staffing costs (\$4,769,294), inmate programming (\$460,497), alternatives to incarceration (\$317,358), bailiff services (\$225,494), and program support (\$798,874). Costs charged to CCP for Jail food service, laundry, and household expenses are based on the actual proportion of inmates classified as AB 109.

### *Honor Farm Replacement*

The Honor Farm is a minimum-security complex consisting of three barracks-style housing areas (DEF, GHI, and JKL) and a modular-style housing unit. The facility was originally intended to house only sentenced inmates and low-risk offenders, but space limitations at the Jail, combined with longer local sentences due to AB 109, has resulted in the Honor Farm housing many inmates that historically would have been kept at the higher security Jail facility.

In 2015-2016, the Division submitted an application for \$80.0 million in jail construction funds under SB 863 in order to replace the Honor Farm with secure housing and increased program space. Unfortunately, the County's application did not rank high enough to secure funding.

In February 2016, the Sheriff's Office was notified of its eligibility to receive funding under Senate Bill (SB) 1022. The County originally submitted an application under SB 1022 for \$40.0 million in jail construction funds to replace the Honor Farm with a medium security facility. At the time, the County's application narrowly missed ranking high enough to qualify for funds. A decision by another grantee to decline SB 1022 funds resulted in the Board of State and Community Corrections' (BSCC) offer of \$32.3 million in funding to the County. During 2019-2020, the BSCC offered the County another \$4.2 million of reverted SB 1022 funds, which was approved and accepted by the Board of Supervisors on October 22, 2019 (B-19-646), for a total of \$36.5 million.

The Custody Division Realignment Unit worked with the County Administrator's Office and the General Services Department (GSD) to develop a revised project scope, timeline, and budget for the \$36.5 million award. The additional funds are being used to add a housing unit to the plan, which includes 12 beds designed specifically for intense care and treatment of inmates with behavioral health issues. Construction is anticipated to be completed in June 2022.

### *Jail Based Competency Treatment Program (JBCT)*

In May 2019, the Board approved a contract (B-19-296) with the Department of State Hospitals to initiate the County's own JBCT program. All costs of the program are reimbursed by the DSH. The 2019-2020 budget added two Correctional Officer positions and start-up equipment in order to provide on-site competency treatment services in conjunction with Correctional Health Services.

The program began on January 6, 2020, with its first enrolled participants. The size of the program is 8 to 10 inmates, strictly from the County court system.

### *Correctional Public Information Officer*

In January 2019, the Department initiated a pilot program to designate a Correctional Officer as a Public Information Officer (PIO) for the Custody Division. A PIO dedicated solely to Custody helps disseminate proactive stories and share insight with those that may have questions about Custody operations. The PIO also provides informative presentations to public entities and those within County services that may need information.

# 2022600000—Sheriff-Custody

## Law & Justice

### Inmate Tablets

In order to increase the accessibility of education options and programs to more inmates, the Custody Division is in the process of implementing a secure tablet system already in use at other jail facilities nationwide. Incorporating these into the facility will allow for the integration with the Jail Management System, linking of inmate correspondence, requests, disciplinary actions, and grievance procedures by providing an efficient technology-based process. Vendor costs to provide the service will be netted from inmate telephone contract revenues to the Inmate Welfare Fund.

### Jail Security Electronics Upgrade

The Custody Division continues to work in partnership with GSD and a private consulting firm to upgrade the Jail's

security electronics. The enhancement provides replacement of systems in use for door controls and cameras to allow for visualization within the housing areas and other locations. Due to its complexity, the project is designed to continue through multiple years.

### Supplemental Request

The Sheriff is requesting supplemental funding of \$47,465 to replace the Custody tasers, which are out of production, with new taser systems compatible with the body worn camera, taser, and Evidence.com system now in use by the Patrol Division.

The recommended budget has been augmented by \$47,465 to address this request.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Average Daily Inmate Population</b>	1,302	1,303	1,336	1,388	1,395
<i>New Bookings</i>	22,453	23,357	21,440	21,314	20,397
<i>Total Releases</i>	22,178	25,295	24,548	24,606	25,295
<i>Court Cap Releases</i>	357	313	267	368	335
<i>Canine Bunk and Unit Searches</i>	11,697	12,619	12,828	12,412	12,619
<i>Canine Searches-Contraband Seized</i>	646	825	504	620	650
<i>Canine Searches-Drugs/Tobacco Seized (Grams)</i>	11,481	6,451	4,617	7,515	6,195
<b>Inmate Transportation</b>					
<i>Stockton Court</i>	N/A	N/A	28,261	29,424	29,718
<i>Lodi Court</i>	N/A	N/A	1,917	2,168	2,189
<i>Manteca Court</i>	N/A	N/A	5,029	7,228	7,286
<i>State Hospitals</i>	N/A	N/A	203	202	201
<i>State Prisons</i>	N/A	N/A	98	104	105
<i>Topic Drop off</i>	N/A	N/A	638	602	601
<i>Topic Pickup</i>	N/A	N/A	280	310	313
<b>Inmate Transportation miles, buses</b>	N/A	N/A	17,770	25,772	26,158
<b>Inmate Transportation miles, all other vehicles</b>	N/A	N/A	183,017	193,836	195,774

# 2022610000—Sheriff-Local Community Corrections

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$4,301,767	\$4,810,258	\$4,886,861	\$4,886,861	\$76,603
Services & Supplies	707,644	1,496,702	1,495,773	1,495,773	(929)
Centrally-Budgeted Expenses	128,151	147,399	188,883	188,883	41,484
Capital Expenditures	0	12,600	0	0	(12,600)
<b>Total Expenditures</b>	<b>\$5,137,562</b>	<b>\$6,466,959</b>	<b>\$6,571,517</b>	<b>\$6,571,517</b>	<b>\$104,558</b>
Expenditure Reimbursements	(484)	0	0	0	0
<b>Total Appropriations</b>	<b>\$5,137,078</b>	<b>\$6,466,959</b>	<b>\$6,571,517</b>	<b>\$6,571,517</b>	<b>\$104,558</b>
<b>Earned Revenues By Source</b>					
Operating Transfers In	\$5,136,806	\$6,466,959	\$6,571,517	\$6,571,517	\$104,558
<b>Total Revenues</b>	<b>\$5,136,806</b>	<b>\$6,466,959</b>	<b>\$6,571,517</b>	<b>\$6,571,517</b>	<b>\$104,558</b>
<b>Net County Cost</b>	<b>\$272</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	32.0	34.0	34.0	34.0	0.0
Temporary (Full-Time Equivalent)	0.5	0.5	0.5	0.5	0.0
<b>Total Staffing</b>	<b>32.5</b>	<b>34.5</b>	<b>34.5</b>	<b>34.5</b>	<b>0.0</b>

### Purpose

The 2011 Public Safety Realignment Act (also known as AB 109) became effective on October 1, 2011. This legislation transferred responsibility for supervising specified lower level inmates and parolees from the State to counties.

AB 109 requirements are implemented in each county through a Community Corrections Partnership (CCP) previously established by Senate Bill 678. The CCP is charged with developing a plan of implementation and oversight of the public safety realignment process.

Funding for AB 109 activities is provided through the County budget to the Probation Department, the Sheriff's Office, and other agencies. This narrative describes the Local Community Corrections services provided by the Sheriff's Office.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$76,603 Salary and benefits adjustments.

#### Centrally-Budgeted Expenses

- \$25,122 Increase in data processing charges
- \$14,468 Increase in Workers' Compensation and Casualty insurance costs.

#### Revenues

- \$104,558 Increase in State funding for Local Community Corrections.

# 2022610000—Sheriff-Local Community Corrections

## Law & Justice

### Program Discussion

The 2020-2021 recommended budget for the Local Community Corrections totals \$6,571,517, which is an increase of \$104,558 from the 2019-2020 adjusted budget. This change reflects salary and benefits adjustments (\$76,603), and an increase in data processing charges (\$25,122). The recommended budget represents the anticipated allocation of AB 109 funding for 34 full-time Sheriff's Office positions, part-time staff to provide bailiff services, inmate housing, and other costs approved by the CCP.

### Discharge Planning Unit

The 2019-2020 budget added two Social Worker positions in order to establish a Discharge Planning Unit for inmates released from the jail. With over 11,000 inmates released annually, many lack a plan for provision of the basic necessities that were being provided by the jail. Discharge planning services may include transportation, housing, medical, job placement, referrals, and connections with community providers. As of March 31, 2020, one Social Worker position has been filled. Recruitment efforts continue to hire the second Social Worker. It is anticipated that Social Workers assisting in the transition to freedom

will produce a more positive transition back into the community.

### Custody Realignment Unit

The Custody Realignment Unit, funded in part by AB 109, consists of one Correctional Lieutenant, one Correctional Sergeant, two Correctional Officers, and one Office Assistant Specialist. This Unit reviews legislative updates pertaining to AB 109, supplies offender data and statistics to the CCP for various reports, and attends relevant meetings and conferences.

Through an agreement with the California Department of Corrections and Rehabilitation, the Unit provides management oversight to house low-level offenders at the California Department of Forestry and Fire Protection (CAL FIRE) Conservation Camp Program. CAL FIRE is currently authorized to operate conservation camps Statewide with inmate labor.

In February 2016, the Unit was assigned to work in partnership with the Information Systems Division to provide support with implementing a new Jail Management System. Since its implementation in May 2018, the Unit has focused on enhancements and improvements to the system as delivered.

### Workload Data

	2016-2017	Actual 2017-2018	2018-2019	Est./Act. 2019-2020	Projected 2020-2021
Average Daily Inmate Population	1,302	1,303	1,336	1,388	1,395
AB 109 Population/%	251/19%	236/18%	223/17%	230/17%	231/17%

# 2022620000—Sheriff-Work Programs

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$912,773	\$995,825	\$1,042,839	\$1,042,839	\$47,014
Services & Supplies	88,874	205,186	109,580	109,580	(95,606)
Centrally-Budgeted Expenses	25,712	28,307	48,839	48,839	20,532
<b>Total Appropriations</b>	<b>\$1,027,359</b>	<b>\$1,229,318</b>	<b>\$1,201,258</b>	<b>\$1,201,258</b>	<b>(\$28,060)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$44,537	\$45,758	\$50,080	\$50,080	\$4,322
Charges For Services	832,147	862,000	708,000	708,000	(154,000)
<b>Total Revenues</b>	<b>\$876,684</b>	<b>\$907,758</b>	<b>\$758,080</b>	<b>\$758,080</b>	<b>(\$149,678)</b>
<b>Net County Cost</b>	<b>\$150,676</b>	<b>\$321,560</b>	<b>\$443,178</b>	<b>\$443,178</b>	<b>\$121,618</b>
<b>Allocated Positions</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>	<b>0.0</b>

### Purpose

The Sheriff's Work Programs budget includes programs that provide alternatives to incarceration for eligible individuals who have been sentenced by the Court. These programs are an effective means of reducing overcrowding in the County Jail. The programs include:

- Alternative Work Program (AWP) - Participants serve two to five days per week in community service in lieu of serving time in custody. This process assists in managing the Jail population and allows better management of low-risk inmates.
- Electronic Monitoring Program (EMP) - EMP provides a radio frequency ankle bracelet system for home detention monitoring of sentenced inmates. Benefits include keeping offenders gainfully employed and providing for their families; and fulfilling other community obligations, while paying their debt to society. It also provides an option for the chronically ill to serve their sentence at home, allowing access to their own medical care providers and relieving pressure on Correctional Health Services.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$47,014 Salary and benefits adjustments.

#### Services & Supplies

- (\$75,000) Completion of one-time project for upgrades to the Jail Management System.
- (\$20,113) Decrease in Global Positioning System (GPS) monitoring expense.
- (\$10,261) Decrease in alcohol monitoring expense.

#### Centrally-Budgeted Expenses

- \$6,625 Increase in data processing charges.
- \$11,753 Cost Allocation Plan adjustment.

#### Revenues

- \$4,322 Increase in Proposition 172 funding.
- (\$154,000) Decrease in Work Programs fee revenue.

# 2022620000—Sheriff-Work Programs

## Law & Justice

### Program Discussion

The 2020-2021 recommended Work Programs budget totals \$1,201,258, which is a decrease of \$28,060 from the 2019-2020 adjusted budget. This change primarily reflects a decrease in expenses associated with a one-time Jail Management System upgrade (-\$75,000), partially offset by salary and benefits adjustments (\$47,014).

Work Programs experienced a multiyear decline in participation that has caused revenue to reduce from a peak of nearly \$1.1 million in 2009-2010 to as low as \$769,346 in

2016-2017. Revenues in 2017-2018 improved to \$856,706 and declined slightly to \$832,147 in 2018-2019. As of the 2019-2020 midyear projection, revenues are estimated to drop to approximately \$700,000. Estimated fee revenue in 2020-2021 for Work Programs is budgeted at \$708,000.

The Work Programs Unit is aided by two positions funded with Public Safety Realignment funds. A Deputy Sheriff and an Office Assistant Specialist allocated to the Sheriff-Local Community Corrections budget (#2022610000) are assigned to Work Programs.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Applications Processed*</b>	3,612	3,249	N/A	N/A	N/A
<b>Alternative Work Program</b>					
<i>Applications</i>	N/A	N/A	2,604	2,850	3,120
<i>Enrollments</i>	1,938	1,756	1,529	2,073	2,224
<b>Electronic Monitoring Program</b>					
<i>Applications</i>	N/A	N/A	202	208	228
<i>Enrollments</i>	158	157	99	112	122
<b>Alcohol Monitoring Program</b>					
<i>Participants</i>	171	181	110	70	96
<b>Counter Engagement</b>	N/A	N/A	N/A	9,620	9,620

\* No longer available due to new Jail Management System



# 2025700000—Sheriff-Public Administrator

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$501,422	\$509,122	\$523,439	\$523,439	\$14,317
Services & Supplies	28,863	23,118	74,592	74,592	51,474
Centrally-Budgeted Expenses	2,066	73,284	83,439	83,439	10,155
<i>Total Appropriations</i>	\$532,351	\$605,524	\$681,470	\$681,470	\$75,946
<b>Earned Revenues By Source</b>					
Charges For Services	\$188,653	\$120,000	\$200,000	\$200,000	\$80,000
<i>Total Revenues</i>	\$188,653	\$120,000	\$200,000	\$200,000	\$80,000
<b>Net County Cost</b>	\$343,698	\$485,524	\$481,470	\$481,470	(\$4,054)
<i>Allocated Positions</i>	4.0	4.0	4.0	4.0	0.0

### Purpose

The Public Administrator is mandated under California law to take charge of estates when no other person qualifies. This includes the administration of estates when an executor is not able to serve, and where no person having priority of appointment over the Public Administrator can be, or is willing to be, appointed as administrator.

Staff participate in a full-range of activities established by the California Probate Code. Responsibilities include locating and determining heirs; marshaling, protecting, and liquidating assets as required; making funeral arrangements; paying estate debts; managing and selling real and personal property; clearing tax obligations of estates; preparing estate financial reports; distributing residue to those entitled; and coordinating casework with County Counsel, the courts, and other agencies.

In some cases, the Public Administrator serves to administer the estate to its conclusion; in others, the Public Administrator serves as caretaker until the family is located, and then refers the case to the family for completion. In these cases, the Public Administrator's office helps the public navigate through the probate procedures by answering questions and providing forms to help citizens in administering a family member's estate.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$14,317 Salary and benefits adjustments.

#### Services & Supplies

- \$50,000 Establish budget for a new electronic case management system.

#### Centrally-Budgeted Expenses

- \$2,944 Increase in data processing charges.
- \$6,809 Cost Allocation Plan adjustment.

#### Revenue

- \$80,000 Elimination of revenue transfer to County Counsel, which was replaced by charges through the Cost Allocation Plan.

# 2025700000—Sheriff-Public Administrator

## Law & Justice

### Program Discussion

The 2020-2021 recommended budget for the Public Administrator totals \$681,470, which is an increase of \$75,946 from the 2019-2020 adjusted budget, primarily reflecting salary and benefits adjustments (\$14,317) and costs for a new case management software system (\$50,000).

During 2020-2021, the Public Administrator's office plans to continue its effort to review and take on more elective

cases from the courts, local code enforcement agencies, the Treasurer-Tax Collector, the State Department of Health Services, and private attorneys.

The recommended budget includes \$50,000 for a new case management system for the Public Administrator. During 2020-2021, the Public Administrator's Office will review and evaluate options for a system, which will help standardize casework, assist in tracking personal and real property, and provide a database for case information and the digital storage of documents.

### Workload Data

	<u>Actual</u>			<b>Est./Act.</b>	<b>Projected</b>
	<b>2016-2017</b>	<b>2017-2018</b>	<b>2018-2019</b>	<b>2019-2020</b>	<b>2020-2021</b>
Decedent Estates	141	137	159	134	143
Estates with Real Estate	25	28	31	25	28
Re-opened Cases	2	3	4	3	3
Cases Referred to Family Members	5	14	13	10	11
Average Number of Open Cases	110	104	105	100	100
Closed Cases	105	124	126	118	119
Value of Estates Managed (Total)	\$5,067,493	\$4,063,616	\$4,263,243	\$3,843,191	\$3,704,373

# Sheriff-State COPS Patrol & Custody

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$231,628	\$306,283	\$238,348	\$238,348	(\$67,935)
Services & Supplies	166,049	999,457	1,122,395	1,122,395	122,938
Centrally-Budgeted Expenses	8,751	10,428	14,288	14,288	3,860
Capital Expenditures	21,689	282,572	458,782	458,782	176,210
Operating Transfers Out	0	0	50,362	50,362	50,362
<b>Total Expenditures</b>	<b>\$428,117</b>	<b>\$1,598,740</b>	<b>\$1,884,175</b>	<b>\$1,884,175</b>	<b>\$285,435</b>
Expenditure Reimbursements	(2,808)	0	0	0	0
<b>Total Appropriations</b>	<b>\$425,309</b>	<b>\$1,598,740</b>	<b>\$1,884,175</b>	<b>\$1,884,175</b>	<b>\$285,435</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$91,602	\$0	\$0	\$0	\$0
Aid From Other Governments	654,124	659,000	706,681	706,681	47,681
Miscellaneous Revenues	6	0	0	0	0
Fund Balance	(320,423)	939,740	1,177,494	1,177,494	237,754
<b>Total Revenues</b>	<b>\$425,309</b>	<b>\$1,598,740</b>	<b>\$1,884,175</b>	<b>\$1,884,175</b>	<b>\$285,435</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>0.0</b>

### Purpose

Since 1996, the State Citizens' Option for Public Safety (COPS) program has provided funding to local agencies for front-line law enforcement services, enhanced prosecution efforts, and for jail operation/construction. Funding is provided from State Vehicle License Fees and allocated by formula to city and county law enforcement, the District Attorney's Office, and the County Jail. This narrative represents the program funding allocated by the State to Sheriff's law enforcement operations including COPS Patrol (#2021655000) and COPS Custody (#2021657000).

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$67,935) Salary and benefits adjustments.

#### Services & Supplies

- \$137,181 Increase in law enforcement equipment purchases.
- (\$7,678) Decrease in computer supplies purchases.
- (\$6,126) Decrease in travel and training expenses.

#### Operating Transfers Out

- \$50,362 One-time transfer for partial payment towards the Department's airplane acquisition.

# Sheriff-State COPS Patrol & Custody

## Law & Justice

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### Revenues

- \$237,754 Increase in use of fund balance.
- \$47,681 Increase in State revenue.

### Capital Expenditures

- \$115,506 Patrol vehicle technology upgrades (3).
- \$61,052 Inmate laundry truck.
- \$57,882 Scanner/crime scene system.
- \$53,209 Interview room upgrade.
- \$48,705 Fiber optic cable project.
- \$41,861 Drone for custody canine program.
- \$30,019 Surveillance trailer system.
- \$30,000 Boat lifts (3).
- \$15,048 Surveillance pole camera.
- \$5,500 Bariatric hospital bed.

### Program Discussion

The recommended State COPS budget for 2020-2021 totals \$1,884,175 for Sheriff programs, which is an increase of \$285,435 from the 2019-2020 adjusted budget. This is primarily due to increases in capital expenditures (\$176,210), law enforcement equipment purchases (\$137,181), and operating transfers out (\$50,362), partially offset by salary and benefits adjustments (-\$67,935).

#### COPS Patrol

The 2020-2021 COPS Patrol allocation of \$374,585, along with re-budgeted fund balance of \$754,909 provides funding for a Deputy Sheriff, software maintenance and upgrades, training and travel, equipment purchases, and other program expenses.

#### COPS Custody

The 2020-2021 COPS Custody allocation of \$332,096, along with re-budgeted fund balance of \$422,585 provides funding for a Correctional Officer, training and travel, equipment purchases, and other program expenses.

#### Supplemental Law Enforcement Services Fund

The Supplemental Law Enforcement Services Fund receives funding for the State COPS programs (operated by the Sheriff and the District Attorney), as well as Juvenile Justice Crime Prevention Act activities (operated by Probation). For 2019-2020, the beginning fund balances for Sheriff COPS Patrol and COPS Custody were \$755,282 and \$408,318, respectively.

# Sheriff-Operational Grants

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$776,534	\$870,352	\$761,162	\$761,162	(\$109,191)
Services & Supplies	469,394	317,626	416,011	416,011	98,385
Centrally-Budgeted Expenses	112,507	141,796	114,553	114,553	(27,243)
Other Charges & Uses	56,083	56,082	56,082	56,082	0
Capital Expenditures	60,567	602,926	214,000	214,000	(388,926)
<b>Total Expenditures</b>	<b>\$1,475,085</b>	<b>\$1,988,782</b>	<b>\$1,561,808</b>	<b>\$1,561,808</b>	<b>(\$426,974)</b>
Expenditure Reimbursements	(216)	(16,729)	(15,967)	(15,967)	762
<b>Total Appropriations</b>	<b>\$1,474,869</b>	<b>\$1,972,053</b>	<b>\$1,545,841</b>	<b>\$1,545,841</b>	<b>(\$426,212)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$1,359,469	\$1,375,789	\$1,302,914	\$1,302,914	(\$72,875)
Charges For Services	533	0	0	0	0
Miscellaneous Revenues	313	112,500	0	0	(112,500)
Operating Transfers In	52,658	305,873	89,414	89,414	(216,459)
<b>Total Revenues</b>	<b>\$1,412,973</b>	<b>\$1,794,162</b>	<b>\$1,392,328</b>	<b>\$1,392,328</b>	<b>(\$401,834)</b>
<b>Net County Cost</b>	<b>\$61,896</b>	<b>\$177,891</b>	<b>\$153,513</b>	<b>\$153,513</b>	<b>(\$24,378)</b>
<b>Allocated Positions</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>0.0</b>

### Purpose

The Sheriff's Office receives various grants, which provide funding for specialized areas of law enforcement.

This discussion includes the following grant budgets:

- Abandoned Watercraft (#2021608000)
- California Multi-Jurisdictional Methamphetamine Enforcement Team (Cal-MMET) (#2021609000)
- Hi-Tech Crimes Task Force (#2021613000)
- Off-Highway Enforcement (#2021614170)
- Port Security Grant Program (#2021616000)

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$67,899) Salary and benefits adjustments.
- (\$41,292) Decrease in overtime expense.

#### Services & Supplies

- \$173,989 Increase in professional services.
- (\$32,557) Decrease in equipment and protective clothing purchases.
- (\$20,700) Decrease in travel and training expense.
- (\$12,420) Decrease in radio, vehicle, and equipment maintenance costs.

# Sheriff-Operational Grants

## Law & Justice

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- (\$7,138) Decrease in fleet services costs.

### Centrally-Budgeted Expenses

- \$5,293 Increase in Workers' Compensation and Casualty insurance costs.
- (\$15,374) Decrease in radio maintenance costs.
- (\$11,897) Decrease in rents and leases of automation equipment.
- (\$7,473) Cost Allocation Plan adjustment.

### Revenues

- \$159,672 Increase in budgeted revenues for Surrendered and Abandoned Vessel Exchange program.
- (\$332,757) Decrease in budgeted revenues for the Port Security Grant program.
- (\$159,769) Decrease in operating transfers in from asset forfeiture proceeds.
- (\$56,690) Decrease in operating transfers in for the Port Security Grant program match.
- (\$11,291) Decrease in budgeted revenues for Off-Highway Enforcement grant.

### Capital Expenditures

- \$150,000 Night vision goggle systems (10).
- \$64,000 Vehicles (2).

### Program Discussion

#### Abandoned Watercraft / Surrendered and Abandoned Vessel Exchange (SAVE) Grant

Since 2000, the Sheriff's Office has received a total of \$1,031,987 in State grant funding for removal of abandoned watercraft from Delta waterways. In September 2018, a grant award of \$150,000 was accepted by the Board of Supervisors (B-18-589). This award plus a 10% County match provides funding of \$165,000 for removals during September 2018 through September 2020. As of February 1, 2020, the Sheriff's Office has \$10,639 remaining to spend on the 2018 project. This amount is being re-budgeted in

2020-2021 in order to allow for a reissuance of the purchase order.

In February 2020, the Board accepted a new SAVE grant (B-20-117) in the amount of \$150,000. This new award plus a 10% County match provides funding of \$165,000 for removals from February 2020 through September 2021. This amount is being re-budgeted in 2020-2021 in order to facilitate the purchasing process. This budget includes a cost reimbursement of \$15,967 from the Sheriff's Patrol budget (#2021620000).

#### Cal-MMET Grant

Cal-MMET focuses on methamphetamine producers and distributors by creating specialized, investigative units staffed by experienced personnel. The Cal-MMET grant is one source of funding for the San Joaquin County Metropolitan (Metro) Task Force. Participating law enforcement agencies and asset forfeiture proceeds provide additional resources for investigators and prosecutors, as well as support staff, equipment, training, and facilities.

In 2001, Cal-MMET funding was sufficient to support 12 County positions and 4 police officers from three cities. Since then, State budget cuts have necessitated the elimination of multiple positions. As of March 2020, two County positions remain funded by the Cal-MMET grant.

State funding for 2020-2021 is estimated at \$915,000. An additional \$51,914 will be transferred from asset forfeiture proceeds to support task force operations. This funding will support two Sheriff's Office positions, office space, airplane fuel and maintenance, pilot training, hangar rental, equipment purchases, vehicle costs, and other expenses related to Metro operations.

#### Hi-Tech Crimes Task Force Grant

The Sacramento Valley Hi-Tech Crimes Task Force is a multi-jurisdictional agency focused on combating high-technology crimes, telecommunications fraud, crimes against children, identity theft, and the recovery/analysis of digital evidence. The Task Force is coordinated by the Sacramento County Sheriff's Department, which passes through a portion of its State grant to fund a Deputy Sheriff position to combat high-technology crimes in San Joaquin County.

Originally awarded in May 2000, the grant initially funded the full cost of a Deputy Sheriff position. Since then, rising salary and benefits costs have outpaced the grant award amount, requiring increasing County subvention to continue full-time participation on the Task Force. For 2020-2021, State funding of \$70,000 partially offsets the estimated program cost of \$223,513.

# ***Sheriff-Operational Grants***

## ***Law & Justice***

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### **Off-Highway Enforcement Grant**

The Sheriff's Office has historically received State grant monies to perform off-highway vehicle law enforcement, including funding for Deputy and Sergeant overtime, off-highway vehicles, and equipment.

The recommended budget includes \$45,742 in order to draw down the existing grant award into 2020-2021. No new funds are budgeted for 2020-2021; however, a grant funding application is in process. If funds are awarded, the Sheriff will return to the Board to accept the grant and adjust the budget as appropriate. If no funds are awarded, enforcement will be continued on a limited basis.

### **Port Security Grant Program (PSGP)**

The PSGP, which provided for the purchase of a boat, bomb robot, and SWAT vehicle in 2013, received a new award in 2018 for the purchase of a 27-foot regional response vessel for the Sheriff's Boating Safety Unit. This purchase has been completed and the grant is expected to be closed out by June 2020.

In October 2019, the Board accepted a new grant through the PSGP for \$112,500 for 10 night vision goggle systems (B-19-641). This grant, plus a \$37,500 County match for a total of \$150,000, is being re-budgeted in 2020-2021 to allow procurement efforts to continue.

# Sheriff-Special Fund Programs

## Law & Justice

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$95,533	\$103,137	\$110,697	\$110,697	\$7,560
Services & Supplies	611,892	774,529	948,651	948,651	174,122
Centrally-Budgeted Expenses	3,351	4,595	15,279	15,279	10,684
Capital Expenditures	464,675	1,092,000	790,250	790,250	(301,750)
Operating Transfers Out	80,000	80,000	80,000	80,000	0
<b>Total Appropriations</b>	<b>\$1,255,451</b>	<b>\$2,054,261</b>	<b>\$1,944,877</b>	<b>\$1,944,877</b>	<b>(\$109,384)</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$983	\$0	\$0	\$0	\$0
Aid From Other Governments	1,025	44,895	228,887	228,887	183,992
Operating Transfers In	1,251,138	1,957,010	1,675,953	1,675,953	(281,057)
Fund Balance	2,305	52,356	40,037	40,037	(12,319)
<b>Total Revenues</b>	<b>\$1,255,451</b>	<b>\$2,054,261</b>	<b>\$1,944,877</b>	<b>\$1,944,877</b>	<b>(\$109,384)</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	1.0	1.0	1.0	1.0	0.0
Temporary (Full-Time Equivalent)	0.5	0.5	0.5	0.5	0.0
<b>Total Staffing</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>	<b>0.0</b>

### Purpose

The Sheriff's Office receives asset forfeiture funds from both the State and Federal governments as the result of narcotics-related arrests. In addition, vehicle registration fees provide funding for the Automated Fingerprint Identification (ID) Program and the Auto Theft Task Force.

The Special Fund Programs discussion includes the following budget units:

- State Narcotics Enforcement (#2021606000)
- Federal Narcotics Enforcement (#2021607000)
- Automated Fingerprint ID (#2021610000)
- Auto Theft Task Force (#2021627000)

### Major Budget Changes

#### Salaries & Employee Benefits

- \$7,560 Salary and benefits adjustments.

#### Services & Supplies

- \$183,887 Increase in replenishment of investigative cash fund.
- \$6,659 Increase in communications costs.
- (\$12,214) Decrease in law enforcement equipment costs.
- (\$2,167) Decrease in fleet services costs.



# Sheriff-Special Fund Programs

## Law & Justice

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### Centrally-Budgeted Expenses

- \$9,407 Cost Allocation Plan adjustment.

### Revenues

- \$183,992 Increase in revenues from the Federal Narcotics Enforcement Fund.
- \$16,555 Increase in operating transfer from the Auto Theft Investigation and Prosecution Fund.
- (\$297,612) Decrease in operating transfer from the Automated Fingerprint ID Fund.
- (\$12,319) Decrease in use of the State Narcotics Enforcement fund balance.

### Capital Expenditures

- \$700,000 Automated Fingerprint ID equipment.
- \$90,250 Re-budget License Plate Reader trailers (2).

## Program Discussion

### State Narcotics Enforcement Fund

State law establishes procedures for the forfeiture and distribution of property used in the commission of controlled substance offenses with the requirement that property transferred to a local agency, including cash or proceeds, be used for law enforcement purposes. The Sheriff's State Narcotics Enforcement Fund (#20035) receives minimal annual revenue, as the majority of asset forfeiture proceeds are directed to support the Metro Narcotics Task Force. As of March 31, 2020, the fund balance was \$40,354.

The 2020-2021 recommended budget includes \$40,037 in asset forfeiture monies to be used for operation and maintenance of the Sheriff's Mobile Law Enforcement Center, communications, and utilities for the Sheriff's warehouse at the Port of Stockton.

### Federal Narcotics Enforcement Fund

Federal law prescribes the method for disposition of assets or proceeds used in drug crimes, including the transfer of seized assets to local law enforcement agencies. Following the close of each fiscal year, the Board of Supervisors approves an agreement to participate in the Federal Equitable Sharing Program. This agreement and an annual certification report

must be submitted within 60 days after the close of the fiscal year in order to receive shared cash, property, or proceeds seized as part of a Federal asset forfeiture case.

As of March 31, 2020, the fund balance in the Sheriff's Federal Narcotics Enforcement Fund (#11201) was \$228,887. The 2020-2021 recommended budget includes \$228,887 to be used for law enforcement equipment and to replenish an investigations cash fund to support the Metro Narcotics Task Force, Investigations, and Patrol Divisions.

In 2019, the Department of Justice changed the criteria for asset sharing no longer allowing Task Forces to receive shared funds. Proceeds allocated previously were directed to the Sheriff's Metro Narcotics Task Force, but now are directed to the Federal Narcotics Enforcement Fund.

### Automated Fingerprint Identification Fund

The Automated Fingerprint ID Fund (#11216) is funded by an assessment added to various fines, penalties, and forfeitures. The Fund also receives a fee of \$1 per vehicle registered in the County. Annual revenue is approximately \$700,000. As of March 31, 2020, the fund balance was \$1,457,559.

Monies collected in the Fund are used for expenses related to fingerprint identification systems, including the purchase and maintenance of equipment that allows local law enforcement agencies to access the Cal-ID (California Identification) system containing over five million fingerprints. Expenditures are overseen by the Remote Access Network (RAN) Committee, with input from its Technical Advisory Committee. The RAN Committee is comprised of a member of the Board of Supervisors, the Sheriff, the District Attorney, local police chiefs, a Mayor of a local city, and a member-at-large.

In 2020-2021, a total of \$1,282,260 is budgeted for systems maintenance, communications, software, reimbursement for an Evidence Technician, and automation equipment. This figure represents a decrease of \$297,612 from the 2019-2020 adjusted budget, primarily reflecting a decrease in planned equipment purchases (-\$300,000).

### Auto Theft Task Force

The Auto Theft Task Force includes personnel from the California Highway Patrol, District Attorney's Office, Sheriff's Office, Probation Department, and local police departments. Funded by a fee of \$1 per vehicle registered in the County, monies in the Auto Theft Investigation and Prosecution Fund (#12124) are used exclusively to investigate and prosecute auto theft cases. Annual revenue is approximately \$600,000. As of March 31, 2020, the fund balance was \$644,777.

# ***Sheriff-Special Fund Programs***

## ***Law & Justice***

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The 2020-2021 Auto Theft Task Force budget for the Sheriff's Office totals \$393,693, which provides funding for a Senior Office Assistant, a part-time Evidence Technician, partial reimbursement for a Deputy Sheriff allocated to the

Patrol Division, and miscellaneous supplies and equipment. Funding for Task Force personnel from other agencies is paid directly and not included as part of this budget.

# 2022621000—Correctional Health Services

## Law & Justice

Greg Diederich, Health Care Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$10,411,216	\$11,960,185	\$12,999,441	\$12,999,441	\$1,039,256
Services & Supplies	2,417,531	3,813,988	3,253,048	3,253,048	(560,940)
Centrally-Budgeted Expenses	154,535	586,758	511,239	511,239	(75,519)
<b>Total Expenditures</b>	<b>\$12,983,282</b>	<b>\$16,360,931</b>	<b>\$16,763,728</b>	<b>\$16,763,728</b>	<b>\$402,797</b>
Expenditure Reimbursements	(1,765,630)	(2,824,590)	(3,335,728)	(3,335,728)	(511,138)
<b>Total Appropriations</b>	<b>\$11,217,652</b>	<b>\$13,536,341</b>	<b>\$13,428,000</b>	<b>\$13,428,000</b>	<b>(\$108,341)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$0	\$1,044,737	\$953,280	\$953,280	(\$91,457)
Charges For Services	25,591	16,000	0	0	(16,000)
Miscellaneous Revenues	14,553	4,000	4,000	4,000	0
<b>Total Revenues</b>	<b>\$40,143</b>	<b>\$1,064,737</b>	<b>\$957,280</b>	<b>\$957,280</b>	<b>(\$107,457)</b>
<b>Net County Cost</b>	<b>\$11,177,509</b>	<b>\$12,471,604</b>	<b>\$12,470,720</b>	<b>\$12,470,720</b>	<b>(\$884)</b>
<b>Staffing</b>					
Allocated Positions	68.0	73.0	78.0	78.0	5.0
Temporary (Full-Time Equivalent)	15.1	15.1	24.5	24.5	9.4
<b>Total Staffing</b>	<b>83.1</b>	<b>88.1</b>	<b>102.5</b>	<b>102.5</b>	<b>14.4</b>

## Purpose

Correctional Health Services (CHS) provides medical, dental, and mental health care to inmates and youths of the County's adult and juvenile detention facilities based on community standards and in accordance with Title 15 of the California Code of Regulations. Services are provided at the Jail, Honor Farm, and Juvenile Justice Center by CHS staff, and physicians from San Joaquin General Hospital (SJGH) and Behavioral Health Services (BHS).

## Major Budget Changes

### Salaries & Employee Benefits

- (\$200,409) Salary and benefits adjustments.
- \$586,651 Add three Mental Health Clinicians, a Staff Nurse, and a Dental Assistant.
- \$653,014 Increase in extra-help to reflect actual expenditures.

### Services & Supplies

- \$20,000 Increase in laboratory costs.
- \$15,243 Increase in equipment maintenance costs.
- (\$295,283) One-time costs for Electronic Medical Records (EMR) project in 2019-2020.
- (\$198,326) Decrease in pharmaceutical costs based on actual experience.
- (\$46,759) Decrease in inmate medical costs based on actual experience.
- (\$31,136) Decrease in costs for physicians and background investigations.
- (\$28,661) One-time Jail-Based Competency Treatment (JBCT) Program costs in 2019-2020.

# 2022621000—Correctional Health Services

## Law & Justice

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### Centrally-Budgeted Expenses

- \$75,818 Increase in Workers' Compensation, Medical Malpractice, and Casualty insurance costs.
- \$34,356 Increase in data processing charges.
- (\$185,671) Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$511,138 Increase in reimbursement from the Community Corrections Partnership (CCP).

### Revenues

- (\$91,457) Decrease in State revenue for JBCT Program.
- (\$16,000) Elimination of inmate co-payment charges.

## Program Discussion

The 2020-2021 recommended budget for Correctional Health Services (CHS) totals \$16,763,728, which is an increase of \$402,797 from the 2019-2020 adjusted budget. This change mainly reflects increases in extra-help (\$653,014) and the addition of five new positions (\$586,651), offset by completion of an EMR project in 2019-2020 (-\$295,283), salary and benefits adjustments (-\$200,409), decreases in pharmaceutical costs (-\$198,326), and a Cost Allocation Plan adjustment (-\$185,671).

### *Jail Mental Health Screening and Assessments*

Since April 2018, the CCP has approved funding for CHS to provide additional mental health services at the Jail, specifically in the booking area. Universal screening and assessment for behavioral health conditions during the Jail booking process is a component of the County's Stepping-Up Initiative effort that requires 24 hours a day, 7 days per week mental health coverage in the booking area. The initial CCP funding allowed CHS to enhance mental health staffing to cover a morning shift seven days a week, and an evening shift Monday through Friday.

Staff is responsible for conducting a brief mental health screening of all individuals at the point of booking, for early detection and identification of individuals who potentially have an undiagnosed mental illness or are an active mental health client. This initial point of contact is critical for successful intervention and possible diversion to the proper

treatment facility, thereby reducing the number of mentally-ill individuals who are actually detained.

Staff provides other services including case management, individual and group counseling, crisis management, and discharge planning services. In addition, staff follow-up with clients up to 30 days following release from Jail to ensure they have made their initial appointments, established contact with community-based organizations and/or BHS, and confirm that follow-up appointments have been set. This "warm handoff" to community providers is expected to decrease the amount of stress experienced by clients in navigating the service provider systems, improve connection to the community, and ultimately reduce recidivism. Warm handoffs to the County's Whole Person Care program have substantially increased over the past year with a focus on inmates with behavioral health issues who are homeless.

During 2019, 22,760 individuals were booked into the Jail and 11,195 mental health screenings were completed. In March 2020, the CCP approved funding for three additional Mental Health Clinicians beginning in 2020-2021, which will allow coverage to be provided 24 hours a day, 7 days per week. The new positions have been included in the recommended budget.

### *JBCT Program*

CHS continues to collaborate with the Sheriff's Office and the Department of State Hospitals (DSH) on the implementation of the JBCT Program within the San Joaquin County Jail. The program specifically addresses individuals who have received felony charges and are determined incompetent to stand trial (IST). In lieu of being held in the Jail Medical Unit while awaiting admission to a State Hospital facility, inmates are able to be referred by the Superior Court to the County JBCT Program to receive State-funded services. In addition to treatment of underlying mental health issues, individual therapy and group therapy is provided. There are currently eight participants in the program, which has a capacity to serve up to ten IST clients.

### *Specialty Courts*

CHS's Mental Health Clinicians work closely with the Superior Court's specialty courts help the judges by providing information to assist in determining how to proceed with mentally-ill inmate court cases. Clinician attendance and participation in the Collaborative Courts has been valuable to the teams and judges. The Clinicians provide the team with the current status of the inmate, medication compliance, treatment, and assistance to coordinate discharge planning with other agencies within the community. The Clinicians have enhanced the teams by bridging services within the Jail and the community partners,

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thus improving continuity of care and addressing the issue of recidivism.

CHS staff spends approximately 110 hours per month preparing for, attending, and performing follow-up assignments for the various specialty courts, including Mental Health, Parole Re-Entry, Veterans Treatment, Assembly Bill (AB) 109 Court, Compliance Court, and Drug Court.

### *Justice Administrative Grant*

In October 2019, as the result of a collaborative partnership between the District Attorney's Office (DA), Public Defender's Office, Probation Department, BHS, and CHS, the DA received a Justice Assistance Grant to continue Project Navigate Constructive Change (PNCC) to provide structured alternatives to sentencing. PNCC offers rehabilitative and restorative programming for offenders with a goal of reducing their likelihood of re-offending and increasing client capacity to be productive members of society. The overall goal of PNCC and the enhanced partnerships is to reduce violent crime and increase public safety. The grant provides funding for CHS to support a full-time Clinician to work within the Jail and partner with the other County departments. An additional Mental Health Clinician position was added to the CHS budget during 2019-2020 to support PNCC.

### *Medication Assisted Therapy (MAT)*

MAT uses medications in combination with counseling and behavioral therapies for the treatment of substance use disorders. CHS started a MAT program in October 2017 as a pilot program for inmates with co-occurring mental health and opioid use disorders. Although several individuals were identified as potential program candidates, many inmates refused treatment and were not ready to address issues of addiction. However, CHS had its first successful MAT patient in February 2020. The patient has since been released from custody and connected with community resources such as SJGH and Community Medical Centers (CMC). MAT services continue to be offered to all inmates seeking help with substance abuse disorders.

### *Peer-to-Peer Program*

The Peer-to-Peer Program is designed to reduce the level of aggressive incidents and racial segregation in the units and to develop skills to promote improved relationships, communication, and potential job skills for future employment. The concept of the Program is to identify inmates of various racial backgrounds and train these individuals as Peer Trainers. The Peer Trainers address various issues that may arise in the unit, while also role modeling that all racial groups can work together to resolve

tension. CHS continues to expand this highly successful and innovative program and has implemented the Program beyond the initial pilot unit. Since initial implementation in January 2018, the success rate of completing the highly intensive 8-week program is at 82% (18 of 22 participants completed the program), with an overall 68% decrease of incidents that would have been noted as aggressive and/or violent in the custody units. After their release, program participants are required to donate community service time as a condition of their peer contract. The Program was presented at the annual American Jail Association Conference in May 2019.

The Peer-to-Peer Program is branching into development of a speaker's bureau with the goal of reaching out to schools to educate students regarding the impact of negative choices. The targeted goal for the Program known as HELP US, is to outreach to junior high schools in hope of intervening before students make choices that will associate them with the juvenile justice system. The curriculum is being developed by the Peer Trainers and speakers include those who have graduated from the program and successfully re-entered into the community.

### *HOPE Program - Administrative Segregation Project*

In March 2019, CHS piloted the HOPE Program in order to assist inmates identified as having mental health issues, but who were unable to program successfully within the general Jail population or were placed in Administrative Segregation due to capacity issues in the Sheltered and Medical Housing units. The goal of the Program is to reduce behavioral issues and improve the inmate's ability to participate in programs and services that are available in other locations throughout the Jail, while also decreasing Administrative Segregation placements for frequent users and increasing medication/treatment compliance.

Participants involved in the HOPE Program are seen on a weekly basis by a Mental Health Clinician and receive incentives for participating and meeting program requirements. This Program is no longer a pilot and has been fully implemented in both Administrative Segregation units, with the goal of expanding to the Sheltered Housing unit. As of March 2020, 68 participants have successfully completed the Program.

Due to the success of the HOPE Program and how well it was received by participants, an in-custody art project contest was created. Participants from both the Peer-to-Peer and HOPE Programs are encouraged to submit artwork, which is evaluated and displayed in the Main Medical Administration Clinic. Submissions are divided into two categories: artwork and poetry. The entries are judged and a winner in each category receives an award and certificate of recognition. Due to the positive reception, CHS plans to

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continue conducting quarterly contests and open it up to all inmates.

### *Accreditation Study*

The National Commission on Correctional Health Care (NCCHC) is the only organization dedicated solely to improving health care in jails, prisons, and juvenile confinement facilities. In April 2019, CHS received funding from the CCP to support an accreditation study by the NCCHC. The NCCHC evaluation was completed in 2019 and included recommendations to increase psychiatric services to include a full-time Psychiatrist, as well as an increase in dental services. The recommended budget allocates funding for a dedicated full-time Psychiatrist provided by Behavioral Health Services, and increases dentist hours from three days per week to a Monday-Friday schedule. A full-time Dental Assistant position is included in the recommended budget to support the increase in dentist hours.

### *Dental Services – Juvenile Justice Center*

CHS has historically paid for the use of a dental facility, a dentist, and a dental assistant to provide dental care. CHS recently negotiated an agreement with CMC to provide these services for Juvenile Justice Center youth and CMC will bill Denti-Cal for those that qualify. Savings are expected as most of the youth should qualify for Denti-Cal coverage. Savings will allow CHS to increase dental time at the Jail and provide clinic services five days per week.

## Supplemental Requests

The Health Care Services Director is requesting supplemental funding of \$232,492 for the following:

### *Dental Assistant*

Dental services are currently offered three days per week at the Jail. In accordance with the NCCHC recommendations, CHS intends to expand availability to a Monday-Friday schedule by increasing the number of budgeted extra-help dentist hours. It is requested that a full-time Dental Assistant position be added to support the additional dental services. Annual cost of the position is \$71,856.

### *Staff Nurse*

Assembly Bill 45 became effective on January 1, 2020, prohibiting jails from charging inmates a copayment for inmate-initiated medical appointments or fees for providing medically-necessary equipment or supplies. CHS previously collected a \$3 fee for triage visits, which generated a nominal amount of revenue for CHS, but also provided a deterrent against unnecessary requests. However, since the fee was removed, inmate triage requests have nearly doubled, increasing from approximately 36 per day before January to nearly 68 per day in March. An additional Staff Nurse position is requested to accommodate the increased volume of requests. Annual cost of the position is \$160,636.

The recommended budget has been augmented by \$232,492 in order to address these requests.

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### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Health Assessments	22,186	18,930	21,850	27,420	28,900
Kitchen Clearances	6,866	5,810	7,422	8,990	9,340
Registered Nurse Triage	13,340	12,070	13,440	14,757	15,232
<b>Sick Call</b>					
<i>Mental Health Sick Call</i>	1,599	1,721	2,966*	4,270	4,322
<i>Provider Sick Call</i>	3,136	2,880	2,125	2,292	2,310
<b>Clinic Visits</b>					
<i>Inmates to CHS Clinics</i>	2,655	2,669	2,561	2,658	2,753
<i>Inmates to Offsite Clinics</i>	805	948	1,512	1,385	1,429
<b>Hospital Visits</b>					
<i>Emergency Room Visits</i>	204	280	481	569	575
<i>Hospitalized Inmates</i>	93	84	78	94	97
<i>Number of Days Hospitalized</i>	280	332	251	324	352
<b>Mental Health</b>					
<i>Brief Jail Mental Health Screen</i>	N/A	N/A	998	12,295*	15,000
<i>MH Clinician Assessments</i>	554	554	1,467	3,235	3,500
<i>MH Clinician Individual Therapy</i>	1,233	840	1,007	1,115	1,200
<i>MH Clinician Group Therapy</i>	2,181	1,065	769	447	800
<i>MH Clinician Collaborative Court</i>	N/A	3,603	2,087	1,957	2,000
<i>Psych. Tech./LVN Intake Assess.</i>	2,534	3,399	15,985*	23,083	24,000
<b>Pharmacy</b>					
<i>Medication Passed</i>	272,226	278,115	824,576**	915,766	927,505
<i>Prescriptions Filled</i>	37,151	38,664	44,875	47,693	48,032

\*Reflects increased capacity to perform mental health services due to AB 109 funding.

\*\*New system for tracking medication implemented in 2018-2019.

# Summary

## All Probation Budgets

Steve C. Jackson, Chief Probation Officer

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$39,682,742	\$48,125,884	\$49,373,930	\$49,373,930	\$1,248,046
Services & Supplies	17,611,737	26,016,179	26,794,854	26,794,854	778,675
Centrally-Budgeted Expenses	3,658,296	5,531,347	6,748,259	6,748,259	1,216,912
Other Charges & Uses	894,901	1,410,404	1,410,204	1,410,204	(200)
Capital Expenditures	351,871	788,077	875,000	875,000	86,923
Operating Transfers Out	9,831,922	6,466,959	6,891,275	6,891,275	424,316
<i>Total Expenditures</i>	<i>\$72,031,469</i>	<i>\$88,338,850</i>	<i>\$92,093,522</i>	<i>\$92,093,522</i>	<i>\$3,754,672</i>
Expenditure Reimbursements	(5,794,230)	(6,833,982)	(7,547,013)	(7,547,013)	(713,031)
<i>Total Appropriations</i>	<i>\$66,237,239</i>	<i>\$81,504,868</i>	<i>\$84,546,509</i>	<i>\$84,546,509</i>	<i>\$3,041,641</i>
<b>Earned Revenues By Source</b>					
Fines/Forfeitures/Penalties	\$9,483	\$9,193	\$12,248	\$12,248	\$3,055
Interest/Rents	609,048	563,906	764,972	764,972	201,066
Aid From Other Governments	51,482,134	51,658,706	54,224,273	54,224,273	2,565,567
Charges For Services	228,702	289,891	339,775	339,775	49,884
Miscellaneous Revenues	3,707	3,924	2,800	2,800	(1,124)
Operating Transfers In	480,906	630,139	1,127,288	1,127,288	497,149
Fund Balance	241,052	888,493	1,389,760	1,389,760	501,267
<i>Total Revenues</i>	<i>\$53,055,032</i>	<i>\$54,044,252</i>	<i>\$57,861,116</i>	<i>\$57,861,116</i>	<i>\$3,816,864</i>
<b>Net County Cost</b>	<b>\$13,182,207</b>	<b>\$27,460,616</b>	<b>\$26,685,393</b>	<b>\$26,685,393</b>	<b>(\$775,223)</b>
<b>Staffing</b>					
Allocated Positions	350.0	357.0	357.0	357.0	0.0
Temporary (Full-Time Equivalent)	22.1	25.5	27.6	27.6	2.1
<i>Total Staffing</i>	<i>372.1</i>	<i>382.5</i>	<i>384.6</i>	<i>384.6</i>	<i>2.1</i>

This is a summary of the budgets administered by the Probation Department:

- 2021200000 Pretrial Services
- 2022700000 Juvenile Probation
- 2022700190 Juvenile Justice- Assembly Bill (AB) 109
- 2022702000 Adult Probation
- 2022702300 Senate Bill 678
- 2022702510 Local Community Corrections- AB 109
- 2022710000 Justice Assistance Grant
- 2022745000 Administration
- 2022785000 Juvenile Supplemental Law Enforcement Act
- 2022800000 Juvenile Detention



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### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Probation Department focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

#### Ensure Fiscal Responsibility

The Probation Department demonstrates sound fiscal responsibility. The Department accurately estimates outside revenue streams and provides fiscal oversight to monitor expenditures to remain in compliance with department budgets. Additionally, vacancies are actively managed to reflect forecasts for outside revenue streams that may negatively impact the Department. For the past seven out of eight years, the Department has returned unspent funds to the County General Fund. Additionally, many processes have been established to increase transparency and accountability in the services provided as well as provide fiscal and programmatic oversight. The Department is committed to data-driven decision-making and regularly collects data and publishes reports to measure the outcomes of the services it provides.

#### Promote Good Governance and Increase Organizational Capabilities

Through initiatives, such as Assembly Bill (AB) 109, the Positive Youth Justice Initiative (PYJI), and Reducing Racial and Ethnic Disparities (RRED), the Department actively collaborates with a variety of stakeholders that include public and private agencies, community and faith-based organizations, as well as youth, families, and the formerly system involved. This multiprong collaborative approach assists in transparency and inclusion, two principles valued by the Department, which improve the services provided to clients. While outside funding for PYJI and RRED has ended, the Department continues to sustain the principles throughout and facilitates the Executive Steering Committee to continue collaboration with stakeholders.

In 2019-2020, the Department placed the Administrative Manual and Detention Manual on the Department's website. Additionally, the Department increased its use of social media to further engage and educate the community on services provided.

### Improve Public Safety, and Enhance Overall Criminal Justice System

The Department continues to improve public safety and enhance the overall criminal justice system by its commitment to employing the eight Principles of Effective Interventions in the services provided. These principles have been proven to be effective at reducing recidivism. The specific strategies the Department performs in these areas are:

1. **Assessing Actuarial Risk** - The Department utilizes a variety of validated risk and need assessment instruments to ensure the provision of services to those individuals at the highest risk of committing future crimes. Research has shown that services should be prioritized to the highest-risk offenders, as providing services to low-risk offenders can actually increase recidivism. When dealing with juvenile offenders, the Department uses the Detention Risk Assessment Instrument, the Positive Achievement Change Tool, the Massachusetts Youth Screening Instrument, and the Juvenile Sex Offense Recidivism Risk Assessment Tool H. When dealing with adult offenders, the Department uses the Virginia Pretrial Risk Assessment Instrument (VPRAI), the Static Risk and Offender Need Guide (STRONG), the Static Sex Offender Risk Assessment Instrument and the Ontario Domestic Assault Risk Assessment (ODARA). In 2019-2020, the Department contracted with the Crime and Justice Institute to norm and validate the VPRAI. The Department will implement these changes in 2020-2021.
2. **Enhancing Intrinsic Motivation** - The majority of Probation Officers are trained in motivational interviewing, which is a style and method of communication used to help people overcome their ambivalence regarding behavior change. Additionally, the majority of Probation Officers are trained in Effective Practices in Community Supervision (EPICS), where Officers teach structured social learning and cognitive behavioral interactions in one-on-one interactions with the client. Monthly EPICS sessions are structured with a check-in, review, modeling an intervention, and giving homework.

In 2020-2021, the Department will continue to train new Officers, and provide booster trainings to current Officers on motivational interviewing and EPICS. Currently, the Department has in-house trainers for motivational interviewing. Both of these trainings include a component where interactions with clients are audiotaped, so the tape can be reviewed and the Officer can receive coaching on their use of the strategy.

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In 2020-2021, the Juvenile Detention Officers will continue to undergo training on Core Correctional Practices (which is the institution version of EPICS) and the Department will continue to train all Juvenile Detention Officers on motivational interviewing.

3. **Targeting Interventions** - This includes the principles of risk, need, responsivity, dosage, and treatment. The Department provides more intensive supervision services to high-risk offenders and provides interventions that target their top three criminogenic needs. The goal is to match treatment to the type of client, and matching style and methods of communication with the client's stage of change readiness. High-risk clients should attend 200 hours of Cognitive Behavioral Treatment (CBT) classes to effectively reduce recidivism. CBT focuses on current risk/need factors and is action oriented, using a proactive and strategic approach to supervision and case planning.

The Department will continue refining and expanding the Programming Passport, a catalog of evidence-based programming services, so that all clients are receiving the required number of hours.

4. **Skill Train with Directed Practice** - All Probation Officers are trained in at least one cognitive behavioral intervention and facilitate formal groups with clients as well as use these skills in routine interactions with the clients. The skills taught to clients are role-played so the client can practice pro-social skills.

In 2020-2021, the Department will continue to train new Officers in CBT curricula and continue to work with the University of Cincinnati Correctional Institute to review and make necessary changes to the curriculum offerings.

5. **Increasing Positive Reinforcement** - The Department follows a Rewards Matrix that provides positive reinforcement to clients when they display pro-social attitudes and behaviors. Conversely, the Department follows a Sanctions Matrix, which provides swift, certain, and clear responses to violations of probation. This Matrix takes into account the risk level of the clients, the severity of the violation, and provides a range of responses to match the client's individual needs.

In 2020-2021, the Department will continue to review the Rewards and Sanctions Matrices for juvenile and adult offenders to ensure the rewards being offered are resulting in positive outcomes.

6. **Engage Ongoing Support in Natural Communities** - The Department partners with various community-based organizations to actively engage pro-social

support for clients in their communities. These agencies actively recruit and partner with family members, spouses, and other supportive members in the client's immediate environment to positively reinforce desired new behaviors. These community-based organizations provide clients with the opportunity to become involved in a variety of pro-social activities in the community.

ICan (the Innovative Care for Adolescent Needs system) will be expanded to further integrate data from other stakeholders as well as expand the matching component for short-term residential treatment programs. This innovative solution will better serve foster youth in the juvenile delinquency and dependency systems.

7. **Measure Relevant Processes and Practices** - The Department assesses offender change in cognitive and skill development and evaluates offender recidivism. The Department is committed to using data to drive decision-making and regularly conducts a variety of evaluation studies to measure the impacts of efforts at reducing recidivism. The Department has implemented a Continuous Quality Improvement (CQI) process to ensure employee performance is being assessed in regards to facilitating groups and ensuring program fidelity.

In 2020-2021, the Department will continue the CQI process to ensure all Probation Officers are showing proficiency at facilitating CBT groups. These findings are presented on a dashboard that shows the proficiency of Officers at the unit, division, and department level.

8. **Provide Measurement Feedback** - This principle includes: 1) providing feedback to clients regarding their progress; 2) monitoring and evaluating the delivery of services and fidelity to procedures to build accountability and maintain integrity to the Department's mission; and 3) performing regular performance audits and case reviews to keep staff focused on the goal of reducing recidivism through the use of evidence-based programming (EBP).

In 2020-2021, the Department will continue to work on these principles. While regular performance audits and case reviews are currently conducted, the Research and Evaluation Unit will continue to assist the Department in this area by creating electronic processes and reports that will provide consistency and efficiency.

The Department anticipates these enhancements to service delivery will occur with current funding streams; however, if one of those funding streams is significantly reduced or eliminated, it would hinder the

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Department's ability to further these goals. Research has shown that following the above-stated principles is effective at reducing recidivism. Through the implementation of EBP, the Department is successful at changing clients' behavior, which reduces recidivism and increases public safety.

### Homelessness

In 2020-2021, as part of the Board of Supervisors' operational priority, the Department is developing strategies to measure outcomes and provide community outreach and collaboration for the homeless population.

1. **Track the number of homeless clients on probation on a monthly basis** - As of March 2020, the Department identified 118 homeless adult clients out of 1,927 adult clients on active field supervision caseloads. As of the same date, there are no homeless juvenile clients.
2. **The number of homeless clients engaged on a monthly basis** - The Department will engage with homeless clients by: 1) developing a pamphlet and referral of services to handout to those clients experiencing homelessness; 2) partner with other social services departments to provide services to homeless clients; 3) take supervision efforts to the homeless; 4) visiting homeless camps, shelters, food banks, and other low-barrier housing; 5) rely less on having homeless clients report to the office; 6) establish less intimidating places to report to department officers; and, 7) work with the Collaborative Courts to identify other resources for homeless veterans and mentally-ill clients that are identified through this process.
3. **Report the number of homeless clients who report an address on a monthly basis** - In 2020-2021, the Department will measure and report each month the number of homeless clients versus those homeless clients who have obtained housing. The Department's case management system (Caseload Explorer and Juvenile Justice Information System) has been updated to capture this data from clients. The Department will use the outcome data to determine success.

### Proposition 172

In 1993, Proposition 172 established a permanent Statewide half-cent sales tax to provide funding for qualifying public safety programs. Funds are collected by the State Board of Equalization and apportioned to each county based on its proportionate share of Statewide taxable sales.

AB 2788 created a Maintenance of Effort (MOE) provision requiring cities and counties to maintain funding levels to public safety programs, which receive Proposition 172 funds. AB 2788 also established 1992-1993 as the MOE base year and required an adjustment to MOE levels based on the annual change in Proposition 172 revenues. In 1992-1993, the total for all qualifying budgets in San Joaquin County was \$68,997,254 (base year).

The current program allocation formula for the County was established by the Board of Supervisors on May 24, 1994 (B-94-613), which allocates Proposition 172 revenue to the Sheriff (68.1%), Probation (13%), District Attorney (11%), and the Public Defender/Court Assigned Counsel (7.9%). During 2019-2020, the County Administrator's Office, working with Law and Justice departments, reviewed the current program allocation formula and determined the percentage of allocation distributed to each department was appropriate and consistent with the Proposition's intent.

In 2019-2020, the MOE for all qualifying public safety programs was \$111,828,837. The County's adjusted AB 2788 Certification for 2019-2020 was \$243,243,658. Overall, the General Fund provided overmatching funds of \$131,414,821 to all qualifying public safety programs. Not all budgets are included for each public safety department.

The Probation Department's portion of the MOE was \$18.0 million. The chart below illustrates that County General funds provided approximately \$19.6 million above the required MOE for 2019-2020.

The County Administrator's Office is reviewing the COVID-19 pandemic's impact on Proposition 172 funding. Since sales tax revenue is not received until two months after the funds are collected by the State, revised projections are uncertain at this time. The loss of funding for every 5% decrease equals approximately \$3.4 million.

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### Public Safety Fund (Proposition 172) - AB 2788 Maintenance of Effort

Probation	2019-2020 Adopted	AB 2788 Adjustments				Adjusted AB 2788	1992-1993 Base Year	Prop 172 MOE	Overmatch
		State/Federal Grant	Charges for Service	Trust Fund	Fixed Assets				
Pretrial	\$1,359,909	\$0	\$0	\$0	\$0	\$1,359,909	\$486,729	\$788,877	\$571,032
ADAP*	385,870	0	0	0	0	385,870	180,223	292,100	93,770
Juvenile	5,750,731	(836,827)	(5,700)	0	0	4,908,204	2,791,363	4,524,164	384,040
Adult	8,874,282	(446,319)	(750)	(60,000)	0	8,367,213	2,464,566	3,994,500	4,372,713
Administration	5,853,122	(1,993,623)	0	(70,139)	0	3,789,360	899,269	1,457,510	2,331,850
Juvenile Hall	19,779,368	(1,075,623)	0	0	0	18,703,745	4,257,141	6,899,856	11,803,889
<b>Total</b>	<b>\$42,003,282</b>	<b>(\$4,352,392)</b>	<b>(\$6,450)</b>	<b>(\$130,139)</b>	<b>\$0</b>	<b>\$37,514,301</b>	<b>\$11,079,291</b>	<b>\$17,957,007</b>	<b>\$19,557,294</b>

\*ADAP Program was consolidated in Substance Abuse Services Budget #4040600000 on July 1, 2018

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Steve C. Jackson, Chief Probation Officer

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Salaries &amp; Benefits</i>	\$6,121,258	\$6,608,818	\$6,662,578	\$6,662,578	\$53,760
<i>Services &amp; Supplies</i>	926,146	1,082,126	999,005	999,005	(83,121)
<i>Centrally-Budgeted Expenses</i>	212,409	325,819	617,314	617,314	291,495
<i>Other Charges &amp; Uses</i>	209,785	210,104	210,104	210,104	0
<i>Capital Expenditures</i>	0	36,000	0	0	(36,000)
<i>Operating Transfers Out</i>	4,491,897	0	154,452	154,452	154,452
<i>Total Expenditures</i>	\$11,961,496	\$8,262,867	\$8,643,453	\$8,643,453	\$380,586
<i>Expenditure Reimbursements</i>	(2,252,824)	(2,240,143)	(2,019,109)	(2,019,109)	221,034
<i>Total Appropriations</i>	\$9,708,672	\$6,022,724	\$6,624,344	\$6,624,344	\$601,620
<b>Earned Revenues By Source</b>					
<i>Interest/Rents</i>	\$5,167	\$0	\$3,000	\$3,000	\$3,000
<i>Aid From Other Governments</i>	8,682,252	4,487,138	4,591,639	4,591,639	104,501
<i>Charges For Services</i>	5,062	5,700	5,700	5,700	0
<i>Miscellaneous Revenues</i>	458	0	0	0	0
<i>Operating Transfers In</i>	0	0	154,452	154,452	154,452
<i>Fund Balance</i>	95,780	0	111,019	111,019	111,019
<i>Total Revenues</i>	\$8,788,719	\$4,492,838	\$4,865,810	\$4,865,810	\$372,972
<b>Net County Cost</b>	\$919,952	\$1,529,886	\$1,758,534	\$1,758,534	\$228,648
<b>Staffing</b>					
<i>Allocated Positions</i>	50.0	49.0	49.0	49.0	0.0
<i>Temporary (Full-Time Equivalent)</i>	6.0	6.7	6.0	6.0	(0.7)
<i>Total Staffing</i>	56.0	55.7	55.0	55.0	(0.7)

### Purpose

The Juvenile Division of the Probation Department serves and assists the Court in matters concerning the supervision of juvenile offenders and performs other investigations as ordered. Actions are mandated by various sections of the Welfare and Institutions Code.

The Juvenile Division consists of the following units: Assessment, Investigations, County Supervision, Placement, Central Support, Project 654, and Community Accountability and Prevention Services (CAPS).

The Juvenile Justice-Assembly Bill (AB) 109 budget (#2022700190) is a newly established budget unit in

2020-2021. This Special Revenue Fund budget receives Probation's share of the monthly allocation from the State AB 109 2011 Realignment Fund designated to mitigate costs associated with the supervision of youth who return to the County after confinement in a California Department of Corrections and Rehabilitation (CDCR) institution.

Probation uses this funding to reimburse the Juvenile Probation general fund budget (#2022700000) for the cost of a Probation Officer III who is assigned to the Juvenile Re-entry caseload within the Juvenile Probation Division. If this funding source is depleted, the Department will secure alternative funding or absorb the cost in the Juvenile Probation budget.

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This narrative combines budgets for Juvenile Probation (#2022700000) and Juvenile Justice-AB 109 (#2022700190).

### Major Budget Changes

#### Salaries & Employee Benefits

- \$126,196 Salary and benefits adjustments.
- (\$57,212) Decrease in extra-help.
- (\$15,224) Decrease in overtime.

#### Services & Supplies

- \$15,660 Increase in Child and Family Team program costs.
- \$15,000 Increase in Youth Reinvestment Grant program costs.
- (\$31,365) Decrease in contract service costs.
- (\$24,700) Decrease in juvenile placement costs.
- (\$20,000) Decrease in training costs.
- (\$12,329) Decrease in communication costs.
- (\$10,340) Decrease in program evaluation costs.

#### Centrally-Budgeted Expenses

- \$44,893 Increase in Workers' Compensation and Casualty insurance costs.
- \$40,362 Increase in data processing charges.
- \$9,720 Increase in radio maintenance charges.
- \$196,517 Cost Allocation Plan adjustment.

#### Operating Transfers Out

- \$154,452 Reimbursement for costs associated with a Probation Officer assigned to Juvenile Re-entry caseload.

#### Expenditure Reimbursements

- (\$150,585) Decrease in reimbursement from Juvenile Re-entry grant due to a change in accounting.
- (\$77,399) Decrease in reimbursement from the Youthful Offender Block Grant (YOBG) Trust Fund.

#### Revenues

- \$188,949 Increase in Proposition 172 funding.
- \$154,452 Increase in reimbursement from Juvenile Re-entry grant for costs of a Probation Officer assigned to Juvenile Re-entry caseload.
- \$111,019 Increase in use of Fund Balance.
- \$40,433 Increase in State Realignment-AB 109 funding.
- \$30,899 Increase in revenue from the San Joaquin County Office of Education (SJCOE) for costs associated with the National Guard Discovery Challenge Academy and Project 654.
- (\$94,873) Decrease in State realignment tax funding.
- (\$18,655) Decrease in Title IV-E revenue.
- (\$15,302) Decrease in revenue from the AB 403 Foster Parent Recruitment, Retention and Support (FPRRS) Fund.
- (\$14,480) Decrease in revenue from the Continuum of Care Reform due to reduced costs associated with Child and Family Team expenditures.
- (\$13,519) Decrease in revenue from YOBG.

### Program Discussion

The 2020-2021 recommended budget for Juvenile Probation and Juvenile Justice- AB 109 budgets total \$8,643,453, which is an increase of \$380,586 from the 2019-2020 adjusted budget. This change primarily reflects salary and benefits adjustments (\$126,196), a Cost Allocation Plan adjustment (\$196,517), and reimbursement for costs of a Probation Officer from the Juvenile Justice AB 109 budget (\$154,452), partially offset by decreases in extra-help (-\$57,212) and contract service costs (-\$31,365).

#### Assessment Unit

Officers in the Assessment Unit are responsible for conducting an assessment of all out-of-custody citations received from local law enforcement. As a result of the Positive Achievement Change Tool risk assessment, youth will be diverted out of the system or referred to Court. The Unit also oversees the informal probation program, and when necessary, refers cases to the prosecuting attorney for the

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filing of a petition with the Juvenile Court. Officers are required to develop a case plan to identify the youth and his/her family's strengths and needs, and to identify services to address those needs.

### Investigations Unit

Officers in the Investigations Unit prepare a Social History Report and make recommendations to the Court regarding the disposition of juvenile cases. Officers are required to develop a case plan (or build upon an existing case plan) to identify the youth and his/her family's strengths and needs, and to identify services to address those needs. The Officers also conduct investigations when a youth appears to fall into Welfare and Institutions Code (WIC) Section 300, (dependency) and Section 602 (delinquency) systems and prepares a 241.1 WIC report for the Court with recommendations to determine which system will best address the youth's needs. The Court may order the Investigations Unit to complete other supplemental reports specific to a case per Section 281 WIC. The Unit is responsible for Deferred Entry of Judgment and Supplemental Reports as ordered by the Court, as well as Transfer Reports as required under Proposition 57.

### County Supervision Unit

Supervision services for youth on probation are provided by the County Supervision Unit. The Unit supervises youth who are adjudged wards of the Juvenile Court or placed on informal probation or Deferred Entry of Judgment. These Probation Officers provide delinquency prevention, crisis intervention, and supervision services. Officers from the Unit are housed at police departments in Lathrop, Lodi, and Manteca, as well as at the Juvenile Division Office. Officers are responsible for assessing and reassessing youth using a validated risk assessment and developing and updating corresponding changes to the youth's case plan. Officers monitor compliance with the case plan and conditions of probation/court orders and file violations of probation when necessary. The Unit continues to participate in Peacekeeper forums and multi-agency operations targeting gangs and gang violence.

### Placement Unit

The Placement Unit is mandated to provide for the care, custody, and control of a youth, if at disposition, the youth is ordered removed from their parent/guardian's custody and placed into foster care. This mandate requires the Unit to be responsible to consider out-of-State placement when it has been determined there is not an appropriate foster care placement program in California, and to provide to the Court regular Permanency Planning Reports. A Probation Officer meets face-to-face with each youth in a Short-Term Residential Treatment Program (STRTP) or other out-of-

home placement and with the parent/guardian on a monthly basis. When the youth returns from foster care or a STRTP, the Officer provides weekly supervision as the youth transitions back into the community. Youth in the Family Visions Wrap-Around Program have foster care or STRTP orders, but are allowed to remain in the home while receiving intensive case management and mental health services.

Since AB 12 went into effect on January 1, 2012, youth may now choose to stay in foster care voluntarily up until the age of 21 as "Non-Minor Dependents" as long as the criteria for such benefit is met. The Placement Unit Officers monitor the youth who choose to participate in AB 12 until the age of 21.

AB 403, also known as Continuum of Care Reform, went into effect on January 1, 2017, and requires probation departments to make efforts to reduce the number of youth in out-of-home placement by placing them in foster homes - Resource Families. Additionally, there are group homes that remain in the certification process of being converted to STRTP, with the goal of having youth transition out of the STRTP to a lower level of care within six months.

During 2015-2016, the Department was awarded funds as part of the FPRRS program to recruit resource families, non-relative extended family members, licensed foster parents, and relative caregivers. This funding was proposed to be eliminated in 2019-2020, but has been extended through 2020-2021.

### Central Support Unit

The Central Support Unit provides clerical support to the Juvenile Division. Staff establishes and maintains case files utilizing the Juvenile Justice Information System, processes Violations of Probation and Bench Warrants, compiles probation reports for Juvenile Court, and scans numerous documents into the Division's case management system.

### Project 654

Since 1994-1995, the Probation Department and the SJCOE have administered a cooperative program through its community schools program for at-risk youth. The program, Project 654, provides probation services to juveniles in an educational setting. SJCOE reimburses the Department for the cost of services.

### Community Accountability and Prevention Services (CAPS)

The CAPS program targets at-risk youth in the community and in schools before they enter the juvenile justice system. The program includes three components:

- **Crossroads Program** – Social Workers and community-based organizations provide evidence-

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based early intervention and prevention programs to at-risk youth to help them become independent and successful while preventing self-destructive and socially-negative behavior, such as substance abuse, delinquency, and family dysfunction.

- **Community Accountability Boards (CAB)** – Targets at-risk youth having behavioral or disciplinary issues at school. CABs are comprised of community volunteers and school representatives who assess the present issue, determine an appropriate behavioral contract, and provide ongoing support, mentoring, and follow through with the terms of the contract. Staff trains and assists interested schools/communities in establishing a CAB at their site.

**Youth Reinvestment Grant** – In 2019-2020, a new project, the San Joaquin Juvenile Deflection Project, made it possible for local schools and law enforcement agencies to deflect juveniles away from deepening engagement into the juvenile justice system and into community programming as an alternative to arrest. The program is open to children and youth under the age of 18, regardless of prior arrest history. The program will serve 60 to 80 youth annually, with a targeted goal of serving 250 youth over the grant period beginning July 1, 2019 through February 28, 2023. Participants will be reflective of current arrest trends, with 75% being male. Target incidents for referrals include both misdemeanor and low-level felony offenses, including some theft and property crimes as well as some low-level violence or weapons offenses.

### **National Guard Discovery Challenge Academy**

The SJCOE contracts with the Probation Department for a full-time Social Worker position, which is assigned to the National Guard Discovery Challenge Academy program. This program is for youth between the ages of 16 and 19 who have dropped out of high school, or are at-risk for dropping out. The program consists of a 22-week residential phase and a 12-month post-residential phase. The Social Worker works closely with students, mentors, parents, and faculty to ensure a positive and successful transition during the residential phase as well as after the cadet graduates and transitions to the next stage of their life.

### **Title IV-E Funding**

County probation departments receive Federal Title IV-E funding administered through the California Department of Social Services to support efforts that allow at-risk youth, where appropriate, to remain in the family home rather than being placed in detention or STRTP facilities. A decrease in the number of eligible youth combined with more stringent claiming requirements, has resulted in declines in revenue. The Department will continue to monitor this funding stream for further reductions.

### **Juvenile Justice AB 109**

The Juvenile Justice AB 109 Fund (#20053) provides funding to reimburse the cost of a Probation Officer assigned to the Juvenile Re-entry caseload. The beginning fund balance on July 1, 2019 was \$189,635. In 2020-2021, \$154,452 of the fund balance is designated for use for the reimbursement of the Probation Officer.



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<b>Workload Data</b>					
	2016-2017	Actual 2017-2018	2018-2019	Est./Act. 2019-2020	Projected 2020-2021
<b>Referrals</b>	2,373	2,425	2,045	1,706	1,650
<b>Referrals Diverted from System</b>	1,464	1,437	842	964	940
<b>New Wards</b>	314	311	232	209	195
<b>Investigations</b>	704	571	395	415	400
<b>Deferred Entry of Judgment/Informal</b>	106	94	113	95	100
<b>Caseload</b>					
<i>Regular Supervision</i>	82	108	87	75	70
<i>Minimum Supervision</i>	246	243	241	200	220
<i>Placement</i>	55	56	55	50	45
<i>Intensive Supervision/Family Vision</i>	26	28	30	8	20
<i>DJJ Returned Youth</i>	4	4	5	5	14
<i>Bench Warrant</i>	110	134	135	110	100
<b>Total Caseload</b>	523	573	553	448	469
<b>Community Accountability Board</b>	5	5	5	6	6
<b>Crossroads</b>	38	45	56	50	45
<b>Project 654</b>	841	997	1,028	878	900
<b>Discovery ChalleNGe Academy</b>	110	353	379	450	450
<b>Youth Reinvestment Grant</b>	N/A	N/A	N/A	12	70

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Steve C. Jackson, Chief Probation Officer

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$11,129,899	\$13,425,514	\$13,615,021	\$13,615,021	\$189,507
Services & Supplies	1,737,700	2,118,684	2,776,339	2,776,339	657,655
Centrally-Budgeted Expenses	330,497	1,024,572	802,627	802,627	(221,945)
Capital Expenditures	28,441	603,254	0	0	(603,254)
<i>Total Expenditures</i>	<i>\$13,226,538</i>	<i>\$17,172,024</i>	<i>\$17,193,987</i>	<i>\$17,193,987</i>	<i>\$21,963</i>
Expenditure Reimbursements	(1,878,776)	(2,572,176)	(3,108,917)	(3,108,917)	(536,741)
<i>Total Appropriations</i>	<i>\$11,347,762</i>	<i>\$14,599,848</i>	<i>\$14,085,070</i>	<i>\$14,085,070</i>	<i>(\$514,778)</i>
<b>Earned Revenues By Source</b>					
Fines/Forfeitures/Penalties	\$9,483	\$9,193	\$12,248	\$12,248	\$3,055
Interest/Rents	479	466	617	617	151
Aid From Other Governments	5,446,345	6,790,659	6,660,067	6,660,067	(130,592)
Charges For Services	223,639	284,191	334,075	334,075	49,884
Operating Transfers In	60,000	60,000	70,000	70,000	10,000
<i>Total Revenues</i>	<i>\$5,739,946</i>	<i>\$7,144,509</i>	<i>\$7,077,007</i>	<i>\$7,077,007</i>	<i>(\$67,502)</i>
<b>Net County Cost</b>	<b>\$5,607,815</b>	<b>\$7,455,339</b>	<b>\$7,008,063</b>	<b>\$7,008,063</b>	<b>(\$447,276)</b>
<b>Staffing</b>					
Allocated Positions	103.0	107.0	107.0	107.0	0.0
Temporary (Full-Time Equivalent)	5.3	5.8	6.6	6.6	0.8
<i>Total Staffing</i>	<i>108.3</i>	<i>112.8</i>	<i>113.6</i>	<i>113.6</i>	<i>0.8</i>

## Purpose

The Adult Probation Division serves and assists the Court in matters concerning the supervision of adult offenders and performs other investigations as ordered. The Division is comprised of the following units: Investigations, Assessment, Proposition 36, Domestic Violence Field Supervision, Minimum Supervision, Intensive Supervision, Family Focused Intervention Team, and Central Support. Funding is also received from the California Community Corrections Performance Incentive Act, otherwise known as Senate Bill (SB) 678.

The Pretrial Services Unit provides information to the Court on defendants booked at the County Jail and is a major component in the management of the Jail population. This Unit processes and screens misdemeanors for possible release on citation, and provides screenings for probable cause

hearings on all non-warrant arrests. The Unit is responsible for locating, abstracting, and adding all outstanding warrants for California Highway Patrol and Sheriff's Office bookings.

This narrative combines budgets for Adult Probation (#2022702000), Adult Probation-SB 678 (#2022702300), and Pretrial Services (#2021200000).

## Major Budget Changes

### Salaries & Employee Benefits

- \$159,901 Salary and benefits adjustments.
- \$20,700 Increase in overtime costs.
- \$8,906 Increase in extra-help.

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### Services & Supplies

- \$426,030 Increase in Case Management System upgrade costs.
- \$171,434 Increase in client monitoring costs.
- \$123,058 Increase in Pretrial Services system integration costs.
- \$31,415 Increase in security costs.
- \$20,992 Increase in fleet services costs.
- \$10,400 Increase in Domestic Violence Intervention program costs.
- \$10,000 Increase in transportation assistance costs.
- (\$55,828) Decrease in facilities lease costs.
- (\$35,000) Decrease in furniture costs.
- (\$34,743) Decrease in communication costs.
- (\$20,000) Decrease in evaluation service costs.

### Centrally-Budgeted Expenses

- \$82,649 Increase in data processing charges.
- \$63,000 Increase in Workers' Compensation and Casualty insurance costs.
- (\$363,086) Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$382,175 Increase in reimbursement from Local Community Corrections budget for Pretrial assessment and monitoring.
- \$226,456 Increase in reimbursement from the Project Navigate Constructive Change grant.
- \$208,271 Increase in reimbursement from Local Community Corrections budget for Adult Division Case Management System upgrade.
- (\$279,661) Decrease in reimbursement for the Firearms Reduction Consortium grant.

### Revenues

- \$186,903 Increase in Proposition 172 funding.
- \$49,884 Increase in charges for services.
- \$10,000 Increase in reimbursement from the Sheriff's Office for Delta Regional Auto Theft Team.
- (\$281,394) Decrease in reimbursement from the California Community Corrections Incentive Act Trust Fund.
- (\$21,801) Decrease in Superior Court Driving while Under the Influence (DUI) grant funding.
- (\$11,801) Decrease in Federal DUI grant funding from California Office of Traffic Safety.

## Program Discussion

The 2020-2021 recommended budget for Adult Probation and Pretrial Services totals \$17,193,987, which is an increase of \$21,963 from the 2019-2020 adjusted budget. This increase primarily reflects salary and benefits adjustments (\$159,901), increases in overtime costs (\$20,700), Case Management System upgrades (\$426,030), client monitoring (\$171,434), Pretrial Services system integration (\$123,058), data processing (\$82,649), Workers' Compensation, and Casualty insurance costs (\$63,000), partially offset with the anticipated completion of the remodel of the Adult Day Reporting Center in 2019-2020, (-\$603,254), a Cost Allocation Plan adjustment (-\$363,086), and facility lease costs (-\$55,828).

### Adult Division

The Adult Division is comprised of the following functional units:

- **The Investigations Unit** - prepares pre-plea and pre-sentence reports prior to sentencing felons and occasional misdemeanants, as well as Drug Court Reports and STATIC-99R (sex offender) assessments. The Unit contains a Probation Officer who represents the Department in Court for Violation of Probation calendars. Conditional Restitution staff correspond with victims and submit restitution orders to the Court when a client receives a conditional sentence or is sentenced to State prison.

The Unit manages the Proposition 63 Program (enacted in January 2018), which mandates the Department to investigate all defendants convicted of felonies and

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specified misdemeanors to determine if there are firearms registered to them by checking the Automated Firearms System. The Unit is also responsible to collect a Prohibited Persons Relinquishment form for all defendants who do not have registered firearms as well as those who have relinquished their registered firearms verifying the firearms have been relinquished and the Automated Firearms System updated. The Unit also prepares a report for the Court indicating whether the defendant has complied with the Proposition 63 requirements and provides appropriate paperwork to the Department of Justice resulting in a significant increase in workload. An Officer and two Probation Assistants staff the Unit.

- **The Assessment Unit** - processes all new grants of formal probation, with the exception of Proposition 36 cases, and processes incoming jurisdictional transfer cases. Officers assess the client's risk to re-offend by determining the appropriate level of supervision; the terms and conditions of their probation, determine restitution, and conduct victim lethality assessments, via the Ontario Domestic Assault Risk Assessment. An Officer is assigned to the County Jail to provide assessments to clients in custody that ultimately may assist them in transitioning back into the community.
- **The Proposition 36 Unit** - works collaboratively with Substance Abuse Services, Superior Court, District Attorney, and Public Defender to meet the State mandate of diverting drug offenders from incarceration and providing drug treatment services. Officers inform the Court of the client's progress. Although the Department no longer receives Proposition 36 revenue, services remain a State-mandated function.
- **The Minimum Supervision Unit** - provides an appropriate level of supervision to clients who score moderate or low-risk to re-offend, or have been transferred to a lower level of supervision after demonstrating satisfactory adjustment while on a higher level of supervision. Officers use Offender-Link, a telephone reporting and case management system, as a tool for efficient caseload management and increased client compliance.

The Unit contains the Administrative Bank caseload for cases where the client has satisfactorily completed all terms and conditions of probation but still owes victim restitution. This caseload also has clients whose jurisdiction remains in the County, but are being supervised by another State.

The Unit has the unsupervised caseload, which is comprised of clients who score as low-risk, with the exception of domestic violence, child abuse, elder

abuse, and sex offenses. The Unit also monitors clients with active warrants and misdemeanor offenders that owe outstanding restitution but are not being provided supervision services.

- **The Intensive Supervision Unit** - provides enhanced supervision to clients on probation for sex-related offenses. The sex offenders are assessed using the State-mandated STATIC-99R risk assessment. Clients that score high-risk are monitored via Global Positioning System (GPS). As an added measure of accountability, some sex offenders may also be placed on OffenderLink. In addition to attending sex offender treatment, clients participate in Programming Passport to address their criminogenic needs.

The Unit has an Officer assigned to the Delta Regional Auto Theft Team, a collaboration of law enforcement agencies performing proactive activities to arrest auto theft offenders and combat auto thefts in the County.

The Unit has two Office of Traffic Safety grant-funded DUI Officers. One of these Officers provides supervision services to felony and repeat misdemeanor DUI clients and attempts to reduce the number of clients having outstanding bench warrants by conducting bench warrant service operations in collaboration with local law enforcement agencies. The second Officer is funded by a grant overseen by the Superior Court. This Officer works in close collaboration with the court case managers and attends weekly court sessions to monitor progress and program completion for clients.

- **The Domestic Violence Field Supervision Unit** - is responsible for certifying domestic violence treatment providers and monitoring their compliance. Officers monitor attendance and completion of the mandated 52-week Batterer Intervention Programs and provide supervision services to domestic violence clients. The Officers conduct monthly office and home visits to monitor compliance with court-ordered conditions of probation. The Unit contains an Officer who represents the Department in court for Violation of Probation calendars. All clients supervised by the Unit attend the Programming Passport and once Phase 2 is completed, are eligible to attend Department sponsored Batterer Intervention Program classes.
- **The Central Support Unit** - provides clerical support to the Division by establishing and maintaining case files, processing violations of probation, bench warrants, supplemental reports, scanning numerous documents into the County's case management system, and processing reports for the court. The Unit also compiles and submits mandated statistical reports to the Department of Justice.

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### *Collaborative Services*

The Department continues to collaborate with other agencies to improve the delivery of services to the court, clients, and community. Adult Division Officers are involved in numerous Collaborative Courts, such as the Felony Drug Court, two DUI Courts, Accountability Court, and Veterans Treatment Court. The Department collaborates with other agencies to sustain services previously provided by the Assistant Reentry for Co-Occurring Adults through Collective Support (ARCCS) grant. Officers regularly participate in multi-agency missions and operations with other law enforcement agencies by conducting searches and bench warrant service operations. Officers represent the Department at a variety of community events and conduct presentations throughout the County. Additionally, the Adult Division continues to help maintain the Statewide Deoxyribonucleic Acid (DNA) database at the County level by collecting DNA on qualifying offenders that have not yet had their samples collected.

In October 2019, the District Attorney's Office was awarded a three-year Edward Byrne Memorial Justice Assistance Grant (JAG), which will be titled Project Navigate Constructive Change (PNCC). This grant is a prosecution-led diversion program that combines cognitive behavioral interventions, mentoring, navigation assistance, and vocational training with supervision and court monitoring. Successful participants are eligible to have time lessened, charges reduced, and/or records sealed. As part of this grant, two Officers will provide supervision, group programming, and case management with clients as they move through the Department's Passport Program, a system of individualized programming designed to address criminogenic risks and needs of each individual. Dedicated Officers are necessary as some Passport programming activities must be specifically designed and offered to PNCC clients in order to avoid inappropriate mingling of individuals at different risk levels. Officers will also be required to attend multi-disciplinary team meetings and present findings to the court as part of the diversion proceedings.

### **Senate Bill (SB) 678**

The Department receives SB 678 funds, which assist in providing Evidence-Based Programming (EBP) resulting in a reduction of adult State prison commitments for violations of probation. The current funding formula allows for some stability of funding by rewarding past performance while continuing to incentivize counties for ongoing reductions in State prison commitments. SB 678 requires the Department to identify and track specific outcome-based measures specified in legislation, and to allocate a minimum of 5% of allocated funding to data collection and evaluation of EBP programs and practices.

SB 678 funding is used to develop effective EBP throughout the County to meet the diverse needs of the community. Program development includes training staff, purchasing curricula and materials, and contracting with community-based organizations to provide EBP to probationers.

In 2020-2021, SB 678 funding will be used to operate the following:

- **The Adult Probation Day Reporting Center (DRC)**, which provides intensive rehabilitative services to moderate-high or high-risk clients. The DRC is a collaborative effort between the Probation Department, Victor Community Support Services, Behavioral Health Services (BHS), the Northern California Construction Training (NCCT), and the San Joaquin County Office of Education.

The DRC provides expanded EBP offerings, a phased program, and allows completion of the program within six months based on the client's participation. Additionally, clients in aftercare are referred to case management services to assist in the transition from the structured DRC to a lower level of supervision. The NCCT program uses a core-curriculum involving classroom and hands-on instruction under the supervision of a journeyman carpenter. The NCCT vocational educational training program is also available to other adult clients upon completion of Phase 1 of the Programming Passport.

In February 2020, construction began on remodeling the DRC on the first floor of the Canlis Building. Due to the 2019 Novel Coronavirus (COVID-19) pandemic, construction temporarily halted on the project and resumed in May 2020. It is anticipated this project will be completed by year-end 2019-2020.

- **The Intensive Programming Unit (IPU)**, which supervises high-risk felony offenders. Officers conduct monthly visits both in the office and at clients' homes to monitor compliance with court-ordered conditions of probation. An Offender Needs Guide is completed for each client to determine criminogenic needs and targeted interventions. Clients participate in the Programming Passport to address their criminogenic needs.

This Unit also supervises high-risk offenders who participate in the Drug Court process. Working in collaboration with BHS and the court, enhanced supervision is provided and probationers appear weekly in court to provide updates to the judge on their progress.

- **The grant for the ARCCS Officer**, ended in 2017-2018; however, the Department and its partners remain

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committed to sustaining the ARCCS program. A Probation Officer III assigned to the Adult Division's Intensive Supervision Unit, as well as data collection efforts, are funded through SB 678. Additionally, BHS continues to have a clinician provide mental health and substance abuse services at the Jail. The Sheriff's Office continues to have a dedicated housing unit for these dual-diagnosis offenders. The ARCCS Officer monitors a caseload of adults with co-occurring disorders, who have been sentenced to at least 90 days in jail and three to five years supervised probation, with enhanced in-custody screening, assessment, housing, treatment, and recovery services. In 2017-2018, the Department published a tool-kit with the Crime and Justice Institute so the ARCCS program can be replicated in other jurisdictions.

- **The Transitional Age Youth (TAY)** began in 2019-2020, where the Department enhanced services being provided to clients aged 18 to 25. Research on adolescent brain development indicates that the brain is still developing through the age of 25 and this population continues to be impulsive. As evidenced by data, this population continues to have the highest rates of recidivism as well as the highest rates of admission into State prison. The Department formed a cross divisional TAY Unit and added two new Probation Officer I/II positions from this funding stream in 2019-2020.

State funding to support the SB 678 costs is received in the Probation SB 678 Fund (#12108), which as of March 31, 2020 had a balance of \$2,432,331. Over the past two years, annual revenues have averaged \$2.36 million. For 2020-2021, the recommended budget includes \$4.1 million in SB 678 funding.

### Pretrial Services

In 2014-2015, a Pretrial Assessment and Monitoring Program was implemented to better utilize the County's Jail capacity by reserving detention for individuals who pose a significant risk to the community or are at risk for failing to appear in court. Use of a validated Pretrial Assessment tool assists in determining whether offenders should be released or remain detained pending a court proceeding.

Pretrial Services includes the following functional units:

- **The Pretrial Assessment Unit** - interviews all eligible bookings and completes the Virginia Pretrial Risk Assessment Instrument (VPRAI). Staff verifies and provides information to the Court regarding the defendant's community ties, residence information, family ties, employment/education information, failures

to appear, financial status, prior record, risk assessment score, and recommendation regarding pretrial release. The Unit also provides information to Probation Officers for the purpose of placing violation of probation holds, and networks with State and local law enforcement agencies.

- **The Pretrial Monitoring Unit** - provides a continuum of services based on the offender's risk to re-offend and likelihood to appear in court. Services are based on risk, special needs, and court-ordered conditions and may include telephone reminder calls, office visits, individualized case management, drug testing, curfew restrictions, transdermal monitoring, and GPS monitoring. Offenders may also be referred to a variety of community-based organizations for other services including substance abuse services, mental health services, and EBP.

In 2017-2018, the Department entered into a contract with the Crime and Justice Institute to conduct:

1. An assessment of the Pretrial Services Unit that focuses on how the Unit may better incorporate best practices and latest research;
2. An assessment of the larger pretrial system to examine the extent to which the system as a whole is as efficient and effective as possible; and,
3. A validation study to determine if the VPRAI is properly predicting failure for the County's pretrial population. Due to significant challenges and delays in receiving data for the norming and validation of the tool, this project was not completed until 2019-2020.

During 2020-2021, the Department will reconvene the Pretrial subcommittee and work with stakeholders to consider implementation of the proposed recommendations to the Pretrial Assessment and Monitoring Program. The recommended budget transfers one Probation Officer I/II position from the SB 678 budget to the Pretrial budget to assist with increased caseload.

In July 2019, the Department along with the Superior Court was awarded a Pretrial Pilot Project through the Judicial Council of California. The grant period is from August 2019 through June 30, 2021; however, the Superior Court did not request funding in their application because the Pretrial Pilot Project is already funded through various sources. Being a part of the Pretrial Pilot Project will allow the Department to learn from other participants, receive technical assistance, enhance automation efforts with pretrial data, and allow utilization of the outcome data to make adjustments to the program.

# 2022702000—Probation-Adult & Pretrial Services

## Law & Justice

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b><u>Adult Division</u></b>					
<b>Referrals</b>					
<i>Conditional Restitution</i>	2,368	1,952	2,960	2,604	2,500
<i>Domestic Violence</i>	585	644	1,042	1,296	1,150
<i>Drunk Driving</i>	87	97	99	108	100
<i>Proposition 36</i>	425	204	188	228	225
<b>Reports to Court</b>					
<i>Penal Code 1203(c)</i>	638	706	607	624	625
<i>Pre-Plea</i>	23	27	23	36	30
<i>Pre-Sentence</i>	286	271	194	180	175
<i>Static-99R</i>	72	107	118	132	120
<i>Supplementals/Memos</i>	3,128	6,519	6,975	8,568	8,000
<i>Violations of Probation</i>	3,037	3,207	3,338	4,164	3,900
<b>Caseloads</b>					
<i>Administrative Bank</i>	142	154	124	130	125
<i>Assessment (Intake)</i>	730	425	447	510	500
<i>Bench Warrant</i>	4,285	4,004	3,739	3,725	3,700
<i>Conditional Restitution</i>	1,927	1,096	902	690	650
<i>Domestic Violence</i>	340	463	369	425	400
<i>Driving Under the Influence</i>	86	98	76	79	80
<i>Mental Health</i>	0	28	31	30	30
<i>Transitional Aged Youth</i>	N/A	N/A	N/A	45	60
<i>Minimum Supervision</i>	1,140	1,178	1,399	1,550	1,600
<i>Proposition 36 Drug Oversight</i>	372	244	121	135	130
<i>Sex Offenders</i>	100	98	126	147	140
<i>Unsupervised</i>	628	507	502	525	520
<b><u>Senate Bill 678</u></b>					
<i>Reporting Center</i>	25	22	25	30	30
<i>Intensive Programming Unit</i>	479	488	414	375	400
<i>Supervision Referrals</i>	1,186	507	912	714	750
<b><u>Pretrial Services</u></b>					
<i>Risk Assessments Completed</i>	7,525	7,156	6,894	6,886	6,900
<i>% Released Pending Arraignment</i>	9%	12%	10%	9%	9%
<i>Citation Release Evaluations</i>	5,929	5,508	4,625	4,442	4,400
<i>Total Citation Releases/Percent</i>	4,957/84%	4,491/82%	3,468/75%	3,698/84%	3,520/80%
<i>Probable Cause Hearings</i>	2,002	1,856	1,818	2,244	2,200
<i>Pretrial Monitoring Unit Caseload</i>	164	376	419	475	550

# 2022702510—Probation-Local Community Corrections

## Law & Justice

Steve C. Jackson, Chief Probation Officer

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$4,895,290	\$6,367,081	\$6,584,505	\$6,584,505	\$217,424
Services & Supplies	11,581,339	18,148,930	18,616,265	18,616,265	467,335
Centrally-Budgeted Expenses	305,441	336,367	1,694,374	1,694,374	1,358,007
Operating Transfers Out	5,340,024	6,466,959	6,736,823	6,736,823	269,864
<b>Total Expenditures</b>	<b>\$22,122,094</b>	<b>\$31,319,337</b>	<b>\$33,631,967</b>	<b>\$33,631,967</b>	<b>\$2,312,630</b>
Expenditure Reimbursements	(587,850)	(637,826)	(677,734)	(677,734)	(39,908)
<b>Total Appropriations</b>	<b>\$21,534,244</b>	<b>\$30,681,511</b>	<b>\$32,954,233</b>	<b>\$32,954,233</b>	<b>\$2,272,722</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$602,965	\$563,010	\$761,080	\$761,080	\$198,070
Aid From Other Governments	27,479,665	29,618,501	31,362,955	31,362,955	1,744,454
Miscellaneous Revenues	32	0	0	0	0
Operating Transfers In	403,597	500,000	830,198	830,198	330,198
<b>Total Revenues</b>	<b>\$28,486,259</b>	<b>\$30,681,511</b>	<b>\$32,954,233</b>	<b>\$32,954,233</b>	<b>\$2,272,722</b>
<b>Net County Cost</b>	<b>(\$6,952,015)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	42.0	46.0	46.0	46.0	0.0
Temporary (Full-Time Equivalent)	1.5	2.3	2.3	2.3	0.0
<b>Total Staffing</b>	<b>43.5</b>	<b>48.3</b>	<b>48.3</b>	<b>48.3</b>	<b>0.0</b>

### Purpose

The 2011 Public Safety Realignment Act also known as Assembly Bill (AB) 109, transferred responsibility for supervising specified lower level inmates and parolees from the State to counties. AB 109 requirements are implemented in each county through a Community Corrections Partnership (CCP) previously established by Senate Bill (SB) 678. The CCP is charged with developing a plan of implementation and oversight of the public safety realignment process. The San Joaquin County Public Safety Realignment Plan coordinates activities among several County agencies, Superior Court, local law enforcement, and various community-based organizations.

Funding for AB 109 activities is provided through the County budget to the Probation Department, the Sheriff's Office, and other agencies. This narrative describes the Local Community Corrections services provided by the Probation Department.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$239,594 Salary and benefits adjustments.
- (\$22,170) Decrease in overtime.

#### Services & Supplies

- \$513,557 Increase in allocation to Correctional Health Services.
- \$290,300 Increase in allocation to Pretrial Assessments and Monitoring.
- \$143,022 Increase in allocation to Superior Court for Post-Release Community Supervision (PRCS) and Violent Offender program.



# 2022702510—Probation-Local Community Corrections

## Law & Justice

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- \$100,000 Increase in funding for one-time pilot projects.
- \$99,622 Increase in contract services provided by community-based organizations.
- \$56,847 Increase in allocation to Behavioral Health Services (BHS).
- \$53,746 Increase in facilities lease costs.
- \$32,925 Increase in allocation to District Attorney and Public Defender.
- \$27,881 Increase in home detention equipment costs.
- \$27,847 Increase in allocation to Employment and Economic Development Department (EEDD).
- \$20,000 Increase in tattoo removal costs.
- \$13,867 Increase in crisis housing costs.
- (\$841,630) Decrease in reserve for special projects.
- (\$62,089) Decrease in drug and alcohol treatment costs.
- (\$11,702) Decrease in communication costs.

### Centrally-Budgeted Expenses

- \$104,409 Increase in data processing charges.
- \$33,196 Increase in Workers' Compensation and Casualty insurance costs.
- \$1,231,636 Cost Allocation Plan adjustment.

### Operating Transfers Out

- \$165,306 Allocation to the Local Innovation Subaccount Fund.
- \$104,558 Increase in allocation to the Sheriff's Office.

### Expenditure Reimbursements

- \$26,000 Increase in reimbursement for supervision of early-release offenders.

- \$24,974 Increase in reimbursement for the cost of a Probation Officer assigned to the Mandatory Supervision Collaborative Court.

### Revenues

- \$1,103,247 Increase in State funding for Local Community Corrections.
- \$615,058 Increase in Public Safety Realignment growth funds.
- \$330,198 Increase in funding for supervision of early-release offenders.
- \$198,070 Increase in interest income.

## Program Discussion

The 2020-2021 recommended budget for Local Community Corrections totals \$33,631,967, which is an increase of \$2,312,630 from the 2019-2020 adjusted budget. This change represents salary and benefits adjustments (\$244,526), a Cost Allocation Plan adjustment (\$1,231,636), increases in allocation of various State programs (\$1,064,498), transfers out (\$269,864), data processing charges (\$104,409), one-time pilot projects (\$100,000), and contract services costs (\$99,622), partially offset by a decrease in reserves for special projects (-\$841,630). The recommended budget also provides funding for the Day Reporting Center (DRC) and Pretrial Assessment/Monitoring Programs, which are discussed in the program narrative for Adult SB 678 (#2022702300) and Pretrial Services (#2021200000).

For 2020-2021, Public Safety Realignment funding has been allocated by the CCP: a Pretrial Assessment and Monitoring Program; staff and operating costs for additional Jail beds, in-custody programming, and alternatives to incarceration; bailiffs for AB 109 court programs; intensive supervision (High-Risk Unit, Violent Crimes Unit, and Transitional Age Youth Unit); Assessment Center; DRC; evidence-based programming; in-custody medical services; behavioral health treatment/services; employment training and services; transportation assistance; home detention with electronic or Global Positioning System monitoring; eligibility screening for assistance programs; transitional housing; PRCS, Mandatory Supervision (MS), and Monitoring Courts; law enforcement task force; service referrals to community-based organizations; parole revocation hearing legal costs, Cal-Fresh Employment and Training program, Alternatives to Violence education program, case management services for felony probationers and in-custody inmates, telephone court reminders, homelessness and recidivism prevention, data

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collection and evaluation; and administrative support costs. As of March 31, 2020, the Local Community Corrections fund balance (#20051) was \$42,378,012.

In 2020-2021, the Probation Department will utilize AB 109 funding for the following:

### High Risk Unit (HRU)

The HRU supervises clients deemed to be high risk for re-offending. The HRU consists of Probation Officers operating in two-Officer teams whose main function is to monitor client's activities through field visits. Field visits can occur at home, work, school, or treatment locations. Clients are also required to regularly report in person to their assigned Probation Officer. Officers are responsible for conducting assessments, making program referrals, developing case plans, monitoring clients' progress, and are trained in the principles of effective correctional interventions and cognitive behavioral curricula. The HRU employs an evidence-based programming design that mimics the programming offered at the DRC.

The HRU staff work non-traditional schedules, including evenings and weekends. The HRU also participates in Firearms Reduction Consortium missions with Stockton Police, Sheriff's Office, and the District Attorney's Office; Sheriff's Safe Holiday sweeps; Lodi Police Safe Summer missions; and Operation Ceasefire call-ins and follow-ups.

Another Officer supervises offenders assigned to the PRCS Court. This program is based on the evidence-based Drug Court model and serves clients who have significant drug and alcohol issues. The Officer works closely with the Court, Case Manager, and BHS to develop and monitor an integrated case plan.

An Officer is assigned to supervise offenders in the Mandatory Supervision Court. Supervision begins while the client is in custody, assessing risk of re-offending and support needs prior to being released, linking to services, and transitioning services after the offender appears in Court.

### Violent Crimes Unit (VCU)

The VCU was created in response to the significant number of AB 109 clients assessed as being high-risk to re-offend for further violent acts. Operating similar to the HRU, the two-Officer teams conduct field and office visits with potentially violent offenders to monitor their activities and verify compliance.

An Officer supervises clients assigned to the Monitoring Court. This program is based on the Drug Court model and serves clients high-risk for committing a violent act that have not been performing satisfactorily on community supervision, and would benefit from additional monitoring by the Court.

An Officer is assigned to work with other law enforcement agencies on the CCP Task Force. The Task Force assists the Department in conducting compliance checks and actively searching for wanted clients.

### The Transitional Aged Youth Unit (TAY)

In 2019-2020, the TAY Unit was created to address the needs of 18 to 25 year-old clients deemed to be high-risk for re-offending. This cross-divisional Unit is designed to provide added support and supervision for at-risk young adults including, but not limited to, mental health, education, job training and assistance, and cognitive behavioral programming. Officers assigned to the Unit are trained in the principles of effective correctional interventions and cognitive behavioral curricula.

The Unit provides community supervision to PRCS, MS, and probation clients. TAY follows the DRC model for evidence-based programming, but is for clients who are unable to attend programming on a daily basis due to conflicts with employment, childcare, or other mandated programming requirements. Clients can complete the program over a 9 to 12-month period. Clients are able to attend Orientation, Cognitive-Based Intervention: Substance Abuse, Foundations (a component of Thinking for a Change), Social Skills, Advance Practice, Anger Control Training, obtain their high school diploma or General Education Development through San Joaquin County Office of Education (SJCOE), and vocational education training through Northern California Construction Technologies (NCCT). TAY is a collaborative effort between the Probation Department, BHS, Victor Community Support Services, SJCOE, and NCCT. All clients are required to complete a three-phase program and participate in three months of aftercare.

### Assessment Center

Clients referred to the Assessment Center are those released from State prison or County Jail that are under community supervision by the Department. Probation Officers assigned to the Assessment Center assess the client's risk to re-offend, develop a case plan identifying individual needs, determine the appropriate level and type of supervision, and refer to appropriate evidence-based programs and/or supportive services to assist in transition back into the community and rehabilitation efforts. An Officer is assigned to the County Jail to assess clients in custody, in order to develop a plan for a seamless transition back into the community. In 2018-2019, the Unit also began video conferences with AB 109 clients who are housed in prisons throughout the State in an effort to improve the transition (warm hand-off) from State prison to community supervision.

The Assessment Center, in partnership with BHS, Human Services Agency, and the EEDD, serves as a hub for the

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## **Law & Justice**

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comprehensive delivery of services to clients. It allows Officers to complete risk/needs assessments and provide intake decisions that incorporate multi-disciplinary team screening and assessment, decrease duplication of services between agencies, and facilitate multi-agency background sharing and record checking. Each of the partner agencies housed in the Assessment Center provides program-specific assessments to determine the client's level of readiness to receive appropriate services. The multi-agency agreements and rapport built among the agency participants overcome many of the traditional roadblocks to information sharing, and provide a continuum of care with immediate services and supervision.

### **Administrative Support**

Responsibilities for the direct administrative services of AB 109 funds include oversight and management of all County

AB 109 programs, developing contracts, paying and tracking expenditures, procuring equipment, data collection, required reporting, fiscal oversight, composing Board letters, monitoring contracts for fiscal and programmatic compliance, overseeing the CCP, collecting and tracking recidivism information, and overseeing the programs that supervise and provide services to the AB 109 clients.

### **2019 Novel Coronavirus (COVID-19)**

As a result of the COVID-19 pandemic, and the unknown financial impacts to the State's tax revenue and vehicle license fees, the Department anticipates Public Safety Realignment funding to be impacted. Although the exact impact to the funding is unknown as of April 2020, the Department will closely monitor how the funds are allocated in 2020-2021.

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## Law & Justice

<b>Distribution of Local Community Corrections (AB 109) Funds</b>			
<b>Organization</b>	<b>Actual 2018-2019</b>	<b>Est./Act. 2019-2020</b>	<b>Projected 2020-2021</b>
<i>Pretrial Assessment and Monitoring</i>	\$1,831,582	\$2,453,946	\$2,648,914
<b>Sheriff's Office</b>			
<i>Jail Beds</i>	3,509,781	4,695,369	4,769,294
<i>Jail Programming</i>	347,474	445,651	460,497
<i>Alternatives to Incarceration</i>	292,649	312,605	317,358
<i>Bailiffs</i>	179,425	198,575	225,494
<i>AB 109 Support</i>	807,477	814,759	798,874
<b>Probation Department</b>			
<i>High-Risk Unit</i>	1,265,618	1,561,577	1,657,167
<i>Violent Crimes Unit</i>	1,269,026	1,551,911	1,634,049
<i>Transitional Age Youth Unit</i>	0	143,384	181,886
<i>Assessment Center</i>	530,469	725,008	777,548
<i>Day Reporting Center</i>	718,743	743,682	756,116
<i>Evidence-Based Programming</i>	6,907	318,907	126,674
<i>Correctional Health Services</i>	1,765,630	3,397,114	2,845,668
<i>Behavioral Health Services</i>	926,491	1,221,194	1,221,194
<i>Employment and Economic Development Department</i>	681,263	801,940	829,787
<i>Transportation (Probation)</i>	18,200	26,248	27,560
<i>Global Positioning Satellite (Probation)</i>	38,086	132,631	105,000
<b>Human Services Agency</b>			
<i>Eligibility Screening</i>	204,858	128,943	132,521
<i>Transitional Housing</i>	66,070	272,644	286,511
<b>Superior Court</b>			
<i>Post-Release Supervision Court</i>	670,437	1,064,399	1,046,133
<i>Mandatory Supervision Court</i>	450,335	54,840	57,568
<i>Monitoring Court</i>	306,240	804,087	828,291
<i>Community Corrections Partnership Task Force</i>	913,569	1,225,763	1,280,906
<i>Friends Outside</i>	237,358	319,977	326,682
<i>Community Partnership for Families</i>	183,404	270,453	210,201
<i>El Concilio</i>	177,802	270,890	316,340
<i>Fathers &amp; Families of San Joaquin</i>	177,154	489,834	233,481
<i>Mary Magdalene Community Services</i>	155,612	215,958	227,338
<i>District Attorney (Parole Revocation Process)</i>	306,561	345,348	362,615
<i>Public Defender (Parole Revocation Process)</i>	0	627,672	329,244
<i>San Joaquin Community Data Co-Op</i>	153,569	164,692	167,523
<i>Community Partnership for Families (CalFresh E&amp;T)</i>	145,819	258,160	262,485
<i>El Concilio (Alternatives to Violence)</i>	20,310	20,527	21,999
<i>Fathers &amp; Families of San Joaquin (Probation)</i>	161,860	197,247	211,305
<i>Friends Outside (In-Custody Case Management)</i>	127,887	187,286	186,162
<i>Mary Magdalene Community Services (Probation)</i>	155,995	191,862	205,627
<i>Public Defender (Uptrust)</i>	0	80,000	80,000
<i>Ready to Work (Homelessness and Recidivism Prevention)</i>	490,377	577,191	595,950
<i>Ink off Me</i>	0	0	20,000
<i>Probation Department (AB 109 Administrative Services)</i>	1,525,698	1,753,895	1,972,632
<b>Total Distribution of Funds</b>	<b>\$20,819,736</b>	<b>\$29,066,169</b>	<b>\$28,744,594</b>

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### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Post-Release Community Supervision					
Referrals	597	522	533	588	580
Local Community Supervision					
Referrals (split sentences only)	154	118	162	132	140
Assessment Center Cases	271	168	238	265	260
High-Risk Unit Cases	221	242	230	230	235
Day Reporting Center Cases	63	87	113	100	120
Violent Crimes Unit Cases	327	320	298	300	310
Transitional Age Youth Unit Cases	N/A	N/A	N/A	40	80

# 2022745000—Probation-Administration

## Law & Justice

Steve C. Jackson, Chief Probation Officer

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,907,237	\$3,130,068	\$3,396,597	\$3,396,597	\$266,529
Services & Supplies	415,130	820,598	725,314	725,314	(95,284)
Centrally-Budgeted Expenses	2,145,676	2,062,456	1,702,115	1,702,115	(360,341)
<b>Total Expenditures</b>	<b>\$5,468,043</b>	<b>\$6,013,122</b>	<b>\$5,824,026</b>	<b>\$5,824,026</b>	<b>(\$189,096)</b>
Expenditure Reimbursements	(276)	0	(5,000)	(5,000)	(5,000)
<b>Total Appropriations</b>	<b>\$5,467,767</b>	<b>\$6,013,122</b>	<b>\$5,819,026</b>	<b>\$5,819,026</b>	<b>(\$194,096)</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$2,527,957	\$2,707,703	\$2,784,003	\$2,784,003	\$76,300
Operating Transfers In	17,309	70,139	72,638	72,638	2,499
<b>Total Revenues</b>	<b>\$2,545,266</b>	<b>\$2,777,842</b>	<b>\$2,856,641</b>	<b>\$2,856,641</b>	<b>\$78,799</b>
<b>Net County Cost</b>	<b>\$2,922,501</b>	<b>\$3,235,280</b>	<b>\$2,962,385</b>	<b>\$2,962,385</b>	<b>(\$272,895)</b>
<b>Staffing</b>					
Allocated Positions	17.0	17.0	17.0	17.0	0.0
Temporary (Full-Time Equivalent)	2.5	3.6	5.1	5.1	1.5
<b>Total Staffing</b>	<b>19.5</b>	<b>20.6</b>	<b>22.1</b>	<b>22.1</b>	<b>1.5</b>

### Purpose

The Probation Administration budget funds management and support services for the Department's four functional divisions: Juvenile Probation, Adult Probation, Juvenile Detention, and Local Community Corrections (AB 109). Services provided include budgeting, accounting, personnel, training, planning/research, and grants management. The Chief Probation Officer function and responsibilities are mandated by California Government Code Sections 24000, 27770, 27771, and 27772; Welfare and Institutions Code Sections 270 and 271; and, Penal Code Sections 1203.5 and 1203.6.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$139,892 Salary and benefits adjustments.
- \$99,489 Increase in extra-help.
- \$27,148 Increase in overtime costs.

#### Services & Supplies

- \$14,453 Increase in travel and training costs.
- \$7,975 Increase in cellular telephone costs.
- \$13,700 Increase in computer upgrade and software licensing costs.
- \$5,625 Increase in printing costs.
- (\$116,120) Decrease in program costs due to reduced Board of State and Community Corrections (BSCC) grant funding.
- (\$13,630) Decrease in law enforcement equipment costs.
- (\$10,000) Decrease in vendor costs for specialized training.

#### Centrally-Budgeted Expenses

- \$60,815 Increase in Workers' Compensation and Casualty insurance costs.

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- (\$222,354) Decrease in data processing charges.
- (\$15,082) Decrease in rents and leases of automation equipment.
- (\$179,091) Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$5,000 Increase in reimbursement from Youth Reinvestment Grant.

### Revenues

- \$98,553 Increase in reimbursement from the Juvenile Probation and Camp Funding Trust Fund.
- \$52,337 Increase in Proposition 172 funding.
- \$46,530 Increase in allocation from the State Standards and Training Corrections Division.
- (\$121,120) Decrease in grant funding from the BSCC.

## Program Discussion

The 2020-2021 recommended budget for Probation-Administration totals \$5,824,026, which is a decrease of \$189,096 from the 2019-2020 adjusted budget. This change primarily reflects decreases in data processing charges (-\$222,354), a Cost Allocation Plan adjustment (-\$179,091), and program costs due to reduced grant funding (-\$116,120), partially offset by increases in salary and benefits adjustments (\$139,892), extra-help (\$99,489), Workers' Compensation and Casualty insurance costs (\$60,815), and overtime costs (\$27,148).

The Department continues to be the Court's most used alternative to incarceration for criminal offenders. Over the past decade, the profile of the typical juvenile and adult client supervised has changed as a result of the utilization of validated risk and needs assessment tools. Probation Officers now supervise clients with the highest risk of re-offending. The Department continues to work aggressively to develop and maintain a probation service model that is evidence-based, employs best practices in the field of community corrections, and follows the principles of effective intervention.

### Standards & Training for Corrections (STC)

In 2019, the BSCC adopted changes to the training requirements for the Probation Officer and Juvenile Detention Officer classifications which will take effect on July 1, 2020. Probation Officer core training will be reduced from 196 hours to 189 hours and Juvenile Detention Officer core training will increase from 160 hours to 168 hours. Additionally, a new 69-hour core training will be offered for staff that have previously attended Juvenile Detention Officer core training and are now going to become Probation Officers.

### Evidence-Based Practices (EBP)

The Department implements EBP throughout its divisions to prioritize services and provide targeted interventions to clients in an effort to reduce recidivism. Since 2014-2015, the Department has committed to the use of validated assessment tools in the Adult, Juvenile, and Juvenile Detention divisions, as well as Pretrial Services.

Staff continues efforts to identify appropriate intervention options to address clients' individual criminogenic needs and to train staff and community-based organizations on use of EBP. Additionally, all field Probation Officers have been trained in motivational interviewing and effective practices in community supervision. The Department also has a Continuous Quality Improvement process with a community-based organization to evaluate program fidelity for the EBP group interventions.

The Department worked with the University of Cincinnati's Correctional Institute (UCCI) to redesign the EBP offered by the Department and to create a Programming Passport. This model has been implemented throughout all the divisions and is offered to all clients under the Department's jurisdiction. In 2020-2021, the Department will continue to work on increasing the hours of EBP and ensuring continuous quality improvement of the delivery of services.

In 2017-2018, UCCI conducted the Evidence-Based Correctional Programming Checklist-Community Supervision Agency (CPC-CSA) assessment of the Department to highlight strengths and weaknesses of the evidence-based programs. A separate CPC-CSA was conducted on Juvenile Hall and Camp Peterson, and a third was conducted on Victor Community Support Services (VCSS). The Department contracts with VCSS to assist in delivering evidence-based programming. The Department received the recommendations in three separate technical assistance reports and began working on implementing those recommendations in 2018-2019 through 2019-2020, and will continue in 2020-2021.

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In 2019-2020, the Department identified a new vendor to provide a new Juvenile Case Management System. The upgraded system will be implemented in 2020-2021 and will provide enhanced system functionality.

### Research & Evaluation Unit

The Unit assesses ways to streamline services, develop efficiencies, employ automation, create databases, perform queries, and provide data analysis. The Unit has assisted with consistency, integrity, and fidelity of the work of the Department.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Staff Trained	215	208	210	205	215
STC Classes Provided	190	211	272	170	210
STC Hours Provided	15,057	15,398	12,551	22,700	17,000



# 2022785000—Juvenile Supplemental Law Enforcement Act

## Law & Justice

Steve C. Jackson, Chief Probation Officer

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,213,025	\$2,918,123	\$3,134,986	\$3,134,986	\$216,863
Services & Supplies	386,833	488,106	529,693	529,693	41,587
Centrally-Budgeted Expenses	62,938	92,234	138,479	138,479	46,245
Other Charges & Uses	550,000	600,000	600,000	600,000	0
Capital Expenditures	0	0	675,000	675,000	675,000
<b>Total Expenditures</b>	<b>\$3,212,796</b>	<b>\$4,098,463</b>	<b>\$5,078,158</b>	<b>\$5,078,158</b>	<b>\$979,695</b>
Expenditure Reimbursements	(74,027)	(79,815)	(103,086)	(103,086)	(23,271)
<b>Total Appropriations</b>	<b>\$3,138,769</b>	<b>\$4,018,648</b>	<b>\$4,975,072</b>	<b>\$4,975,072</b>	<b>\$956,424</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$3,027,802	\$3,129,697	\$3,696,818	\$3,696,818	\$567,121
Fund Balance	110,967	888,951	1,278,254	1,278,254	389,303
<b>Total Revenues</b>	<b>\$3,138,769</b>	<b>\$4,018,648</b>	<b>\$4,975,072</b>	<b>\$4,975,072</b>	<b>\$956,424</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	20.0	20.0	20.0	20.0	0.0
Temporary (Full-Time Equivalent)	1.5	1.5	1.5	1.5	0.0
<b>Total Staffing</b>	<b>21.5</b>	<b>21.5</b>	<b>21.5</b>	<b>21.5</b>	<b>0.0</b>

### Purpose

The Juvenile Supplemental Law Enforcement Act (JSLEA), formerly known as the Juvenile Justice Crime Prevention Act, provides funding for community-based programs that reduce crime and delinquency among at-risk youth and young offenders. Funding is provided primarily from State Vehicle License Fee revenue and distributed to counties by statutory formula. In San Joaquin County, the JSLEA provides funding for the following programs:

- Reconnect Day Reporting Center,
- Probation Officers on Campus,
- Neighborhood Service Centers,
- Family Focused Intervention Team, and
- Transitional Age Youth Unit.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$190,823 Salary and benefits adjustments.
- \$26,040 Increase in overtime costs.

#### Services & Supplies

- \$15,500 Increase in professional services costs.
- \$10,000 Increase in furniture costs due to Canlis building basement remodel.
- \$7,997 Increase in Global Positioning Satellite (GPS) monitoring equipment costs.
- \$7,950 Increase in fleet services costs.
- \$4,932 Increase in Canlis building lease costs.
- (\$10,000) Decrease in training costs.

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## Law & Justice

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### Centrally-Budgeted Expenses

- \$15,725 Increase in data processing charges.
- \$11,628 Increase in Workers' Compensation and Casualty insurance costs.
- \$6,551 Increase in radio maintenance costs.
- \$12,833 Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$19,483 Increase in reimbursement from the Justice Assistance Grant due to increased overtime in violence reduction mission.

### Revenue

- \$567,121 Increase in State funding.

### Capital Expenditures

- \$675,000 Canlis Basement Remodel.

### Program Discussion

The 2020-2021 recommended Juvenile Supplemental Law Enforcement Act (JSLEA) budget totals \$5,078,158, which is an increase of \$979,695 from the 2019-2020 adjusted budget. This increase primarily reflects salary and benefits adjustments (\$190,823), increases in overtime costs (\$26,040), data processing charges (\$15,725), professional services costs (\$15,500), and the Canlis Basement Remodel (\$675,000).

As of March 31, 2020, the Juvenile Supplemental Law Enforcement fund balance (#20052) was \$5,223,218.

#### Probation Officers On Campus (POOC)

The POOC program is modeled after successful programs across the nation and includes the assignment of Juvenile Probation Officers to a school site in order to supervise wards attending the school. These Officers have regular contact with youth who have not yet entered the juvenile justice system, but are determined to be at-risk. Officers provide intensive supervision, monitor attendance, handle disciplinary problems, and work with school staff to ensure that mental health, substance abuse, and other relevant issues are addressed.

POOC regularly attend school activities, sporting events, attendance hearings, and meetings. By working closely with school personnel, Officers are able to provide both supervision and support to help students avoid anti-social behavior. Additionally, the presence of an Officer on campus has a positive impact on the school environment.

#### Certified Drug Detection Canine Team

During 2017-2018, a Probation Officer III was designated as a canine handler and the Department obtained a drug detection canine. The canine has been certified by the California Narcotic Canine Association and can detect a variety of narcotics, including heroin, cocaine, rock cocaine, methamphetamine, crystal methamphetamine, and marijuana.

During 2019-2020, the canine was identified as a dual-purpose canine, which can also detect weapons.

#### Reconnect Day Reporting Center

The Reconnect Day Reporting Center offers an alternative to incarceration at Juvenile Hall. The program, One Reconnect, is a San Joaquin County Office of Education school. Officers supervise youth attending the school and provide daily evidence-based programming curricula designed to reduce recidivism in youth.

#### Canlis Basement Capital Improvement Project

The Canlis Basement Capital Improvement Project is intended for the interior improvements within the existing Canlis building to provide for the Community Accountability and Prevention Services Unit and the Reconnect Day Reporting Center program. General Services plans to complete this project in 2020-2021. Funding in the amount of \$675,000 has been included in the 2020-2021 recommended budget.

#### Family Focused Intervention Team (FFIT)

FFIT Officers provide wraparound case management services to parents who are under probation jurisdiction and where significant risk factors exist for children in the home. The goal of the program is to intervene in these high-risk families to prevent/reduce violence in the home by providing case management services and evidence-based programming to directly address the needs of the families. The long-term program goal is to positively impact these at-risk children, thus preventing their ultimate entry into the juvenile justice system. The program assists clients in providing an appropriate environment in which to raise children and remain crime-free, while offering appropriate supervision and support to these high-risk families. Targeted families include those that suffer from mental illness, substance abuse issues, and/or are homeless.

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## Law & Justice

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### Transitional Age Youth (TAY)

In 2019-2020, the TAY unit was created to address the needs of clients ages 18 to 25 years old that are deemed to be at high-risk for re-offending. Recent studies and research have shown this population is still undergoing significant cognitive brain development and are in need of additional services.

The unit is designed to provide added support and supervision for at-risk young adults including, but not limited to, mental health; education; job training and assistance; and cognitive behavioral programming. Officers assigned to the unit are trained in the principles of effective correctional interventions and cognitive behavioral curricula.

The Department has formed a cross-divisional unit to provide a vast array of services to meet the needs of this population. A Probation Unit Supervisor and Probation Officer I/II position are funded from JSLEA. The unit also includes Probation Officers funded through Assembly Bill 109, Senate Bill (SB) 678, and the Adult Division budgets.

### Positive Youth Justice Initiative (PYJI)

Beginning in 2012, the Probation Department received grant funding from Sierra Health Foundation for the PYJI. Although grant funding ended December 31, 2017, the Department is sustaining key pieces of the initiative. The system's grant focused on four cornerstones: Positive Youth Development, Trauma Informed Care, Wraparound Services, and Organizational Development, in an effort to promote improved health and positive social outcomes for crossover youth. The Department has embedded the four cornerstones into policies, procedures, and practices.

In order to sustain this initiative, the Department funds 50% of a Management Analyst II position from this budget (the remaining 50% from the Adult-SB 678 budget), who will

continue to be the liaison between the community-based organizations and the Probation Officers; schedule and host youth orientations; and manage and oversee referrals to community-based organizations. Funding will continue to be provided to three community-based organizations for working with youth to provide linkages to pro-social activities and supports, outreach, counseling, civil engagement, and community support.

### Neighborhood Service Centers (NSC)

The NSC program is operated by the San Joaquin Community Partnership for Families. NSCs co-locate services and support, providing opportunities for families in underserved, high-risk neighborhoods. The effort focuses on reducing the number of youth that ultimately come to the attention of the juvenile justice system and other "high-end" social services systems.

Each of the five NSCs serves a geographic area of 15,000-20,000 residents. The Centers feature a wide range of services and activities such as integrated service teams, food pantries, recreation programs, after school tutoring, and income tax assistance. NSC locations include:

1. Dorothy L. Jones Family Resource Center, Stockton
2. Diamond Cove II Family Resource Center, Stockton
3. Lodi Family Resource Center, Lodi
4. Villa Monterey Family Resource Center, Stockton
5. Tracy Family Resource Center, Tracy

A total of \$600,000 in JSLEA funding will be used in 2020-2021 to continue operation of the Centers, fund a mobile NSC, provide services at the Reconnect Day Reporting Center, and provide referrals for clients diverted from the court process.

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## Law & Justice

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Probation Officers on Campus</b>					
<i>Average Caseload Size</i>					
<i>Bear Creek High School</i>	1	2	2	1	1
<i>Cesar Chavez High School</i>	4	3	2	1	3
<i>Edison High School</i>	7	7	4	3	7
<i>Franklin High School</i>	3	4	4	3	1
<i>Jane Fredrick Continuation</i>	6	6	4	5	0
<i>John McCandless Continuation</i>	0	0	1	1	0
<i>Kimball High School</i>	1	1	1	1	1
<i>Liberty High School</i>	2	0	1	0	0
<i>Lincoln High School</i>	1	1	1	1	1
<i>Lodi High School</i>	0	0	1	1	0
<i>Plaza Robles High School</i>	2	3	3	3	0
<i>Ronald E. McNair High School</i>	3	3	2	2	1
<i>Stagg High School</i>	5	5	3	4	7
<i>Stockton Unified Alternative Schools</i>	1	3	3	3	0
<i>Tokay High School</i>	2	1	1	1	1
<i>Tracy High School</i>	1	0	1	1	1
<i>Tracy Unified Alternative Schools</i>	7	8	4	4	1
<i>West (Merrill) High School</i>	1	3	3	3	2
<i>Weston Ranch High School</i>	1	3	2	1	2
<i>County Office of Education</i>	52	54	34	33	27
<i>Miscellaneous (Youth in Transition)</i>	35	37	28	28	14
<b>Total</b>	135	144	105	100	70
<i>Informal/Deferred Entry of Judgement</i>	87	78	63	70	75
<i>Intakes Processed</i>	31	41	27	28	25
<i>Walk-In Contacts</i>	192	132	190	160	150
<b>Reconnect Day Reporting Center</b>					
<i>Average Daily Population</i>	12	11	12	17	20
<b>Family Focused Intervention Team</b>					
<i>Average Caseload Size</i>	N/A	82	104	120	120
<b>Transitional Age Youth</b>					
<i>Average Caseload Size</i>	N/A	N/A	N/A	7	20

# 2022800000—Probation-Juvenile Detention

## Law & Justice

Steve C. Jackson, Chief Probation Officer

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$12,332,698	\$15,568,950	\$15,892,383	\$15,892,383	\$323,433
Services & Supplies	2,463,763	3,074,960	2,894,421	2,894,421	(180,539)
Centrally-Budgeted Expenses	602,084	1,690,357	1,792,863	1,792,863	102,506
Other Charges & Uses	135,116	600,300	600,100	600,100	(200)
Capital Expenditures	323,430	148,823	200,000	200,000	51,177
<b>Total Expenditures</b>	<b>\$15,857,091</b>	<b>\$21,083,390</b>	<b>\$21,379,767</b>	<b>\$21,379,767</b>	<b>\$296,377</b>
Expenditure Reimbursements	(1,000,478)	(1,304,022)	(1,633,167)	(1,633,167)	(329,145)
<b>Total Appropriations</b>	<b>\$14,856,613</b>	<b>\$19,779,368</b>	<b>\$19,746,600</b>	<b>\$19,746,600</b>	<b>(\$32,768)</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$436	\$430	\$275	\$275	(\$155)
Aid From Other Governments	4,169,008	4,534,903	4,787,115	4,787,115	252,212
Miscellaneous Revenues	3,217	3,924	2,800	2,800	(1,124)
<b>Total Revenues</b>	<b>\$4,172,661</b>	<b>\$4,539,257</b>	<b>\$4,790,190</b>	<b>\$4,790,190</b>	<b>\$250,933</b>
<b>Net County Cost</b>	<b>\$10,683,952</b>	<b>\$15,240,111</b>	<b>\$14,956,410</b>	<b>\$14,956,410</b>	<b>(\$283,701)</b>
<b>Staffing</b>					
Allocated Positions	118.0	118.0	118.0	118.0	0.0
Temporary (Full-Time Equivalent)	5.3	5.6	6.1	6.1	0.5
<b>Total Staffing</b>	<b>123.3</b>	<b>123.6</b>	<b>124.1</b>	<b>124.1</b>	<b>0.5</b>

### Purpose

Section 850 of the Welfare and Institutions Code mandates counties to: provide a juvenile detention hall; that it not be connected with any jail or prison; and that it be managed and controlled by the Chief Probation Officer.

Peterson Juvenile Hall is San Joaquin County’s secure youth detention facility. The facility is operated by the Probation Department and provides humane, temporary, and secure care of youth whose cases are pending disposition in the Juvenile Court. Probation also operates a Juvenile Camp (Camp Peterson), Electronic Monitoring, and Home Supervision Programs.

The Juvenile Detention budget funds the operation of the Juvenile Hall, Camp Peterson, In-Custody Assessment/Court Transportation Unit, Work Project Program, and Youth Advocacy Unit.

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$178,427) Salary and benefits adjustments.
- \$460,679 Increase in overtime costs to reflect actual experience.
- \$41,181 Increase in extra-help.

#### Services & Supplies

- \$69,364 Increase in vocational education program and tutoring costs.
- \$52,369 Increase in utility costs.

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## Law & Justice

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- \$30,500 Increase in San Joaquin County Office of Education (SJCOE) program costs.
- (\$223,478) Decrease in food service contract, juvenile clothing, and laundry costs due to lower census in Juvenile Hall.
- (\$33,256) Decrease in landscaping costs.
- (\$23,800) Decrease in professional service costs for special projects.
- (\$17,951) Decrease in maintenance service contract costs.
- (\$13,000) Decrease in contract service costs for Public Information Officer.
- (\$7,259) Decrease in home detention equipment costs.
- (\$5,521) Decrease in fleet services costs.

### Centrally-Budgeted Expenses

- \$92,776 Increase in data processing charges.
- \$92,515 Increase in Workers' Compensation and Casualty insurance costs.
- (\$87,617) Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$329,145 Increase in reimbursement from the Youthful Offender Block Grant (YOBG).

### Revenues

- \$309,091 Increase in Proposition 172 funding.
- \$30,169 Increase in State funding for Juvenile Camps.
- (\$46,910) Decrease in reimbursement from Juvenile Probation and Camp Funding Trust Fund.
- (\$37,876) Decrease in School Nutrition funding.

## Capital Expenditures

- \$200,000 Surveillance System cable and wiring upgrades.

## Program Discussion

The 2020-2021 recommended budget for Juvenile Detention totals \$21,379,767, which is an increase of \$296,377 from the 2019-2020 adjusted budget. This change primarily reflects an increase in overtime costs (\$460,679), data processing charges (\$92,776), Workers' Compensation and Casualty insurance costs (\$92,515), vocational program costs (\$69,364), and utility costs (\$52,369), partially offset by salary and benefits adjustments (-\$178,427), decrease in costs due to a reduction in population at Juvenile Hall (-\$223,478), and a Cost Allocation Plan adjustment (-\$87,617).

The 2020-2021 recommended budget includes \$200,000 in capital expenditures to upgrade the cable and wiring for the new surveillance system, which was upgraded in 2019-2020. The previous wiring was not compatible with the new system and is being replaced to ensure security is maintained at the Juvenile Detention Center.

Juvenile Hall's Board of State and Community Corrections' rated capacity is 179 beds. In 2011-2012, a housing unit was taken off-line due to budget cuts during the recession. In 2017-2018, the Department returned the funding for vacant Juvenile Detention Officer positions to the County General Fund and took a second housing unit off-line due to the low census. Currently, Juvenile Hall is funded for 120 beds; however, if the juvenile population rises above this level, the Department will return to the Board of Supervisors to recommend positions be reinstated to maintain minimum staffing levels required by the State. The anticipated average daily population is currently 65 beds as a result of the 2019 Novel Coronavirus (COVID-19) impact. In the latter months of 2019-2020, the department reduced the population at the Juvenile Detention Center in an effort to mitigate the spread of COVID-19. It is expected the COVID-19 pandemic will have an impact on the population in 2020-2021.

### Juvenile Camp Program

Camp Peterson is a structured residential program that serves as an alternative to out-of-home placement for moderate to high-risk youth who are at risk of increased delinquency. The program is housed in a two-dormitory, minimum-security facility adjacent to Juvenile Hall with a rated capacity of 45 beds. The 10 to 12-month program promotes the values and rewards of self-discipline, accountability, responsibility,

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tolerance, respect, sobriety, physical and academic education, basic life skills, and hard work. This program has three goals:

1. Provide a secure, intensive, evidence-based treatment program for moderate to high-risk youth.
2. Reduce the length of stay and recidivism.
3. Provide high-quality, intensive aftercare services that support community and family reunification/stability. The program is based on a Cognitive-Behavioral Therapy model, using the Programming Passport, with a focus on targeting criminogenic risk factors through cognitive restructuring and skill acquisition.

### In-Custody Assessment & Court Transportation Units

The In-Custody Assessment Unit reviews all admissions/bookings from law enforcement agencies in accordance with mandates in the Welfare and Institutions Code. The Unit utilizes the evidence-based Detention Risk Assessment Instrument to determine if youth should remain detained or be released from custody.

The Unit is responsible for population management at Juvenile Hall. This includes assessing all youth for eligible releases and where appropriate, requesting modifications with the Juvenile Court to utilize detention alternatives such as Home Supervision/House Arrest, Global Positioning System (GPS), and the Work Project Program. These functions are critical in ensuring the County remains in compliance with regulations and Juvenile Hall's rated and operational capacity.

The Court Transportation Unit is responsible for monitoring high-risk youth being transported to adult court, medical and dental appointments, or the State Department of Youth and Community Restoration. These high-risk transports require multiple armed Probation Officers, as well as Juvenile Detention Officers, to accompany and supervise the youth.

### Work Project Program

The Work Project Program is an alternative to detention with staff overseeing court-ordered youth on weekends and during summer vacation. The program is responsible for maintaining the grounds at the Juvenile facilities. Due to significant staffing issues at Juvenile Detention, staff assigned to the program were reassigned to meet the minimum staffing ratios at the housing units. It is anticipated the program will be fully reinstated in 2020-2021 as staffing levels improve.

### Vocational Educational Programs

In 2018-2019, the Department contracted with SJCOE for the local Conservation Corps to institute a vocational educational

program with Camp Peterson Youth to learn about irrigation, landscape installation, and maintenance.

In 2020-2021, the Department will continue to offer a culinary vocational educational program in partnership with the Food Service Provider to Juvenile Hall and Camp Peterson youth. This program provides youth with training in kitchen basics and will provide practical work experience. In the latter months of 2019-2020, the department reduced the population at Camp Peterson in an effort to mitigate the spread of COVID-19. It is expected the COVID-19 pandemic will have an impact on the Camp population in 2020-2021.

### Youth Advocacy Unit

The Youth Advocacy Unit ensures essential and mandated services are provided in a timely, unbiased, consistent, and effective manner. Staff serve as Due Process and Grievance hearing officers, and members of the Use of Force Review Committee. Staff coordinate weekly meetings to discuss concerns involving youth exhibiting behavioral or disciplinary issues. Staff also prepare an Institutional Assessment and Case Plan for each youth detained over 30 days, conducting re-assessments every six months or when there is a significant event in the youth's life, and assist in developing an aftercare re-entry plan and transitional plans when youth are released back into the community.

The Unit, in collaboration with Victor Community Support Services, is responsible for facilitating various cognitive behavioral group sessions throughout all the housing units and for providing evidence-based programming to long-term youth at Juvenile Hall based on individual criminogenic needs.

Staff monitors all pre-dispositional youth placed on GPS or Home Supervision/House Arrest as an alternative to incarceration. This Unit also oversees the Behavior Management System (incentives and awards program). With the assistance of the Table Community Foundation, the Unit operates the "Dream Center" where youth earn weekly and monthly privileges of participating in a variety of fun recreational activities, including video games, painting, gardening, and craft projects.

In collaboration with the SJCOE, the Unit operates a library, "The Book Nook", inside Juvenile Detention. The Unit facilitates regular access for all youth in an effort to encourage reading and improve literacy.

### Reducing Racial & Ethnic Disparities (R.R.E.D.) Grant

From 2014 through 2018, the Department received a R.R.E.D. grant from the Board of State and Community Corrections. This grant sought to address the disproportionate rates of

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contact by minority youth throughout the juvenile justice system. Funding for this program was eliminated and various components of the R.R.E.D. program have been sustained, including data collection, Implicit Bias training for new staff

and law enforcement partners, quarterly Executive Steering Committee meetings, and services and activities in the identified high-risk areas, which are being provided by community-based organizations.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Juvenile Hall</b>					
<i>Average Daily Population (ADP)</i>	97	90	88	65	65
<i>Admissions</i>	1,012	856	695	742	750
<i>Average Length of Stay (Days)</i>	29	37	40	36	38
<i>Average Length of Stay (Days)</i>					
<i>Youth Found Unfit for Juvenile Court</i>	279	749	274	371	380
<i>Commitments (% of ADP)</i>	30%	26%	21%	18%	18%
<b>Juvenile Camp Program</b>					
<i>Average Daily Population</i>	21	24	22	16	18
<b>Total Detention Facilities ADP</b>	118	114	110	81	83
<b>Juvenile Court Work Project</b>					
<i>Average Daily Attendance</i>	2	0	0	3	5
<b>Electronic Monitoring</b>					
<i>Regular Average Daily Caseload</i>	29	48	42	38	45
<b>Home Supervision</b>					
<i>Regular Average Daily Caseload</i>	34	27	20	10	10



# Justice Assistance Grant

## Law & Justice

Steve C. Jackson, Chief Probation Officer

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$83,335	\$107,330	\$87,859	\$87,859	(\$19,471)
Services & Supplies	100,825	282,775	253,817	253,817	(28,958)
Centrally-Budgeted Expenses	(749)	(458)	487	487	945
<i>Total Appropriations</i>	\$183,411	\$389,647	\$342,163	\$342,163	(\$47,484)
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$149,106	\$390,105	\$341,676	\$341,676	(\$48,429)
Fund Balance	34,305	(458)	487	487	945
<i>Total Revenues</i>	\$183,411	\$389,647	\$342,163	\$342,163	(\$47,484)
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

### Purpose

The Edward Byrne Memorial Justice Assistance Grant (JAG) program is a Federal grant administered by the California Board of State and Community Corrections. JAG funds are allocated annually by legislative formula to local governments and are intended to help combat crime and improve public safety. The County and City of Stockton receive a combined funding allocation and are required to participate in a joint planning process to determine use of the grant funds. The recommended budget reflects only the County agencies' share of the overall JAG allocation.

The County receives annual JAG funding in the following budgets:

Department	Budget Unit
District Attorney (DA)	2020225000
Sheriff	2021654000
Probation	2022710000
Centralized JAG	2023024000

### Major Budget Changes

#### Salaries & Employee Benefits

- \$3,172 Increase in salary expense for DA.
- (\$23,835) Decrease in overtime for Sheriff.

#### Services & Supplies

- (\$3,233) Decrease in labor costs for DA.
- (\$25,725) Decrease in airplane fuel expense for Sheriff.

#### Revenues

- (\$48,429) Decrease in reimbursement from the City of Stockton.

### Program Discussion

The 2020-2021 recommended Edward Byrne Memorial Justice Assistance Grant (JAG) budget totals \$342,163; however, the actual amount received by County departments will depend on the outcome of discussions with the City of Stockton that are anticipated to occur late in 2020.

Since 2011, the County and City of Stockton have used annual JAG funding for a Countywide Firearms Reduction Consortium, including DA, Sheriff, Probation, and Stockton Police. The intent of the partnership has been to coordinate activities that combat gun violence, with funding allocated for prosecution of gun/gang violence, overtime costs for gun enforcement, airplane fuel costs, and a firearms examiner/equipment.

As of this writing, 2020-2021 JAG allocation amounts have not been announced. Discussions to be held between County

# ***Justice Assistance Grant***

## ***Law & Justice***

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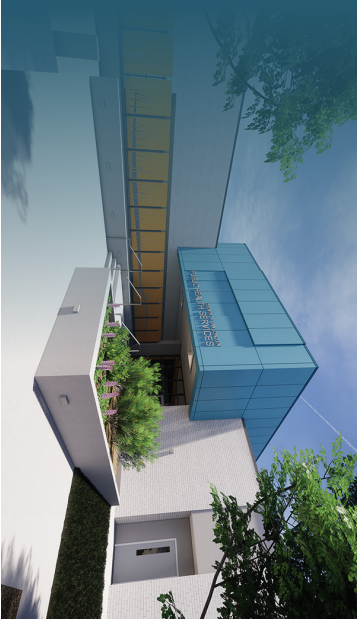
departments and the City of Stockton will provide direction on recommended funding allocation amounts to each of the agencies and the viability of continued participation in the Countywide Firearms Reduction Consortium.

The budget assumes funding will be allocated to County departments similar to the 2019-2020 funding levels. Once

the allocations are determined and after a consensus has been developed among the participating agencies regarding use of the funds and allocation amounts, a Memorandum of Understanding will be presented to the Board of Supervisors and Stockton City Council for consideration and approval of the 2020 JAG award.



PROPOSED BUDGET  
**ROADS & FACILITIES**



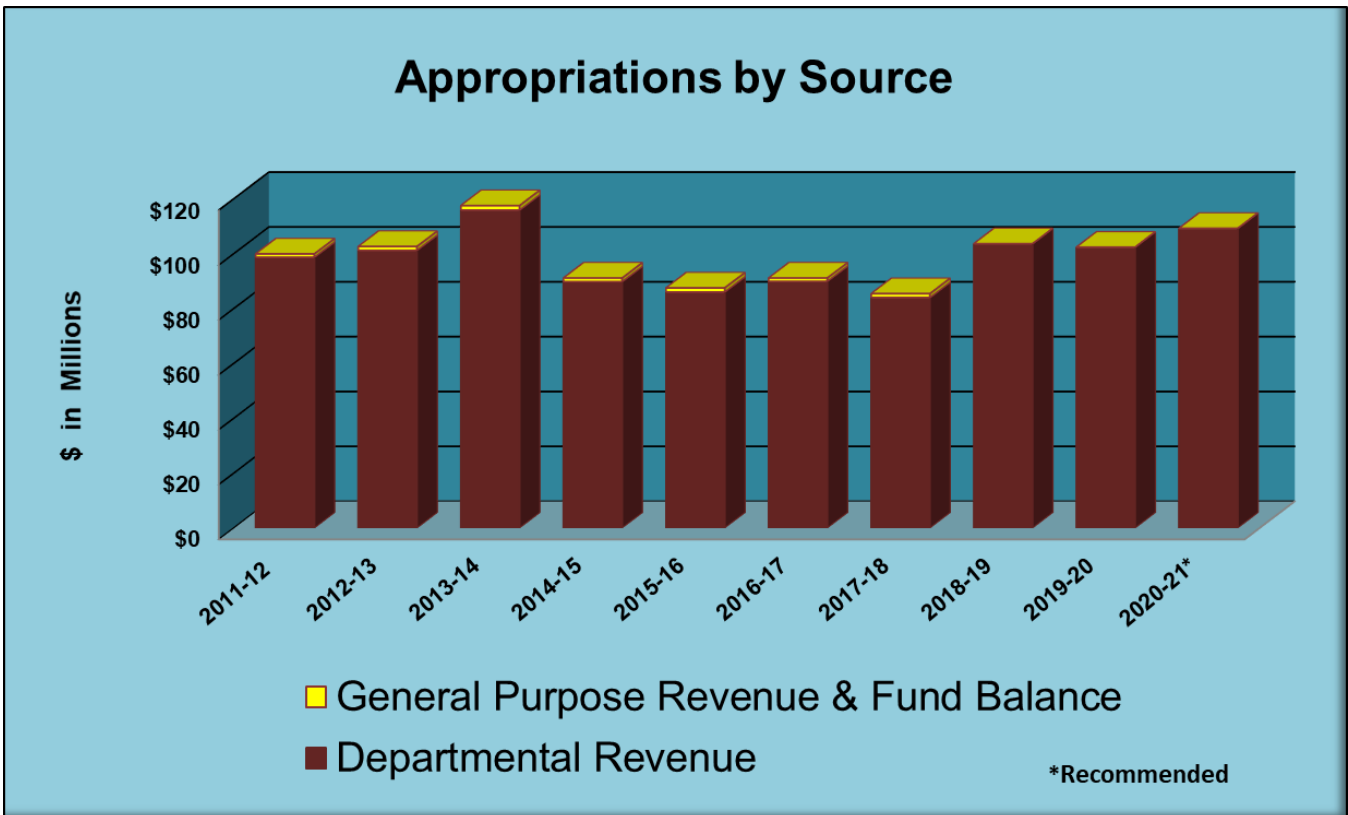
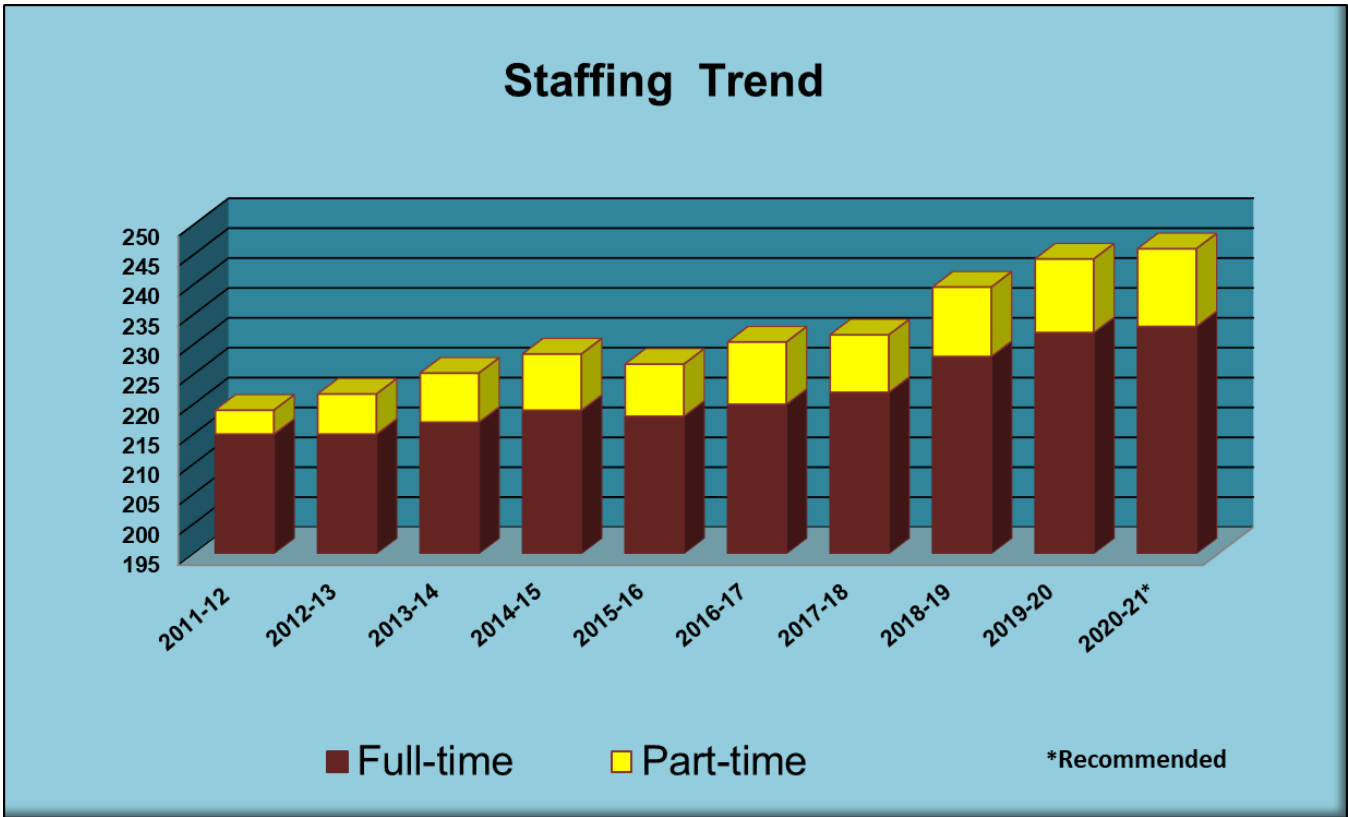
# Roads & Facilities

## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<i>Summary — All Public Works Budgets</i>	E-4				
<i>Summary — Public Works - Road Fund</i>	E-10				
<b>Public Works</b>					
3030101000 Administration	E-11	\$3,670,102	\$3,329,764	\$3,329,764	(\$340,338)
3030103000 Engineering	E-13	16,393,248	15,326,042	15,326,042	(1,067,206)
3030105000 Road Maintenance	E-17	17,702,184	19,243,833	19,243,833	1,541,649
3030106000 Construction	E-21	43,440,000	40,947,000	40,947,000	(2,493,000)
3030108000 Development Services	E-24	745,400	854,210	854,210	108,810
2023060000 Water Resources	E-27	0	0	0	0
2023070000 Delta Activities	E-34	730,000	670,000	670,000	(60,000)
3030900000 Community Infrastructure Engineering	E-36	65,000	40,000	40,000	(25,000)
<b>Road Districts</b>					
3031300000 Road District #1	E-39	960,107	1,323,750	1,323,750	363,643
3031400000 Road District #2	E-39	786,523	939,100	939,100	152,577
3031500000 Road District #3	E-39	1,062,007	1,475,022	1,475,022	413,015
3031600000 Road District #4	E-39	5,007,840	5,646,350	5,646,350	638,510
3031700000 Road District #5	E-39	1,472,555	2,860,769	2,860,769	1,388,214
3039900000 Community Infrastructure Program	E-41	1,642,000	1,136,000	1,136,000	(506,000)
<i>Summary — All Airport Budgets</i>	E-43				
9230901000 Airport Enterprise Fund	E-45	4,548,439	4,587,003	4,587,003	38,564
9231000000 Airport Capital Projects	E-49	4,117,691	10,830,250	10,830,250	6,712,559
<b>Total - Roads &amp; Facilities</b>		<b>\$102,343,096</b>	<b>\$109,209,093</b>	<b>\$109,209,093</b>	<b>\$6,865,997</b>

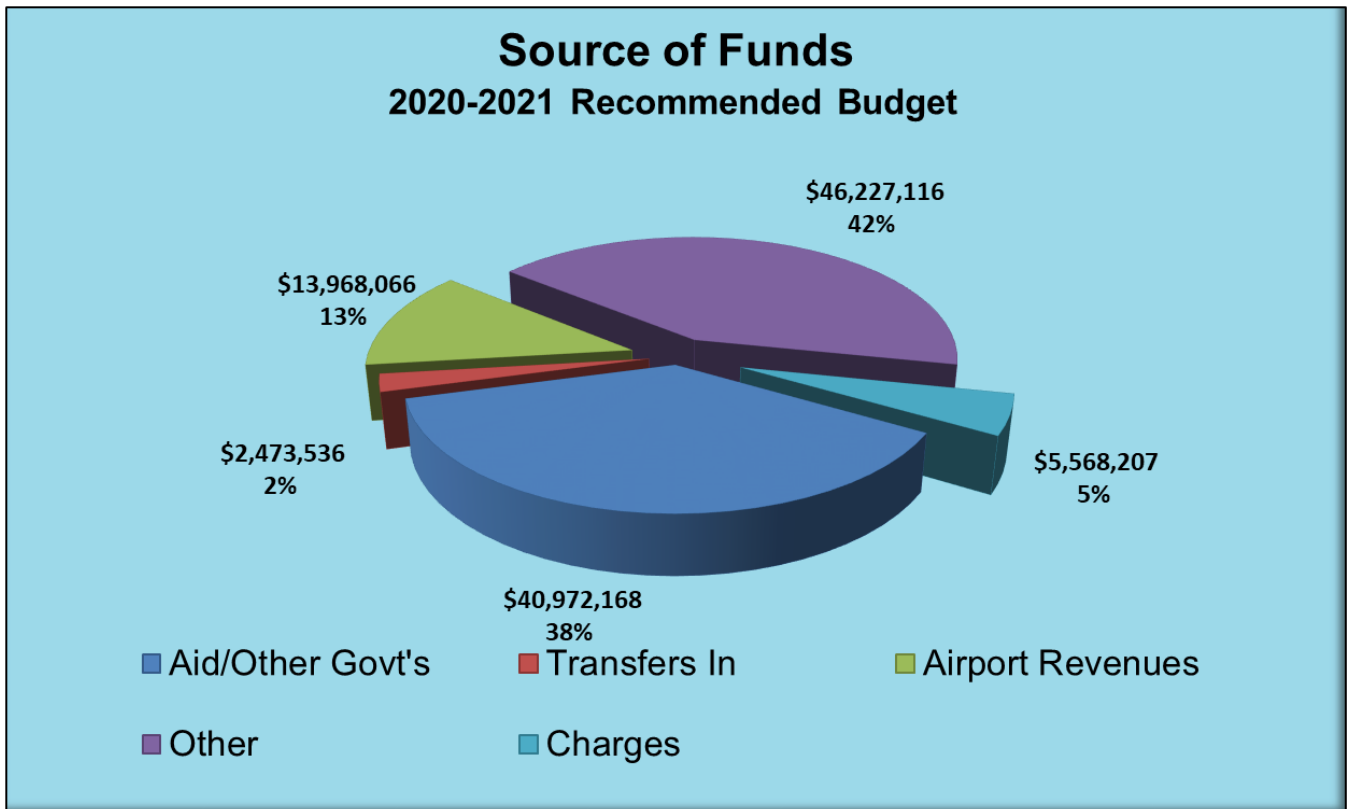
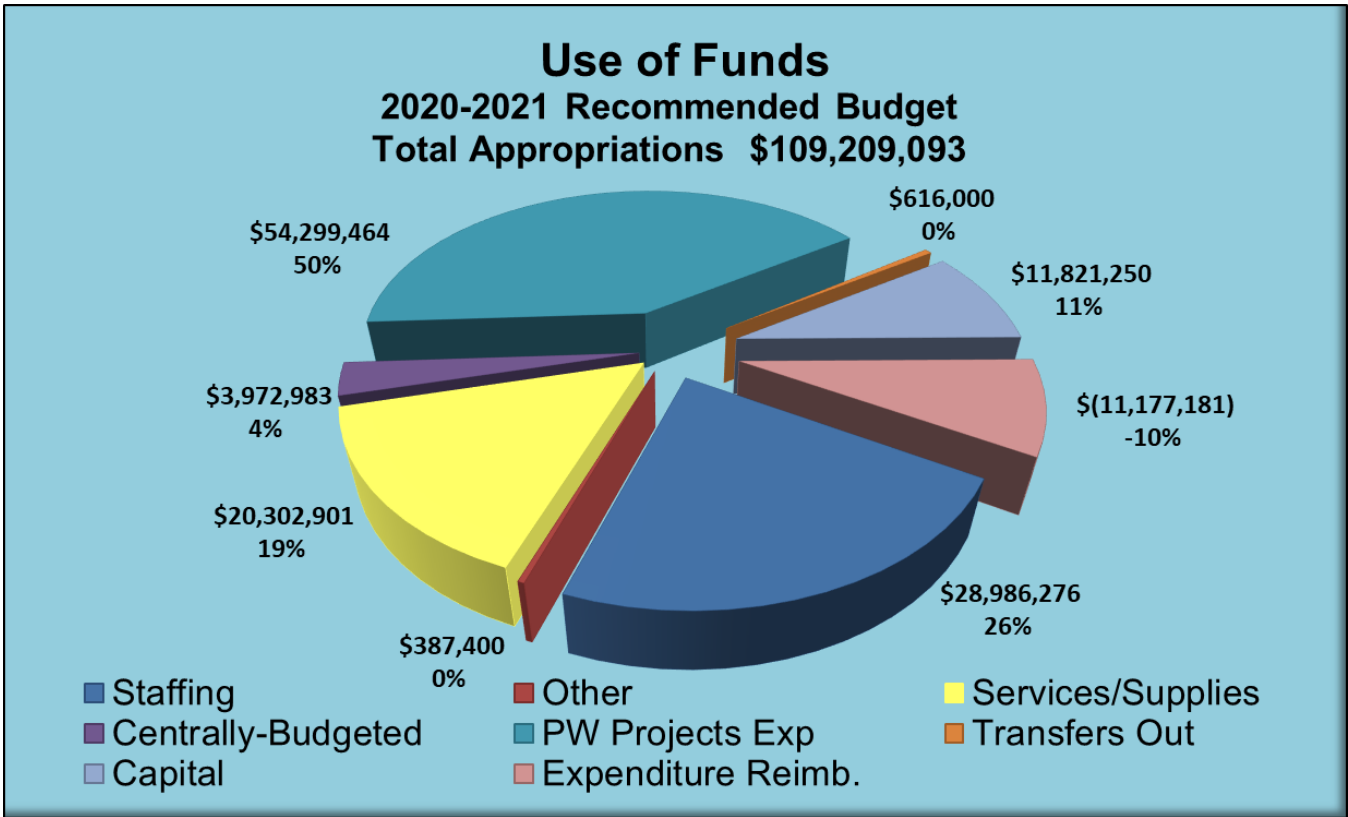
# Roads & Facilities

## Appropriations



# Roads & Facilities

## Appropriations



# Summary

## All Public Works Budgets

Kris Balaji, Public Works Director

	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$35,164,646	\$41,769,421	\$43,565,311	\$43,565,311	\$1,795,890
Services & Supplies	30,163,661	42,746,917	44,800,228	44,800,228	2,053,311
Centrally-Budgeted Expenses	4,505,837	3,886,570	4,757,842	4,757,842	871,272
Other Charges & Uses	1,923,415	2,968,015	2,991,315	2,991,315	23,300
Capital Expenditures	5,173,453	6,916,046	3,634,500	3,634,500	(3,281,546)
Operating Transfers Out	991,500	746,000	716,000	716,000	(30,000)
Public Works Project Expense	42,374,143	52,992,375	54,299,464	54,299,464	1,307,089
<b>Total Expenditures</b>	<b>\$120,296,656</b>	<b>\$152,025,344</b>	<b>\$154,764,660</b>	<b>\$154,764,660</b>	<b>\$2,739,316</b>
Expenditure Reimbursements	(16,601,630)	(19,789,306)	(22,927,838)	(22,927,838)	(3,138,532)
<b>Total Appropriations</b>	<b>\$103,695,026</b>	<b>\$132,236,038</b>	<b>\$131,836,822</b>	<b>\$131,836,822</b>	<b>(\$399,216)</b>
<b>Earned Revenues By Source</b>					
Taxes	\$19,106,769	\$17,356,180	\$20,626,737	\$20,626,737	\$3,270,557
Licenses/Permits/Franchises	144,154	174,000	214,160	214,160	40,160
Interest/Rents	1,640,614	1,274,745	1,603,939	1,603,939	329,194
Aid From Other Governments	48,520,775	51,301,125	41,322,168	41,322,168	(9,978,957)
Charges For Services	6,483,639	7,048,800	8,635,505	8,635,505	1,586,705
Miscellaneous Revenues	1,498,169	1,417,263	1,880,525	1,880,525	463,262
Operating Transfers In	1,803,470	1,068,100	1,010,000	1,010,000	(58,100)
Solid Waste Special Revenues	30,395,825	30,893,097	32,238,828	32,238,828	1,345,731
Fund Balance	(5,985,752)	21,563,556	24,184,878	24,184,878	2,621,322
<b>Total Revenues</b>	<b>\$103,607,663</b>	<b>\$132,096,866</b>	<b>\$131,716,740</b>	<b>\$131,716,740</b>	<b>(\$380,126)</b>
<b>Net County Cost</b>	<b>\$87,362</b>	<b>\$139,172</b>	<b>\$120,082</b>	<b>\$120,082</b>	<b>(\$19,090)</b>
<b>Staffing</b>					
Allocated Positions	387.0	399.0	405.0	405.0	6.0
Temporary (Full-Time Equivalent)	23.5	22.6	22.1	22.1	(0.5)
<b>Total Staffing</b>	<b>410.5</b>	<b>421.6</b>	<b>427.1</b>	<b>427.1</b>	<b>5.5</b>

- This chart does not include appropriations for Fleet Services Internal Service Fund budget (#8190000000).\*

This is a summary of the 20 budgets administered by the Public Works Director. These include:

- 1016000000 Surveyor
- 2023060000 Water Resources
- 2023070000 Delta Activities
- 2024100000 Flood Channel Maintenance
- 3030101000 Administration
- 3030103000 Engineering
- 3030105000 Road Maintenance
- 3030106000 Construction
- 3030108000 Development Services
- 3030900000 Community Infrastructure Engineering

# Summary

## Roads & Facilities

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- 3031300000 Road District #1
- 3031400000 Road District #2
- 3031500000 Road District #3
- 3031600000 Road District #4
- 3031700000 Road District #5
- 3039900000 Community Infrastructure Program
- 4040800000 Utility Districts
- 8190000000 Fleet Services Internal Service Fund\*
- 9210000000 Solid Waste Enterprise Fund
- 9312000000 CSA 31 Sewer Enterprise Fund

### 2019 Novel Coronavirus (COVID-19)

In 2020-2021, the Department's 20 budgets may experience budgetary impacts due to the COVID-19 pandemic. Narratives for each of these budgets have identified potential impacts.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for Public Works focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

#### Ensure Fiscal Responsibility

Public Works ensures fiscal responsibility through a variety of efforts including, but not limited to analysis of preventative maintenance opportunities; expanded use of technology; leveraging of grant funding; and lease/purchase cost analysis of equipment. Below are examples where Public Works is ensuring fiscal responsibility:

- The Department actively participates in a number of coalitions to maximize the effectiveness of efforts to advocate for common issues in the Delta. The County is an active participant in the Delta Counties Coalition (DCC), which includes the counties of Contra Costa, Sacramento, San Joaquin, Solano, and Yolo. The DCC is able to cost-share a number of expenses related to advocacy, research, media presence, and coordination that is paid for from the Delta Activities budget. Additionally, the County has shared in the cost of preparing technical and legal comments with the South and Central Delta Water agencies on the Bay Delta

Conservation Plan, California WaterFix, and the Single Delta Tunnel Proposal.

- The Community Infrastructure Engineering (CIE) Division continues to complete rate studies and reviews Engineer's reports necessary to establish service charges that provide adequate revenue for over 100 Special Districts. The ability to adjust service charges must be done within the constraints of Proposition 218. As of March 2020, nine rate increases proposed in 2019-2020 were approved, two are in the process, and six more are expected to be completed in 2020-2021. All Districts except two (which are in process) with negative fund balances have approved rate increases, and CIE is on track to complete Proposition 218 processes for all Districts with negative balances by the end of 2019-2020. Additionally, a significant percentage of service charges proposed each year are below the maximum authorized by property owners due to savings resulting from efficiencies realized in operations. Through careful rate administration, performing meaningful Proposition 218 outreach activities and constant efforts to improve services and reduce cost, the Department provides vital services that improve the quality of life for its customers in a fiscally responsible manner.

#### Promote Good Governance and Increase Organizational Capabilities

Public Works continues to establish and foster relationships with local and regional stakeholders, outside agencies, and other coalitions in order to continue to advocate for the needs of the community. Below are examples of Department initiatives to promote good governance and increase organizational capabilities:

- In 2019-2020, the Department undertook a Geographic Information System (GIS) needs assessment to ensure that sufficient strategic investments in technology and staff will be made to support the significantly larger role GIS is expected to play in Department operations, including critical support for an automated asset management/work order system to be developed over the next several years. In 2020-2021, the Department will develop an implementation strategy based on the GIS needs assessment results.

The Department is committed to promote good governance initiatives, and provide staff with training, for a variety of areas including professional development, succession planning, and strategic outlook.

In 2019-2020, the Department implemented the following initiatives:



# Summary

## Roads & Facilities

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- **Trailblazer Program** - provides stretch assignments to give a participant tasks or projects that are beyond their current knowledge or skill level in order to “stretch” them developmentally. The Program was temporary suspended in April 2019 and resumed in November 2019. It is anticipated the participant will complete the assignments in 2020-2021.
- **Business Process Review (BPR)** - undertaking of a review of operational and organizational processes to identify performance measurement improvements for the Department. The work on the BPR has been completed and improvements have been identified. The report is in the process of being finalized. The Department will evaluate the recommendations in the BPR and prioritize them for phased implementation.

The following initiatives have been identified to undertake in 2020-2021:

1. Consider the addition of an Assistant Director position;
  2. Fill the Public Works Business Administrator position;
  3. Implement a Department-wide Alternative Work Schedule;
  4. Complete a facility needs assessment for the Hazelton Complex;
  5. Establish an Employee Recognition Committee to create an Employee Recognition Program; and
  6. Provide leadership training to managers and supervisors.
- **Implementation of Capital Improvement Plan (CIP)** - will provide a multiyear capital plan, which identifies and prioritizes expected needs based on a strategic plan, establishes project scope and cost, estimated amounts of funding from various sources, and projects future operating and maintenance costs. The initial CIP is anticipated to be completed June 2020 and the Department’s focus for 2020-2021 will be on developing processes to monitor and update the CIP annually. Capital projects and expenses captured in the CIP will be identified in future budget years.
  - **Professional Development Program** - The Department is continuing its investment in staff development and is planning a continuation of staff development training in 2020-2021.

In 2019-2020, the Solid Waste Division planned to install a light-emitting diode (LED) monitor at both Lovelace and North County landfill locations to display essential information regarding Solid Waste and other County news for the public waiting in line to enter the landfill. Due to projects to realign entrance roads and lanes, this effort was delayed and will now be completed in 2020-2021.

### Improve Public Safety and Enhance the Overall Criminal Justice System

Public Works continues to focus on public safety for both its employees as well as the community. Below are examples of Department initiatives to promote safety:

- **Delta levees** protect life and property from floods. Continuing to advocate for Delta levee funding is critical to protecting the system of levees, which are not only in place to protect local Delta agriculture, but also to protect the urban areas of Lathrop, Manteca, and Stockton. The threat of catastrophic levee failures in the Delta have been overstated by the proponents of the Single Tunnel Proposal; however, Delta communities must continue to invest in maintenance of the existing levee system and meet the challenges of stricter regulatory oversight when performing in the field. The County supports the efforts of local levee maintaining agencies to find adequate funding not only locally, but to also ensure that historical cost-share levels from the State and Federal governments are honored.
- **Development Services** oversees development and administration of the County’s Improvement Standards. These Standards guide the design of infrastructure, which will be County-maintained and are a key contributor in the delivery of safe, high quality, and efficient transportation and utility systems within the unincorporated County. The Division also safeguards the public through proper application of the County Development Title and other applicable regulations through project-specific conditions of approval. The conditions of approval reflect necessary mitigations for impacts caused by development projects and compliance with local and State regulatory requirements.
- **Flood Channel Maintenance Division** provides flood protection and levee maintenance in established Zone No. 9 and 10 areas and contracts with the San Joaquin Area Flood Control Agency to maintain a portion of its levees. Services include vegetation control, stream-bed clearing, erosion control, rodent control, and patrol road maintenance. The Division is also responsible for implementing all State and Federal operations and

# Summary

## Roads & Facilities

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maintenance requirements to maintain levee accreditation.

- **Engineering Division** obtained a grant that provided for the installation of 140 beacons throughout rural areas in the County in 2018-2019. These beacons have been positively received by the community by providing a flashing light at two-way and four-way stops in unlit intersections, warning the public of intersection traffic. In 2020-2021, the Road Maintenance Division is including funding to help maintain and replace damaged beacons.
- **Road Maintenance Division** applied for and was awarded \$100,000 for a Farm and Ranch Cleanup grant from CalRecycle to work on prevention and cleanup of illegal dumping of five hot spots located in Road District 4 in the County. The locations were chosen based on historical dumping problems. Work will begin in 2020-2021 once funding is released from CalRecycle. The Division is also adding 3 new full-time employees and a second grapple truck to respond more efficiently to roadside dumping. Dedicating additional resources to illegal dumping abatement will reduce the diversion of additional staff from other essential road maintenance activities and allow for more effective, proactive scheduling of all work.
- **Transportation Engineering Division** continues to utilize and improve GIS capabilities to track collisions, speeding, and other safety-related traffic concerns. In 2019-2020, the Division initiated an effort to develop a Systemic Safety Analysis Report that will collect and analyze this data using computer algorithms to determine trends and opportunities to enhance the safety of the County transportation network.
- **Bridge Engineering Division** continues to work hand-in-hand with the Road Maintenance Division to develop a Minor Structures Maintenance and Repair Program (bridges with spans under 20 feet). A Minor Structures Maintenance and Repair Program is key to managing the large number of short span structures, which are a vital component to the County's transportation network and many of which are at or past their design life.
- **Fleet Services Division** continues to install Global Positioning System technology in all Fleet standard passenger vehicles to assist managers in monitoring vehicle use and implementing best practices for the safe and efficient use of County vehicles.
- **Solid Waste Division** is working to reduce greenhouse gas emissions from landfills and comply with the requirements of Senate Bill 1383 to divert organic material from landfills. Staff is working on suggested revisions of selected County ordinances and on planning

for infrastructure required to process organic material, and will make recommendations to the Board in 2020-2021.

### Promote Economic Development

The Public Works Department assists with the promotion of economic development by undertaking the following activities:

- **County's Delta Activities** efforts support economic development by fighting to ensure the Delta has continued access to water of sufficient quantity and quality necessary for the viability of commercial agriculture, the ability of communities to meet the water needs of constituents, and to sustain and attract local businesses. These water rights are senior to the rights of Delta exporters south of the County.
- **Solid Waste Division** promotes economic development through participation in the Recycling Market Development Zone Program. This Program operates with State agencies to provide loans to businesses that apply and are approved. The loans help provide funding for growth and expansion of businesses utilizing materials diverted from various waste streams for recycling. The Division also purchases supplies and services from local businesses in an effort to help grow and sustain the local economy.
- **Community Infrastructure Division** conducts public utility master planning for development within Special Districts and reviews Public Works and other agency projects for impacts to Special District infrastructure. The Division will continue to support Development Services, through an efficient and effective review process, to ensure new development projects provide sustainable infrastructure complying with applicable standards, while at the same time encouraging and facilitating new and/or existing business requests for utility services in support of local economic development.

### Stay Informed and Proactive in Dealing with Water Issues

Public Works continues to establish and foster relationships with local and regional stakeholders, outside agencies, and other coalitions in order to continue to be proactive regarding water issues facing the County. Below are examples of Department initiatives:

- **Public Works** continues to conduct regular and informative meetings with elected officials at the local, State, and Federal levels of government. These actions ensure these officials are well informed of the County's

# Summary

## Roads & Facilities

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position on water and the Delta, and to ensure that Delta stakeholders and residents have a represented voice.

- **Water Resources** and Sacramento-San Joaquin Delta issues are among the top strategic and legislative priorities of the Board of Supervisors. With two-thirds of the Delta located in the County, it is an important natural resource of local and Statewide importance, and the Board has vigorously defended County and regional interests in the Delta for many years. The Single Tunnel Proposal, the latest moniker for the Twin Tunnels project, and the conversion of agricultural land into shallow water habitat formally known as the Bay Delta Conservation Plan, threaten the economic, social, and environmental viability of the Delta. The Board has engaged with a variety of interests to defend the Delta in the following areas:
  1. Improving and maintaining the system of levees that protect life and property for both agricultural and urban areas, which also supports the current system of through-Delta exports;
  2. Advocating for continued access to Delta water supplies of sufficient quantity and quality for farmers, urban users, and the environment;
  3. Supporting efforts to have a healthy and viable Bay-Delta Estuary;
  4. Enhancing Delta maritime commerce and recreation; and
  5. Recognition of the Delta as a place.
- **Utility Districts** budget includes funds for continued focus on water issues including groundwater management, pumping and production costs, and conservation education and enforcement. The Division will continue its phased installation of water meters within existing Special District Water Systems as funding allows.
- **Solid Waste Division** proactively works with the California State Water Board in safeguarding the waters of the area. A Groundwater Monitoring Program has been established at each of the four County-owned landfills. The Division submits semi-annual reports to the Water Board on the Program results to ensure that landfill activities have minimal impact to local groundwater.

## Public Works Department Six Core Business Strategies

In concert with the Board of Supervisors' Strategic Priorities, Public Works has identified six core business strategies to

enhance the Department's services. Below are the strategies as well as additional initiatives being undertaken by the Department:

1. **Succession Planning** - Investment in staff to prepare them for career advancement and succession is necessary for sustained growth of the individuals and success of the Department.
  - In 2020-2021, the Department is investing in staff development and training in all of its Divisions.
2. **Customer Service** - The Department must ensure that it is providing excellent customer service to assist and support its external and internal customers.
  - In 2020-2021, GIS-based document management protocols are being utilized to eliminate waste, streamline research activities, and help provide information to the public quickly. In 2020-2021, GIS plans to utilize funds to continue to improve the quality of information made accessible to the community. The continuation of improving these systems will enhance the customer service experience along with improving relationships with neighboring City Public Works Departments in the County.
3. **Innovation** - Identifying new and innovative ways to provide enhanced services is paramount. The Department strives to become the most innovative Public Works Department in California.
  - In 2018-2019, the Community Infrastructure Engineering Division conducted its first virtual public meeting by using YouTube, which provided a cost-effective means of reaching out to approximately 4,600 property owners. Another virtual meeting covering nearly 5,000 properties is planned in 2020-2021.
  - In 2020-2021, the Road Maintenance Division budget includes funding to implement an innovative road surface stability pilot program on a segment of Holt Road. The program will reconstruct a segment of roadway using recycled concrete base material and a specially developed binder for use on road base built on peat soils. If successful, this will provide a solution to recurring problems with unequal settlement of roadways in the Delta.
  - In 2020-2021, the Engineering budget includes funding to pursue pilot projects utilizing artificial intelligence and deep machine learning to improve the quality and efficiency of our road evaluation process.
4. **Relationships** - Relationships are critical to the Department in the ability to properly serve the community

# Summary

## Roads & Facilities

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as well as working with other County departments and neighboring agencies.

- In 2020-2021, the Department is continuing its investment in staff development and training in all of its Divisions to improve professional relationships within the Department as well as enhance communication with County residents.
  - In 2020-2021, the Transportation Engineering Division will kick off a Local Road Safety Plan that brings together key Countywide stakeholders from law enforcement, transportation/traffic safety, non-profit organizations, and emergency responders to develop a comprehensive plan to identify countermeasures to mitigate safety concerns within the County transportation network.
5. **Strategic Outlook** - Having a long-term vision for the Department is necessary to ensure progress and improvement.
- In 2020-2021, the CIP is scheduled to be completed and the plan will provide the Department with key information to understand and plan for future budget impacts.
  - In 2020-2021, the Public Works-Administration Division budgeted funding to continue a facility needs assessment of the Public Works Building located at 1810 East Hazelton Avenue, Stockton. The needs assessment shall determine facility priorities.

6. **Recognition** - It is important that the Department recognizes accomplishments, both internally as well as from the public works industry and organizations. In 2019-2020, the Department received numerous awards acknowledging the efforts of the Public Works Department. Some of the awards earned are as follows:

- **Sacramento Chapter of the American Public Works Association (APWA) Project of the Year Award:** Elmwood Elementary School Access.
- **Sacramento Chapter of the APWA as Professional Managers of the Year:** Kevin Myose, Fleet Manager and Jayna Rutz, Community Infrastructure Engineering Manager.
- **California State Association of Counties Merit Award:** Using Waze for road closure notification to travelers in San Joaquin County.
- **California Transportation Foundation Transportation Award Finalist:** Local Street Project of the Year - McHenry Avenue Bridge; and Structure Project of the Year - Woodward Island Bridge (winners will be announced in June 2020).

In 2020-2021, the Department will continue its practice to seek out both traditional and non-traditional acknowledgements so that all employees have an opportunity to be recognized.

# Summary—Road Fund

## Roads & Facilities

Kris Balaji, Public Works Director

Road Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$18,997,263	\$23,171,049	\$24,200,543	\$24,200,543	\$1,029,494
Services & Supplies	7,662,466	14,762,002	13,227,868	13,227,868	(1,534,134)
Centrally-Budgeted Expenses	3,751,343	3,171,125	3,625,755	3,625,755	454,630
Other Charges & Uses	37,649	367,400	387,400	387,400	20,000
Capital Expenditures	230,605	1,108,020	631,000	631,000	(477,020)
Public Works Project Expense	37,739,309	44,683,068	43,139,373	43,139,373	(1,543,695)
<b>Total Expenditures</b>	<b>\$68,418,635</b>	<b>\$87,262,664</b>	<b>\$85,211,939</b>	<b>\$85,211,939</b>	<b>(\$2,050,725)</b>
Expenditure Reimbursements	(5,116,484)	(5,311,730)	(5,511,090)	(5,511,090)	(199,360)
<b>Total Appropriations</b>	<b>\$63,302,151</b>	<b>\$81,950,934</b>	<b>\$79,700,849</b>	<b>\$79,700,849</b>	<b>(\$2,250,085)</b>
<b>Earned Revenues By Source</b>					
Taxes	\$11,483,535	\$9,786,821	\$12,673,337	\$12,673,337	\$2,886,516
Licenses/Permits/Franchises	144,154	174,000	214,160	214,160	40,160
Interest/Rents	445,711	100,000	200,000	200,000	100,000
Aid From Other Governments	48,108,537	50,882,969	40,913,718	40,913,718	(9,969,251)
Charges For Services	1,228,749	1,110,975	2,266,707	2,266,707	1,155,732
Miscellaneous Revenues	63,652	50,070	65,070	65,070	15,000
Operating Transfers In	1,073,470	338,100	340,000	340,000	1,900
Fund Balance	754,343	19,507,999	23,027,857	23,027,857	3,519,858
<b>Total Revenues</b>	<b>\$63,302,151</b>	<b>\$81,950,934</b>	<b>\$79,700,849</b>	<b>\$79,700,849</b>	<b>(\$2,250,085)</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	197.0	198.0	200.0	200.0	2.0
Temporary (Full-Time Equivalent)	11.2	11.2	10.7	10.7	(0.5)
<b>Total Staffing</b>	<b>208.2</b>	<b>209.2</b>	<b>210.7</b>	<b>210.7</b>	<b>1.5</b>

- Expenditures and Major Budget Changes are explained in following budget narratives.

The five budgets in the Road Fund are the following:

- 3030101000 Administration
- 3030103000 Engineering
- 3030105000 Road Maintenance
- 3030106000 Construction
- 3030108000 Development Services

Prior to 2020-2021, all revenue for the five budgets in the Road Fund were included in the Public Works Administration (#3030101000) budget. In 2020-2021, the Public Works Department identifies revenue sources for each budget unit separately in an effort to promote greater transparency.

# 3030101000—Public Works-Administration

## Roads & Facilities

Kris Balaji, Public Works Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$3,716,073	\$4,031,511	\$3,994,333	\$3,994,333	(\$37,178)
Services & Supplies	825,478	1,074,276	1,103,466	1,103,466	29,190
Centrally-Budgeted Expenses	2,131,508	1,699,265	1,421,915	1,421,915	(277,350)
Other Charges & Uses	9	0	0	0	0
Capital Expenditures	0	55,000	0	0	(55,000)
<b>Total Expenditures</b>	<b>\$6,673,068</b>	<b>\$6,860,052</b>	<b>\$6,519,714</b>	<b>\$6,519,714</b>	<b>(\$340,338)</b>
Expenditure Reimbursements	(2,035,441)	(3,189,950)	(3,189,950)	(3,189,950)	0
<b>Total Appropriations</b>	<b>\$4,637,627</b>	<b>\$3,670,102</b>	<b>\$3,329,764</b>	<b>\$3,329,764</b>	<b>(\$340,338)</b>
<b>Earned Revenues By Source</b>					
Taxes	\$11,483,535	\$9,786,821	\$0	\$0	(\$9,786,821)
Licenses/Permits/Franchises	144,154	174,000	0	0	(174,000)
Interest/Rents	445,711	100,000	200,000	200,000	100,000
Aid From Other Governments	48,108,537	50,882,969	0	0	(50,882,969)
Charges For Services	1,228,749	1,110,975	0	0	(1,110,975)
Miscellaneous Revenues	62,703	50,070	14,070	14,070	(36,000)
Operating Transfers In	1,071,500	338,100	100,000	100,000	(238,100)
Fund Balance	(57,907,262)	(58,772,833)	3,015,694	3,015,694	61,788,527
<b>Total Revenues</b>	<b>\$4,637,627</b>	<b>\$3,670,102</b>	<b>\$3,329,764</b>	<b>\$3,329,764</b>	<b>(\$340,338)</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	29.0	29.0	29.0	29.0	0.0
Temporary (Full-Time Equivalent)	3.8	3.8	3.8	3.8	0.0
<b>Total Staffing</b>	<b>32.8</b>	<b>32.8</b>	<b>32.8</b>	<b>32.8</b>	<b>0.0</b>

- Prior to 2020-2021, all revenue for the five Road Fund budget units (Administration, Engineering, Road Maintenance, Construction, and Development Services) were included in this Public Works-Administration budget.

### Purpose

The Department of Public Works is responsible for planning, designing, constructing, operating, and maintaining public roads, bridges, water, wastewater systems, flood control, and solid waste systems for the County. Additionally, staff support is provided to the Planning Commission, Groundwater Basin Authority, Flood Control Technical Advisory Committee, Board Water Committee, County Advisory Water Commission, Delta Counties Coalition, and the Delta Coalition. Fleet Services Division support is also

provided to all County agencies by Public Works. The overall mission is to protect the health and welfare of the public, preserve the County's infrastructure investments, and develop Countywide long-term plans and programs for transportation systems, water quality and supply needs, flood management interests, solid waste management, and Special Districts.

The Public Works Administration budget funds support services for 20 functional areas of the Department. Support services include personnel, payroll, clerical, fiscal services, records management, and geographic information systems.

# 3030101000—Public Works-Administration

## Roads & Facilities

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### Major Budget Changes

#### Salaries & Employee Benefits

- (\$37,178) Salary and benefits adjustments.

#### Services & Supplies

- \$19,000 Increase in professional services for security monitoring and consultant costs.
- \$6,690 Increase in equipment costs.

#### Centrally-Budgeted Expenses

- \$149,844 Increase in data processing charges.
- \$96,736 Increase in Workers' Compensation and Casualty insurance costs.
- \$11,812 Increase in rent and leases of automation equipment.
- (\$534,103) Cost Allocation Plan adjustment.

#### Revenues

- \$100,000 Increase in interest income.
- \$61,788,527 Increase in use of Fund Balance reflecting a change in accounting methodology.
- (\$62,128,865) Decrease in revenue reflecting a change in accounting methodology.

### Program Discussion

The 2020-2021 recommended budget for Public Works Administration totals \$6,519,714, which is a decrease of \$340,338 from the 2019-2020 adjusted budget. This change reflects a Cost Allocation Plan adjustment (-\$534,103), a decrease in capital expenditures (-\$55,000), and salary and benefits adjustments (-\$37,178), partially offset by increases in data processing charges (\$149,844), Workers' Compensation and Casualty insurance costs (\$96,736), and professional services (\$19,000).

In prior years, revenue for the five Public Works Division budgets in the Road Fund (Administration, Engineering, Road Maintenance, Construction, and Development Services) were combined into this Administration budget. In 2020-2021, to promote greater transparency, the Department has identified revenue sources for each budget unit separately. Revenue and activities of individual divisions are provided in their respective budget narratives.

The Department will continue to manage its resources through strategic actions while incorporating the following Department's Six Core Business Strategies:

1. Succession Planning,
2. Customer Experience,
3. Innovation,
4. Relationships,
5. Strategic Outlook, and
6. Recognition.

*Additional detail is included in the Public Works Summary under the Board Strategic Priorities.*

#### Business Process Review (BPR)

In 2019-2020, a BPR was conducted of the Department's operations. The work on the BPR has been completed and improvements have been identified. The report is in the process of being finalized. The Department will evaluate the recommendations in the BPR and prioritize them for phased implementation. The Department has identified the following initiatives to undertake in 2020-2021: consider the addition of an Assistant Director position; fill the Public Works Business Administrator position; implement a Department-wide Alternative Work Schedule; complete a facility needs assessment for the Hazelton Complex; establish an Employee Recognition Committee to create an Employee Recognition Program; and provide leadership training to managers and supervisors.

#### New Building Feasibility Study

In February 2020, the Department engaged a consultant to explore options for a new or renovated Public Works building. The feasibility study was scheduled to be completed in April 2020, however, due to the 2019 Novel Coronavirus (COVID-19) it is likely the study will be delayed. Based upon the information that will be provided in the study, and in consultation with appropriate County departments, the Department will determine next steps.

# 3030103000—Public Works-Engineering

## Roads & Facilities

Kris Balaji, Public Works Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$6,895,043	\$8,632,033	\$8,954,488	\$8,954,488	\$322,455
Services & Supplies	3,201,229	8,658,132	6,913,075	6,913,075	(1,745,057)
Centrally-Budgeted Expenses	94,681	223,783	348,619	348,619	124,836
Capital Expenditures	49,478	6,500	41,000	41,000	34,500
<b>Total Expenditures</b>	<b>\$10,240,432</b>	<b>\$17,520,448</b>	<b>\$16,257,182</b>	<b>\$16,257,182</b>	<b>(\$1,263,266)</b>
Expenditure Reimbursements	(1,056,486)	(1,127,200)	(931,140)	(931,140)	196,060
<b>Total Appropriations</b>	<b>\$9,183,946</b>	<b>\$16,393,248</b>	<b>\$15,326,042</b>	<b>\$15,326,042</b>	<b>(\$1,067,206)</b>
<b>Earned Revenues By Source</b>					
Taxes	\$0	\$0	\$517,322	\$517,322	\$517,322
Aid From Other Governments	0	0	14,671,110	14,671,110	14,671,110
Charges For Services	0	0	750,201	750,201	750,201
Miscellaneous Revenues	928	0	0	0	0
Operating Transfers In	1,970	0	0	0	0
Fund Balance	9,181,048	16,393,248	(612,591)	(612,591)	(17,005,839)
<b>Total Revenues</b>	<b>\$9,183,946</b>	<b>\$16,393,248</b>	<b>\$15,326,042</b>	<b>\$15,326,042</b>	<b>(\$1,067,206)</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>					
Allocated Positions	68.0	68.0	67.0	67.0	(1.0)
Temporary (Full-Time Equivalent)	2.2	2.2	1.7	1.7	(0.5)
<b>Total Staffing</b>	<b>70.2</b>	<b>70.2</b>	<b>68.7</b>	<b>68.7</b>	<b>(1.5)</b>

- Prior to 2020-2021, revenue sources identified in Public Works-Administration budget (#3030101000).

### Purpose

The Public Works-Engineering budget consists of the Design, Field, Bridge, and Transportation Engineering Divisions. Services include planning, design, environmental review, right of way services, and inspection of construction projects for the County's transportation, utility infrastructure, and solid waste facilities. Engineering studies recommend safety, operational, development, capital, and facility planning actions.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$510,455 Salary and benefits adjustments.
- (\$197,475) Delete an Engineering Services Manager.
- \$23,105 Increase in overtime.
- (\$13,630) Decrease in extra-help.

#### Services & Supplies

- \$15,884 Increase in fleet services costs.



# 3030103000—Public Works-Engineering

## Roads & Facilities

- (\$1,627,743) Decrease in professional planning and engineering services.
- (\$99,885) Decrease in licenses and permitting costs for environmental mitigation.
- (\$33,250) Decrease in software and related license costs.

### Centrally-Budgeted Expenses

- \$73,830 Increase in Casualty insurance costs.
- \$53,465 Increase in data processing charges.

### Expenditure Reimbursements

- (\$126,500) Decrease in reimbursement from other County funds.
- (\$45,000) Decrease in reimbursement from Solid Waste Enterprise Fund.
- (\$15,560) Decrease in reimbursement from Community Development Block Grant.
- (\$9,000) Decrease in reimbursement from Flood Control Fund.

### Revenues

- \$6,297,630 State Highway Users Tax revenue due to reallocation of revenue from Public Works Administration (PW Admin) budget.
- \$4,886,275 Federal Intergovernmental revenues due to reallocation of revenue from PW Admin budget.
- \$3,297,580 Senate Bill (SB) 1 revenue due to reallocation of revenue from PW Admin budget.
- \$750,201 Service fee charges due to reallocation of revenue from PW Admin budget.
- \$517,322 Measure K funding due to reallocation of revenue from PW Admin budget.
- \$188,625 State Construction funding due to reallocation of revenue from PW Admin budget.

- (\$17,005,839) Decrease in use of fund balance due to reallocation of revenue from Public Works Admin budget.

## Capital Expenditures

- \$35,000 Survey equipment.
- \$6,000 Core machine.

## Program Discussion

The 2020-2021 recommended Public Works-Engineering budget totals \$16,257,182, which is a decrease of \$1,263,266 from the 2019-2020 adjusted budget. The decrease is primarily due to a decrease in professional engineering service costs (-\$1,627,743), and the deletion of an Engineering Services Manager position (-\$197,475), partially offset by salary and benefits adjustments (\$510,455), an increase in casualty insurance costs (\$73,830) and data processing charges (\$53,465).

This budget reflects current reimbursement levels for design and construction engineering activities from Federal, State, and local programs. The budget also reflects current levels of grant-supported activity and associated project cost reimbursements. In 2020-2021, the objective is to provide the engineering required to complete the construction of 40 projects:

Project Name	Projects
Surface Transportation Program	4
Highway Safety Improvement Program	3
Road Maintenance and Rehabilitation Account	21
Measure K Local Street Repair	2
Road District	4
Highway Bridge Program	3
Federal Emergency Management Agency	2
Measure K Congestion Relief	1
<b>Total</b>	<b>40</b>

### Fixing America's Surface Transportation Act (FAST Act)

The Moving Ahead for Progress in the 21st Century Act (MAP 21) authorized Federal highway spending for Federal fiscal years 2013 through 2015. MAP 21 consolidated several programs, including the Highway Bridge Program (HBP), into a new program structure and retained other discrete programs that provide project-based financial support to the County, including the Surface Transportation Program, Congestion Mitigation and Air Quality Improvement

# 3030103000—Public Works-Engineering

## Roads & Facilities

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Program (CMAQ), and the Highway Safety Improvement Program (HSIP).

On December 4, 2015, the President signed into law the FAST Act. The FAST Act authorizes \$305.0 billion for Federal fiscal years 2016 through 2020 to improve the Nation's surface transportation infrastructure. The law also makes changes and reforms to many Federal transportation programs, including streamlining the approval process for new transportation projects, establishing performance measures, and providing new safety tools. Part of the rules of MAP 21 that guide program delivery are still in effect under the FAST Act, as the changes have not yet been finalized.

The elimination of a discrete HBP essentially forces most bridge projects to compete with roadway projects for the same funding. However, the County is well positioned as it has already secured Federal funding for the preliminary engineering and environmental activities for 17 bridge projects to be constructed over the next 10 years.

CMAQ funds are competitively awarded to transportation projects that reduce emissions and mitigate congestion. Public Works succeeded in obtaining CMAQ funds for two roundabout projects on Jack Tone Road and State Routes 4 and 26, which are in the initial design stage with construction anticipated to begin in 2021-2022.

The HSIP and the High Risk Rural Roads Program (HR3), are competitive Federal safety grants administered by the California Department of Transportation (Caltrans). HSIP and HR3 support projects that reduce the number and severity of traffic collisions. Public Works has also received HSIP funding for two rumble strips projects (Lower Sacramento Road, and River Road), which will be designed and constructed in 2020-2021. One roundabout project (Byron Road/Grant Line Road), which previously received safety grant funding is also scheduled for construction in 2020-2021, while two others (Liberty Road/Dustin Road, and Duncan Road/Comstock Road) are in the design phase with construction anticipated in 2021-2022. The Active Transportation Program (ATP) is a State-funded competitive grant, which supports projects that target active forms of transportation such as bicycle and pedestrian traffic, including Safe Routes to Schools. In 2018-2019, Public Works successfully obtained an ATP grant for the Oro Avenue and Section Avenue Sidewalk Improvement project for a total of \$1.4 million that will be constructed in 2021-2022 due to design delays in 2019-2020.

### Road Maintenance and Rehabilitation Account (RMRA) - Senate Bill (SB) 1

SB 1, a transportation-funding bill signed by the Governor on April 28, 2017, established the RMRA in the State Transportation Fund for addressing deferred maintenance on

the State highway system and local street and road systems. RMRA funds are generated by a series of increases in fuel and vehicle taxes and fees to support research, planning, construction maintenance, and operation of public streets and highways. The County's share is determined by funding formulas based on the number of registered vehicles and maintained road mileage. Preliminary engineering efforts will be completed for 21 RMRA funded projects in 2020-2021.

### Highway Users Tax Account (HUTA)

HUTA funds are derived from State fuel excise taxes to support research, planning, construction, maintenance, and operation of public streets and highways. Similar to RMRA funding, the County's share is determined by funding formulas based on proportions of registered vehicles and maintained road mileage. HUTA Section 2103 revenues are anticipated to increase in 2020-2021, due in part to increased gasoline sales, but may be impacted by the 2019 Novel Coronavirus (COVID-19) health emergency. HUTA funding will be focused on providing advanced planning for future projects and matching funds for other Federal and State-funded projects.

### Measure K

Measure K funds are derived from the share of sales tax dedicated towards transit and transportation projects. Portions of Measure K funds are allocated to the Local Street Repair and Roadway Safety programs. Measure K funds also provide the local match for projects that qualify for Federal funding. The San Joaquin Council of Governments (SJCOG) advanced and leveraged Measure K Congestion Relief (MKCR) funds to deliver several major highway projects including the widening of both Interstate 5 and State Route 99 in Stockton, and the extension of State Route 4. One MKCR project (Pershing Avenue Widening) will be constructed in 2020-2021, utilizing cost savings and a reimbursement of funds from previously constructed projects. SJCOG anticipates MKCR funds will be available for programming non-highway projects beginning in 2021.

### Other Project Support

Staff will continue to focus on the delivery of multiple transportation programs supported by funds in the Construction budget and various other sources. Public Works succeeded in obtaining Federal Emergency Management Agency (FEMA) grants for permanent repairs of two emergency projects (Kennefick Road Flood Control, and Howard Road Erosion Control) resulting from the storms of 2017. Construction of both projects will begin in 2020-2021. Public Works will continue to pursue competitive transportation funding opportunities, as well as non-road

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## Roads & Facilities

opportunities such as Community Development Block Grant projects.

### 2019 Novel Coronavirus (COVID-19)

The COVID-19 health emergency is anticipated to result in a significant decrease in spending on certain goods and services due to the various local, State, and Federal stay-at-home orders. According to an April 2020 California Forecast

Report by HdL Companies, declines in sales tax revenues are expected to bottom out by the fourth quarter in 2020-2021 with moderate subsequent gains expected for future quarters. Net impacts to sales tax revenues such as HUTA, Measure K, and RMRA are anticipated to be moderate in 2019-2020. Public Works staff will monitor revenues and adjust project delivery accordingly.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Projects Designed & Constructed	14	25	18	24	44
Traffic Engineering Studies	95	126	83	60	80
Permits Inspected	1,136	696*	723	730	624
Bridges Inspected	24	47	44	32	45
Minor Structures Inspected**	N/A	N/A	N/A	N/A	255
Property Acquisitions/Dispositions	4	2	8	5	8
Property Appraisals	4	6	6	2	4
Property Abandonments	4	4	4	12	12
Environmental Referrals	19	12	12	18	20
Environmental Coordinations	70	51	52	50	60
Development Traffic Impact Studies	4	3	4	7	7
San Joaquin Council of Governments Participations	1	1	3	4	3
Caltrans Participations	2	2	4	4	6
Other Agency/City Participations	2	0	2	2	4
Internal Transportation Studies	1	1	2	3	4

\*Restated

\*\*In 2020-2021, began Minor Structures Inspections

# 3030105000—Public Works-Road Maintenance

## Roads & Facilities

Kris Balaji, Public Works Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$7,704,591	\$9,785,835	\$10,511,773	\$10,511,773	\$725,938
Services & Supplies	3,576,851	4,774,744	5,032,077	5,032,077	257,333
Centrally-Budgeted Expenses	1,519,150	1,242,617	1,844,710	1,844,710	602,093
Other Charges & Uses	54	400	400	400	0
Capital Expenditures	181,128	1,046,520	590,000	590,000	(456,520)
Public Works Project Expense	1,270,596	1,610,068	2,103,373	2,103,373	493,305
<b>Total Expenditures</b>	<b>\$14,252,370</b>	<b>\$18,460,184</b>	<b>\$20,082,333</b>	<b>\$20,082,333</b>	<b>\$1,622,149</b>
Expenditure Reimbursements	(824,969)	(758,000)	(838,500)	(838,500)	(80,500)
<b>Total Appropriations</b>	<b>\$13,427,400</b>	<b>\$17,702,184</b>	<b>\$19,243,833</b>	<b>\$19,243,833</b>	<b>\$1,541,649</b>
<b>Earned Revenues By Source</b>					
Taxes	\$0	\$0	\$5,893,000	\$5,893,000	\$5,893,000
Licenses/Permits/Franchises	0	0	61,160	61,160	61,160
Aid From Other Governments	0	0	11,814,661	11,814,661	11,814,661
Charges For Services	0	0	10,000	10,000	10,000
Miscellaneous Revenues	21	0	51,000	51,000	51,000
Operating Transfers In	0	0	240,000	240,000	240,000
Fund Balance	13,427,380	17,702,184	1,174,012	1,174,012	(16,528,172)
<b>Total Revenues</b>	<b>\$13,427,401</b>	<b>\$17,702,184</b>	<b>\$19,243,833</b>	<b>\$19,243,833</b>	<b>\$1,541,649</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	95.0	96.0	99.0	99.0	3.0
Temporary (Full-Time Equivalent)	5.2	5.2	5.2	5.2	0.0
<b>Total Staffing</b>	<b>100.2</b>	<b>101.2</b>	<b>104.2</b>	<b>104.2</b>	<b>3.0</b>

- Prior to 2020-2021, revenue sources identified in Public Works-Administration budget (#3030101000).

### Purpose

The primary goals of the Road Maintenance Division are to maintain safe roadways and to protect the County's road and bridge infrastructure investments. Performing timely maintenance of the County's 1,649 miles of roadway, 263 bridges, 1 ferry ramp, and 364 minor structures and related facilities is crucial to both of these goals.

Significant roadway maintenance programs include surface restoration, chip/crack seals, maintenance of traffic signs, roadway striping/legends, clearing drainage structures, maintenance of roadside drainage ditches and vegetation

control, safety trimming and removal of unsafe roadside trees, shoulder maintenance, sidewalk safety repairs, roadside debris removal, bridge and ferry ramp maintenance, guardrail maintenance, and drawbridge operations.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$430,765 Salary and benefits adjustments.
- \$238,952 Add three Highway Maintenance Workers.

# 3030105000—Public Works-Road Maintenance

## Roads & Facilities

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- \$56,221 Increase in overtime costs.

- \$125,000 Local Transportation Fund bike revenue sales tax funding due to reallocation of revenue from PW Admin budget.

### Services & Supplies

- \$220,049 Increase in fleet services costs.
- \$64,310 Increase in guardrail system repair costs.
- \$44,298 Farm and Ranch Cleanup grant program costs.
- \$42,000 Increase in traffic supply costs.
- \$19,717 Increase in refuse disposal costs.
- (\$99,000) Decrease in capital lease costs.
- (\$35,690) Decrease in equipment rental costs.

- \$61,160 License and permitting fee charges due to reallocation of revenue from PW Admin budget.
- \$50,000 Revenue from Recovered Damages due to reallocation of revenue from PW Admin budget.
- \$10,000 Service fee charges due to reallocation of revenue from PW Admin budget.
- (\$16,528,172) Decrease in use of fund balance due to reallocation of revenue from PW Admin budget.

### Centrally-Budgeted Expenses

- \$492,496 Increase in Workers' Compensation and Casualty insurance costs.
- \$75,511 Increase in data processing charges.
- \$28,445 Increase in radio maintenance costs.

### Capital Expenditures

- \$200,000 Grapple truck.
- \$150,000 Traffic Maintenance storage building.
- \$100,000 Automated gate for corporation yard.
- \$100,000 Electronic locks for Road Maintenance office.
- \$40,000 Bridge inspection platform attachment.

### Public Works Project Expense

- \$493,305 Increase in project expense costs.

### Expenditure Reimbursements

- \$75,500 Increase in reimbursement from Road Districts.
- \$10,000 Increase in reimbursement from Solid Waste Enterprise Fund.

### Revenues

- \$11,814,661 State Highway Users Tax revenue due to reallocation of revenue from Public Works Administration (PW Admin) budget.
- \$5,768,000 Measure K funding due to reallocation of revenue from PW Admin budget.
- \$240,000 Road District funding for ferry maintenance due to reallocation of revenue from PW Admin budget.

### Program Discussion

The 2020-2021 recommended Road Maintenance Division budget totals \$20,082,333, which is an increase of \$1,622,149 from the 2019-2020 adjusted budget. This change reflects increases in Public Works project expenses (\$493,305), Workers' Compensation and Casualty insurance costs (\$492,496), salary and benefits adjustments (\$430,765), the addition of three Highway Maintenance Workers positions (\$238,952), and increases in fleet services costs (\$220,049), data processing charges (\$75,511), guardrail repair costs (\$64,310), and overtime costs (\$56,221), partially offset by a decrease in capital expenditures (-\$456,520).

The addition of three Highway Maintenance Worker positions will allow Public Works to expand the collection of illegally-dumped materials within the County road right-of-way while continuing other critical road maintenance work. Staff assigned to debris removal will be working full-time on this

# 3030105000—Public Works-Road Maintenance

## Roads & Facilities

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task and will operate a rear-loading debris removal truck and two grapple trucks.

The Division is primarily funded from State gas tax revenues. With this funding, the Division will continue to focus on essential safety and system preservation services. Impacts from the 2019 Novel Coronavirus (COVID-19) on Road Maintenance activities are expected to be minimal. If gas tax revenues are significantly affected, there may be some impact on Road Fund balances that could require adjustments to planned programs in future years.

In 2020-2021, the Division will expand work efforts in three areas: Road Stabilization; updating inventory of County assets; and utilization of a Farm and Ranch grant.

### Road Stabilization

The Road Stabilization program requires usage of an environmentally-friendly product that will stabilize the base layer of selected roadways. In 2019-2020, this program was expanded to include a list of roads that require extensive patching and maintenance. A segment of Holt Road has been identified, for 2020-2021, as the first project candidate for this treatment.

### Inventory of County Roadway Assets

The Division has identified the need to update inventory records of County maintained assets installed along roadways, including guardrails, culvert pipes, and minor structures. The Division will begin a program to locate, identify, and assess the condition of these assets. This process will help Public Works plan for any needed repairs or replacements.

### Utilization of Farm and Ranch Grant

In 2019-2020, the State of California awarded \$100,000 in grant funding to the County for removal of illegally-dumped debris in defined agricultural zones that have a high frequency of illegal dumping. The County will be reimbursed for eligible expenses under the terms of the grant. This allows the County to pay costs for Road Maintenance employees and youth working for the San Joaquin County Office of Education as they remove and dispose of illegally-dumped debris beginning in 2020-2021.

The term of the grant agreement is from October 25, 2019 through March 17, 2022, when the formal grant report is due to CalRecycle. All expenses related to the grant must be paid by March 17, 2022. Road Maintenance expects to complete this grant project by June 2021.

### Fleet Replacement Program for Road Maintenance

Replacement of aging, inefficient, and environmentally non-compliant heavy equipment began in 2011-2012, using lease-purchase financing and continued through 2013-2014. Since 2014-2015, sufficient funds have been accumulated and the Division has been able to purchase replacement equipment without incurring the financing costs. As such, the leasing efforts ended in 2019-2020, providing long-term budget savings.

In 2020-2021, two pick-up trucks are proposed for replacement through the Fleet Services' Replacement Reserve at an estimated cost totaling \$60,000. This approach allows the Road Maintenance Fund to pay Fleet Services for the cost of utilizing the equipment, including maintenance and depreciation charges, so that sufficient funds may accumulate to replace the equipment once it reaches the end of its useful life.

In addition, the following equipment will be purchased and funded directly by the Road Fund:

<b>Equipment</b>	<b>Amount</b>
Grapple Truck.	\$200,000
Bridge inspection platform attachment.	40,000
<b>Total</b>	<b>\$240,000</b>

The 2020-2021 recommended budget also includes \$350,000 for the following:

<b>Improvements</b>	<b>Amount</b>
To construct an additional storage building near the Traffic Maintenance area at the corporation yard. This building would provide additional indoor storage for climate-sensitive equipment and for secure storage of Traffic Maintenance supplies (re-budgeted).	\$150,000
For the installation of an automatic gate on the east side of the Public Works corporation yard.	100,000
For the replacement of the existing door lock system to improve building security (re-budgeted).	100,000
<b>Total</b>	<b>\$350,000</b>

# 3030105000—Public Works-Road Maintenance

## Roads & Facilities

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Adopt-A-Road (miles adopted)	0	0	5	10	12
Chip-Sealing (miles)	131	117	136	203	140
Crack Seal (miles)	0	120*	144	141	140
Debris Removal (tons removed)	2,117	1,864	1,977	2,050	3,000
Leaf Pickup (tons)	0	442	434	272	287
Maintenance Restoration (miles)	5	3	4	3	5
Pavement Legends (each)	3,798	5,352	4,757	5,300	5,300
Pavement Striping (miles)	1,168	1,663	1,243	1,450	1,350
Raised Pavement Markers (each)	44,059	36,713	49,349	51,000	53,000
Roadside Mowing (miles)	1,490	2,400	1,708	1,747	1,837
Roadway Abatement (incidents handled)	0	0	171	375	425
Shoulder Weed Control (miles)	5	86	73	64	60
Sidewalk Repair (square feet)	6,821	2,972	2,888	6,911	6,500
Storm Drain Program (feet)	0	65,343	92,996	70,938	82,000
Traffic Sign Maintenance (each)	8,692	10,958	11,579	11,250	11,000
Tree Maintenance (each)	6,149	6,599	5,853	5,500	5,800

*\*Restated*

# 3030106000—Public Works-Construction

## Roads & Facilities

Kris Balaji, Public Works Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Other Charges & Uses	\$37,586	\$367,000	\$387,000	\$387,000	\$20,000
Public Works Project Expense	36,468,712	43,073,000	41,036,000	41,036,000	(2,037,000)
<b>Total Expenditures</b>	<b>\$36,506,298</b>	<b>\$43,440,000</b>	<b>\$41,423,000</b>	<b>\$41,423,000</b>	<b>(\$2,017,000)</b>
Expenditure Reimbursements	(1,052,149)	0	(476,000)	(476,000)	(476,000)
<b>Total Appropriations</b>	<b>\$35,454,149</b>	<b>\$43,440,000</b>	<b>\$40,947,000</b>	<b>\$40,947,000</b>	<b>(\$2,493,000)</b>
<b>Earned Revenues By Source</b>					
Taxes	\$0	\$0	\$6,263,015	\$6,263,015	\$6,263,015
Aid From Other Governments	0	0	14,427,947	14,427,947	14,427,947
Charges For Services	0	0	720,506	720,506	720,506
Fund Balance	35,454,149	43,440,000	19,535,532	19,535,532	(23,904,468)
<b>Total Revenues</b>	<b>\$35,454,149</b>	<b>\$43,440,000</b>	<b>\$40,947,000</b>	<b>\$40,947,000</b>	<b>(\$2,493,000)</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

- Prior to 2020-2021, revenue sources identified in Public Works-Administration budget (#3030101000).

### Purpose

The Public Works-Construction budget provides funding for the construction of County public roads, bridges, and related facilities. Projects financed exclusively by Road Districts and Community Development Block Grant (CDBG) funds are not included in this budget.

### Program Discussion

The 2020-2021 recommended budget for Public Works-Construction totals \$41,423,000, which is a decrease of \$2,017,000 from the 2019-2020 adjusted budget. This change is primarily due to completion of major multiyear projects including the McHenry Avenue Corridor Improvement Project and the Woodward Island Bridge Project. Construction contracts are scheduled to be awarded for 36 projects in 2020-2021: 20 projects with construction to be completed; 10 projects to begin construction by the end of 2020-2021; and 6 projects to be awarded late in 2020-2021. In addition, 6 Right-of-Way projects are planned for future years.

Discretionary Federal gas tax and State sales tax revenues are projected to increase slightly in 2020-2021. These discretionary revenues support basic roadway operations and maintenance activities in addition to capital construction contracts.

### 2019-2020 Construction Projects

During 2019-2020, construction contracts were awarded for 19 projects, totaling \$14.3 million, including 12 projects scheduled to complete construction in 2019-2020 and 7 projects to begin construction in 2020-2021.

Funding Source for Projects	No. of Projects	Cost
Road Maintenance and Rehab (SB 1)	16	\$11.4M
Surface Transportation Program	1	1.2M
Highway Users Tax Account	1	0.9M
Local Transportation Fund	1	0.8M
<b>Total</b>	<b>19</b>	<b>\$14.3M</b>



# 3030106000—Public Works-Construction

## Roads & Facilities

### 2020-2021 Construction Projects

The 2020-2021 budget includes \$41,423,000 to deliver the following 42 projects:

<b>Funding Source for Projects</b>	<b>No. of Projects</b>	<b>Cost</b>
Road Maintenance and Rehab Account (SB 1)	21	\$14.8M
Highway Bridge Program	3	9.6M
Surface Transportation Program	4	6.4M
Measure K Local Streets and Roads	2	4.0M
Highway Safety Improvement Program	3	1.3M
Federal Emergency Management Agency	2	1.6M
Measure K Congestion Relief	1	3.3M
Right of Way	6	0.4M
<b>Total</b>	<b>42</b>	<b>\$41.4M</b>

### Federal Programs

#### *Surface Transportation Program (STP)*

This Program is funded by a flexible block grant administered by the San Joaquin Council of Governments (SJCOG). Historically, Federal gas tax dollars have been distributed to local agencies for discretionary use on local roadways classified as Federal aid routes. The County utilizes these funds to rehabilitate local regional and inter-city roadways. The County's 2020-2021 STP budget is expected to be \$6.4 million for four resurfacing projects at French Camp Road, River Road, and West Lane (East and West of West Lane).

#### *Highway Safety Improvement Program (HSIP), and High Risk Rural Roads Program*

These competitive Federal Grant Programs are administered by the California Department of Transportation (Caltrans) to reduce the number and severity of traffic accidents, and to support projects that target active forms of transportation, including bicycles and pedestrians. The 2020-2021 budget includes \$1.3 million to support the construction of one HSIP roundabout project and two rumble strips projects.

#### *Highway Bridge Program (HBP) and Bridge Preventative Maintenance Program (BPMP)*

Caltrans establishes Statewide lists of deficient bridges based upon inspection reports. These reports designate which bridges are eligible for replacement, rehabilitation, preventative maintenance, and erosion counter measures.

Despite the passage of the Federal Fixing America's Surface Transportation Act, which provides additional funding for local bridges over the five-year term of the Act, funding was not included for on-system bridges. These on-system bridges are essentially forced to compete with roadway projects for the same funding. Additionally, Caltrans advised local agencies that the HBP is financially constrained as there are more needs than available funding. However, the County successfully secured initial funding for the preliminary engineering and environmental phases for 28 bridge projects to be constructed over the next 10 years. Seventeen HBP and BPMP projects remain in development, with three projects totaling \$9.6 million to be awarded in 2020-2021. One bridge rehabilitation, one bridge replacement, and one electrical and mechanical repair project began construction in spring 2020.

#### *Congestion Mitigation & Air Quality (CMAQ) Improvement Program*

The CMAQ Improvement Program is for those areas that do not meet the National Ambient Air Quality Standards as well as former non-attainment areas that are now in compliance. The formula for fund distribution considers an area's population by county and the severity of its ozone and carbon monoxide problems, with greater weight given to areas that have not attained both carbon monoxide and ozone standards. CMAQ funds are competitively allocated by SJCOG and are directed to transportation projects and programs that reduce emissions and mitigate congestion. While not included in the 2020-2021 Construction budget, Public Works was successful in obtaining \$3.2 million of CMAQ funds in 2017 for two roundabout projects on Jack Tone Road and State Routes 4 and 26 that were slated to begin in 2020-2021, however, the projects are expected to begin construction in 2021-2022.

### State Programs

#### *Road Maintenance and Rehabilitation Account (RMRA) - Senate Bill (SB) 1*

The RMRA was established in the State Transportation Fund by SB 1. SB 1 is a transportation funding bill signed by the Governor on April 28, 2017, for the purpose of addressing deferred maintenance on the State highway and local street and road systems. RMRA funds are generated by a series of increases in fuel, vehicle taxes, and fees to support research, planning, construction, maintenance, and operation of public streets and highways. Similar to HUTA, the County's share is determined by funding formulas based on the number of registered vehicles and maintained road mileage. The 2020-2021 budget includes \$14.8 million to support the construction of 21 new RMRA projects.

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## Roads & Facilities

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### *Active Transportation Program (ATP)*

The ATP was created to encourage increased use of active modes of transportation, such as walking and biking. The ATP consolidated various State and Federal transportation programs, including the Transportation Alternatives Program, Bicycle Transportation Account, and State Safe Routes to Schools, into a single competitive program with a focus to make California a national leader in active transportation. While not included in the 2020-2021 Construction budget, Public Works has been successful in obtaining a competitive grant in the amount of \$1.4 million for the Oro Avenue and Section Avenue Sidewalk Accessibility Improvements project, which will be constructed in 2021-2022.

### **Local Programs**

#### *Measure K— Local Street Repair (MKLSR)*

Measure K funds are used as local match for Federal and State-funded projects, as well as ongoing road maintenance needs. The Measure K Program was renewed by voters in 2006, and does not sunset until 2041. Under the Program, 30% of the total funding is designated for MKLSR road resurfacing/rehabilitation of which 5% must be used for MKLSR safety needs. Measure K funds will be utilized for the construction of two projects totaling \$4.0 million in 2020-2021, and will provide local match for the Federal funding of other projects.

### *Measure K— Congestion Relief Program (MKCR)*

MKCR funds are designated for County roadway improvement projects specified in Measure K that provide capacity-enhancing features, additional travel lanes, signalization, and channelization. One new MKCR project totaling \$3.3 million is anticipated to be awarded in 2020-2021, utilizing cost savings from the construction of the Lower Sacramento Road Improvements project, which was completed in 2016-2017. SJCOG has stated that no additional MKCR funds will be available until 2021.

### **2019 Novel Coronavirus (COVID-19)**

The COVID-19 health emergency is anticipated to result in a significant decrease in spending on certain goods and services due to the various local, State, and Federal stay-at-home orders. According to an April 2020 California Forecast Report by HdL Companies, declines in sales tax revenues are expected to bottom out by the fourth quarter of 2020 with subsequent gains expected to be moderate for several quarters after. Net impacts to sales tax revenues such as HUTA, Measure K, and RMRA are anticipated to be moderate in 2019-2020. Public Works staff will monitor revenues and adjust or delay project delivery accordingly.

# 3030108000—Public Works-Development Services

## Roads & Facilities

Kris Balaji, Public Works Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$681,555	\$721,670	\$739,949	\$739,949	\$18,279
Services & Supplies	58,909	254,850	179,250	179,250	(75,600)
Centrally-Budgeted Expenses	6,004	5,460	10,511	10,511	5,051
<b>Total Expenditures</b>	<b>\$746,467</b>	<b>\$981,980</b>	<b>\$929,710</b>	<b>\$929,710</b>	<b>(\$52,270)</b>
Expenditure Reimbursements	(147,439)	(236,580)	(75,500)	(75,500)	161,080
<b>Total Appropriations</b>	<b>\$599,029</b>	<b>\$745,400</b>	<b>\$854,210</b>	<b>\$854,210</b>	<b>\$108,810</b>
<b>Earned Revenues By Source</b>					
Licenses/Permits/Franchises	\$0	\$0	\$153,000	\$153,000	\$153,000
Charges For Services	0	0	786,000	786,000	786,000
Fund Balance	599,028	745,400	(84,790)	(84,790)	(830,190)
<b>Total Revenues</b>	<b>\$599,028</b>	<b>\$745,400</b>	<b>\$854,210</b>	<b>\$854,210</b>	<b>\$108,810</b>
<b>Net County Cost</b>	<b>\$1</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>5.0</b>	<b>5.0</b>	<b>5.0</b>	<b>5.0</b>	<b>0.0</b>

- Prior to 2020-2021, revenue sources identified in Public Works-Administration budget (#3030101000).

### Purpose

The Development Services budget provides funding for Public Works-Development Services Division activities, including the review of private development projects; issuing encroachment and transportation permits; providing engineering support for ongoing development of the Stockton Metropolitan Airport and Veteran’s Affairs Community Based Outpatient Clinic; and serving as staff support to the Planning Commission. The Development Services Division serves as the liaison with the Community Development Department and reviews all unincorporated development project applications to ensure the provision of adequate public infrastructure to support the development in a sustainable, efficient, safe, and fiscally responsible manner, while protecting the environment and natural resources.

### Services & Supplies

- \$60,000 Increase in external consultants for plan check and entitlement assistance.
- (\$124,800) Decrease in professional services from Field Engineering Division.
- (\$10,000) Decrease in professional services from Community Infrastructure Engineering Division.

### Expenditure Reimbursements

- (\$158,080) Decrease in cost reimbursements due to reallocation of funding from Public Works Administration budget.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$18,279 Salary and benefits adjustments.

#### Revenues

- \$786,000 Service fee charges due to reallocation of revenue from Public Works Administration budget.

# 3030108000—Public Works-Development Services

## Roads & Facilities

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- \$153,000 Licensing, permitting, and miscellaneous fee charges due to reallocation of revenue from Public Works Administration budget.
- (\$830,190) Decrease in use of fund balance due to reallocation of revenue from Public Works Administration budget.

### Program Discussion

The 2020-2021 recommended Development Services budget totals \$929,710, which is a decrease of \$52,270 from the 2019-2020 adjusted budget. This is primarily due to a decrease in professional services from the Field Engineering Division (-\$124,800), partially offset by an increase in external consultant services (\$60,000), and salary and benefits adjustments (\$18,279).

In 2020-2021, private development activity is expected to remain at current levels; however, could potentially be reduced as a result of the 2019 Novel Coronavirus (COVID-19) pandemic. The workload predominantly consists of residential, commercial, and industrial development applications. Additionally, transportation and encroachment permit issuances are expected to remain steady, but could potentially decrease due to COVID-19, as well as, building permit releases that were expected to increase modestly in 2020-2021 after peaking in 2017-2018, may decrease depending on the severity of long-term COVID-19 related impacts.

Larger development projects have resulted in an increase in workload compared to previous years. Consequently, the Division's overall workload for 2020-2021 is anticipated to be slightly higher than 2019-2020. Processing private and public development projects results in quality of life community benefits across a broad spectrum including job creation, economic stimulus, additional housing, greater access to health care, and commercial and industrial business expansion. Development services plays a vital role in the creation of new manufacturing, distribution, and recreational facilities.

### Development Services Division

The Division will continue to engage in a number of activities that are not fee-supported but important to protect the County's interests and ensure adequate infrastructure to support and mitigate impacts of future growth. In 2020-2021, continued efforts will be made to update the County's Development Title to align with the 2035 General Plan Update and any amendments made thereafter. There will be continued interaction with the cities as their urban growth patterns drive the demand for utilities and transportation facilities to serve urban development. The review of city development projects, environmental documents, and Local Agency Formation Commission applications are necessary to protect the County's investment in its infrastructure and minimize impacts upon adjacent unincorporated interests.

The Division plays a lead role in the application of programs affecting development projects. The County's Stormwater Quality Control program has affected development standards in an effort to sustain a "Green" environment. The mitigation of stormwater runoff from development projects is one way the County is making changes to foster a sustainable and environmental-friendly approach to development. The Division will assist in the application of those programs by serving as a liaison to affected developers.

### Surface Mining and Reclamation Act (SMARA)

The Division is charged with maintaining an effective and comprehensive surface mining, reclamation inspection, and financial assurance program for surface mining operations in the County, as required by SMARA. These efforts are made to ensure that adverse environmental effects are prevented or minimized and that mined lands are reclaimed to a usable condition that is readily adaptable for alternative land uses. The Division monitors 26 quarry operations and annual Quarry Financial Assurances Reports are prepared by the Division for submission to the State. The Division occupies the lead role in quarry-related monitoring and inspection.

### 2019 Novel Coronavirus (COVID-19)

Economic impacts from the COVID-19 pandemic could result in reduced land development activity in the unincorporated County, which in turn would likely translate to lower-than-projected Development Services Division revenues. A low to moderate revenue impact is anticipated in 2020-2021, depending on the severity and duration of economic impacts from the pandemic.

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## Roads & Facilities

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Public Services</b>					
<i>Agreements, Dedications and Declarations</i>	5	15	10	10	10
<i>Building Permit Releases</i>	1,374	1,719	1,677	1,414	1,450
<i>Business Licenses Issued</i>	251	255	296	332	340
<i>Community Development</i>					
<i>Application Referrals</i>	235	220	226	220	230
<i>Improvement Plan Referrals</i>	102	101	147	124	125
<i>Encroachment Permits</i>	1,066	696	723	600	630
<i>SMARA Monitoring, Inspection &amp;     Financial Assurances</i>	26	26	26	26	26
<i>Transportation Permits</i>	983	1,204	1,182	1,037	1,200

# 2023060000—Public Works-Water Resources

## Roads & Facilities

Kris Balaji, Public Works Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,664,849	\$1,856,426	\$1,996,701	\$1,996,701	\$140,275
Services & Supplies	599,415	859,756	1,218,075	1,218,075	358,319
Centrally-Budgeted Expenses	6,734	19,050	100,166	100,166	81,116
<b>Total Expenditures</b>	<b>\$2,270,998</b>	<b>\$2,735,232</b>	<b>\$3,314,942</b>	<b>\$3,314,942</b>	<b>\$579,710</b>
Expenditure Reimbursements	(2,270,428)	(2,735,232)	(3,314,942)	(3,314,942)	(579,710)
<b>Total Appropriations</b>	<b>\$570</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Earned Revenues By Source</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Net County Cost</b>	<b>\$570</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	12.0	12.0	12.0	12.0	0.0
Temporary (Full-Time Equivalent)	0.0	0.8	2.0	2.0	1.2
<b>Total Staffing</b>	<b>12.0</b>	<b>12.8</b>	<b>14.0</b>	<b>14.0</b>	<b>1.2</b>

- Flood Management budget consolidated into the Water Resources budget July 1, 2018.

### Purpose

The Water Resources Division (Water Resources) is responsible for carrying out the functions of the San Joaquin County Flood Control and Water Conservation District (District) including administration of the County's flood management and water resources programs. Water Resources is also responsible for implementing the goals and objectives established by the Board of Supervisors' Strategic Plan to proactively deal with water issues. Additionally, Water Resources administers the County's National Pollutant Discharge Elimination System (NPDES) Municipal Stormwater Program and Delta Activities budget.

- (\$129,320) Transfer an Engineer to the Community Infrastructure Engineering budget (3030900000).
- \$80,950 Increase in extra-help.

### Services & Supplies

- \$225,000 Increase in consultant costs.
- \$60,000 Increase in website maintenance costs.
- \$58,319 Increase in allocated service department costs.
- \$15,000 Increase in training costs.

### Major Budgets Changes

#### Salaries & Employee Benefits

- \$56,045 Salary and benefits adjustments.
- \$132,600 Transfer a Management Analyst from the Community Infrastructure Engineering budget (3030900000).

#### Centrally-Budgeted Expenses

- \$50,998 Increase in Casualty insurance costs.
- \$9,435 Increase in data processing charges.
- \$6,552 Increase in rents and leases of automation equipment.

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## Roads & Facilities

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- \$13,472 Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$728,913 Increase in reimbursement from Special Districts.
- \$89,740 Increase in reimbursement for services from the NPDES Stormwater Program.
- (\$238,943) Decrease in reimbursement from the Flood Control District.

## Program Discussion

The 2020-2021 recommended budget for Water Resources totals \$3,314,942, which is an increase of \$579,710 from the 2019-2020 adjusted budget. This change reflects increases in consultant costs (\$225,000), extra-help costs (\$80,950), website maintenance costs (\$60,000), allocated service departmental costs (\$58,319), salary and benefits adjustments (\$56,045), and Casualty insurance costs (\$50,998).

Water Resources continues to face increasing workload demands, largely due to maintaining compliance with complex and frequently-changing State and Federal requirements.

### San Joaquin County Flood Control and Water Conservation District (District)

The State Legislature created the District in 1956 to construct, operate, maintain, and plan flood control, water supply, and drainage projects. The District is a Countywide entity with the County Board of Supervisors serving as the governing body of the District. The Director of Public Works is designated as the Flood Control Engineer in the District enabling legislation and is delegated certain authority as described in the San Joaquin County Ordinance Code (Ordinance No. 4546).

The District is authorized by statute to form zones within the County to fund construction projects and investigations related to flood control and water resources. Currently, Water Investigation Zone 2 and Flood Control Zones 9 and 10 are active zones within the District. Program revenues, expenditures, and budgeted activities for each of these specific funding sources are indicated within the Special District budgets.

The District is also an active member agency of the San Joaquin Area Flood Control Agency (SJAFCA) and Mokelumne River Water and Power Authority (MRWPA). Water Resources also supports the Advisory Water Commission, which consists of members from 23 agencies

and interests who are appointed by and charged with making water-related recommendations to the Board of Supervisors.

### Flood Management

Water Resources responsibilities include floodplain administrative activities, which involves enforcing the County's flood and drainage related ordinances, providing engineering and budgetary support to the Channel Maintenance Division to ensure compliance with State and Federal levee maintenance requirements, processing watercourse encroachment permits and elevation certificates, and educating the community about reducing flood risks. The following flood management activities are primarily supported by the Special District budgets for the District, Zone 9, and SJAFCA Assessment District (AD) 96-1.

### National Flood Insurance Program

Water Resources administers the County's Special Flood Hazard Ordinance as required by the Federal Emergency Management Agency to remain eligible for coverage under the National Flood Insurance Program (NFIP). Under the NFIP, flood insurance is required for Federally-backed mortgages on properties within Special Flood Hazard Areas (generally those with less than 100-year flood protection). Failure to enforce the County's Special Flood Hazard Ordinance may result in the County being ineligible under the NFIP and ineligible to receive Federal funding.

### NFIP Community Rating System (CRS) Program

Water Resources also maintains the County's eligibility for the NFIP CRS Program, which qualifies policyholders for a discount on flood insurance premiums. Under the CRS Program, agencies are given a rating from one to ten, with one being the best for the implementation of best management practices in floodplain management.

The County currently holds a rating of seven, which entitles property owners within the County for flood insurance discounts of up to 15%. Only 23 of California's 101 participating cities and counties have ratings better than six. Water Resources will continue to work closely with the County's Federal advocates and other agencies to monitor legislation related to proposed flood insurance rate increases and other NFIP-related issues affecting the community.

### San Joaquin Area Flood Control Agency (SJAFCA)

SJAFCA was created to develop and implement projects that would reduce the risk of flooding in the Stockton Metropolitan area. Under an agreement with SJAFCA, the District (through the Channel Maintenance Division) maintains the levee improvements that were constructed in 1998 to provide 100-year flood protection by using funding

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## Roads & Facilities

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from the SJAFCA AD 96-1 benefit assessment. The budget for AD 96-1 is located within the Special District budgets.

State law presently requires 200-year flood protection for urban areas. Reclamation District 17, the cities of Lathrop and Manteca joined SJAFCA in 2017. In 2020-2021, the District and SJAFCA will design 200-year flood protection improvements for the Mossdale Tract area.

In 2020-2021, SJAFCA will continue design efforts for these planned 200-year flood protection improvements. Additionally, SJAFCA, in coordination with Public Works and the County Administrator's Office, will continue coordinating with the other SJAFCA member agencies on forming a benefit AD and an Enhanced Infrastructure Finance District (EIFD) as key funding sources for these improvements. The EIFD is a new tax increment financing mechanism. Critical negotiations regarding the amount of tax increment contributed by each agency will occur in 2020-2021. To help inform these negotiations, SJAFCA's consultant team is performing an analysis of the fiscal impact of the flood control improvements on the member agencies. The current schedule is for the AD and EIFD to be formed within the next 12-18 months.

### Sustainable Funding

Since 2006 (following Hurricane Katrina), State and Federal agencies have adopted additional flood management regulations and are strictly enforcing new standards. These new standards and additional environmental restrictions have resulted in increased costs for performing maintenance and repairs. Despite several attempts to implement short-term cost reduction measures and secure grant funding in recent years, revenues generated by Zone 9 and SJAFCA AD 96-1 benefit assessments are insufficient to meet long-term maintenance requirements. Failure to maintain levees and channels to current standards could result in decertification of levees, loss of eligibility for State and Federal emergency repairs (caused by major storm events), and may have negative economic impacts due to resulting Special Flood Hazard Area designations.

In order to generate additional revenue needed, formation of a new benefit AD will be proposed to benefitting property owners during fall 2020 depending on the level of disruption associated with the 2019 Novel Coronavirus (COVID-19). The total cost for this effort is anticipated to be approximately \$600,000 and will be funded by the Special District budgets for Zone 9 and the District.

Staff will complete the permitting, California Environmental Quality Act (CEQA) documentation, design, and the acquisition of any needed temporary easements for the Upper Mormon Slough Erosion Repair Project. Upon completion of these project components, Water Resources will initiate

bidding for construction anticipated to commence in summer 2021. The California Department of Water Resources (DWR), through a Flood System Repair Project grant, will fund \$5.0 million of eligible costs, with a \$450,000 local match requirement funded by the Special District budgets for Zone 9 and the District. Permitting and related costs are anticipated to be approximately \$200,000 and are included in the 2020-2021 Special District budgets for Zone 9 and the District.

### Water Resources Management

Water Resources staff provides technical and administrative support for a number of water resources stakeholder-driven programs in which the County is an active participant or serves in a lead capacity. Water Resources provides the primary staff support to the District's Advisory Water Commission, which makes recommendations to the Board of Supervisors, and the Eastern San Joaquin Groundwater Authority, which was created to address statutory requirements of the Sustainable Groundwater Management Act (SGMA) of 2014. In addition, Water Resources is pursuing water rights applications on the Mokelumne and American Rivers. Costs for these efforts are reimbursed from Water Investigation Zone 2 (Zone 2) and the MRWPA budgets.

### Water Investigation Zone No. 2 (Zone 2)

In 1989, the Board established Zone 2 to provide a revenue source for water resources planning activities. The current Zone 2 funding mechanism is a Countywide property-related fee that is only allowed to be used to carry out the goals and objectives set forth in the 2015 Strategic Plan To Meet Water Needs, which includes the following:

- Protect and preserve existing water rights and area of origin rights.
- Manage groundwater in Eastern San Joaquin County.
- Protect Delta water resources common pool.
- Maintain existing, and develop new, water supplies to meet Southwest County water needs.
- Develop funding programs to meet water supply needs.
- Support water conservation programs.

The Special District budget for Zone 2 includes costs for monitoring of groundwater levels and water quality, coordination and planning efforts necessary to meet the statutory requirements of SGMA; Demonstration Recharge, Extraction and Aquifer Management (DREAM) project permit compliance; securing grant funding for and updating the Integrated Regional Water Management (IRWM) plan;



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## Roads & Facilities

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and for watershed education and outreach activities. The details of these programmed costs are explained below.

### **Sustainable Groundwater Management Act (SGMA)**

SGMA establishes a State-mandated framework for sustainable groundwater management by local Groundwater Sustainability Agencies (GSAs). Two groundwater subbasin areas in the County are subject to SGMA, the Eastern San Joaquin Subbasin, designated as ‘high priority’ by DWR and the Tracy Subbasin, designated as ‘medium priority’. High priority basins were required to adopt a Groundwater Sustainability Plan (GSP) by January 31, 2020, and medium priority basins are required to adopt a GSP by January 31, 2022. There are 16 GSAs within the Eastern San Joaquin Subbasin (which formed the Eastern San Joaquin Groundwater Authority (ESJGWA), a Joint Powers Agency (JPA), in 2017. Water Resources administers the ESJGWA on behalf of the member agencies including administration of a \$1.5 million grant from DWR (awarded in 2018) to develop a GSP. The GSP was completed and submitted to DWR in January 2020. The Board also approved the GSP on December 17, 2019 (R-19-169). This is a major accomplishment and work has shifted to implementing the ESJGWA’s GSP, which includes delivering projects, groundwater monitoring, and implementing programs that total approximately 90,000 acre-feet by 2040.

The Tracy Subbasin covers western San Joaquin County and a small portion of Alameda County. San Joaquin County is the designated GSA for the area which includes the City of Tracy and a majority of the Delta Islands. Water Resources is coordinating the development of the GSP, including additional outreach to disadvantaged communities. The GSAs within the Tracy Subbasin have been awarded a \$450,000 grant from DWR to develop a GSP and the Board of Supervisors approved a \$150,000 allocation from Zone 2 for the required local match (R-19-99). Water Resources will continue to administer the DWR grant and coordinate consultant activities focused on the GSP development. The related costs are reflected within the Special District budget for Zone 2.

### **Demonstration Recharge, Extraction and Aquifer Management (DREAM) Project**

The purpose of the DREAM Project is to develop a successful pilot scale groundwater storage project in eastern San Joaquin County. The Project allows the East Bay Municipal Utility District (EBMUD) to temporarily store Mokelumne River water in the underground basin and recover up to half of the banked water in the future, which would provide supplemental dry-year supply for EBMUD while also providing additional water for the local groundwater basin. Surface water diversions for the project were initiated in late

2019 and groundwater extraction may begin as early as fall 2020.

Water Resources will coordinate a Monitoring Committee (required by Ordinance) and oversee monitoring of wells prior to, and during, recharge and extraction. Costs for these activities will be reimbursed by the project fund established by an agreement with North San Joaquin Water Conservation District and EBMUD.

### **Integrated Regional Water Management (IRWM)**

Because water issues cross multiple jurisdictions, Countywide water management efforts require integration to address issues and implement water management solutions on a regional scale. IRWM is a DWR-supported grant program by which communities can receive funding for identification and implementation of integrated, multi-benefit regional projects. The Zone 2 budget reflects an allocation of \$200,000 for staff and consultant costs related to updating the IRWM Plan (IRWMP), including defining high caliber projects that will yield multiple benefits.

In 2019, Water Resources led the effort to create the Greater San Joaquin County Regional Water Coordinating Committee, which is currently comprised of 10 member agencies. The goal of the Committee is to update the 2014 IRWMP to meet new state requirements and qualify the area for State bond funds for capital projects. Water Resources has successfully negotiated \$5.6 million of Proposition 1 Round 2 grant funding for project implementation and \$900,000 specifically for Disadvantaged Communities (DACs). The Zone 2 budget reflects \$100,000 associated with IRWM-related costs and activities.

The Disadvantaged Community Involvement Program is designed to ensure the engagement of DACs, including underrepresented communities or economically distressed areas, in IRWM planning efforts. The Committee was awarded \$148,000 for these efforts within the eastern San Joaquin region. Project identification and planning will commence in summer 2020, with construction anticipated in 2022. The Zone 2 budget reflects an allocation of \$300,000 to cash-flow the planning, CEQA documentation, design, and permitting of the identified DAC project(s).

### **Watershed Education and Outreach Activities**

The State Water Resources Control Board (State Water Board) has made permanent, many of the mandatory conservation measures placed upon communities during the last drought; therefore, Water Resources will continue to develop and implement appropriate water conservation messaging with an emphasis on season-specific messaging through local media such as television ads, radio ads, printed media, and attend local events to raise awareness of drought

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## Roads & Facilities

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conditions and encourage individuals to implement conservation and water-saving tips. In addition, linkages with watershed-based education efforts to increase awareness of water supply, flooding, and storm water runoff quality will be explored. The Zone 2 budget includes \$50,000 to support these activities.

### Mokelumne River Water and Power Authority (MRWPA)

The MRWPA is a JPA consisting of the District and the County. The 2020-2021 MRWPA budget reflects appropriations of \$500,000 for legal and consulting costs related to supporting the processing of County water right applications on the Mokelumne and American Rivers.

Water Resources will work with Mokelumne River interests to propose a strategy to develop both water-right applications that will include a significant role for local stakeholders such as North San Joaquin Water Conservation District, Woodbridge Irrigation District, and Stockton East Water District.

### Stormwater Management

The State regulates stormwater discharges from urban areas. The areas in and around the City of Stockton are regulated under the Joint Municipal Separate Storm Sewer System (MS4) National Pollutant Discharge Elimination System (NPDES) program Phase I Permit. The unincorporated areas in and around the cities of Lathrop, Lodi, Manteca, Ripon, and Tracy are regulated under the new Statewide MS4 Phase II Permit. The MS4 Permits require implementation of best management practices that reduce pollutant loadings of stormwater discharges into surrounding waterways.

The funding for MS4 Phase I Permit compliance is generated through County Service Area 54. The MS4 Phase II Permit compliance is currently funded through nominal allocations from the Delta Activities budget (#2023070000) and from the non-road General Fund allocation to Public Works. Long-term dedicated funding for the implementation of the Phase II Permit is needed, and the most likely mechanism is a property-related fee or an assessment established pursuant to Proposition 218 requirements. Water Resources must carry out the program requirements, partner with the State in pursuit of program objectives, and work with the Regional Board on the following:

- Managing and improving the quality of stormwater discharges.
- Developing cost-effective programs for pollution prevention.

- Providing public outreach to encourage residents to implement best management practices for reducing pollutant loads in stormwater runoff.
- Preparing annual reports, including program effectiveness assessments.
- Participating in regional efforts to encourage the Regional Board to allow for cost-effective and practical solutions to be included in future NPDES permits.

Increased annual costs of approximately \$50,000 are anticipated regarding new regulatory requirements associated with the trash in State waters (Trash Amendments) to the local permit and result in a total maximum daily load requirement. In order to capture small debris at storm drain inlets or pump stations prior to discharging into creeks, enhanced street sweeping and monitoring of high trash generation areas will most likely be necessary to comply with the new regulations.

The 2020-2021 stormwater program further reflects enhanced outreach to the business and development community regarding implementation of the Stormwater Management Plan and updates to the Stormwater Quality Control Criteria Plan (SWQCCP). The MS4 permits are required under the Federal Clean Water Act, which require the discharger to develop and implement a Storm Water Management Plan to reduce the discharge of pollutants to the maximum extent practicable.

The SWQCCP updates reflect new permit requirements with a special emphasis on the implementation of low impact development strategies that will reduce urban stormwater runoff through enhanced stormwater control measures.

### Delta Activities

The Delta continues to be a County priority. Public Works has worked closely on Delta-related activities with other County departments (County Administrator's Office, County Counsel, Office of Emergency Services, Community Development, and the Agricultural Commissioner). Water Resources will continue to support efforts to advocate for County positions, respond to proposed legislation, build and participate in coalitions, communicate County positions through media and other outreach avenues, and coordinate with various Delta and Statewide interests. Public Works receives 10% of Tobacco Settlement funding for Delta and other water-related activities, which is anticipated to be \$670,000 for 2020-2021. This is a \$60,000 decrease from the 2019-2020 adjusted budget due to a revised allocation based off actual annual receipts in April 2020.

Delta advocacy efforts for 2020-2021 are anticipated to be focused on the following areas:

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- Promoting alternative solutions to the Governor’s Single Delta Tunnel Proposal and other tunnel alternatives.
- Improving and maintaining the system of levees that protect life and property for both agricultural and urban areas, which also supports the current system of through-Delta water exports.
- Advocating for continued access to Delta water supplies of sufficient quantity and quality for farmers, urban users, and the environment.
- Supporting efforts to have a healthy and viable Bay-Delta Estuary.
- Engaging in administrative and legal remedies in defense of the County’s authority and the community’s interest in the Delta.
- Responding to anticipated legislative and administrative proposals regarding drought and flood conditions, Statewide Water Policy, the California Water Action Plan, Delta Levee Investment Strategy, the Delta Plan, and the potential impact of Federal legislation on Delta water operations.
- Ensuring that State appropriations of Proposition 1 and Proposition 68 funding directed to the Delta are allocated to projects that benefit the Delta and are not used to mitigate State and Federal water project impacts on the Delta.
- Participating actively in legal and administrative proceedings tied to the California WaterFix Project, State Water Project Contract Extensions, Delta Plan consistency, and the Water Quality Control Plan for the Bay-Delta.

In addition, Water Resources will continue to be supportive of the County’s participation in the Delta Counties Coalition (DCC), which consists of the Counties of Contra Costa, Sacramento, San Joaquin, Solano, and Yolo. The DCC is active in the following areas:

- Engaging and building coalitions with agencies looking for alternative solutions to the Governor’s Single Delta Tunnel Proposal.
- Committing to be highly involved in reformulating Statewide water policy.

### 2019 Novel Coronavirus (COVID-19)

Most Water Resources Division revenue sources are property-related fees, which may be unaffected by economic impacts of COVID-19 since these fees are billed on the property tax roll and are teetered. Flood Control District revenue is primarily from property tax, and impact on these revenues may be minimal unless the severity and duration of COVID-19 economic impacts result in a significant and widespread reduction in real property values.

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### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Development Reviews, Environmental Impact Reports, Other Agency Document Reviews	28	57	50	53	55
Investigation of Complaint - Violations	16	4	5	8	10
Central Valley Flood Protection Board Endorsements & Watercourse Encroachment Permits	16	7	10	15	15
Elevation Certificate Review	29	35	35	35	35
Flood Zone Inquiries	51	61	60	60	65
Plan Checks for Building Permits	122	101	100	100	120
Project Information Reviews	19	24	25	25	25
Conduct Groundwater Monitoring Investigations	956	960	960	960	960
Support & Attend Water Resources Related Commission, Committee, & Board Meetings	165	170	170	194	194
Industrial Site Inspections	19	19	19	19	19
Commercial Site Inspections	95	95	95	95	95
Enforcement Actions	5	0	0	5	5
Public Outreach Activities	35	35	35	35	35

# 2023070000—Public Works-Delta Activities

## Roads & Facilities

Kris Balaji, Public Works Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$762,964	\$738,136	\$692,692	\$692,692	(\$45,444)
Centrally-Budgeted Expenses	0	(28,136)	(42,692)	(42,692)	(14,556)
Operating Transfers Out	20,000	20,000	20,000	20,000	0
<b>Total Appropriations</b>	<b>\$782,964</b>	<b>\$730,000</b>	<b>\$670,000</b>	<b>\$670,000</b>	<b>(\$60,000)</b>
<b>Earned Revenues By Source</b>					
Operating Transfers In	\$730,000	\$730,000	\$670,000	\$670,000	(\$60,000)
<b>Total Revenues</b>	<b>\$730,000</b>	<b>\$730,000</b>	<b>\$670,000</b>	<b>\$670,000</b>	<b>(\$60,000)</b>
<b>Net County Cost</b>	<b>\$52,964</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

### Purpose

The Delta Activities budget supports ongoing planning, legislative, outreach, and coalition-building activities that protect the County’s interests in the Sacramento-San Joaquin Delta. Funding is provided to reimburse County departments for staff support, and to perform historical and technical research, legal services, and legislative advocacy. The budget also funds the County’s cost share of the Delta Counties Coalition (DCC) Coordinator.

### Major Budget Changes

#### Services & Supplies

- \$60,000 Increase in professional services for public affairs reflecting a change in accounting methodology.
- (\$70,000) Decrease in professional services for counsel services.
- (\$25,444) Decrease in structure and ground maintenance costs.
- (\$10,000) Decrease in training costs.

#### Centrally-Budgeted Expenses

- (\$14,556) Cost Allocation Plan adjustment.

### Revenues

- (\$60,000) Decrease in Tobacco Settlement revenue.

### Program Discussion

The 2020-2021 recommended budget for Delta Activities totals \$670,000, which reflects the Board of Supervisors’ adopted policy for allocation of Tobacco Settlement funds, designating 10% (\$670,000) of the overall annual receipts for Delta activities and water-related matters. The recommended budget is a decrease of \$60,000 from the 2019-2020 adjusted budget, which is due to a revised allocation based off actual annual receipts in April 2020.

A portion of the Delta Activities budget is allocated for direct charges from the County Counsel budget (#1011800000) to pay for legal services. The three-year average of direct charges (for the above-mentioned legal services) to Public Works for fiscal years 2016-2017, 2017-2018, and 2018-2019 was \$103,000. County Counsel is requesting an additional Deputy County Counsel IV position in 2020-2021 to provide in-house representation and expertise in water law issues. Public Works will pay a portion of this position. The current outside water counsel will no longer be available and \$103,000 is included in the the 2020-2021 recommended budget for expenses.

The Sacramento-San Joaquin Delta is among the Board’s top Strategic Priorities. With two-thirds of the Delta located in San Joaquin County, it is an important natural resource of local and Statewide importance. The Board has engaged with

# 2023070000—Public Works-Delta Activities

## Roads & Facilities

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a variety of interests to defend the Delta in the following areas:

- Responding to the proposal by the Governor to withdraw the proposed permits for the California WaterFix twin tunnel project and begin a new planning and review process for a single tunnel Delta conveyance. The tunnel proposal is part of an Executive Order for State agencies to develop a portfolio of solutions to enhance the resilience of Statewide water management.
- Improving and maintaining the system of levees that protect life and property for both agricultural and urban areas, which also supports the current system of through-Delta exports.
- Advocating for continued access to Delta water supplies of sufficient quantity and quality for farmers, urban users, and the environment.
- Supporting efforts to have a healthy and viable Bay-Delta Estuary.
- Enhancing Delta maritime commerce and recreation.
- Recognizing the Delta as a place.
- Advocating for administrative and legal remedies in defense of the County's authority and the community's interest in the Delta.

The County has also committed to participating in the DCC, which consists of Contra Costa, Sacramento, Solano, San Joaquin, and Yolo counties. The DCC is active in the following areas:

- Engaging and building coalitions with agencies looking for alternative solutions to the Governor's proposed Single Delta Tunnel Proposal.
- Committing to be highly involved in reformulating Statewide water policy.
- Responding to anticipated legislative and administrative proposals regarding drought and flood conditions, Statewide water policy, the water Resiliency Portfolio, Delta Levee Investment Strategy, the Delta Plan, and the potential impact of Federal legislation on Delta water operations.
- Ensuring that State appropriations of Proposition 1 and Proposition 68 funding directed to the Delta are allocated to projects that benefit the Delta and are not used to mitigate State and Federal water project impacts on the Delta.
- Participating actively in legal and administrative proceedings tied to the Governor's Single Delta tunnel Proposal, State Water Project contract extensions, Delta Plan consistency, and the Water Quality Control Plan for the Bay-Delta.

Additional funding for 2020-2021 is not being requested at this time; however, depending on the level of activity, staff may need to request additional funding from the Board of Supervisors during 2020-2021.

### 2019 Novel Coronavirus (COVID-19)

Delta activities are funded through 10% of Tobacco Settlement funds, and these revenues may potentially be affected by COVID-19 economic impacts.

# 3030900000—Community Infrastructure Engineering

## Roads & Facilities

Kris Balaji, Public Works Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,009,693	\$1,081,487	\$1,130,668	\$1,130,668	\$49,181
Services & Supplies	32,828	460,000	1,236,000	1,236,000	776,000
Centrally-Budgeted Expenses	6,774	11,630	24,481	24,481	12,851
<b>Total Expenditures</b>	<b>\$1,049,295</b>	<b>\$1,553,117</b>	<b>\$2,391,149</b>	<b>\$2,391,149</b>	<b>\$838,032</b>
Expenditure Reimbursements	(1,010,130)	(1,488,117)	(2,351,149)	(2,351,149)	(863,032)
<b>Total Appropriations</b>	<b>\$39,165</b>	<b>\$65,000</b>	<b>\$40,000</b>	<b>\$40,000</b>	<b>(\$25,000)</b>
<b>Earned Revenues By Source</b>					
Charges For Services	\$24,074	\$55,000	\$30,000	\$30,000	(\$25,000)
Miscellaneous Revenues	15,089	10,000	10,000	10,000	0
<b>Total Revenues</b>	<b>\$39,163</b>	<b>\$65,000</b>	<b>\$40,000</b>	<b>\$40,000</b>	<b>(\$25,000)</b>
<b>Net County Cost</b>	<b>\$2</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	7.0	7.0	7.0	7.0	0.0
Temporary (Full-Time Equivalent)	0.2	0.2	0.2	0.2	0.0
<b>Total Staffing</b>	<b>7.2</b>	<b>7.2</b>	<b>7.2</b>	<b>7.2</b>	<b>0.0</b>

### Purpose

The Community Infrastructure Engineering (CIE) Division performs administrative, financial, and rate-setting functions related to the County's Special Districts; provides technical and engineering support to the Utility Maintenance Division; processes zone formation and annexation proceedings; performs capital asset management; develops capital improvement projects for the Special Districts; and administers the Community Development Block Grant (CDBG) program for the Public Works Department. The CIE Division ensures the ongoing delivery of vital utility services providing quality of life benefits to residents across the County.

- (\$132,600) Transfer a Management Analyst to the Water Resources budget (#2023060000).

### Services & Supplies

- \$776,000 Increase in engineering design consultant costs.

### Centrally-Budgeted Expenses

- \$5,504 Increase in data processing charges.
- \$7,054 Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$873,032 Increase in cost reimbursement from Special Districts.
- (\$10,000) Decrease in reimbursement from CDBG.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$52,461 Salary and benefits adjustments.
- \$129,320 Transfer an Engineer from the Water Resources budget (#2023060000).

# 3030900000—Community Infrastructure Engineering

## Roads & Facilities

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### Revenues

- (\$25,000) Decrease in facility fee revenue.

### Program Discussion

The 2020-2021 recommended budget for Community Infrastructure Engineering (CIE) totals \$2,391,149, which is an increase of \$838,032 from the 2019-2020 adjusted budget. The change reflects increases in consulting costs for engineering design (\$776,000), and salary and benefits adjustments (\$52,461).

### Special Districts Administration

In 2020-2021, CIE will continue to focus on securing sufficient revenues to ensure long-term sustainability of Special Districts. This requires evaluating each utility rate structure as well as conducting cost and service analyses. California State law, Proposition 218, mandates that any increase in property-related fees or benefit assessment be approved by the affected property owners. Significant public outreach efforts are required to educate customers about the necessity for revised rate structures. Approximately 10% of the Special Districts will require rate adjustments over the next two to three years to keep revenues balanced with expenses. Additional details regarding the financially-challenged Special Districts and proposed rate increases for 2020-2021 are provided in the Special Districts budgets.

Providing utility services with constrained revenues continues to be a challenge when faced with increasing regulatory, capital replacement, operations, and maintenance costs. Staff continue to seek legislative or regulatory action that addresses the challenges of maintaining sustainable revenue levels under Proposition 218 requirements, particularly for the small sewer, water, and storm drainage systems and will continue to present a series of individual recommendations to the Board of Supervisors to address the long-term sustainability of the Special Districts over the course of the next several years. Some of these recommendations may include Special District consolidation and strategic infrastructure financing mechanisms.

Aging infrastructure is an ongoing problem for most of the Special Districts. In particular, many water lines are deteriorating and require replacement or repair. In the coming years, CIE will focus on the development of capital projects to replace and repair this critical infrastructure. To meet the

staffing needs for this effort, the recommended budget transfers an Engineer from the Water Resources budget (#2023060000). CIE has budgeted an additional \$776,000 for design consultant services. These costs will be expensed to the affected districts.

In response to constituent requests, CIE has focused on creating informational websites that are easy to access for each Special District. The websites have been completed and the recommended budget includes \$10,000 for website maintenance and updating district financial information.

During the 2019-2020 fiscal year, CIE worked with Pacific Gas & Electric to retrofit more than 2,800 streetlights in 70 districts with Light-Emitting Diode (LED) fixtures, resulting in approximately \$150,000 in annual savings for the districts' customers. During 2020-2021, CIE anticipates initiating acquisition of these streetlights from PG&E, which will result in additional savings for district customers, and seeking Board of Supervisors approval for an interfund loan to finance the acquisition.

### Community Development Block Grant (CDBG)

The CDBG program provides limited funding for infrastructure (sewer, water, stormwater drainage, and pedestrian safety) enhancement to low-income areas that meet U.S. Department of Housing and Urban Development's national and local community development objectives. In 2020-2021, Public Works may potentially be awarded \$2.4 million for sidewalk and drainage improvements in southeast Stockton.

### 2019 Novel Coronavirus (COVID-19)

Most CIE Division revenue sources are property-related service charges, which may be unaffected by economic impacts of COVID-19 because these charges are billed on the property tax roll and are teetered. However, economic conditions may make it more difficult to obtain property owner consent for rate increases to generate needed additional revenue. About 15% of water customers and one sewer customer also pay periodic direct bill charges, and some customers may be unable to pay due to COVID-19 financial impacts. A low to moderate revenue reduction or delay for direct billed charges is anticipated depending on the severity and duration of economic impacts from the COVID-19 pandemic. CDBG revenues for program administration are expected to be unaffected.



# 3030900000—Community Infrastructure Engineering

## Roads & Facilities

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Special Districts Engineering Support</b>					
<i>Engineer Reports</i>	8	11	10	9	10
<i>Special Districts Projects</i>	5	6	7	8	8
<b>Special Districts Administration</b>					
<i>Rate Adjustments</i>	3	10	13	8	10
<i>Special Districts Budgets</i>	105	105	105	105	105
<i>Street Light Service Calls</i>	315	354	338	350	350

# 3031300000-3031700000—Public Works-Road Districts

## Roads & Facilities

Kris Balaji, Public Works Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Road District 1	\$469,057	\$960,107	\$1,323,750	\$1,323,750	\$363,643
Road District 2	398,394	786,523	939,100	939,100	152,577
Road District 3	435,435	1,062,007	1,475,022	1,475,022	413,015
Road District 4	2,931,431	5,007,840	5,646,350	5,646,350	638,510
Road District 5	1,839,909	1,472,555	2,860,769	2,860,769	1,388,214
<i>Total Appropriations</i>	\$6,074,226	\$9,289,032	\$12,244,991	\$12,244,991	\$2,955,959
<b>Earned Revenues By Source</b>					
Taxes	\$7,623,233	\$7,569,359	\$7,953,400	\$7,953,400	\$384,041
Interest/Rents	324,487	316,000	386,000	386,000	70,000
Aid From Other Governments	58,985	58,898	58,450	58,450	(448)
Charges For Services	500	500	500	500	0
Fund Balance	(1,932,979)	1,344,275	3,846,641	3,846,641	2,502,366
<i>Total Revenues</i>	\$6,074,226	\$9,289,032	\$12,244,991	\$12,244,991	\$2,955,959
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

Road District budgets provide funding primarily from property taxes for construction projects and road maintenance materials within the five County Road Districts. The County Ordinance Code established the five Road Districts under the authority set forth by the California Streets and Highways Code. Collectively, Road Districts receive approximately 1% of the overall Countywide property tax revenues.

### Program Discussion

Road District funds are used to supplement the Public Works Road Fund to provide basic road-related services within the individual Road Districts. Monies raised in the particular Road District can only be expended on the road system within that District. Based upon recommendations from the Public Works Director, Board members approve the work that is to be performed in their respective District. In 2020-2021, Public Works assumes a 2% growth in the Road District property tax revenue. The projected fund balance at June 30, 2020, for each Road District is:

Road District	Budget #	Fund #	Balance
District 1	3031300000	20013	\$2,516,593
District 2	3031400000	20014	\$776,927
District 3	3031500000	20015	\$2,024,738

Road District	Budget #	Fund #	Balance
District 4	3031600000	20016	\$7,615,481
District 5	3031700000	20017	\$4,288,428

Road District expenditures fund the following road-related activities:

- Road and bridge maintenance materials: signs, striping, railing, and pavement repairs.
- Preventative maintenance: crack seal, chip seal, slurry seal, and surface restoration programs.
- Replacement of road maintenance equipment.
- Traffic signal and ferry operations (where applicable).
- Contract resurfacing and reconstruction.

Funds are prioritized for routine preventive maintenance activities. An emergency reserve is kept for items such as flood response, bridge damage, and collapsed pipes. Any remaining funds are earmarked for contract resurfacing and reconstruction projects on local roadways, which are not eligible for other State and Federal funding programs.

Crack sealing and chip seals are performed by Public Works maintenance staff, while slurry seals for the various Districts

# **3031300000-3031700000—Public Works-Road Districts**

## **Roads & Facilities**

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are combined into one project contract annually. In 2020-2021, it is anticipated that approximately 201 miles of roads will be chip-sealed, approximately 27 miles will be slurry-sealed, and restoration and construction projects are anticipated on segments of Bern Road, Best Road, Buck Road, Bull Pine Road, Dove Road, Hansen Road, Hibbard Road, Mortensen Road, Murphy Road, Norman Road, Tulsa Road, and Wolfe Road, which totals approximately 8.8 miles.

### **2019 Novel Coronavirus (COVID-19)**

Road District revenue is derived from property taxes. The COVID-19 health emergency is not anticipated to result in a significant impact on these revenues unless the severity and duration of the economic impacts result in a significant decrease in property values or property tax revenue collected.

# 3039900000—PW-Community Infrastructure Program

## Roads & Facilities

Kris Balaji, Public Works Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Services &amp; Supplies</i>	\$1,089,996	\$1,642,000	\$1,136,000	\$1,136,000	(\$506,000)
<i>Total Appropriations</i>	\$1,089,996	\$1,642,000	\$1,136,000	\$1,136,000	(\$506,000)
<b>Earned Revenues By Source</b>					
<i>Interest/Rents</i>	\$455,146	\$600,000	\$615,000	\$615,000	\$15,000
<i>Charges For Services</i>	3,548,705	2,788,000	3,271,000	3,271,000	483,000
<i>Fund Balance</i>	(2,913,855)	(1,746,000)	(2,750,000)	(2,750,000)	(1,004,000)
<i>Total Revenues</i>	\$1,089,996	\$1,642,000	\$1,136,000	\$1,136,000	(\$506,000)
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

### Purpose

The Community Infrastructure Program budget provides funding for the administration and management of the County’s Development Impact Fee programs. These fees provide a method to equitably assess new development for the impact on community infrastructure. Impact fees for traffic mitigation, regional transportation, and water facilities are collected by the Department of Public Works; and fire facilities fees are collected by the Community Development Department.

This Program includes budgets:

- 3039900000 Community Infrastructure-Traffic Impact Mitigation Fee (TIMF)
- 3039902000 Community Infrastructure-Regional Transportation Impact Fee (RTIF)

### Major Budget Changes

#### Services & Supplies

- \$43,000 Increase in fire facilities costs.
- (\$544,000) Decrease in RTIF project costs due to completion of the City of Stockton Thornton Road Capital Improvement Project.

### Revenues

- \$277,500 Increase in TIMF collection.
- \$150,000 Increase in RTIF collection.
- \$43,000 Increase in fire facilities fees.
- \$15,000 Increase in interest income.
- (\$1,004,000) Decrease in use of fund balance.

### Program Discussion

The 2020-2021 recommended budget for the Community Infrastructure Program totals \$1,136,000, which is a decrease of \$506,000 from the 2019-2020 adjusted budget. The change is primarily due to a decrease in RTIF project costs (-\$544,000).

Project expenses anticipated to occur during 2020-2021 are as follows:

Project Expenses	Amount
Design of Grant Line Rd Realignment Project	\$600,000
San Joaquin Council of Governments (SJCOG) share of RTIF fees collected	90,000
Fire facility fees, water impact mitigation fees, and related administration expenses	446,000
<b>Total</b>	<b>\$1,136,000</b>

# 3039900000—PW-Community Infrastructure Program

## Roads & Facilities

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The remaining fund balances are earmarked for future studies and construction of road segments identified in the TIMF and RTIF programs.

### Traffic Impact Mitigation Fee (TIMF)

The TIMF program provides funding for transportation system improvements needed to accommodate the impact of new development. TIMF collections for future improvements occur over several years and are used for matching other State, Federal, and local funds on projects. A report referencing the projects targeted for TIMF funding is prepared following each fiscal year. Fee programs of this type are required to be updated every five years. The next update is required in 2020-2021.

Many of the projects targeted for TIMF funding are multiyear projects. The fund balance that remains at the end of the fiscal year is retained in the program for completion of planned projects. The projected June 30, 2020 balance of TIMF funds is \$16,068,743. In 2020-2021, revenues from the TIMF program are projected at \$1,275,000 with expenditures estimated at \$650,000 for project design, construction, and program administration.

### Regional Transportation Impact Fee (RTIF)

The RTIF program was adopted by the County and all cities (Escalon, Lathrop, Lodi, Manteca, Ripon, Stockton, and Tracy) in 2006. The fee is based on land use and is the same throughout all jurisdictions. RTIFs are applied to specific regional transportation projects including highways, interchanges, and regional roads to help fund additional improvements needed to mitigate regional impacts from new development. The program requires all agencies (including the County) to forward 10% and 5% of fees collected to the SJCOG for mainline highway and transit projects. Additionally, all cities are required to forward an additional 10% of the fees collected to the County for regional road projects. The five-year RTIF program update was approved by the SJCOG Board in April 2017. The next update will be in 2020-2021.

The projected June 30, 2020 fund balance for RTIF funds is \$13,081,217. For 2020-2021, revenues from the RTIF program are projected at \$1,625,000. Expenditures are estimated at \$115,000, for project development and program administration.

### Water Facility Fee

Water facilities fees, collected on behalf of the Stockton East Water District, fund the County's share of the costs of water conveyance facilities and are disbursed to Stockton East Water District on a quarterly basis. In 2020-2021, water fee revenue and disbursements are projected to be \$168,000.

### Fire Protection Facilities Improvement Fee

The fire protection facilities improvement fee is collected to provide resources to mitigate the impacts of new development on fire protection services in the unincorporated areas of the County. On December 6, 2016, the County authorized the collection of this fee on behalf of eight fire districts in the County (Clements, Escalon, Lathrop-Manteca, Mokelumne, Ripon, Tracy, Waterloo-Morada, and Woodbridge) (B-16-687); additionally, a separate item went before the Board to consider Montezuma Fire District be included and was approved and included (B-16-720). Fees are collected by the Community Development Department and are disbursed monthly to the fire districts. For 2020-2021, fire protection fee collections and disbursements are projected to be \$203,000.

### 2019 Novel Coronavirus (COVID-19)

Issuance of the Governor's stay-at-home order, has impacted the ability of developers to continue and initiate projects. Construction activities, however, continue to proceed at a higher level than other business sectors due to the essential nature of the work. The uncertainty of the duration and impacts on the economy has made forecasting challenging. Net impacts to revenues are anticipated to be low to moderate depending on the length of existing restrictions.

# Summary

## All Airport Budgets

Russell O. Stark, Airport Director

Enterprise Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Salaries &amp; Benefits</i>	\$1,432,201	\$1,696,249	\$1,658,364	\$1,658,364	(\$37,885)
<i>Services &amp; Supplies</i>	2,151,432	2,148,157	2,303,366	2,303,366	155,209
<i>Centrally-Budgeted Expenses</i>	216,435	133,010	265,273	265,273	132,263
<i>Other Charges &amp; Uses</i>	354,812	354,812	0	0	(354,812)
<i>Capital Expenditures</i>	15,050,414	4,333,902	11,190,250	11,190,250	6,856,348
<i>Total Appropriations</i>	\$19,205,294	\$8,666,130	\$15,417,253	\$15,417,253	\$6,751,123
<b>Earned Revenues By Source</b>					
<i>Interest/Rents</i>	\$16,970	\$2,500	\$2,500	\$2,500	\$0
<i>Operating Transfers In</i>	2,429,597	829,474	1,463,536	1,463,536	634,062
<i>Airport Special Revenues</i>	18,632,957	7,769,156	13,968,066	13,968,066	6,198,910
<i>Fund Balance</i>	(1,874,230)	65,000	(16,849)	(16,849)	(81,849)
<i>Total Revenues</i>	\$19,205,294	\$8,666,130	\$15,417,253	\$15,417,253	\$6,751,123
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0
<b>Staffing</b>					
<i>Allocated Positions</i>	12.0	15.0	14.0	14.0	(1.0)
<i>Temporary (Full-Time Equivalent)</i>	0.2	0.1	0.1	0.1	0.0
<i>Total Staffing</i>	12.2	15.1	14.1	14.1	(1.0)

This is a summary of the budgets administered by the Airport Director, including:

- 9230901000 Airport Enterprise Fund
- 9231000000 Airport Capital Projects

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Airport focuses on carrying out the Board’s June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues. The following highlights provide an overview of several projects, programs, and activities administered by the Airport.

### Promote Economic Development

The Airport continues working with Allegiant Airlines to provide expanded domestic air passenger service beyond the current destination offerings available from Stockton Metropolitan Airport (SCK). The Airport continues efforts of attracting more passenger service through attendance at air service development conferences and meetings in efforts to secure additional air service options for our region. The addition of more large-hub airport destinations remains a priority and is necessary for sustained financial self-sufficiency. To capitalize on the recent growth in air cargo service, the Airport is working vigilantly with the current air cargo service provider and has had frequent discussions and a site visit from another large air cargo service company.

On January 24, 2017, the Board of Supervisors approved (B-17-60) the establishment of a new legislative priority for the Airport to upgrade the Category I Instrument Landing System to a Special Authorization Category II Instrument Landing System (SA CAT II ILS). The SA CAT II ILS was completed in 2019-2020, and is now in service and helps sustain current

# Summary

## Roads & Facilities

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and future cargo operations during reduced visibility weather conditions. This new system is an asset and its capabilities can be used to help promote the Airport to future air service and air cargo service providers as well as the regions' aviation community.

### **2019 Novel Coronavirus (COVID-19)**

The Airport and its tenants have not been immune to the effects of the COVID-19 pandemic. Social-distancing policies, restrictions on non-essential travel, and stay-at-home measures have affected many of the Airport terminal tenants, including United Airlines (operated by SkyWest Airlines) who began service to Los Angeles International (LAX) Airport in August 2019. This service was still relatively new and due to the COVID-19 crisis, the number of passengers using the air service to LAX decreased dramatically. This decrease resulted in United Airlines suspending flights out of Stockton indefinitely and SkyWest Airlines terminating their lease with the Airport in April 2020. These changes do not impact the 2020-2021 Airport budget as both SkyWest and United were operating under an agreement for no fees for the first two years of operations.

The Airport utilized a Small Community Air Service Development Program (SCASDP) grant obtained in 2016 to attract United Airlines/Sky West service to LAX. This grant funding, along with an additional \$750,000 used for minimum revenue guarantees and marketing of the air service was exhausted in 2019-2020. The Airport Director is focusing efforts toward obtaining another SCASDP grant from the Department of Transportation to facilitate the addition of another destination or attracting another major airline or low-cost carrier to the Airport.

The Airport will continue to prioritize capital project development to address safety, security, and capacity

infrastructure needs. The Airport Layout Plan, approved and adopted by the Federal Aviation Administration (FAA) in June 2019 is continually revised due to planned Airport project activity. One project that was added to the Airport Capital Improvement Plan is the rehabilitation of Taxiway D. This taxiway serves as the only entry and exit point to the cargo apron serving the air cargo providers, and an inspection revealed areas along the taxiway were failing and caused an unsafe operational area for aircraft under power. The estimated project cost is \$4,955,806 and the project will be completed in two phases to limit the impact to the cargo client. Project construction began in 2019-2020 and the recommended 2020-2021 budget includes \$4,637,461 for the project.

Another project planned for 2020-2021 that focuses on security is the Airport perimeter fencing project. This project will provide greater security for the tenants and customers of the Airport, while bringing the older sections of Airport fencing into compliance with FAA requirements. Additionally, in compliance with the National Environmental Policy Act, the FAA approved an Environmental Assessment for the design, engineering, and construction of a larger cargo ramp to address the increased demand for cargo aircraft parking capacity.

### ***Coronavirus Aid, Relief, and Economic Security Act (CARES) Airport Grant Funding***

In April 2020, the FAA notified the Airport that it would receive approximately \$18.6 million in CARES Act funding for capital projects, payroll, utilities, and other needs. The Airport is working closely with the FAA to ensure applications and documentation are completed in order for the County to receive its share of available funding.

# Airport Enterprise Fund

## Roads & Facilities

Russell O. Stark, Airport Director

Enterprise Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,432,201	\$1,696,249	\$1,658,364	\$1,658,364	(\$37,885)
Services & Supplies	2,118,572	2,148,157	2,303,366	2,303,366	155,209
Centrally-Budgeted Expenses	216,435	133,010	265,273	265,273	132,263
Other Charges & Uses	354,812	354,812	0	0	(354,812)
Capital Expenditures	7,249,953	216,211	360,000	360,000	143,789
<b>Total Appropriations</b>	<b>\$11,371,973</b>	<b>\$4,548,439</b>	<b>\$4,587,003</b>	<b>\$4,587,003</b>	<b>\$38,564</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$16,970	\$2,500	\$2,500	\$2,500	\$0
Operating Transfers In	1,436,188	639,826	639,826	639,826	0
Airport Special Revenues	10,643,524	3,906,113	3,961,526	3,961,526	55,413
Fund Balance	(724,709)	0	(16,849)	(16,849)	(16,849)
<b>Total Revenues</b>	<b>\$11,371,973</b>	<b>\$4,548,439</b>	<b>\$4,587,003</b>	<b>\$4,587,003</b>	<b>\$38,564</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	12.0	15.0	14.0	14.0	(1.0)
Temporary (Full-Time Equivalent)	0.2	0.1	0.1	0.1	0.0
<b>Total Staffing</b>	<b>12.2</b>	<b>15.1</b>	<b>14.1</b>	<b>14.1</b>	<b>(1.0)</b>

- Operating Transfers In includes a General Fund transfer of \$639,826 for 2020-2021.

### Purpose

The Stockton Metropolitan Airport (Airport) serves the commercial, corporate business, and general aviation needs of San Joaquin County. The Airport is in a foreign trade zone and is conveniently located and easily accessible by two major north-south arterials in California, Interstate 5 and State Route 99.

The Department of Aviation plans, operates, and maintains the safety and appearance of the Airport to meet the highest standards. The Department also manages numerous tenant agreements and facilities; provides a safe and efficient facility for the operation of aircraft; promotes development of Airport property for aviation and commercial-related services; obtains and administers Federal Aviation Administration (FAA) grants; and reviews all development proposals within the Airport's sphere-of-influence to ensure compatibility with Federal, State, and local standards.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$59,143 Salary and benefits adjustments.
- \$75,094 Add an Office Assistant Specialist.
- (\$172,122) Delete two Airport Operations Specialists.

#### Services & Supplies

- \$107,373 Increase in professional services costs for security and special counsel.
- \$21,680 Increase in maintenance costs.



# Airport Enterprise Fund

## Roads & Facilities

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- \$14,850 Increase in Montezuma Fire District costs.
- \$11,000 Increase in utility costs.
- \$10,000 Increase in costs for promotions.
- \$10,000 Increase in safety service contracts.
- \$6,000 Increase in storm water discharge costs.
- \$5,000 Increase in transportation and travel expense.
- (\$37,886) Decrease in equipment rental and lease costs.

### Centrally-Budgeted Expenses

- \$59,226 Increase in Workers' Compensation and Casualty insurance costs.
- \$7,578 Increase in data processing charges.
- \$64,379 Cost Allocation Plan adjustment.

### Other Charges

- (\$354,812) Decrease in loan repayments and interest costs due to loan payoff in 2019-2020.

### Revenues

- \$172,000 Increase in fuel flowage fees due to Atlas Air, Atlantic Aviation, and Allegiant Air.
- \$65,000 Increase in reimbursement for safety and security services.
- \$48,782 Increase in lease revenue for aircraft storage, ground lease and terminal office space.
- \$20,544 Increase in revenue for aircraft landing and tie down fees.
- (\$241,823) Decrease in Terminal Area Building lease revenues.
- (\$16,849) Decrease in use of fund balance.
- (\$13,829) Decrease in restaurant commission.

## Capital Expenditures

- \$330,000 San Joaquin Council of Governments Measure K Expenditure Plan.
- \$30,000 Paint machine.

## Program Discussion

The 2020-2021 recommended Airport budget totals \$4,587,003, which is an increase of \$38,564 from the 2019-2020 adjusted budget. This increase is primarily attributable to increases in capital expenditures (\$143,789), professional services costs (\$107,373), the addition of an Office Assistant Specialist position (\$75,094), a Cost Allocation Plan adjustment (\$64,379), increases in Workers' Compensation and Casualty insurance costs (\$59,226), salary and benefits adjustments (\$59,143), and an increase in maintenance costs (\$21,680), partially offset by a decrease in loan repayments and (-\$354,812), and the deletion of two vacant Airport Operations Specialists (-\$172,122).

The 2020-2021 recommended Airport budget includes the addition of an Office Assistant Specialist position to provide increased support of Airport administrative functions and the deletion of two vacant Airport Operations Specialists positions that were not filled in 2019-2020 due to unrealized growth in airport cargo client activity.

The Airport continues to strive to improve its financial position and build a sufficient fund balance to fund deferred maintenance issues that have grown over the last year. Revenues continue to increase from aircraft storage fees, land lease, terminal office lease, landing fees, and fuel flowage fees. Although there are increased revenues, they are not sufficient to maintain core infrastructure needs, including the Airport Industrial Park roads and repair, replacement, and rehabilitation of the commercial terminal.

The 2020-2021 recommended budget includes continued County support of the Airport by providing a General Fund loan of \$639,826 to the Airport Enterprise Fund. The County will leverage all financial contributions to the Airport in negotiations with the FAA for current and future land opportunities related to the Airpark 599 development project.

### 2019 Novel Coronavirus (COVID-19)

The Airport and its tenants have not been immune to the effects of the COVID-19 pandemic. Social-distancing policies, restrictions on non-essential travel, and stay-at-home measures have affected many of the Airport terminal tenants, including United Airlines (operated by SkyWest Airlines)

# **Airport Enterprise Fund**

## **Roads & Facilities**

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who began service to Los Angeles International (LAX) Airport in August 2019. This service was still relatively new and due to the COVID-19 crisis, the number of passengers using the air service to LAX decreased dramatically. This decrease resulted in United Airlines suspending flights out of Stockton indefinitely and SkyWest Airlines terminating their lease with the Airport in April 2020. These changes do not impact the Airport budget as both SkyWest and United were operating under an agreement for no fees for the first two years of operations.

Allegiant Airlines is well established at the Airport and was providing up to 16 flights per week, however, the airline has experienced a similar reduction in passengers. As a result, a majority of the flights to and from Stockton have been canceled and the number of flights has been reduced, on average, to one to two flights per week. These cancellations impact the amount of Passenger Facility Charge revenue collected by the Airport.

Other businesses affected include the restaurant and rental car agencies. The restaurant attempted to provide takeout service during the onset of the crisis, but customer demand was low and had to close temporarily. Hertz Rental Car has temporarily closed their location at the Airport and Enterprise Rent-A-Car terminated their offsite rental agreement but has indicated they would like to return to the Airport after the crisis passes.

The Airport received a request for rent abatement assistance from a tenant, however, due to limitations associated with meeting FAA Grant Assurance requirements, the Airport offered to defer the rent instead. In general, rent abatement assistance would have to be offered to any interested tenant and would put the Airport at risk of violating FAA regulations.

Due to the current economic conditions and uncertainty associated with the COVID-19 pandemic, the Department will closely monitor economic indicators and Airport activity in 2020-2021 and may return to the Board of Supervisors for midyear budget adjustments.

As a contingency plan, if the COVID-19 crisis continues into 2020-2021, the Airport has formulated a plan to reduce costs by approximately \$350,000. These actions include, but are not limited to, suspension of recruitment to fill the vacant Deputy Director position; reduced spending on Airport promotions; canceling the planned purchase of a paint machine; eliminating the use of temporary help, reducing the use of professional services and reducing travel expenses.

### ***Coronavirus Aid, Relief, and Economic Security Act (CARES) Airport Grant Funding***

In April 2020, the Airport was notified by the FAA that it will be provided approximately \$18.6 million in CARES Act funding. This funding can be utilized for capital projects, payroll, utilities, and other needs. The Airport is working closely with the FAA to ensure appropriate applications and documentation are provided in order for the County to receive its share of available funding.

### **Air Services**

In 2019-2020, Allegiant Air service continued to grow at the Airport. Passenger enplanements to Las Vegas were at an all-time high prior to March 2020. Overall, the Airport hit its highest total passenger count in over two decades prior to the COVID-19 pandemic and stay-at-home restrictions. Flights to Las Vegas are offered 10 to 13 times per week, depending on the season. Additionally, Allegiant Air continues to service Phoenix-Mesa Gateway, Arizona and San Diego, California, two-to-three times per week depending on seasonal demand.

Revenue streams that are primarily driven by aviation activity, such as fuel-flowage fees and landing fees, have increased due to a higher number of passenger enplanements and cargo activity. It is unknown how the COVID-19 pandemic will impact revenue streams in 2020-2021. To develop high-quality commercial aviation activity, the Airport Director continues to work with an air service development consultant to make presentations to major and regional airlines to secure additional service and to increase the routes and frequency of Allegiant Air.

# Airport Enterprise Fund

## Roads & Facilities

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Commercial Passengers</b>					
<i>Enplanements</i>	94,635	97,648	99,228	109,932	115,627
<i>Deplanements</i>	93,095	96,884	99,040	108,198	114,854
<b>Total</b>	187,730	194,532	198,268	218,130	230,481
<b>Aircraft Operations</b>					
<i>Air Carrier</i>	2,532	2,500	2,811	3,092	3,340
<i>Air Taxi</i>	1,638	1,862	1,578	2,050	2,350
<i>Military</i>	3,089	2,083	2,308	2,570	2,827
<i>General Aviation</i>	68,429	80,904	75,768	77,968	80,308
<b>Total</b>	75,688	87,349	82,465	85,680	88,825

# Airport Capital Projects

## Roads & Facilities

Russell O. Stark, Airport Director

Enterprise Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$32,860	\$0	\$0	\$0	\$0
Capital Expenditures	7,800,461	4,117,691	10,830,250	10,830,250	6,712,559
<i>Total Appropriations</i>	\$7,833,321	\$4,117,691	\$10,830,250	\$10,830,250	\$6,712,559
<b>Earned Revenues By Source</b>					
Operating Transfers In	\$993,409	\$189,648	\$823,710	\$823,710	\$634,062
Airport Special Revenues	7,989,433	3,863,043	10,006,540	10,006,540	6,143,497
Fund Balance	(1,149,521)	65,000	0	0	(65,000)
<i>Total Revenues</i>	\$7,833,321	\$4,117,691	\$10,830,250	\$10,830,250	\$6,712,559
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

### Purpose

The Airport Capital Projects budget includes capital improvement projects in support of Stockton Metropolitan Airport (Airport) efforts to plan, operate, and maintain the safety and appearance of the Airport to meet the highest standards. The Airport prioritizes capital project development to address safety and capacity infrastructure needs. Project phases typically include scope development, environmental clearance, design, engineering, and construction.

### Major Budget Changes

#### Revenues

- \$6,793,497 Federal Aviation Administration (FAA) and Measure K Fund reimbursement for capital projects.
- \$634,062 Reimbursement from Passenger Facility-Charges (PFC).
- (\$650,000) Small Community Air Service Development Program (SCASDP).
- (\$65,000) Decrease in use of fund balance.

### Capital Expenditures

- \$4,637,461 Rehabilitate Taxiway D.
- \$2,011,080 Security Access System Upgrades project.
- \$1,992,100 Perimeter fencing.
- \$1,217,040 Rehabilitate Runway 11L-29R 11R-29L.
- \$972,569 Airport Rescue and Fire Fighting (ARFF) vehicle.

### Program Discussion

The 2020-2021 recommended Airport Capital Projects budget totals \$10,830,250, which is an increase of \$6,712,559 from the 2019-2020 adjusted budget. This change reflects an increase in capital projects planned (\$6,712,559) for 2020-2021.

The 2020-2021 recommended budget includes multiple capital projects to address the aging infrastructure and pavement (rehabilitation projects), equipment (acquisition of an ARFF vehicle), and security improvements (perimeter fencing and security system upgrades) at the Airport. Completion of these projects will improve Airport and passenger security and safety, while increasing the useful life of runways, ramps, and other areas in and around the Airport.

# Airport Capital Projects

## Roads & Facilities

In late spring 2019, Taxiway D, the taxiway that serves as the entrance and exit point for the air cargo service area, began failing (alligator cracking and rutting in the aircraft wheel paths). Due to the extent of the taxiway failure, it has been necessary to instruct all cargo aircraft to halt powered taxi operations. The Airport notified and met with the FAA and worked to add this project to the Airport Capital Improvement Plan for 2020. The estimated project cost is \$4,955,806 and will be completed in two phases to limit the impact to the cargo client. Project construction began in 2019-2020 and the recommended 2020-2021 budget includes \$4,637,461 for the project.

### 2019 Novel Coronavirus (COVID-19)

The Airport and its tenants have not been immune to the effects of the COVID-19 pandemic. Social-distancing policies, restrictions on non-essential travel, and stay-at-home measures have affected many of the Airport terminal tenants, including United Airlines (operated by SkyWest Airlines) who began service to Los Angeles International (LAX) Airport in August 2019. This service was still relatively new and due to the COVID-19 crisis, the number of passengers using the air service to LAX decreased dramatically. This decrease resulted in United Airlines suspending flights out of Stockton indefinitely and SkyWest Airlines terminating their lease with the Airport in April 2020.

The Airport utilized a Small Community Air Service Development Program (SCASDP) grant obtained in 2016 to attract United Airlines/Sky West service to LAX. This grant funding, along with an additional \$750,000 used for minimum revenue guarantees and marketing of the air service was exhausted in 2019-2020. The Airport Director is focusing efforts toward obtaining another SCASDP grant from the Department of Transportation to facilitate the addition of another destination or attracting another major airline or low-cost carrier to the Airport.

### Coronavirus Aid, Relief, and Economic Security Act (CARES) Airport Grant Funding

In April 2020, the FAA notified the Airport that it would receive approximately \$18.6 million in CARES Act funding for capital projects, payroll, utilities, and other needs. The Airport is working closely with the FAA to ensure documentation is completed for the County to receive its share of the funding.

### Extension of Measure K Loan Agreement

In 2014, the San Joaquin Council of Governments (SJCOG) Measure K Strategic Plan was amended to include the Airport in the Measure K Ordinance and Expenditure Plan to be eligible for additional funds for capital improvements. In 2015-2016, SJCOG approved an interest-only loan of \$9.7 million with a 3.87% interest rate for five years. The funding is used to modernize aging infrastructure and equipment.

The Airport has utilized \$6.9 million of Measure K funding for multiple projects to improve safety, terminal efficiencies, and for Americans with Disabilities Act compliance.

#### Measure K Funding Allocated

<b>Project Name</b>	<b>Amount</b>
Customs and Border Protection Concept	\$100,600
Federal Inspection Station	10,467
Category II Instrument Landing System (CAT II ILS)	3,397,342
RS&H CATEX CAT II ILS	25,065
RS&H Precision Approach Path Indicator (PAPI)	47,500
Public Works PAPI	281,320
Public Works Access Road Extension	220,681
Cargo North Pad	919,486
Terminal Electrical Vault (Generator)	692,467
AIP 39 Overtime	9,520
CATEX for Multiple Projects (RS&H)	44,164
SCASDP	936,134
Per- and Polyfluoroalkyl Substances (PFAS)	150,000
Taxiway D Pavement Repairs	75,427
Airport Security Systems Repair	30,000

**Total \$6,940,173**

**Remaining Loan Balance \$2,800,000**

On April 7, 2020, the Board of Supervisors approved a three-year extension of the financing agreement and promissory note through June 2023 (B-20-174). The extension will enable the Airport to program additional projects with the approximately \$2.8 million remaining loan balance. In 2020-2021, \$2,011,080 will fund the Security Access System Upgrades project. The estimated interest payment due in June 2021 is \$330,000 and is included in the recommended Airport Enterprise Fund budget (#9230901000).

# Airport Capital Projects

## Roads & Facilities

### 2020-2021 Proposed Capital Projects Budget

*(with projected sources of funding by project)*

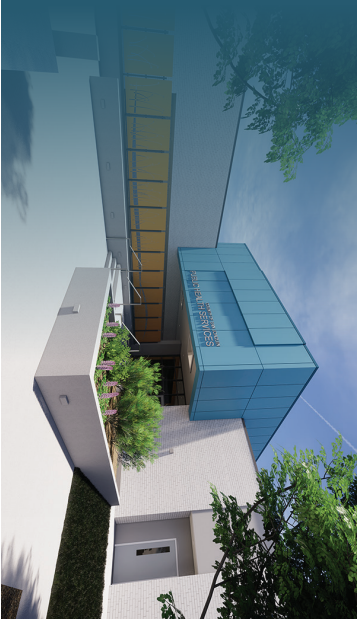
Description	FAA	DOT	Local*	Measure K	Total
Rehab Runway 11L-29R 11R-29L	\$1,103,368	\$0	\$113,672	\$0	\$1,217,040
Perimeter Fencing	1,806,038		186,062		1,992,100
Security Access System Upgrades	0	0	0	2,011,080	2,011,080
ARFF Vehicle	881,731	0	90,838	0	972,569
Rehabilitate Taxiway D	4,204,323	0	433,138	0	4,637,461
<b>Total**</b>	<b>\$7,995,460</b>	<b>\$0</b>	<b>\$823,710</b>	<b>\$2,011,080</b>	<b>\$10,830,250</b>

*\*The local funding is from PFC.*

*\*\*These amounts are based on engineer estimates. Actual costs & timing of reimbursements may vary significantly.*



PROPOSED BUDGET  
HEALTH SERVICES



# Health Services

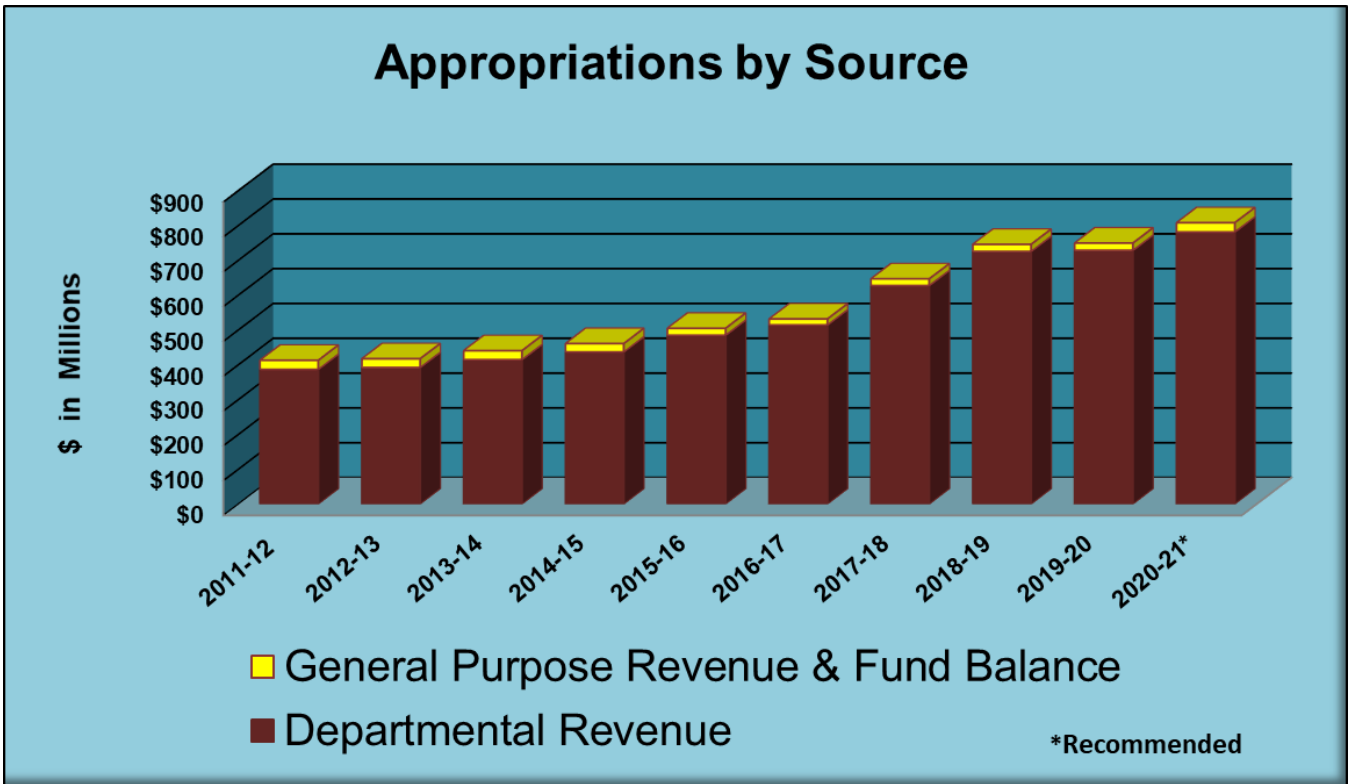
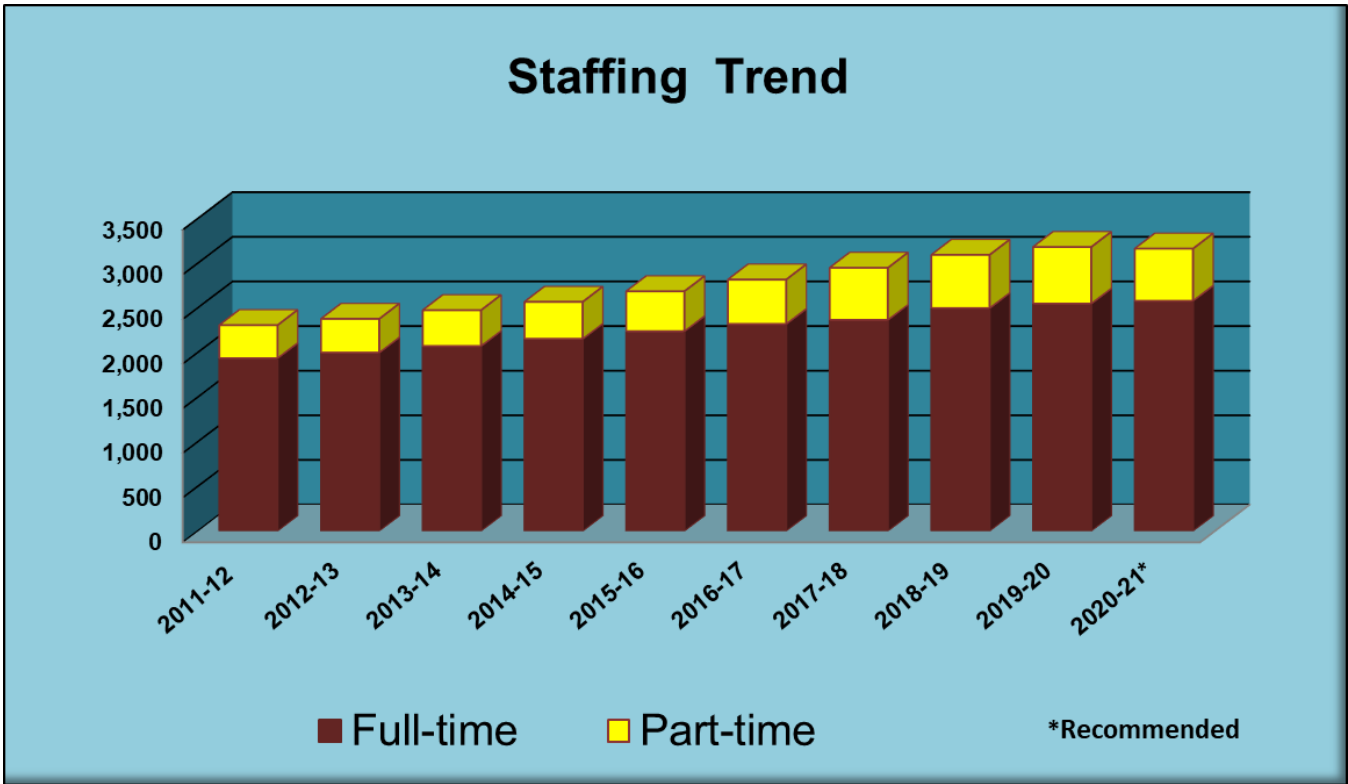
## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Summary — All Health Care Services</b>	F-4				
<b>Budgets</b>					
4040300000 Mental Health Pharmacy	F-11	\$11,277,520	\$11,416,383	\$11,416,383	\$138,863
4040500000 Mental Health Services	F-13	156,455,827	211,895,742	211,895,742	55,439,915
4040600000 Substance Abuse Services	F-19	25,267,007	25,844,573	25,844,573	577,566
4041000000 Public Health Services	F-23	26,583,327	27,532,155	27,532,155	948,828
4041200000 Public Guardian/ Conservator	F-29	1,852,973	4,125,959	4,125,959	2,272,986
4041800000 Emergency Medical Services	F-32	3,148,112	3,036,729	3,036,729	(111,383)
4045415000 California Children's Services	F-36	7,076,749	7,139,291	7,139,291	62,542
4049500000 Health Care Services Administration	F-39	872,265	1,182,265	1,182,265	310,000
4049600000 Whole Person Care Program	F-42	9,041,789	7,307,367	7,307,367	(1,734,422)
4049700000 Office of the Medical Examiner	F-45	0	5,733,587	5,733,587	5,733,587
<b>Public Works</b>					
4040800000 Utility Districts	F-47	9,000	9,000	9,000	0
9210000000 Solid Waste Enterprise Fund	F-49	36,748,523	36,317,926	36,317,926	(430,597)
9312000000 CSA 31 Sewer Enterprise Fund	F-54	1,298,402	1,227,974	1,227,974	(70,428)
4042000000 Environmental Health	F-56	9,829,294	10,250,575	10,250,575	421,281
4049100000 Children & Families Program (First 5)	F-63	1,813,857	1,786,524	1,786,524	(27,333)
4049400000 Operating Transfer to Health Care	F-67	43,137,311	41,410,400	41,410,400	(1,726,911)
9221100000 Hospital Enterprise Fund	F-69	415,173,178	411,597,980	411,597,980	(3,575,198)
<b>Total - Health Services</b>		<b>\$749,585,134</b>	<b>\$807,814,430</b>	<b>\$807,814,430</b>	<b>\$58,229,296</b>



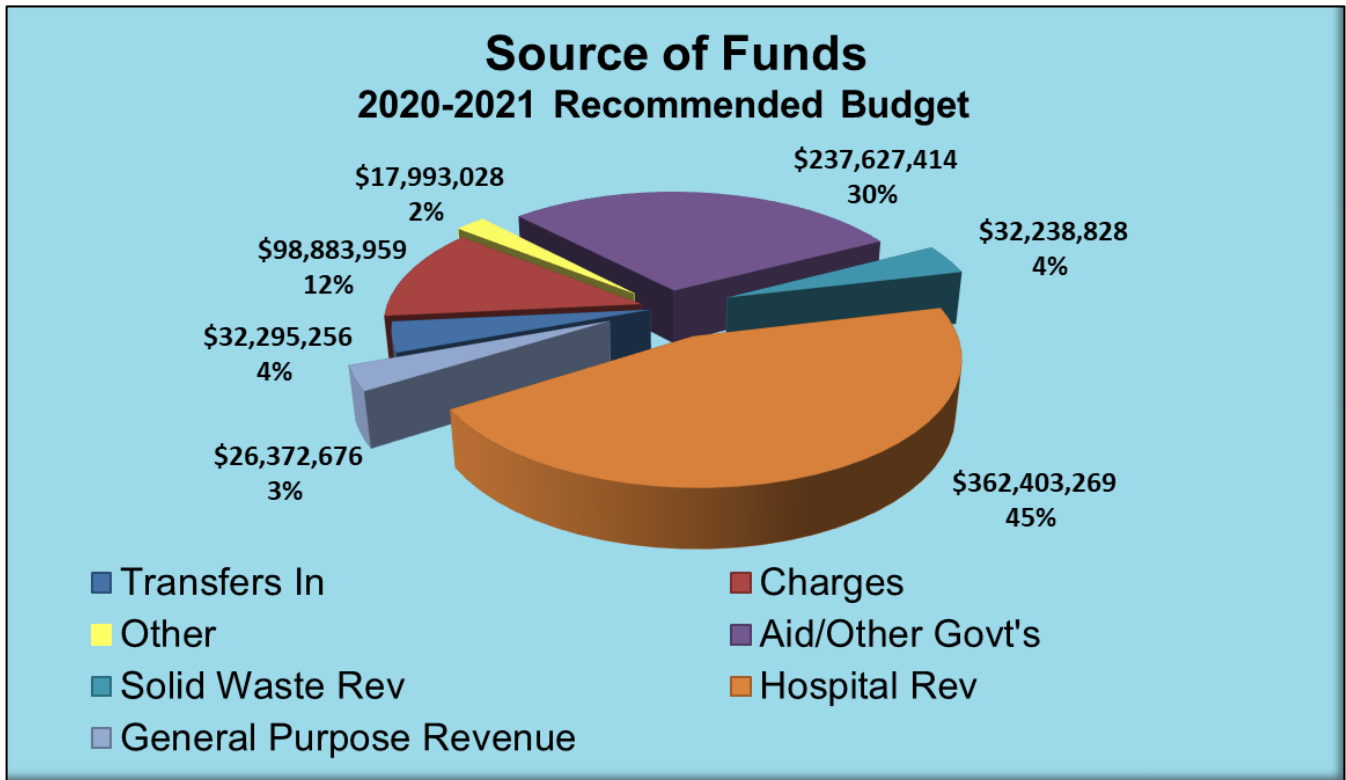
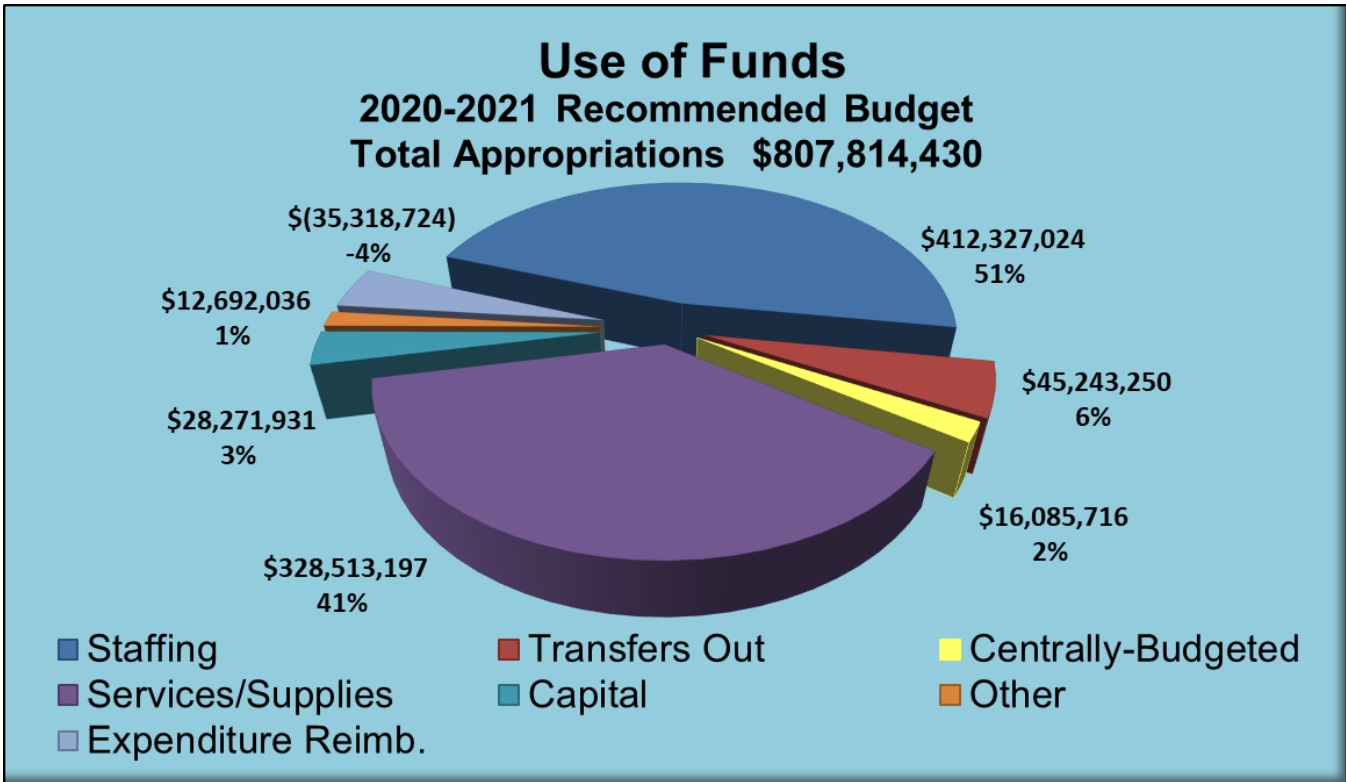
# Health Services

## Appropriations



# Health Services

## Appropriations



# Summary

## All Health Care Services Budgets

Greg Diederich, Health Care Services Director

	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$112,937,698	\$135,407,865	\$142,989,721	\$142,989,721	\$7,581,856
Services & Supplies	90,239,110	129,346,062	176,310,406	176,310,406	46,964,344
Centrally-Budgeted Expenses	3,317,673	4,286,097	5,233,217	5,233,217	947,120
Other Charges & Uses	4,585,905	8,059,635	10,038,307	10,038,307	1,978,672
Capital Expenditures	799,945	6,639,459	12,321,619	12,321,619	5,682,160
Operating Transfers Out	2,737,705	4,674,632	3,732,850	3,732,850	(941,782)
<b>Total Expenditures</b>	<b>\$214,618,036</b>	<b>\$288,413,750</b>	<b>\$350,626,120</b>	<b>\$350,626,120</b>	<b>\$62,212,370</b>
Expenditure Reimbursements	(28,296,858)	(32,834,080)	(31,459,196)	(31,459,196)	1,374,884
<b>Total Appropriations</b>	<b>\$186,321,178</b>	<b>\$255,579,670</b>	<b>\$319,166,924</b>	<b>\$319,166,924</b>	<b>\$63,587,254</b>
<b>Earned Revenues By Source</b>					
Licenses/Permits/Franchises	\$928,745	\$970,782	\$1,069,276	\$1,069,276	\$98,494
Fines/Forfeitures/Penalties	1,356	61,427	123,500	123,500	62,073
Interest/Rents	453,287	420,000	570,000	570,000	150,000
Aid From Other Governments	92,270,214	134,811,621	181,667,872	181,667,872	46,856,251
Charges For Services	65,733,504	81,068,211	89,095,418	89,095,418	8,027,207
Miscellaneous Revenues	1,819,381	713,459	645,674	645,674	(67,785)
Operating Transfers In	7,003,571	8,714,317	8,370,679	8,370,679	(343,638)
Fund Balance	(2,558,058)	3,156,532	6,505,945	6,505,945	3,349,413
<b>Total Revenues</b>	<b>\$165,652,000</b>	<b>\$229,916,349</b>	<b>\$288,048,364</b>	<b>\$288,048,364</b>	<b>\$58,132,015</b>
<b>Net County Cost</b>	<b>\$20,669,178</b>	<b>\$25,663,321</b>	<b>\$31,118,560</b>	<b>\$31,118,560</b>	<b>\$5,455,239</b>
<b>Staffing</b>					
Allocated Positions	1,021.0	1,039.0	1,060.0	1,060.0	21.0
Temporary (Full-Time Equivalent)	180.4	161.5	173.8	173.8	12.3
<b>Total Staffing</b>	<b>1,201.4</b>	<b>1,200.5</b>	<b>1,233.8</b>	<b>1,233.8</b>	<b>33.3</b>

This is a summary of the budgets administered by the Director of Health Care Services (HCS), including:

- 2022621000 Correctional Health Services
- 4040300000 Mental Health Pharmacy
- 4040500000 Mental Health Services
- 4040600000 Substance Abuse Services
- 4040700000 Behavioral Health Administration
- 4041000000 Public Health Services
- 4041200000 Public Guardian/Conservator
- 4041800000 Emergency Medical Services
- 4045415000 California Children's Services
- 4049500000 HCS Administration
- 4049600000 Whole Person Care Program
- 4049700000 Office of the Medical Examiner
- 5055600000 Veterans Services Office

# Summary

## Health Services

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### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the HCS Agency focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board's Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues. The following highlights provide an overview of several projects, programs, and activities administered by the HCS Agency.

#### Ensure Fiscal Responsibility

HCS Divisions continually seek to maximize State and Federal funding in order to provide services to clients, thereby reducing demands on the County's General Fund. Grants and community support are also leveraged to implement and sustain programs in an effort to minimize County costs and/or impacts on service delivery.

Correctional Health Services (CHS) participates in the State's Medi-Cal County Inmate Program (MCIP), which allows Medi-Cal reimbursement for allowable inpatient hospital services provided to MCIP-eligible inmates. Such costs would otherwise be a County General Fund obligation as CHS has historically reimbursed San Joaquin General Hospital (SJGH) or paid tertiary care centers for inpatient services provided to this population. With the implementation of MCIP, costs to CHS are reduced as SJGH is now reimbursed directly from the State at prevailing Medi-Cal rates for these services.

In July 2018, Behavioral Health Services (BHS) began implementing the County's Drug Medi-Cal Organized Delivery System (DMC-ODS). DMC-ODS provides counties with tools to help control costs associated with the 2011 realignment of DMC, especially in the rapidly growing area of Narcotic Treatment Programs (Methadone clinics). DMC-ODS also provides the ability to draw-down additional Federal funds to support residential treatment programs.

BHS has redesigned its quality improvement and contract monitoring process with an emphasis on providing technical assistance to enhance the quality of services provided by community contractors. Most contracts have moved to a rate-based payment methodology to better ensure compliance with State and Federal regulations.

Public Health Services (PHS) continues to make progress in decreasing its Net County Cost for laboratory services. In January 2019, the Board approved an agreement with

Stanislaus County to share services and expand the laboratory's role as a regional service provider, further decreasing the net cost of laboratory operations (B-19-29). PHS has also partnered with SJGH to eliminate duplication of laboratory testing and is leveraging the ability of PHS to bill third-party insurance payers for laboratory services. PHS actively seeks additional funding from private, State, and Federal grants, and senior managers are participants in advocating to increase State funding for local health departments through their professional organizations.

Emergency Medical Services (EMS) includes provisions in its ambulance contracts to provide for mandated emergency ambulance services without the need for County subsidies, while capping the County's indigent emergency ambulance costs at State Medi-Cal fee-for-service reimbursement rates. The receipt of ambulance service provider performance penalty revenue has allowed EMS to offset its entire Net County Cost over the past three fiscal years and also provides funding for potential future capital projects.

HCS Administration, in coordination with PHS and the General Services Department, continues moving forward on a replacement facility for the existing 56-year old Public Health building and adjacent laboratory and anticipates breaking ground in late 2020. In addition, other HCS functions are being evaluated to determine if there are opportunities for efficiencies through co-location. At present, HCS Agency functions such as administration, finance, human resources, and information technology are scattered throughout various facilities. Centralizing certain staffing in a single location could improve productivity, quality, and standardization, as well as increase the potential for efficiencies, both in terms of staffing and overhead costs, as well as increase organization capacity.

HCS seeks to reduce Countywide health care costs by working to establish collaborations between systems to better position the County from the impacts of future changes to Medi-Cal funding streams and an eventual shift toward alternative payment methodologies that will require participating in risk-sharing or capitated payment arrangements.

#### Promote Good Governance and Increase Organizational Capabilities

In conjunction with SJGH, HCS continues to support the Federally Qualified Health Center Look-Alike (FQHC-LAL) primary care clinics branded as the San Joaquin County Clinics (SJCC). HCS provides support to the SJCC Community Board and serves as the County point of contact for State and Federal agencies. BHS provides integrated behavioral health staffing to the clinics, and Whole Person Care (WPC) has integrated with SJGH's Population Health Team and assists SJGH in meeting Medicaid waiver

# Summary

## Health Services

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objectives in the areas of improved perinatal care, behavioral health, and complex care management for high-risk medical populations.

Both the HCS and BHS Directors maintain seats on the Board of Directors for the San Joaquin Community Health Information Exchange (HIE), a nonprofit corporation established to develop and implement technologies to compliantly share health information among participating medical providers. Work efforts have expanded access to include additional public and private health and hospital systems in San Joaquin, Stanislaus, and Merced counties. The HIE recently expanded functionality for real-time care coordination, population health management, emergency department visit notifications, and predictive analytics using social determinant of health data.

HCS and BHS are active in a wide variety of collaborative efforts throughout San Joaquin County to improve the community, including public safety and reducing adverse impacts on the community from individuals suffering with the effects of mental illness. Staff are actively involved with the Continuum of Care, Opioid Safety Coalition, Human Trafficking Task Force, the Law Enforcement Assisted Diversion Project, Stepping Up Initiative, Healthy Communities Coalition, Community Corrections Partnership, Community Health Leadership Council, Health Force Partners, and the County Threat Assessment Team.

BHS is completing the implementation of its Integrated Information System Infrastructure Project, which is partially funded by the Mental Health Services Act (MHSA). This system has modernized and transformed clinical and administrative information systems to support improved utilization management, quality of care, operational efficiency, data analytics, and timeliness of financial data, ultimately improving health outcomes for County residents. It is anticipated that the reimplementation of County's PeopleSoft financial system will allow BHS to eliminate many redundant accounting processes associated with maintaining its own detailed general ledger and cost accounting systems.

MHSA funds are being used to expand Full Service Partnership Programs that provide intensive mental health services and supports to individuals who are unserved or underserved, and are experiencing homelessness, justice involvement, or have other indicators of severe unmet needs; provide increased early intervention programs for children and youth through school-based interventions and suicide prevention strategies, as well as services for children involved with Child Welfare Services or human trafficking. Funds are also directed towards efforts to improve public safety by assisting law enforcement partners in diverting certain non-violent and non-serious offenders with behavioral health

concerns away from the criminal justice system and into community-based treatment alternatives, including building partnerships to focus on individuals who are potentially incompetent to stand trial.

BHS continues to use MHSA funds to support the Mobile Crisis Support Teams which provide on-site clinical assessment and interventions in partnership with first responders, hospital emergency rooms, children's group homes, and at the Mary Graham Children's Shelter.

BHS continues to implement its "Homeward Bound" Initiative, which is supported by a combination of MHSA Innovations and Proposition 47 grant funds. Homeward Bound includes a community-based Behavioral Health Assessment and Respite Center operated by Community Medical Centers that serves as a "friendly front door" to services for unserved and underserved individuals who may be reluctant to access the public mental health system. Homeward Bound also includes an expanding medically-monitored withdrawal management and medication-assisted treatment program. Homeless individuals may be eligible for a grant-funded housing assistance project operated by the Central Valley Low Income Housing Corporation. A second Innovations project component entitled "Progressive Housing" increases housing options for individuals with mental health challenges by developing a number of small-scale housing facilities, which now totals more than 40 beds. All of these services target major gaps in the County's service delivery system and are vital in addressing the increase in opioid use disorders.

PHS works closely with County departments, community partners, residents, and other entities on convening discussions on ways to improve population health and address health disparities in disadvantaged and distressed communities. Whenever possible, PHS strives for a "Health in All Policies" approach that calls for public health professionals to work with a broad spectrum of partners, such as schools, law enforcement, health care providers, community service providers, faith-based organizations, transportation officials, and resident grassroots leaders who can help communities make healthier choices.

PHS continues efforts to become accredited by the national Public Health Accreditation Board (PHAB). Following completion of a Community Health Needs Assessment and a Community Health Improvement Plan in spring 2017, PHS developed its 2017-2022 Strategic Plan, which was the final prerequisite to initiate the accreditation application process. In November 2018, a site visit was conducted by a PHAB team to evaluate the Department's conformity to national public health practices. In March 2019, PHAB notified PHS that the accreditation decision has been deferred until some additional documentation is submitted and reviewed. Due to

# Summary

## Health Services

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the 2019 Novel Coronavirus (COVID-19) pandemic, PHAB has extended the timeframe for PHS to submit the required action plan. PHS anticipates achieving national accreditation status before the end of 2020.

The EMS system is the ultimate safety-net for persons experiencing acute illness or injury, or requiring immediate access to medical care. EMS regularly collaborates with other County departments and external organizations to ensure the ability of the pre-hospital care system to meet the needs of all of the County's residents and visitors. EMS maintains an active and up-to-date website and uses electronic messaging and social media to keep system personnel, providers, stakeholders, and the general public informed of EMS services, activities, and other important information. EMS continues to enhance its data analytics capabilities to better monitor fire-medical and ambulance resource utilization and deployment.

In July 2018, EMS implemented a system of care tailored to patients who have experienced a stroke, including the designation of qualified hospitals as "primary stroke centers" to focus on rapid identification, treatment, and under specific circumstances, transfer to comprehensive stroke centers or tertiary hospitals outside San Joaquin County. EMS is working collaboratively with system participants to evaluate system performance and implement adjustments as needed. EMS will also continue monitoring and evaluating the County's trauma system, including oversight of SJGH's performance as a designated Level III Trauma Center, and adherence to established timelines and benchmarks.

The Veterans Services Office (VSO) plays a critical role on behalf of County veterans by delivering information regarding available services and providing assistance with obtaining entitled benefits. The VSO continues to assist the Federal Department of Housing and Urban Development-Veterans Affairs Supportive Housing program by referring and informing low-income and disabled veterans of eligibility for case management, clinical services, and housing vouchers, and by assisting in the preparation of health care and disability claims.

HCS Administration, in coordination with the County Administrator's Office and Sheriff's Office (SO), will continue implementation of the Medical Examiner's Office within HCS and the transition from the Sheriff-Coroner model.

### **Improve Public Safety and Enhance Overall Criminal Justice System**

HCS is active in a wide variety of collaborative efforts throughout the County that improve public safety. HCS is the lead County entity and single point of contact with the State

Department of Health Care Services for the County's WPC Program. The Program is intended to coordinate physical health, behavioral health, and social services for vulnerable Medi-Cal beneficiaries who are high users of multiple health care systems and who continue to have poor outcomes. WPC Program services are being leveraged by additional funding from MHSA for the Assessment and Respite Center, and Proposition 47 funds for a medically-monitored withdrawal management program, both of which are operated in partnership with Community Medical Centers. The WPC Program helps to improve public safety and enhances the overall criminal justice system by helping to reduce recidivism.

In May 2016, the Board of Supervisors adopted a resolution in support of the Stepping Up Initiative (R-16-80). This national initiative is designed to help advance counties' efforts to safely reduce the prevalence of individuals with mental illness in jails. Since adoption, HCS has facilitated a series of meetings with several law and justice partners, providers, and other key stakeholders to help support the implementation of innovative and proven practices that can safely divert individuals with mental illness away from the criminal justice system and into community-based treatment, thus helping to improve public safety.

With funding from the Community Corrections Partnership (CCP), CHS is now providing universal screening and assessment for behavioral health conditions during the jail booking process. This service expansion increases early detection and identification of individuals who potentially have an undiagnosed mental illness, a substance use disorder, or who are active mental health consumers. Early identification of individuals in need of mental health services helps reduce recidivism rates for individuals at-risk of re-incarceration. At-risk individuals are referred for target-specific case management while still in custody, as well as direct linkages to services upon being released. Staff also assist individuals through the court process, prepare them for release back to the community, and follow through on the discharge plan for up to 30 days post-release.

CHS collaborated with the SO and the Department of State Hospitals on a program to provide competency restoration services for individuals with felony charges who are determined incompetent to stand trial. Instead of being retained in the Jail Medical Unit while awaiting admission to a State hospital facility, inmates may be ordered by the Court to a County-operated facility to receive State-funded services in order to encourage timely resolution and minimize the amount of time the inmate is incarcerated. In May 2019, the Board of Supervisors approved the agreement with the State (A-19-112). Services began in January 2020 and are now at contracted capacity.

# Summary

## Health Services

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BHS contracts to provide Crisis Intervention Training for Law Enforcement to all County law enforcement organizations on a recurring basis. This program aims to increase law enforcement officers' understanding of mental illness with the goal of improving the outcomes of interactions between law enforcement and people experiencing mental health difficulties. The collaborative efforts of BHS aim to provide the best level of care to those with serious mental illness and substance use disorders, while working to reduce stigma and improve overall public safety.

Safe and affordable housing is instrumental in assisting individuals to recover from mental health and substance use disorders and to reduce recidivism among offenders. In accordance with the County's Strategic Priorities on Homelessness, BHS will be using MHSA and "No Place Like Home" grant funds to increase the availability of both transitional and permanent supportive housing. The HCS Director, along with numerous staff from BHS, CHS, and PHS fully participate with the Continuum of Care and various sub-committees. The WPC Program requires all participating entities who enroll clients into services to coordinate discharge planning for homeless individuals, including data entry into the Homeless Management Information System.

The Public Guardian/Conservator's Office provides assessment and case management services to eligible individuals who are referred by the Collaborative Courts and help to support individuals living in the least restrictive environment possible.

PHS is collaborating with other County departments and community agencies as part of an opioid coalition to address opioid misuse and abuse. The coalition provides outreach to health care providers and the general public. Other activities include increasing distribution of Naloxone to individuals at risk for opioid overdose and expanding the availability of Medication Assisted Treatment options. The coalition will increase funding opportunities through their eligibility to apply for State and Federal funding.

PHS strives to improve public health and safety through its focus on protecting residents from harm through promotion of safe and healthy communities. PHS collaborates with community partners to promote public safety by preventing early deaths; addressing and responding to communicable disease outbreaks; promoting safer and more walkable neighborhoods; preventing injuries; and reducing the impact of both acute and chronic diseases.

### Promote Economic Development

The HCS Director is a Board member of the iHub San Joaquin representing the Health Care focus area and the promotion of local economic development within the health care

technology sector with an emphasis on innovation and expanded health care career opportunities.

HCS has sponsored the Community Health Leadership Council since its inception in 2000 with the purpose of convening key community decision makers to identify the most critical health care challenges confronting the County. In partnership with San Joaquin Delta College, the Council helped to launch HealthForce Partners in 2018, which brings together the area's major health care businesses, educational institutions, and workforce development agencies to advance the development of health care workforce strategies and training programs.

PHS promotes economic development through programs that focus on reducing barriers to healthy living. Participants receive support to graduate from high school, maintain housing, obtain healthy food, and increase compliance with medical regimens and appointments. Through this support, individuals are better prepared to be healthy contributing members of the community.

EMS continues efforts to quantify the annual economic activity generated throughout the EMS system as a result of the trauma center, specialty care centers, and other related essential services. EMS estimates that ground ambulance transport alone generates over \$45.0 million in annual economic activity in the County.

The VSO, in collaboration with other agencies, annually secures for San Joaquin County veterans an estimated \$9.6 million in overall benefits, including retroactive payments and assistance from other agencies.

The VSO historically awards 300-500 military dependent students with the California College Fee Waiver. Students receive no direct compensation, but are authorized to attend California State Colleges, Universities, and community colleges with little to no financial burden.

Affordable housing projects will be developed within the County via funding allocations from the No Place Like Home Program. These projects will not only provide much needed low-income supportive housing units, but will also promote economic development.

Other HCS activities planned for 2020-2021 in support of the Board Strategic Priorities include:

- Providing leadership and guidance for health care-related policy issues and legislation at the local, State, and Federal levels, developing position statements for pending legislation or County advocacy, as well as meeting with elected officials in support of the County's legislative platform.

# Summary

## Health Services

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- Assessing and monitoring 1991 Realignment funds (derived from Vehicle License Fees and State sales tax), which provide funds to support indigent health care and mental health services, as well as other major State-funded programs, such as 2011 Realignment, MHSA funds, EMS-Maddy Fund, California Advancing and Innovating Medi-Cal, and California Children's Services.
- Working collaboratively with community partners to develop and further the goals outlined in the PHS Community Health Improvement Plan.
- Engaging with qualified providers on service delivery options to expand the availability of inpatient psychiatric beds and substance use disorder treatment capacity within the County.
- Serving as liaison with Health Plan of San Joaquin to maximize the use of safety net facilities, including BHS, SJGH, and SJCC for the transition of additional Medi-Cal eligible populations into Medi-Cal Managed Care.
- Assisting with advocacy for expanded local Veterans Affairs medical facilities and services, such as the Community-Based Outpatient Clinics in French Camp, which recently began construction.
- Evaluating opportunities for system integration, enhanced coordination of care, and opportunities for quality improvement and compliance across the divisions of the HCS Agency and SJGH, as well as applicable safety-net partners.
- Continuing active participation with the Continuum of Care and coordinating homelessness initiative programs to help address the findings of the Homelessness Taskforce and policy direction approved by the Board of Supervisors.
- Participating in the CCP to ensure programmatic and legal responsibilities for medical and mental health care for inmates released by the State and newly-sentenced offenders housed in County Jail facilities.
- Serving as a formal voting member of the SJCC FQHC-LAL Board consistent with revised governance requirements from the Health Resources and Services Administration.
- Participating in the County's PeopleSoft Reimplementation Executive Steering Committee.
- Continuing to participate in the County's review of, and enhancements to, emergency operations plans and their implementation as part of the County's COVID-19 response.



# Summary

## Health Services

### Health Care Services - Summary of Net County Cost (2020-2021 Proposed Budget)

Budget	Name	Required Match/ MOE*	Overmatch/ Discretionary	Total Net County Cost	Total Budget (Expenditures)	NCC% of Total Budget
2022621000	Correctional Health Services	0	12,470,720	12,470,720	16,763,728	74.4%
4040300000	Mental Health Pharmacy	0	0	0	11,995,679	0.0%
4040500000	Mental Health Services	1,610,759	0	1,610,759	216,019,637	0.7%
4040600000	Substance Abuse Services	379,489	396,799	776,288	26,622,996	2.9%
4040700000	Behavioral Health Administration	0	0	0	17,106,650	0.0%
4041000000	Public Health Services	331,881	10,302,956	10,634,837	28,842,692	36.9%
4041200000	Public Guardian/Conservator	0	928,073	928,073	4,125,959	22.5%
4041800000	Emergency Medical Services	0	541,182	541,182	3,036,729	17.8%
4045415000	California Children's Services	545,334	1,261,237	1,806,571	7,139,291	25.3%
4049500000	HCS Administration	0	0	0	5,246,932	0.0%
4049600000	Whole Person Care Program	0	0	0	7,307,367	0.0%
4049700000	Medical Examiner	0	5,337,587	5,337,587	5,733,587	93.1%
5055600000	Veterans Services Office	0	327,663	327,663	684,873	47.8%
<b>Total</b>		<b>\$2,867,463</b>	<b>\$31,566,217</b>	<b>\$34,433,680</b>	<b>\$350,626,120</b>	<b>9.8%</b>

\* MOE (Maintenance of Effort)

# 4040300000—Mental Health Pharmacy

## Health Services

Greg Diederich, Health Care Services Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,885,330	\$2,094,089	\$2,068,854	\$2,068,854	(\$25,235)
Services & Supplies	9,246,983	9,459,678	9,912,289	9,912,289	452,611
Centrally-Budgeted Expenses	8,460	7,861	14,536	14,536	6,675
<b>Total Expenditures</b>	<b>\$11,140,773</b>	<b>\$11,561,628</b>	<b>\$11,995,679</b>	<b>\$11,995,679</b>	<b>\$434,051</b>
Expenditure Reimbursements	(239,411)	(284,108)	(579,296)	(579,296)	(295,188)
<b>Total Appropriations</b>	<b>\$10,901,362</b>	<b>\$11,277,520</b>	<b>\$11,416,383</b>	<b>\$11,416,383</b>	<b>\$138,863</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$422,118	\$727,245	\$0	\$0	(\$727,245)
Charges For Services	10,309,349	10,549,275	9,135,416	9,135,416	(1,413,859)
Miscellaneous Revenues	3,004	1,000	1,000	1,000	0
Fund Balance	166,891	0	2,279,967	2,279,967	2,279,967
<b>Total Revenues</b>	<b>\$10,901,362</b>	<b>\$11,277,520</b>	<b>\$11,416,383</b>	<b>\$11,416,383</b>	<b>\$138,863</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	12.0	12.0	11.0	11.0	(1.0)
Temporary (Full-Time Equivalent)	4.1	3.8	6.0	6.0	2.2
<b>Total Staffing</b>	<b>16.1</b>	<b>15.8</b>	<b>17.0</b>	<b>17.0</b>	<b>1.2</b>

### Purpose

The Welfare and Institutions Code mandates and regulates the existence of community mental health services. The purpose of the Mental Health Pharmacy (MHP) is to provide psychotropic medication for Mental Health Services' (MHS) consumers in an efficient manner with the desire to increase medication compliance resulting in overall cost avoidance to MHS budgets.

MHS consumers are not mandated to have prescriptions filled by the MHP. Since the implementation of the Affordable Care Act, many consumers have transitioned to having their prescriptions filled by commercial full-service pharmacies in the community.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$43,372 Salary and benefits adjustments.
- (\$78,771) Delete a vacant Pharmacy Technician position.
- \$15,164 Increase in extra-help.
- (\$5,000) Decrease in overtime costs.

#### Services & Supplies

- \$235,193 Increase in Behavioral Health Administration indirect costs.
- \$232,730 Increase in medication costs.
- (\$14,631) Decrease in lease and support costs associated with dispensing robot.

# 4040300000—Mental Health Pharmacy

## Health Services

### Expenditure Reimbursements

- \$273,121 Increase in reimbursement from MHS for inpatient services and indigent prescription drugs.
- \$22,067 Increase in reimbursement for indigent prescription drugs for Mental Health Services Act clients.

### Revenues

- \$279,418 Increase in Medicare funding.
- (\$847,193) Decrease in Medi-Cal funding.
- (\$846,084) Change in methodology for coding drug cost reimbursements.
- (\$727,245) Eliminate use of Mental Health realignment funds.
- \$2,279,967 Increase in use of fund balance.

### Program Discussion

The 2020-2021 recommended budget for the Mental Health Pharmacy (MHP) totals \$11,995,679, which is an increase of \$434,051 from the 2019-2020 adjusted budget. This change mainly reflects salary and benefits adjustments (\$43,372), and increases in indirect costs (\$235,193) and medication costs (\$232,730), offset by the deletion of a vacant Pharmacy Technician position (-\$78,771).

Long-acting injectable drugs are part of the Mental Health drug regimen because of improved clinical outcomes, and reduced hospitalization and readmission rates due to better

patient compliance. However, these injectable drugs have seen a steady increase in cost, which is projected to continue during 2020-2021. Although the overall volume of prescriptions filled by the MHP is declining, the increasing cost of injectable drugs offsets other decreases in overall spending for medications.

With potential, yet unknown changes in national health care financing, the MHP will continue its efforts to work with drug manufacturers that have Patient Assistance Programs, which may provide medications at no-cost or low-cost to those lacking adequate insurance coverage.

Operating an internal pharmacy offers the convenient ability to provide and monitor medication in a manner that promotes client stability for those who have difficulty maintaining regular compliance with a drug regimen, thus potentially avoiding costly high-acuity services. However, the MHP continues to face challenges due to increased cost of medication and declining volume of prescriptions filled as consumers exercise their choice and the convenience of utilizing other pharmacy providers. There will be additional fiscal impacts as the State transitions Medi-Cal pharmacy services to a fee-for-service system effective January 1, 2021.

The 2020-2021 MHP budget reflects changes in methodology for identifying reimbursements and allocation of Mental Health realignment funds, which will provide a better indication of the net cost to continue providing pharmacy services in the future. The recommended budget includes the use of approximately \$2.3 million from the Mental Health Fund balance to offset the annual operating deficit. The MHP will continue to review all aspects of pharmacy operations in an effort to improve customer service levels, maximize third-party reimbursement, reduce operational costs, and maintain fiscal sustainability.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Prescriptions	86,923	68,923	74,489	70,907	68,635

# 4040500000—Mental Health Services

## Health Services

Greg Diederich, Health Care Services Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$60,951,513	\$73,863,665	\$78,398,071	\$78,398,071	\$4,534,406
Services & Supplies	54,137,502	86,416,155	130,552,277	130,552,277	44,136,122
Centrally-Budgeted Expenses	2,361,895	2,284,485	2,672,613	2,672,613	388,128
Other Charges & Uses	4,479,152	7,934,635	9,913,307	9,913,307	1,978,672
Capital Expenditures	576,587	6,072,959	11,590,019	11,590,019	5,517,060
Operating Transfers Out	75,000	0	0	0	0
<b>Total Expenditures</b>	<b>\$122,581,649</b>	<b>\$176,571,899</b>	<b>\$233,126,287</b>	<b>\$233,126,287</b>	<b>\$56,554,388</b>
Expenditure Reimbursements	(17,107,088)	(20,116,072)	(21,230,545)	(21,230,545)	(1,114,473)
<b>Total Appropriations</b>	<b>\$105,474,561</b>	<b>\$156,455,827</b>	<b>\$211,895,742</b>	<b>\$211,895,742</b>	<b>\$55,439,915</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$415,737	\$390,000	\$540,000	\$540,000	\$150,000
Aid From Other Governments	61,375,208	97,404,163	144,293,098	144,293,098	46,888,935
Charges For Services	41,590,633	53,569,655	63,085,458	63,085,458	9,515,803
Miscellaneous Revenues	50,100	97,459	25,674	25,674	(71,785)
Operating Transfers In	3,951,512	3,950,759	3,951,512	3,951,512	753
Fund Balance	(1,908,629)	1,043,791	0	0	(1,043,791)
<b>Total Revenues</b>	<b>\$105,474,561</b>	<b>\$156,455,827</b>	<b>\$211,895,742</b>	<b>\$211,895,742</b>	<b>\$55,439,915</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	560.0	582.0	583.0	583.0	1.0
Temporary (Full-Time Equivalent)	101.6	95.9	110.9	110.9	15.0
<b>Total Staffing</b>	<b>661.6</b>	<b>677.9</b>	<b>693.9</b>	<b>693.9</b>	<b>16.0</b>

- Operating Transfers In includes a General Fund Contribution of \$1,610,759 for 2020-2021.

### Purpose

This narrative combines the Mental Health Services (MHS) (#4040500000) and Behavioral Health Administration (BHA) (#4040700000) budgets.

MHS provides programs to all age groups, including inpatient and outpatient services, and 24-hour emergency crisis intervention. Clinics are located in Stockton, Lodi, Manteca, and Tracy, but MHS services are provided throughout the County. Major sources of funding include Medi-Cal reimbursement, Mental Health Services Act (MHSA) funds, and State Realignment monies.

BHA provides administrative support for MHS, the Mental Health Medi-Cal Managed Care Plan, Substance Abuse Services (SAS), Public Guardian/Conservator's Office (PG/C), and youth Psychiatric Services at the Juvenile Justice Center.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$2,194,743 Salary and benefits adjustments.
- (\$176,367) Transfer a Departmental Personnel Analyst position to Health Care

# 4040500000—Mental Health Services

## Health Services

	Services (HCS) Administration midyear 2019-2020.	➤ \$554,066	Increase in reimbursement to MHS from SAS.
➤ \$109,411	Transfer a Management Analyst position from SAS.	➤ \$190,426	Increase in reimbursement to MHS from San Joaquin General Hospital.
➤ \$2,406,619	Increase in extra-help.	➤ \$150,518	Increase in reimbursement to MHS from Correctional Health Services.
<b>Services &amp; Supplies</b>		➤ \$56,032	Increase in quality improvement claim activity charged to BHA.
➤ \$32,243,000	Increase in contract allied health staff costs to address network adequacy requirements.	➤ (\$481,127)	Decrease in reimbursement to MHS from Whole Person Care Program.
➤ \$10,014,353	Overall net increase in various MHSA-funded projects and other community services agreements.	➤ (\$368,842)	Decrease in reimbursement to BHA from MHS and PG/C.
➤ \$2,120,649	Increase in inpatient hospital costs.	➤ (\$243,009)	Decrease in reimbursement to MHS from HSA.
➤ \$898,300	Increase in supplemental support costs for board and care facilities.		
➤ \$466,013	Increase in costs for children and youth services.		
➤ \$412,524	Increase in BHA indirect costs.		
➤ \$390,612	Increase in security guard costs.		
➤ (\$2,457,540)	Decrease reimbursement to PG/C due to change in methodology.		
➤ (\$603,370)	Decrease in expenditures due to funding reduction from Human Services Agency (HSA).		
<b>Centrally-Budgeted Expenses</b>			
➤ \$271,881	Increase in data processing charges.		
➤ \$216,849	Increase in Workers' Compensation and Casualty insurance costs.		
➤ (\$105,519)	Cost Allocation Plan adjustment.		
<b>Other Charges</b>			
➤ \$1,036,777	Increase in assessment and respite center costs.		
➤ \$972,395	Increase in State hospital costs.		
<b>Expenditure Reimbursements</b>			
➤ \$1,252,359	Increase in reimbursement to BHA from Mental Health Pharmacy, SAS, and MHSA.		
		<b>Revenues</b>	
		➤ \$44,859,879	Increase in MHSA and California Health Facilities Financing Authority (CHFFA) revenue.
		➤ \$9,747,322	Increase in Medi-Cal revenue.
		➤ \$1,866,120	Increase in Realignment revenue.
		➤ \$981,765	Increase in Homeward Bound funding.
		➤ \$150,000	Increase in interest earnings.
		➤ (\$596,134)	Decrease in State matching funds for Medi-Cal.
		➤ (\$317,219)	Decrease in Early and Periodic Screening, Diagnostic, and Treatment revenue.
		➤ (\$222,579)	Decrease in Katie A. funding.
		➤ (\$1,043,791)	Decrease in use of fund balance.
		<b>Capital Expenditures</b>	
		➤ \$4,275,000	Residential treatment facility for females (CHFFA Phase II).
		➤ \$3,561,846	Residential treatment facility for males (CHFFA Phase I).
		➤ \$1,000,000	Backup generator.
		➤ \$500,000	Consumer restroom and shower safety renovation.

# 404050000—Mental Health Services

## Health Services

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- \$500,000 Ward X building upgrades.
- \$450,000 Cleaning and painting building exteriors.
- \$275,173 Public restroom accessibility improvements.
- \$200,000 Security camera project.
- \$200,000 Additional parking.
- \$125,000 Outdoor lighting.
- \$100,000 Boiler.
- \$90,000 Reconfigure Lodi facility.
- \$80,000 Conference room safety improvements.
- \$75,000 Flooring project for Psychiatric Health Facility.
- \$48,000 Protective wall sheets.
- \$30,000 Pump and motor.
- \$20,000 Heat pump.
- \$20,000 Stone veneer replacement.
- \$20,000 Backflow valve replacement.
- \$20,000 Key card patio access.

## Program Discussion

The 2020-2021 recommended combined budget for Mental Health Services (MHS) and Behavioral Health Administration (BHA) totals \$233,126,287, which is an increase of \$56,554,388 from the 2019-2020 adjusted budget. This change mainly reflects increases in contract allied health staff costs (\$32,243,000), various MHSA projects and community services (\$10,014,353), capital expenditures (\$5,517,060), extra-help (\$2,406,619), salary and benefits adjustments (\$2,194,743), inpatient hospital costs (\$2,120,649), assessment and respite center costs (\$1,036,777), State hospital costs (\$972,395), and supplemental supports for board and care facilities (\$898,300), offset by a decrease in intradepartmental charges due to a change in methodology (-\$2,457,540).

### *Mental Health Services Act (MHSA)*

MHSA continues to be a major funding source for MHS with \$73.7 million programmed to be expended in the 2020-2021 budget. The overall purpose of MHSA is to reduce long-term

adverse impacts on individuals, families, and State and local budgets as a result of untreated, serious mental illness. MHSA funding is used for a wide variety of prevention, intervention, and treatment programs for mental health concerns.

The Behavioral Health Services (BHS) Department carefully examines the use of MHSA funds and evaluates the outcomes of MHSA-funded projects. In the past several years, BHS has made a concerted effort to substantially increase the number of MHSA-funded projects, while paying careful attention to strict State regulations that govern the use of MHSA funds. An annual community-wide planning process is conducted to determine community needs and priorities. BHS also incorporates the Board of Supervisors' Strategic Priorities into the annual MHSA planning process, such as improving public safety and addressing homelessness.

MHSA funding is allocated within five component areas:

1. Prevention and Early Intervention (PEI)
2. Community Services and Supports (CSS)
3. Innovation (INN)
4. Workforce Education and Training (WET)
5. Capital Facilities/Technological Needs (CFTN)

PEI projects are in various stages of implementation in 2019-2020. School-based counseling services for children and youth, and services focused on diverting non-violent, non-serious offenders from the criminal justice system were implemented and will continue in 2020-2021 with funding included in the recommended budget. Other PEI projects have not yet been implemented, but will be funded for implementation in 2020-2021, including two new large-scale projects focusing on community education to reduce suicide risks and other mental health concerns, and counseling services for individuals who have experienced trauma.

In 2019-2020, MHSA CSS funding was used to implement four Intensive Full-Service Partnerships (FSP) that focus on very high-risk mental health consumers. Other CSS projects implemented during the year include an expansion of Mobile Crisis Response Teams to provide on-site clinical assessment and interventions in partnership with first responders, hospital emergency rooms, children's group homes, and Mary Graham Children's Shelter; a project for treatment and case management of clients with very intensive treatment needs; and a Peer Navigation Program. A program for Adult Residential Treatment Services was not implemented due to a lack of response from potential providers. As allowed by MHSA guidelines, BHS will transfer funding from the CSS component to fund the WET and CFTN components, as the original MHSA allocation of funds for these components was fully expended in 2017-2018.

# 4040500000—Mental Health Services

## Health Services

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BHS is not planning to expand any MHSA services in 2020-2021 due to the fiscal impacts of the 2019 Novel Coronavirus (COVID-19) crisis. MHSA revenues are dependent upon the economy, so additional services will not be developed until projected MHSA funding has stabilized.

### *Crisis and Inpatient Services*

An expansion of the Crisis Stabilization Unit was completed in March 2017, bringing its capacity to 16 beds. Despite the increase, acute care services remain impacted and BHS continues to seek additional intensive rehabilitative services. A Request for Proposals for these services was released in 2017 and resulted in an award to Telecare, Inc. Additional funding through the MHSA CFTN component has been allocated for renovation costs for a new rehabilitation facility for individuals requiring intensive psychiatric services with 24 hours a day, 7 days per week monitoring. BHS continues to research the possibility of remodeling an existing County facility for this purpose.

### *Inspire Project*

The Inspire Project provides FSP services for adults who have a serious mental illness and are substantially deteriorating, gravely disabled, or unable to care for themselves. Inspire clients may pose a serious risk to themselves or others, have a history of reluctance to engage in traditional mental health treatment, may have a history of multiple living situations, may have co-occurring disorders, or may have a history with law enforcement.

Inspire staff strive to find pathways to mental health services for hesitant or reluctant clients to improve individual well-being and create a safer community. A key element of Inspire is “enthusiastic engagement” which are daily contacts to build rapport and provide a framework for voluntary mental health treatment. The goal is to engage clients, improve client stability and self-sufficiency, maintain engagement in outpatient treatment services, support placement in safe and stable housing environments, and provide individualized safety plans for clients and their family as needed. Currently, there are 30 clients served annually by the Inspire Project.

### *Services for Homeless Individuals*

In 2017, BHS was awarded a \$6.0 million grant from the California Board of State and Community Corrections for the “Homeward Bound” Initiative. An additional \$7.7 million of MHSA INN funding was leveraged to support this Initiative. Homeward Bound includes a community-based Behavioral Health Assessment and Respite Center operated by Community Medical Centers. The Center is a “friendly front door” to services for unserved and underserved individuals who may be reluctant to access the public mental health system, as well as withdrawal management and medication-

assisted treatment programs. Homeless individuals may be eligible for a grant-funded housing assistance project operated by the Central Valley Low Income Housing Corporation.

A second project, Progressive Housing, is operated by Stockton Self-Help Housing and is designed to increase housing options for individuals with mental health challenges by developing a number of small-scale housing facilities. This project is funded with \$6.4 million of MHSA INN funding and has resulted in nine scattered-site houses with a capacity of 45 additional beds.

A third project, Project-Based Housing, is being implemented with CSS funds in partnership with the Housing Authority of the County of San Joaquin (Housing Authority). The facility is expected to open in 2020 and will provide 37 studio apartments for adults with serious mental illness.

BHS also provides a substantial number of homeless outreach and engagement services for homeless mentally ill individuals. The Projects for Assistance in Transition from Homelessness (PATH) team provides various services at encampments and shelters. BHS also partners with the Stockton Police Department on the Law Enforcement Assisted Diversion (LEAD) project in which teams of officers and BHS staff link homeless individuals to safe housing, and mental health and substance abuse disorder services. Additionally, BHS operates a Whole Person Care team that links homeless individuals to services.

### *No Place Like Home (NPLH)*

NPLH was approved by voters in November 2018 and authorized the use of MHSA funds for the development of permanent supportive housing for individuals with serious mental illnesses who are homeless, chronically homeless, or at risk of chronic homelessness. The County was awarded a non-competitive allocation of \$2.1 million in January 2019 (R-19-12) and has applied for an additional competitive allocation. BHS developed a plan for addressing homelessness for people with serious mental illness, issued a Request for Qualifications for a development partner, and plans to apply for additional funding in conjunction with the Housing Authority.

### *1991 Realignment*

As permitted in the Realignment legislation, \$1,522,744 will be redirected from Mental Health Realignment funding in 2020-2021 to support other Health Care Services Agency departments; \$1,182,265 to support HCS Administration and \$340,479 to support Public Health Services.

### *Network Adequacy of Mental Health Plan*

BHS is designated as a prepaid Mental Health Plan (MHP) for Mental Health Medi-Cal Services and is required by the

# 4040500000—Mental Health Services

## Health Services

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Federal Centers for Medicaid and Medicare to demonstrate an adequate network of medical and clinical providers to serve the County's Medi-Cal population. In January 2019, BHS was notified that the number of network providers reported to the State in 2018 was insufficient to meet the requirements. In an effort to immediately comply with State requirements, BHS developed contracts with several temporary staffing companies for locum tenens physicians and other qualified mental health professionals. Funding for contract allied health staffing is increased in the recommended budget to ensure compliance with network adequacy. For the longer term, BHS continues to explore options to become a more competitive employer within the region to develop and maintain sufficient provider capacity.

As part of the initial budget planning for 2020-2021, BHS intended to request the addition of several new positions in order to help fulfill the network adequacy requirements. The demand for mental health services continues to grow as does the need for BHS to develop internal capacity to provide the services. However, due to unknown economic impacts from the COVID-19 outbreak, and having several vacant allocated positions that remain unfilled, BHS is deferring requests to add new positions until the existing list of vacancies is reduced. BHS will continue to work with Human Resources to find and retain qualified candidates for existing vacant positions and will evaluate the feasibility of requesting additional positions during the fiscal year after budgetary impacts can be more fully assessed. BHS is also working with the California Behavioral Health Directors Association to advocate for revisions to the calculations used to set the network adequacy requirements. Ongoing discussions with State and Federal agencies and the implementation of outside monitoring of BHS activities has improved the outlook for compliance with network adequacy requirements.

### Mental Health Services Act Funds

MHSA funds are generated through a 1% personal income tax for earnings of more than \$1.0 million. Revenues generated are used to provide funding for mental health services and programs. Funds must be appropriated within three years of receipt or be subject to reversion to the State. Monies can only be used in accordance with the MHSA legislation and as part of the local MHSA program and expenditure plan, which is subject to wide stakeholder input. As of March 31, 2020, MHSA fund balances were:

<b>MHSA Funds</b>	<b>Fund No.</b>	<b>Amount</b>
Prudent Reserve	20606	\$6,939,866
Community Services & Supports	20610	\$74,632,964
Prevention & Early Intervention	20611	\$17,508,409
Innovation	20612	\$10,388,105
Capital Facility & Technology Needs	20613	\$2,769,859
Workforce Education & Training	20614	\$27,955

### Dorothy Chase Estate Mental Health Fund

The Dorothy Chase Estate Mental Health Fund (#81261) is dedicated to the development and/or funding of mental health services, especially those benefiting senior citizens in the County. Fund balance as of March 31, 2020 was \$40,265.



# 4040500000—Mental Health Services

## Health Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Adult Services (Days)</b>					
<i>Psychiatric Health Facility</i>	7,062	6,924	7,042	7,142	7,142
<i>State Hospital</i>	1,095	1,095	1,095	1,095	1,095
<i>Crisis Residential</i>	11,495	11,534	9,642	11,136	11,140
<i>Adult Transitional</i>	5,378	5,935	5,274	5,201	5,275
<b>Day Treatment (Days)</b>					
<i>Children Placements</i>	699	318	9	N/A	N/A
<i>UOP Socialization</i>	10,026	8,303	7,604	9,677	10,000
<i>Crisis Stabilization</i>	25,711	33,067	40,438	39,513	40,300
<b>Outpatient (Hours)</b>					
<i>Adult Outpatient/Crisis</i>	46,774	42,406	41,129	37,199	41,254
<i>Crisis</i>	2,972	4,036	3,427	2,829	3,500
<i>Children's Services</i>	58,196	58,447	40,780	35,816	40,500
<i>Older Adults</i>	6,687	5,584	6,171	5,502	5,625
<i>Children's Placements</i>	8,408	2,997	784	N/A	N/A
<b>MHSA Services (Hours)</b>					
<i>Adult Outpatient</i>	46,925	56,444	42,116	40,931	42,500
<i>Crisis</i>	23,564	24,028	22,142	23,518	24,650
<i>Children's Services</i>	25,260	27,339	11,406	15,351	16,254
<i>Older Adults</i>	2,678	3,704	3,856	2,550	2,648
<i>System-wide Cost Per Consumer</i>	\$5,267	\$5,878	\$5,824	\$5,896	\$7,073

# 404060000—Substance Abuse Services

## Health Services

Greg Diederich, Health Care Services Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$6,730,407	\$8,970,141	\$8,638,833	\$8,638,833	(\$331,308)
Services & Supplies	15,555,717	17,064,262	17,341,926	17,341,926	277,664
Centrally-Budgeted Expenses	364,396	290,406	407,237	407,237	116,831
Capital Expenditures	77,558	174,000	235,000	235,000	61,000
<b>Total Expenditures</b>	<b>\$22,728,078</b>	<b>\$26,498,809</b>	<b>\$26,622,996</b>	<b>\$26,622,996</b>	<b>\$124,187</b>
Expenditure Reimbursements	(632,738)	(1,231,802)	(778,423)	(778,423)	453,379
<b>Total Appropriations</b>	<b>\$22,095,340</b>	<b>\$25,267,007</b>	<b>\$25,844,573</b>	<b>\$25,844,573</b>	<b>\$577,566</b>
<b>Earned Revenues By Source</b>					
Fines/Forfeitures/Penalties	\$0	\$60,427	\$122,000	\$122,000	\$61,573
Aid From Other Governments	10,706,543	10,771,493	11,722,840	11,722,840	951,347
Charges For Services	11,173,986	13,658,799	13,223,445	13,223,445	(435,354)
Miscellaneous Revenues	155,051	0	0	0	0
Operating Transfers In	780,191	776,288	776,288	776,288	0
Fund Balance	(720,431)	0	0	0	0
<b>Total Revenues</b>	<b>\$22,095,340</b>	<b>\$25,267,007</b>	<b>\$25,844,573</b>	<b>\$25,844,573</b>	<b>\$577,566</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	93.0	92.0	91.0	91.0	(1.0)
Temporary (Full-Time Equivalent)	40.3	32.8	20.3	20.3	(12.5)
<b>Total Staffing</b>	<b>133.3</b>	<b>124.8</b>	<b>111.3</b>	<b>111.3</b>	<b>(13.5)</b>

- Operating Transfers In includes a General Fund Contribution of \$776,288 for 2020-2021.

### Purpose

Substance Abuse Services (SAS) provides a range of drug and alcohol prevention and treatment services for County residents, including outpatient, intensive outpatient, residential, and narcotic treatment services. SAS plays a significant role in the community's effort to reduce the harmful effects of alcohol and drug addiction.

In partnership with its health care and criminal justice system partners, SAS uses a variety of funding streams in an effort to reduce the number of individuals requiring the use of high-cost jail beds, and medical and psychiatric emergency services, as a result of untreated substance use disorders.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$148,865 Salary and benefits adjustments.
- (\$109,411) Transfer a Management Analyst position to Behavioral Health Administration (BHA).
- \$15,000 Increase in overtime and holiday pay to reflect actual experience.
- (\$385,762) Decrease in extra-help.

# 404060000—Substance Abuse Services

## Health Services

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### Services & Supplies

- \$491,995 Increase in BHA overhead costs.
- \$250,000 Increase in residential facility costs.
- \$241,252 Increase in security services for residential programs.
- \$204,100 Increase in short-term sober recovery residential services.
- (\$470,666) Decrease in Narcotic Treatment Program (NTP) provider contracts.
- (\$251,934) Decrease in costs for services to Human Services Agency (HSA) clients.
- (\$122,591) Decrease in drug court costs to reflect actual experience.
- (\$67,610) Decrease in costs for Friday Night Live and fingerprinting/background investigations.
- \$18,238 Increase in Proposition 172 funding.
- (\$454,547) Decrease in Federal funding for DMC.
- (\$417,689) Decrease in Substance Abuse Block Grant funds.
- (\$179,088) Decrease in client payments.
- (\$73,195) Decrease in transfers from Alcohol and Drug Abuse Education Funds.
- (\$60,427) Decrease in transfer from Substance Abuse Problem Assessment Fund.

### Centrally-Budgeted Expenses

- \$57,496 Increase in data processing charges.
- \$51,024 Increase in Workers' Compensation and Casualty insurance costs.

### Expenditure Reimbursements

- (\$387,934) Decrease in reimbursement from HSA.
- (\$37,758) Decrease in reimbursement from Mental Health Services.
- (\$27,687) Decrease in reimbursement from Community Corrections Partnership and San Joaquin General Hospital.

### Revenues

- \$1,123,567 Increase in State realignment funds for Drug Medi-Cal (DMC).
- \$266,976 Increase in funding for Medi-Cal Administration.
- \$227,231 Increase in Medi-Cal reimbursement.
- \$122,000 Increase in transfer from Statham Drunk Driving Fund.

### Capital Expenditures

- \$200,000 Remodel dining room and staff area.
- \$15,000 Resurface asphalt.
- \$15,000 Reconfigure front office area.
- \$5,000 Replacement shed.

### Program Discussion

The 2020-2021 recommended Substance Abuse Services (SAS) budget totals \$26,622,996, which is an increase of \$124,187 from the 2019-2020 adjusted budget. This change mainly reflects salary and benefits adjustments (\$148,865), increases in BHA overhead costs (\$491,995), residential facility costs (\$250,000), residential program security services (\$241,252), and short-term sober recovery residential services (\$204,100), offset by the transfer of a Management Analyst position to the BHA budget (\$-109,411), and decreases in extra-help (-\$385,762), NTP provider contracts (-\$470,666), and HSA client costs (-\$251,934).

#### *Narcotic Treatment Programs (NTP)*

As a result of the 2011 State Realignment, counties are responsible for using realigned State sales tax revenue as a match for Federal DMC reimbursements to treatment providers. Most DMC contracts are for NTPs, the most costly of DMC services. In San Joaquin County, two NTP providers operate seven clinics in Lodi, Manteca, and Stockton. These services are a Federal entitlement and counties have little ability to modify services to achieve cost savings. Although the cost of these services has increased over the past several years, Behavioral Health Services (BHS) plans to reduce costs in 2020-2021 by managing utilization through the Drug Medi-Cal Organized Delivery System (DMC-ODS) process.

# 404060000—Substance Abuse Services

## Health Services

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The impact to Realignment revenue as a result of the 2019 Novel Coronavirus (COVID-19) pandemic is unknown. Decreases in revenue from sales taxes and vehicle license fees will affect the amount of funding available for services across all BHS programs, including DMC-ODS. However, since there are limited means to reduce utilization of entitlement programs such as NTPs, BHS will continue to monitor revenues, identify mandated services, and if necessary, consider program areas for potential reductions if COVID-19 fiscal impacts extend into 2020-2021.

### *DMC Organized Delivery System (DMC-ODS)*

The State Department of Health Care Services is continuing to implement a Federal Section 1115 Medi-Cal “Bridge to Reform” Demonstration Waiver. One component of the Waiver includes major changes to the DMC service delivery system, transforming it into a continuum of care modeled after the American Society of Addiction Medicine criteria for substance use disorder treatment services. The County’s DMC-ODS was implemented in 2018-2019 and includes a focus on timeliness of services and enhanced access to treatment.

In 2020-2021, SAS will continue efforts to increase its contracted DMC-ODS provider network through a variety of means, including issuing a new Request for Qualifications for service providers through the County’s Purchasing Division.

### *Residential Treatment*

SAS operates two residential substance use disorder treatment programs, Recovery House (licensed for men and women) and the Family Ties Program (perinatal program for women and their children). Funding is provided by DMC-ODS, Federal substance abuse block grants, and State funds. Referrals are received from criminal justice agencies, social service agencies, and families. Implementation of the DMC-ODS in 2018-2019 allowed DMC billing for non-perinatal residential treatment services provided at Recovery House.

SAS continues to address deferred maintenance and client safety concerns at the Recovery House facility in collaboration with the General Services Department (GSD). In 2018, the bed capacity of the Recovery House was temporarily reduced from 69 to 32 clients due to operating

concerns of the aging facility. The 2020-2021 recommended budget includes funding for various deferred maintenance projects.

### *Residential Services for Co-Occurring Substance Use and Mental Health Disorders*

Many Recovery House participants suffer from co-occurring substance use and mental health disorders (COD); however, the Program was not designed specifically to serve these individuals. The County currently has no specialized residential programs available to treat those with COD, which reduces the likelihood of successful recovery. To close this gap in its system of care, BHS received funding from the California Health Facilities Financing Authority (CHFFA) for two 16-bed COD treatment facilities to be located adjacent to the existing Recovery House. CHFFA funds will pay for the new facilities, while funding from the Mental Health Services Act and Medi-Cal will support the COD treatment services. BHS is coordinating with GSD on this project with construction anticipated to begin in fall 2020.

### **Substance Abuse Problem Assessment Fund**

The Substance Abuse Problem Assessment Fund (#20604) receives funds collected by the Court from individuals ordered to participate in a County alcohol and drug problem assessment program. Fund balance as of March 31, 2020, was \$799,889.

### **Alcohol/Drug Abuse Education Funds**

The Alcohol Abuse Education Fund (#20605) and Drug Abuse Education Fund (#20607) support the County’s alcohol and drug abuse education and prevention efforts. Fund balances as of March 31, 2020, were \$522,109 and \$217,710, respectively.

### **Statham Drunk Driving Fund**

The Statham Drunk Driving Fund (#20609) is for treatment of clients with alcohol dependency in certified residential programs. Fines are collected by the County from Driving while Under the Influence offenses. Fund balance as of March 31, 2020, was \$1,252,552. The 2020-2021 budget includes \$122,000 in transfers from these funds.

# 4040600000—Substance Abuse Services

## Health Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Prevention Activities</b>					
<i>Schools Contacted</i>	71	135	135	150	300
<i>Students Contacted</i>	7,640	8,623	5,032	4,012	6,000
<i>Organizations Contacted</i>	72	200	200	150	300
<i>Individuals Contacted</i>	2,453	3,514	3,514	3,000	7,500
<b>Treatment Services</b>					
<i>Recovery House-Admissions</i>	640	709	675	676	676
<i>Average Cost per Client</i>	\$4,325	\$3,853	\$4,072	\$4,055	\$6,139
<i>Recovery House-Client Days</i>	20,501	18,767	9,596	12,486	12,775
<i>Family Ties-Admissions</i>	108	110	117	120	125
<i>Average Cost per Client</i>	\$16,508	\$16,623	\$16,264	\$16,488	\$19,766
<i>Family Ties-Client Days</i>	8,425	8,836	7,871	7,861	8,450
<b>Central Intake Referrals</b>					
	2,203	2,426	795	N/A	N/A
<i>Average Cost per Client</i>	\$180	\$207	\$648	N/A	N/A
<b>Chemical Dependency Counseling Center</b>					
<i>Intakes</i>	655	593	814*	824	835
<i>Average Cost Per Client</i>	\$2,003	\$2455	\$1,754	\$1,924	\$3,254
<b>Narcotic Treatment Program</b>					
<i>Admissions</i>	2,782	2,510	2,515	2,539	2,539
<i>Average Cost Per Client</i>	\$3,921	\$4,589	\$4,930	\$4,980	\$5,207

\*Central Intake Referrals data is consolidated with Chemical Dependency Counseling Center beginning in 2019.

# 4041000000—Public Health Services

## Health Services

Greg Diederich, Health Care Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$19,268,125	\$22,157,993	\$22,137,571	\$22,137,571	(\$20,422)
Services & Supplies	5,298,026	5,255,265	5,712,173	5,712,173	456,908
Centrally-Budgeted Expenses	256,241	728,003	671,348	671,348	(56,655)
Other Charges & Uses	106,753	125,000	125,000	125,000	0
Capital Expenditures	145,800	249,600	196,600	196,600	(53,000)
<b>Total Expenditures</b>	<b>\$25,074,945</b>	<b>\$28,515,861</b>	<b>\$28,842,692</b>	<b>\$28,842,692</b>	<b>\$326,831</b>
Expenditure Reimbursements	(2,857,617)	(1,932,534)	(1,310,537)	(1,310,537)	621,997
<b>Total Appropriations</b>	<b>\$22,217,328</b>	<b>\$26,583,327</b>	<b>\$27,532,155</b>	<b>\$27,532,155</b>	<b>\$948,828</b>
<b>Earned Revenues By Source</b>					
Fines/Forfeitures/Penalties	\$1,356	\$1,000	\$1,500	\$1,500	\$500
Aid From Other Governments	10,516,934	13,624,061	13,881,485	13,881,485	257,424
Charges For Services	1,400,091	1,976,632	2,115,952	2,115,952	139,320
Miscellaneous Revenues	93,265	60,000	60,000	60,000	0
Operating Transfers In	462,135	396,881	838,381	838,381	441,500
<b>Total Revenues</b>	<b>\$12,473,781</b>	<b>\$16,058,574</b>	<b>\$16,897,318</b>	<b>\$16,897,318</b>	<b>\$838,744</b>
<b>Net County Cost</b>	<b>\$9,743,547</b>	<b>\$10,524,753</b>	<b>\$10,634,837</b>	<b>\$10,634,837</b>	<b>\$110,084</b>
<b>Staffing</b>					
Allocated Positions	171.0	162.0	163.0	163.0	1.0
Temporary (Full-Time Equivalent)	13.5	8.1	6.4	6.4	(1.7)
<b>Total Staffing</b>	<b>184.5</b>	<b>170.1</b>	<b>169.4</b>	<b>169.4</b>	<b>(0.7)</b>

- Operating Transfers In includes a General Fund Contribution of \$331,881 for 2020-2021.

### Purpose

Public Health Services (PHS) is responsible for providing a wide variety of health programs and services to the community. Services include patient education and case management; monitoring and investigation of reportable communicable diseases; maintaining vital records; statistical analysis and mapping of disease data; regional public health laboratory; public health emergency preparedness planning; and health promotion and chronic disease prevention activities.

### Major Budget Changes

#### Salaries & Employee Benefits

(\$65,821)	Salary and benefits adjustments.
\$822,461	Add eight new positions.
(\$777,267)	Delete seven vacant positions.
\$133,792	Additional retirement cost for previous health district employees.
(\$133,587)	Decrease in extra-help.

# 404100000—Public Health Services

## Health Services

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### Services & Supplies

\$349,208	Increase in grant-related activities performed by community-based organizations.
\$98,024	Increase in technology support costs from Health Care Services (HCS) Administration.
\$35,000	Increase in software costs.
\$25,000	Increase in laboratory equipment maintenance costs.
\$25,000	Increase in laboratory supplies.
(\$23,767)	Decrease in overhead costs from HCS Administration.
(\$18,000)	Decrease in advertising for grant-funded programs.
(\$17,566)	Decrease in fleet charges due to use of video observed therapy.
(\$16,487)	Decrease in office supplies due to use of electronic imaging.

### Centrally-Budgeted Expenses

\$28,372	Increase in data processing charges.
\$23,454	Increase in Workers' Compensation and Casualty insurance costs.
(\$109,150)	Cost Allocation Plan adjustment.

### Expenditure Reimbursements

(\$621,997)	Decrease in reimbursement from San Joaquin General Hospital for clinic operations.
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### Revenues

\$828,924	Increase in State grant funding.
\$661,500	Increase in Health realignment revenue.
\$129,320	Increase in laboratory revenue.
(\$791,500)	Decrease in Mental Health realignment revenue.

### Capital Expenditures

\$75,600	Digital infrastructure equipment.
\$55,000	Network equipment.
\$46,000	Database server (2).
\$20,000	Computer switch (2).

### Program Discussion

The 2020-2021 recommended Public Health Services (PHS) budget totals \$28,842,692, which is an increase of \$326,831 from the 2019-2020 adjusted budget. This change mainly reflects the addition of eight new positions (\$822,461), increases in grant-related activities (\$349,208), retirement contribution for previous health district employees (\$133,792), and technology support costs (\$98,024), offset by the deletion of seven vacant positions (-\$777,267), a decrease in extra-help (-\$133,587), a Cost Allocation Plan adjustment (-\$109,150), and salary and benefits adjustments (-\$65,821).

Eight new grant-funded positions are included in the recommended budget, including a Business Analyst, Public Health Education Assistant, Epidemiologist, Case Manager Supervisor, Senior Social Worker, Management Analyst, AIDS Case Worker, and Office Assistant Specialist.

### Disease Control and Prevention

The Disease Control and Prevention Program monitors and responds to many different diseases. To ensure the health of County residents and visitors, program staff works closely with community members, clinicians, and both public and private organizations. Staff coordinates with Federal and State health agencies, correctional facilities, and schools in order to monitor communicable disease outbreaks, alert clinicians to public health threats, and investigate cases and contacts. In 2019, PHS staff processed nearly 14,000 communicable disease reports.

### *Tuberculosis (TB) and Valley Fever Cases*

In 2019, there were 59 active TB cases in San Joaquin County, a 55% increase from the 38 active cases in 2018. Over 400 contacts were evaluated for these 59 cases, with several hundred more identified and pending investigation. Out of the combined 97 TB cases from 2018 and 2019, 55 cases have completed treatment. While the numbers of gastrointestinal and respiratory incidents did not substantially change between 2018 and 2019, the number of Valley Fever cases increased by about 25%, from 259 cases in 2018 to 323 cases in 2019.

# 404100000—Public Health Services

## Health Services

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### *Syphilis Cases*

To be diagnosed with primary or secondary syphilis, medical providers must identify symptoms for either of those stages of the disease, otherwise the disease will be given a classification indicating that it is less contagious. However, the recent rise in syphilis has revealed the impracticality of this diagnosis method, as the symptoms for both primary and secondary syphilis are transient and high-risk populations may not get to the doctor in time to be properly diagnosed. Thus recent numbers, both locally and from the State level, have begun to include stages besides primary and secondary to account for the direction that this disease is moving.

There was a 68% increase in infectious syphilis cases from 264 in 2018 to 444 cases in 2019. The Disease Control Branch was able to reach 361 females that were positive for syphilis (496 in 2018, 430 in 2017), 292 of which were of childbearing age (344 in 2018, 312 in 2017). This number included 80 pregnant females (80 in 2018, 50 in 2017) and of these pregnant cases 75 were treated (62 in 2018, 32 in 2017).

While the total number of female syphilis cases reached actually decreased between 2018 and 2019, the proportion of cases reached that are females of childbearing age increased from 69% to 81%, the proportion of pregnant cases increased from 23% to 27%, and the proportion of pregnant cases that were treated increased from 78% to 94%. The Disease Control Branch continues to target and engage high-risk populations in order to prevent the transmission of congenital syphilis.

In order to better respond to emerging diseases, the PHS organizational structure was changed from separate Sexually Transmitted Diseases (STD)/Human Immunodeficiency Virus (HIV) and TB programs to an all-encompassing Disease Control and Prevention Section. This realignment allows for better communication and cross-training of staff, which enables PHS to be more fluid and efficient in responding to infectious diseases including novel viruses such as the 2019 Novel Coronavirus (COVID-19). The realignment and cross-training also included additional training for Public Health Nurses to be able to provide in-depth training for health care providers on current best practices for the management of syphilis.

### *Emergency Preparedness*

Emergency Preparedness programs provide a series of robust Federal Emergency Management Agency Incident Command System trainings to PHS staff and community partners. PHS requires all employees to complete training courses within six months of hire. PHS staff assigned to the Department Operations Center receive additional training, including utilization of WebEOC, the County's electronic emergency

operations center platform that provides information sharing and timely notification of significant events posted. PHS staff have deployed as critical support personnel through the Operational Area Multi-Agency Coordination system and for ongoing disease outbreak incidents.

Developing and implementing drills and exercises with the Department's partners and stakeholders are hands-on activities that hone staff skills for all-hazard readiness. The National Preparedness Month campaign in September 2019 was conducted in collaboration with the Office of Emergency Services. A total of 84 unique messages were distributed to the public via e-mail, website, and social media postings which received over 37,000 views. The Annex "H" (Health) to the County Emergency Operations Plan is updated throughout the year based upon legislation, real-world incidents, after action review, and all-hazards preparedness. The Continuation of Operations Plan (COOP) was also updated which identifies the essential services, equipment, and staff needed to maintain and restore critical operations after an emergency or disaster.

### *Focus on Homelessness*

In 2019, PHS incorporated into practice the successful tenets from its Triad Project conducted the previous year. In the Triad model, a multi-disciplinary team provided services to prevent and treat hepatitis and influenza, opioid overdoses, evolving to include syphilis treatment in the field. This comprehensive approach has now been instituted in a number of settings where homeless individuals congregate, such as homeless encampments, shelters, drug treatment facilities, and meal programs. Services provided are adjusted according to the health issues that need to be addressed. Of the 157 homeless individuals served in 2019, 22% tested positive for syphilis and 8% tested positive for tuberculosis. Hepatitis A vaccine was provided to 35% and influenza vaccine was administered to 36%. Drug use was reported by 73% of the individuals screened; 15% reported opioid use which resulted in 123 kits of the life-saving drug Naloxone being distributed. Out of the individuals testing positive for TB, 67% were fully evaluated and 25% of them started treatment.

### *Board Strategic Priorities*

Promoting good governance continues to be a core driver for PHS in working toward accreditation by the national Public Health Accreditation Board (PHAB). Many of the standards and measures for local health departments require demonstrating administrative and management capacity. PHS anticipates submitting the final documentation required to be considered for accreditation in 2020. PHAB will review the materials and should make its decision shortly thereafter, unless there are delays due to the operational impacts resulting from the COVID-19 pandemic.



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## Health Services

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PHS is building an electronic performance management system to be more proactive in ensuring that programs and services remain efficient and effective. Metrics based on national benchmarks are being established to better demonstrate health outcomes. The system will include a high-level Department-wide strategic dashboard as well as program level indicators to be able to conduct gap analyses and track workload distribution.

In 2019-2020, PHS placed special attention on increasing organizational capacity by instituting staff training events focused on building skills and abilities of staff at all levels in the organization. PHS also continued to make cross-training of staff a priority, improving communication and collaboration and allowing flexibility to provide services in evolving situations such as a communicable disease outbreak in a homeless encampment or as currently demonstrated in response to the COVID-19 emergency.

PHS collaborates with a broad array of community partners to promote public safety by preventing early deaths; addressing such issues as opioid misuse and abuse; promoting safer, more walkable neighborhoods; preventing injuries; reducing the impact of both acute and chronic diseases; and fostering trauma-informed care. All of these efforts incorporate a health equity lens to help improve the social, economic, and physical conditions that inequitably affect disadvantaged and distressed communities.

PHS promotes economic development through programs that focus on reducing barriers to healthy living. Participants receive support to graduate from high school, maintain stable and healthy housing, obtain healthy food, and increase compliance with medical regimens and appointments. Through this support, individuals are better prepared to be healthy contributing members of the community.

### *2019 Novel Coronavirus (COVID-19)*

On March 12, 2020, the County Public Health Officer declared a Local Public Health Emergency due to the COVID-19 pandemic. As a result of the volume of returning international travelers who required screening, contact tracing, and isolation, along with the initial lack of adequate testing capability, PHS' response quickly evolved from containment to community mitigation. In advance of the official emergency declaration, PHS activated its Department Operations Center in February to help lead a comprehensive response by the County and migrated to a seven days per week operations schedule, including being the first lab in the County to perform COVID-19 testing. The work being performed is intensive and far reaching as all PHS employees are designated "Disaster Workers" and have been working in various essential response roles. PHS staff have provided clinical guidance and services to homeless shelters, as well as to skilled nursing and long-term care facilities; facilitated

requests for personal protective equipment and other medical supplies; provided staff to the Emergency Operations Center; and continue to provide daily updates to stakeholders and the media.

Maintaining the capacity to respond to service requests at a high level for a prolonged period will continue to be problematic as the pandemic moves into the summer with a potential second wave of infections. In addition to calling back retirees and submitting resource requests, PHS anticipates it will need additional staff to perform the level of contact tracing and isolation expected by the Governor as part of the reopening of the State economy.

### **Ryan White Care Consortium Fund**

The Ryan White Care Consortium Fund (#13403) provides assistance to HIV patients. Funding is received through donations and serves as a last resort when clients do not qualify for other assistance. Fund balance as of March 31, 2020 was \$45,807.

### **Child Passenger Restraint Fund**

The Child Passenger Restraint Fund (#13404) receives a portion of fines charged to violators for not utilizing car seats appropriately. Monies are used to support the Child Passenger Safety Program, which provides education and reduced priced car seats to clients who complete classes on the proper use of car seats. Fund balance as of March 31, 2020 was \$110,419.

### **Public Health Vital Stats Fund**

The Public Health Vital Stats Fund (#13407) is funded through a restricted portion of each birth and death certificate sold. The purpose of the Fund is to defray the administrative costs of collecting and reporting fees, technical support of vital record systems, and community health data collection and analysis. Fund balance as of March 31, 2020 was \$694,971.

### **Tobacco Control Program Trust Fund**

The Tobacco Control Program Trust Fund (#13410) consists of grant funds from the State for the Smoking and Tobacco Outreach/Prevention Program (STOPP). Funds are transferred to the General Fund semi-annually based on Program expenditures. Fund balance as of March 31, 2020 was \$457,025.

### **Public Health Construction Capital Outlay Fund**

The Public Health Construction Capital Outlay Fund (#37400) was created when the Local Health District became part of the County with funds to be used for building a new Public Health facility. Since 2018-2019, approximately \$1.3

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## Health Services

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million has been used from this fund towards planning and design of a replacement Public Health facility. Fund balance as of March 31, 2020 was \$5,928.

The General Services Department received Board approval in May 2020 to solicit bids to move forward with the Public Health Facility Replacement project. Funding will be provided from Tobacco Settlement monies allocated to Health Care Facility needs and one-time savings generated by PHS and California Childrens Services budgets.

### Supplemental Requests

The Health Care Services Director is requesting the addition of eight new positions at a total cost of \$822,461:

#### *Business Analyst*

During 2020-2021, PHS will be implementing a Department-wide performance management system. A Business Analyst position is requested for implementation and ongoing use of the system. The position would develop workload evaluation tools and analyze data in order to identify areas for improvement. The position would also be responsible for working with department managers to update and develop content for the PHS website and social media posts. Annual cost of the position is \$95,929 and will be offset by the deletion of vacant PHS positions. Funding is provided by new State grant funding, so there is no increase in General Fund costs.

#### *Public Health Education Assistant*

A Public Health Education Assistant position is requested to perform home visits, as well as provide education and support to families enrolled in the newly-funded California Home Visiting Program/Healthy Families America Program. State funding is provided for staffing based on the expected number of program participants; however, without adding a new position, work would not be performed and funding would remain unclaimed. Annual cost of the position is \$84,364 and will be offset by the deletion of vacant PHS positions. Funding is provided by new State grant funding, so there is no increase in General Fund costs.

#### *Epidemiologist*

An Epidemiologist position is requested to address a significant workload increase due to new State grants received by PHS, including the California Home Visiting Program, Hepatitis C, and STD grants. Without adding a new position, work would not be performed and funding would remain unclaimed. Annual cost of the position is \$131,976 and will be offset by the deletion of vacant PHS positions. Funding is provided by new State grant funding, so there is no increase in General Fund costs.

#### *Case Manager Supervisor*

A Case Manager Supervisor position is requested to serve as the lead staff person for the Perinatal Equity Initiative and California Home Visiting Program/Healthy Families America grant programs. The position would supervise staff in both programs and provide programmatic support for subcontracts with community-based organizations. State funding is provided for staffing based on an anticipated volume of clients. It would be difficult to oversee the new activities as required by the State grant guidelines without adding a new position. Annual cost of the position is \$124,967 and will be offset by the deletion of vacant PHS positions. Funding is provided by new State grant funding, so there is no increase in General Fund costs.

#### *Senior Social Worker*

A Senior Social Worker position is requested to provide case management services for program participants in the California Home Visiting Program/Healthy Families America Program. State funding is provided for staffing based on an anticipated volume of clients. Without adding a new position, work would not be performed and funding would remain unclaimed. Annual cost of the position is \$123,396 and will be offset by the deletion of vacant PHS positions. Funding is provided by new State grant funding, so there is no increase in General Fund costs.

#### *Management Analyst*

A Management Analyst position is requested to assist assigned program managers with administrative activities and grant management of up to 30 grants. The position would be responsible for management of subcontractor agreements, including Federal funding in the Housing Plus Program. Annual cost of the position is \$109,411 and will be offset by the deletion of vacant PHS positions. Funding is provided by new State and Federal grant funding, so there is no increase in General Fund costs.

#### *AIDS Case Worker*

An AIDS Case Worker position is requested to conduct client assessments, comprehensive housing plans, client budgeting assistance, referrals, and data entry for the Housing Plus Program. Grant funding is provided for staffing based on an anticipated volume of clients. Without adding a new position, work would not be performed and funding would remain unclaimed. Annual cost of the position is \$77,324 and will be offset by the deletion of vacant PHS positions. Funding is provided by new Federal grant funding, so there is no increase in General Fund costs.

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## Health Services

### Office Assistant Specialist

An Office Assistant Specialist position is requested to perform advanced clerical duties currently performed by professional staff. The position will provide assistance with ongoing accreditation needs by gathering and maintaining information required for accreditation. Annual cost of the

position is \$75,094 and will be offset by the deletion of vacant PHS positions. Funding is provided by new State and Federal grant funding, so there is no increase in General Fund costs.

The recommended budget includes eight new positions in order to address these requests.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Health Promotion &amp; Administration</b>					
<i>Births &amp; Deaths Registered</i>	13,259	12,319	12,431	13,000	13,000
<i>Health Education Presentations</i>	772	700	1,403*	1,481	1,400
<b>Disease Control &amp; Prevention</b>					
<i>Communicable Disease Control</i>					
<i>Presentations/Consultations</i>	1,116	1,396	1,377	1,064	1,250
<i>Surveillance/Investigations</i>	1,847	558	797	1,400**	1,400
<i>Acquired Immunodeficiency Syndrome Program</i>					
<i>HIV Tests &amp; Counseling</i>	640	983	1,258	418***	600
<i>Case Management for HIV Clients</i>	779	305	233	180	200
<i>HIV Public Education Contacts</i>	523	833	828	671	500
<i>STD Investigation</i>					
<i>Client Contacts</i>	2,050	3,490	2,115	1,848	2,000
<i>Tuberculosis (TB) Control</i>					
<i>Case/Contact Investigations</i>	6,670	4,170	3,522	3,384	3,500
<i>Presentations/Consultations</i>	3,409	2,590	2,839	2,610	2,600
<i>Observed TB Therapy Visits</i>	6,083	6,319	5,629	6,188	6,200
<b>Public Health Laboratory</b>					
<i>Billable Events</i>	25,000	23,377	18,934	25,025	19,925
<b>Family Health Division</b>					
<i>Women, Infants, and Children Program</i>					
<i>Caseload/Month</i>	8,632	7,659	7,183	7,045	6,922
<i>Maternal Child &amp; Adolescent Health</i>					
<i>Home Visits</i>	1,443	1,264	1,239	1,344	1,350

\*In 2018-2019, the definition of Health Education presentations was expanded to include other activities.

\*\*Number of community providers conducting HIV screening and testing increased, which increased investigations.

\*\*\*HIV testing by staff is decreasing due to increased focus on other best practices and strategies for HIV prevention.

# 404120000—Public Guardian/Conservator

## Health Services

Greg Diederich, Health Care Services Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,887,997	\$3,133,662	\$3,226,370	\$3,226,370	\$92,708
Services & Supplies	831,129	867,557	757,575	757,575	(109,982)
Centrally-Budgeted Expenses	48,962	166,394	142,014	142,014	(24,380)
Capital Expenditures	0	142,900	0	0	(142,900)
<b>Total Expenditures</b>	<b>\$3,768,088</b>	<b>\$4,310,513</b>	<b>\$4,125,959</b>	<b>\$4,125,959</b>	<b>(\$184,554)</b>
Expenditure Reimbursements	(2,058,628)	(2,457,540)	0	0	2,457,540
<b>Total Appropriations</b>	<b>\$1,709,460</b>	<b>\$1,852,973</b>	<b>\$4,125,959</b>	<b>\$4,125,959</b>	<b>\$2,272,986</b>
<b>Earned Revenues By Source</b>					
Charges For Services	\$814,373	\$812,000	\$650,000	\$650,000	(\$162,000)
Operating Transfers In	929,925	928,073	928,073	928,073	0
Fund Balance	(34,838)	112,900	2,547,886	2,547,886	2,434,986
<b>Total Revenues</b>	<b>\$1,709,460</b>	<b>\$1,852,973</b>	<b>\$4,125,959</b>	<b>\$4,125,959</b>	<b>\$2,272,986</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	30.0	30.0	30.0	30.0	0.0
Temporary (Full-Time Equivalent)	1.5	1.5	1.5	1.5	0.0
<b>Total Staffing</b>	<b>31.5</b>	<b>31.5</b>	<b>31.5</b>	<b>31.5</b>	<b>0.0</b>

- Operating Transfers In includes a General Fund Contribution of \$928,073 for 2020-2021.

## Purpose

The goal of the Office of the Public Guardian/Conservator (PG/C) is to ensure both the financial and physical safety of incompetent and mentally disabled persons who meet the criteria for conservatorship, as required by law, when there is no viable alternative to public conservatorship.

The PG/C's Office provides:

- Lanterman Petris Short (LPS) Conservatorship services for residents who have been adjudicated by the Superior Court either to lack capacity to manage their finances and/or health care or to be gravely disabled as a result of a mental illness.
- Probate Guardianship/Conservatorship services, including investigation of referrals and administration of personal/financial decisions, as defined by the Probate Code.

- Representative Payee services as defined by the United States Social Security Administration and United States Department of Veterans Affairs for persons deemed by those agencies as being unable to responsibly utilize their funds for food, clothing, and shelter. PG/C only provides these services to consumers who are actively case managed by Behavioral Health Services (BHS).

## Major Budget Changes

### Salaries & Employee Benefits

- \$92,708 Salary and benefits adjustments.

### Services & Supplies

- (\$64,000) Decrease in charges for County Counsel legal services to reflect actual experience.

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## Health Services

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- (\$21,562) Decrease in Behavioral Health Administration indirect costs.
- (\$15,950) Decrease in consultant services.

### Centrally-Budgeted Expenses

- \$18,242 Increase in data processing charges.
- (\$48,547) Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- (\$2,457,540) Decrease in reimbursement from Mental Health Services (MHS) due to change in methodology.

### Revenues

- (\$162,000) Decrease in revenue from conservatorship fees.
- \$2,434,986 Increase in use of fund balance.

## Program Discussion

The 2020-2021 recommended budget for the Office of the Public Guardian/Conservator (PG/C) totals \$4,125,959, which is a decrease of \$184,554 from the 2019-2020 adjusted budget. This change mainly reflects decreases in capital expenditures (-\$142,900), charges for legal services (-\$64,000), and a Cost Allocation Plan adjustment (-\$48,547), offset by salary and benefits adjustments (\$92,708). The budget also includes a change in reimbursement methodology from MHS that is intended to more clearly reflect the estimated use of Mental Health Fund balance needed to augment program revenues.

The PG/C's Office continues to develop and document best practice procedures within the Health Care Services Agency intranet and anticipates finalizing the implementation of the Panoramic software system in 2020-2021, which will assist in streamlining the intake referral process.

### *LPS Conservatorship Referrals*

Unlike recent years, the PG/C's Office has experienced a modest decrease in referrals from the criminal justice system for clients arrested for a criminal offense, who appear to be

incompetent to stand trial, and may have a mental illness. In many cases, these referrals are re-referrals of cases in which the PG/C's Office previously declined to petition for conservatorship based on clinical assessments; however, the Office is obligated by law to perform a new investigation for each referral and evaluations are subsequently performed to determine if the client meets the criteria for an LPS conservatorship. If the evaluation indicates a need for conservatorship, a referral is made to a MHS Psychiatrist and a more intensive investigation performed, including writing conservatorship petitions to Superior Court.

Although these clients may have some form of mental illness, many do not meet the legal criteria for an LPS conservatorship. In these cases, the PG/C may become the client's Representative Payee for public benefits and PG/C staff collaborate with a MHS Case Manager to provide community support and placement assistance. However, since the client is placed in the community, compliance with mental health treatment cannot be controlled or ensured. Staff has established a strong partnership with Case Managers to help facilitate solutions for clients who are non-compliant with medication, and who are at-risk for ending up in the criminal justice system.

A daily challenge for LPS conservatees is the lack of appropriate housing. Due to an acute shortage of housing in the Bay Area and significant funding available to larger counties, open beds in local community care licensed facilities are commonly filled by out-of-county conservatees. The PG/C's Office continues to work collaboratively with MHS on ways to increase housing for conservatees. One of the major strategies has been moving less-acutely-ill clients into supportive housing facilities, which then opens up placements for clients who need to be placed in a licensed board and care facility. A new board and care facility opened in February 2020 with 46 beds dedicated to County mental health clients.

### *Probate Conservatorship Referrals*

The PG/C's Office remains cautious in planning for Probate Conservatorship referrals for 2020-2021. MHS does not provide case management services or placement assistance for clients with a major neurocognitive disorder diagnosis. Placement for younger diagnosed clients is difficult and placement opportunities for these clients who have accompanying behavioral disturbances are limited. PG/C staff must serve as conservator, case manager, and placement coordinator for these clients.

# 404120000—Public Guardian/Conservator

## Health Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Referrals</b>					
<i>LPS Conservatorship Referrals</i>	164	137	126	116	120
<i>Probate Conservatorship Referrals</i>	51	50	48	48	55
<i>Sub-Payee Referrals</i>	42	37	40	26	25
<b>Cases</b>					
<i>LPS Conservatorship Cases</i>	248	281	274	284	280
<i>Probate Conservatorship Cases</i>	116	161	158	170	175
<i>Sub-Payee Cases</i>	536	574	614	538	530
<i>Homeless (all are Sub-Payee Cases)</i>	N/A*	N/A*	N/A*	10	8

\*Homeless data on Sub-Payee Cases was not tracked prior to 2019-2020.

# 4041800000—Emergency Medical Services

## Health Services

Greg Diederich, Health Care Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,247,407	\$1,909,161	\$1,942,404	\$1,942,404	\$33,243
Services & Supplies	623,077	1,252,032	1,130,331	1,130,331	(121,701)
Centrally-Budgeted Expenses	60,653	(13,081)	(36,006)	(36,006)	(22,925)
Operating Transfers Out	1,301,000	0	0	0	0
<b>Total Appropriations</b>	<b>\$3,232,137</b>	<b>\$3,148,112</b>	<b>\$3,036,729</b>	<b>\$3,036,729</b>	<b>(\$111,383)</b>
<b>Earned Revenues By Source</b>					
Licenses/Permits/Franchises	\$928,745	\$970,782	\$1,057,276	\$1,057,276	\$86,494
Aid From Other Governments	184,406	272,549	373,124	373,124	100,575
Charges For Services	418,840	484,850	504,147	504,147	19,297
Miscellaneous Revenues	1,501,409	551,000	551,000	551,000	0
Operating Transfers In	198,957	325,000	10,000	10,000	(315,000)
<b>Total Revenues</b>	<b>\$3,232,357</b>	<b>\$2,604,181</b>	<b>\$2,495,547</b>	<b>\$2,495,547</b>	<b>(\$108,634)</b>
<b>Net County Cost</b>	<b>(\$220)</b>	<b>\$543,931</b>	<b>\$541,182</b>	<b>\$541,182</b>	<b>(\$2,749)</b>
<b>Staffing</b>					
Allocated Positions	11.0	11.0	12.0	12.0	1.0
Temporary (Full-Time Equivalent)	0.0	0.4	0.0	0.0	(0.4)
<b>Total Staffing</b>	<b>11.0</b>	<b>11.4</b>	<b>12.0</b>	<b>12.0</b>	<b>0.6</b>

## Purpose

Emergency Medical Services (EMS) monitors and enforces more than 35 contracts for the provision of Advanced Life Support (ALS) emergency ambulance services, ALS and Basic Life Support (BLS) non-emergency ambulance services, ALS and BLS first response services, base hospital medical direction, receiving hospital services, trauma services, training programs, and other services. EMS also administers the Hospital Preparedness Program (HPP) grant, which provides funds for disaster planning, including hospital surge capacity, mass fatality management, emergency communications, and advanced registration of medical volunteers. EMS serves as the lead agency for all Continuous Quality Improvement activities throughout the EMS system. EMS serves as the Medical Health Operational Area Coordinator (MHOAC) and is the designated point of contact for mutual aid with local, regional, and State agencies. EMS maintains an on-call EMS Duty Officer, 24 hours a day, 7 days per week to respond to mutual aid requests, coordinate

disaster medical operations, and assist hospitals and providers in managing the EMS system. EMS ensures accountability to the public through detailed system reports on the EMS website, public comment process to receive input on policy development, and the ability to attend quarterly EMS Liaison Committee meetings, which include representatives from all EMS system participants.

EMS funding is provided through the collection of contract revenue, fees, grants, General Fund allocation, accumulated reserves from ambulance performance penalties, and Maddy EMS Funds.

## Major Budget Changes

### Salaries & Employee Benefits

- \$83,828 Salary and benefits adjustments.
- (\$50,585) Decrease in extra-help.

# 4041800000—Emergency Medical Services

## Health Services

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### Services & Supplies

- \$54,164 Increase in consultant costs for reporting needs and automated application processing.
- \$53,150 Increase in software maintenance costs.
- \$51,750 Increase in costs related to +EMS Health Information Exchange (HIE) grant activities.
- \$44,000 Increase in costs for outside legal counsel.
- (\$296,065) Decrease in facility planning costs.
- (\$32,000) Decrease in regional EMS costs.

### Centrally-Budgeted Expenses

- \$17,394 Increase in radio maintenance costs.
- \$8,869 Increase in data processing charges.
- (\$46,273) Cost Allocation Plan adjustment.

### Revenues

- \$100,575 Increase in Federal revenue for +EMS HIE grant.
- \$78,697 Increase in ambulance contract monitoring fees.
- \$26,847 Increase in Trauma Center monitoring revenue.
- (\$315,000) Decrease in reimbursement from Maddy EMS Fund mainly due to prior year facility planning costs.

## Program Discussion

The 2020-2021 recommended budget for Emergency Medical Services (EMS) totals \$3,036,729, which is a decrease of \$111,383 from the 2019-2020 adjusted budget. This change mainly reflects decreases in facility planning costs (-\$296,065) and extra-help (-\$50,585), and a Cost Allocation Plan adjustment (-\$46,273), offset by salary and benefits adjustments (\$83,828), increases in technology consultant costs (\$54,164), software maintenance (\$53,150),

implementation of the +EMS HIE grant (\$51,750), and outside legal counsel (\$44,000).

The recommended budget includes a new EMS Coordinator position. Funding for the position was included in the 2019-2020 budget pending completion of a classification study by the Human Resources Division. The new classification is expected to be created and the position allocated in June 2020, to be effective July 1, 2020. The position will assist the EMS Administrator in the development of policies, procedures, and medical protocols governing the EMS system and pre-hospital care programs.

In 2018, the County Counsel's Office hired Fitch and Associates, a consulting firm, to assess the EMS System and provide recommendations on EMS best practices. The consultant's report was accepted by the Board in October 2018 (B-18-664). In 2020-2021, EMS will continue to address the consultant's recommendations, including, but not limited to: expanding the role and availability of the medical director; implementing performance metrics and performance incentives for ALS and BLS service providers; enhancing data analytics and reporting (including the creation of data dashboards); ensuring improved functionality in the communications interface between the Stockton Fire Department Communications Center and Valley Regional Communications Center; and exploring the potential for increased stakeholder outreach through hosting "listening sessions" as well as implementing innovative digital platforms such as a learning management software system and on-line application processing for EMS personnel.

EMS will continue in 2020-2021 to:

- Implement Senate Bill (SB) 438, which requires modification of policies related to 911 call processing and emergency medical dispatch services, and the creation of a process for public safety agencies to submit ALS plans for approval by EMS.
- Monitor implementation of agreements for emergency ambulance services, including ALS interfacility transfers and critical care transport. Performance requirements include response time standards for Code 3 (red lights and siren) and Code 2 (without red lights and siren), as well as other measures.
- Revise, update, and evaluate Exclusive Operating Area (EOA) ambulance agreements with Escalon Community Ambulance and the Ripon Consolidated Fire Protection District, and continue discussions with American Medical Response on the renewal of their EOA ambulance agreement, which is set to expire on April 30, 2021.
- Process and issue non-emergency ambulance permits, and enter into service agreements as needed with ALS



# 404180000—Emergency Medical Services

## Health Services

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first response providers, BLS first response providers, and air ambulance service providers.

In 2019-2020, EMS worked with the General Services Department to initiate planning efforts for an EMS replacement facility to accommodate office space, training/meeting space, and medical supply storage and distribution needs. Funding was provided from Maddy EMS funds that the Board authorized for use towards EMS capital facility needs in June 2018 (B-18-329). In addition to EMS space needs, the planning workscope also includes an evaluation of Health Care Services Administration space needs. These planning efforts will continue in 2020-2021.

### *Stroke, Cardiac, and Trauma Systems*

On July 1, 2018, EMS implemented a well-received system of care tailored to patients who have experienced a stroke, including the designation of qualified hospitals as “stroke centers” to focus on rapid identification, treatment, and under specific circumstances, transfer to hospitals outside the County. In 2019, the California EMS Authority adopted regulations for critical care system planning for cardiac and stroke. In 2020-2021, EMS will continue implementation of these regulations into the existing system of cardiac and stroke care. EMS will continue to work collaboratively with the acute care hospitals designated as primary stroke centers and STEMI (cardiac) centers to evaluate system performance and implement adjustments as needed.

EMS will continue implementation of the national Cardiac Arrest Registry to Enhance Survival (CARES) data registry administered by Emory University. CARES assists local communities in identifying and tracking cases of cardiac arrest and identifying opportunities for improvement in the treatment of out-of-hospital cardiac arrest.

In 2020-2021, EMS will continue monitoring and evaluating the County’s trauma system, including oversight of San Joaquin General Hospital’s (SJGH’s) performance as a designated Level III trauma center, and adherence to benchmarks and standards of care. In addition, EMS will work with SJGH to complete verification by the American College of Surgeons Committee as a Level II trauma center.

### *Ambulance Patient Offload Delays*

In 2020-2021, EMS will continue to place an emphasis on addressing the problem of Ambulance Patient Offload Delays (APOD) at receiving hospitals. APOD causes extensive wait times for arriving ambulance patients, while also negatively impacting the availability of ambulances for emergency responses occurring throughout the County. As acknowledged in the Fitch and Associates report, the “EMS

system and ambulance services are adversely impacted by area hospitals’ inability to manage reception of patients” and have a “carryover impact on ambulance services’ ability to provide non-emergency and Critical Care Transport service requested by hospitals.”

EMS has adopted technology that will measure APOD and enables real-time tracking of delays by hospitals, ambulance providers, public safety dispatch centers, and other system participants. These tools will assist in meeting State mandates for tracking and reporting APOD data.

### *Commitment to Homeless Services*

The EMS system is the ultimate medical safety net, often serving as the only access point to healthcare services for homeless persons and families. The EMS system is designed to ensure that prehospital emergency medical care, transport, and access to specialty services such as trauma, stroke and cardiac centers are available and delivered universally to all patients regardless of socio-economic status, including homelessness. EMS has determined that the delivery of ALS services by emergency ambulance service provider(s) through exclusive operating area agreements is the most appropriate and efficient manner for delivering ALS services, and for fulfilling the County’s statutory indigent healthcare obligations. In 2018, EMS developed processes to identify and refer prehospital patients most at-risk for homelessness to the County’s Whole Person Care (WPC) Initiative on a quarterly basis. As of March 2020, EMS has referred approximately 300 persons to WPC.

### *COVID-19 Pandemic Response*

On January 27, 2020, EMS issued its first medical control policy in response to the emerging infectious disease threat related to the 2019 Novel Coronavirus (COVID-19). What followed was the largest national, state, and county pandemic disease response and mobilization of medical resources in more than a century. In 2020-2021, EMS will continue to ensure the resiliency of the EMS system while also serving as the MHOAC and working to operationalize the County Emergency Operations Plan-Emergency Support Function Public Health and Medical Annex activities.

EMS pandemic-related responsibilities include, but are not limited to: operating a medical/health mutual aid resource logistics base with order processing, inventory management, transportation, and asset tracking; maintaining situational awareness; performing advanced system planning; operationalizing hospital and long-term care facility surge capacity; response to and coordination of contingency plans to support long-term care facilities; and healthcare system data collection and analysis.

# 4041800000—Emergency Medical Services

## Health Services

### Maddy EMS Funds

Maddy EMS funds are generated from court fines, penalties, and forfeitures, and a portion of violator traffic school fees. Monies collected are distributed by formula to fund administration; to physicians and surgeons for uncompensated emergency services; to hospitals providing disproportionate trauma services; and to other emergency medical services as determined by the County, including capital projects supporting EMS. In the past two fiscal years, the Board authorized transfers totaling \$3.4 million in net penalty revenue received by EMS to the Maddy-Other EMS

fund for future EMS capital facility needs (\$2,097,000 – B-18-329, \$1,301,000 – B-19-309). These funds will be significant in offsetting General Fund costs for future EMS space needs.

As of March 31, 2020, Maddy EMS fund balances were:

<b>Maddy EMS</b>	<b>Fund No.</b>	<b>Amount</b>
Administration	20801	\$23,733
Physician Services	20802	\$145,046
Hospital Services	20803	\$556,811
Other EMS	20804	\$5,029,661

### Workload Data

	<b>Actual</b>			<b>Est./Act.</b>	<b>Projected</b>
	<b>2016-2017</b>	<b>2017-2018</b>	<b>2018-2019</b>	<b>2019-2020</b>	<b>2020-2021</b>
9-1-1 EMS Patient Contacts	59,022	60,177	64,988	64,533	65,911
Major Trauma Patients	2,120	3,299*	3,868	3,458	3,530
Cardiac STEMI Patients	257	297	307	313	309
Acute Stroke Patients	920	985	1,371	1,059	1,054
EMS Personnel Applications	692	752	697	680	545
EMS Training and Continuing Education Program Applications	6	4	6	4	5

*\*Restated.*

# 4045415000—California Children's Services

## Health Services

Greg Diederich, Health Care Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$4,944,888	\$6,390,919	\$6,419,305	\$6,419,305	\$28,386
Services & Supplies	496,245	584,518	603,994	603,994	19,476
Centrally-Budgeted Expenses	19,033	101,312	115,992	115,992	14,680
<b>Total Appropriations</b>	<b>\$5,460,166</b>	<b>\$7,076,749</b>	<b>\$7,139,291</b>	<b>\$7,139,291</b>	<b>\$62,542</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$5,942,570	\$5,270,476	\$5,331,720	\$5,331,720	\$61,244
Charges For Services	640	1,000	1,000	1,000	0
Miscellaneous Revenues	2,000	0	0	0	0
<b>Total Revenues</b>	<b>\$5,945,210</b>	<b>\$5,271,476</b>	<b>\$5,332,720</b>	<b>\$5,332,720</b>	<b>\$61,244</b>
<b>Net County Cost</b>	<b>(\$485,044)</b>	<b>\$1,805,273</b>	<b>\$1,806,571</b>	<b>\$1,806,571</b>	<b>\$1,298</b>
<b>Staffing</b>					
Allocated Positions	46.0	46.0	46.0	46.0	0.0
Temporary (Full-Time Equivalent)	2.3	1.9	1.9	1.9	0.0
<b>Total Staffing</b>	<b>48.3</b>	<b>47.9</b>	<b>47.9</b>	<b>47.9</b>	<b>0.0</b>

### Purpose

California Children's Services (CCS) is a State-mandated program that provides diagnosis, treatment, and therapy services to financially and residentially eligible children under age 21 who have a qualifying medical condition. Public Health Services (PHS) administers CCS by arranging, directing, authorizing, and paying for medical care, equipment, and rehabilitation services for children and young adults who have a CCS-eligible medical condition. CCS funding is derived from a State/County cost-sharing formula and Federal reimbursement.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$87,086 Salary and benefits adjustments.
- \$153,330 Add an Office Assistant Specialist and an Office Supervisor.
- (\$250,873) Delete two vacant positions.
- \$38,843 Increase in extra-help costs.

#### Services & Supplies

- \$16,556 Increase in technology support costs from Health Care Services Administration.

#### Centrally-Budgeted Expenses

- \$36,166 Increase in data processing charges.
- \$11,747 Increase in Casualty insurance costs.
- (\$33,589) Cost Allocation Plan adjustment.

#### Revenues

- \$61,244 Increase in State revenue for CCS.

### Program Discussion

The 2020-2021 recommended California Children's Services (CCS) budget totals \$7,139,291, which is an increase of \$62,542 from the 2019-2020 adjusted budget. This change mainly reflects the addition of two new positions (\$153,330),

# 4045415000—California Children's Services

## Health Services

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salary and benefits adjustments (\$87,086), and increases in extra-help costs (\$38,843) and data processing charges (\$36,166), offset by the deletion of two vacant positions (-\$250,873).

### *Diagnostic and Treatment Claims*

In any given fiscal year, PHS may receive excessive referrals of children with CCS-eligible conditions for which there are insufficient funds available for diagnosis, treatment, and therapy. In such instances, program options could include:

- Appropriate additional County funds to meet increased program needs.
- Cease to authorize services not covered by Medi-Cal.
- Prioritize conditions for which authorizations are issued and cease authorizing those that do not meet priority criteria.

The number of new CCS cases has been decreasing in recent years mainly due to closer monitoring of changes in client financial eligibility for the program. Existing cases are closed and new cases are not opened if clients do not meet qualifying financial criteria. Funding for CCS expenditures is not anticipated to be depleted in 2020-2021.

### *Medical Therapy Program (MTP)*

In accordance with Federal statutes, some children may receive therapy services because it is medically necessary for them to do so in order to receive an education. State law requires that CCS provide physical and occupational therapy in public schools to children for which such therapy is included in their individualized education plans. Children who receive services through the MTP are not necessarily enrolled in CCS. Medical Therapy Treatment units are projected to drop in 2020-2021, mainly due to school closures related to the 2019 Novel Coronavirus (COVID-19). When on-site classes eventually resume, it is unknown if MTP staff will continue to be allowed on school campuses.

MTP is currently participating in a five-year pilot project with the University of California, Davis and Shriners Hospitals for Children-Northern California. The project is School-Based Tele-Physiatry Assistance for Rehabilitative and Therapeutic

Services (STARS) and is funded by the Agency of Healthcare Research and Quality. The project implements a new model of care using telemedicine to deliver pediatric physiatrist medical direction to Medical Therapy Units throughout the State, serving children with disabilities in rural or underserved areas. Consistent with COVID-19 guidelines, STARS is working to expand the use of telemedicine to provide services directly to patients' homes through the use of teleconferencing applications.

## Supplemental Requests

The Health Care Services Director is requesting the addition of two new positions at a total cost of \$153,330:

### *Office Supervisor*

PHS has historically utilized an Accounting Technician position to assist in supervision of clerical staff. The position is currently vacant and the department feels that an Office Supervisor position would be more effective in sharing supervisory responsibility for approximately 17 clerical staff, while accounting duties can be consolidated within the existing PHS accounting unit. It is requested that a new Office Supervisor position be added, with costs offset by deletion of a vacant Accounting Technician position. Annual cost of the position is \$78,236. Funding is provided by State revenue for CCS, so there is no increase in General Fund costs.

### *Office Assistant Specialist*

CCS is transitioning to an electronic imaging system for patient charts. The requested Office Assistant Specialist position would develop detailed knowledge of the system and processes associated with document imaging and retrieval. This position would also supervise clerical staff and handle calls from physician offices to clarify Medi-Cal program and payment requirements. Costs would be offset by deletion of a vacant Staff Nurse position. Annual cost of the position is \$75,094. Funding is provided by State revenue for CCS, so there is no increase in General Fund costs.

The recommended budget includes two new positions in order to address these requests.

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## Health Services

### Workload Data

	Actual			Est./Act.	Projected
	2016-2017	2017-2018	2018-2019	2019-2020	2020-2021
New Referrals*	N/A	N/A	N/A	686*	662
New Cases Opened	1,894	1,943	1,915	1,513	1,600
Medical Therapy Treatment Units	17,562	14,622	11,697	14,538	13,000

*\*Prior to 2019-2020 average monthly referrals were reported.*

# 4049500000—Health Care Services Administration

## Health Services

Greg Diederich, Health Care Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$4,119,698	\$4,406,551	\$4,743,753	\$4,743,753	\$337,202
Services & Supplies	203,765	181,690	368,328	368,328	186,638
Centrally-Budgeted Expenses	33,255	111,458	134,851	134,851	23,393
<b>Total Expenditures</b>	<b>\$4,356,718</b>	<b>\$4,699,699</b>	<b>\$5,246,932</b>	<b>\$5,246,932</b>	<b>\$547,233</b>
Expenditure Reimbursements	(3,485,746)	(3,827,434)	(4,064,667)	(4,064,667)	(237,233)
<b>Total Appropriations</b>	<b>\$870,972</b>	<b>\$872,265</b>	<b>\$1,182,265</b>	<b>\$1,182,265</b>	<b>\$310,000</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$872,265	\$872,265	\$1,182,265	\$1,182,265	\$310,000
<b>Total Revenues</b>	<b>\$872,265</b>	<b>\$872,265</b>	<b>\$1,182,265</b>	<b>\$1,182,265</b>	<b>\$310,000</b>
<b>Net County Cost</b>	<b>(\$1,293)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>26.0</b>	<b>27.0</b>	<b>27.0</b>	<b>27.0</b>	<b>0.0</b>

### Purpose

Health Care Services (HCS) Administration provides administrative, fiscal, and policy oversight for the HCS Agency, which is composed of:

- Behavioral Health Administration (BHA)
- California Children’s Services
- Correctional Health Services (CHS)
- Emergency Medical Services
- HCS Administration
- Medical Examiner (ME)
- Mental Health Pharmacy
- Mental Health Services (MHS)
- Public Guardian/Conservator
- Public Health Services (PHS)
- Substance Abuse Services
- Veterans Services Office
- Whole Person Care (WPC) Program

Funding for HCS Administration is provided through a combination of discretionary transfers of realignment revenues and reimbursement from HCS departments.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$160,835 Salary and benefits adjustments.
- \$176,367 Transfer a Departmental Personnel Analyst position from BHA midyear 2019-2020.

#### Services & Supplies

- \$174,638 Increase in professional services for technology support.
- \$11,490 Increase in software costs.

#### Centrally-Budgeted Expenses

- \$31,786 Increase in data processing charges.
- (\$11,946) Cost Allocation Plan adjustment.

#### Expenditure Reimbursements

- \$187,676 Increase in reimbursement from Mental Health Services (MHS).

# 4049500000—Health Care Services Administration

## Health Services

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- \$56,979 Increase in reimbursement from General Fund HCS departments.
- \$20,996 Increase in reimbursement from WPC.
- (\$22,674) Decrease in reimbursement from San Joaquin General Hospital (SJGH).

### Revenues

- \$310,000 Increase in Mental Health realignment revenue.

## Program Discussion

### Health Care Services Administration

The 2020-2021 recommended budget for Health Care Services (HCS) Administration totals \$5,246,932, which is an increase of \$547,233 from the 2019-2020 adjusted budget. This change mainly reflects the transfer of a position from the BHA budget midyear 2019-2020 (\$176,367), an increase in professional services for technology support (\$174,638), and salary and benefits adjustments (\$160,835).

The HCS Administration budget utilizes a portion of State Realignment funding to offset the cost of services and administrative oversight of the HCS Agency. In 2019-2020, the State eliminated the redirection of Mental Health Realignment growth funds previously used to fund the Coordinated Care Initiative. This action should have resulted in additional funding received by HCS, however, funds were redirected by the State in order to resolve findings of a Federal audit of the Statewide Specialty Mental Health system. The impact to San Joaquin County is the loss of approximately \$3.2 million in Mental Health Realignment funding over a four-year period (three years remaining at a loss of approximately \$800,000 per year). The continued loss of these funds, combined with expected reductions in sales tax and vehicle license fee revenues (both are sources of realignment funds) due to the economic impacts from the 2019 Novel Coronavirus (COVID-19) pandemic, decrease the Agency's overall service capacity. The recommended budget utilizes \$1.2 million in Mental Health Realignment revenue to support HCS Administration functions.

### Public Health Facility Replacement Project

On February 12, 2019, the Board of Supervisors approved the establishment of a capital project for architectural and engineering services for the San Joaquin County PHS Replacement Project (B-19-109) to replace the 55-year old Public Health building and laboratory. In February 2020, design documents were at the 95% construction estimate phase with a base project cost projected at \$19.4 million

(including sitework, demolition, and soft costs) and an additive alternative to replace the laboratory estimated at approximately \$6.0 million. A funding deficit of \$1.6 million remains for the combined project, including the laboratory replacement. HCS is working with the County Administrator's Office on potential strategies to resolve the funding gap using one-time PHS budget savings from 2019-2020.

As the global COVID-19 pandemic has illustrated, the Public Health Laboratory plays a vital role within the County's health care system and is also an important regional resource, providing testing services for several other local jurisdictions. Approval of an agreement with Stanislaus County in January 2019 to institute shared public health laboratory services (A-19-14), along with increased volumes of lab testing from SJGH have improved cost efficiencies through larger testing volumes and distribution of operating and overhead costs.

Staff continues to review the laboratory business model to confirm the feasibility of continued laboratory operations. The laboratory was previously operating at an annual Net County Cost (NCC) of approximately \$1.4 million in 2018-2019, but is expected to decrease the NCC to under \$1.0 million on an ongoing basis. Based on the testing currently being performed in-house by the laboratory for the County's population only, the annual NCC to outsource the laboratory work is estimated at \$1.4 to \$3.0 million.

The anticipated PHS Replacement project will not address other HCS Agency space planning needs such as PHS staff and operations currently located in adjacent County-owned buildings or in leased space; parking issues for visitors and staff at the Behavioral Health Services (BHS) campus; or co-location of other HCS functions such as administration, finance, human resources, and information technology. HCS will continue to work with the General Services Department (GSD) and the CAO on potential opportunities to address these issues as part of a master space planning effort initiated by GSD in 2020.

### Medical Examiner's Office

On May 15, 2018, the Board adopted an ordinance establishing the ME as a separate division within the HCS Agency (B-18-292). Following an extensive recruitment process, Dr. Michael Hunter was appointed the County's first Chief Medical Examiner, effective July 1, 2019. The ME is responsible for determining the cause and manner of death for all deaths that fall under its jurisdiction, including unattended, unusual, violent, or accidental deaths, and also responsible to administer the disposition of the indigent dead. These duties were previously performed by the Sheriff's Coroner staff, who will continue to provide operational assistance until the ME is fully staffed.

# 4049500000—Health Care Services Administration

## Health Services

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### *Stepping Up Initiative*

HCS continues to engage internal and external stakeholders to develop processes and practices to safely reduce the prevalence of individuals with mental illness in the Jail by diverting them into community-based treatment programs. This effort is in alignment with the national “Stepping Up” Initiative, for which the Board adopted a resolution of support on May 24, 2016 (R-16-80).

Universal screening and assessment for behavioral health conditions is a needed component of the County’s Stepping Up Initiative efforts. Considerable progress has been made to implement evidenced-based programs within the Jail and by other system partners, but the need for appropriate identification of mental health illness at the point of law enforcement contact and during the booking process is evident. These initial points of contact are critical for successful intervention and possible diversion to the proper treatment facility, thereby reducing the number of mentally-ill individuals who are booked into the Jail.

In 2019, CHS obtained sustainable funding from the Community Corrections Partnership (CCP) to provide significantly expanded screening and assessment during the Jail booking process. This coverage helped to increase the early detection and identification of individuals who potentially have an undiagnosed mental illness, a substance use disorder, or who are active mental health services consumers. Early identification of individuals in need of mental health services helps to reduce recidivism rates for individuals at-risk of re-incarceration. Individuals have been referred for target-specific case management while still in custody, as well as provided with linkages to WPC coordinated re-entry services upon being released. Staff also assist individuals through the court process, prepare them for release back to the community, and follow through on the discharge plan for up to 30 days post-release. In 2020-2021, with additional funding from the CCP, CHS will be able to expand mental health staffing and substantially increase the percentage of individuals booked into jail who receive screening and assessment for behavioral health conditions.

### *Jail-Based Competency Treatment (JBCT) Program*

In 2019, CHS, in partnership with the Sheriff’s Office, implemented a JBCT Program via contract with the Department of State Hospitals (DSH) (A-19-112). This Program is intended to address wait times for State hospital bed space for inmates facing felony charges who were found incompetent to stand trial during their preliminary court

hearings. The Program is fully funded by DSH and as of March 2020 is providing individualized competency restoration services to eight inmates, with a program capacity of up to ten inmates concurrently.

HCS and BHS, in partnership with both the District Attorney’s and Public Defender’s Offices, continue to evaluate the potential for a local Felony Incompetent to Stand Trial Diversion program proposal with DSH to receive grant funding from Assembly Bill 1810. These local planning efforts are partially informed by a Sequential Intercept Mapping report that identified other potential opportunities for diversion in furtherance of the principles of the Stepping Up Initiative. However, the lack of appropriate residential housing prohibits implementation of such a program at this time. Additionally, EMS continues to advocate for regulatory flexibility and funding to allow alternative destinations for ambulance transports to behavioral health facilities.

### *Whole Person Care (WPC) Program*

HCS is the lead County entity and single point of contact with the State Department of Health Care Services (DHCS) for the WPC Program. The Program coordinates physical health, behavioral health, and social services for vulnerable Medi-Cal beneficiaries who are high users of multiple publicly-funded health care systems and continue to have poor outcomes. WPC specifically targets adult Medi-Cal beneficiaries that are homeless, or at-risk of homelessness upon release from jail, a psychiatric health facility, or hospital, as well as high utilizers of emergency department services and individuals with a mental health and/or substance use disorder. DHCS has allowed counties to roll over unspent program budget funds into future demonstration years and propose new activities to implement. HCS will continue planning efforts to transition WPC into the California Advancing and Innovating Medi-Cal (CalAIM) framework proposed by the State as a successor to the WPC Medi-Cal Waiver.

WPC contains a flexible housing pool via funding from the Senate Bill 840 Homeless Mentally Ill Outreach and Treatment Program and a one-time State General Fund allocation to WPC pilot programs. WPC is working to support the housing needs of WPC enrollees who have mental illness and who are at-risk of becoming homeless.

WPC and CHS continue partnering with the San Joaquin Community Clinics on jail discharge planning for individuals that need medical follow-up in an effort to reduce emergency department visits, hospitalizations, and parole and probation violations for patients involved in the criminal justice system.



# 4049600000—Whole Person Care Program

## Health Services

Greg Diederich, Health Care Services Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$1,372,351	\$4,367,157	\$3,574,517	\$3,574,517	(\$792,640)
Operating Transfers Out	1,361,705	4,674,632	3,732,850	3,732,850	(941,782)
<i>Total Appropriations</i>	\$2,734,056	\$9,041,789	\$7,307,367	\$7,307,367	(\$1,734,422)
<b>Earned Revenues By Source</b>					
Interest/Rents	\$37,550	\$30,000	\$30,000	\$30,000	\$0
Aid From Other Governments	2,076,705	4,674,632	3,732,850	3,732,850	(941,782)
Operating Transfers In	680,852	2,337,316	1,866,425	1,866,425	(470,891)
Fund Balance	(61,051)	1,999,841	1,678,092	1,678,092	(321,749)
<i>Total Revenues</i>	\$2,734,056	\$9,041,789	\$7,307,367	\$7,307,367	(\$1,734,422)
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

### Purpose

The Whole Person Care (WPC) Program is an optional component of California’s Section 1115 Federal Medicaid (Medi-Cal, in California) Waiver approved by the Centers for Medicare & Medicaid Services (CMS) on December 30, 2015. WPC is a pilot program intended to effectively coordinate the physical health, behavioral health, and social services needs of the most vulnerable Medi-Cal beneficiaries who are high users of multiple publicly funded health care systems and who continue to have poor health outcomes. The current WPC 1115 Waiver runs through December 31, 2020.

San Joaquin County’s WPC Program specifically targets adult Medi-Cal beneficiaries who are homeless or at-risk of homelessness upon release from the County Jail, a psychiatric health facility, or hospital, as well as high utilizers of emergency department services, and individuals with a mental health and/or substance use disorder. Health Care Services (HCS) is the lead County entity and single point of contact with the State Department of Health Care Services (DHCS) for the County’s WPC Program.

Participation in the Program allows the County to claim a 50% Federal match via semi-annual Intergovernmental Transfers (IGTs) for direct medical, behavioral health, and ancillary services, as well as administrative costs associated with approved program activities and deliverables. Federal WPC payments and local matching funds are not available for

services provided to non-Medi-Cal beneficiaries, services currently claimable for Medi-Cal reimbursement, or direct housing supports.

Funding sources for the Program are a combination of Mental Health Services Act (MHSA) funds, San Joaquin General Hospital (SJGH) enterprise funds, and Federal match.

### Major Budget Changes

#### Services & Supplies

- \$408,261 Increase in reimbursement to Public Health Services (PHS).
- (\$788,518) Decrease in reimbursement to non-County WPC participating agencies.
- (\$412,383) Decrease in reimbursement to Behavioral Health Services (BHS), Correctional Health Services (CHS), and SJGH.

#### Operating Transfers Out

- (\$470,891) Decrease in IGT payments.
- (\$470,891) Decrease in reimbursement of matching funds for IGTs.

# 404960000—Whole Person Care Program

## Health Services

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### Revenues

- (\$941,782) Decrease in WPC revenue based on anticipated expenditures.
- (\$470,891) Decrease in IGT matching funds received from SJGH.
- (\$321,749) Decrease in use of previously received grant monies contained within fund balance.

### Program Discussion

The 2020-2021 recommended budget for the Whole Person Care (WPC) Program totals \$7,307,367, which is a decrease of \$1,734,422 from the 2019-2020 adjusted budget. This change reflects decreases in program cost reimbursements to non-County WPC participating agencies (-\$788,518) and various County departments (-\$412,383), IGT payments (-\$470,891) and IGT matching fund reimbursements (-\$470,891), offset by an increase in reimbursement to PHS for case management, education, consulting, and other nursing services (\$408,261).

Although the overall recommended appropriation totals \$7.3 million, the WPC operating budget comprises only \$3.6 million, while the other \$3.7 million in operating transfers reflect the IGT payments to the State, and return of initial matching funds to SJGH. Although the current Waiver is scheduled to end in 2020, the recommended budget reflects a full fiscal year of existing WPC activities as there is uncertainty if DHCS will be able to successfully complete waiver negotiations with CMS and it is likely that there may be a one-year extension. HCS will return to the Board of Supervisors in 2020-2021 with any budget adjustments resulting from waiver negotiations.

Participating entities in the County's WPC Program have grown and include HCS Administration, BHS, SJGH, San Joaquin County Clinics, PHS, Substance Abuse Services, CHS, Veterans Services, Human Services Agency, Gospel Center Rescue Mission, Stockton Shelter for the Homeless, Health Plan of San Joaquin, the Housing Authority of the County of San Joaquin, Central Valley Low Income Housing Corporation, HealthNet, Community Medical Centers (CMC), Dignity Health St. Joseph's Medical Center, the San Joaquin Community Health Information Exchange (HIE), and other community-based organizations.

The overarching vision of the WPC Program is to efficiently and effectively coordinate the physical health, behavioral health, and social services systems within the County in a patient-centered manner. The goal of increased coordination is to improve the health and well-being of Medi-Cal

beneficiaries and enable partnerships to target the highest-risk and most vulnerable patients. The Program seeks to build and sustain relationships, develop infrastructure allowing data to be shared between various clinical information systems and demonstrate a reduction in publicly-funded costs. Ultimately, this will help position the County's health care system for future changes in reimbursement methodologies and provide the foundation to transition services to a proposed State framework where enhanced care management and services currently being offered could be potentially funded by Medi-Cal Managed Care plans.

### *California Advancing and Innovating Medi-Cal (CalAIM)*

CalAIM is a multi-year initiative proposed by the State as a framework to improve the quality of life and health outcomes by implementing broad delivery system, program, and payment reforms. The major components of CalAIM build upon the successful outcomes of various pilot programs from the previous Federal Waivers (including but not limited to WPC, Health Homes, and the Coordinated Care Initiative) and will result in better quality of life for Medi-Cal members as well as long-term cost savings/avoidance. DHCS is conducting extensive stakeholder engagement with the goal of transitioning all existing managed care authorities into one consolidated Waiver and to present a proposal to CMS in late summer 2020 with the anticipation that the new Waiver will be in place by January 1, 2021.

The HIE, an affiliate of Manifest Medex, has been the central data repository for health care information sharing, which include clinical values, diagnosis, active medication lists, and other clinical notes and structured data. A major WPC goal is to coordinate care in real-time and evaluate progress in improving individual and population health. In April 2019, WPC implemented a cloud-based care coordination platform Activate Care (formerly named Act.MD) and is building toward real-time care management capabilities among all WPC participating entities for enrollees who have opted into program services. The HIE is currently working on implementing real-time alerts from SJGH on WPC admits and discharges to the Emergency Department and in-patient setting, as well as County Jail alerts on bookings and releases. In addition, the HIE is working with Emergency Medical Services (EMS), SJGH, and American Medical Response on the +EMS HIE grant to integrate prehospital electronic health records into the HIE which will allow ambulance paramedics to access patient information to enhance clinical decision-making during transport. Participation in the grant has the added benefit of creating a process enabling EMS to evaluate the patient post-discharge diagnosis and disposition. The HIE direct service area now includes public and private health and hospital systems in San Joaquin, Stanislaus, and Merced counties.

# 4049600000—Whole Person Care Program

## Health Services

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### *Behavioral Health Navigation Team*

To improve the overall health outcomes of the target population, the WPC Program has implemented a Behavioral Health Navigation Team dedicated to engaging homeless individuals and those at-risk of homelessness throughout the community. The Navigation Team is an active contributor to the Homeless Management Information System. Engagement occurs at the time of admission and/or discharge from local hospitals, health facilities, and the County Jail, as well as in response to urgent referrals from a variety of sources throughout the community, including the existing Behavioral Health Mobile Crisis Response Teams, shelters, encampments, and law enforcement. In coordination with the Navigation Team, a WPC SJGH Health Team provides each enrolled client assigned to SJGH clinics with an individualized care plan based upon a standardized assessment of his or her medical, behavioral health, and key social needs, such as shelter, food, or transportation. The limited care plan previously used has been replaced with the adoption of the Activate Care platform.

The WPC Program continues to coordinate with CHS and is receiving increased referrals due to the recent implementation of universal screening and assessment for behavioral health conditions at Jail booking. WPC is also partnering with BHS and local health centers on re-entry services and supporting law and justice system partners who are now able to divert certain individuals to the newly established Assessment and Respite Center with a medically-monitored sobering program operated by CMC.

WPC will be partnering with United Way, St. Joseph's Medical Center, Kaiser Permanente, and 211 San Joaquin to

support the implementation of a Connected Communities Network within the County that will electronically coordinate referrals between various community-based social service organizations, healthcare partners, schools, and other entities that provide services to at-risk populations. This effort is intended to leverage outcomes data in order to better identify and close service gaps.

Homeless Mentally Ill Outreach and Treatment (HMIOT) funds continue to be used by the WPC Program to support the direct housing needs of eligible homeless mental health consumers who are WPC enrollees. This effort includes appropriate hospital discharge coordination and diversion opportunities in alignment with the County's Stepping Up Initiative. HMIOT funds were recently used to support the expansion of winter overflow beds at the Stockton Shelter for the Homeless and Gospel Center Rescue Mission.

WPC funding is available to provide respite care beds at the Gospel Center Rescue Mission for eligible WPC enrollees and HMIOT funds can be used to support residential aftercare services to eligible enrollees. As of March 31, 2020, 2,118 individuals were enrolled in WPC services since the initiation of program enrollment in July 2017. The Program projects to reach a total concurrent WPC enrollee capacity of 2,250 by the end of the Waiver period in December 2020.

### **Whole Person Care Fund**

The Whole Person Care Fund (#20046) is the operating fund for WPC activities. Fund balance as of March 31, 2020, was \$2,770,046. The 2020-2021 budget includes \$1,678,092 in transfers from the Fund for WPC activities.

# 4049700000—Office of the Medical Examiner

## Health Services

Greg Diederich, Health Care Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$0	\$0	\$1,889,536	\$1,889,536	\$1,889,536
Services & Supplies	0	0	2,963,318	2,963,318	2,963,318
Centrally-Budgeted Expenses	0	0	580,733	580,733	580,733
Capital Expenditures	0	0	300,000	300,000	300,000
<b>Total Appropriations</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,733,587</b>	<b>\$5,733,587</b>	<b>\$5,733,587</b>
<b>Earned Revenues By Source</b>					
Licenses/Permits/Franchises	\$0	\$0	\$12,000	\$12,000	\$12,000
Charges For Services	0	0	380,000	380,000	380,000
Miscellaneous Revenues	0	0	4,000	4,000	4,000
<b>Total Revenues</b>	<b>\$0</b>	<b>\$0</b>	<b>\$396,000</b>	<b>\$396,000</b>	<b>\$396,000</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,337,587</b>	<b>\$5,337,587</b>	<b>\$5,337,587</b>
<b>Allocated Positions</b>	<b>0.0</b>	<b>0.0</b>	<b>15.0</b>	<b>15.0</b>	<b>15.0</b>

- Office of the Medical Examiner (#2021640000) and Burials (#5053300000) budgets are being consolidated and responsibility will be transferred from the Sheriff's Office to the Health Care Services Agency, effective July 1, 2020.

### Purpose

The Office of the Medical Examiner (ME) is responsible for determining the circumstances, manner, and cause of all deaths that fall under the jurisdiction of the Chief Medical Examiner, including unattended, unusual, violent, or accidental deaths. Field death investigations, postmortem examinations, and related forensic tests are used to establish a medical cause and manner of death.

The ME budget also provides funding for the County's mandated responsibility to provide for the disposition of the indigent dead. The Board of Supervisors' adopted policy of cremation, with exceptions only in certain cases as determined by the Medical Examiner, along with the continued scrutiny of financial eligibility by the ME, helps to contain costs.

### Capital Expenditures

- \$150,000 Medical Examiner equipment.
- \$150,000 Vehicles (3).

### Program Discussion

The 2020-2021 recommended budget for the Office of the Medical Examiner (ME) totals \$5,733,587, which reflects salary and benefits costs for 15 allocated positions (\$1,889,536), services and supplies necessary for ME operations (\$2,963,318), centrally budgeted expenses such as technology support, and allocated insurance and overhead costs (\$580,733), and capital expenditures (\$300,000). These costs were previously included within Sheriff's Office (SO) budgets #2021640000 and #5053300000.

#### Transition to Health Care Services Agency

On May 15, 2018, the Board adopted Ordinance 4507 establishing the ME as a separate division within the Health Care Services (HCS) Agency. Following an extensive recruitment process, Dr. Michael Hunter was appointed the County's first Chief Medical Examiner, effective July 1, 2019. Dr. Hunter's services as Chief Medical Examiner are provided under a negotiated agreement, which also includes the provision of contracted pathology/autopsy services required for the ME.

# 4049700000—Office of the Medical Examiner

## Health Services

In order to facilitate the operational transition from the SO to HCS, the Human Resources (HR) Division worked with Dr. Hunter to develop civilian job classifications to perform the investigative work previously assigned to Deputy Sheriffs as part of the SO’s Coroner responsibilities. HR also developed a new job classification to supervise the investigators, as well as assist the Chief Medical Examiner with administrative oversight.

In February 2020, the Board approved the creation and allocation of eight new positions, including seven ME Investigators (R-20-12) and one ME Operations Administrator (R-20-22). Since the HCS ME budget will not be effective until July 1, 2020, the new positions were allocated to the existing SO budget initially in order to allow HR to expedite the recruitment process. As part of the 2020-2021 budget, both the new positions and existing non-sworn ME positions will be transferred to the HCS ME budget. With the transition of positions and operating costs to the HCS ME budget in 2020-2021, the SO ME budget will become inactive. Sworn positions previously allocated to the SO ME budget (one Sergeant and three Deputy Sheriffs) will be deleted and the incumbents will be reassigned to other vacant positions within the SO.

### Facility Needs/Morgue Replacement Project

ME staff continue to operate from the Sheriff’s Administration Building and Morgue facility. Plans to relocate staff temporarily to vacant space at San Joaquin General Hospital (SJGH) have been postponed due to space needs related to the 2019 Novel Coronavirus (COVID-19) crisis. The timeline for availability of the space at SJGH is undetermined, however, staff continue to work closely with the SO in preparation for the relocation when the move becomes feasible.

HCS and ME staff continue to work with General Services on space planning for a combined Morgue replacement facility, which will also provide permanent office space for ME staff. A solicitation for design services is expected to be completed in 2020, with potential start of construction during 2020-2021.

### Cremaains Relocation Project

During 2018-2019, the SO Coroner’s Division began a project to remove 5,678 cremaains currently stored in the morgue’s columbarium to a permanent resting place in a public cemetery. By June 30, 2020, the project is estimated to be 75% complete with an incurred cost of approximately \$149,000. Project completion is anticipated in 2020-2021.

### Workload Data

	<u>Actual</u>			<b>Est./Act.</b> <b>2019-2020</b>	<b>Projected</b> <b>2020-2021</b>
	<b>2016-2017*</b>	<b>2017-2018*</b>	<b>2018-2019*</b>		
Cases Reported	2,821	2,798	2,750	2,690	2,765
Actual Cases	798	839	822	862	830
Postmortem Examinations	710	751	693	830	746
Indigent Cremaains	100	122	106	108	109

*\*Workload data prior to July 1, 2019 performed by Sheriff’s Coroner Division.*

# 4040800000—Public Works-Utility Districts

## Health Services

Kris Balaji, Public Works Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$2,489,900	\$2,683,273	\$2,683,253	\$2,683,253	(\$20)
Services & Supplies	374,016	1,084,647	1,096,387	1,096,387	11,740
Centrally-Budgeted Expenses	58,238	113,293	128,119	128,119	14,826
Capital Expenditures	49,544	99,000	96,000	96,000	(3,000)
<b>Total Expenditures</b>	<b>\$2,971,698</b>	<b>\$3,980,213</b>	<b>\$4,003,759</b>	<b>\$4,003,759</b>	<b>\$23,546</b>
Expenditure Reimbursements	(2,961,978)	(3,971,213)	(3,994,759)	(3,994,759)	(23,546)
<b>Total Appropriations</b>	<b>\$9,720</b>	<b>\$9,000</b>	<b>\$9,000</b>	<b>\$9,000</b>	<b>\$0</b>
<b>Earned Revenues By Source</b>					
Charges For Services	\$7,562	\$9,000	\$9,000	\$9,000	\$0
<b>Total Revenues</b>	<b>\$7,562</b>	<b>\$9,000</b>	<b>\$9,000</b>	<b>\$9,000</b>	<b>\$0</b>
<b>Net County Cost</b>	<b>\$2,158</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>24.0</b>	<b>24.0</b>	<b>24.0</b>	<b>24.0</b>	<b>0.0</b>

### Purpose

The Utility Districts budget provides for the operation and maintenance of the various utility districts governed by the Board of Supervisors. This budget funds the staffing, services, and equipment needed to provide domestic water, sanitary sewer, and storm drain pumping services to the residents of these districts. Services are provided to customers within a variety of County Special Service Districts, representing the equivalent of a city of more than 18,000 in population. A city of this population would typically cover an area of five square miles and be serviced by 10 wells, 1 sewage treatment plant, and 20 storm drain pumps. The Utility Division operates and maintains 30 water systems with 52 wells; 3 sewage treatment plants; 9 pumping stations; and 68 storm drain pumping stations. These systems are spread throughout the 1,448 square miles of the County.

➤ \$16,000 Increase in small tools and furniture costs.

➤ (\$30,300) Decrease in facility grounds and structures maintenance costs.

### Centrally-Budgeted Expenses

➤ \$18,869 Increase in data processing charges.

➤ (\$10,195) Decrease in radio maintenance costs.

### Expenditure Reimbursements

➤ \$23,546 Increase in reimbursements from Utility Districts.

### Major Budget Changes

#### Services & Supplies

➤ \$27,319 Increase in fleet services costs.

### Capital Expenditures

➤ \$86,000 Valve insertion tool.

➤ \$10,000 Remote monitoring equipment.

# 4040800000—Public Works-Utility Districts

## Health Services

### Program Discussion

The 2020-2021 recommended Utility Districts budget totals \$4,003,759, which is an increase of \$23,546 from the 2019-2020 adjusted budget. This change primarily reflects increases in fleet service costs (\$27,319), data processing charges (\$18,869), and small tools and furniture costs (\$16,000), partially offset by decreases in grounds and structures maintenance costs (-\$30,300) and radio maintenance costs (-\$10,195).

Drinking water supplies, wastewater collection and treatment, and storm drainage discharges must meet standards prescribed by local, State, and Federal regulatory agencies. Regulatory constraints on these services have increased significantly in recent years, and the trend is likely to continue. The combined effects of regulatory compliance, increasing energy costs, maintenance and capital replacement needs, along with aging infrastructure and relatively small customer bases, all contribute to drive service costs higher.

Proposition 218, passed by voters in 1996, requires property owners' approval of new or increased property-related tax, assessment, or service charges. This has fundamentally changed how services are financed in Special Districts. Aging Districts without necessary rate adjustments face financial hardships as well as service reductions. During 2020-2021, the Community Infrastructure Engineering Division, working on behalf of the Utility Districts, will present several rate adjustment recommendations to the Board of Supervisors for Districts with critical funding deficiencies.

The Division's mission is to provide safe, reliable, and economical water, sewer and stormwater services in a timely, environmentally friendly, and fiscally responsible manner.

The objectives chosen for 2020-2021, include the following new and continued efforts:

- Continue the development of processes to delineate the Division's activities in a more transparent manner.
- Continue an emphasis on staff development.
- Develop and incorporate emerging technologies into the Division's daily operations, such as a five-year Supervisory Control and Data Acquisition (SCADA) plan, to increase efficiency and productivity.

### 2019 Novel Coronavirus (COVID-19)

Most revenue comes from Special Districts service charges, which would be unaffected by economic impacts of COVID-19 since these charges are billed on the property tax roll and are teetered. However, economic conditions may make it more difficult to obtain property owner consent for rate increases to generate needed additional revenue. About 15% of water customers and one sewer customer also pay periodic direct bill charges, and some customers may be unable to pay due to COVID-19 financial impacts. A low to moderate revenue reduction or delay for direct billed charges is anticipated depending on the severity and duration of economic impacts from the pandemic.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Water</b>					
<i>Distribution Systems</i>	30	30	30	30	30
<i>Service Calls</i>	361	375	372	350	375
<i>Service Connections</i>	5,930	5,930	5,994	5,994	5,994
<i>Wells Operated</i>	53	52	52	52	52
<b>Sewer</b>					
<i>Collection Systems</i>	9	9	9	9	9
<i>Pumping Stations</i>	9	9	9	9	9
<i>Service Calls</i>	504	433	399	400	425
<i>Service Connections</i>	5,431	5,431	5,431	5,431	5,431
<i>Sewer Lines Cleaned (feet)</i>	460,250	500,000	514,600	500,000	500,000
<i>Treatment Plants</i>	3	3	3	3	3
<b>Drainage</b>					
<i>Pumps</i>	84	68	70	70	70

# Solid Waste Enterprise Fund

## Health Services

Kris Balaji, Public Works Director

Enterprise Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$8,754,472	\$9,790,107	\$10,257,841	\$10,257,841	\$467,734
Services & Supplies	18,922,452	22,367,118	23,762,753	23,762,753	1,395,635
Centrally-Budgeted Expenses	525,583	456,734	744,091	744,091	287,357
Other Charges & Uses	1,878,766	1,794,745	1,787,145	1,787,145	(7,600)
Capital Expenditures	4,893,304	5,604,026	2,907,500	2,907,500	(2,696,526)
<b>Total Expenditures</b>	<b>\$34,974,577</b>	<b>\$40,012,730</b>	<b>\$39,459,330</b>	<b>\$39,459,330</b>	<b>(\$553,400)</b>
Expenditure Reimbursements	(3,019,353)	(3,264,207)	(3,141,404)	(3,141,404)	122,803
<b>Total Appropriations</b>	<b>\$31,955,224</b>	<b>\$36,748,523</b>	<b>\$36,317,926</b>	<b>\$36,317,926</b>	<b>(\$430,597)</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$415,270	\$257,745	\$402,239	\$402,239	\$144,494
Aid From Other Governments	353,254	359,258	350,000	350,000	(9,258)
Charges For Services	1,264,708	1,284,284	1,348,298	1,348,298	64,014
Miscellaneous Revenues	1,419,428	1,357,193	1,805,455	1,805,455	448,262
Solid Waste Special Revenues	30,395,825	30,893,097	32,238,828	32,238,828	1,345,731
Fund Balance	(1,893,261)	2,596,946	173,106	173,106	(2,423,840)
<b>Total Revenues</b>	<b>\$31,955,224</b>	<b>\$36,748,523</b>	<b>\$36,317,926</b>	<b>\$36,317,926</b>	<b>(\$430,597)</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>					
Allocated Positions	80.0	90.0	92.0	92.0	2.0
Temporary (Full-Time Equivalent)	8.5	7.7	6.9	6.9	(0.8)
<b>Total Staffing</b>	<b>88.5</b>	<b>97.7</b>	<b>98.9</b>	<b>98.9</b>	<b>1.2</b>

### Purpose

The Solid Waste Enterprise Fund (#921000000) provides funding for the operation of the County's solid waste management programs. These programs include long-range planning, operation of the County's solid waste transfer and disposal facilities, and acquisition, design, and development of landfill sites. It also includes refuse collection, franchise management, Countywide waste reduction activities, and the Countywide household hazardous waste program. More than 81% of this Fund's revenue is derived from tip fees charged on disposal tons.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$128,046 Salary and benefits adjustments.
- \$103,503 Add an Equipment Maintenance Foreman.
- \$96,683 Add a Transfer Truck Driver.
- \$166,317 Increase in overtime costs.
- (\$26,815) Decrease in extra-help.



# Solid Waste Enterprise Fund

## Health Services

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### Services & Supplies

- \$709,482 Increase in consultant costs at North County Landfill.
- \$165,500 Increase in engineering service costs for landfill gas and ground water wells.
- \$156,803 Increase in credit card service transaction charges.
- \$139,166 Increase in equipment lease costs.
- \$85,000 Increase in special studies costs for Senate Bill (SB) 1383 legislation.
- \$66,190 Increase in mandatory collections expenses.
- \$63,000 Increase in oil payment program costs.
- \$60,000 Increase in Tire Cleanup Grant expenses.
- \$58,700 Increase in cash deposit pick-up services.
- \$58,244 Increase in Public Works departmental allocated costs.
- \$26,000 Increase in California Department of Resources, Recycling, and Recovery (CalRecycle) Grant expenses.
- \$25,500 Increase in license and permitting fees for California Water Resources Control Board and CalRecycle.
- (\$130,000) Decrease in equipment repair costs.
- (\$68,046) Decrease in Assembly Bill (AB) 939 expenses.
- (\$35,000) Decrease in fuel costs.

### Centrally-Budgeted Expenses

- \$71,232 Increase in data processing charges.
- \$41,056 Increase in Workers' Compensation and Casualty insurance costs.
- \$35,968 Increase in radio maintenance costs.
- \$139,473 Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- (\$77,457) Decrease in reimbursement from the Household Hazardous Waste Fund.
- (\$45,346) Decrease in AB 939 reimbursement due to decrease in diversion tons expected at County sites.

### Revenues

- \$1,570,200 Increase in landfill gate fees.
- \$548,240 Increase in solar project revenue.
- \$144,494 Increase in interest income.
- \$64,014 Increase in service fee charges.
- (\$2,423,840) Decrease in use of fund balance.
- (\$179,123) Decrease in landfill fees based on projected collector waste volume.
- (\$64,978) Decrease in revenue from sale of recycled materials.
- (\$50,000) Decrease in revenue from other agencies.
- (\$45,346) Decrease in AB 939 recapture of program revenues for waste diversion activities at County sites.

### Capital Expenditures

- \$512,500 Increase in construction fees at Foothill Landfill.
- \$360,000 Groundwater wells at Harney Lane and North County Landfills (6).
- \$221,000 Trash compactor at North County Landfill.
- \$200,000 Gas extraction wells at North County Landfill (4).
- \$200,000 Horizontal gas collectors at Foothill and North County Landfills (2).
- \$156,000 Refuse trailers at Lovelace Transfer Station (2).
- \$125,000 Backhoe loader for Lovelace Transfer Station.
- \$110,000 Scale for North County Landfill.

# Solid Waste Enterprise Fund

## Health Services

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- \$100,000 Organic pad improvements at North County Landfill.
- \$100,000 Backup generator for North County Scale House.
- \$100,000 Diesel powered pump at Foothill Landfill.
- \$80,000 Gas extraction well at Foothill Landfill.
- \$80,000 Flare operation data collection equipment at Foothill and North County Landfills (2).
- \$75,000 Alternative energy improvements at North County Landfill.
- \$60,000 Light Emitting Diodes (LED) monitors at North County Landfill (2).
- \$50,000 Groundwater remediation system at Foothill Landfill.
- \$50,000 Well pump at Harney Lane Landfill.
- \$50,000 Window upgrades at North County Scalehouse.
- \$40,000 Oil collection center at Mokelumne Fire Department.
- \$40,000 Weigh system upgrades at North County Landfill.
- \$37,500 Leachate Tank improvements at Foothill Landfill.
- \$31,000 Data network upgrade at Lovelace Transfer Station.
- \$30,000 Landfill gas collection equipment for Corral Hollow Landfill.
- \$25,000 Landfill gas valve for Harney Lane Landfill.
- \$22,000 Transport and maintenance vehicle.
- \$20,000 Diesel powered utility task vehicle (UTV).
- \$10,000 Tire machines at North County Landfill and Lovelace Transfer Station (2).
- \$10,000 Utility trailer for UTV.

- \$7,500 Backup generator for Foothill scalehouse.
- \$5,000 Backup leachate pump for Corral Hollow Landfill.

## Program Discussion

The 2020-2021 recommended Solid Waste Enterprise Fund (SWEF) budget totals \$39,459,330, which is a decrease of \$553,400 from the 2019-2020 adjusted budget. This change primarily reflects a decrease in capital expenditures (-\$2,696,526), partially offset with increases in consultant costs (\$709,482), the addition of two positions (\$200,186), overtime costs (\$166,317), engineering service costs (\$165,500), credit card service transaction charges (\$156,803), a Cost Allocation adjustment (\$139,473), increases in equipment lease costs (\$139,166), salary and benefit adjustments (\$128,046), increases in special studies costs (\$85,000), and data processing charges (\$71,232).

In order to improve overall efficiency and maximize utilization of heavy equipment vehicles, the recommended budget adds an Equipment Maintenance Foreman responsible for vehicle parts inventory control and scheduling preventative maintenance and repairs. The recommended budget also adds a Transfer Truck Driver to transfer waste loads to the landfills and maintain compliance with Department of Transportation regulations.

The County-owned waste facilities have experienced double-digit increases in waste volumes progressively over the last five years. This comes after several years of decreased volumes during the last recession. The Solid Waste Division projects volumes will remain steady and the focus continues on the maintenance of current operational levels, compliance with legislative requirements, meeting Certificates of Participation debt ratio guidelines, and positioning the SWEF to be debt free by 2022. The projected 2019-2020 year-end fund balance is \$14.7 million.

The Division continues to lead in regional solid waste planning for all jurisdictions in the County. In 2015, the County was successful in securing long-term waste disposal contracts with the cities of Manteca (through 2025), Ripon (ongoing), and Tracy (through 2035). The Division continues to maintain a contractual relationship for waste disposal with the City of Lodi, while maintaining informal arrangements with the cities of Escalon, Lathrop, and Stockton for incoming waste volumes at County facilities.

An increase in waste streams from Manteca along with large increases in self-haul and commercial waste, driven by new construction, growth in the economy, and population increases have led to an increase in waste volumes over the

# Solid Waste Enterprise Fund

## Health Services

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last five years and have remained steady in 2019-2020. The Department anticipates that existing volumes will remain steady over the next few years. The growth in revenue may decrease in 2020-2021 and beyond due to increased organics diversion requirements under State Laws AB 1826 and SB 1383, and potential 2019 Novel Coronavirus (COVID-19) impacts.

### *Solar Project*

The County has an agreement with Ameresco for a 25-acre Solar Energy Project at the Foothill Landfill. This agreement includes a one-time \$500,000 up-front lease payment from Ameresco, which will be received into the SWEF, in 2020-2021. The original up-front lease payment amount was \$1.0 million and was reduced in 2018-2019 to \$500,000 as a result of renegotiations. The project's energy savings for San Joaquin General Hospital and other County agencies is estimated at \$4.2 million over the next 20 years, with no up-front capital costs to the County. Construction is anticipated to be completed in the first quarter of 2020-2021. Public Works is coordinating with the County Administrator and General Services to plan for allocation and administration of bill credits that will be generated by the project.

### *Organics Facility New Economic Model*

CalRecycle has mandated that organic materials be increasingly eliminated from landfills in order to reach the goal of 75% diversion of materials. This will require new infrastructure and processing methods. Over the next 10 years, volumes of organic waste that must be diverted from landfills are anticipated to double. New legislation requires the County to manage this demand. A needs assessment will be established in 2020-2021.

### *Waste Diversion Planning*

Waste Diversion Planning continues to face challenges as CalRecycle redefines waste diversion goals. Markets for recyclable materials are depressed and increases of organic waste are expected, although there are limited processing facilities. CalRecycle is taking an active role to ensure that all jurisdictions in the State meet these new complex goals. The County is required to initiate dramatic outreach efforts to re-educate the public in waste diversion practices.

The County will maintain its leadership position for all jurisdictions in waste diversion efforts through four areas of focus: Waste Diversion Programs; Community Outreach and

Education; Regulatory and Compliance Efforts; and Administration and Reporting

### *Module Construction*

The construction of a new landfill module began at the Foothill Landfill in 2018-2019. Construction was completed in December 2019 for a total anticipated expansion cost of \$7.7 million. The next new module construction is scheduled to begin in 2022-2023 at the North County Landfill site unless waste volumes continue to increase dramatically. In 2022-2023, the planned construction consists of the installation of a landfill liner, leachate collection and monitoring systems, and stormwater drainage with an anticipated cost of \$7.0 million.

### *Closure Enterprise Fund*

The Solid Waste Closure Enterprise Fund (#39033) (Closure Fund) is maintained for the exclusive purpose of accumulating and distributing funds for closure of presently active (North County Landfill and Foothill Landfill) landfills. An enterprise fund is one of several approved mechanisms by which the County can demonstrate financial assurance for closure, as required by State regulations. Monies placed in the Closure Fund may only be used for closure of landfills.

Prior to 2009-2010, monies were transferred from the SWEF into the Closure Fund to provide funding for future closures of the Foothill and North County Landfills. Evaluation of Closure Fund requirements and balances indicate that no transfer is needed from the SWEF into the Closure Fund (#39033) for 2020-2021. Interest earned from this fund is directly deposited into the SWEF. As of March 31, 2020, the fund balance was \$5,149,008.

### **2019 Novel Coronavirus (COVID-19)**

Due to the COVID-19 pandemic, the Lovelace Transfer Station and North County Sanitary Landfill temporarily closed to self-haulers in mid-March 2020. Self-haulers were directed to use the Foothill Landfill or Tracy Materials and Recovery Facility. The two sites are anticipated to open to self-haulers in May 2020. Precautionary measures are in development to ensure that employees at the sites are safe from exposure to COVID-19. The Department anticipates losses in volume will impact the SWEF through October 2020, when volumes may stabilize and capital projects may resume.

# Solid Waste Enterprise Fund

## Health Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Waste Received (Tons)</b>					
<i>Foothill Landfill</i>	131,370	136,574	138,861	155,671	151,779
<i>Lovelace Transfer Station</i>	214,464	235,947	247,808	247,015	240,840
<i>North County Landfill</i>	189,135	192,519	187,340	190,072	190,072
<b>Total Tons Received</b>	<b>534,969</b>	<b>565,040</b>	<b>574,009</b>	<b>592,758</b>	<b>582,691</b>
<b>Transfers-Lovelace</b>					
<i>Tons Transferred</i>	210,536	234,048	218,206	223,687	218,094
<i>Number of Loads</i>	9,568	10,217	11,207	11,225	10,945
<b>Recycled Materials (Tons)</b>					
<i>Foothill Landfill</i>	4,360	2,878	3,078	4,811	4,691
<i>Lovelace Transfer Station</i>	3,929	4,122	4,102	4,261	4,155
<i>North County Landfill</i>	11,514	13,581	13,608	16,182	15,778
<b>Total Tons Received</b>	<b>19,803</b>	<b>20,581</b>	<b>20,788</b>	<b>25,254</b>	<b>24,624</b>
Groundwater Monitoring Samples	101	105	77	80	90
Landfill Gas Collection Wells Adjusted	180	180	193	200	210
Landfill Gas Flare Stations Maintained	4	4	4	4	4
Perimeter Landfill Gas Monitoring	210	210	202	200	200
Soil Gas Volatile Organic Comp. Samples	90	91	64	64	60
Stormwater Samples (Waste Discharge Permit Requirements)	4	4	4	4	4
Stormwater Samples (NPDES)	34	34	34	34	34
Surface Water Samples Collected	30	30	6	6	6

# CSA 31 Sewer Enterprise Fund

## Health Services

Kris Balaji, Public Works Director

Enterprise Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$0	\$190,604	\$306,204	\$306,204	\$115,600
Centrally-Budgeted Expenses	0	3,928	5,000	5,000	1,072
Other Charges & Uses	0	798,870	816,770	816,770	17,900
Capital Expenditures	0	105,000	0	0	(105,000)
Operating Transfers Out	0	200,000	100,000	100,000	(100,000)
<b>Total Appropriations</b>	<b>\$0</b>	<b>\$1,298,402</b>	<b>\$1,227,974</b>	<b>\$1,227,974</b>	<b>(\$70,428)</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$0	\$1,000	\$700	\$700	(\$300)
Charges For Services	0	1,437,066	1,340,000	1,340,000	(97,066)
Fund Balance	0	(139,664)	(112,726)	(112,726)	26,938
<b>Total Revenues</b>	<b>\$0</b>	<b>\$1,298,402</b>	<b>\$1,227,974</b>	<b>\$1,227,974</b>	<b>(\$70,428)</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

- Prior to 2019-2020, the CSA 31 budgeted amounts were previously expensed in the Special Districts budget and not in the County budget.

### Purpose

The County Service Area 31 (CSA 31) Sewer Enterprise Fund (SEF) (#9312000000) provides funding for the operation and maintenance of the District's wastewater system. The system consists of a collection system and a pump station, which discharges wastewater to the City of Lodi's White Slough Water Pollution Control Facility. Revenue is derived primarily from service charges levied on the benefiting properties.

In February 2018, \$7.8 million in Revenue Refunding Bonds was issued to refinance the Series 2007 CSA 31 Wastewater Project Certificates of Participation and the 2007 County General Fund loan to CSA 31 that funded the construction of wastewater system improvements. These refunding bonds are secured by the District's revenue from wastewater service charges.

### Major Budget Changes

#### Services & Supplies

- \$100,000 Increase in professional service costs due to a change in accounting.

#### Other Charges

- \$20,000 Increase in City of Lodi sewer fees.

#### Operating Transfers Out

- (\$100,000) Decrease in rate stabilization fund reimbursement.

#### Revenues

- (\$97,066) Decrease in service fee charges.

### Program Discussion

The 2020-2021 recommended budget for County Service Area 31 (CSA 31) SEF totals \$1,227,974, which is a decrease of \$70,428 from the 2019-2020 adjusted budget. This change is primarily due to decreases in capital expenditures (-\$105,000) and operating transfers out for the Rate Stabilization Fund (-\$100,000), partially offset by increases in professional services (\$100,000) and sewer fees to the City of Lodi (\$20,000). The recommended budget includes revenue of \$1.3 million from service charges paid by customers. The projected 2019-2020 year-end fund balance is approximately \$1.0 million.

# CSA 31 Sewer Enterprise Fund

## Health Services

Wastewater service charges are based on the Equivalent Unit (EU), which equals 310,250 gallons of discharge. The minimum charge for service is 0.5 EU for developed lots and 0.3 EU for undeveloped lots. Revenue from service charges is projected to decrease 6.8% in 2020-2021 due to a reduction in discharge volume.

Planned expenditures include a \$100,000 contribution to a Rate Stabilization Fund (#39315). This fund was created to

meet provisions of the bond indenture and provide contingency for fluctuations in revenues resulting from changes in wastewater discharge by system users. As long as no Event of Default has occurred and the County is in compliance with the provisions of the indenture, the County may deposit revenue as the County may determine into the Rate Stabilization Fund. As of March 31, 2020, the fund balance \$201,184.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Equivalent Units (EU)*</b>					
<i>EUs for developed lots</i>					
<i>Minimum Charge for Services = 0.5 EU</i>	85.0	110.7	118.9	110.3	102.3
<i>EUs for undeveloped lots</i>					
<i>Minimum Charge for Services = 0.3 EU</i>	6.9	5.1	5.1	4.5	4.2
<b>Total</b>	<b>91.9</b>	<b>115.8</b>	<b>124.0</b>	<b>114.8</b>	<b>106.5</b>

# 4042000000—Environmental Health

## Health Services

Wayne Fox, *Interim Environmental Health Director*

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Salaries &amp; Benefits</i>	\$7,306,557	\$8,295,078	\$8,429,747	\$8,429,747	\$134,669
<i>Services &amp; Supplies</i>	923,154	1,198,425	1,114,203	1,114,203	(84,222)
<i>Centrally-Budgeted Expenses</i>	265,495	507,874	912,718	912,718	404,844
<i>Capital Expenditures</i>	0	0	13,000	13,000	13,000
<i>Total Expenditures</i>	\$8,495,206	\$10,001,377	\$10,469,668	\$10,469,668	\$468,291
<i>Expenditure Reimbursements</i>	(148,365)	(172,083)	(219,093)	(219,093)	(47,010)
<i>Total Appropriations</i>	\$8,346,841	\$9,829,294	\$10,250,575	\$10,250,575	\$421,281
<b>Earned Revenues By Source</b>					
<i>Aid From Other Governments</i>	\$102,893	\$439,005	\$462,835	\$462,835	\$23,830
<i>Charges For Services</i>	6,924,106	6,919,905	7,091,243	7,091,243	171,338
<i>Miscellaneous Revenues</i>	176,518	360,977	429,052	429,052	68,075
<i>Operating Transfers In</i>	4,033	0	0	0	0
<i>Total Revenues</i>	\$7,207,550	\$7,719,887	\$7,983,130	\$7,983,130	\$263,243
<b>Net County Cost</b>	\$1,139,291	\$2,109,407	\$2,267,445	\$2,267,445	\$158,038
<b>Staffing</b>					
<i>Allocated Positions</i>	67.0	68.0	68.0	68.0	0.0
<i>Temporary (Full-Time Equivalent)</i>	1.5	3.0	0.8	0.8	(2.2)
<i>Total Staffing</i>	68.5	71.0	68.8	68.8	(2.2)

### Purpose

The Environmental Health Department (EHD) provides services that protect and enhance public health, wellbeing, and safety through prevention, education, inspection, and enforcement of State and local environmental laws and regulations.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Environmental Health Department (EHD) focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic

development, and to proactively deal with water issues. The following highlights provide an overview of several projects, programs, and activities administered by EHD.

#### Ensure Fiscal Responsibility

EHD maintains a database that tracks all productive staff time and activities as well as all facility permit fees and hourly rate service revenues. This information is continuously evaluated to ensure program performance is meeting mandated service levels and revenues are appropriate and sufficient to meet expected costs. This ongoing evaluation process is especially important as many EHD program requirements are constantly changing with the passage of new laws, regulations, and policies.

In 1990, the Board of Supervisors endorsed a policy of full cost recovery for EHD (B-90-620). EHD fees are evaluated annually and adjustments proposed in order to move towards full cost recovery for those services where a fee can be charged. These fee adjustments are intended to close the gap

# 4042000000—Environmental Health

## Health Services

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between program revenues and the cost of providing services in an incremental manner. Fee increases over the last several years have successfully closed this gap for most program categories. Fees for the Underground Storage Tank program and Geotechnical permit were recently increased and will be re-evaluated after a full year of data is available to review.

### **Promote Good Governance and Increase Organizational Capabilities**

EHD provides services that protect public health and the environment in over 25 different program areas that affect much of the community, including individuals, businesses, and other governmental agencies. As many of the activities overlap with activities of other agencies, partnering with these agencies has been a standard practice. The increased communication and coordination that comes with partnering with other agencies results in better services provided to the community. For example, EHD partners with local fire departments and districts to increase hazardous materials response capabilities, resulting in a more comprehensive response. EHD also works with Public Health Services on foodborne illness outbreaks, the Childhood Lead Poisoning Prevention Program, and with the Community Development Department (CDD) and Sheriff's Office (SO) on land-use projects, code enforcement, and cannabis-related issues.

Employee retention continues to be a difficult issue for EHD as many recently trained staff leave for other agencies offering higher salaries, particularly those in the Bay Area, resulting in ongoing vacancies and significant training costs. As individuals leave and positions become vacant or are filled with less-experienced staff, mandated services are impacted and program efficiency decreases. In addition, retention of knowledgeable staff is paramount to future sustainability and succession objectives. To reduce overall training costs and to enhance productivity, EHD is utilizing the Environmental Health (EH) Assistant position as an entry level extra-help opportunity in addition to the EH Specialist Trainee position. EHD continues to work closely with the Human Resources Division to attract qualified candidates that want to live and work in the community, and has experienced some recent success in recruiting local applicants, who are more likely to remain in the area.

EHD continues to work towards improving public and intergovernmental services through increased technology in the areas of on-line services, including public records access, automated data collection and reporting processes, and mapping and data sharing activities.

### **Improve Public Safety and Enhance Overall Criminal Justice System**

EHD, through all established program areas, works diligently to improve public health and safety. EHD works collaboratively with the SO, Metropolitan Narcotics Task Force, and CDD's Code Enforcement staff to correct community safety issues like substandard and abandoned housing, illegal cannabis activity, surfacing sewage, and abandoned wells. This work fosters cleaner, safer, and healthier neighborhoods, and contributes to the community's overall quality of life.

As a regulatory agency, it is important to conduct enforcement activities in a way that is equitable, enhances economic activity, and promotes environmental justice. This is accomplished by providing the regulated community with opportunities for education and assistance and by ensuring a "level playing field," where consistent and equal enforcement principles are applied. This balanced enforcement approach minimizes unfair economic advantages for violators at the expense of compliant businesses. In 2019-2020, EHD's recently-created enforcement position, with the assistance of County Counsel, has implemented new enforcement procedures that enhance the safety of the community, while reducing reliance on an already burdened District Attorney's Office. This is achieved by promoting consistency and fairness in the enforcement process, while still requiring environmental compliance so community members are not exposed to environmental hazards.

### **Promote Economic Development**

EHD contributes to economic development efforts by providing local guidance and cleanup oversight on the redevelopment of properties with environmental issues or constituents of concern. Having an option to address these concerns locally allows for focused consideration and responsiveness and typically saves overall time and costs to reach target goals. EHD continually reviews processes and procedures to streamline where possible and is currently evaluating Land Use Program methods to assist in creating a more efficient approval process. EHD offers local businesses three to four free classes a month in the areas of hazardous waste, hazardous materials, aboveground tanks, and underground tanks. These classes are well attended and generate positive evaluation comments. In addition, EHD has timely permit review, issuance, inspection processes, and can accommodate most business time schedules.

EHD endorses a policy of excellence in customer service. This includes representing the County in the best possible way



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and by conducting activities in a fair, courteous, and respectful manner. This policy is incorporated into the Department's Standards of Behavior and Standards of Conduct and Performance and is strictly enforced.

### Stay Informed and Proactive in Dealing with Water Issues

Through various program activities, EHD performs a major role in the protection of the County's water resources. EHD monitors water resource laws and regulations and works closely with State water agencies, Public Works, and County Counsel on these issues. Programs and responsibilities of EHD in the protection of local surface and groundwater include:

- Permitting of water well construction, installation, modification, destruction, and associated activities.
- Lead agency for local voluntary cleanups to ensure protection of public health and protect groundwater sources.
- Approval of potable water sources for development projects (private systems and small public water systems).
- Regulation of small drinking water systems as delegated by the State Water Resources Control Board.
- Regulation of discharges from on-site wastewater treatment systems in accordance with State policy and the Local Agency Management Plan.
- Prevention of waste discharges to surface and groundwaters of the County; and monitoring, documenting, collecting data, and overseeing cleanup and abatement activities.
- Notification of water and sewage compliance requirements to land use project applicants.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$227,306 Salary and benefits adjustments.
- (\$88,214) Decrease in extra-help.
- (\$4,423) Decrease in overtime costs.

#### Services & Supplies

- \$34,200 Increase in consultant services for technology support and sampling.

- (\$95,500) Completion of public counter safety project in 2019-2020.
- (\$20,000) Decrease in small tools expenditures.

### Centrally-Budgeted Expenses

- \$44,161 Increase in data processing charges.
- \$20,549 Increase in Casualty insurance costs.
- \$340,267 Cost Allocation Plan adjustment.

### Expenditure Reimbursements

- \$47,010 Increase in Lead Program reimbursement.

### Revenues

- \$171,338 Increase in annual permit and service request fees.
- \$34,523 Increase in reimbursement from Environmental Health Trust Fund.
- \$33,552 Increase in collection of previously unrecovered housing abatement fees.
- \$22,935 Increase in use of Small Public Water System Program grant funds.

### Capital Expenditures

- \$13,000 Heating, Ventilation, and Air Conditioning system.

### Program Discussion

The 2020-2021 recommended budget for the Environmental Health Department (EHD) totals \$10,469,668, which is an increase of \$468,291 from the 2019-2020 adjusted budget. This change mainly reflects salary and benefits adjustments (\$227,306), and a Cost Allocation Plan adjustment (\$340,267), offset by completion of a facility safety project in 2019-2020 (-\$95,500).

Human Resources is actively recruiting to fill both the Director and Assistant Director of Environmental Health positions. As of May 2020, both positions remain vacant. An interim department head has been hired to manage EHD while the recruitments are conducted and selections are made. With ongoing outreach and recruitment efforts, Human Resources

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anticipates that qualified candidates will be presented for consideration before July 2020.

In 2020-2021, EHD will continue to transition department information technology services to the Information Systems Division (ISD). The collaboration with ISD has produced website upgrades and on-line access to public records. Over the next two to three years, ISD will be supporting EHD's transition to a new environmental health database, necessitated by the vendor's decision to discontinue product support. Discussions have already begun and periodic meetings are being scheduled to prepare for the change. ISD will also assist EHD in migrating to new office software which will allow staff more freedom to obtain needed data and make decisions while still in the field, reducing the need to make unnecessary trips back to the office. With ongoing access to ISD's substantial resources, EHD will be able to move forward in the future with new technology and applications to more fully support field staff and other partners, to better serve the public.

### *Retail Food Program*

Under the California Retail Food Code (CalCode), EHD conducts routine, complaint, and plan review inspections at over 3,900 food facilities in the County. These inspections ensure that mandated food safety controls are in place to protect the public from foodborne illness exposure.

In 2019-2020, legislation was passed creating new requirements for food facilities which are currently being implemented by Food Program staff. New legislation includes:

<b>Assembly Bill (AB) No.</b>	<b>Title</b>
<b>377</b>	Microenterprise Home Kitchens (update to AB 626)
<b>619</b>	Reusable Food Containers & Temporary Food Facilities
<b>1532</b>	Food Handler Knowledge of Allergens and Allergic Reactions

<b>Senate Bill No.</b>	
<b>677</b>	Latex Glove Prohibition in Food Facilities

In late 2019, AB 228, a bill to legalize the retail sale of food, beverages, and cosmetics containing hemp-based cannabidiol and end enforcement efforts by the California Department of Public Health, was held in committee, effectively killing the bill. EHD is not aware of any efforts to resurrect the bill in the near future, but will continue to monitor.

In March 2020, based on a recommendation from EHD and with support from each of the San Joaquin County cities, the Board of Supervisors passed a resolution (R-20-45) electing not to "opt-in" to the Microenterprise Home Kitchen Program, as defined in AB 377.

### *Cannabis Regulation*

In November 2016, California voters approved Proposition 64, which legalized adult use of marijuana. These provisions became effective January 1, 2018. During 2018-2019, over a period of several months, the Board adopted amendments to the County Ordinance Code to provide local regulation for the personal cultivation of cannabis and for specific commercial cannabis businesses. EHD is responsible for issuing Commercial Cannabis Licenses in close coordination with CDD, the Agricultural Commissioner's Office, SO, and the fire departments. EHD works closely with the Metropolitan Narcotics Task Force staff in responding to cannabis grow-related commercial and substandard housing complaints, and responded to 15 related complaints in 2019-2020 through March 2020. This activity is consistent with Board direction for immediate and robust enforcement for illegal cannabis activities. Workload data will be used to support any additional resources that are needed in the future.

### *Housing Abatement Program*

EHD routinely attends Neighborhood Community Center and Neighborhood Watch meetings and continues to work closely with CDD and SO to address multi-agency issues at problem sites. In 2018-2019, EHD responded to a total of 857 complaints of substandard and unsecured buildings, cannabis-related complaints, and new enforcement cases. In 2019-2020, over 429 complaints have been received through March 2020 and it is anticipated that complaints and new cases combined may total 1,014 by June 30, 2020.

This Program recovers costs by billing property owners at the Board-approved hourly rate for staff time associated with returning properties to compliance. However, recovering these costs has been problematic, as many property owners are absent or do not pay invoices in a timely manner and costs must be recovered through property tax assessments or collections. As a result, this Program continues to rely significantly on the Department's General Fund allocation. However, in 2020-2021, the Department anticipates an increase in collection of previously unrecovered housing abatement fees due to a larger number of cases being referred to the County Revenue and Recovery Division. The following table indicates the amount of unpaid invoices referred for collection with property taxes.

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### Unpaid Invoices Referred for Collection with Property Taxes

Fiscal Year	Amount
2015-2016	\$15,678
2016-2017	\$98,447
2017-2018	\$49,803
2018-2019	\$25,515
2019-2020	\$71,288

In late 2019-2020, CDD and EHD began discussing the feasibility of combining Code Enforcement and Housing Program activities to reduce duplication of effort and streamline processes. A preliminary framework has been developed and in 2020-2021, the departments will work with the County Administrator's Office, County Counsel, and Human Resources in evaluating the benefits of consolidating the functions and steps necessary to effect the changes. If changes are warranted, recommendations will be presented for Board consideration.

### Homelessness

In April 2019, the Board adopted an Encampment Management and Resolution Policy (B-19-262) as part of a larger strategy to address the growing encampments of unsheltered residents on County property in the unincorporated area. The Encampment Response Team (ERT) consists of CDD, EHD, Behavioral Health, Public Health, Human Services, Public Works, SO, County Administrator's Office, and other agencies as needed. When conditions in an encampment exist that create a health and safety risk to the unsheltered residents, neighbors, or other community members due to safety issues, environmental issues, or the location, the ERT evaluates the encampment for closure or cleanup.

Since May 14th, 2019, the ERT has responded to 12 encampment sites: 7 sites were cleaned and closed with an average cost of \$10,552 per site (some costs are still being compiled); 3 site cleanups are pending; and 2 sites were evaluated by the ERT and referred to and cleaned up by other agencies. The preliminary total cost of these activities through March 2020 is \$83,281. Costs will continue to be tracked as ERT activities occur.

### Well Systems

As the agency responsible for water well permitting, EHD is closely monitoring the activities related to the implementation of the Sustainable Groundwater Management Act and will adjust program resources and processes as needed. EHD is also following implementation activities relating to AB 685, referred to as Human Right to Water, enacted in 2012. This provision of Section 106.3 of the Water Code states that every human being has the right to safe, clean, affordable, and

accessible water adequate for human consumption, cooking, and sanitary purposes. State agencies are to consider this policy when revising, adopting, or establishing policies, regulations, and grant criteria. The implementation of this policy is resulting in changes to several EHD programs, including the Well Systems, Small Public Water, and Land Use programs. The State has initiated a Human Right to Water Framework and is currently conducting a Statewide needs assessment. The State is moving toward establishing a framework for funding regulatory agencies to oversee private wells as well as State Small Water Systems.

EHD will continue to monitor any movement on the proposed Department of Water Resources California Well Bulletin Standards, with draft standards expected to be released by Spring 2021. EHD will provide input through the California Conference of Directors of Environmental Health's Well Technical Advisory Committee.

### Solid Waste Program

AB 1826 was passed on September 28, 2014, requiring businesses that generate organic wastes, including food waste and green waste, to separate and recycle these wastes. Currently, there is insufficient infrastructure in place to accommodate the diversion requirements according to the required schedule. It is expected that new solid waste facilities, especially composting facilities, will need to come on-line to accommodate the increase in organic waste diversion. It is possible that EHD may also be tasked with monitoring and enforcing organics diversion requirements in food facilities and other EHD regulated businesses.

Without sufficient infrastructure, many jurisdictions are experiencing an increase in organic materials being applied to land as a soil amendment, including biosolids. Draft State regulations appear to limit local control of land application and may impact the ability to enforce the County's existing ban on the land application of biosolids. Not all constituents of concern are tested for when applying biosolids to land. Studies indicate land application can allow wind to carry viruses up to a mile. There is a need for CalRecycle to work collaboratively with the State Water Resources Control Board (SWRCB) and California Department of Food and Agriculture on this issue. EHD will continue to monitor development of State regulations and potential impacts to the County.

### Small Public Water System Program

The Small Public Water System Program has been performed by EHD as the Local Primacy Agency (LPA) under delegation from the SWRCB, Division of Drinking Water since before the 1970's. Almost 25% of these water systems are in disadvantaged communities and many cannot afford to pay the fee increases needed for EHD to reach full cost

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recovery. As an interim measure, EHD has utilized a Small Public Water System grant originally received from the State in 2014 to offset the revenue shortage. The 2020-2021 budget incorporates \$438,735 to offset the funding gap, which will exhaust the remaining grant funds. Adequate funding for this Program is an issue for most LPA counties. Legislation has been introduced to address this funding issue, but the bills have been held in committee. EHD will continue to follow related legislation. If additional State funding is not provided in 2021-2022, current permit fees will not cover program costs. Alternatives include raising fees, subsidizing the program from the General Fund, or returning regulatory responsibilities back to the State.

### *Facility and Technology Needs*

In 2019-2020, the General Services Department (GSD) installed barrier glass at the EHD front counter to address building security concerns. In 2020-2021, EHD will continue discussions with GSD regarding other building security and future facility space needs.

The current database utilized by the EHD will no longer be supported or updated by the vendor in the next several years and a replacement database is needed for all 25 EHD programs. Migrating to a new database will be a multiyear project as the research and vetting of appropriate technology requires considerable staff time, partnerships with ISD, and significant funding. In 2020-2021, EHD will work with ISD to develop a plan for replacing the database system.

### **Fee Adjustments**

Board Order B-90-620 endorsed a policy of full cost recovery for EHD. As part of the budget process, and with the Board's policy objective as a target, all EHD fees were evaluated. The fee increases that have been approved over the last few years have successfully reduced the gap between most program category costs and revenues. As a result, no fee adjustments are being requested for 2020-2021. Fees will be reviewed again prior to 2021-2022, including Underground Storage Tank and Geotechnical permit fees.

### **Solid Waste Management Trust Fund**

The Solid Waste Management Trust Fund (#13423) maintains reserves required to fund the continued inspection, monitoring, and oversight of inactive, closed disposal facilities. Fund balance as of March 31, 2020, was \$2,578,491.

### **Environmental Health Trust Fund**

The Environmental Health Trust Fund (#13424) is used to reimburse costs of compliance training for business and agricultural communities; inspection, response, and enforcement activities; and equipment and resources relating to hazardous materials and hazardous waste programs. Trust revenue is derived from local and Statewide enforcement case fines and penalties. Fund balance as of March 31, 2020, was \$2,262,687. The 2020-2021 budget includes \$353,000 in transfers from the Environmental Health Trust Fund to reimburse eligible costs.

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## Health Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Consumer Protection</b>					
<i>Retail Food</i>	4,692	4,573	5,150	4,488	4,540
<i>Small Public Water Systems</i>	115	112	125	121	135
<i>Public Pools/Spas/Beaches</i>	683	912	618	657	662
<i>Dairy Facilities-Milk for Market</i>	428	611	509	559	560
<b>Hazardous Substances Management</b>					
<i>Hazardous Materials Business Plan/CalARP</i>	1,034	1,042	974	789	980
<i>Hazardous Waste Generators/ Tiered Permitting</i>	230	261	636	699	826
<i>Aboveground Tank Facilities</i>	11	23	130	250	250
<i>Underground Storage Facilities</i>	382	425	393	347	352
<i>Emergency Response Incidents</i>	237	311	227	250	250
<b>Housing Programs</b>					
<i>Substandard Housing Abatement Complaints/Enforcement Cases</i>	612	853	857	1,014	1,040
<i>Lead, Empl. Housing, Vectors, Jails</i>	89	172	154	162	148
<i>Homelessness Prevention</i>	N/A	N/A	25	180	200
<b>Land-Use &amp; Liquid Waste</b>					
<i>Land-Use Applications &amp; Technical Reports</i>	504	510	750	915	901
<i>Onsite Wastewater Permit/ Facilities/Septage Pumper</i>	701	660	697	615	670
<b>Solid Waste</b>					
<i>Solid Waste Facilities-Active and Closed</i>	413	411	347	356	372
<i>Medical Waste Facilities</i>	56	30	53	52	52
<b>Groundwater Protection</b>					
<i>Site Mitigation/Monitoring Well Permits</i>	278	329	250	290	380
<i>Well &amp; Pump Permits</i>	1,138	1,012	923	1,114	1,010
<b>Total Permits/Facility Inventory</b>	11,603	12,247	12,818	12,858	13,328
<b>Staff Hours</b>	66,147	63,318	67,709	67,254	70,901

# 4049100000—Children & Families Program (First 5)

## Health Services

Chris Woods, Human Services Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$1,380,667	\$1,614,510	\$1,612,669	\$1,612,669	(\$1,841)
Services & Supplies	119,932	166,890	172,102	172,102	5,212
Centrally-Budgeted Expenses	17,790	32,457	1,753	1,753	(30,704)
<b>Total Appropriations</b>	<b>\$1,518,389</b>	<b>\$1,813,857</b>	<b>\$1,786,524</b>	<b>\$1,786,524</b>	<b>(\$27,333)</b>
<b>Earned Revenues By Source</b>					
Operating Transfers In	\$1,518,389	\$1,813,857	\$1,786,524	\$1,786,524	(\$27,333)
<b>Total Revenues</b>	<b>\$1,518,389</b>	<b>\$1,813,857</b>	<b>\$1,786,524</b>	<b>\$1,786,524</b>	<b>(\$27,333)</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>11.0</b>	<b>11.0</b>	<b>11.0</b>	<b>11.0</b>	<b>0.0</b>

### Purpose

The San Joaquin County Children and Families Program (First 5 San Joaquin) was created following voter approval of the Children and Families Act of 1998 (Proposition 10) for purposes of “promoting, supporting, and improving the early development of children from the prenatal stage to five years of age.”

Funding is provided through excise taxes collected by the State on tobacco products. San Joaquin County receives approximately \$6.1 million annually into the County’s First 5 San Joaquin Trust Fund (#20491) that was established in 1999. Monies are to be expended in accordance with a strategic plan prepared by the Children and Families Commission and are contained in a separate budget not governed by the Board of Supervisors. Members of the Commission are appointed by the Board. This budget (#4049100000) funds staffing and administrative support only and is fully reimbursed by the Trust Fund. Since the Program’s inception in 1999, First 5 San Joaquin has received approximately \$200.0 million from Proposition 10 and other sources.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$58,915 Salary and benefits adjustments.
- \$97,911 Add an Administrative Assistant I position.
- \$72,833 Add a Senior Office Assistant position.
- (\$144,717) Delete vacant Contracts Analyst position.
- (\$86,783) Delete vacant Office Coordinator position.

#### Services & Supplies

- \$5,000 Increase in outside accounting costs.
- \$4,500 Increase in small tools and instruments.
- \$1,373 Increase in building rent costs.
- (\$5,600) Decrease in copying charges.

# 4049100000—Children & Families Program (First)

## Health Services

### Centrally-Budgeted Expenses

- \$9,005 Increase in data processing charges.
- \$1,458 Increase in Casualty insurance costs.
- (\$41,339) Cost Allocation Plan adjustment.

### Revenues

- (\$27,333) Decrease in funding from the First Five San Joaquin Trust Fund.

## Program Discussion

The 2020-2021 recommended budget for San Joaquin County Children and Families Program (First 5 San Joaquin) totals \$1,786,524, which is a decrease of \$27,333 from the 2019-2020 adjusted budget. The decrease is primarily due to the deletion of two vacant positions: a Contracts Analyst (-\$144,717) and an Office Coordinator (-\$86,783), and a decrease in Cost Allocation Plan adjustment charges (-\$41,339), partially offset by the addition of an Administrative Assistant (\$97,911) and a Senior Office Assistant (\$72,833), salary and benefits adjustments (\$58,915), and an increase in allocated data processing charges (\$9,005).

With the recent 2019 Novel Coronavirus (COVID-19) pandemic, First 5 San Joaquin is partnering with Family Resource and Referral Center (FRRC) to address immediate needs of child-care providers that are open. First 5 San Joaquin and FRRC have also jointly completed a survey for additional needs for providers. In addition, a Resource Guide on their website is updated twice a week listing activities parents can engage in at home with their children.

### Improve and Maximize Programs so All Children Thrive 2020 (IMPACT 2020)

IMPACT 2020 funding was awarded from First 5 California in the amount of \$2.4 million for 2016-2020 to address child care quality issues in the community. First 5 San Joaquin is apply for grant funding for 2020-2023 to continue the previous work of the Race to the Top, Early Learning Challenge Grants (2012-2016). For 2020-2021, the budgeted amount for this program is \$496,581 and is based on the approval of grant funding. Included in this amount is \$73,499 to offset salary and benefits. This work will continue IMPACT 2020 funding by building on Quality Rating and Improvement System (QRIS) investments in coordination with the San Joaquin County Office of Education known locally as “Raising Quality.” This program will continue to promote a coordinated Early Learning and

Development System in this County and across the State, will build on and connect to current systems work and investments, as well as other Federal, State, and local efforts that include training, education, developmental and health screenings, site based observations, networking, coaching and mentoring. The emphasis for First 5 San Joaquin will be on serving license exempt providers also known as Families, Friends, and Neighbors (FFN), family child-care providers, and private child-care centers (those not receiving State or Federal funding). The goal is to serve 90 sites with priority focus on FFN, specifically, grandparents caring for their grandchildren.

### San Joaquin Treatment and Education for Everyone on Teeth and Health (SJ TEETH)

Funding for SJ TEETH was awarded to First 5 San Joaquin by the Department of Health Care Services (DHCS) in June 2017 under the Statewide Local Dental Pilot Program (LDPP), in the amount of \$3.5 million for calendar years 2017-2020. On October 17, 2018, an additional \$2.0 million was awarded for 2018 and 2019, for a total of \$5.5 million. In accordance with the SJ TEETH LDPP plan, First 5 San Joaquin coordinates and collaborates with agencies to engage in activities including the provision of school-based preventive oral health services, improvement of access to oral health care for children, and implementation of school-based Virtual Dental Home to bring oral health services to children. For 2020-2021, the budgeted amount for this Program is \$1,452,041. Funds are budgeted in the amount of \$131,480 to offset salary and benefits, and office expenses associated with First 5 San Joaquin personnel administering the Program. As funding for this grant ends on December 31, 2020, a grant writer is seeking to find replacement funding to continue these valuable services.

In 2018-2019, over 23,131 parents, children, and providers benefited from First 5 San Joaquin services. The table below only reflects the children that were served:

Services*	No. of Children	
	2017-2018	2018-2019
Care Coordination and/or Dental Services	1,049	3,232
Development Screenings	3,047	3,331
Literacy Services	3,180	9,117
Summer Kindergarten Bridge Program	887	643
Nutrition & Physical Activity Education	801	756
No Cost Preschool	883	643

\*Some children and families receive more than one service

# 4049100000—Children & Families Program (First)

## Health Services

### First 5 San Joaquin Strategic Plan Extension 2018-2021

The First 5 San Joaquin Strategic Plan Extension 2018-2021 and budget were developed assuming Proposition 10 revenue will continue to decline over time due to decreasing smoking rates and declining birth rates in California. The Plan was adopted by the Children and Families Commission in December 2017 and continues to be reviewed annually by the Commission. Additionally, the Ten-Year Plan is updated annually to reflect current and future priority spending trends. With declining revenues, it is difficult to provide services to the community thus leveraging new and existing resources is a priority. Dedicated funding is included for a grant writer to seek additional external funds for programs related to oral health literacy and other areas of the Strategic Plan. For 2020-2021, 56% of budgeted revenue is not related to local Proposition 10 and comes from several different funding sources:

Source	2020-2021
California Department of Social Services (CDSS)	\$3,795,808
Department of Health Care Services (DHCS)	\$2,132,015
State of California Children and Families Commission	\$639,775
CalWORKs Substance Abuse	\$329,174
CalWORKs Mental Health	\$279,747
CalWORKs Family Stabilization Funding	\$265,000
Sunlight Giving	\$75,000
University of the Pacific	\$36,600
San Joaquin County Public Health Services - Proposition 56	\$33,799
First 5 Association - Census 2020	\$6,000
Connecticut Children's Medical Center	\$3,000

A goal for 2020-2021 will be the ongoing implementation of the Strategic Plan through the renewal of contracts. The renewals will focus on several areas including special need programs for direct services for those children that “fall through the gaps” of mandated services. It also includes the continuation of the Help Me Grow Program, a national program with a goal of offering a comprehensive, coordinated system for the early detection, care coordination of at-risk children, and referral to needed services and supports. The Program is designed to improve local systems of care coordination for children with special health care needs.

Another goal for 2020-2021 includes the continuance of funding from CDSS to address and reduce homelessness

with the CalWORKs population. Funding will also be sought out to promote family engagement via the Talk, Read, Sing, Draw, Play campaign. Other renewals focus on quality preschool, home visitation, and the evaluation contract that measures the impact of programs.

### FamilyWORKs

Funding for the FamilyWORKs program in the amount of \$2.4 million over 17 months was awarded to the Human Services Agency (HSA) in December 2018 for home visitation services to be provided to CalWORKs participants. The program, administered by CDSS, is coordinated for HSA by First 5 San Joaquin. The program focuses on health education using the Parents as Teachers curriculum, addresses literacy, financial planning, and increased work participation rates. This program provides funding in 2020-2021 in the amount of \$2,452,657 and will offset First 5 San Joaquin salary and benefits, training, mileage, and supplies up to \$65,814.

### JourneyWORKs

Administered by First 5 San Joaquin, JourneyWORKs is a pilot program funded through CalWORKs Substance Abuse and Mental Health funding. Launched in September 2019, the program provides care coordination and home visitation services to CalWORKs recipients that are pregnant and/or caring for a child under the age of five and have substance abuse or mental health concerns. Additional services support families with care coordination related to substance use assessments, outpatient and inpatient services, as well as withdrawal management and opiate substitution therapies. JourneyWORKs has the capacity to serve up to 136 families. This program provides funding in 2020-2021 in the amount of \$400,000 and will offset First 5 San Joaquin salary and benefits up to \$63,000.

### HousingWORKs

On October 14, 2019, HSA was awarded funding to implement a CalWORKs Housing Support Program. The program, coordinated for HSA by First 5 San Joaquin, will provide support to 120 homeless CalWORKs families with housing stability and case management services. Local implementation began in December 2019. The first family housed by the HousingWORKs program enrolled on January 7, 2020 and was placed in permanent housing on January 27, 2020. Currently, over 34 applications are being processed. The program provides funding in 2020-2021 in the amount of \$1,243,658 and will offset First 5 San Joaquin salary and benefits up to \$88,506. It is anticipated that 45 families will be housed in 2019-2020 and 120 families in 2020-2021.



# 4049100000—Children & Families Program (First)

## Health Services

### Ongoing Evaluation of Program Requirements

Under existing requirements for results-based accountability, First 5 San Joaquin supports an established structure to evaluate funded programs. To address evaluation requirements, a customized database for each of the Commission’s funded programs was updated in 2019-2020. Additional staff time is required for technical assistance requested by contractors. Local evaluation strategies were also implemented in 2019-2020 as outlined in 2019-2020 Evaluation Reports and the Evaluation Matrix.

### Cannabis and Mental Health

First 5 San Joaquin is receiving funds from CalWORKs to address the impact of cannabis and to promote access to care with a focus on pregnant and breastfeeding mothers, and young families. In 2017-2018, a community-wide campaign was initiated via social media in order to reach as many parents as possible. The campaign continued in 2019-2020. In 2018-2019, a campaign was launched to promote access to mental health services and resources, and messaging efforts to address edibles and home safety were continued in 2019-2020. In 2020-2021, a joint messaging effort will launch that will bring both marijuana impact messaging and mental health messaging under one campaign umbrella with the goal to raise awareness and promote access to services

### Strategic Partnerships

Through a broad communications network, strategic partnerships will be used by First 5 San Joaquin staff to educate and engage varying audiences about key early childhood issues. Communication strategies include in-

person outreach events, special messaging, electronic newsletters to approximately 1,800 partners, coverage by the media, and hands-on educational materials. First 5 San Joaquin staff will continue to develop strategies to target messaging efforts at preschools, child-care providers, faith-based organizations, community-based organizations, and other agencies. In addition, in 2020-2021, joint messaging will continue with the University of the Pacific (UOP) and its Beyond Our Gates Initiative on the San Joaquin Reads Campaign. This will provide the community access to important messages on talking, reading, singing, drawing, and playing with children as the easiest and most powerful ways of helping them grow up smarter, happier, and better prepared for a bright future.

To maintain and extend leadership in the early childhood community, shared materials, curriculum, and in-kind services will be leveraged with UOP, University of California, Davis Cooperative Extension, school districts, government agencies, faith-based organizations, and continued participation in multiple community consortia. These systems will enable First 5 San Joaquin to maximize efforts to address outcomes in identified areas of need for children and families with minimal funding.

### First 5 San Joaquin Trust Fund

The 2019-2020 beginning balance for the First 5 San Joaquin Trust Fund (#20491) was \$3,393,154. The Commission’s Ten-Year Plan incorporates a drawdown of the trust fund over a multiyear period. As of March 31, 2020, the fund balance was \$3,479,822. The 2020-2021 budget includes a transfer of \$1,786,524 from the First 5 San Joaquin Trust Fund.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Contracts Monitored	87	106	143	140	120
Value of Contracts Administered	\$7,817,768	\$8,036,010	\$9,950,601	\$12,238,577	\$11,278,349
Number of Children Served	10,712	12,160	16,888	20,603	20,000
Number of Parents Served	6,579	6,757	6,128	7,476	7,500
Pre-school Sites Provided Technical Assistance	26	23	19	19	15

# 4049400000—Operating Transfers to Health Care

## Health Services

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Operating Transfers Out	\$23,644,767	\$43,137,311	\$41,410,400	\$41,410,400	(\$1,726,911)
Total Appropriations	\$23,644,767	\$43,137,311	\$41,410,400	\$41,410,400	(\$1,726,911)
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$2,033,532	\$17,352,257	\$15,625,346	\$15,625,346	(\$1,726,911)
Operating Transfers In	15,826,181	20,000,000	20,000,000	20,000,000	0
Total Revenues	\$17,859,713	\$37,352,257	\$35,625,346	\$35,625,346	(\$1,726,911)
<b>Net County Cost</b>	\$5,785,054	\$5,785,054	\$5,785,054	\$5,785,054	\$0

### Purpose

This budget provides the required 1991 Realignment matching amounts and transfers to the Health Trust Fund and the Mental Health Trust Fund, Maintenance of Effort (MOE) payments for Health and Mental Health, Medi-Cal Intergovernmental Transfer (IGT) payment to the State, and other health care-related General Fund contributions.

### Major Budget Changes

#### Operating Transfers Out

- (\$1,726,911) Decrease in Vehicle License Fee (VLF) funds transferred to Health Trust Fund.

#### Revenues

- (\$1,726,911) Decrease in VLF Health revenue.

### Program Discussion

The 2020-2021 recommended Operating Transfers to Health Care budget totals \$41,410,400 which is a decrease of \$1,726,911 from the 2019-2020 adjusted budget. This change reflects a decrease in VLF funds (-\$1,726,911) to be transferred to the Health Trust Fund as required by State

Welfare and Institutions (W&I) Code requirements. Realignment funds received by the General Fund offset the amounts transferred to the Health and Mental Health Trust Funds.

An IGT is a transfer of public funds between government entities. The Medicaid funding system utilizes the IGT as a mechanism to transfer Federal funds to local government. The County first remits payment to the State, which subsequently remits these funds to the Centers for Medicare and Medicaid Services (CMS). CMS follows by matching the amount with a predetermined percentage, which is distributed to Medicaid (Medi-Cal in California) managed care health plans, and ultimately received by San Joaquin General Hospital (SJGH) to offset non-reimbursed health care costs. The recommended budget includes \$20.0 million for this program, the same amount as 2019-2020, offset by reimbursement from eligible, non-Medicaid funding within the Hospital Enterprise Fund.

As shown at the bottom of the chart on the following page, \$4,460,182 of the recommended 2020-2021 Net County Cost represents the minimum required Realignment match amounts for SJGH (\$2,138,053), Mental Health (\$1,610,759), Substance Abuse (\$379,489), and Public Health (\$331,881) programs. The remaining \$1,324,872 represents the discretionary County contribution of \$928,073 for the Public Guardian/Conservator and \$396,799 for Substance Abuse Services, which are contained within the Mental Health Fund.

# 4049400000—Operating Transfers to Health Care

## Health Services

	2019-2020 Adjusted Budget	2020-2021 Recommended Budget	Increase/ (Decrease)
<b>Appropriations</b>			
<b>Hospital</b>			
<i>Health Trust Match-W&amp;I 17608.10*</i>	\$2,138,053	\$2,138,053	\$0
<i>Health Trust Transfer-W&amp;I 17604</i>	16,831,188	14,662,777	(2,168,411)
<i>Intergovernmental Transfer-Medicaid</i>	20,000,000	20,000,000	0
<b>Total Hospital</b>	<b>\$38,969,241</b>	<b>\$36,800,830</b>	<b>(\$2,168,411)</b>
<b>Mental Health</b>			
<i>Mental Health Trust Transfer-W&amp;I 17604</i>	\$491,069	\$491,069	\$0
<i>Mental Health Trust Match-W&amp;I 17608.05*</i>	1,610,759	1,610,759	0
<i>Discretionary-Public Guardian/Conservator**</i>	928,073	928,073	0
<b>Total Mental Health</b>	<b>\$3,029,901</b>	<b>\$3,029,901</b>	<b>\$0</b>
<b>Substance Abuse</b>			
<i>Required Match*</i>	\$379,489	\$379,489	\$0
<i>Discretionary-Ongoing Operations**</i>	396,799	396,799	0
<b>Total Substance Abuse</b>	<b>\$776,288</b>	<b>\$776,288</b>	<b>\$0</b>
<b>Public Health</b>			
<i>Health Trust Match-W&amp;I 17608.10*</i>	\$331,881	\$331,881	\$0
<i>Health Trust Transfer-W&amp;I 17604</i>	30,000	471,500	441,500
<b>Total Public Health</b>	<b>\$361,881</b>	<b>\$803,381</b>	<b>\$441,500</b>
<b>Total Appropriations</b>	<b>\$43,137,311</b>	<b>\$41,410,400</b>	<b>(\$1,726,911)</b>
<b>Revenues</b>			
<i>VLF-Mental Health-W&amp;I 17604</i>	\$491,069	\$491,069	\$0
<i>VLF-Health-W&amp;I 17604</i>	16,861,188	15,134,277	(1,726,911)
<i>Reimbursement from Hospital (non-Medicaid funds)</i>	20,000,000	20,000,000	0
<b>Total Revenues</b>	<b>\$37,352,257</b>	<b>\$35,625,346</b>	<b>(\$1,726,911)</b>
<b>Net County Cost</b>			
<i>*Required Match</i>	\$4,460,182	\$4,460,182	\$0
<i>**Discretionary</i>	1,324,872	1,324,872	0
<b>Total Net County Cost</b>	<b>\$5,785,054</b>	<b>\$5,785,054</b>	<b>\$0</b>

# Hospital Enterprise Fund

## Health Services

David K. Culberson, *Hospital Chief Executive Officer*

Enterprise Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Salaries &amp; Benefits</i>	\$271,834,592	\$252,570,989	\$259,878,818	\$259,878,818	\$7,307,829
<i>Services &amp; Supplies</i>	142,899,703	122,817,452	129,144,820	129,144,820	6,327,368
<i>Centrally-Budgeted Expenses</i>	9,521,924	7,188,401	9,590,716	9,590,716	2,402,315
<i>Other Charges &amp; Uses</i>	1,835,181	137,697	49,814	49,814	(87,883)
<i>Capital Expenditures</i>	5,042,998	12,458,639	12,933,812	12,933,812	475,173
<i>Operating Transfers Out</i>	0	20,000,000	0	0	(20,000,000)
<i>Total Appropriations</i>	\$431,134,398	\$415,173,178	\$411,597,980	\$411,597,980	(\$3,575,198)
<b>Earned Revenues By Source</b>					
<i>Interest/Rents</i>	\$3,076,467	\$2,359,979	\$2,455,868	\$2,455,868	\$95,889
<i>Aid From Other Governments</i>	129,533,155	56,666,688	40,671,851	40,671,851	(15,994,837)
<i>Miscellaneous Revenues</i>	6,037,947	2,143,903	4,555,037	4,555,037	2,411,134
<i>Operating Transfers In</i>	2,138,053	4,638,053	2,138,053	2,138,053	(2,500,000)
<i>Hospital Special Revenues</i>	273,124,618	347,712,555	362,403,269	362,403,269	14,690,714
<i>Fund Balance</i>	17,224,158	1,652,000	(626,098)	(626,098)	(2,278,098)
<i>Total Revenues</i>	\$431,134,398	\$415,173,178	\$411,597,980	\$411,597,980	(\$3,575,198)
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0
<b>Staffing</b>					
<i>Allocated Positions</i>	1,367.0	1,389.0	1,407.0	1,407.0	18.0
<i>Temporary (Full-Time Equivalent)</i>	422.7	477.7	428.9	428.9	(48.8)
<i>Total Staffing</i>	1,789.7	1,866.7	1,835.9	1,835.9	(30.8)

- Capital Expenditures amount reflects only depreciation expense in 2018-2019.
- Operating Transfers In includes a General Fund Contribution of \$2,138,053.

## Purpose

The Hospital Enterprise Fund budget provides funding for San Joaquin General Hospital (SJGH) and San Joaquin County Clinics (SJCC) to provide comprehensive and accessible health care services to citizens of San Joaquin County, specialized health care delivery programs not otherwise available in the community, and organized health care programs and services for public and private organizations. SJGH also provides education and training programs for physicians and paramedical personnel, and initiates and participates in community-wide health care planning. SJGH ensures effective business management, operates in a cost-effective manner, and maximizes collections for services rendered.

California Welfare and Institutions Code Section 17000 mandates the provision of medical care to the indigent population of the County. The Board of Supervisors determines the level of service provided. Although the County is not mandated to own and operate a hospital, once the institution is established, services must be delivered according to various laws and regulations.

## Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board

# Hospital Enterprise Fund

## Health Services

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Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues. The following highlights provide an overview of several projects, programs, and activities administered by SJGH.

### Ensure Fiscal Responsibility

SJGH is projecting to close the 2019-2020 fiscal year with an operating deficit of \$45.0 million, primarily due to a projected decrease in net patient revenue. Other contributing factors include expenditures for staffing and overtime costs, contract staff and consultant services, and medical supplies.

The recommended budget continues to include only the minimum \$2.1 million annual Maintenance of Effort contribution from the County General Fund. Activities planned for 2020-2021 will continue to focus on maintaining financial stability for both SJGH and SJCC.

In 2019-2020, SJGH's continued operation of primary care clinics through SJCC, the County's Federally Qualified Health Center Look-Alike (FQHC-LAL), was evaluated for operational efficiency and sustainability. Changes were implemented with a focus on increasing productivity, while also reducing expenditures at locations not performing efficiently. As a result, clinic operations at the Hazelton Avenue (Stockton) and Manteca locations will be discontinued on June 30, 2020 (B-20-191).

The anticipated achievement of a Trauma Center Level II designation in 2021 will provide SJGH a clinical program that is unique in the County, serves the area residents in an efficient and optimal manner, and reinforces SJGH's position as a valuable community asset.

The continued partnership with the California Department of Corrections and Rehabilitation (CDCR) allows SJGH to maintain this important service line while assisting SJGH in attracting new physicians, nurses, ancillary, and other support personnel to service both CDCR and all community patients.

### Promote Good Governance and Increase Organizational Capabilities

SJGH's organizational capabilities are enhanced through use of the Cerner and PeopleSoft Health Information System and Cerner Electronic Health Record, which were implemented in March 2018. The systems help SJGH document patient care, order tests, and communicate results in a more efficient manner than previous systems. This investment in technology has improved the way work processes are performed and the way health care teams collaborate. A nurse staffing module has also been implemented to accept data from Cerner regarding acuity and quantity of patients, and to recommend appropriate staffing levels for optimal care.

Construction of the Phase II Expansion Project was completed in spring 2019. Following installation of equipment, testing of systems, final Office of Statewide Health Planning and Development approval, and certification by licensing agencies, the new inpatient building was occupied in December 2019. This facility provides 45 replacement beds for the 1932 Towers building that due to seismic requirements may no longer house inpatient beds.

In April 2018, the American College of Surgeons visited SJGH to perform a site survey for Trauma Center Level III validation. A verification report was subsequently received in July 2018 confirming SJGH's trauma center status. The current Trauma Center Designation Agreement (A-18-430) with the San Joaquin County Emergency Medical Services Agency is valid until August 31, 2021.

## Major Budget Changes

### Salaries & Employee Benefits

- \$9,940,988 Salary and benefits adjustments.
- (\$607,747) Delete five positions midyear 2019-2020 as part of clinic restructuring.
- \$3,654,324 Add 25 new positions.
- (\$1,518,954) Delete 6 vacant positions.
- (\$230,354) Transfer a technology support position to Information Systems Division.
- (\$2,571,027) Decrease in extra-help.
- (\$1,341,332) Decrease in budgeted holiday pay.

### Services & Supplies

- \$5,879,989 Increase in medical and laboratory supplies.
- \$5,693,474 Increase in medical instrument costs.
- \$4,863,248 Increase in cost of consultants based on actual experience.
- \$1,211,608 Increase in equipment maintenance costs.
- \$1,133,999 Increase in cost of professional services for management of departments and to fill key roles.

# Hospital Enterprise Fund

## Health Services

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- |                 |  |                  |  |
|-----------------|--|------------------|--|
| ➤ \$697,075     | Increase in technology equipment maintenance.                        | ➤ \$2,411,134    | Increase in non-operating revenue such as cafeteria food sales and rebates.      |
| ➤ \$371,664     | Increase in charges from County departments.                         | ➤ \$888,697      | Increase in revenue for services provided to other County departments.           |
| ➤ \$331,390     | Increase in cost of legal services based on actual experience.       | ➤ \$369,963      | Increase in private pay revenue.   |
| ➤ \$223,438     | Increase in cost of cleaning supplies.                               | ➤ \$153,143      | Increase in AB 915 funding for unreimbursed Medi-Cal outpatient services.        |
| ➤ (\$7,687,133) | Decrease in cost of contracted staffing, including traveling nurses. | ➤ \$95,889       | Increase in interest income.   |
| ➤ (\$3,114,486) | Decrease in usage of outside physician services.                     | ➤ (\$13,047,500) | Decrease in Public Hospital Redesign and Incentives in Medi-Cal (PRIME) funding. |
| ➤ (\$2,613,318) | Decrease in cost of facility maintenance.                            | ➤ (\$10,985,671) | Decrease in Medi-Cal revenue.  |
| ➤ (\$570,497)   | Decrease in recruitment expenses.                                    | ➤ (\$8,260,000)  | Decrease in Global Payment Program (GPP) revenue.                                |
| ➤ (\$343,965)   | Decrease in equipment rentals.                                       | ➤ (\$3,379,316)  | Decrease in Senate Bill (SB) 1732 reimbursement for capital construction costs.  |

### Centrally-Budgeted Expenses

- |               |   |                 |                                  |
|---------------|---|-----------------|----------------------------------|
| ➤ \$1,073,377 | Increase in data processing charges.                            | ➤ (\$2,170,308) | Decrease in grant funding.       |
| ➤ \$596,814   | Increase in Workers' Compensation and Casualty insurance costs. | ➤ (\$2,278,098) | Decrease in use of fund balance. |
| ➤ \$825,207   | Cost Allocation Plan adjustment.                                |                 |                                  |

### Operating Transfers

- |                  |   |  |  |
|------------------|---|--|--|
| ➤ (\$20,000,000) | Decrease in budgeted transfer out for Intergovernmental Transfer (IGT). |  |  |
|------------------|---|--|--|

### Revenues

- |                |  |  |  |
|----------------|--|--|--|
| ➤ \$12,922,598 | Increase in commercial revenue reflecting rate adjustments.    |  |  |
| ➤ \$7,571,491  | Increase in Medicare revenue.                                  |  |  |
| ➤ \$6,105,373  | Increase in revenue from Quality Incentive Program (QIP).      |  |  |
| ➤ \$3,310,535  | Increase in Medi-Cal managed care supplemental payment.        |  |  |
| ➤ \$2,689,910  | Increase in Assembly Bill (AB) 85 Medi-Cal rate range payment. |  |  |

### Capital Expenditures

- |               |  |  |  |
|---------------|--|--|--|
| ➤ \$4,567,700 | Medical equipment.   |  |  |
| ➤ \$4,100,012 | Information technology software and hardware.                |  |  |
| ➤ \$1,616,100 | Inpatient Pharmacy relocation.                               |  |  |
| ➤ \$1,500,000 | Capital contingency amount.                                  |  |  |
| ➤ \$300,000   | Magnetic Resonance Imaging architectural/engineering.        |  |  |
| ➤ \$300,000   | Trauma Bay upgrade.  |  |  |
| ➤ \$200,000   | Cardiac Cath Lab replacement architectural/engineering.      |  |  |
| ➤ \$200,000   | Domestic water filtration system.                            |  |  |
| ➤ \$150,000   | Benton Hall building improvements architectural/engineering. |  |  |

# Hospital Enterprise Fund

## Health Services

### Program Discussion

The 2020-2021 recommended Hospital Enterprise Fund budget totals \$411,597,980, which is a decrease of \$3,575,198 from the 2019-2020 adjusted budget. This change mainly reflects decreases in the budgeted transfer amount to reimburse IGT costs (-\$20,000,000), contract staff costs (-\$7,687,133), outside physicians (-\$3,114,486), and facility maintenance (-\$2,613,318), offset by salary and benefits adjustments (\$9,940,988), increases in medical and laboratory supplies (\$5,879,989), medical instruments (\$5,693,474), consultant costs (\$4,863,248), and the addition of 25 new positions (\$3,654,324).

A total of \$12,933,812 in capital expenditures is budgeted for 2020-2021, representing an increase of \$475,173 from 2019-2020. Similar to previous budgets, funding for capital items includes an allowance of \$1.5 million to address unanticipated capital needs during the year.

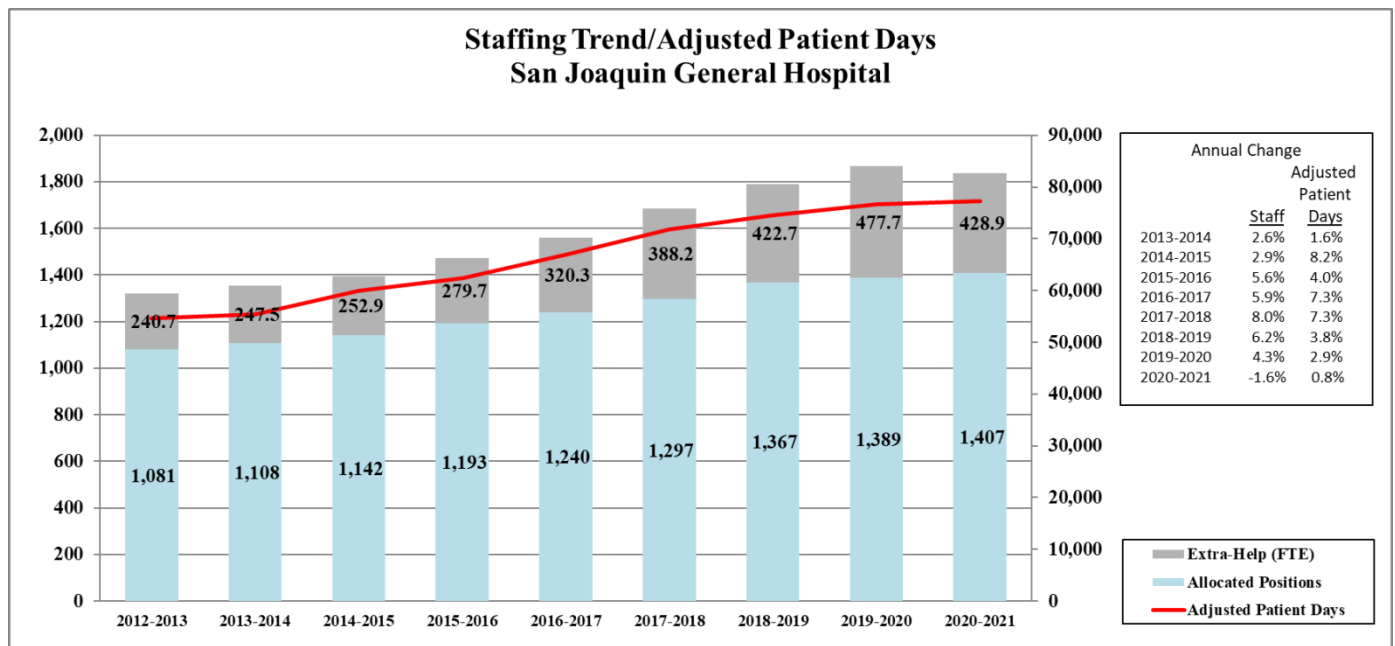
The recommended budget adds 25 new full-time positions, deletes 6 vacant positions, and transfers 1 position to another County department, for a net increase of 18 allocated positions, with offsetting decreases in funding for contract staff and outside physicians. A net decrease of 48.8 full-time equivalent (FTE) extra-help staff is also included.

New Positions	No.
Staff Nurses – Conversion of extra-help and outside nursing workforce to full-time positions.	20

New Positions	No.
Dialysis Patient Care Technician – Conversion of extra-help to address increasing dialysis treatment workload.	2
Respiratory Care Practitioner – Conversion of contract staff to meet increasing respiratory therapy and treatment needs due to extended length of stay and ventilator days.	1
Business Analyst – Conversion of contract staff needed to provide assistance to meet Graduate Medical Education accreditation standards.	1
Department Information Systems Specialist – Conversion of extra-help for help desk services.	1
<b>Total</b>	<b>25</b>

### Staff Recruitment Efforts

Out of the 1,394 full-time positions allocated to SJGH in 2019-2020, 84 positions (6.03%) were vacant as of March 31, 2020 (note: 5 positions were subsequently deleted effective May 24, 2020, R-20-33). Within those amounts, there were 31 (7.56%) vacant Registered Nurses (RNs) out of 410 total allocated RN positions. This rate is slightly better than June 2019, when SJGH had 32 (7.98%) vacant RN positions out of 401 allocations. Staff continues to work on hiring RNs and other ancillary clinical staff, including diagnostic imaging technicians. Until the vacancies are filled, full-time staff and extra-help are being augmented by use of supplemental staffing to perform these critical tasks.



# Hospital Enterprise Fund

## Health Services

### FQHC-LAL Clinics

SJCC operates FQHC-LAL clinics located in French Camp (SJGH Campus) and Stockton (California Street). The Hazelton Avenue (Stockton), and Manteca clinics are scheduled for closure on June 30, 2020. Efforts are currently underway to improve financial performance of the remaining clinics by increasing productivity, improving revenue cycle operations (i.e., ensuring proper billing and timely collections for services rendered), and reducing locum tenens (temporary) physician coverage. Billing and collections tasks for the remaining clinics were transferred to a third-party vendor in January 2020 in an effort to improve revenue cycle results.

Requests to regulatory agencies for rate increases are being proposed and if approved, are expected to improve clinic revenues; however, if the clinic system's overall financial performance does not significantly improve, further corrective actions will be proposed for Board consideration.

### Revenues

The 2020-2021 recommended budget of \$411.6 million is supported by \$323.0 million in net patient revenue and \$89.2 million in non-patient revenue, and will allow approximately \$626,000 to be contributed to the Hospital Enterprise Fund balance.

In 2020-2021, net patient revenue is projected to increase by \$9.9 million from the 2019-2020 adjusted budget amount of \$313.1 million. This change is mainly due to proposed rate adjustments and shifts in the overall patient payer mix, resulting in increases in commercial and Medicare reimbursement, offset by decreases in Medi-Cal revenue.

In the first few months following declaration of a national emergency and shelter-in-place guidelines in California due to the 2019 Novel Coronavirus (COVID-19) pandemic, SJGH experienced a 25-30% decline in inpatient admissions and census. In May 2020, SJGH began performing a limited number of elective cases and procedures, and increased its outreach efforts to clinic patients to increase visits and procedures. A gradual return to historical surgical caseload and inpatient admissions and census is anticipated.

Revenue projections for 2020-2021 assume hospital and clinic operations are back at levels historically experienced before the COVID-19 pandemic, including inpatient and Emergency Department volumes. In the event patient volumes do not return to pre-COVID-19 levels, SJGH management will review revenue estimates and implement operational adjustments where necessary to offset fiscal impacts, including evaluation of services to reduce or phase out and decreasing staffing levels to support the lower patient volumes.

### Payer Mix

The 2020-2021 projected payer mix is based on actual experience through December 31, 2019, as follows:

Payer Mix	Hospital/ Hospital- Based Clinics	FQHC Clinics
Medi-Cal	48.9%	85.0%
Medicare	21.4%	11.0%
CDCR	14.3%	0.0%
Insurance	11.8%	1.0%
Private Pay/Indigent	3.6%	3.0%
<b>Total</b>	<b>100.0%</b>	<b>100.0%</b>

### Non-Patient Revenue

Revenue projected to be received by SJGH in 2020-2021 through Federal, State, and local funding sources that are not directly related to patient care represents approximately 21.6% of total revenue. The recommended budget includes supplemental funding of \$89.2 million, including \$2.1 million provided by the County General Fund.

### Realignment Revenue

Realignment revenue is allocated based on sales tax and vehicle license fees collected by the State. AB 85 legislation allows the State to take 80% of the County's net savings realized from implementation of the Affordable Care Act up to the amount of realignment revenue allocated. As a conservative approach, SJGH assumes revenues received will be taken back by the State and therefore sets the funds aside as a reserve liability on the balance sheet pending determination of the actual take-back amount. This process is generally completed two years after close of the respective fiscal year. Realignment revenue for 2020-2021 is estimated at \$20.9 million and amounts received will be held as a reserve within the Hospital Enterprise Fund until the final take-back amount has been determined. Based on actual experience, reconciliation of prior year realignment revenue is projected to result in a net amount of \$2.5 million to be recognized during 2020-2021.

### Medi-Cal 2020 - Section 1115 Waiver

The 2015-2020 Social Security Act Section 1115 Medi-Cal Waiver has two components that provide supplemental funding to SJGH: 1) PRIME for those patients with Medi-Cal coverage; and 2) GPP for the remaining uninsured.

The PRIME program requires SJGH to achieve specific targets in nine project areas to take full advantage of the supplemental funding to optimize patient health outcomes. PRIME funding declined by 10% in 2018-2019, and by an



# Hospital Enterprise Fund

## Health Services

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additional 15% in 2019-2020. It is anticipated that PRIME funding will cease in December 2020 if a Waiver extension is not negotiated in 2020; however, the California Association of Public Hospitals (CAPH) is working with the State to either extend the current Waiver or develop an emergency Waiver in light of the COVID-19 crisis. The 2020-2021 budget does not include any PRIME funding for SJGH due to the uncertainty of Waiver extension negotiations.

The GPP, which replaced Disproportionate Share and Safety Net Care Pool funding, establishes a Statewide pool of funding for the remaining uninsured. The funding distribution methodology is designed to provide incentives for Designated Public Hospital systems to move from high-cost, avoidable services to preventative services, similar to PRIME. The GPP is a pilot program that will also end in December 2020 unless a Waiver extension is negotiated. SJGH anticipates receiving \$10.0 million in 2020-2021 through meeting GPP incentive thresholds.

### *Medicaid Managed Care Rule*

The Medicaid Managed Care Rule became effective July 1, 2017. The Rule is divided into two parts: 1) Directed Payments, which include the Enhanced Payment Program (EPP) and the Quality Incentive Program (QIP); and 2) Non-Directed Payments, composed of the Traditional Rate Range and the AB 85 Medicaid Coverage Expansion (MCE) Rate Range.

EPP is a pooled approach with a pro-rata distribution to five classes of providers. To meet the criteria for EPP, SJGH will continue to work with its contracted managed care health plans, Health Plan of San Joaquin and Health Net, on data collection and reporting. CAPH estimates that SJGH is eligible to earn \$17.4 million from EPP in 2020-2021.

QIP funds are earned by meeting metrics for up to 20 core measures, out of a total of 26 measures across the following categories: primary care, specialty care, inpatient care, and resource utilization. To determine if the metrics have been met, SJGH will work with the managed care health plans to obtain and report the data. QIP uses a pooled approach to distribute funding not earned by the various providers. CAPH estimates that SJGH will be eligible to earn \$15.3 million from QIP for 2020-2021.

The Traditional Rate Range and the AB 85 MCE, rely on a voluntary relationship with the managed care health plans. IGTs are used to draw down Federal funding and SJGH is required to work with the managed care health plans to develop contracts for distribution of funds. In 2020-2021, SJGH is budgeted to receive \$12.2 million and \$10.7 million, respectively from these funding sources.

### *Medicaid Financial Accountability Regulation*

The Medicaid Financial Accountability Regulation (MFAR) was released by the Centers for Medicare and Medicaid (CMS) in November 2019. MFAR severely restricts how states may pay for their Medicaid programs and could potentially jeopardize the stability of California's public health care systems by limiting the manner in which hospitals provide the non-Federal share of the Medicaid program. Specifically, hospitals such as SJGH that lack the authority to impose taxes to generate matching funds, would have to find alternate sources of funding in order to access a large portion of supplemental funding. This would likely require significant service reductions for both SJGH and its clinics, causing the loss of access to primary and specialty health care services. Legislation was introduced in April 2020 which would delay implementation of MFAR for two years. Staff will continue to work with legislative advocates and professional associations in monitoring the situation.

### *2019 Novel Coronavirus (COVID-19)*

On March 24, 2020, the California Department of Health Care Services (DHCS) issued guidance relating to non-urgent procedures relative to the COVID-19 crisis, which significantly reduced surgical and invasive procedures for both inpatients and outpatients at SJGH. Outpatient volume was reduced to only essential visits and many of the remaining encounters were accomplished through secure video conferencing. In some outpatient departments, activity in April 2020 was 30-40% less than in January and February 2020.

In 2020-2021, SJGH will continue to work closely with State, Federal, and local agencies in responding to the COVID-19 crisis and plans to gradually restore activity to pre-COVID-19 levels in accordance with guidance provided by DHCS and County Public Health. Various funding mechanisms, including the Coronavirus Aid, Relief, and Economic Security (CARES) Act, will be accessed to provide ongoing essential services. On May 12, 2020, the Board approved reimbursement to Departments for COVID-19 related expenses, emergency services, and other essential County services (B-20-253). SJGH will be among the agencies receiving a reimbursement allocation. The scope of operations at SJGH will be monitored closely and service levels adjusted if needed.

### **Reserve Policies**

In the last few years, SJGH recognized a large growth in fund balance, which enabled major capital projects to proceed without incurring additional debt. However, with the completion of the Cerner/PeopleSoft implementation and related optimization and workflow projects, the Phase II Replacement Project, and significant COVID-19 impacts in

# Hospital Enterprise Fund

## Health Services

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2019-2020, the fund balance has declined significantly from \$142.9 million in June 2019. The estimated fund balance at June 30, 2020 is \$96.1 million, including the following reserves:

<b>Fund Balance at June 30, 2020 (millions)</b>	<b>\$96.1</b>
Designated for Capital	(12.9)
AB 85 Take-Back Reserve	(22.1)
Medicare/Medi-Cal Claim Reserve	(18.1)
Waiver Settlement Reserve	(26.6)
<b>Remaining Funds Available for Operations</b>	<b>\$16.4</b>

### Supplemental Requests

The Hospital Chief Executive Officer is requesting the addition of 25 new positions at a total cost of \$3,654,324:

#### *Respiratory Care Practitioner*

A Respiratory Care Practitioner position is requested to provide respiratory therapy and treatment to patients. Annual cost of the position is \$119,703 and will be offset by a reduction in costs for contract staffing.

#### *Dialysis Patient Care Technician (2)*

Two Dialysis Patient Care Technician positions are requested to address increasing demand for hemodialysis treatment.

Annual cost of the positions is \$140,632 and will be offset by a reduction in costs for extra-help staffing and overtime.

#### *Business Analyst*

A Business Analyst position is requested to assist program directors, faculty physicians, and residents in producing work to meet Graduate Medical Education accreditation standards. Annual cost of the position is \$109,411 and will be offset by a reduction in costs for contract staffing.

#### *Department Information Systems Specialist*

A Department Information Systems Specialist position is requested to provide help desk services. The full-time position would replace two part-time positions. Annual cost of the position is \$96,024 and will be offset by a reduction in extra-help costs.

#### *Staff Nurses (20)*

A total of 20 new Staff Nurse positions is requested to offset the current use of registry, travel nurses, and overtime. Annual cost of the positions is \$3,188,554 and will be offset by a reduction in costs for contract staffing.

The recommended budget includes 25 new positions to address these requests.

# Hospital Enterprise Fund

## Health Services

### Revenue Summary (From Audited Financial Statements)

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Total Hospital Revenue</b>	<b>\$370,973,824</b>	<b>\$432,869,908</b>	<b>\$413,910,240</b>	<b>\$383,569,562</b>	<b>\$412,224,078</b>
<b>Net Patient Revenue</b>	<b>\$273,279,147</b>	<b>\$298,506,710</b>	<b>\$273,124,618</b>	<b>\$266,359,933</b>	<b>\$323,019,913</b>
<b>Non-Patient Revenue</b>					
<i>AB 915</i>	\$2,257,998	\$2,837,936	\$6,723,146	\$3,133,113	\$3,286,254
<i>SB 1732</i>	3,629,912	5,854,319	3,901,104	2,473,311	0
<i>Realignment</i>	24,428,466	18,764,664	30,552,569	22,599,846	20,942,662
<i>AB 85 Realignment Diversion</i>	(19,892,104)	(18,764,664)	(14,013,135)	(20,099,846)	(18,442,662)
<i>DSH/GPP Funding</i>	16,081,025	21,131,035	22,812,802	19,390,793	10,000,000
<i>DSH-Outpatient</i>	50,340	27,300	17,678	23,076	24,403
<i>Physician SPA</i>	3,861,827	4,244,282	(2,462,203)	1,975,910	2,012,976
<i>Managed Care Intergovernmental Transfer (IGT)*</i>	3,721,130	30,227,553	10,602,791	9,787,424	12,153,064
<i>Quality Incentive Program</i>	N/A	6,540,001	24,418,743	13,877,342	15,261,373
<i>Enhanced Payment Program</i>	N/A	19,910,000	12,246,974	17,421,251	17,409,821
<i>AB 85 Rate Range</i>	17,023,129	10,244,824	9,341,410	8,005,246	10,695,154
<i>DSRIP/PRIME</i>	21,916,617	15,661,128	17,923,341	15,111,598	0
<i>Electronic Medical Record (EMR) Incentive Payment**</i>	(510,721)	0	0	0	0
<i>AB 1383 Hospital Fee***</i>	3,899,007	2,108,234	3,220,873	0	0
<i>Medi-Cal GME Direct &amp; Indirect</i>	N/A	N/A	976,370	2,043,899	2,000,000
<i>Grants and Contract Income</i>	15,177,054	8,456,106	1,819,177	3,652,129	3,678,876
<i>Other County Departments</i>	1,931,752	2,245,864	3,270,692	2,881,097	3,033,286
<i>Interest Income</i>	1,981,192	2,736,563	3,076,467	3,390,836	2,455,868
<i>Other Miscellaneous Revenue</i>	0	0	4,218,770	9,404,551	2,555,037
<i>County Contribution</i>	2,138,053	2,138,053	2,138,053	2,138,053	2,138,053
<b>Total Non-Patient Revenue</b>	<b>\$97,694,677</b>	<b>\$134,363,198</b>	<b>\$140,785,622</b>	<b>\$117,209,629</b>	<b>\$89,204,165</b>
<b>% of Total Hospital Revenue</b>	<b>26.3%</b>	<b>31.0%</b>	<b>34.0%</b>	<b>30.6%</b>	<b>21.6%</b>

\*Managed Care revenue received for multiple fiscal years in 2017-2018.

\*\*EMR incentive payment ended in 2016-2017.

\*\*\*Hospital Fee replaced by Quality Incentive Program.

# Hospital Enterprise Fund

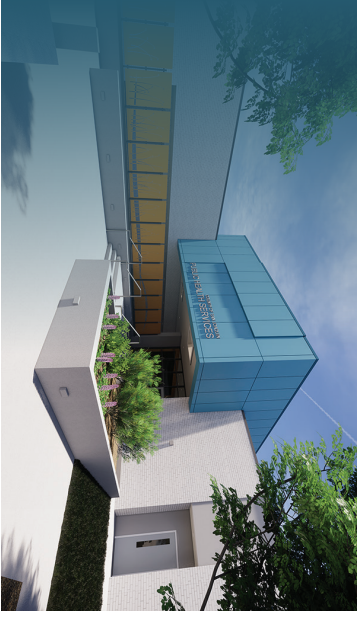
## Health Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Inpatient (Average Daily Census)</b>					
<i>Medical/Surgical</i>	36.4	38.9	36.7	40.2	42.7
<i>Medical Guarded Unit</i>	20.7	20.6	20.8	20.5	20.3
<i>Intensive Care/Cardiac Care</i>	12.1	10.5	12.7	14.5	15.2
<i>Pediatrics</i>	4.0	3.0	4.5	3.4	0.0
<i>Obstetrics</i>	13.6	11.8	12.6	13.4	14.2
<i>Intensive Care Nursery</i>	14.4	13.0	11.2	13.1	13.0
<i>Progressive Care Unit</i>	21.3	20.6	27.0	22.4	23.8
<b>Total Inpatients</b>	<b>122.5</b>	<b>118.4</b>	<b>125.5</b>	<b>127.5</b>	<b>129.2</b>
<b>Newborn Nursery</b>	<b>9.9</b>	<b>8.6</b>	<b>8.8</b>	<b>7.8</b>	<b>9.0</b>
<b>Outpatient (Visits)</b>					
<i>FQHC-LAL</i>	97,185	98,236	114,892	122,840	109,880
<i>Specialty Care Clinics</i>	50,058	50,855	53,525	56,196	58,226
<b>Subtotal Clinic</b>	<b>147,243</b>	<b>149,091</b>	<b>168,417</b>	<b>179,036</b>	<b>168,106</b>
<i>Dialysis</i>	24,827	26,417	25,615	26,923	27,065
<i>Rehabilitation Therapies</i>	30,972	38,610	45,251	45,560	47,910
<i>Emergency Room</i>	45,716	48,135	50,577	49,592	50,469
<i>Subtotal Non-Clinic Outpatient</i>	101,515	113,162	121,443	122,075	125,444
<b>Total Outpatients</b>	<b>248,758</b>	<b>262,253</b>	<b>289,860</b>	<b>301,111</b>	<b>293,550</b>
<b>Average Patients per Day</b>					
<i>Emergency Department</i>	125	132	139	136	138
<i>Clinics</i>	471	481	539	570	537



PROPOSED BUDGET  
**HUMAN SERVICES**



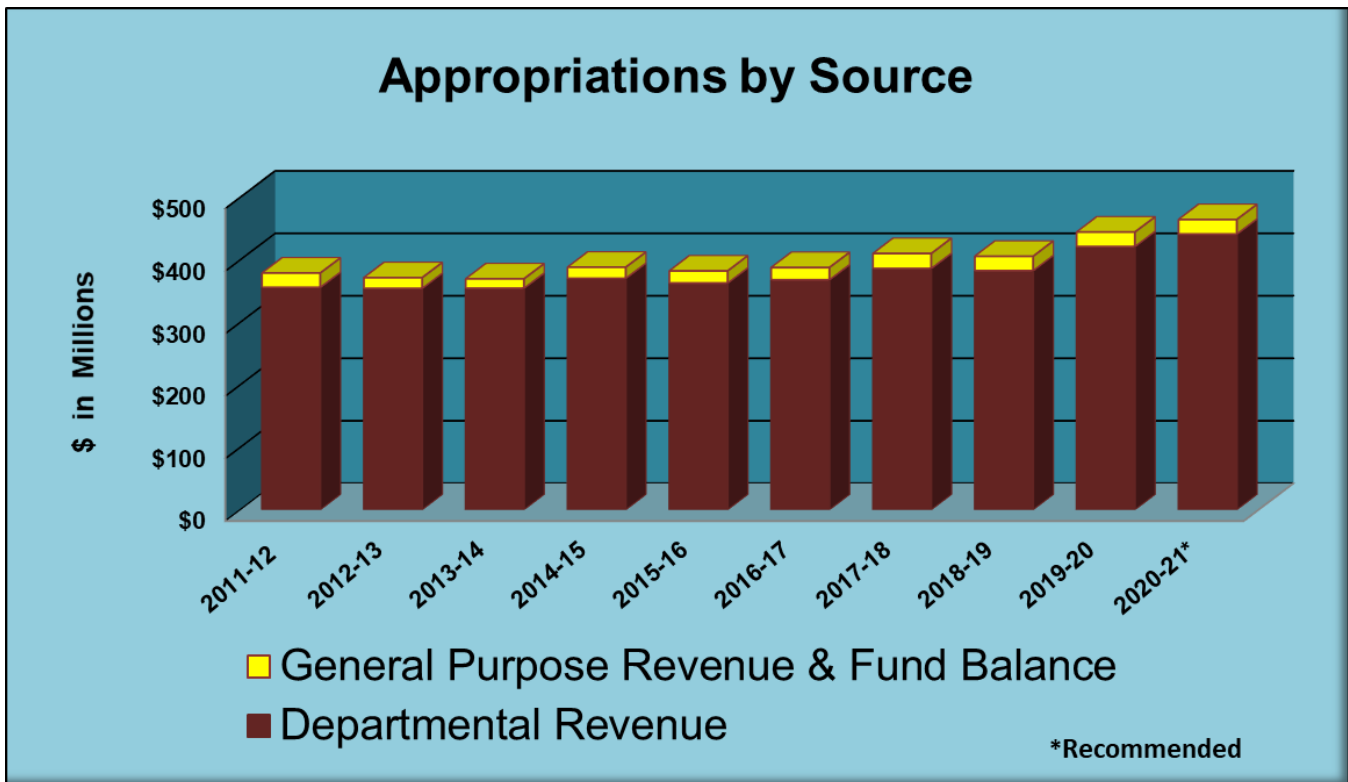
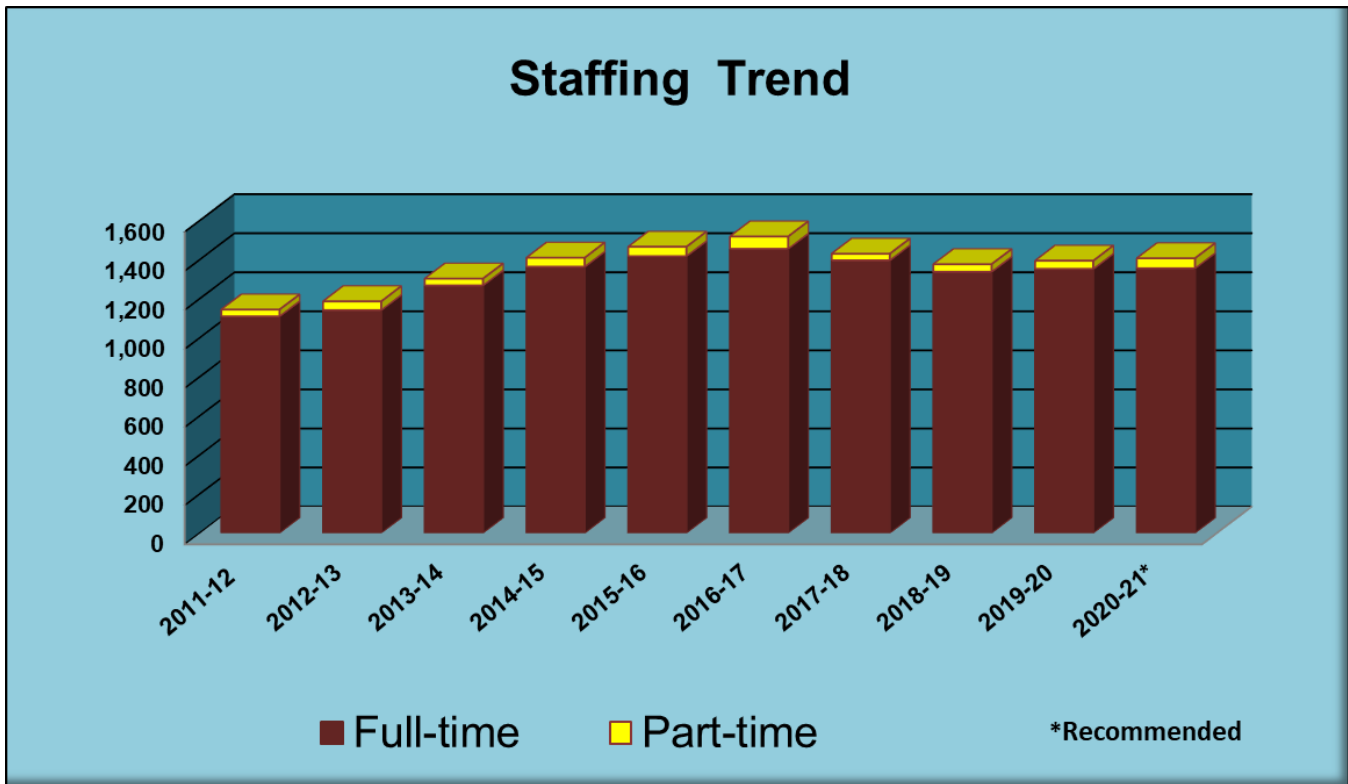
# Human Services

## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Summary — All Human Services Agency Budgets</b>	G-4				
5050101000 Human Services Agency-Administration	G-7	\$391,515,003	\$407,731,779	\$407,731,779	\$16,216,776
5053900000 Mary Graham Children's Shelter	G-17	7,465,330	7,606,844	7,606,844	141,514
5054000000 Community Services	G-21	626,665	938,002	692,444	65,779
5054101000 Aging & Community Services	G-26	10,878,808	11,295,864	11,295,864	417,056
5053300000 Burials	G-33	35,000	0	0	(35,000)
5055103000 Employment & Economic Development	G-34	15,642,271	16,083,970	16,083,970	441,699
5055246000 Neighborhood Preservation	G-42	17,646,167	20,370,088	20,370,088	2,723,921
5055600000 Veterans Services Office	G-46	467,760	524,873	524,873	57,113
<b>Total - Human Services</b>		<b>\$444,277,004</b>	<b>\$464,551,420</b>	<b>\$464,305,862</b>	<b>\$20,028,858</b>

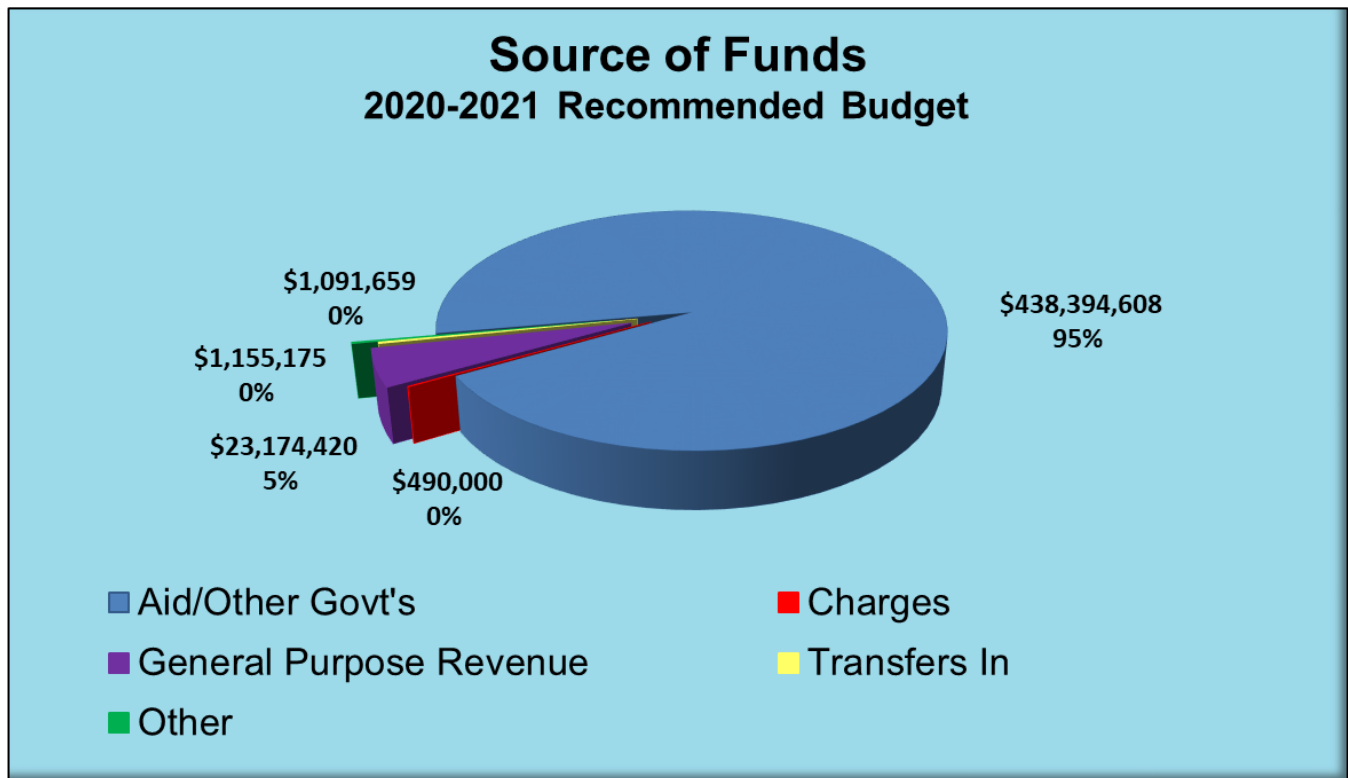
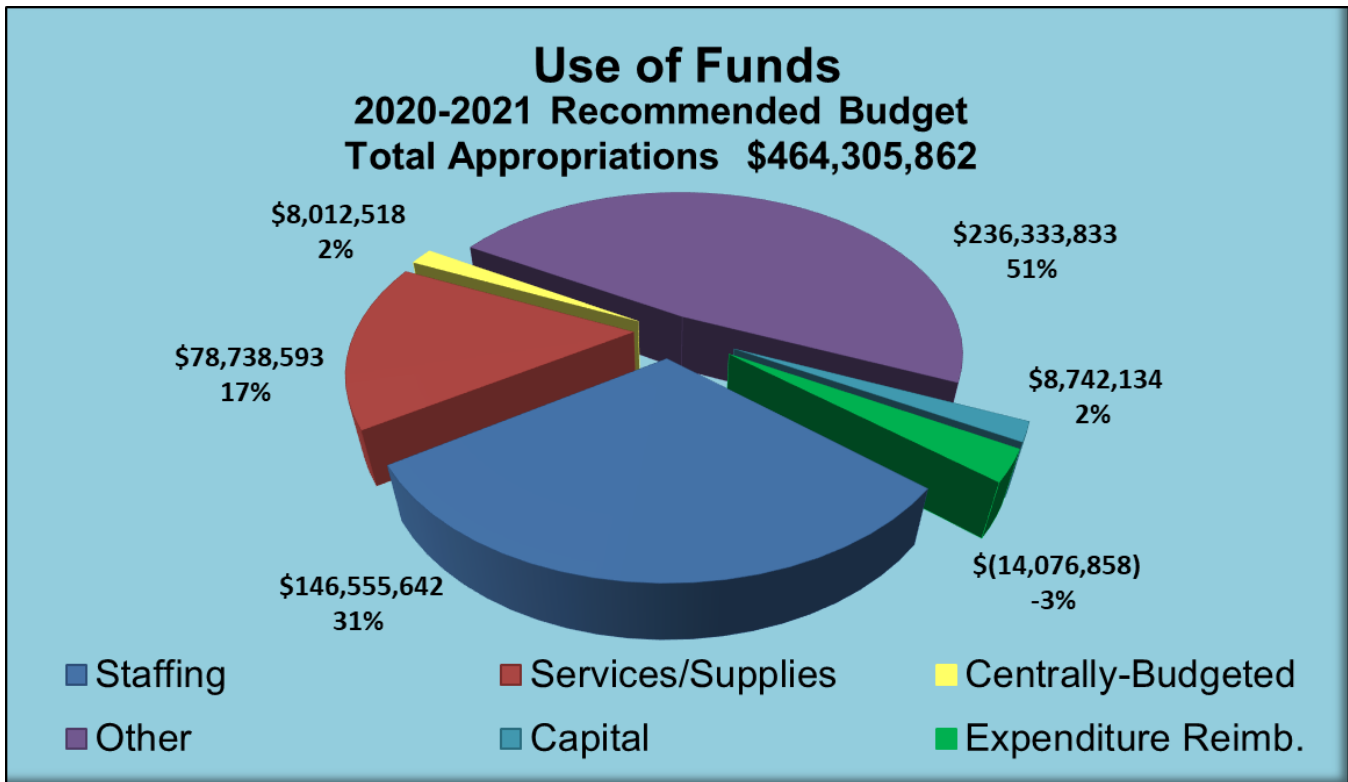
# Human Services

## Appropriations



# Human Services

## Appropriations





# Summary

## All Human Services Agency Budgets

Chris Woods, Human Services Director

	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$118,220,950	\$136,154,151	\$138,088,469	\$138,088,469	\$1,934,318
Services & Supplies	49,463,558	63,482,725	67,668,131	67,668,131	4,185,406
Centrally-Budgeted Expenses	6,749,498	5,427,497	7,247,312	7,247,312	1,819,815
Other Charges & Uses	173,477,554	207,750,516	216,787,666	216,787,666	9,037,150
Capital Expenditures	93,052	7,319,439	8,124,708	8,124,708	805,269
Operating Transfers Out	0	220,000	0	0	(220,000)
Clearing Accounts	61,338	0	0	0	0
<b>Total Expenditures</b>	<b>\$348,065,950</b>	<b>\$420,354,328</b>	<b>\$437,916,286</b>	<b>\$437,916,286</b>	<b>\$17,561,958</b>
Expenditure Reimbursements	(6,621,243)	(8,681,330)	(9,495,275)	(9,495,275)	(813,945)
<b>Total Appropriations</b>	<b>\$341,444,707</b>	<b>\$411,672,998</b>	<b>\$428,421,011</b>	<b>\$428,421,011</b>	<b>\$16,748,013</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$9,877	\$11,275	\$10,775	\$10,775	(\$500)
Aid From Other Governments	321,931,407	385,876,419	402,581,283	402,581,283	16,704,864
Miscellaneous Revenues	632,106	767,841	732,941	732,941	(34,900)
Operating Transfers In	2,954,711	2,886,257	2,819,699	2,819,699	(66,558)
<b>Total Revenues</b>	<b>\$325,528,101</b>	<b>\$389,541,792</b>	<b>\$406,144,698</b>	<b>\$406,144,698</b>	<b>\$16,602,906</b>
<b>Net County Cost</b>	<b>\$15,916,606</b>	<b>\$22,131,206</b>	<b>\$22,276,313</b>	<b>\$22,276,313</b>	<b>\$145,107</b>
<b>Staffing</b>					
Allocated Positions	1,268.0	1,282.0	1,285.0	1,285.0	3.0
Temporary (Full-Time Equivalent)	32.9	32.2	37.2	37.2	5.0
<b>Total Staffing</b>	<b>1,300.9</b>	<b>1,314.2</b>	<b>1,322.2</b>	<b>1,322.2</b>	<b>8.0</b>

This is a summary of the five budgets under the direct control of the Human Services Agency (HSA). These include:

- 4049100000 Children & Families Program (First 5)
- 5050101000 HSA - Administration
- 5053900000 Mary Graham Children's Shelter
- 5054000000 Community Services
- 5054101000 Aging & Community Services

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Human Services Agency (HSA) focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

# Summary

## Human Services

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### Ensure Fiscal Responsibility

HSA requests County funds in a manner that leverages County resources to obtain the maximum amount of State and Federal matching dollars, and does not request an over-match of County funds in order to actively manage its resources, and to maximize services to County residents.

HSA will administer the 1991 Social Services Realignment dollars in a manner consistent with the legislative intent of 1991 Realignment, including the following:

1. \$350,000 for the California Children’s Services program administered by Public Health Services.
2. 100% of the County share of cost for the HSA Administration budget.
3. 100% of the County share of cost for Probation Foster Care expenditures reported on the County Expense Claim.
4. 55% of the non-Federal share for Foster Care Assistance.
5. 25% of the non-Federal share for Adoption Assistance.
6. The budgeted amount for In-Home Supportive Services (IHSS) budget.
7. Up to \$500,000 shall be deposited into the HSA Capital Replacement Fund.
8. The remaining funds, if any, shall be journalized to the IHSS budget.

HSA works diligently to ensure benefits and services are provided to residents meeting strict programmatic requirements. This effort is demonstrated by the Agency’s commitment to staff training related to programmatic changes and fraud detection, as well as an ongoing partnership between the Agency and the District Attorney’s Office to investigate and prosecute public assistance fraud.

Operational funds for programs are provided by State and Federal grants. The Agency’s use of grant funds is monitored for adherence to the grant and audit requirements and cost principles established by the Federal Office of Management and Budget, an oversight agency. Policies and procedures are reviewed annually and updated as needed. Programs are administered both directly by County staff and through subcontracts. The Agency also maintains enhanced fiscal monitoring of subcontractors to ensure high-quality oversight of agencies that are providing services for the Agency. Oversight includes site visits, review of Agency audits, and ongoing technical review.

### Promote Good Governance and Increase Organizational Capabilities

HSA has worked to develop an effective and flexible workforce in order to provide growth and opportunity for its employees. The Agency continues to partner with nonprofit agencies to expand services to under-served populations. The passage of the Board’s “Strategic Priorities on Homelessness” allows the Agency to advance partnerships that promote collaboration, and develop new strategies to address the issues facing the homeless community in the County. The Agency is collaborating with the Central Valley Low-Income Housing Corporation to ensure accurate and complete data regarding homeless clients served by the Agency is entered into the Homeless Management Information System, an information technology system that is used to collect client-level data; and data on the provision of housing and services to homeless individuals, families, and persons at-risk of homelessness.

### Improve Public Safety, and Enhance Overall Criminal Justice System

The Agency partners with the Probation Department to assist with the implementation of Assembly Bill (AB) 109. HSA has enhanced available funding to clients by administering the Transitional Housing Program for the AB 109 population.

Public safety at the Community Centers will continue to be enhanced in 2020-2021 by additional video surveillance in public areas. Security cameras will be used to improve the visual coverage of the facilities, assist law enforcement, and assist in protecting assets.

After-school programs offered at the Community Centers, including the Recreation Program, serve many functions from curbing risky behaviors, such as drug abuse or gang activity, to providing positive interaction with adults and peers.

HSA continues to develop new partnerships focused on prevention programs in the community, including promoting, supporting, and improving early childhood development activities for children up to five years of age.

### Promote Economic Development

The Agency promotes economic wellness through programs including CalWORKs, which offers job training and subsidized employment. Community Centers offer a host of programs and seminars on important topics, such as fiscal stability, from which the community can participate and learn.

# Summary

## Human Services

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HSA programs directly support economic development by providing support and financial relief to families in need, including, but not limited to: food, emergency clothing, utility bill assistance, energy-saving measures, after-school activities, tax preparation, and other benefits provided through social services programs. HSA works with clients to remove some of the barriers to employment through job search, referrals to other agencies, and case management services. Helping clients build a path to independence and self-sufficiency is an Agency priority, and this goal supports the economic health of the residents of San Joaquin County.

### Homelessness Metrics

As directed by the County Board of Supervisors, HSA reviewed their caseload data to report on homelessness metrics and found the following:

- The CalWORKs Permanent Homeless Assistance Program pays the security deposit, often times including the last month of rent, as well as the utility

deposit if necessary, for permanently homeless CalWORKs families. HSA served 224 families through this program in 2018-2019, and projects the caseload will increase to 252 families in 2019-2020, and 276 families in 2020-2021. These cases have been removed from homeless status.

- In 2018-2019, approximately 10,200 HSA cases listed the County Welfare Department as their official address for receiving correspondence. Although some of these cases may actually be homeless, such as living in a shelter, on the street, or out of a car, many may be “couch surfing” and moving between different housing situations with family and friends. These 10,200 cases with housing instability represent approximately 11.6% of the total Medi-Cal caseload for San Joaquin County. Data such as this listing of cases using the Welfare Department as the address for correspondence is the historical data that HSA uses for tracking any type of housing issues among HSA clients.

# 5050101000—Human Services Agency-Administration

## Human Services

Chris Woods, Human Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$105,966,971	\$122,188,438	\$123,937,351	\$123,937,351	\$1,748,913
Services & Supplies	43,572,935	56,545,880	60,426,706	60,426,706	3,880,826
Centrally-Budgeted Expenses	5,765,432	4,544,185	6,574,166	6,574,166	2,029,981
Other Charges & Uses	173,475,527	207,735,516	216,782,666	216,782,666	9,047,150
Capital Expenditures	93,052	7,186,899	7,804,708	7,804,708	617,809
Clearing Accounts	61,338	0	0	0	0
<b>Total Expenditures</b>	<b>\$328,935,255</b>	<b>\$398,200,918</b>	<b>\$415,525,597</b>	<b>\$415,525,597</b>	<b>\$17,324,679</b>
Expenditure Reimbursements	(4,787,964)	(6,685,915)	(7,793,818)	(7,793,818)	(1,107,903)
<b>Total Appropriations</b>	<b>\$324,147,291</b>	<b>\$391,515,003</b>	<b>\$407,731,779</b>	<b>\$407,731,779</b>	<b>\$16,216,776</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$312,442,604	\$374,379,656	\$390,655,255	\$390,655,255	\$16,275,599
Miscellaneous Revenues	509,920	500,000	500,000	500,000	0
Operating Transfers In	4,688	0	0	0	0
<b>Total Revenues</b>	<b>\$312,957,212</b>	<b>\$374,879,656</b>	<b>\$391,155,255</b>	<b>\$391,155,255</b>	<b>\$16,275,599</b>
<b>Net County Cost</b>	<b>\$11,190,079</b>	<b>\$16,635,347</b>	<b>\$16,576,524</b>	<b>\$16,576,524</b>	<b>(\$58,823)</b>
<b>Staffing</b>					
Allocated Positions	1,151.0	1,165.0	1,169.0	1,169.0	4.0
Temporary (Full-Time Equivalent)	1.8	1.8	2.7	2.7	0.9
<b>Total Staffing</b>	<b>1,152.8</b>	<b>1,166.8</b>	<b>1,171.7</b>	<b>1,171.7</b>	<b>4.9</b>

## Purpose

The Human Services Agency (HSA) provides State and Federal-mandated public assistance and a variety of social service programs for the citizens of San Joaquin County. Programs include:

- California Work Opportunity and Responsibility to Kids (CalWORKs)
- Foster Care
- CalFresh
- General Assistance (GA)
- Medi-Cal
- Adoption Assistance
- Child Protective Services

- Adult Protective Services (APS)
- In-Home Supportive Services (IHSS)
- Refugee Cash Assistance
- Mary Graham Children's Shelter

Federal and State legislation and local regulations govern the methods by which resources of the Agency are allocated.

This budget narrative reflects the combined administrative and financial assistance program costs and funding for the public assistance programs administered by HSA. The HSA projected program caseloads were developed prior to the onset of 2019 Novel Coronavirus (COVID-19). Accordingly, the HSA budget may need to be adjusted to address future human services caseload increases attributable to the economic impacts of COVID-19. Moreover, there may be substantial changes to State and Federal funding which may require further budget adjustments.

# 5050101000—Human Services Agency-Administration

## Human Services

Program	Budget Unit
Administration	5050101000
CalWORKs	5050106000
Foster Care	5050107000
Adoption Assistance	5050108000
General Assistance	5050110000
In-Home Supportive Services	5050118000
Temporary Homeless Shelter	5050132000
Refugee Cash Assistance	5050144000

### Major Budget Changes

#### Salaries & Employee Benefits

- \$1,331,504 Salary and benefits adjustments.
- \$362,136 Add three Social Workers and an Office Supervisor.
- \$55,273 Increase in extra help.

#### Services & Supplies

- \$2,317,023 Increase in costs for recently established Housing Support and Home Visitation programs.
- \$2,051,617 Increase in child welfare contracted services.
- \$660,400 Increase in costs to upgrade office software.
- \$331,975 Increase in emergency child-care bridge costs.
- \$240,626 Increase in security costs.
- \$124,000 Increase in County Counsel charges for child welfare.
- \$106,282 Increase in facility rent/lease costs.
- (\$898,602) Decrease in computer components and software licenses.
- (\$571,038) Decrease in costs in the CalWORKs/Welfare-to-Work program.
- (\$318,804) Decrease in funding and associated costs for mental health and substance abuse services for CalWORKs clients.
- (\$149,995) Decrease in project consulting costs.

#### Centrally-Budgeted Expenses

- \$1,153,246 Increase in data processing charges.
- \$360,545 Increase in Workers' Compensation and Casualty insurance costs.
- \$516,190 Cost Allocation Plan adjustments.

#### Other Charges

- \$4,298,460 Increase in CalWORKs payments.
- \$2,428,580 Increase in Foster Care program costs.
- \$2,231,702 Increase in Adoptions Assistance costs.
- \$242,756 Increase in CalWORKs Temporary Homeless Shelter costs.
- \$95,652 Increase in IHSS-related program costs.
- (\$250,000) Decrease in General Assistance payments.

#### Expenditure Reimbursements

- \$1,107,903 Increase in reimbursements from the State for contracted services related to Foster Care.

#### Revenues

- \$7,169,626 Increase in Federal and State funds.
- \$4,190,998 Increase in Federal and State CalWORKs reimbursements.
- \$2,069,960 Increase in Federal and State Foster Care reimbursements.
- \$1,994,399 Increase in Federal and State Adoptions Assistance reimbursements.
- \$616,920 Increase in Federal and State IHSS funding.
- \$233,696 Increase in Federal and State CalWORKs Temporary Homeless Assistance reimbursements.

#### Capital Expenditures

- \$3,500,000 Heating, Ventilation, and Air Conditioning Systems replacement.

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- \$1,850,212 Reconfigure workstations (364).
- \$880,000 Server storages (3).
- \$510,000 Network improvements.
- \$300,000 Key card upgrade.
- \$300,000 Firewall upgrade.
- \$120,000 Lighting program.
- \$72,496 Door upgrades.
- \$72,000 Network blades (8).
- \$50,000 Counter shields.
- \$50,000 Backup system for computer network.
- \$45,000 Digital storage network.
- \$20,000 Printer upgrades.
- \$20,000 Print shop equipment.
- \$15,000 Ice machine.

### Program Discussion

The 2020-2021 recommended budget for Human Services Agency (HSA) totals \$415,525,597, which is an increase of \$17,324,679 from the 2019-2020 adjusted budget.

#### Administration - \$198,598,451

The Administration budget contains administrative costs for the operation of all Agency programs and totals \$198,598,451, which is an increase of \$8,277,529 from 2019-2020. This increase is primarily due to, new program costs for Housing Support and Home Visitation (\$2,317,023), increase in child welfare contracted costs (\$2,051,617), salary and benefits adjustments (\$1,331,504), data processing charges (\$1,153,246), and an increase in capital expenditures (\$617,809).

The recommended budget includes the addition of three Social Worker I/II positions and one Office Supervisor position for the In-Home Supportive Services (IHSS) program. San Joaquin County has approximately 7,000 care receivers and 6,000 caregivers who utilize the IHSS program. The IHSS program has experienced significant caseload growth and statewide, caseload growth is anticipated to increase 4.5% in 2020-2021. Due to changes in the funding structure, the number of allocated positions was reduced resulting in the inability to meet mandated program service levels set by the State.

#### 2019 Novel Coronavirus (COVID-19)

The COVID-19 pandemic will impact the delivery of services and costs throughout HSA. The University of the Pacific Eberhardt School of Business has estimated there may be 56,000 jobs lost in San Joaquin County as a result of the pandemic and the related shelter-in-place orders. Beginning in March 2020, HSA began to experience an increase in CalWORKs, Medi-Cal, and CalFresh caseloads. This trend is expected to continue throughout 2020.

Due to the Courts being closed, HSA is unable to finalize adoptions which is resulting in more Foster Care cases. When the Courts re-open, it is anticipated that adoption finalizations will significantly increase as the Courts work through the delays.

Another impact of the Court closure is that HSA is unable to complete guardianships or dismiss dependency on other cases. This also results in an increase in Foster Care cases. The Governor has signed an executive order that temporarily permits youth in Extended Foster Care (EFC) to continue to receive payment past their 21st birthday. This will cause an increase in the amount of EFC payments for 2020-2021.

Finally, call volume has dropped significantly on the child protective services hotline since the COVID-19 crisis began. Once the shelter-in-place order is lifted, it is anticipated that these calls will increase as schools reopen and regular activity recommences.

#### COVID-19 and Realignment Impact

In 1991 and 2011, the State Legislature realigned programs from the State to local governments and these are known as 1991 and 2011 Realignment. Realignment funds critical programs at the local level including health, behavioral health, public safety, and social services. While these funding sources are protected under the Constitution from being used for other purposes it does not protect them against dips in the economy since these are tied to the Sales Tax and the Vehicle License Fees. In addition, because Realignment is funded through Sales Tax and the State provided for a deferral of sales tax payments provided to small businesses, the impact to Realignment revenues and to human services could be significant. Estimates from the California Department of Tax and Fee Administration projected a decrease of \$3 billion due to this action.

The Governor's May Revision updates revenue assumptions for 1991 Realignment and 2011 Realignment. Due to the impacts of COVID-19, Sales and Use Tax revenue is expected to sharply decline and reduce Realignment funding.

For Social Services, the total of the 1991 Realignment Social Services sales tax and VLF subaccounts is estimated to only total \$2.23 billion (\$335 million short of base funding). For

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2011 Realignment, the Protective Services Subaccount is estimated to only total \$2.02 billion (\$375 million short of base funding). Consequently, there is also no growth estimated for 2019-20 that would cover 1991 caseload growth or provide 2011 growth revenues.

The precipitous drop in overall Realignment revenues and eradication of growth funding has significant implications for social services provided by counties, especially for child welfare, adult protective services, and extended foster care, as counties will need to continue to meet entitlements and Maintenance of Efforts (MOE).

While the State Legislature has indicated their intention to protect the safety net, the Governor's proposed May Revision includes trigger cuts affecting much of social services, similar to cuts during the last recession in 2008.

### Project RoomKey

Under the Governor's Executive Order N-32-20 and Senate Bill 89 emergency legislation funding was established to help California fight COVID-19. This included support to local governments to protect the health and safety of homeless populations and to mitigate the spread of COVID-19 by providing isolation capacity for homeless individuals through non-congregate sheltering (NCS). NCS is viewed as the most effective method to provide isolation capacity to protect sick and medically vulnerable homeless populations. This is now known statewide as "Project Roomkey."

The goal of this effort is to provide NCS options for people experiencing homelessness, to protect human life, and to minimize strain on health care system capacity. Individuals lacking stable housing are high utilizers of hospital resources, including emergency room (ER) beds. Statewide data indicates homeless populations are more likely to use hospital ERs, sometimes making up to 20 to 30 percent of all adult ER visits. In addition, homeless individuals are admitted to inpatient units 5 times more often and have average lengths of stay that are longer than individuals with stable housing.

Project Roomkey is designed to serve the following homeless populations:

- Individuals who are asymptomatic but are at high-risk, such as people over 65 or who have certain underlying health conditions;
- Individuals who have been exposed to COVID-19 (as documented by a state or local public health official, or medical health professional) that do not require hospitalization, but need isolation or quarantine; and
- Individuals who are COVID-19 positive, but do not need hospitalization.

A task force led by the County Human Services Agency and General Services Departments, and comprised of various County Departments (Office of Emergency Services, Health Care Services, District Attorney's Office, Public Health, County Administrator's Office, and San Joaquin General Hospital), staff from the City of Stockton, Community Medical Center, and Dignity Health, convened to develop an operations plan to implement and support Project Roomkey within San Joaquin County. The operations plan identifies and screens which persons are prioritized for emergency housing options based on Federal Emergency Management Agency (FEMA) guidelines. Additionally, Project Roomkey specifies that Counties are responsible for providing transportation to the emergency housing sites, site management, and essential wraparound health services including behavioral health services. Contracted security services and local law enforcement will also support the operation.

On April 18, 2020, the Governor announced a partnership with Motel 6 to make available hotel rooms for Project Roomkey Statewide. The County and G6 Hospitality LLC, doing business as Motel 6, negotiated an occupancy agreement based upon a State-approved template. The agreement allows for housing of non-COVID-19 positive, at-risk homeless individuals within 76 rooms at the Motel 6 located at 817 Navy Drive in Stockton.

The term for the lease occupancy agreement is from May 5, 2020, to June 30, 2020. The rent per unit will be \$69.00 per unit per day (not including taxes and fees) until June 30, 2020. If the County extends the term, the rent will increase to \$75.00 per unit per day. The rental rate has been determined to be at fair market rate through market data analysis and evaluation of comparable properties within the rental area. The County guarantees a minimum occupancy level of 50 percent throughout the duration of the agreement. As of May 18, 2020, there were 42 tenants at the property. The County has retained the property owner's contracted security services to provide 24/7 coverage. HSA, in coordination with various County departments, provides welcome packets, transportation to the location, hygiene supplies, snacks, three meals per day, laundry services for personal clothing, and once per day check-in calls to each room for each guest for the duration of their stay. The estimated cost of operational and supportive services for the period of May 5, 2020 through June 30, 2020 is \$499,510.

The total estimated cost to implement the Motel 6 component of Project Roomkey in San Joaquin County is \$798,418 for the period of May 5, 2020, through June 30, 2020. The County is responsible for any costs necessary to restore the hotel premises to their original "as-is" condition as well as any potential liability stemming from the conduct of the guests. These costs are unknown at this time, but could result in significant financial obligations for the County. Also, the

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overall County fiscal impact for Project Roomkey would increase should additional hotel lease occupancy agreements be executed. However, these costs could be reimbursed through Federal Coronavirus Aid, Relief, and Economic Security (CARES) Act funding.

Funding identified and made available for Project Roomkey include SB 89 allocations to the County (\$471,729), Continuum of Care (CoC) (\$102,547), and City of Stockton (\$650,000). Each funding source will contribute a proportionate share of SB 89 funding for the Motel 6 component of Project Roomkey expenses, with the County share of \$307,640, the CoC share of \$66,877, and the City of Stockton share of \$423,901 totaling \$798,418.

### Agency Structure

HSA is organized into five bureaus: Income Maintenance; Children's Services; Employment and Youth Services; Aging and Community Services; and Administrative Services.

#### *Income Maintenance Bureau*

The Income Maintenance Bureau is responsible for determining, issuing, and maintaining the correct and timely Federal and State-mandated benefits for the CalWORKs, CalFresh, Medi-Cal, Foster Care, and Refugee Assistance programs.

HSA experienced a steady increase in CalFresh caseload beginning June 1, 2019, and this trend is expected to continue in 2020. While the CalFresh caseload is increasing, CalWORKs and Medi-Cal caseloads were expected to decline in 2020 prior to the unexpected pandemic and associated economic impacts. With the onset of COVID-19, there have been increases in CalWORKs, Medi-Cal, and GA applications which are expected to continue into 2020-2021.

HSA continues to collaborate with community partners to conduct outreach to potentially eligible households and to assist with benefit program application and renewal processes. HSA has also conducted community outreach and enrollment by leveraging the network of Community Centers operated by HSA's Aging and Community Services Bureau. Eligibility Workers are co-located at the Boggs Tract, Garden Acres, Kennedy, Larch Clover, Lodi, and Northeast Community Centers to provide County residents with increased access to the CalWORKs, CalFresh, and Medi-Cal programs. In collaboration with San Joaquin General Hospital (SJGH), HSA will continue to provide enhanced access to the Medi-Cal program by providing a Medi-Cal eligibility unit to process Medi-Cal applications for patients served at SJGH, including inmates that receive acute inpatient hospital services. Additionally, an Eligibility Worker rotates at Channel Medical Center, Woodbridge Medical Center, and Tracy Care Center to provide Medi-Cal application assistance to patients at those clinics.

#### *Children's Services Bureau*

The Children's Services Bureau is responsible for services that ensure the safety, permanency, and well-being of children who have been abused or neglected. The major components of the Children's Services programs are Child Abuse Prevention, Emergency Response, Family Preservation, Family Maintenance, Family Reunification, Permanent Placement, Adoption, and Independent Living. Each component requires specific in-person service delivery by Social Worker staff to prevent or remedy neglect or abuse. State law requires Children's Services agencies to investigate reports of child maltreatment either within 24 hours or within 10 days, based upon the severity of the allegations and risk to the child. When Children's Services receives a report of suspected child abuse or neglect, Social Workers investigate the report to determine if the alleged child maltreatment is substantiated as defined in State law. Substantiated reports require further action and can result in either the provision of supportive services to the child and family, or if there is imminent risk to the child's safety, the removal of the child from the home.

Assembly Bill (AB) 403 was signed into law on October 11, 2015, and provided the statutory and policy framework to ensure services and supports are child-centered and tailored toward the ultimate goal of maintaining stable permanent families. AB 403 mandates substantial steps to achieve improvements in child experiences and outcomes by ensuring that Resource Families receive targeted training and support to better prepare them to meet the needs of vulnerable children in the foster care system and to ensure children are able to be cared for in high-quality, home-based family care environments. The legislation also advances California's long-standing goal to move away from the use of long-term group home care by increasing youth placement in family settings and by transforming existing group home care into an environment where youth who are not ready to be placed with families, can receive short-term intensive treatment geared towards transitioning them to family-based care.

The Continuum of Care Reform adopted through AB 403, includes the mandate to conduct Child and Family Team meetings within 60 days of a child's removal from the home, and then at least every six months thereafter while the Agency is providing services to the family. The purpose of these meetings is to invite family members, service providers, and other support people to discuss the strengths and safety concerns for each family and to incorporate their voices in the case service plan.

Ongoing case management services assist in the development of basic life skills of the family to keep the family intact or to develop alternate family structures when the child cannot safely remain in the home. Approximately 12 months of services are provided to children who remain safely in the



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home while the family receives services. If it is determined that a child cannot remain in the home, even with Intensive Family Preservation and support services, then foster placement is arranged in the most family-like setting consistent with the best interests of the child. Up to 18 months of services are provided to children and their families when a child has been removed from the home and the family is making progress toward reunification. When a child cannot be returned to a safe home after services have been delivered, the child must be provided with a family-like living arrangement as soon as possible.

Adoption services provide permanency options for children who are unable to be reunified with their birth parents. The Independent Living Program provides foster youth with education and other supportive services designed to help transition youth from foster care to living independently. Federal and State laws require Social Workers to visit foster children on a monthly basis. AB 12 (2010) was passed to improve the outcomes for youth in foster care by extending assistance to eligible youth that remain in foster care up to age 21.

In conjunction with the Court Appointed Special Advocates (CASA), HSA will fund the CASA team to complete family finding efforts for youth who are placed in long-term foster care or planned permanent living arrangements. The CASA will contact the CPS Social Worker to assist in the family finding efforts and discuss new contacts with the Social Worker before making any attempts to connect a youth with a new contact. The CPS Social Worker is the gatekeeper of information about the youth, and safety of the youth remains the number one priority for HSA.

### *Employment and Youth Services Bureau*

The Employment and Youth Services Bureau provides employment, education, and training services under the CalWORKs program. Federal and State assistance available to CalWORKs participants is subject to time limits of no more than 24 months for able-bodied adults, with stringent requirements for participation in Welfare-to-Work (WTW) activities leading to self-sufficiency. Participation includes job preparation and placement services, work experience, employment activities, vocational training and education, mental health and substance abuse treatment, and referrals to community services. The WTW program provides 24 months of flexibility for CalWORKs clients to receive a wide array of services to enter and remain in the workforce. Participants may be eligible for assistance beyond the time limit if specific criteria is met, including meeting the Federal Work Participation Rate, or a qualifying exemption.

To help facilitate successful outcomes for CalWORKs participants, the CalWORKs Outcomes and Accountability Review (Cal-OAR) and CalWORKs 2.0, will continue the

implementation process during 2020-2021. On June 27, 2017, Senate Bill (SB) 89 was signed into law to establish, Cal-OAR, a local accountability system that facilitates continuous improvement of County CalWORKs programs by collecting and disseminating data and best practices. The Cal-OAR consists of three main components: performance indicators, a CalWORKs County self-assessment process, and a CalWORKs County system improvement plan, including a peer review component. CalWORKs 2.0 is a County Welfare Director's Association (CWDA) led effort to move California's most vulnerable families forward using a goal-achievement service delivery framework. CWDA continues to work with counties to develop tools, resources, and best practices for continuous and sustainable program improvements.

The Family Stabilization (FS) component of the CalWORKs program provides intensive case management and services to clients meeting AB 74 (2013) criteria. FS is designed to ensure a basic level of stability within a family prior to, or concurrently with, participation in WTW activities. The FS goal is to increase client success to achieve self-sufficiency. If at any point during a recipient's WTW activities, the County determines a family is experiencing an identified situation and/or crisis that is destabilizing the family and could interfere with adult clients' program participation, the recipient would be eligible for FS services.

Subsidized employment has been a critical path to self-sufficiency for CalWORKs clients. The Expanded Subsidized Employment program further emphasizes subsidized employment as a Statewide strategy to transition participants to the workforce. HSA has long-standing partnerships with the Greater Stockton Chamber of Commerce (Chamber) and the Employment and Economic Development Department to provide the Stockton Chamber Apprenticeship Program (SCAP), a subsidized employment program offered to CalWORKs participants. This partnership has developed successful strategies to link clients with employers, including robust employer outreach and recruitment, job development, employer screening, job matching, and ultimately job placement. Under SCAP, the Chamber identifies potential job openings in the area and works with the case managers to place CalWORKs participants with the appropriate employers. Employers that hire CalWORKs participants through SCAP may be reimbursed for up to 50% of the wages paid for up to six months. The employer is expected to retain the participant upon successful completion of SCAP.

In December of 2018, HSA was initially awarded \$2.4 million over 17 months to implement a Home Visitation Program (HVP) for CalWORKs participants (\$800,000 for 2018-2019, and \$1.6 million for 2019-2020). The San Joaquin County HVP focuses on health education using the Parents as Teachers curriculum, and addresses literacy, financial

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planning, and increased work participation rates. The program, known locally as FamilyWORKs, coordinated for HSA by First 5 San Joaquin is anticipated to serve 330 families in 2019-2020, with the additional HVP funding of \$852,657 received for 2019-2020. The FamilyWORKs program is projected to serve approximately 330 families in 2020-2021 with funding in the amount of \$2.5 million.

On October 14, 2019, the State awarded HSA funding to implement a CalWORKs Housing Support Program (HSP) in San Joaquin County. The HSP provides homeless CalWORKs families with housing navigation and case management services. The program, known locally as HousingWORKs, coordinated for HSA by First 5 San Joaquin, began in December of 2019 and will place an estimated 45 families into permanent housing by June 30, 2020. The HousingWORKs program is projected to continue in 2020-2021 with new State funding in the amount of \$1.2 million and is anticipated to house an additional 120 families.

The AB 109 (2011) Transitional Housing Program is a collaborative effort with the Probation Department to provide transitional housing for newly released individuals and probationers. This program also provides employment training services to clients in an effort to improve their chances for securing permanent housing through sustained employment. Specifically, HSA partners with community-based sober living programs as a way to provide housing to transient clients. Multiple apartment complexes in San Joaquin County accept the AB 109 funding as rent for clients who qualify.

The General Assistance (GA) Division determines eligibility for the GA Program, which is intended to provide support to indigent adults who are not supported by their own means, other public funds, or other assistance programs. The GA Division includes the responsibility of the AB 109 program and the AB 720 (2013) program. As a result of AB 109, HSA will continue to work collaboratively with the Probation Department to enroll newly-released individuals and probationers in the CalFresh and Expanded Medi-Cal programs by co-locating Eligibility Workers at the assessment center. In addition, with the enactment of AB 720, HSA is working collaboratively with the Sheriff's Office to enroll pre-sentence inmates and inmates that receive acute inpatient hospital services in Medi-Cal. The GA Division also works with the Sheriff and local prison authorities to coordinate a pre-release program to begin the application process for Expanded Medi-Cal to shorten or eliminate any break in medical coverage upon release from jail or prison.

The GA Division also operates the CalFresh Employment and Training (E&T) Program for Non-assistance CalFresh applicants and recipients. Non-assistance recipients are those who receive CalFresh benefits each month but do not receive a monthly cash grant under the CalWORKs program. The

E&T Program was developed to comply with Federal legislation. Funding is allocated by the United States Department of Agriculture, Food and Nutrition Services through the California Department of Social Services (CDSS). Participating counties receive 100% Federal funding based on their average monthly CalFresh caseload and are eligible to receive 50% reimbursement on all allowable expenditures, above the initial awarded allocation, as approved in the E&T plan submitted by each participating county.

### *Aging and Community Services Bureau*

The Aging and Community Services Bureau provides mandated services to abused, neglected, exploited, and/or disabled adults to prevent or reduce inappropriate institutional care. The Bureau strives to help individuals achieve and maintain the highest level of independence, self-support, and self-sufficiency within the range and scope of their abilities. Mandated services for adults include Information and Referral, IHSS, and APS.

IHSS provides services to help elderly and disabled persons so that they are able to remain in their homes. To be eligible, clients must be over 65 years of age, disabled or blind and meet resource limits for the program. IHSS is considered an alternative to out-of-home care, such as nursing homes or board and care facilities. The IHSS caseload continues to grow as the number of eligible IHSS recipients has increased due to people living longer and to a larger number of eligible Medi-Cal clients.

The recommended budget for 2020-2021 adds three new Social Worker I/II positions and an Office Supervisor to address the increased caseload in the IHSS program.

### *Administrative Services Bureau*

The Administrative Services Bureau provides support services throughout HSA related to policies and procedures; staff development and training; information systems; fiscal and accounting; quality control; fair hearings; benefit recovery; collections; personnel; reception and benefit issuance; and staff support.

Staff Development and Training has created a training curriculum and delivers comprehensive instruction to HSA staff, with a primary focus on the CalWORKs, CalFresh, Medi-Cal, and the California Statewide Automated Welfare System. In an effort to meet the demands of the complex programs administered by HSA, Eligibility Workers must complete a comprehensive training course. Several in-service refresher trainings will occur in 2020-2021. The topics range from Medi-Cal eligibility to determining when and how to provide expedited benefits and services to clients. Additionally, two induction training classes will be completed

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in 2020-2021, providing the foundational training for newly-hired Eligibility Workers.

Another facet of the Administrative Services Bureau is the maintenance of HSA's facilities. During 2019-2020, the HSA main building's Heating Ventilation and Air Conditioning (HVAC) replacement began. The first phase to this project is the replacement of the building control system. The HVAC replacement process will span multiple fiscal years, in order to maximize the Federal and State funding participation. Additionally, a modification to the design of several workstations throughout the first and third floors will be reviewed and evaluated. This modification is expected to improve workflow as well as Americans with Disabilities Act accessibility.

The following are changes projected for the various assistance programs administered by HSA:

### **CalWORKs Assistance - \$99,857,262**

The CalWORKs program is the largest cash assistance program for children and families, providing financial help to meet basic needs (shelter, food, and clothing) when unable to meet those needs on their own. The CalWORKs program represents a welfare system based upon principles that place high value on recipients obtaining work. Eligible families must include a child under age 18, who lacks parental support due to absence, death, incapacity, or the unemployment of one or both parents. CalWORKs Assistance is time-limited for most adults in the household and most recipients are required to work or participate in WTW activities for a minimum number of hours per week. The necessary supportive services, including child care, are provided to ensure employment is possible. The amount of a family's monthly assistance payment depends on a number of factors, including the number of people who are eligible and the special needs of those family members. The income of the family is considered when calculating the amount of cash aid the family receives.

The recommended budget includes an increase of \$4,298,460 from 2019-2020. In 2020-2021, the average cases per month is projected to be 11,841. This represents a decrease of 4.39% from the 2019-2020 caseload budget of 12,385, and reflects pre-COVID-19 trends and programmatic changes that were projected to be offset by an 8.89% increase in cost per case based on a Cost of Living Adjustment (COLA) that was implemented Statewide to raise the maximum grant amount paid to CalWORKs recipients.

### **Foster Care Assistance - \$60,947,906**

The Foster Care program provides support payments for children in out-of-home care. This program is administered by counties in accordance with regulations, standards, and procedures set by the CDSS as authorized by law. The out-

of-home care system is designed to protect those children who cannot safely remain with family. Most are removed from their parents and made dependents of the Court. A smaller number are wards of Juvenile Probation or live with legal guardians. Some are voluntarily placed in out-of-home care by their parents.

Currently, these children are placed in family homes with relatives, resource family homes, homes certified by foster family agencies, and in group homes/short-term residential treatment programs. Statutorily, relatives are given preference over other types of placements. All providers for these children, except relatives not participating in the Approved Relative Caregiver Funding Option Program and legal guardians, are studied and licensed to provide care.

A written case plan is developed for each child and family to ensure care and protection is in the best interests and special needs of the child. The plan includes a judicial or administrative review every six months to determine if out-of-home care is still required. As children in the program begin to mature, independent living training and services are made available to youth of ages 16 to 24 to provide job and career development skills that will be needed to live independently. AB 12 extends Foster Care benefits to youth through age 21. To be eligible to receive extended Foster Care benefits, youth must be in Foster Care prior to age 18.

The recommended budget reflects an increase of \$2,428,580 from 2019-2020, primarily due to a small decrease in caseload and a 4.15% increase in cost per case due to a statutory COLA for children in foster family homes and short-term residential therapeutic program placements effective July 1, 2020.

### **Adoptions Assistance Program (AAP) - \$29,077,633**

The AAP was developed to encourage the adoption of special needs children and remove the financial disincentives for families to adopt. If the child is deemed eligible, an adoption assistance agreement or a deferred adoption assistance agreement is executed with the adoptive parents prior to the adoption finalization. A reassessment of the child's needs and family's circumstances is conducted every two years. The amount of financial assistance is determined based upon the special needs of the child and circumstances of the family.

AB 12 extended AAP benefits beyond the age of 18 for eligible youth when adopted at age 16 or later. The intent of the extended AAP benefit is to help youth maintain a safety net as they transition to independence.

The recommended budget includes an increase of \$2,231,702 from 2019-2020. In 2020-2021, the average cases per month are projected to be similar to 2019-2020. There is a 4.15% statutory COLA increase effective July 1, 2020 and the cost per case experience is trending higher by 4.16%.

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### General Assistance (GA) - \$1,094,480

The GA program provides relief and support to indigent adults who are not supported by their own means, other public funds, or other assistance programs. California Welfare and Institutions Code Section 17000 requires California counties to administer a GA program. The authority to define and develop the GA program is delegated to each county's Board of Supervisors. Participants repay GA payments by working a specified number of hours each month in the GA Work Program. Repayments may also be made by collecting proceeds from the sale of real property, withholding an amount equal to the GA interim assistance payments from a lump sum Social Security award, or collecting from the recipient's sponsor.

The recommended budget reflects an overall decrease of \$250,000 from 2019-2020. In 2020-2021, the average cases per month are projected to be 600. This reflects a decrease of 13.04% in caseloads from the 2019-2020 caseload of 690, and is based on actual experience prior to the onset of COVID-19. Additionally, the cost per case is projected to decrease by 5.5%, consistent with 2019-2020 experience.

The GA program has historically been funded 100% by the County. In 2015-2016, AB 109 funding became available for AB 109 clients to support the transitional housing portion of the GA program. This dedicated funding totaling \$144,480 for 2020-2021 represents 13% of the total projected GA expenditures.

### In-Home Supportive Services (IHSS) - \$23,106,862

The IHSS program provides specified supportive services to enable eligible persons to remain in their own homes as an alternative to out-of-home care, such as nursing homes or board and care facilities. Eligible persons are aged, blind, or disabled recipients of public assistance, or similar persons with low incomes. Disabled children are also eligible for IHSS. Services include domestic services such as meal preparation, laundry, shopping, and errands; personal care services; assistance while traveling to medical appointments or to other sources of supportive services; protective supervision; teaching and demonstration directed at reducing the need for supportive services; and certain paramedical services ordered by a physician.

The recommended budget includes an increase of \$95,652 from 2019-2020. SB 80, effective July 1, 2019, established a new 2019-2020 Maintenance of Effort (MOE). The County IHSS MOE will increase by the annual inflation factor and the County share for any locally negotiated wage and benefit increases. The State will fund IHSS administrative costs through a State General Fund allocation. The County will be responsible for any administrative costs above the State General Fund allocation for administrative costs.

Caseloads are projected to increase by 4.6% Statewide and a minimum wage increase to \$14.00 per hour will become effective January 1, 2021.

Federal Law requires that all states implement Electronic Visit Verification (EVV) for Medicaid-funded personal care services by January 2020 and home health care services by 2023. EVV is an electronic-based system that collects information through a secure website, a mobile application, or a telephone. EVV is being implemented by the State in phases with implementation in San Joaquin County to occur on July 1, 2020. The State budget proposes \$5.8 million (\$1.4 million State General Fund) for counties to continue to roll out EVV requirements to IHSS consumers and providers. EVV costs to the County are not expected to be significant.

On March 24, 2020, the Board adopted a new Memorandum of Understanding (MOU) (A-20-64) between the IHSS Public Authority and SEIU 2015 after negotiations that have been ongoing since the MOU expired on March 31, 2016.

Key elements of the proposed MOU are as follows:

1. Term: March 24, 2020 through March 31, 2023.
2. Wage Supplement: \$0.50 per hour above the California minimum wage.
3. Reduction in Benefits Rate: \$0.04 per hour (from \$0.74 to \$0.70), removing the previous cap on IHSS hours used for benefits payments.
4. Safety Equipment: increase in the funding for from \$6,250 per year to \$7,250, removing outdated sections, and formalize SEIU 2015 access to provider orientations.

### Temporary Homeless Shelter - \$2,803,003

Families who are eligible for CalWORKs assistance and are homeless may apply for a special-need payment to meet costs for temporary and permanent housing. The maximum homeless assistance payment is based on the size of a CalWORKs family. Homeless Assistance was formerly available only as a once-in-a-lifetime benefit unless the family was homeless due to domestic violence, natural disaster, inhabitation, or a physical or mental disability. Effective January 1, 2017, AB 1603 replaced the once-in-a-lifetime restriction and now requires that eligibility is reset every 12 months. CalWORKs families may be eligible to apply for Homeless Assistance benefits to assist with payment of rent arrearages. Payment of arrearages is considered a family's Homeless Assistance payment.

The recommended budget reflects an increase of \$242,756 from 2019-2020. The increase is related to the net result of caseloads decreasing by 16.52% and the cost per case increasing by 26% based on actual experience.

# 5050101000—Human Services Agency-Administration

## Human Services

### Refugee Cash Assistance - \$40,000

The Refugee Cash Assistance program provides up to eight months of cash assistance to needy refugees without children who are not otherwise eligible for any other cash aid. These refugees are unable to return to their country of origin because

of persecution or a well-founded fear of persecution on account of race, religion, nationality, or political opinion.

These individuals may also be eligible to receive employment and other social services during the same eight-month period. Funding of the Refugee Cash Assistance program is 100% Federally-reimbursed.

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>CalWORKs Assistance</b>	13,545	12,485	11,228	10,685	11,841
<b>Foster Care</b>					
<i>Resource Families Home</i>	655	618	750	782	782
<i>Group Home/STRTP</i>	206	173	182	182	182
<i>Foster Family Agencies</i>	515	509	415	357	357
<b>Adoption Assistance</b>	2,103	2,134	2,166	2,174	2,138
<b>CalFresh</b>	49,993	46,849	43,904	46,280	46,500
<i>CalFresh Dollars</i>	\$14,865,305	\$13,369,452	\$12,529,028	\$13,236,090	\$13,299,010
<b>General Assistance</b>	1,009	912	673	597	600
<i>General Assistance Dollars</i>	\$121,842	\$94,760	\$71,252	\$66,937	\$79,167
<b>In-Home Supportive Services</b>	6,183	6,391	6,640	6,798	7,070
<b>Medi-Cal</b>	120,506	120,427	118,620	115,501	114,050
<b>Refugee Cash Assistance</b>	7	7	3	2	2
<b>Temporary Homeless Shelter</b>	1,452	1,826	1,876	1,871	1,875

*Note: Workload Data represents average cases and dollar amounts per month.*

# 5053900000—Mary Graham Children’s Shelter

## Human Services

Chris Woods, Human Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$5,164,429	\$5,913,898	\$5,980,143	\$5,980,143	\$66,245
Services & Supplies	1,347,881	1,579,614	1,741,763	1,741,763	162,149
Centrally-Budgeted Expenses	658,500	637,301	285,583	285,583	(351,718)
Capital Expenditures	0	0	250,000	250,000	250,000
Operating Transfers Out	0	220,000	0	0	(220,000)
<i>Total Expenditures</i>	<i>\$7,170,810</i>	<i>\$8,350,813</i>	<i>\$8,257,489</i>	<i>\$8,257,489</i>	<i>(\$93,324)</i>
Expenditure Reimbursements	(775,718)	(885,483)	(650,645)	(650,645)	234,838
<i>Total Appropriations</i>	<i>\$6,395,092</i>	<i>\$7,465,330</i>	<i>\$7,606,844</i>	<i>\$7,606,844</i>	<i>\$141,514</i>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$4,212,196	\$4,979,295	\$5,192,177	\$5,192,177	\$212,882
<i>Total Revenues</i>	<i>\$4,212,196</i>	<i>\$4,979,295</i>	<i>\$5,192,177</i>	<i>\$5,192,177</i>	<i>\$212,882</i>
<b>Net County Cost</b>	<b>\$2,182,896</b>	<b>\$2,486,035</b>	<b>\$2,414,667</b>	<b>\$2,414,667</b>	<b>(\$71,368)</b>
<b>Staffing</b>					
Allocated Positions	48.0	48.0	48.0	48.0	0.0
Temporary (Full-Time Equivalent)	15.8	15.8	15.8	15.8	0.0
<i>Total Staffing</i>	<i>63.8</i>	<i>63.8</i>	<i>63.8</i>	<i>63.8</i>	<i>0.0</i>

### Purpose

Mary Graham Children’s Shelter (MGCS) provides temporary protective custody and services to children alleged to be abused or neglected and who come within the jurisdiction of the Juvenile Court as dependents. Service provisions at the Shelter are mandated by the Welfare and Institutions Code, which includes food, clothing, health care, education, safety, supervision, counseling, recreation, and access to religious services.

- \$39,000 Increase in Shelter maintenance costs.
- \$25,278 Increase in travel and transportation.
- \$10,000 Replacement of furniture at Walter Britton Visitation Center.
- \$5,000 Increase in communication costs.
- \$5,000 Increase in utilities.
- (\$10,000) Decrease in household expenses due to reduced daily population.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$66,245 Salary and benefits adjustments.

#### Services & Supplies

- \$77,900 Increase in professional services.

#### Centrally-Budgeted Expenses

- \$42,330 Increase in data processing charges.
- \$19,427 Increase in Workers’ Compensation and Casualty insurance costs.
- (413,475) Cost Allocation Plan adjustment.

# 5053900000—Mary Graham Children’s Shelter

## Human Services

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### Operating Transfers Out

- (\$220,000) Decrease in Operating Transfers Out due to the transfer of appropriations to Capital Expenditures for replacement of Administrative Building roof and maintenance of playground flooring.

### Expenditure Reimbursements

- (\$234,838) Decrease in reimbursements from Children’s Services to provide Emergency Relative Placement Assessments (ERPA).

### Revenues

- \$1,061,835 Increase in State reimbursements reflecting current population eligibility (30 days).
- (\$848,953) Decrease in Federal reimbursements reflecting the 10-day maximum stay.

### Capital Expenditures

- \$150,000 Maintenance of the Shelter roof.
- \$100,000 Maintenance of the playground areas.

### Program Discussion

The 2020-2021 recommended Mary Graham Children’s Shelter (MGCS) budget is \$8,257,489, which is a decrease of \$93,324 from the 2019-2020 adjusted budget. This decrease is primarily due to a reduction in the Cost Allocation Plan adjustment (-\$413,475) and a one-time transfer for the proposed roof replacement (-\$220,000), offset by planned facility maintenance repairs (\$250,000), increases in costs for professional services (\$77,900), salary and benefits adjustments (\$66,245), data processing charges (\$42,330), Shelter maintenance (\$39,000), and an increase in travel and transportation costs (\$25,278).

Since MGCS is an essential function it has remained open during the Novel Coronavirus (COVID-19) pandemic under the social distancing protocols as outlined by the California Department of Public Health. While the Shelter has not yet had to house a COVID-19 positive child, the Shelter has the ability to house a child in isolated quarters.

### Continuum of Care Reform (CCR)

On January 1, 2016, the Continuum of Care Reform (CCR) was implemented into law through the approval of State Assembly Bill (AB) 403, with full implementation on January 1, 2017. The CCR is intended to comprehensively reform placement and treatment options for youth in foster care by ensuring that families providing foster care receive targeted training and support, better preparing families to support foster youth. CCR also advances California’s long-standing goal to move away from the use of long-term group home care by increasing youth placement in family settings and by transforming existing group home care into places where youth who are not ready to be placed with families can receive short-term intensive treatment and services.

As a licensed Temporary Shelter Care Facility, children stay no longer than 10 calendar days at MGCS. For any stay that exceeds 10 calendar days, a written report must be submitted to the California Department of Social Services within 24 hours outlining the reasons and circumstances for the overstay. The law also requires an assessment to be completed prior to the placement of any child in the Foster Care System. To expedite the placement process, assessments are performed at MGCS prior to placing children into care.

In an effort to meet statutory deadlines, MGCS continues to hold Placement Meetings twice a week with Children’s Services (CS) and Behavioral Health Services staff to expedite the placement process for children residing at the Shelter. This effort has resulted in the reduction of the average daily population at MGCS from a high of 37 children in 2015-2016 to approximately 11 children during the first eight months of 2019-2020.

In 2017-2018, MGCS began performing ERPA to place children with approved relatives, instead of at MGCS, while arrangements for permanent placement are completed. Eight Shelter Social Workers perform ERPAs and are scheduled at MGCS to cover all shifts during the week to ensure the process begins immediately when a child is placed into protective custody by CS. When not performing ERPAs, Social Workers engage with the children to help them transition to their next placement. Social Workers work to establish a rapport with the children to better identify and pass on the individual children’s needs and coping skills to the families and agencies where they will be placed in an effort to increase the chances of success in the new placement.

Changes in procedures, including the ERPA process have succeeded in transitioning most children from the Shelter, usually within one or two days, into placement within the Foster Care System. Children that stay longer at MGCS

# 5053900000—Mary Graham Children’s Shelter

## Human Services

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generally have specific needs which must be accommodated, such as: mental health; behavioral issues; medical conditions; developmental disabilities; and/or a history of trauma, abuse or neglect. While in care at MGCS, children often require additional services and enhanced levels of supervision (staffing ratios of 1:2 or 1:1) to better serve their needs. In order to meet minimum mandated staffing ratios of 1:4 and any necessary enhanced staffing ratios of 1:2 or 1:1, MGCS will dedicate 18 full-time and 18 extra-help Shelter Counselors.

### Facility

MGCS is a 24 hours a day, 7 days per week facility consisting of two residential cottages with two separate living pods in each cottage. Residential cottages are utilized based on the current needs of the children in care. The daily population varies based upon available placement options, local foster care resources, frequency of local law enforcement drug and parole sweeps, and other factors.

While this facility is one of the few shelters that remain in the State due to the passage of the CCR, it serves a vital role in San Joaquin County. Approximately 600 children are assessed at the facility or receive services and many children are housed at the Shelter until they can be assessed and placed in an appropriate setting. Without the facility, children would have to be housed in a hotel or motel or a conference room instead of the privacy and comfort of the Shelter.

Keeping in mind the importance of the facility for the community and children, MGCS has requested funding for the past 3 years to upgrade the facility to address needed repairs. However, these upgrades have not occurred due to delays and concerns over project management. In previous years, MGCS has been able to maintain the roof and playground areas through patch jobs and routine maintenance.

This year, however, the Human Services Agency will oversee the project and it is expected to be approved to move forward. Therefore, the recommended budget includes \$150,000 for maintenance of the roof and \$100,000 for maintenance of the

playground areas at MGCS. The original ceramic tile roof sustained damage over the years and began leaking in 2017-2018. The tiles are no longer manufactured and broken tiles cannot be repaired or replaced.

In addition, MGCS has playground equipment installed on rubber flooring. Due to several years of use and continuous exposure to weather, the rubber flooring has separated from the edging, has large cracks, and is missing floor material in some areas, which presents a trip hazard and safety concern for clients. The rubber flooring will need to be repaired to meet safety standards for residents.

In previous years while this project stalled, MGCS has been able to maintain the roof and playground through patch jobs.

The MGCS facility also includes:

- **Child Advocacy Center** - Provides comprehensive services for child victims of sexual abuse and serves as a pediatric medical clinic for MGCS residents and local foster youth. The Center provides a safe and respectful location for the San Joaquin County Sexual Assault Response Team’s emergency evaluations, 24 hours a day, 7 days per week for child and adult victims.
- **Walter Britten Visitation Center** - Families with children in the child welfare system are afforded daily opportunities to visit under the guidelines set forth by social workers and the courts.
- **San Joaquin County Office of Education’s (SJCOE) Dorothy Biddick School** - Shares the site and its buildings with MGCS. The School offers many options for activities and collaboration toward the provision of comprehensive and integrated programs. The SJCOE ONE School (Field of Dreams) utilizes two classrooms for community and on-site elementary school children. The collaborative efforts are aimed at providing cost-effective service delivery to MGCS residents and improving their likelihood of successful development into healthy individuals.



# 5053900000—Mary Graham Children’s Shelter

## Human Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
Children Provided Care *	754	564	486	522	550
Average Daily Population provided care	20	16	11	11	11
Average Length of Stay (Days)	12**	10	7	6	6

\* Figure includes services for individuals provided multiple occurrences of care throughout the year.

\*\*After January 1, 2017, the length of stay was statutorily limited to 10 days.

# 5054000000—Community Services

## Human Services

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Other Charges & Uses	\$603,982	\$626,665	\$938,002	\$692,444	\$65,779
Total Appropriations	\$603,982	\$626,665	\$938,002	\$692,444	\$65,779
<b>Earned Revenues By Source</b>					
Operating Transfers In	\$115,000	\$116,000	\$122,000	\$122,000	\$6,000
Total Revenues	\$115,000	\$116,000	\$122,000	\$122,000	\$6,000
<b>Net County Cost</b>	\$488,982	\$510,665	\$816,002	\$570,444	\$59,779

### Purpose

This budget provides funding contributions to non-County organizations for various community services. Funding for these programs is a Board of Supervisors’ policy matter, and is reviewed annually as part of the budget process.

### Major Budget Changes

#### Other Charges

- \$65,779 Increase in funding to various non-County organizations.

#### Operating Transfers In

- \$6,000 Increase in revenue from Domestic Violence Trust Fund.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for Community Services focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

### Program Discussion

The 2020-2021 recommended Community Services budget funds seven non-County organizations totaling \$692,444, which is an increase of \$65,779 from the 2019-2020 adjusted budget.

For 2020-2021, the County Administrator’s Office (CAO) provided guidance documents offering requesting agencies a structured format to request funding from the County. Specific information was required including how requested funding will address one or more of the Board Strategic Priorities, organizations’ operation budgets, other County funding received, other non-County governmental funding received, programmatic spending plans, and performance-based metrics used in the evaluation of the projected outcomes.

#### Bread of Life Ministries

The 2020-2021 recommended budget includes \$75,000 for Bread of Life Ministries. County funding assists in providing nutritious supplemental groceries, every third Saturday, at five primary locations in Lodi, Manteca, East Stockton, Northwest Stockton, and Tracy. In 2019, Bread of Life Ministries served 10,358 clients, which is nearly identical to the number of clients served in 2018. During 2020-2021, Bread of Life Ministries plans to serve more than 10,000 clients at its five locations, and to continue expansion of services to include the provision of food to various non-County organizations engaged in feeding programs throughout the County.

# 5054000000—Community Services

## Human Services

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The work of Bread of Life Ministries addresses the Board Strategic Priorities to “increase organizational capacity and promote good governance”, and “strengthen the County’s fiscal responsibilities” by collaborating across the community to bring nutritional support to County residents who are experiencing food insecurity.

Bread of Life Ministries has a 2020-2021 operating budget of \$310,000 and receives no other County funding.

### Children’s Home of Stockton (CHS)

The 2020-2021 recommended budget includes \$99,891 for CHS. County funding will enable CHS to continue to support and enhance the Substance Abuse Prevention, Aftercare and Transitional Planning Program. CHS is the largest social services organization in Stockton and has been serving at-risk youth throughout the County and California for over 137 years. Each year, CHS provides nearly 200 children and adolescents with comprehensive educational and therapeutic services. CHS provides professional counseling in a 52-bed residential treatment program and provides educational services in a K-12 non-public school setting certified by the California State Department of Education.

Since California’s Continuum of Care Reform became effective in 2016, CHS is one of only two group homes in the County to successfully secure a Short-Term Residential Therapeutic Program (STRTP) license. Where San Joaquin County previously had approximately 150 beds available for placement with approximately a dozen group homes for foster youth; with 52 beds, CHS is now the largest placement facility available in the County. There are currently 58 total beds available in the County with CHS having 90% of those beds. There are nearly 100 youth in the foster care system in need of placement. Unfortunately, since 2018-2019 four group homes have closed their doors leaving CHS as the primary option in the County to place foster youth. As of this writing, two six-bed facilities have their STRTP applications in the review process with the California Department of Social Services and there is growing concern whether these agencies will have the capacity to convert to a STRTP. Approximately 63% of the children served by CHS are from San Joaquin County and funding is recommended at that level.

The 2020-2021 funding request responds to a growing critical need and addresses the Board’s Strategic Priority to “promote good governance and increase organizational capabilities”. CHS has partnered with the Human Services Agency, Behavioral Health Services, and the Probation Department to prioritize San Joaquin County referrals as CHS is the largest licensed STRTP provider of Specialty Mental Health Services in the County, with 90% of the available beds.

CHS has a 2020-2021 operating budget of \$9.3 million and receives approximately \$1.1 million in other County funding.

### Community Partnership for Families of San Joaquin (CPFSJ)

The 2020-2021 recommended budget includes \$158,378 for CPFSJ. Recommended funding will be used to provide comprehensive assessment, resource connection, and case management services to youth and adults currently involved in the criminal justice system who are at risk of incarceration and/or recidivism.

Program objectives include, providing target population with comprehensive intake and assessment services to identify urgent, yet basic needs; provide resource education and connection in response to identified needs/challenges; provide a strength-based comprehensive and integrated model of case management to improve public safety and reduce the potential for recidivism; and engage youth in positive youth-development activities that prevent criminal justice system involvement and help avoid further or escalating contact with the juvenile justice system. The 2020-2021 funding request addresses the Board’s Strategic Priority to “improve public safety and enhance overall criminal justice system”.

CPFSJ has a 2020-2021 operating budget of \$2.6 million, and anticipates \$1.7 million in other County funding during 2020-2021, including funding from the Juvenile Supplemental Law Enforcement Act, Assembly Bill (AB) 109, Positive Youth Justice Initiative, Youth Success Team Partnership, and Employment and Training Services. This program targets youth on formal and informal probation; youth at-risk of justice system involvement; adults on formal and informal probation; and parolees, 100% of whom reside in San Joaquin County.

### FIX’D Veterans Transition Resources (FIX’D)

The 2020-2021 recommended budget does not include funding for FIX’D. In past years, FIX’D has provided only peer mentoring services to participants of the Veteran’s Treatment Court (VTC) free of charge. This is the first year that FIX’D has requested funding from the County. FIX’D is requesting \$161,490 in funding for the VTC program. The funds are requested to cover costs associated with case management, mental health treatment, drug testing, alcohol monitoring, and other miscellaneous costs such as incentives and bus passes.

FIX’D is an immediate crisis response, transitional resource, and support organization providing individualized support for veterans who struggle with mental health disorders, traumatic brain injury, substance abuse disorders, and associated problematic thinking and behavioral patterns. Services include peer counseling, life coaching referrals to other resources, formal mentorship, and support services to complete an eight to ten week intensive program.

# 5054000000—Community Services

## Human Services

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FIX'D has a 2020-2021 operating budget of \$99,592 and receives no other County funding.

### Haven of Peace

The 2020-2021 recommended budget includes \$26,104 for Haven of Peace. Recommended funding will be used to replace the 30 year old fencing that is in disrepair. The current fencing is a five foot chain link fence. The property adjacent to the Haven of Peace was recently acquired by a trucking company, and the space immediately adjacent to the Haven's playground is being graded and surfaced for use as truck parking. Prior to the trucking company acquisition, this property was used for agricultural purposes, providing a greater level of privacy and security. With the new use of the adjacent property being imminent, concern for the safety and security of the children and women housed at Haven of Peace is elevated. The new fencing funded by this recommendation will be a six feet high chain link with privacy slats providing an additional layer of security.

Established in 1959, Haven of Peace was the first homeless shelter for women in San Joaquin County. The present shelter can accommodate 35 women and children, and provides residents with tools that help them become self-sufficient. The 2020-2021 funding request addresses the Board's Strategic Priority to "improve public safety and enhance overall criminal justice system", by providing a safe environment for children to play. Approximately 90% of Haven of Peace residents are from San Joaquin County and funding is recommended at the same level.

Haven of Peace has a 2020-2021 operating budget of \$404,500, and receives \$12,316 in other County funding.

### Lord's Gym City Center

The 2020-2021 recommended budget includes \$130,571 for the Lord's Gym City Center. This is the first time Lord's Gym City Center has requested County funding. Recommended funding will be used to provide resources necessary to expand the Oasis Cares program to provide 19,600 meals and groceries to families of youth aged 13-17, and to provide 560 referrals to partner agencies for issues related to mental and physical health.

The Lord's Gym City Center was founded in 2018, to provide a safe, positive, and productive environment for youth living in San Joaquin County. Since its inception, Lord's Gym City Center has provided hands-on training and support in important life skills such as resiliency; emotional intelligence; physical health and wellness; and healthy communication to nearly 2,600 local youth. The 2020-2021 funding request addresses the Board's Strategic Priority to "promote good governance and increase organizational capabilities" by

partnering with other local agencies to tackle many of the issues facing today's youth.

The Lord's Gym City Center has a 2020-2021 operating budget of \$812,560 and receives no other County funding.

### Women's Center-Youth & Family Services (WCYFS)

The 2020-2021 recommended budget includes \$202,500 for WCYFS programs, of which \$122,000 is recommended to be funded from the Domestic Violence Trust Fund (#15304), and \$80,500 from the General Fund.

County funding to the WCYFS provides resources for supportive, educational, and crisis intervention services to victims of domestic violence and sexual assault. Recommended funding will provide 24-hour crisis intervention services to 4,910 victims of rape/sexual assault; emergency shelter for 560, support and advocacy services for 2,070, and case management services for 1,930 victims of domestic violence, sexual assault, human trafficking, and youth homelessness; and operation of the Safe House program. During 2018-2019, 3,274 sexual assault victims were assisted through the emergency helpline; 371 through emergency shelter housing, 109 victims through the Sexual Assault Response Team, 111 youth through Drop-In Center Services (including 9,360 meals), and 1,859 victims via walk-ins to their local offices. The work of WCYFS addresses the Board Strategic Priority to "Increase organizational capacity and promote good governance" by providing services and resources to victims of domestic violence, sexual assault, and runaway homeless youth. Approximately 90% of the clients served by WCYFS are residents of San Joaquin County and funding is recommended at the same level.

WCYFS has a 2020-2021 operating budget of \$3.9 million, and receives approximately \$1.1 million in other County funding including the Child Welfare Parenting program, Youth Outreach and Family Support programs, Family Violence Prevention Program, and the Youth Services Bureau.

### Domestic Violence Trust Fund

Domestic Violence Trust Fund revenue (#15304) is derived primarily from marriage license fees and domestic violence fines, and is dedicated to domestic violence programs. As of March 31, 2020, the Trust balance was \$126,518. Currently, the Trust supports one non-County organization, the Women's Center – Youth & Family Services (WCYFS). The recommended budget limits annual funding from the Trust to the five-year average of deposits made to the Trust. For 2020-2021, using this method, it is estimated that \$122,000 will be available to fund WCYFS from the Trust.

# 5054000000—Community Services

## Human Services

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### Supplemental Requests

#### *Children's Home of Stockton*

A funding request totaling \$158,558 was received from the Children's Home of Stockton. The funding request is for Substance Abuse Prevention, Aftercare, and Transitional Planning Program.

Funding of \$99,891 has been included in the 2020-2021 recommended budget to support 63% of Substance Abuse Prevention, Aftercare, and Transitional Planning Program recipients who are residents of San Joaquin County.

The additional funding request of \$58,667 has not been included in the 2020-2021 recommended budget.

#### *FIX'D Veterans Transition Resources*

A funding request totaling \$161,490 was received from FIX'D. The funding request is to provide resources for continuation of the VTC program. The funding request of \$161,490 is more than double the 2019-2020 funding of \$67,980 awarded to the VTC for this program. It is anticipated that the VTC will expend approximately \$19,000 (28%) on this program in 2019-2020 and will return excess funds to the General Fund. The County's Health Care Services Agency, has submitted a supplemental request of \$40,000 for its Veteran's Services Office (VSO) budget (#5055600000) for the continuation of this program to be administered by the VSO in order to provide a more sustainable source of funding for the VTC programs. The County Administrator's Office has analyzed the current

program and determined that the appropriate vehicle to provide funding is through the VSO budget, therefore the 2020-2021 recommended budget includes funding in the VSO (#5055600000) budget.

The 2020-2021 recommended budget does not include funding for this request.

#### *Haven of Peace*

A funding request totaling \$29,005 was received from Haven of Peace for replacement of perimeter fencing.

Funding of \$26,104 has been included in the 2020-2021 recommended budget to address this request to support the 90% of Haven of Peace clients who are residents of San Joaquin County

The additional funding request of \$2,901 has not been included in the 2020-2021 recommended budget.

#### *Women's Center – Youth & Family Services (WCYFS)*

A funding request totaling \$225,000 was received from WCYFS. The funding request is to provide resources for the ongoing work of the WCYFS in the area of crisis intervention for victims of domestic violence and sexual assault.

Funding of \$202,500 has been included in the 2020-2021 recommended budget to support the 90% of WCYFS clients who are residents of San Joaquin County.

The additional funding request of \$22,500 has not been included in the 2020-2021 recommended budget.

# 505400000—Community Services

## Human Services

### Contributions to Non-County Organizations

	2018-2019 Approved Budget	2019-2020 Approved Budget	2020-2021		Supplemental Request (Not Funded)
			Requested	Recommended	
<b>GENERAL GOVERNMENT</b>					
<i>Economic Promotion – 1015400000**</i>					
<i>Innovation Hub San Joaquin (iHub)</i>	\$10,000	\$10,000	\$20,000	\$10,000	\$10,000
<i>SJ Economic Development Assoc.</i>	70,000	50,000	110,000	55,000	55,000
<i>San Joaquin Partnership</i>	50,000	50,000	60,000	50,000	10,000
<i>Subtotal - Economic Promotion</i>	\$130,000	\$110,000	\$190,000	\$115,000	\$75,000
<b>HUMAN SERVICES</b>					
<i>Community Services - 5054000000</i>					
<i>Boys &amp; Girls Club</i>	\$85,812	\$0	\$0	\$0	\$0
<i>Bread of Life Ministries</i>	55,000	55,000	75,000	75,000	0
<i>Child Abuse Prevention Council</i>	* 81,008	85,138	0	0	0
<i>Children's Home of Stockton</i>	100,000	114,389	158,558	99,891	58,667
<i>Community Partnership for Families</i>	150,000	145,758	158,378	158,378	0
<i>FIX'D Inc. Veterans Transition Res.</i>	0	0	161,490	0	161,490
<i>Haven of Peace</i>	96,535	0	29,005	26,104	2,901
<i>Lord's Gym City Center</i>	0	0	130,571	130,571	0
<i>PUENTES</i>	26,550	0	0	0	0
<i>SJC Veterans Treatment Court</i>	0	67,980	0	0	0
<i>Women's Center-YFS</i>	176,000	158,400	225,000	202,500	22,500
<i>Subtotal-Community Services</i>	\$770,905	\$626,665	\$938,002	\$692,444	\$245,558
<b>PARKS &amp; RECREATION</b>					
<i>Cultural Services - 7070800000***</i>					
<i>Micke Grove Zoological Society</i>	\$100,000	\$100,000	\$100,000	\$100,000	\$0
<i>SJ County Historical Society</i>	615,540	401,050	401,050	395,550	5,500
<i>Subtotal-Cultural Services</i>	\$715,540	\$501,050	\$501,050	\$495,550	\$5,500
<b>Total County Contributions</b>	<b>\$1,616,445</b>	<b>\$1,237,715</b>	<b>\$1,629,052</b>	<b>\$1,302,994</b>	<b>\$326,058</b>
<b>Less Contribution from HSA*</b>	<b>(\$81,008)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Less Trust Fund Contribution</b>	<b>(115,000)</b>	<b>(116,000)</b>	<b>(122,000)</b>	<b>(122,000)</b>	
<b>Total Net County Cost</b>	<b>\$1,420,437</b>	<b>\$1,121,715</b>	<b>\$1,507,052</b>	<b>\$1,180,994</b>	<b>\$326,058</b>

\* 2018-2019 funding for Child Abuse Prevention Council was provided by the Human Services Agency.

\*\* Program narratives and funding are shown under Economic Promotion budget #1015400000.

\*\*\* Program narratives and funding are shown under Cultural Services budget #7070800000.

# 5054101000—Aging & Community Services

## Human Services

Chris Woods, Human Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$5,708,884	\$6,437,305	\$6,558,306	\$6,558,306	\$121,001
Services & Supplies	4,422,810	5,190,341	5,327,560	5,327,560	137,219
Centrally-Budgeted Expenses	307,775	213,554	385,810	385,810	172,256
Other Charges & Uses	2,027	15,000	5,000	5,000	(10,000)
Capital Expenditures	0	132,540	70,000	70,000	(62,540)
<b>Total Expenditures</b>	<b>\$10,441,496</b>	<b>\$11,988,740</b>	<b>\$12,346,676</b>	<b>\$12,346,676</b>	<b>\$357,936</b>
Expenditure Reimbursements	(1,057,561)	(1,109,932)	(1,050,812)	(1,050,812)	59,120
<b>Total Appropriations</b>	<b>\$9,383,935</b>	<b>\$10,878,808</b>	<b>\$11,295,864</b>	<b>\$11,295,864</b>	<b>\$417,056</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$9,877	\$11,275	\$10,775	\$10,775	(\$500)
Aid From Other Governments	5,276,607	6,517,468	6,733,851	6,733,851	216,383
Miscellaneous Revenues	122,185	267,841	232,941	232,941	(34,900)
Operating Transfers In	1,431,634	1,072,400	1,033,175	1,033,175	(39,225)
<b>Total Revenues</b>	<b>\$6,840,303</b>	<b>\$7,868,984</b>	<b>\$8,010,742</b>	<b>\$8,010,742</b>	<b>\$141,758</b>
<b>Net County Cost</b>	<b>\$2,543,632</b>	<b>\$3,009,824</b>	<b>\$3,285,122</b>	<b>\$3,285,122</b>	<b>\$275,298</b>
<b>Staffing</b>					
Allocated Positions	58.0	58.0	57.0	57.0	(1.0)
Temporary (Full-Time Equivalent)	15.3	14.6	18.7	18.7	4.1
<b>Total Staffing</b>	<b>73.3</b>	<b>72.6</b>	<b>75.7</b>	<b>75.7</b>	<b>3.1</b>

### Purpose

The Aging and Community Services (ACS) budget administers Federal, State, private, and local funds allocated for services to the elderly and low-income citizens of San Joaquin County.

Aging Services includes direct senior services such as ombudsman, in-home services, social day care, legal services, health services, congregate meals and Meals on Wheels, and long-term care case management.

Community Services includes direct anti-poverty services such as translation and interpretation; assistance with forms and applications; employment and client advocacy; recreation activities for low-income youth and seniors; food distribution; payment of energy bills; and home weatherization.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$104,050 Salary and benefits adjustments.
- \$77,638 Add an Energy Program Specialist position.
- (\$183,281) Delete vacant Employment Training Specialist position and Accountant position.
- \$137,721 Increase in extra-help.
- (\$15,127) Decrease in overtime.

# 5054101000—Aging & Community Services

## Human Services

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### Services & Supplies

- \$193,073 Increase in food costs for Congregate Meals Program expansion.
- \$64,200 Increase in maintenance and equipment costs.
- \$54,923 Increase in rent for cold storage/refrigerator space for the Food For You Program.
- \$40,549 Increase in small furniture costs.
- \$15,000 Increase in fleet services costs due to additional vehicles for Senior Nutrition program.
- \$10,000 Increase in software and license costs.
- (\$150,086) Decrease in professional services and materials costs for Weatherization program.
- (\$28,000) Decrease in advertising costs for Energy Assistance and Weatherization programs.
- (\$26,275) Decrease in professional services for Older Adult program.
- (\$15,433) Decrease in printing costs.
- (\$11,000) Decrease in training costs.
- (\$10,000) Decrease in household costs.

### Centrally-Budgeted Expenses

- \$51,785 Increase in data processing charges
- \$14,015 Increase in Casualty insurance costs.
- (\$12,356) Decrease in Workers' Compensation insurance costs.
- \$126,510 Cost Allocation Plan adjustment.

### Other Charges

- (\$10,000) Decrease in Federal refunds based on actual experience.

### Expenditure Reimbursements

- (\$59,309) Decrease in reimbursement for CalWORKs expenses.

### Revenues

- \$494,487 Increase in State funding for Older Americans Act and Fall Prevention grants.
- (\$264,990) Decrease in Federal funding for energy assistance and weatherization programs.
- (\$39,225) Decrease in Federal funding for weatherization programs.
- (\$34,900) Decrease in in privately-funded grants.
- (\$13,114) Decrease in Federal Emergency Management Agency funding for purchase of food.

### Capital Expenditures

- \$70,000 Minivans (2).

### Program Discussion

The 2020-2021 recommended budget for Aging and Community Services (ACS) totals \$12,346,676, which is an increase of \$357,936 from the 2019-2020 adjusted budget. This increase is primarily due to increased cost of food for congregate meals (\$193,073), an increase in extra-help (\$137,721), a Cost Allocation Plan adjustment (\$126,510), salary and benefits adjustments (\$104,050), addition of an Energy Program Specialist (\$77,638), increase in maintenance and equipment costs (\$64,200), and an increase in cold storage/refrigerator space rent (\$54,923), partially offset by the deletion of two vacant positions (-\$183,281), decreases in professional services for Weatherization and Older Adult programs (-\$176,361), and a decrease in capital expenditures (-\$62,540). The ACS Bureau will continue its focus on services for seniors, youth, and the low-income and disabled populations of the County.

In November 2018, ACS rolled out additional grant management features in the County's accounting software, and discontinued a secondary software program shortly thereafter. The recommended budget deletes a vacant Accountant position, reflecting a change in business need as a result of consolidating software systems.

ACS opted to relinquish the Senior Community Services Employment Program (SCSEP) contract on June 30, 2019, due to low enrollment. The SCSEP provided subsidized employment and training for older adults. The California Department of Aging subsequently selected SER-Jobs for Progress Inc., a non-profit organization, to continue the



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## Human Services

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program in San Joaquin County. The recommended budget deletes a vacant Employment Training Specialist position tied to the Program.

Additional weatherization funding was awarded in October 2019 (B-19-632), which allows for energy-efficient washers, dryers, and freezers to be installed in low-income homes. The recommended budget adds an Energy Program Specialist position to ensure the Weatherization Program meets the mandated deadlines for client intake, eligibility determination, processing, and service delivery.

The budget reflects additional part-time Community Services Program Workers to enhance coverage at the County Community Centers; part-time Social Workers to assist with time-limited senior programs and temporary workload increases; and a part-time Senior Information and Assistance Specialist to perform outreach activities for the Department's programs.

### 2019 Novel Coronavirus (COVID-19)

Recent legislation expanded funding to provide relief to Americans affected by COVID-19. Senate Bill 6201, the Families First Coronavirus Relief Act (FFCRA) was passed on March 14, 2020, and allocates additional funding to San Joaquin County for senior congregate and home delivered meals. House of Representatives Bill 748, the Coronavirus Aid, Relief, and Economic Security (CARES) Act was passed on March 27, 2020, providing COVID-19 and economic aid to address the needs of the American people. FFCRA and the CARES Act allocate funding for food and nutrition, utility assistance, and other supportive services. The 2020-2021 recommended budget includes only those portions of funding that have been formalized. As additional funds become available, the Human Services Agency will return to the Board of Supervisors during 2020-2021 to request budget adjustments.

Food access and insecurity became a paramount issue for an increasing number of County residents. It is anticipated that food programs will continue to expand through 2020-2021. In response to COVID-19, service delivery models were modified to address community need, while supporting Public Health and social distancing requirements.

- A larger quantity of food and shelf stable options have been made available through food assistance programs such as Congregate Meals, Meals on Wheels, and Food For You.
- Congregate meals for older adults modified from a daily dine-in meal to a to-go model.
- Non-food products such as toilet paper, dental care, soap, and hygiene products delivered to homebound and at-risk seniors.

- Alternate service deliveries implemented via telephone, mail, e-mail or other electronic means to process program applications; conduct intake and follow-up appointments; and complete other administrative tasks.

Following Public Health and State guidelines, services will continue to be provided to the greatest extent possible.

### Aging Services

The ACS Bureau is the designated Area Agency on Aging (AAA) for the County funded through the Older Americans Act (OAA). The OAA and the Older Californians Act mandate a variety of services to seniors aged 60 and over. The services include, but are not limited to, Family Caregiver Support, Ombudsman Program, Congregate Meals, Meals on Wheels, Legal Services, Adult Day Care, Information and Assistance, and Disease Prevention/Health Promotion.

ACS will continue to focus on ensuring older adults receive increased number of meals through the Meals on Wheels and Congregate Meals programs without face-to face client contact until restrictions are lifted. It is anticipated that calls for information and assistance will remain well above normal through 2020-2021. ACS continues to provide the following programs, in modified form:

- **Congregate Meals Program for Seniors** - The Program provides seniors a hot meal that meets United States Department of Agriculture (USDA) nutritional guidelines. ACS partners with San Joaquin General Hospital to prepare hot, daily meals for seniors aged 60 and over. The Program currently funds 20 locations throughout the County, including Fathers and Families of San Joaquin, added in July 2019. Locations are available in Escalon, Lathrop, Lockeford, Lodi, Manteca, Ripon, Stockton, and Tracy.
- **Meals on Wheels Program for Seniors** - The Program, also known as home-delivered meals, provides frozen meals for homebound seniors aged 60 years and older, with meals delivered once a week. State funding for the program has grown making it possible to expand the program and increase enrollees.  
  
The Department is requesting that Human Resources conduct a classification study to review positions that support the Congregate Meals and Meals on Wheels Programs, and determine if a change in classification is necessary.
- **Ombudsman Program** - The Program investigates reports of abuse or neglect, and advocates on behalf of residents of skilled nursing facilities, residential facilities for the elderly, assisted living, and adult day health care facilities. The Program regularly monitors

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## Human Services

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facilities and serves as a liaison between facility staff and residents/families on an as-needed basis.

In addition to core funding provided through the OAA, efforts have been made towards building partnerships in and outside the community to expand services for older adults. ACS currently partners with local and regional organizations such as Partners in Care Foundation (PCF), non-profit organizations, local government entities, and other County agencies.

Older adults have an increased risk of falling, which can cause serious injuries such as broken bones or head injuries. These injuries can impact the quality of life, making it more difficult to conduct everyday activities or live independently. ACS administers a fall prevention program to address these issues. Through a partnership with PCF and University of the Pacific, ACS is able to provide Matter of Balance classes that reduce the risk of falls in seniors. Matter of Balance is an eight-week program that teaches participants: that falls and the fear of falling is controllable; set realistic goals to increase activity; how to change their environment to reduce fall risk; and exercises to increase strength and balance. Classes are provided at locations throughout the County.

ACS received a fall prevention grant from the California Department of Aging to collaborate with hospital discharge planners, In-Home Supportive Services, Disability Resource Agency for Independent Living (DRAIL), and other agencies to identify older and disabled adults who may benefit from fall prevention services. A part-time Social Worker will provide fall prevention education and assess clients for ramps, grab bars, and other fall prevention services.

### Community Services/Centers

#### *Community Services*

The Community Services programs focus on improving the quality of life for all ages from youth to seniors. Community Services Division offers the following programs:

- **Food for You Program** - Operating as the State-designated emergency food bank in the County, the Program receives surplus USDA, State, and donated food from local businesses. Food is distributed through the County's eight community centers, plus other local distribution sites. The Food for You Program provides assistance to over 3,200 low-income households each month with more than 3.0 million pounds of food per year. The program anticipates serving more households in 2020-2021 as there is an increase in the amount of domestically-produced product based on current trade markets.
- **Retired & Senior Volunteer Programs** - The Retired and Senior Volunteer Program (RSVP) coordinates and

provides volunteer opportunities for individuals over the age of 55 in public and non-profit settings. RSVP is funded by the Corporation for National Community Service. With the increased threat of emergency power shutoffs, RSVP has modified the focus of the program to recruit and place volunteers primarily in disaster preparedness and disaster mitigation volunteer opportunities. The Program continues to place volunteers in economic opportunity and other community priority placements that meet the desires and needs throughout the County.

COVID-19 has produced challenging issues for the RSVP program due to the age of enrolled volunteers and the particular risks they face by volunteering in the community. Many of the volunteer stations have closed to protect seniors and a significant number of volunteers have decided to self-quarantine thereby reducing the amount of hours served in March and April 2020. As the program year for RSVP ends on March 30, 2020, there was minimal impact to program performance for the 2019-2020 program year. However, the 2020-2021 program year performance is contingent upon how quickly the risk of COVID-19 exposure decreases within the community allowing vulnerable populations to return to service.

- **Weatherization Program** - Low-income County residents are eligible to have their dwelling weatherized with energy conservation measures: furnace repair/replacement, air conditioning unit; and/or water heater; minor housing repairs; door and window replacement; attic venting; ceiling insulation; and weather-stripping. The Weatherization Program is funded by the Low-Income Home Energy Assistance Program (LIHEAP) (\$1,330,085), Department of Energy-Weatherization Assistance Program (DOE-WAP) (\$404,493), and Disbursement Assistance Program (DAP) (\$151,733). The DAP grant supplements existing LIHEAP and DOE measures by allowing eligible dwellings to receive an energy-efficient replacement of washers, dryers, and freezers.

Many of the Weatherization Program activities are not considered essential activities during the COVID-19 pandemic and resulting Shelter-In-Place order. As a result, in-person field visits to perform weatherization services have been halted. The Program remains nimble and prepared to provide emergency heating and cooling services as they arise. Weatherization staff has been re-assigned to perform essential duties in support of the Food For You Program providing delivery assistance and emergency home deliveries. Staff is also supporting Community Services programs by delivering available Personal Protective Equipment to Food For You and Community Centers.

# 5054101000—Aging & Community Services

## Human Services

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- **Energy Assistance Programs** - ACS assists low-income households with the payment of their utility bills when experiencing household energy-related emergencies, and may also provide repair or replacement of home heating or cooling units.

Utility assistance payments are an essential service, and continue to be provided to the community. The impact of COVID-19 on the program has primarily been a reduction in applications. Utility companies have issued a moratorium on disconnections, which may be affecting customers' sense of urgency to apply for assistance. The program continues to process applications according to normal operational standards. Additional Federal funding will be available to the LIHEAP program pending guidance from the Federal government.

Energy Assistance is funded by LIHEAP (\$1,009,500) and DAP (\$29,930).

### Community Centers

The eight Community Centers are strategically placed near areas where residents may have a higher need for supportive services. The comprehensive array of services offered at the Centers assist low-income individuals and families in obtaining the resources, skills, and opportunities to become self-sufficient. Services provided include information and referral; assistance with forms and applications; counseling for assistance programs (e.g., CalWORKs, CalFresh, Medi-Cal); translation and interpreter assistance; health fairs; food assistance; senior lunches; after-school programs; and recreation for youth and seniors.

The COVID-19 pandemic has limited the amount of in-person services that can be administered, and as a result, the primary focus of the Community Centers during this crisis is to serve as a hub for food distribution. The Community Centers also function as a referral agency for other supportive services.

During extreme heat or cold weather emergencies, ACS partners with the County Office of Emergency Services to designate the Community Centers as heating or cooling stations. Heating or cooling stations provide a designated location for County residents to improve the health and safety of those who do not have adequate shelter.

In 2020-2021, the recommended budget includes furniture and other improvements to increase the safety and service delivery at the Community Centers.

Each Community Center is open from 8:00 a.m. to 5:00 p.m., Monday through Friday at the following locations:

<u>Community Centers</u>	<u>Location</u>
Boggs Tract Center	Stockton
Garden Acres Center	Stockton
Kennedy Center	Stockton
Larch Clover Center	Tracy
Lodi Center	Lodi
Northeast Center	Stockton
Taft Center	Stockton
Thornton Center	Thornton

The Centers that are located near schools serve as a location for youth to participate in after school activities. Typically, activities are available year-round, with additional programming during the summer months.

Youth services will adhere to Public Health and State guidelines, and are contingent upon the COVID-19 recovery.

- **Recreation Program** - The Program offers youth an opportunity to participate in seasonal sports such as basketball and flag football, and a year-round dance program. Older adult activities such as walking groups and other exercise classes are available to keep patrons invested in their health. The youth and senior activities provide a free alternative to private pay exercise and sport activities.
- **Summer Youth Enrichment Program** - Recreational and educational services are provided to over 200 youth through the network of eight Community Centers. Field trips, arts and crafts, intramural sports, motivational speakers, and summer reading programs provide positive activities when school is not in session.
- **Summer Aquatics Program** - The pool at the Kennedy Community Center is open from Memorial Day until school resumes for the local youth. Recreational and instructional swimming are offered to provide a constructive activity while school is not in session.

### Trust Accounts

ACS uses seven trust accounts to provide better community services. These accounts are monitored and are restricted for specific purposes.

# 5054101000—Aging & Community Services

## Human Services

Aging & Community Services Trust Accounts	Fund	Balance at March 31, 2020	Interest Bearing	Restricted
<b>Department of Aging - Weatherization</b> <i>Accounts for revenue earned by the Department when providing weatherization measures to eligible low-income households. Account reimburses the General Fund for actual program expenditures on a periodic basis.</i>	15301	\$353,759	Yes	Yes
<b>Weatherization Materials</b> <i>Accounts for weatherization material cost. Account is charged as materials are purchased and placed in inventory. Credit is provided as materials are consumed by the program.</i>	15302	\$77,041	No	Yes
<b>Community Action Agency - Donation</b> <i>Accounts for donations to County Community Centers and related expenditures of these discretionary funds.</i>	15307	\$121,736	No	Yes
<b>Senior Awareness Program</b> <i>Tracks donations made to Department and event expenditures for the annual Senior Awareness Day event.</i>	15309	\$62,469	Yes	Yes
<b>Retired &amp; Senior Volunteer Program</b> <i>Tracks discretionary revenue earned by the program that can be used to meet RSVP non-Federal matching requirements.</i>	15314	\$30,366	No	Yes
<b>Department of Aging</b> <i>Accounts for revenue earned through operations of the aging and adult service programs.</i>	81872	\$663,678	No	Yes
<b>Ombudsman Trust</b> <i>Administers donations made to the Department for Ombudsman Program.</i>	81896	\$17,128	No	Yes

# 5054101000—Aging & Community Services

## Human Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Aging Services</b>					
<i>Brown Bag/ Supplemental Food Meals <sup>1</sup></i>	69,196	65,282	62,688	0	0
<i>Clients Served</i>	21,137	27,789	24,193	22,954	23,550
<i>Congregate Meals</i>	93,002	85,743	90,797	93,205	95,000
<i>Meals on Wheels</i>	134,720	118,863	108,594	96,930	124,800
<i>In-Home Assistance Hours Served</i>	5,116	11,179	13,898	14,500	13,500
<i>Long-Term Care Cases</i>	34	42	40	45	45
<i>Ombudsman Complaints Investigations</i>	667	718	700	710	700
<i>RSVP Volunteers Served</i>	384	351	282	247	283
<b>Community Services</b>					
<i>Anti-Crime <sup>2</sup></i>	2,235	2,246	2,200	2,329	2,300
<i>Clients Served (Unduplicated) <sup>2</sup></i>	1,789	1,842	2,000	7,694	7,800
<i>Commodity Households Served <sup>3</sup></i>	25,990	24,859	34,683	39,192	45,720
<i>Emergency Food &amp; Clothing <sup>2</sup></i>	2,009	3,606	4,748	2,536	2,600
<i>Units of Service Provided to Clients <sup>2</sup></i>	325,528	345,796	260,898	184,343	185,000
<b>Energy Programs</b>					
<i>Utility Payments</i>	7,600	6,664	6,382	6,505	6,749
<i>Weatherizations</i>	522	367	346	316	314

**Figures can include multiple services to individuals/households throughout the year.**

<sup>1</sup> Contracted agency opted to continue the program without Older Americans Act funding.

<sup>2</sup> New data collection software was implemented at the Community Centers in February 2019.

<sup>3</sup> Commodities program is projected to receive additional food in 2019-2020 and future fiscal years.

# 505330000—Burials

## Human Services

Patrick Withrow, Sheriff-Coroner-Public Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Services &amp; Supplies</i>	\$43,408	\$35,000	\$0	\$0	(\$35,000)
<i>Total Appropriations</i>	\$43,408	\$35,000	\$0	\$0	(\$35,000)
<b>Earned Revenues By Source</b>					
<i>Licenses/Permits/Franchises</i>	\$13,406	\$12,000	\$0	\$0	(\$12,000)
<i>Miscellaneous Revenues</i>	3,654	1,000	0	0	(1,000)
<i>Total Revenues</i>	\$17,060	\$13,000	\$0	\$0	(\$13,000)
<b>Net County Cost</b>	\$26,348	\$22,000	\$0	\$0	(\$22,000)

- Office of the Medical Examiner (#2021640000) and Burials (#5053300000) budgets are being consolidated and responsibility will be transferred from the Sheriff's Office to the Health Care Services Agency, effective July 1, 2020.

### Program Discussion

#### Transition to Health Care Services Agency

On May 15, 2018, the Board of Supervisors adopted an ordinance establishing the Medical Examiner as a separate division within the Health Care Services Agency. Refer to Health Care Services – Office of the Medical Examiner

budget (#4049700000) for the 2020-2021 budget. With the transition of operating costs to the Health Care Services – Office of the Medical Examiner in 2020-2021, the Sheriff – Burials budget will become inactive. This budget is included for purposes of disclosing 2018-2019 actuals.

# 5055103000—Employment & Economic Development

## Human Services

John Solis, EEDD Director

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$6,381,470	\$8,197,653	\$8,844,622	\$8,558,314	\$360,661
Services & Supplies	5,909,365	10,891,945	10,610,676	10,896,984	5,039
Centrally-Budgeted Expenses	414,182	211,862	318,068	318,068	106,206
<b>Total Expenditures</b>	<b>\$12,705,017</b>	<b>\$19,301,460</b>	<b>\$19,773,366</b>	<b>\$19,773,366</b>	<b>\$471,906</b>
Expenditure Reimbursements	(2,618,405)	(3,659,189)	(3,689,396)	(3,689,396)	(30,207)
<b>Total Appropriations</b>	<b>\$10,086,612</b>	<b>\$15,642,271</b>	<b>\$16,083,970</b>	<b>\$16,083,970</b>	<b>\$441,699</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$9,314,716	\$14,814,400	\$15,246,027	\$15,246,027	\$431,627
Charges For Services	454,286	490,000	490,000	490,000	0
Miscellaneous Revenues	329,128	337,871	347,943	347,943	10,072
Fund Balance	(11,518)	0	0	0	0
<b>Total Revenues</b>	<b>\$10,086,612</b>	<b>\$15,642,271</b>	<b>\$16,083,970</b>	<b>\$16,083,970</b>	<b>\$441,699</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>					
Allocated Positions	70.0	71.0	73.0	71.0	0.0
Temporary (Full-Time Equivalent)	3.6	7.4	11.4	11.4	4.0
<b>Total Staffing</b>	<b>73.6</b>	<b>78.4</b>	<b>84.4</b>	<b>82.4</b>	<b>4.0</b>

### Purpose

The Employment and Economic Development Department (EEDD) provides employment training, education, and economic development services throughout the County. It serves as staff to the Workforce Development Board (WDB), San Joaquin County Economic Development Association (EDA), and the Revolving Loan Fund (RLF). Employment and training services for the California Work Opportunity and Responsibility to Kids Act (CalWORKs) Program is also provided under a cooperative agreement with the Human Services Agency (HSA).

Once a jurisdiction has received designation as a Local Workforce Development Area (LWDA), levels of service must conform to standards established by law. EEDD is the designated administrative entity and is responsible for managing these functions and ensuring compliance with Federal and State program guidelines.

The EEDD budget is primarily supported by Federal and State funds from the Workforce Innovation and Opportunity Act (WIOA), the CalWORKs Program, and grants, with no General Fund contribution.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Employment and Economic Development Department (EEDD) focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues. The following highlights provide an overview of several projects, programs, and activities administered by EEDD.

# 5055103000—Employment & Economic Development

## Human Services

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### **Ensure Fiscal Responsibility**

During 2020-2021, EEDD will continue to seek cost-sharing agreements with its partner agencies, including future co-location within EEDD facilities and the sharing of infrastructure and staffing costs when possible. In 2019-2020, EEDD applied for over \$1.7 million in competitive grants in an effort to supplement primary funding sources. In 2018-2019, EEDD, on behalf of the Regional Planning Unit (RPU), applied for State Prison to Employment (P2E) grant funding. The County will receive approximately \$724,000 of the \$3.0 million awarded to the RPU through March 2022. EEDD serves as the administrative entity and fiscal agent for the RPU, which provides increased administrative funding. EEDD will continue to apply for additional funding opportunities as they become available.

EEDD established a Fiscal Officers Group comprised of nine California Central Valley LWDA's that meet quarterly to discuss common issues affecting workforce development agencies. The group reviews new directives, shares common practices, and discusses emerging financial trends and corresponding implications.

In 2019-2020, EEDD also initiated a quarterly Case Manager focus group with the One-Stop Operator staff from the Central Valley and the Motherlode regions. EEDD has taken a leadership role in the review of draft directives with staff from both regions, forwarding pertinent information and recommendations to the State. Both groups are valuable resources to the WDBs for the participating regions and are convened remotely, eliminating the need and expense for travel.

### **Promote Good Governance and Increase Organizational Capabilities**

EEDD has been successful in expanding the promotion of services and employment opportunities on social media platforms. EEDD is now promoting services through cellular phone text services. Research has shown this form of outreach to be more effective than e-mail outreach because of its immediacy.

### **Improve Public Safety and Enhance Overall Criminal Justice System**

EEDD is the designated administrative entity and fiscal agent under the P2E Program, which serves the formerly incarcerated and other justice-involved individuals in California. EEDD represents 8 WDBs and 10 counties from Kern to San Joaquin. EEDD is also responsible for program

oversight and reporting, and the procurement of goods and services, including service providers throughout the RPU.

### **Promote Economic Development**

In partnership with the Board and the WDB, EEDD will continue working collaboratively with partner agencies and the business community to stimulate economic development in the County by implementing new employment training and job placement services where the needs of business are the focus. The Department will continue engaging targeted and priority industry sectors in conversations to identify needs for training qualified job candidates, business services, and advocacy. These discussions will provide a better understanding of the emerging job classifications and new skill sets required of job candidates to enable the emerging workforce to compete in the global economy. Additionally, educational institutions support EEDD's efforts by developing new coursework, curriculum, and certification programs that focus on skill sets desired by County business and industry.

EEDD administers and staffs the EDA, the County's lead agency for promoting Countywide economic development, job creation, business retention and expansion, and entrepreneurial development. The EDA works in partnership with all public and private sector economic development and business service organizations to create a proactive, business-friendly environment that is responsive and supportive to the needs of the County's key and emerging industry sectors. The EDA's primary objective is to promote economic vitality and diversification throughout the County that will result in the creation and retention of jobs and capital investment.

The EDA administers the County's Economic Development Incentive Policy and continues to evaluate the new Federal Opportunity Zone Program to determine how the two programs could be integrated to further induce economic development opportunities. In November 2018, the EDA was allocated \$195,247 of Employment Training Panel (ETP) funding to reimburse County employers for qualified employee training costs, thereby reducing their operational and training costs. These funds are available through November 2020 and can be increased as demand warrants. To date, a total of 52 incumbent workers received training and in 2019-2020 EEDD received reimbursements totaling \$134,079. The current contract will be modified to include an additional \$105,000, increasing the total funding available to approximately \$300,000. A second ETP contract is anticipated in July 2020 to provide another \$300,000.



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### Major Budget Changes

➤ \$14,983 Increase in RLF revenue for property maintenance.

### Salaries & Employee Benefits

➤ \$219,857 Salary and benefits adjustments.

➤ \$140,804 Increase in extra-help.

➤ \$10,072 Increase in space rental revenue for Small Business Development Center and Employment Development Department.

### Services & Supplies

➤ \$27,500 Increase in household supplies and waste services contract costs.

➤ \$26,888 Increase in rent based on current contracts.

➤ \$10,700 Increase in technology replacement costs.

➤ \$10,000 Increase in property maintenance costs.

➤ \$10,000 Increase in Workers' Compensation Insurance costs related to Work Experience participants.

➤ (\$105,336) Decrease in program costs for grants, including WIOA Trade and Economic Transition National Dislocated Worker Grant.

### Centrally-Budgeted Expenses

➤ \$56,411 Increase in data processing charges.

➤ \$17,463 Increase in Workers' Compensation Insurance costs.

➤ (\$25,710) Decrease in Casualty insurance costs.

➤ \$58,042 Cost Allocation Plan adjustment.

### Expenditure Reimbursements

➤ \$27,847 Increase in reimbursement from Community Corrections Partnership for employment and training services for Assembly Bill (AB) 109 clients.

### Revenues

➤ \$375,644 Increase in WIOA funding Summer Training and Employment Program for Students (STEPS).

➤ \$41,000 Increase in State revenue for P2E grant.

### Program Discussion

The recommended 2020-2021 Employment and Economic Development Department (EEDD) budget totals \$19,773,366, which is an increase of \$471,906 from the 2019-2020 adjusted budget. This increase is primarily due to salary and benefits adjustments (\$219,857), an increase in extra-help (\$140,804), a Cost Allocation Plan adjustment (\$58,042), and increases in data processing charges (\$56,411), household supplies and waste services contract (\$27,500), rents (\$26,888), and Workers' Compensation insurance costs (\$17,463), offset by a decrease in grant related program costs (-\$105,336).

EEDD has requested the addition of an Administrative Services Manager position and an EEDD Division Manager position. The Human Resources (HR) Division is in the process of conducting an organizational review of vacant management positions currently allocated to EEDD. HR recommends that no additional management allocations be added until the organizational review and analysis has concluded. The organizational review is anticipated to conclude in early 2020-2021 and the Department may return to the Board with recommended adjustments should HR support them.

At the request of EEDD, HR conducted an incumbent classification study for the existing Accounting Manager position, which will result in a re-classification that will be processed by HR for implementation midyear 2020-2021. HR will also complete the requested classification and compensation review of the EEDD Economic Development Director position in 2020-2021.

### Workforce Innovation & Opportunity Act (WIOA)

The recommended budget reflects anticipated WIOA funding totaling \$13.3 million for employment and training services.

As the designated administrative entity of WIOA, EEDD administers WorkNet Centers in Stockton, Lodi, Manteca, Tracy, and on the San Joaquin Delta College campus. Demand for employment and training services in the County will remain high in 2020-2021. The County experienced an 8.3% unemployment rate in March 2020. This compares with an unadjusted unemployment rate of 5.6% for California and 4.5% for the nation during the same period. WorkNet service

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levels are dependent on WIOA funding and employment opportunities. Limited training resources make it difficult to reach the service levels needed to meet the demands of the County.

During 2020-2021, WorkNet will enhance its business engagement strategy, working closely with critical and strategic partners to support economic development in the region. EEDD will continue the development of a WorkNet Center located at the Manteca Unified School District Adult School campus, where it houses its administrative offices, four charter schools, and its hub for career and technical education. The partnership in Manteca will offer a more comprehensive service delivery system that is responsive to the needs of the Manteca and Lathrop communities.

WorkNet Centers will continue to provide comprehensive services to both the business community and job seekers. The One-Stop Career Centers will continue to enhance their service delivery system with additional allocations from other funding sources, including State and Federal program initiatives, and will be an intricate part of the Rapid Response activities that serve businesses and displaced workers. In 2019-2020, 12 major job fairs, 40 targeted job fairs, and 460 sector-specific job fairs and recruitments were held, bringing together nearly 15,395 local job seekers and 1,100 businesses.

EEDD also functions as a service provider to HSA for employment and training services and in 2020-2021 will continue to partner with HSA to leverage resources from the CalWORKs and WIOA programs. Programs will be coordinated to ensure that services meet the needs of CalWORKs clients.

In 2020-2021, the Department of Child Support Services will co-locate staff in the WorkNet One-Stop Center in Tracy to facilitate the delivery of services to mutual customers and leverage resources to be more responsive to the needs of the target population.

### *2019 Novel Coronavirus (COVID-19) Impacts and 2020-2021 Funding Outlook*

In the third and fourth quarters of 2019-2020, the COVID-19 pandemic severely impacted local, State, and national economies, resulting in significant worker displacement and increasing the need for additional funding. EEDD curtailed all in person meetings during the pandemic and services were provided by appointment through phone, text message, and all forms and job search workshop recordings were made available through the website. In April 2020, EEDD received notification from the State Employment Development Department (EDD) of an award of COVID-19 specific funding in the amount of \$252,000 to provide supportive services to individuals impacted by COVID-19, which may include assistance with equipment necessary to telework,

housing, utilities, childcare and transportation. In May 2020, EEDD received notification from EDD of the award of an additional \$315,000 in funding to provide services for dislocated workers who were laid off as a result of the Coronavirus pandemic. EEDD anticipates bringing an item for the Board's consideration in June 2020. In 2020-2021, job creation and employment opportunities will remain a priority at all levels of government. Federal and State economic stimulus packages are expected to continue to provide workforce development funding to support businesses and facilitate the re-entry of displaced workers into the labor market once the COVID-19 pandemic crisis reaches its peak and the economy moves toward a full recovery.

The Department of Labor (DOL) allocates funding to States using the Federal formula that takes into consideration the current and long-term unemployment rate, poverty rate, natural disasters, and high-risk populations. States will then use a similar formula to allocate funding to LWDA's. Based on the preliminary planning figures provided, the recommended 2020-2021 EEDD budget plans for minor increases to 2019-2020 WIOA allocations. Additional funding is anticipated to become available through a competitive process.

EEDD will continue its aggressive effort to pursue competitive funding sources and any additional Governor's discretionary funds to augment its budget and provide additional service capacity to satisfy the growing employment and training needs of the County. The State allocated a total of \$37.0 million for the P2E program for 2019-2020. With the delays in the release of the funding for the P2E Program and AB 1111 (2017), WDBs anticipate receiving additional funding in 2020-2021. AB 1111 provides State funding to local WDBs to increase collaboration and coordination between community service organizations facilitating the delivery of employment and training services to the most difficult populations, including veterans, homeless, and people with disabilities. In 2019-2020, \$25.0 million was made available Statewide and EEDD received a grant totaling \$150,000 to deliver services to the homeless. Approximately \$50.0 million will be allocated in future years.

### **Youth Programs**

#### *Work Start Youth Education Services*

WIOA youth funding allows EEDD to provide at-risk and low-income youth with employment and training opportunities that prepare them for high school graduation and assistance entering post-secondary vocational training, education, and employment. Contracted service providers operate year-round programs serving youth under the Work Start Youth Education Services Program. Through the Program, at-risk youth with multiple barriers to employment are provided an array of services tailored to their specific

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needs and career interests. The current service providers are required partners of the WDB who have established partnerships within the workforce development, education, human services, and juvenile justice systems.

### *CalWORKs Summer Youth Employment and Training Program (SYETP)*

Due to a continued reduction in CalWORKs funding, there will be no funding allocated for the CalWORKs Summer Youth Employment and Training Program (SYETP) in 2020-2021.

### *Summer Jobs Program*

During 2019-2020, approximately 1,109 youth who were unable to participate in the SYETP were placed directly into private sector jobs through the Summer Jobs Program where the respective businesses paid their wages. The Summer Jobs Program conducted 59 Job Preparedness orientations, serving 3,327 students.

### *Hire Me First Internship Program*

In 2019-2020, the Hire Me First Internship Program enrolled 994 high school students, and 144 participating employers provided 475 internships. This Program provides students an opportunity to conduct career exploration while acquiring job-specific skills and work ethics. The participating employers in these programs provided 3,327 unsubsidized employment opportunities. The Internship Program includes the City of Tracy, Manteca Unified School District, Mountain House, and the City of Lathrop.

For the summer 2020 program, EEDD received notification it will be receiving a \$750,000 allocation, an increase of \$250,000 from the 2019 summer program for STEPS from the State Department of Rehabilitation. STEPS is a program that offers employment training for disabled individuals ages 16 to 21. It is estimated that EEDD will serve 150 program participants. The recommended budget includes an increase in extra-help to assist with program implementation.

The recommended budget includes \$4.2 million in WIOA funding for the Youth Programs, an increase of approximately \$288,000.

### **Local Workforce Development Areas (LWDAs)**

As a founding member of the Central California Workforce Collaborative (CCWC), EEDD partners with the other seven Central Valley LWDAs to leverage resources and apply for regional grants. Through this partnership, in 2018-2019, EEDD secured Veterans Employment Assistance Program grant funding from the State to provide Veterans with training and other employment-related services in high-demand industry sectors leading to careers in manufacturing,

transportation/logistics, construction, and health care. Additionally, EEDD has joined four other CCWC partners in applying for new funding to serve additional Veterans.

EEDD has also been participating in a regional planning grant with the CCWC partners to engage stakeholders and improve collaboration between LWDAs and organizations that serve the formerly-incarcerated and justice-involved individuals. The goal of the regional P2E grant initiative is to develop innovative methods of service delivery for this target population and provide career pathways that reduce recidivism.

The RPU, from Kern County to San Joaquin County, is the largest RPU in the State, covering approximately 25% of the State's land area, including ten counties and eight WDBs. All eight WDBs designated the San Joaquin WDB as the administrative entity and Fiscal Agent for the P2E grant. This fiscal year's allocation is \$1,541,000, with San Joaquin County receiving \$394,000.

### **Other Services/Programs**

#### *One-Stop Economic Development Center*

EEDD will continue to operate the One-Stop Economic Development Center promoting business development and expansion, facilitating access to available resources that support growing and emerging industries. This includes a strong linkage with the Small Business Development Center of San Joaquin Delta College, economic development agencies, the RLF, the EDA, and the WDB.

In coordination with the County Administrator's Office and the San Joaquin Partnership, EEDD developed the Economic Development Incentives Policy (EDIP) and Business Incentives Guide that were approved by the Board in January 2018 (B-18-66). As the administrator of the EDIP, the EEDD anticipates five EDIP inquiries will be received in 2020-2021, depending upon the severity and length of the COVID-19 pandemic.

#### *Non-County Organization Funding Request*

The EDA has requested a total of \$110,000 of Non-County Organization funding in 2020-2021. If awarded, the EDA will use the funding to oversee the EDIP program and Business Team San Joaquin to enhance the County's regional economic development efforts. Approximately \$55,000 of the requested funding would be used to evaluate and pursue the formation of an Enhanced Infrastructure Financing District to provide tax increment funds to build critical infrastructure throughout the County.

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### *Business Retention and Expansion Program (BREP)*

EEDD will continue to administer and implement its comprehensive BREP through the EDA in partnership with the local municipalities, chambers of commerce, and other organizations that deliver resources and services to new and existing businesses to support business growth. In 2019-2020, approximately 1,500 businesses were contacted to offer services such as tax credit benefits, employee recruitment and training, and RLF financing and labor market information. In partnership with Business Team San Joaquin strategic partners, 1,350 visits, an increase of 358 over prior year, were made to local businesses employing 25 to 200 employees to identify and address any concerns of doing business in the County.

To support the BREP initiative, the EDA received \$20,000 from the City of Tracy, \$20,000 from the City of Manteca, and another \$4,684 from the City of Ripon in 2019-2020. The cities of Lathrop and Stockton also entered into Economic Development Service agreements with the EDA in the amounts of \$26,914 and \$20,000, respectively, which expired in the second quarter of 2019-2020. Because of varying contract terms, the EDA is renegotiating these agreements throughout the year. The EDA believes there could be some reduction in funding pursuant to these agreements due to impacts of the COVID-19 crisis, as many municipalities will need to focus scarce financial resources on essential services and programs.

### *Revolving Loan Fund (RLF)*

The RLF Program provides financing to businesses in San Joaquin County that cannot obtain financing from traditional sources, and meet Program eligibility requirements. As of February 29, 2020, the RLF has 20 active loans with a total principal outstanding balance of \$3.6 million, consisting of \$2.5 million of principal outstanding and \$995,000 that has yet to be drawn down by the borrowers. Approximately \$3.5 million will be available for RLF lending in 2020-2021. The RLF anticipates funding four to six traditional RLF loans totaling \$1.0 million in 2020-2021. Furthermore, numerous modifications to existing RLF loans are expected that could increase outstanding loan balances by hundreds of thousands of dollars due to COVID-19 economic impacts. Upon learning the Federal Economic Development Administration was allowing local RLF administrators to modify their lending programs to assist businesses in response to the COVID-19 pandemic, the RLF swiftly pursued the establishment of a special loan provisions to assist San Joaquin County businesses, including those businesses that were forced by government action to temporarily suspend operations.

On April 7, 2020, the Board of Supervisors approved temporary amendments to the RLF Administrative Plan to

modify traditional RLF program provisions and loan payment requirements and establishment of a new loan program called the Emergency Business Support Fund (EBSF) (B-20-193). The purpose of the EBSF is to alleviate potential loan defaults among existing borrowers, allow businesses to maintain key personnel, and provide San Joaquin County businesses a short-term cash infusion, thereby enabling companies to focus their attention on re-starting business operations once the COVID-19 crisis ends. The new EBSF is initially capitalized with \$600,000 and is available to any San Joaquin County business that has been negatively impacted by the COVID-19 pandemic and is need of short-term working capital to maintain key personnel, make rent/mortgage payments, or meet other critical obligations to ensure business viability.

<b>Program Year End</b>	<b>Outstanding Principal</b>	<b>Active Loans</b>	<b>Total Cumulative Loans</b>
2020	\$4,100,000	21	192
2019	\$3,157,301	16	184
2018	\$3,109,835	18	181

The RLF offers a Business Incubator Loan (BIL) Program to entrepreneurs who need seed capital or small loans between \$5,000 and \$25,000. The BIL Program fills an important niche in the financial marketplace and provides flexibility, fixed rates, and low fees. Since inception, 23 BIL Program loans have been approved supporting the creation and retention of 118 jobs in the County.

In early 2019, the RLF unveiled a new Small-CAP loan product offering expedited processing for applications ranging from \$25,000 to \$100,000. This initiative is intended to streamline the lending process, thus getting loan funds to approved borrowers and enabling them to expand their business and create jobs within the County. During 2019-2020, five Small-CAP loans were approved and funded.

### *High School and Incumbent Worker Apprenticeship Program Initiatives*

In 2018-2019, EEDD initiated the development of a High School Apprenticeship Program. The initiative includes the Labor and Workforce Development Agency, Division of Apprenticeship Standards, San Joaquin Delta College Local Education Agency (LEA), San Joaquin County Office of Education LEA, DOL, California WDB, State Department of Education, Community College Chancellor's Office, local school districts, municipalities, local labor organizations, and employer organizations.

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On September 25, 2019, the partner agencies and members of the two subcommittees provided a progress report to all the stakeholders and members of the Steering Committee. The Master Apprenticeship committee was established along with the first Incumbent Worker Apprenticeship Program under the County of San Joaquin with its first three apprentices coming on-board in January 2020. The County Apprenticeship Program is the first Public Sector Apprenticeship Program in both the State and the Nation under a County Civil Service System.

The High School Apprenticeship Program Initiative was awarded a California Apprenticeship Initiative Grant from the Community College Chancellors Office. The grant will be administrated by the San Joaquin County Office of Education and will enable us to provide funding to eight school districts to hire part-time Apprenticeship Coordinators. The first Apprentices are anticipated to start in September 2020 and 25 Apprentices will be enrolled by the end of the second year. The High School Apprenticeship Program will be the first in the State. Public Civil Service entities will be the employers of record including the respective school districts. The

private sector component will be next and outreach to the business community has begun.

### *Comprehensive WorkNet One Stop Center Relocation*

From the beginning, the Comprehensive WorkNet One Stop Center at 56 South Lincoln Street in downtown Stockton has exceeded the objectives of the State and DOL, receiving recognition as a top Service Delivery System. As a partner in association with America’s Job Centers of California, the One Stop Center received the highest certification, the Hallmarks of Excellence Certification.

After 15 years in the current location, EEDD’s lease will expire on September 30, 2020. In 2020-2021, the County will finalize plans to move the Department to a new location. The County is negotiating a lease extension with Stockton Unified School District who purchased the property in April 2019. An extension of the lease would provide additional time to transition the Department into a new facility. EEDD also plans to negotiate lease extensions with collocated partner agencies.

### Employment and Economic Development Department Funding Sources

<b>Program</b>	<b>2019-2020 Funding</b>	<b>2020-2021 Funding</b>	<b>% of 2020-2021 Budget</b>	<b>Change +/(-)</b>
Workforce Innovation and Opportunity Act	\$11,559,716	\$12,431,170	62.9%	\$871,454
WIOA Trade & Economic Transition National Dislocated Worker Grant	995,810	0	0.0%	(995,810)
WIOA Workforce Accelerator 8.0 to Address Homelessness	0	150,000	0.7%	150,000
Human Services Agency	2,778,617	2,778,617	14.0%	0
SJC Economic Development Association	490,000	490,000	2.5%	0
Revolving Loan Fund	358,874	373,857	1.9%	14,983
Community Corrections Partnership/AB 109	801,940	829,787	4.2%	27,847
Prison to Employment Grant	1,500,000	1,541,000	7.8%	41,000
Summer Training and Employment Program for Students Grant	400,000	750,000	3.8%	350,000
Miscellaneous Revenue	416,503	428,935	2.2%	12,432
<b>Total</b>	<b>\$19,301,460</b>	<b>\$19,773,366</b>	<b>100.00%</b>	<b>(\$471,906)</b>

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## Human Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Adult Services</b>					
<i>Job Seekers Served</i>	42,825	36,824	43,809	55,517	54,893
<i>Job Seeker Services</i>	78,002	85,094	170,886	210,094	219,920
<i>Enrolled Participants</i>	1,683	1,542	2,179	2,452	2,460
<i>Job Placements</i>	521	719	640	634	653
<i>Average Hourly Earnings*</i>	\$17.37	\$18.40	\$16.83	\$20.75	\$20.49
<i>Major/Targeted Job Fairs</i>	2/131	5/383	672	670	701
<i>Employers Participating</i>	240	563	1,426	1,508	1,592
<b>Youth Services</b>					
<i>Youth Served</i>	4,732	4,267	3,055	3,044	3,147
<i>Youth Services</i>	11,184	16,610	7,797	8,049	8,473
<i>Work Experience</i>	1,221	1,613	313	529	617
<i>Job Placements</i>	1,105	1,159	741	1,174	1,195
<b>Business Services</b>					
<i>Businesses Served</i>	3,359	2,484	2,499	2,871	2,513
<i>Business Service Referrals</i>	200	434	21,931	19,512	19,494
<i>Layoff Aversion/Business Retention</i>	791	837	1,072	1,448	1,010
<i>Revolving Loan Fund Inquiries</i>	56	48	43	50	65
<b>Rapid Response</b>					
<i>Businesses Affected</i>	19	17	27	25	50
<i>Orientations</i>	88	198	305	1,269	1,500
<i>Impacted Employees</i>	690	863	3,241	1,221	3,000
<b>Website Usage</b>					
<i>User Sessions</i>	289,844	280,847	281,329	274,848	274,848
<i>Site Hits</i>	3,982,783	3,386,736	3,090,091	3,006,620	3,006,620
<b>CalWORKs/Welfare-to-Work</b>					
<i>Work Experience/Community Service</i>	2,312	465	274	183	183
<i>Client Assessment</i>	529	458	373	288	288
<i>SYETP CalWORKs</i>	899	1,017	0	0	0
<b>Homeless Served</b>	N/A	N/A	44	53	100

\*WIA/WIOA only.

# 5055246000—Neighborhood Preservation

## Human Services

David Kwong, Community Development Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$917,271	\$964,067	\$995,945	\$995,945	\$31,878
Services & Supplies	79,139	167,450	204,950	204,950	37,500
Centrally-Budgeted Expenses	14,814	40,088	430,231	430,231	390,143
Other Charges & Uses	6,287,271	16,391,562	18,853,723	18,853,723	2,462,161
Capital Expenditures	228,255	748,000	617,426	617,426	(130,574)
<b>Total Expenditures</b>	<b>\$7,526,750</b>	<b>\$18,311,167</b>	<b>\$21,102,275</b>	<b>\$21,102,275</b>	<b>\$2,791,108</b>
Expenditure Reimbursements	(904,239)	(665,000)	(732,187)	(732,187)	(67,187)
<b>Total Appropriations</b>	<b>\$6,622,511</b>	<b>\$17,646,167</b>	<b>\$20,370,088</b>	<b>\$20,370,088</b>	<b>\$2,723,921</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$5,663,205	\$17,646,167	\$20,370,088	\$20,370,088	\$2,723,921
<b>Total Revenues</b>	<b>\$5,663,205</b>	<b>\$17,646,167</b>	<b>\$20,370,088</b>	<b>\$20,370,088</b>	<b>\$2,723,921</b>
<b>Net County Cost</b>	<b>\$959,306</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Allocated Positions</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>7.0</b>	<b>0.0</b>

### Purpose

The Neighborhood Preservation Division of the Community Development Department administers, plans, coordinates, and implements the County’s grant-funded housing and community development programs. Federal funding for these programs come from the Federal Department of Housing and Urban Development’s (HUD) Community Development Block Grant (CDBG), the Emergency Solutions Grant (ESG), and HOME Investment Partnership (HOME) programs for the unincorporated County and five participating cities (Escalon, Lathrop, Manteca, Ripon, and Tracy), and the Continuum of Care (CoC) program. State funding programs administered by the California State Department of Housing and Community Development include the State ESG, California Emergency Solutions and Housing (CESH), Homeless Emergency Aid Program (HEAP), and Homeless Housing, Assistance, and Prevention (HHAP).

### Major Budget Changes

#### Salaries & Employee Benefits

- \$31,878 Salary and benefits adjustments.

#### Services & Supplies

- \$30,000 Increase in consultant costs for the CDBG Consolidated Plan update.

#### Centrally-Budgeted Expenses

- \$380,981 Cost Allocation Plan adjustment.

#### Other Charges

- \$5,568,792 Program costs for new State HHAP.
- \$2,786,188 Re-budget CoC program costs and consolidate Shelter Plus Care program costs.
- \$1,696,337 Increase in CDBG program costs.
- \$798,950 Increase in Federal ESG program costs.
- \$760,189 Re-budget public improvement projects from 2019-2020.
- \$365,734 CESH Round 2 and re-budget Round 1 projects.

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## Human Services

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- \$271,162 Re-budget HOME projects from 2019-2020.
- (\$6,840,674) Decrease in State HEAP projects.
- (\$2,874,679) Decrease in Shelter Plus Care program costs due to consolidating with CoC program costs.

### Revenues

- \$5,990,722 Funding for new State HHAP.
- \$1,261,130 Re-budget HOME program revenue.
- \$819,039 Increase in re-budgeted HUD funding.
- \$816,753 Increase in Federal ESG funding.
- \$389,860 Re-budget CoC program revenue.
- \$351,864 Funding for CESH Round 2 and re-budget of Round 1 revenue.
- (\$6,840,674) Decrease in State HEAP funding.
- (\$64,774) Decrease in State ESG funding.

### Capital Expenditures

- \$346,830 Re-budget Public Works South Stockton Sidewalks project.
- \$244,096 East Stockton Storm Drainage Improvements.
- \$26,500 Facility project development.

### Program Discussion

The 2020-2021 recommended Neighborhood Preservation budget totals \$21,102,275, which is an increase of \$2,791,108 from the 2019-2020 adjusted budget. This is primarily due to increases in HHAP program costs (\$5,568,792), re-budgeted CoC program costs and consolidation of Shelter Plus Care program costs (\$2,786,188), CDBG program costs (\$1,696,337), Federal ESG program costs (\$798,950), re-budgeted public improvement project costs (\$760,189), State CESH Round 2 and re-budgeted Round 1 projects (\$365,734), and a Cost Allocation Plan adjustment (\$380,981); partially offset by decreased State HEAP program costs (-\$6,840,674), and decreased Shelter Plus Care program costs due to consolidating with CoC program costs (-\$2,874,679).

### 2019 Novel Coronavirus (COVID-19)

Prior to the COVID-19 pandemic, the Community Development Department had anticipated a 3% annual growth rate, which mirrored the State of California Governor's annual growth estimate for 2020-2021. Due to the current economic conditions and uncertainty, the Department will closely monitor economic indicators in 2020-2021 and may return to the Board of Supervisors for midyear budget adjustments. In addition, overall grant funding is expected to continue to be awarded to the CoC as well as the County for COVID-19 related programs. As more grants related to COVID-19 are released by the Federal or State governments, the workload for the Division may increase.

In March 2020, the California Homeless Coordinating and Financing Council announced the funding award for the COVID-19 Emergency Homeless Funding program. The County will receive a grant for approximately \$471,729 and the CoC will receive approximately \$512,734. These funds are intended for investments into COVID-19 prevention and containment efforts for homelessness. Eligible uses include emergency shelter operations, shelter capacity, isolation capacity, street outreach, transportation, and staffing. It is anticipated all of these funds will be expended in 2019-2020.

In April 2020, HUD announced the availability of grant funding from the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) to provide economic stimulus in response to the distress caused by the COVID-19 pandemic. As a result, the County will receive an additional \$1,171,865 through the CDBG program, and \$237,378 through the ESG program. The funding will be used to prevent, prepare for, and respond to COVID-19 impacts, and must be expended within two years.

### Community Development Block Grant

The County uses CDBG funds for infrastructure, public facilities, public services, recreation projects, and affordable housing. In 2020-2021, the County will receive an estimated \$1.7 million in CDBG funding, including funding for capital projects, and another \$1,624,591 in COVID-19 related funding. An additional \$1,081,596 is re-budgeted revenue for projects not yet completed.

### Capital Projects

The capital projects for 2020-2021 total \$617,426. These projects generally consist of public facility construction, enhancements for facilities located in low-income neighborhoods, or facilities to provide services to predominantly low-income persons.



# 5055246000—Neighborhood Preservation

## Human Services

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### HOME Investment Partnerships

In 2020-2021, the unincorporated County's share of funding is approximately \$799,000, which includes a nonprofit housing developer set-aside, with the remainder designated to the five participating cities (Escalon, Lathrop, Manteca, Ripon, and Tracy). In addition, there is re-budgeted revenue of \$462,130 for projects not yet completed. The County's funds will be utilized for down payment assistance for first-time homebuyers, Countywide rehabilitation of single-family housing, and overall program administration. A local nonprofit housing corporation will be funded to conduct eligible housing activity that provides a benefit to low-income households.

### Homeless Programs

#### *Emergency Solutions Grant (ESG)*

In 2020-2021, \$237,378 in ESG funding will continue to provide funding to support the operational costs of 10 homeless services and shelter providers in the County. One time funding for COVID-19 relief is included in the amount of \$818,545. In addition, \$32,088 is re-budgeted revenue for projects not yet completed.

Neighborhood Preservation acts as the administrative entity for the following programs and is tasked with financial oversight and ensuring that projects are timely and in compliance with all regulations and agreements:

#### *Continuum of Care (CoC)*

The CoC has set a goal to end homelessness by providing funding for the efforts of nonprofit service providers and local governments to quickly re-house homeless individuals and families, and promote access to programs to maximize self-sufficiency among people experiencing homelessness. Neighborhood Preservation collaborates with the CoC Board and the County's Program Administrator for Homeless Initiatives on programs that benefit the homeless.

The County received renewed funding commitments for 8 of the 10 expiring CoC housing programs and received funding

for 2 new programs, totaling \$5.2 million, which includes re-budgeted revenue for projects not yet completed, to continue providing housing and supportive services to homeless individuals and families.

In 2020-2021, applications for programs will be submitted to maximize the availability of supportive services and housing to transitioning homeless individuals and their families.

#### *Homeless Emergency Aid Program (HEAP)*

HEAP program funds must be used for one-time uses that address homelessness including, homeless prevention, criminal justice diversion program for homeless individuals with mental health needs, homeless youth, and emergency aid. A total of \$7.1 million in HEAP funds were allocated to projects in 2019-2020 and \$307,690 will be re-budgeted for projects not yet completed.

#### *California Emergency Solutions and Housing Program (CESH Rounds 1 and 2)*

In January 2020, the State of California awarded the County a grant for CESH funds (Round 2) for \$584,084 to be used for rental assistance and housing relocation, affordable permanent housing for the homeless, emergency housing, and system support for the Coordinated Entry or Homeless Management Information System. Unexpended Round 1 funds of \$811,961 have been re-budgeted for projects not yet completed.

#### *Homeless Housing, Assistance and Prevention (HHAP)*

In 2020-2021, the County will receive funding of approximately \$6.0 million for the HHAP program (\$2,891,390 for the County and \$3,099,332 for the CoC). Program funds must be used for rental assistance and rapid re-housing; operating subsidies; landlord incentives; outreach and coordination; systems support for activities necessary to create regional partnerships; delivery of permanent housing and innovative housing solutions; prevention and shelter diversion to permanent housing; and new navigation centers and emergency shelters.

# 5055246000—Neighborhood Preservation

## Human Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Program Expenditures</b>					
<i>CDBG Expense</i>	\$1,558,298	\$1,535,192	\$1,043,471	\$874,488	\$4,383,890
<i>Emergency Solutions Grant</i>	\$184,991	\$286,460	\$287,600	\$239,170	\$1,088,011
<i>HOME Expense</i>	\$496,958	\$845,995	\$883,641	\$789,554	\$1,261,152
<i>Continuum of Care</i>	\$4,049,491	\$5,215,379	\$4,320,706	\$4,969,908	\$5,370,328
<i>State ESG</i>	\$0	\$375,330	\$446,025	\$244,986	\$407,334
<i>CESH*</i>	N/A	N/A	N/A	\$189,130	\$1,396,045
<i>HEAP**</i>	N/A	N/A	\$4,399,912	\$2,440,762	\$307,690
<i>HHAP***</i>	N/A	N/A	N/A	N/A	\$5,990,722
<b>Housing Rehabilitation (Units)</b>					
<i>Homeless Prevention (Households)</i>	73	165	197	176	180
<i>Housing Rehabilitation (CDBG &amp; HOME)</i>	3	2	3	2	4
<i>First Time Homebuyers Assistance (CDBG &amp; HOME)</i>	19	21	10	10	10
<i>Tenant Based Assistance (CoC)</i>	1,129	1,054	1,330	1,160	1,160

\*CESH Grant awarded in 2019-2020. No data available.

\*\*HEAP funding awarded to County in 2018-2019.

\*\*\*HHAP funding will be received by the County in 2020-2021 (5-year funding).

# 505560000—Veterans Services Office

## Human Services

Greg Diederich, Health Care Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$491,117	\$521,499	\$525,583	\$525,583	\$4,084
Services & Supplies	56,783	83,760	140,630	140,630	56,870
Centrally-Budgeted Expenses	10,243	22,501	18,660	18,660	(3,841)
<b>Total Expenditures</b>	<b>\$558,143</b>	<b>\$627,760</b>	<b>\$684,873</b>	<b>\$684,873</b>	<b>\$57,113</b>
Expenditure Reimbursements	(150,000)	(160,000)	(160,000)	(160,000)	0
<b>Total Appropriations</b>	<b>\$408,143</b>	<b>\$467,760</b>	<b>\$524,873</b>	<b>\$524,873</b>	<b>\$57,113</b>
<b>Earned Revenues By Source</b>					
Aid From Other Governments	\$173,464	\$150,000	\$197,210	\$197,210	\$47,210
<b>Total Revenues</b>	<b>\$173,464</b>	<b>\$150,000</b>	<b>\$197,210</b>	<b>\$197,210</b>	<b>\$47,210</b>
<b>Net County Cost</b>	<b>\$234,679</b>	<b>\$317,760</b>	<b>\$327,663</b>	<b>\$327,663</b>	<b>\$9,903</b>
<b>Staffing</b>					
Allocated Positions	4.0	4.0	4.0	4.0	0.0
Temporary (Full-Time Equivalent)	2.0	2.0	2.3	2.3	0.3
<b>Total Staffing</b>	<b>6.0</b>	<b>6.0</b>	<b>6.3</b>	<b>6.3</b>	<b>0.3</b>

### Purpose

The Veterans Services Office (VSO) assists veterans in applying for and receiving benefits for education, health, and welfare services from the United States Department of Veterans Affairs (VA); coordinates the distribution of educational materials; provides consultations, referrals, and outreach activities; and works collaboratively with the VA, California Department of Veterans Affairs (CalVet), and various veterans service organizations in promoting the interests and welfare of veterans and their family members. The VSO serves as a liaison between the Board of Supervisors, other County agencies, and the public to ensure veterans receive the benefits and advocacy that they deserve.

The VSO serves and educates veterans, their dependents, and the community about behavioral health issues that can affect individuals transitioning from military service. Veterans earn a variety of benefits through their military service. Many of the over 32,000 veterans living in San Joaquin County are eligible to receive these benefits from the VA. The VSO collaborates with governmental and non-governmental agencies to increase awareness, education, and cooperation needed to enhance community involvement and ongoing supportive services for veterans and their families.

Levels of service are discretionary and revenue from the State, which is based on workload measurements, helps to maintain the VSO program. The VSO partners with CalVet to ensure newly assigned County Veterans Service Representatives receive proper technical training to standardize the claims process across the State.

### Major Budget Changes

#### Salaries & Employee Benefits

- (\$6,255) Salary and benefits adjustments.
- \$10,339 Increase in extra-help.

#### Services & Supplies

- \$40,000 Increase in funding to enhance services in support of Veterans Treatment Court (VTC).
- \$21,087 Biennial costs for VSO newspaper insert.

# 505560000—Veterans Services Office

## Human Services

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### Centrally-Budgeted Expenses

- (\$7,109) Cost Allocation Plan adjustment.

### Revenues

- \$47,210 Increase in State grant funding.

## Program Discussion

The 2020-2021 recommended budget for the Veterans Services Office (VSO) totals \$684,873, which is an increase of \$57,113 from the 2019-2020 adjusted budget. This change mainly reflects an increase in funding for services in support of the VTC (\$40,000), publication costs of the biennial VSO newspaper insert (\$21,087), and an increase in extra-help (\$10,339), offset by a Cost Allocation Plan adjustment (-\$7,109), and salary and benefits adjustments (-\$6,255).

The VSO collaborates with various agencies to ensure that County veterans receive new monetary benefits, retroactive payments, and other forms of assistance. In 2018-2019, the most recent year for which full-year data is available, new benefits paid as a result of VSO efforts totaled \$4.7 million, while overall benefits received were approximately \$10.6 million, including retroactive payments and assistance from other agencies. The VSO effectively partnered with the County's Whole Person Care program, the Public Guardian/Conservator's Office, the Human Services Agency, and the Department of Child Support Services to ensure 144 veterans and their families received VA care, support, and monetary benefits. These coordinated efforts culminated into a Medi-Cal avoidance and public savings of \$120,406. The VSO actively and diligently advocated for these veterans and their families to ensure they received the best possible care and monetary benefits. The claimants received an average of \$230 per month in new monetary benefits and averaged \$1,465 in one-time retroactive or lump sum payments.

As of March 2020, the VSO granted \$1,953,666 in college savings to 384 military dependent students with the California College Fee Waiver. Students receive no direct compensation but were authorized to attend California State Colleges, Universities, and community colleges with little to no financial burden. VSO outreach plans included increased visits to colleges, universities, and military installations to promote the California College Fee Waiver program.

### Veterans Employment and Training (VET)

The VSO recognizes that service members returning from active duty need compassionate and specialized care to access benefits and to enter the civilian workforce. The VSO has

partnered with HealthForce Partners and San Joaquin Delta College to develop a framework for a career pathway to local healthcare job opportunities and to provide ongoing analysis of regional health industry workforce gaps. This collaborative effort created the VET initiative. The VET initiative reached out to the United States Department of Defense and VA Palo Alto to fast track transitioning service members into apprenticeships, internships, and externships to expedite certifications and educational requirements.

In 2019, the VSO was awarded a 12-month grant from CalVet in the amount of \$49,000 for mental health outreach, VTC operations, and other supportive services. These funds were used to support a dedicated extra-help VTC Case Manager and conduct suicide intervention trainings. In May 2020, VSO was awarded a new 2-year grant in the amount of \$47,210 per year for 2020-2021 and 2021-2022 to continue these efforts. In addition to supporting the VTC, suicide intervention trainings, and increased outreach, the funds will be used to increase targeted outreach to homeless veterans and transitioning service members. VSO will continue to engage in targeted outreach activities in an effort to mitigate homelessness among veterans. VSO will also provide information on mental health services for transitioning service members, veterans, and their families to ease their return from service by promoting programs that encourage early intervention and prevention. The grant funding does not require County matching funds.

The Department of Housing and Urban Development-Veterans Affairs Supportive Housing (HUD-VASH) program combines Housing Choice Voucher rental assistance for homeless veterans with case management and clinical services provided by the VA. The VA provides these services for participating veterans at VA medical centers and community-based outreach clinics. HUD annually awards a total of 259 HUD-VASH vouchers for the County's low-income and disabled veterans. Most of the vouchers have been used to assist in securing housing for homeless veterans, but some remain unused due to a lack of available affordable housing units. The VSO assists HUD-VASH social workers by referring and informing veterans of their HUD-VASH eligibility and preparing health care and disability claims. VSO continues to support the Housing Authority of the County of San Joaquin and the VA with identifying homeless veterans for this program and will continue to assist veterans in successfully applying for healthcare and pension to qualify for HUD-VASH vouchers.

### VA Community-Based Outpatient Clinic

In November 2019, the VA and Army Corps of Engineers hosted a groundbreaking ceremony for the new VA Stockton Community-Based Outpatient Clinic (CBOC) in French Camp. The 158,000 square foot facility will feature the VA's

# **505560000—Veterans Services Office**

## **Human Services**

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Patient Aligned Care Team model, telehealth, mental health, and specialty care services. The Stockton CBOC has been a primary component of the VA Livermore Realignment and is slated to improve services and access for Central Valley Veterans. The clinic has been in the planning stage for more than 15 years and is expected to be completed in summer 2023.

### **Veterans Advisory Commission**

On November 6, 2018, the Board of Supervisors adopted a resolution establishing the San Joaquin County Veterans Advisory Commission and approved the Commission By-Laws (R-18-143). The Commission works in conjunction with the VSO to provide a forum for various representatives working with the County's veterans. In February 2019, the Board appointed the initial members of the Advisory Commission. The first Commission meeting was held in May 2019 and the Commission is currently developing a strategic plan for presentation to the Board. The Commission works closely with other organizations to support and promote veteran advocacy, benefits, and services.

### **Supplemental Request**

In 2019-2020, the Board approved a funding request from the Court in the amount of \$67,980 to be used for VTC drug testing, alcohol monitoring, bus passes, and other incentives for disadvantaged veterans. Funding is administered through the VSO, and 50% of the funds were advanced to the VSO budget in September 2019. Expenditures total approximately \$20,000 through April 2020. Projected expenses for the remainder of the fiscal year are \$5,000, for an overall total of \$25,000 for 2019-2020. Unused funds will revert back to the General Fund at the close of 2019-2020.

The Health Care Services Director is requesting supplemental funding of \$40,000 for activities related to the VTC. Funds will be used to enhance drug and alcohol monitoring, substance abuse treatment, continued education and training, transportation, and peer mentor support for low income veterans participating in the VTC. Services include alcohol/drug testing and monitoring, and mandated domestic violence and anger management courses for veterans unable to pay for these services.

The recommended budget has been augmented by \$40,000 in order to address this request.

# 505560000—Veterans Services Office

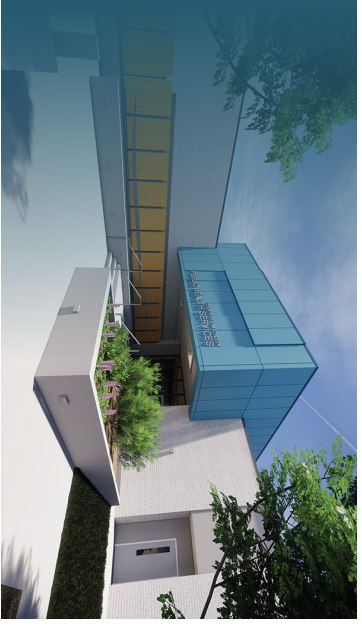
## Human Services

### Workload Data

	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>Veteran Visits</b>					
<i>Appointments</i>	1,708	1,800	1,870	2,000	1,900
<i>Drop-Ins</i>	3,750	4,000	5,385	5,000	5,500
<i>Telephone Inquires</i>	6,188	7,500	9,876	7,500	7,500
<b>Benefits Claims</b>					
<i>Benefits Claims Filed/Re-Opened</i>	1,773	2,000	2,509	2,200	2,200
<i>Benefits Claims Granted</i>	1,187	1,300	1,458	1,500	1,500
<i>Claims for VA Medical Care</i>	389	275	434	400	400
<i>Medi-Cal Cost Avoidance Claims</i>	480	600	626	500	500
<i>Verification of Veterans Benefits         to Other Agencies</i>	237	225	316	200	200
<b>Other Assistance Provided</b>					
<i>Auditable Forms Filed</i>	1,923	2,200	3,549	3,000	3,000
<i>Educational Fee Waivers</i>	306	300	484	500	500
<i>Veterans Court Participants</i>	28	40	65	65	75
<b>Homeless Veterans Served*</b>					
<i>Received Services from VSO</i>	N/A	N/A	N/A	65	65
<i>Referred to HUD-VASH</i>	N/A	N/A	N/A	25	25
<i>Referred to Behavioral Health Services</i>	N/A	N/A	N/A	45	45
<i>Benefits Claims Filed/Re-Opened</i>	N/A	N/A	N/A	45	45
<i>Benefits Claims Granted</i>	N/A	N/A	N/A	45	45
<i>*Individuals may receive multiple services.</i>					
<b>New Benefits Paid to Veterans/Dependents</b>	\$5,286,611	\$5,500,000	\$4,669,865	\$4,000,000	\$4,000,000



PROPOSED BUDGET  
|  
EDUCATION



# Education

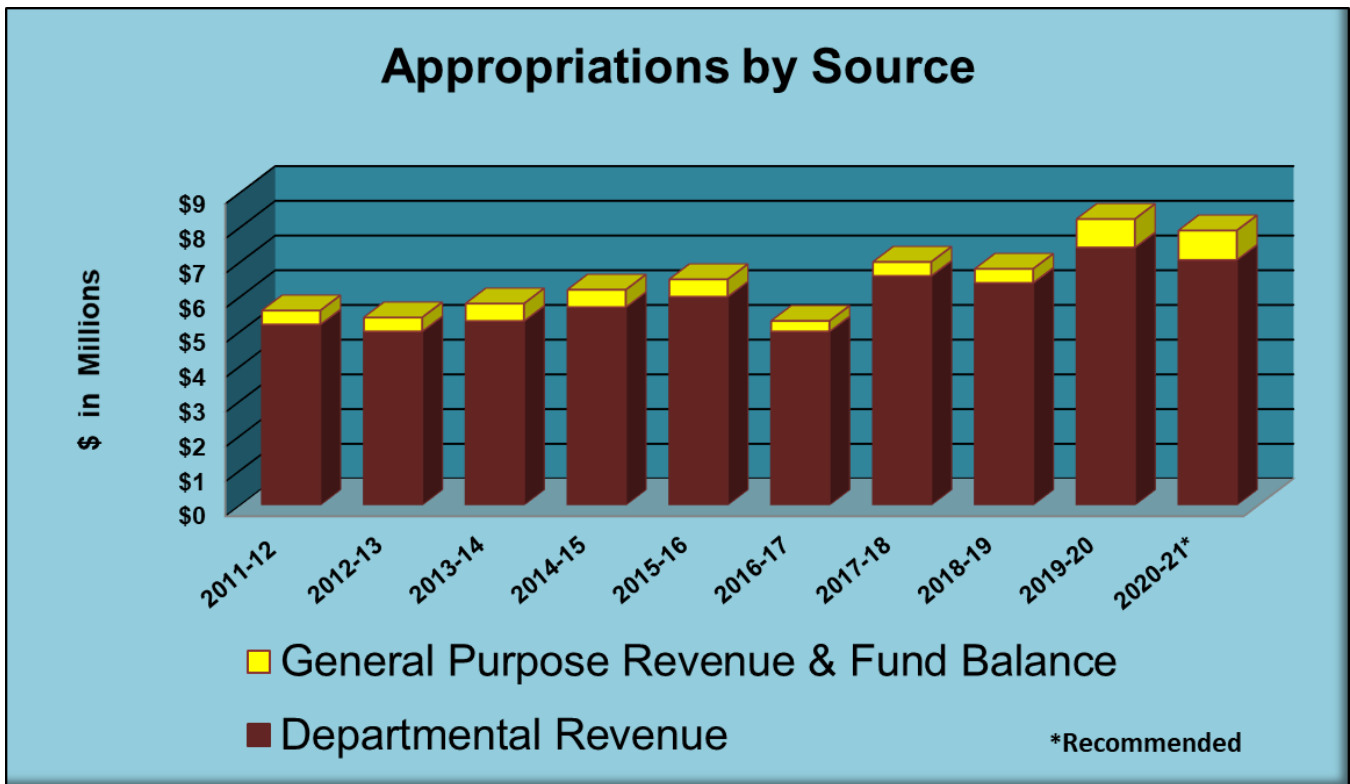
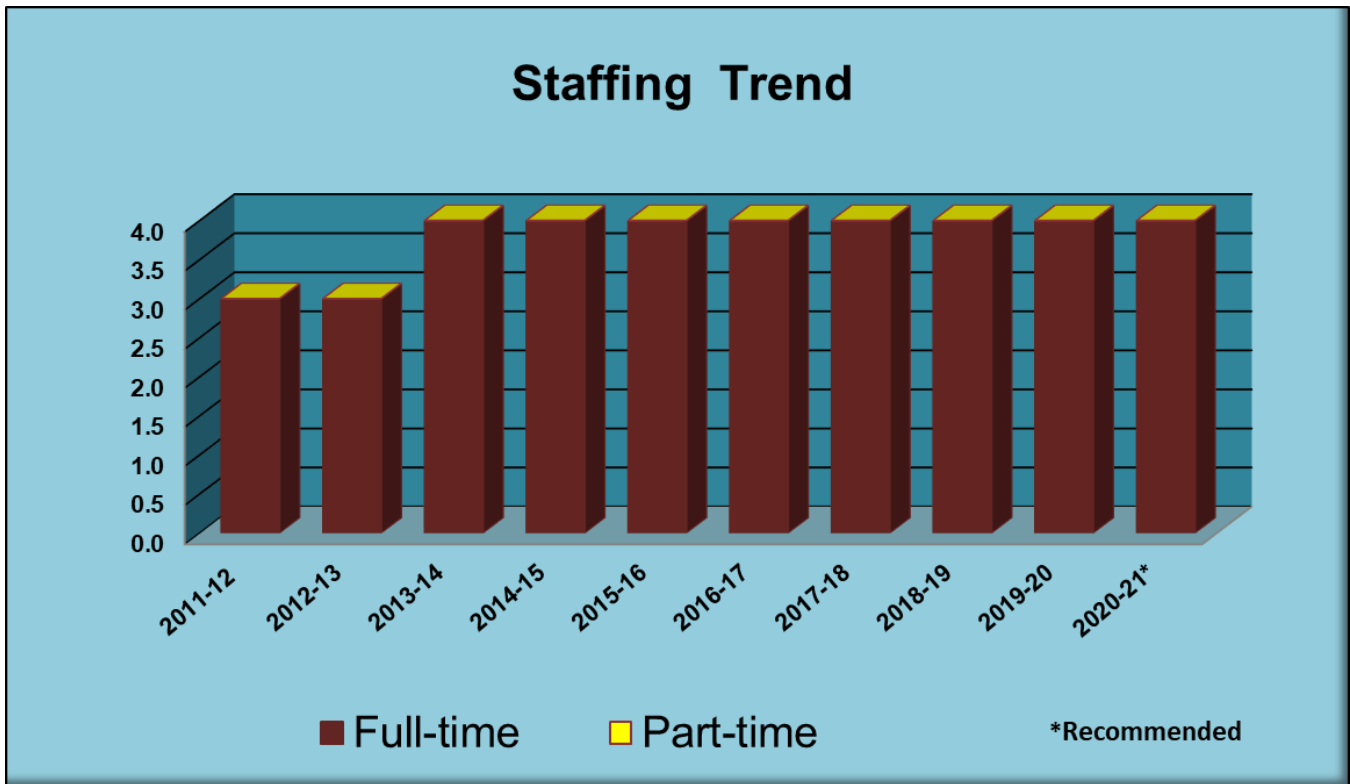
## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
6060900000 County Library	H-4	\$7,419,527	\$7,059,025	\$7,059,025	(\$360,502)
6061500000 UC Cooperative Extension	H-9	817,579	846,913	846,913	29,334
<b>Total - Education</b>		<b>\$8,237,106</b>	<b>\$7,905,938</b>	<b>\$7,905,938</b>	<b>(\$331,168)</b>



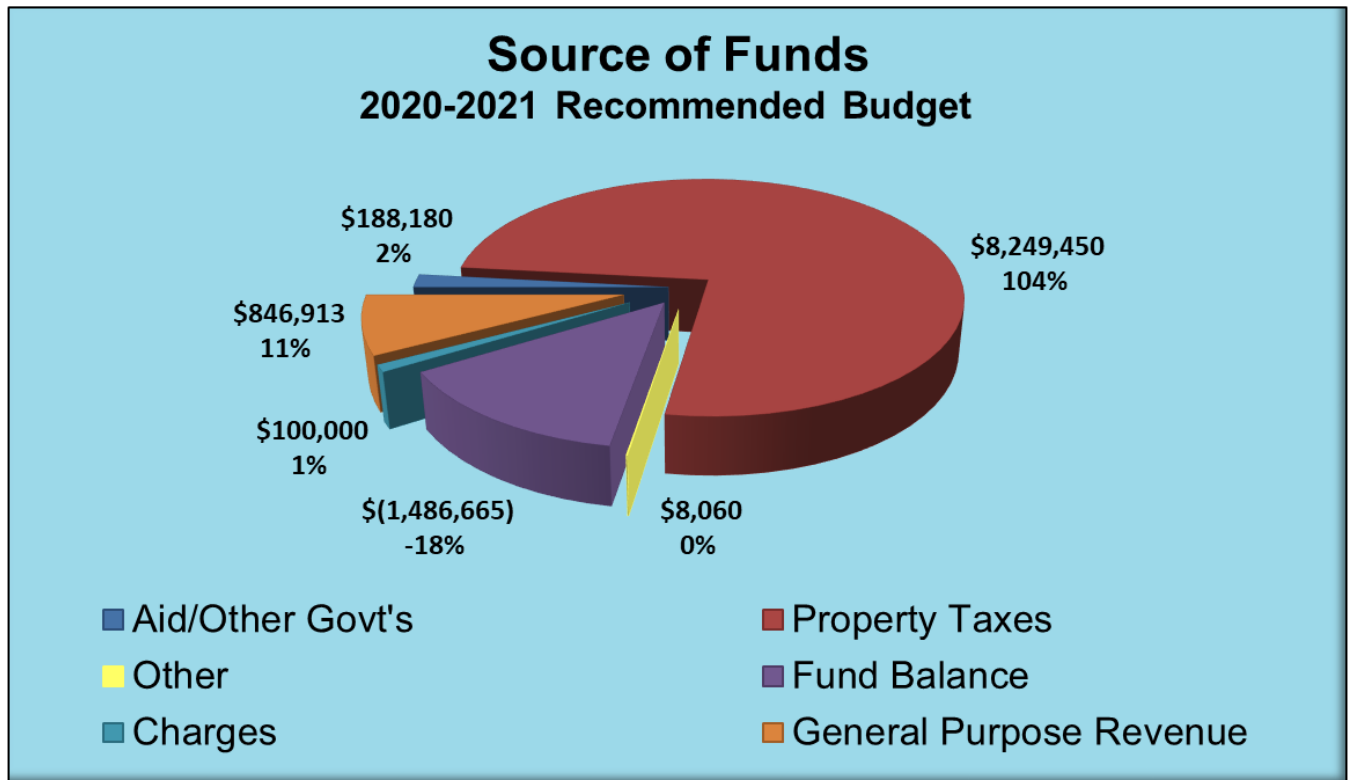
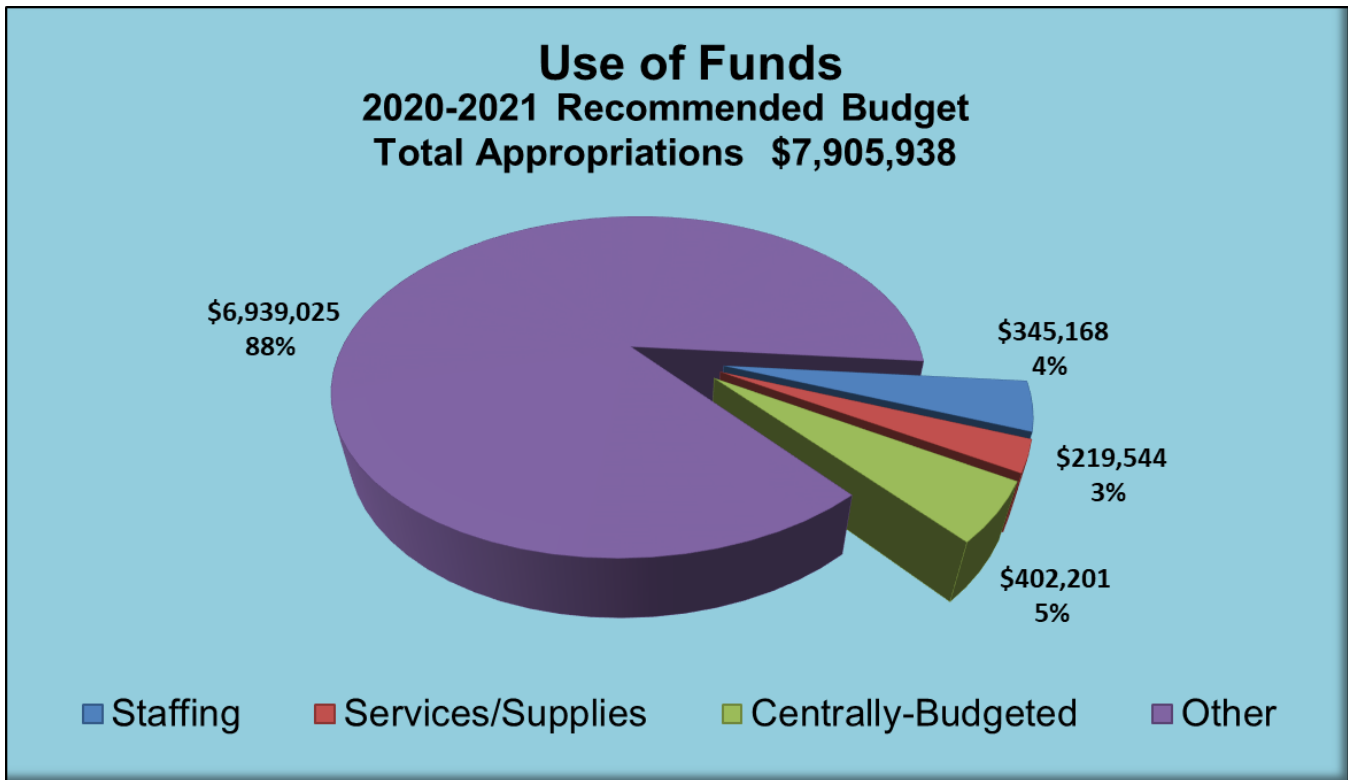
# Education

## Appropriations



# Education

## Appropriations



# 6060900000—County Library

## Education

Monica Nino, County Administrator

Special Revenue Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Services & Supplies	\$136,058	\$120,000	\$120,000	\$120,000	\$0
Other Charges & Uses	6,240,000	7,299,527	6,939,025	6,939,025	(360,502)
<i>Total Appropriations</i>	\$6,376,058	\$7,419,527	\$7,059,025	\$7,059,025	(\$360,502)
<b>Earned Revenues By Source</b>					
Taxes	\$7,674,655	\$7,937,370	\$8,249,450	\$8,249,450	\$312,080
Interest/Rents	10,880	5,240	8,060	8,060	2,820
Aid From Other Governments	173,199	95,000	188,180	188,180	93,180
Charges For Services	110,091	220,000	100,000	100,000	(120,000)
Fund Balance	(1,592,768)	(838,083)	(1,486,665)	(1,486,665)	(648,582)
<i>Total Revenues</i>	\$6,376,058	\$7,419,527	\$7,059,025	\$7,059,025	(\$360,502)
<b>Net County Cost</b>	\$0	\$0	\$0	\$0	\$0

### Purpose

This budget provides the County’s share of the cost for the City/County Library System. The Library System serves the cities of Escalon, Lathrop, Manteca, Ripon, Stockton, and Tracy, as well as the unincorporated areas of the County. Through an agreement between the County and the City of Stockton, the City operates the City/County Library System. The County’s funding for Library services is comprised of a library-specific dedicated property tax allocation, a pro rata share of Library fines and materials fees relative to County branch circulation, and any accumulated available balance from unspent prior years’ activities.

### Major Budget Changes

#### Other Charges

- (\$360,502) Decrease in funding for Library services.

#### Revenues

- \$312,180 Increase in property tax revenue.
- \$93,180 Increase in State funding.
- (\$648,582) Decrease in use of County fund balance.
- (\$120,000) Decrease in Library service charges.

### Program Discussion

The County’s share of funding is primarily driven by property tax revenue. The overall increase in home prices and new construction activities resulted in a projected 5.25% increase in the County’s property tax revenue for Library services for 2020-2021. It is projected that \$7,059,025 will be available for Library operations in 2020-2021, a decrease of \$360,502 from the 2019-2020 adjusted budget, and will:

- Fund the Library’s share of the property tax administration fee of \$120,000.
- Provide a total program budget of \$6,939,025 for Library services.

#### Library Services

The Library worked with the Mountain House Community Services District to design a 20,000 square foot, state-of-the-art library for the community. The new Mountain House Library is planned to open late spring or early summer 2020, to meet the community need with a vibrant collection and programming for all ages.

In 2019-2020, one-time funding enabled staff to renovate the Lathrop Library with better space planning and new furnishings. Staff plans to complete renovation projects at the Escalon, Thornton, and Tracy libraries by the end of 2019-2020.

# 6060900000—County Library

## Education

In November 2016, voters approved the Strong Communities Initiative (Measure M), adding a quarter cent sales tax for a period of 16 years to fund City of Stockton library and recreational services. Capital projects prioritized in the Measure M spending plan for 2019-2020 included renovation of the Margaret Troke Library, completed in April 2020; opening of the third Micro Library at the Van Buskirk Community Center, completed October 2019; and finalizing design plans for the new Library/Community Center in North Stockton, scheduled to begin construction in fall 2020. The 2019-2020 Measure M projects are either completed or nearing completion, including renovations of the Escalon and Thornton libraries scheduled for completion in June 2020. The Library also hired three new staff to support ongoing library operations.

Other goals established and achieved in 2019-2020 included: increasing the number of new library card holders (with a record high of 1,644 new users during September’s Library Card Sign Up Month); developing cultural and art programs systemwide, particularly for adult audiences; presenting workshops for parents to build vocabulary and support literacy development of 0-3 year old children; providing more digital literacy instruction systemwide, particularly highlighting the Library’s free electronic resources; and offering small group tutoring for young readers during the summer at 10 libraries.

In 2020-2021, the Library will continue small renovation projects to make all County and City of Stockton Library branches welcoming and conducive to community gatherings. Below are weekly hours for County branches; including additional hours funded by the respective municipalities.

<b>County Branch</b>	<b>Base Weekly Hours*</b>	<b>Extra Hours Funded by Cities</b>
Escalon	31	-
Lathrop	30	-
Linden	30	-
Manteca	40	8
Mountain House	40	11
Ripon	40	5
Thornton	30	-
Tracy	40	13

*\*The weekly hours do not reflect holidays when the branches are closed.*

All branches within the Stockton/County Public Library System will close during 2020-2021 on the following days in observance of holidays:

### **Branches Closed - Holidays**

- July 4, 2020
- September 7, 2020

### **Branches Closed - Holidays**

- October 12, 2020
- November 11, 26 and 27, 2020
- December 25, 2020
- January 1 and 18, 2021
- February 12 and 15, 2021
- May 31, 2021

### **Matching Materials Program**

In 1998-1999, the Board of Supervisors established the Intergovernmental Partnership Program to further promote cooperation among the cities and the County in improving the Library System and public services. In 2011-2012, the Board renamed the Program to Matching Materials Program (MMP), and allowed organizations other than cities to participate. The MMP provides a maximum of \$120,000 per year, with a maximum of \$15,000 per branch, regardless of the funding source.

In 2019-2020, there were no contributions from the participating cities. This is primarily due to sufficient funding for books and materials in the 2019-2020 Library budget. In 2020-2021, no contribution from the participating cities is anticipated.

### **Goals for 2020-2021**

The Library continues to employ strategic planning in identifying services, resources and programming that are responsive to community interests and needs. Guided by this, the Library plans to accomplish the following activities in 2020-2021:

1. Remove barriers to access by launching a platform for on-line payment of fines and fees.
2. Establish community partnerships to design and deliver programs focusing on digital literacy and technology skills.
3. Redesign the library system website to improve access for all and provide relevant information to virtual users.
4. Deploy a special library card (particularly for school-age youth) to eliminate obstacles to accessing electronic resources.

### **Fund Balances**

#### **County Fund Balance**

The County maintains a Library Fund in which designated revenues are collected and later dispersed to the City of Stockton based on County projections. As of March 31, 2020, the fund balance (#20018) was \$6,057,389, which is included

# 6060900000—County Library

## Education

in the County Treasury. The projected fund balance for June 30, 2020, is approximately \$8.0 million.

Based on preliminary forecast, it is projected that \$1.5 million will be added to the Library fund balance in 2020-2021. In 2019-2020, the reserve policy related to Library operations was evaluated by the County and two reserves were created within the Library fund (#20018) (R-19-101):

1. **Operating Reserve** with an annual contribution of 10% of the operating budget, and
2. **Capital Improvement Reserve** with a \$1.0 million contribution. For 2020-2021, 10% of the operating budget, or \$693,902, is added to the Operating Reserve.

<b>Designated Operating Reserve</b>	
2019-2020	\$729,953
2020-2021	693,902
<b>Total</b>	<b>\$1,423,855</b>

The remaining \$792,763 of the \$1.5 million in retained fund balance will be classified as undesignated.

### *City of Stockton Fund Balance*

Similarly, the City of Stockton operates the City/County Library System through a Governmental Fund. As part of the agreement, the City of Stockton reports this fund balance annually to the County. As of July 1, 2019, the County's portion of the City's Governmental Fund balance for the Library was \$819,459. This amount is included in the City

Treasury and represents the unspent County advances to the City for the City/County Library System primarily resulting from salary savings and the delay of the new Mountain House Library. In 2020-2021, the City plans to utilize \$820,000 from the City-held fund balance in an effort to consolidate funds in the County Library fund. The estimated fund balance at the end of 2019-2020 is \$819,459. The City anticipates sufficient revenue to be received from fees/fines and other charges to allow for the use of \$820,000 in 2020-2021. The projected 2020-2021 year-end fund balance is \$556,074.

### **Funding Request**

For 2020-2021, the Library requested additional one-time funding totaling \$222,038. The recommended budget includes the requested amount in order to provide resources for the following:

<b>One-Time Service/Equipment</b>	<b>Cost</b>
New website & discovery layer catalog	\$60,000
Manteca branch renovation	45,000
Ripon branch renovation	40,000
Linden branch renovation	20,000
Increased Wi-Fi access points	16,650
Program performers (all libraries)	12,410
Color copier for Mountain House branch	11,000
Self-check machines	9,300
Performer insurance	3,250
Mountain House copier maintenance	2,500
Technology consultant	1,928
<b>Total</b>	<b>\$222,038</b>

# 6060900000—County Library

## Education

<b>Branch Funding</b>				
<b>Library Site or Classification</b>	<b>Site #</b>	<b>Actual 2018-2019</b>	<b>Est./Act. 2019-2020</b>	<b>Proposed 2020-2021</b>
Mountain House	3540	\$511,664	\$856,488	\$773,148
Neighborhood Services: Countywide	3541	825,250	709,936	634,122
Manteca	3542	995,667	1,047,006	1,221,633
Tracy	3543	1,066,410	1,136,491	1,305,981
Ripon	3544	527,922	594,705	692,689
Escalon	3545	338,874	337,586	404,284
Lathrop	3546	296,935	308,840	363,852
Linden	3547	306,108	323,623	337,531
Thornton	3548	282,008	283,533	327,241
	<b>Total Branch Funding</b>	<b>\$5,150,838</b>	<b>\$5,598,208</b>	<b>\$6,060,481</b>
Shared services		1,367,170	1,304,144	1,241,818
One-time funding requests		0	716,952	222,038
	<b>Total Appropriation</b>	<b>\$6,518,008</b>	<b>\$7,619,304</b>	<b>\$7,524,337</b>
County funding		\$6,240,000	\$7,299,527	\$6,939,025
Available fund balance and charges for services		278,008	319,777	585,312
	<b>Total Revenue</b>	<b>\$6,518,008</b>	<b>\$7,619,304</b>	<b>\$7,524,337</b>

# 6060900000—County Library

## Education

<b>Workload Data</b>						
<b>All Branches</b>	<b>Actual</b>			<b>Est./Act.</b>	<b>Projected</b>	
	<b>2016-2017</b>	<b>2017-2018</b>	<b>2018-2019</b>	<b>2019-2020</b>	<b>2020-2021</b>	
Library Materials Circulated*	1,363,048	1,433,580	1,375,225	1,397,590	1,425,542	
Residents with Library Cards	190,049	209,054	162,988	166,248	169,573	
Annual Visits to the Library	773,374	788,496	793,255	842,750	859,605	
Program Attendance	84,658	91,630	94,216	87,760	89,515	
Computer Use	944,726	821,912	850,719	842,802	859,658	
Annual Hours of Service	23,790	24,468	29,055	28,058	29,055	
<i>*Includes digital downloads and Link + checkouts that are not included in individual branch counts.</i>						
	<b>Items Checked</b>		<b>Visits</b>		<b>Program Attendance</b>	
	<b>2017-2018</b>	<b>2018-2019</b>	<b>2017-2018</b>	<b>2018-2019</b>	<b>2017-2018</b>	<b>2018-2019</b>
Escalon	26,081	22,351	30,837	25,672	4,472	7,009
Lathrop	29,794	25,466	21,017	20,919	4,435	3,790
Linden	16,389	12,194	13,320	11,147	1,135	1,057
Manteca	125,969	104,776	109,535	108,130	14,842	11,418
Mountain House	111,947	101,000	71,143	66,590	7,419	8,179
Ripon	73,093	62,492	45,870	45,980	8,081	8,076
Stockton Branches	416,380	353,241	305,650	354,806	33,090	36,513
Thornton	7,366	6,390	14,603	13,242	3,159	3,247
Tracy	169,442	148,743	147,340	144,536	15,888	14,927
Bookmobile	7,574	6,052	2,500	2,233	N/A	N/A
<b>Total</b>	<b>984,035</b>	<b>842,705</b>	<b>761,815</b>	<b>793,255</b>	<b>92,521</b>	<b>94,216</b>

# 606150000—UC Cooperative Extension

## Education

Brent A. Holtz, Cooperative Extension Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$323,201	\$334,411	\$345,168	\$345,168	\$10,757
Services & Supplies	80,391	99,544	99,544	99,544	0
Centrally-Budgeted Expenses	14,293	383,624	402,201	402,201	18,577
<b>Total Expenditures</b>	<b>\$417,885</b>	<b>\$817,579</b>	<b>\$846,913</b>	<b>\$846,913</b>	<b>\$29,334</b>
Expenditure Reimbursements	(36,481)	0	0	0	0
<b>Total Appropriations</b>	<b>\$381,404</b>	<b>\$817,579</b>	<b>\$846,913</b>	<b>\$846,913</b>	<b>\$29,334</b>
<b>Earned Revenues By Source</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Net County Cost</b>	<b>\$381,404</b>	<b>\$817,579</b>	<b>\$846,913</b>	<b>\$846,913</b>	<b>\$29,334</b>
<b>Allocated Positions</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>	<b>0.0</b>

### Purpose

Cooperative Extension (CE) is a nationwide system for non-formal education established by Congress in 1914 to improve America’s agriculture and natural resources, youth development, and family nutrition education. CE’s mission is to help all segments of the community benefit from the scientific advances made locally and at the nation’s land grant universities.

The University of California Cooperative Extension (UCCE) in San Joaquin County brings research, educational programs and innovative solutions to growers, families, and communities in the County. Programs are carried out by university professionals and highly trained and dedicated community volunteers. Although not mandated, County support is governed by Education Code Section 32330 and a 1960 Memorandum of Understanding (MOU) between the Regents of the University of California (UC) and the County of San Joaquin.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the University of California Cooperative Extension (UCCE) focuses on carrying out the Board’s June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the

Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public safety, promote economic development, and to proactively deal with water issues.

These priorities are combined with the UC’s Division of Agriculture and Natural Resources (UC ANR) strategic vision, which will be in effect until 2025. The following highlights provide an overview of several projects, programs, and activities administered by the UCCE.

#### Promote Economic Development

UC ANR is currently implementing its strategic vision to guide the UCCE. The CE has adopted the following UC ANR initiatives:

- Improve water quality, quantity, and security.
- Enhance competitive and sustainable food systems.
- Increase science literacy in natural resources, agriculture, and nutrition.
- Develop sustainable natural ecosystems.
- Enhance California’s agricultural economy.
- Promote the health of Californians and their families and communities.
- Ensure safe and secure food supplies.
- Manage endemic and invasive pests and diseases.
- Improve energy security and green technologies through innovative science.



# 606150000—UC Cooperative Extension

## Education

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In addition to agricultural programs, the 4-H Youth Development Program provides non-formal educational programs and activities across a diverse culture of youth in the County, with over 200 enthusiastic adult volunteers supporting youth who are learning, achieving, and giving back to their communities. The nearly 150 Master Gardeners (MGs) have provided homeowners and schools educational programs on composting green waste, safer ways of pest control in the home garden, and improved water conservation techniques in garden and urban landscapes. The Master Food Preserver (MFP) Program is a public service community outreach program providing up-to-date information on food safety and preservation for the home gardener with 20 program participants. The Environmental Horticulture (EH) Advisor trains landscape professionals, parks and recreation workers, golf course superintendents, school grounds personnel, nursery professionals, and landscape architects and designers on sustainable landscape practices. These practices include those that conserve water and reduce irrigation runoff and associated pollutants. The Nutrition, Family, and Consumer Science programs are designed to prevent childhood obesity, prevent chronic disease, reduce health disparities, improve consumer food safety practices, and reduce hunger and food insecurity.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$10,757 Salary and benefits adjustments.

#### Centrally-Budgeted Expenses

- \$4,644 Increase in Workers' Compensation and Casualty insurance costs.
- \$3,168 Increase in data processing charges.
- \$10,864 Cost Allocation Plan adjustment.

### Program Discussion

The 2020-2021 recommended budget for the University of California Cooperative Extension (UCCE) totals \$846,913, which is an increase of \$29,334 from the 2019-2020 adjusted budget. The increase is primarily due to salary and benefits adjustments (\$10,757), and a Cost Allocation Plan adjustment (\$10,864).

The County provides funding for UCCE by providing clerical and technical staff, operational support, transportation, and office facilities as established in the MOU between UC and the County. Through its partnership with the County, UCCE

is able to leverage nearly \$6.0 million in State and Federal funding for competitive research grants into the County to support its agricultural research mission. CE Advisors are renowned locally, Statewide, nationally, and internationally as leaders and are invited to speak on research that is generated in the County.

In addition, individual grower co-operators, agricultural organizations, allied agricultural industries, and commodity boards provide in-kind support in the form of commodity research grants, land, labor, equipment, and materials necessary for conducting research in the County. UCCE also receives funding from the United States Department of Agriculture (USDA), and the California Department of Food and Agriculture (CDFA).

The UCCE Farm Advisors and Field Technicians are involved with critical agricultural research in San Joaquin County, diagnosing crop production problems, detecting crop pests, and providing growers with critical information, in order to protect food safety and ensure the continuity of our food supply during the COVID-19 pandemic. For critical field research involving more than one person in the field at a time, advisors, technicians, and field workers are maintaining a six foot distance and wearing personal protective equipment (PPE) in the form of N95 respirators, gloves, and eye protection. Transportation to and from field sites involves driving with one person per vehicle. Social distancing is also being observed in the Agricultural Center and laboratories, allowing only one person to work in the laboratory at a time.

#### Agricultural Programs

CE Advisors are actively engaged in sustaining the County's \$2.6 billion agriculture industry. The major agricultural commodities produced are assigned to Advisors who coordinate problem-solving research and educational programs that address major issues in order to improve production, economic viability, and environmental sustainability. In 2019-2020, Advisors conducted 74 research projects on 33 different commodities in the County.

The following are the 2019-2020 UCCE program highlights:

- Demonstrated success and expansion of commercial walnut acreage using pheromone mating disruption for codling moth and mating disruption for navel orangeworm. Less insecticide applications have reduced environmental impacts and worker exposure to pesticides while improving farm profits.
- Annual evaluation of whole orchard recycling as an alternative to open field or co-generation burning, which has improved soil organic matter, yield, and soil health.
- Surveyed walnut and cherry orchards for fungal canker pathogens *Botryosphaeria dothidea* and *Phomopsis*.

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## Education

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- Annual evaluation of tomatoes grafted onto vigorous, disease-resistant rootstocks to increase yields and reduce losses due to soil-borne disease. In local field trials of both fresh market and processing tomato fields, advisors have demonstrated yield increases of up to 30% with grafted tomato plants. Adoption of grafting could also lead to reductions in use of fumigant pesticides, which is a high priority for the State's Department of Pesticide Regulation (DPR). San Joaquin County is home to the largest greenhouse producer of grafted tomatoes in California.
- Improved water and fertilizer efficiency in processing tomatoes to reduce growers' costs and assist with nitrogen management planning to satisfy new California groundwater regulatory requirements.
- Worked closely with growers and their pest control advisors to improve plant disease control strategies such as the impact of rotational crops and chemical control programs on Fusarium wilt race 3 tomato, a devastating disease that has become more severe in San Joaquin County in recent years.
- Continued to partner with the San Joaquin County Water Coalition to implement safer pest management practices that address water quality issues in the County watershed, as mandated by the State.
- Conducted annual wine grape variety trial for long-term observations on 60 alternative varieties for sustainable yields of quality fruit.
- Continued to compare different trellis systems under mechanized versus standard pruning practices on five wine grape varieties grown for sustainable yields and quality wine.
- Collaborated on vine mealybug control with biologicals and alternative insecticides that are sustainable with low environmental impact.
- Observed cover cropping and conservation management practices in the Delta for impacts on soil quality and greenhouse gas emissions.
- Evaluated rice, wheat, and field corn varieties for the Delta. Growers receive the latest information on existing and new varieties for their local conditions. Growers can make informed decisions on agronomic practices that can result in higher yields and better economic outcomes.
- Monitored armyworm and weedy rice populations in Delta rice for effective integrated pest management, which can result in higher yields and better economic outcomes.
- Evaluated soil salinity and biological conditions in alfalfa to understand how reduced irrigation impacts soil quality. In the face of drought, this study will provide information on how water curtailments may impact soil quality.
- Conducted annual educational workshops for ranchette owners to improve natural resources and safeguard water quality.
- Conducted annual workshop to help ranchers affected by the Waverly Fire to assess forage losses and plan practices to safeguard soil resources following the fire.
- Used Geographic Information System mapping in the field to improve data collection and feedback for management decisions in the Mokelumne Watershed.
- Worked with the California Dairy Quality Assurance Program to provide training for mandated water and air regulations to characterize physical and chemical properties of manure in California dairy systems to improve greenhouse gas emission estimates.
- Conducted research and outreach programs to improve silage management practices on dairies.

### 4-H Youth Development Program

The 4-H Program is an innovative, research-based youth development program designed to build confidence, learn responsibilities, develop life-long skills, enhance knowledge, social values, and promote community citizenship activities that help develop leadership skills.

In 2019-2020, the 4-H Youth Development Advisor (4-H Advisor) continued to develop relationships with community partners to better serve diverse youth, and expand programming to meet community needs. The 4-H Advisor is shared with Stanislaus County. A needs assessment revealed that a programmatic change would allow for further outreach and impact. One example is a new summer camp series developed in partnership with the San Joaquin Farm Bureau Federation to provide three agricultural-focused day camps. More partnerships and programming developments are in process, but serving a larger population of youth with more diverse needs may also require a more robust staff presence with the cultural skills to serve the growing Latino population.

### Master Gardener (MG) Program

Since July 2007, the MG Program has volunteered over 64,110 hours of community service to the County at a UC calculated value of \$1,920,095. From July 2019 to March 2020, MGs contributed 4,016 hours of volunteer time (valued at \$120,279) and it is anticipated the MGs will exceed 5,000 volunteer hours by the end of the fiscal year. Since 2007,

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## Education

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MGs have earned 17,662 continuing education hours. MGs have provided educational programs at several community events including: AgVenture Days, Arbor Day celebrations, Asparagus Festival, Earth Day Festivals, the County Fair, farmers' markets, local nurseries, and Senior Awareness Days. MGs presented at more than 80 free workshops and presentations to local service or garden club meetings, along with classes that were held in Lodi, Tracy and Ripon Libraries, University of the Pacific, and at the Agricultural Center. MGs are collaborating with the 4-H Program, providing gardening leaders for various clubs, as well as the MFPs in co-hosting events and classes.

The MG Program emphasizes sustainable gardening practices such as composting and green waste diversion, gardening for pollinators and beneficial insects, edible gardening, drought tolerant landscaping, water conservation and water quality, pest management, and many other topics. Volunteers are responsible for the Learning Landscape located at the Agricultural Center and the River Friendly Landscape in downtown Lodi. The MG Program is always looking for more partnerships throughout the County. In 2020, they will begin a low water, low maintenance landscape project at Fire Station #4 in Stockton. Due to COVID-19 concerns, they have postponed their biennial Smart Gardening Conference that had over 200 people registered. This event was going to take place on March 14, 2020; they are planning to reschedule it in the summer of 2020.

MGs are actively educating the public on invasive pests, working closely with the Agricultural Commissioner's Office, Mosquito Vector Control, and CDFA, while also working with the Office of Emergency Services (OES) to help with water conservation tips, and vector and mosquito control options. MGs are involved in school gardens across the County and they work closely with Bonnie Plants, getting donated vegetable plants into school and community gardens each year. In November 2019, Bonnie Plants donated over \$25,000 worth of plants that would have otherwise been composted, but were instead used in over 75 local school, 4-H, and community gardens. More than 250 school garden hours have been recorded this year by MGs. Over 700 hours were dedicated to the MG Helpline office where MG volunteers answered on average 18-25 calls a week. Their quarterly newsletter is sent electronically to over 6,000 individuals. The MG website received over 130,000 hits, while their Facebook page received over 1,459 "followers" and posts that reached 180,000 individuals. They have an active Pinterest, Instagram, and Twitter page (110,000 individuals annually). MGs continue to write articles for the weekly Stockton Record blog that is printed in the paper every Friday. In June 2019, they completed their training with 35 volunteers graduating. The next training will begin in January 2021.

### Master Food Preserver (MFP)

The UC MFP Program is a public service community outreach providing up-to-date information on food safety and preservation methods. An MFP is a volunteer who is formally educated in food preservation/food safety and is certified by UCCE. Volunteers are qualified to teach research-based food preservation/safety in addition to disseminating that information via lectures and other County events. San Joaquin County trained its first MFP in 2013. There are currently 29 MFPs who have volunteered over 7,550 hours to the community since 2013. The UCCE values this at \$226,123. From July 2019 to March 2020, they have volunteered just over 1,300 hours, and anticipate reaching a total of 1,500 hours by June 30, 2020. MFPs have earned over 1,200 continuing education hours since 2013. From July 2019 to March 2020, the MFPs hosted 11 weekend "hands-on" make-and-take workshops, on food preservation topics. They have presented 15 demonstration talks at various locations throughout the County. The MFPs participated in the Fall and Spring Open Garden Day events at the Agricultural Center, each drawing over 200 attendees. They have collaborated with the MG Program, 4-H Program, local fruit stands, and other community groups, presenting workshops, demonstrations, and information tables all on safe food preservation methods. MFPs have a booth at local farmers' markets, and are teaching and co-teaching free demonstration classes at the Lodi Library and Ripon Library. They have a public website, a quarterly newsletter with 424 subscribers, and an active Facebook page with 772 "followers." They are planning their next volunteer training in early 2021.

### Environmental Horticulture Program (EH)

The Landscape Management Outreach Partnership is between the County Public Works Solid Waste Division and UCCE. Through site consultations for problem solving, workshops and on-site trainings, the UC EH Advisor serves landscape professionals, parks and recreation workers, golf course superintendents, school grounds personnel, nursery professionals, and landscape architects and designers. Emphasis is placed on teaching environmentally-responsible practices that reduce green waste and its impacts on County landfills, integrated pest management in urban areas, preservation of the urban forest, and best management practices. Best management practices include those that promote the long-term health of public and private landscapes and irrigation optimization to conserve water and reduce irrigation runoff and associated pollutants, as mandated by the State of California's Water Conservation Act and Model Water Efficient Landscape Ordinance (Assembly Bill 1881). The EH Advisor conducts an annual Green Gardener Qualification, a comprehensive 8-week training program that educates landscape professionals in all areas of sustainable

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## Education

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practice. Graduates are qualified as “Green Gardeners” and are listed on the Green Gardener website. The Advisor has developed and maintains a website (<http://ucantorg/sites/sjcoeh>) with a wide variety of easily accessible information to help professionals utilize research-based, best management practices for healthy and sustainable landscapes with new information added regularly as it becomes available. A blog addresses a range of issues important to the landscape professional and is distributed online through the website and subscriber list. At the Agricultural Center, the Advisor has worked with the MG Program to develop the UCCE Learning Landscape, a sustainable landscape practices demonstration garden comprised of six separately-themed sections with high-quality educational signage that serve as teaching tools even when volunteers are not present. Ongoing research has addressed issues related to water conservation on landscapes and promotes the use of lower-water plants and trees for urban environments.

### **Nutrition, Education, Research, & Outreach**

UCCE’s Nutrition, Family, and Consumer Science (NFCS) programs are designed to prevent childhood obesity, prevent chronic diseases, reduce health disparities, improve consumer food safety practices, and reduce hunger and food insecurity. Improvement in one or more of these areas translates to better outcomes for County residents and a reduction in related economic costs at the County, State, and national levels. The NFCS Advisor, supervises Family Nutrition Educators from two Federally-funded Nutrition Education Programs: the CalFresh Healthy Living Program (CHLP) and the Expanded Food and Nutrition Education Program. These programs work closely with community-based organizations to motivate low-income children and families to make healthier choices in both food and physical activity.

In 2019-2020, UCCE programs continued to partner with a long list of community-based organizations, preschools, after-school programs, elementary and high schools, reaching 1,836 low-income adults and 8,259 youth with direct nutrition education (workshops or classroom lessons). In addition, 7,815 low-income adults and youth were reached indirectly through health fairs and AgVenture and 4,573 youth and adults benefited from UC’s CalFresh Policy, System and Environmental Change activities (gardens, Smarter Lunchrooms, and Structured Physical Activity). The NFCS programs continued to be an integral component of the County Nutrition Action Plan, as well as San Joaquin County’s Community Health Improvement Plan. The UC

Advisor and Nutrition Educators were actively involved in Public Health Services’ Nutrition Education Obesity Prevention Fruit and Veggie Fest; Public Health Advocates Racial and Ethnic Approaches to Community Health’s (REACH) Steering Committee; Emergency Food Bank Stockton/San Joaquin’s Board of Directors; and in the coordination of the Healthy San Joaquin Collaborative; the Obesity and Chronic Disease Prevention Task Force; and the Hunger Task Force.

As one of the Local Implementing Agencies (LIA) of USDA’s Supplemental Nutrition Assistance Program-Education (SNAP-Ed) programs, CHLP played a key role in meeting the goals and objectives of the San Joaquin SNAP-Ed 3-year integrated work plan, along with other SNAP-Ed LIA, Public Health Services and Catholic Charities.

### **Farm Advisors Research Trust Fund**

UCCE maintains the Farm Advisors Research Trust Fund (#81604), established by the Board of Supervisors on September 18, 1984, to be utilized by the Farm and Home Advisor in conducting needed agricultural research within the area of responsibility of the Cooperative Extension Service. As of March 31, 2020, the Trust Fund balance totaled \$40,520. During 2020-2021, the Fund will continue to be utilized by UCCE Advisors and staff for research and other related costs not reflected in the recommended UCCE budget.

### **4-H Youth Development Trust Fund**

UCCE maintains the 4-H Youth Development Trust Fund (#81603), established by the Board of Supervisors on November 10, 1992 to be utilized on 4-H educational programs. As of March 31, 2020, the Trust Fund balance totaled \$1,556. During 2020-2021 the Fund will continue to be utilized by the UCCE 4-H Program on educational and other related activities.

### **Classification Change Request**

UCCE has requested to add an Administrative Assistant I classification, which currently does not exist in this budget. Human Resources (HR) is in the process of reviewing the request, but the study will not be complete prior to the adoption of the 2020-2021 budget. Upon completion, HR may recommend that the Board approve the creation of a new classification. The Department may subsequently request that the Board consider allocating the new position; however, funding sources will need to be identified for any new positions created.

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## Education

### Workload Data

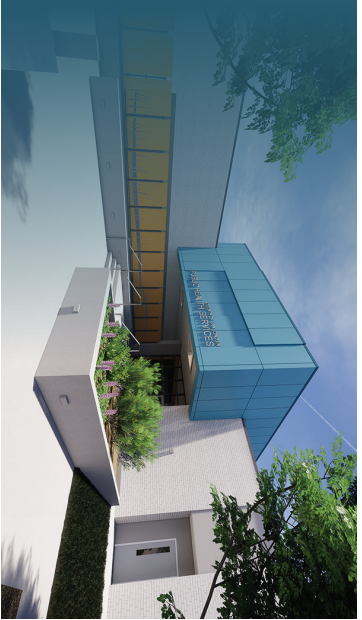
	Actual			Est./Act. 2019-2020	Projected 2020-2021
	2016-2017	2017-2018	2018-2019		
<b>UC Advisors/Staff</b>					
<i>Number assigned to SJC</i>	21.77	20.27	19.42	20.4	20.4
<i>Research Projects</i>	83	75	70	75	80
<i>Different Commodities</i>	43	44	31	37	37
<b>Agricultural Programs</b>					
<i>Clientele Contacts</i>	17,338	16,060	14,350	13,391	14,640
<i>Meeting Attendance</i>	14,761	12,660	14,015	14,778	15,780
<i>Newsletter Circulation</i>	30,442	20,051	26,166	27,951	29,446
<i>Publication Distribution*</i>	278,250*	251,850*	328,000*	233,600*	232,200*
<b>4-H Youth Development Program</b>					
<i>4-H Youth Members</i>	700	763	763	1,300	1,350
<i>Volunteer Adults</i>	200	224	224	254	250
<b>Master Gardener Program</b>					
<i>Volunteers</i>	171	150	135	185	170
<i>Volunteer Hours</i>	7,590	5,000	3,811	5,500	5,500
<b>Master Food Preserver Program</b>					
<i>Volunteers</i>	28	22	23	30	29
<i>Volunteer Hours</i>	1,800	2,450	5,000	1,250	1,300
<b>Nutrition, Education, Research, &amp; Outreach</b>					
<i>Adults attending workshops</i>	2,900	2,900	2,540	2,475	2,100
<i>Curriculum Distribution &amp; Support to Low Income School/Teachers</i>	85S /180 T	90S /170 T	87S /207T	87S /167T	130S/410T

\*Reflects 100,000 to be published on Almond Industry.



PROPOSED BUDGET

PARKS & RECREATION



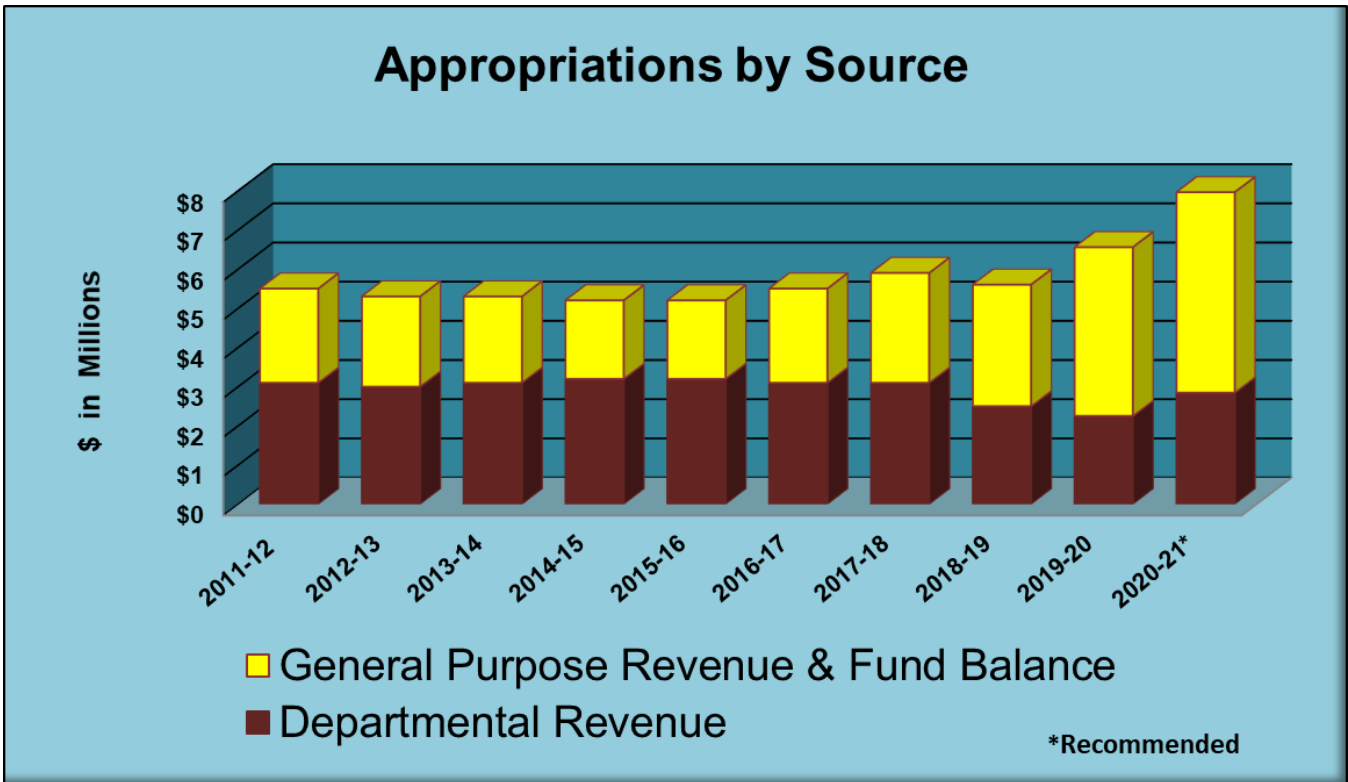
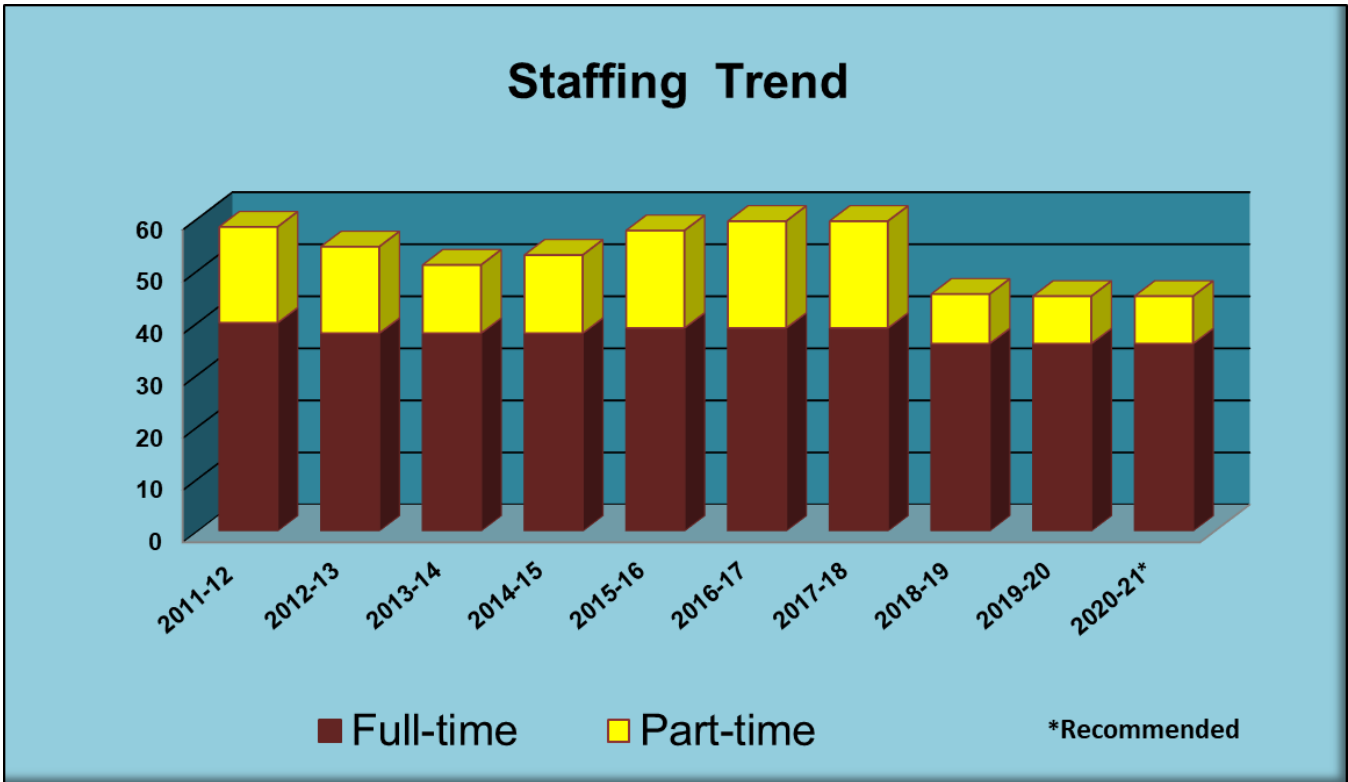
# Parks & Recreation

## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
7070300000 <i>General Services-Parks &amp; Recreation</i>	I-4	\$6,055,748	\$7,463,818	\$7,463,818	\$1,408,070
7070800000 <i>Cultural Services</i>	I-10	501,050	501,050	495,550	(5,500)
<b><i>Total - Parks &amp; Recreation</i></b>		<b>\$6,556,798</b>	<b>\$7,964,868</b>	<b>\$7,959,368</b>	<b>\$1,402,570</b>

# Parks & Recreation

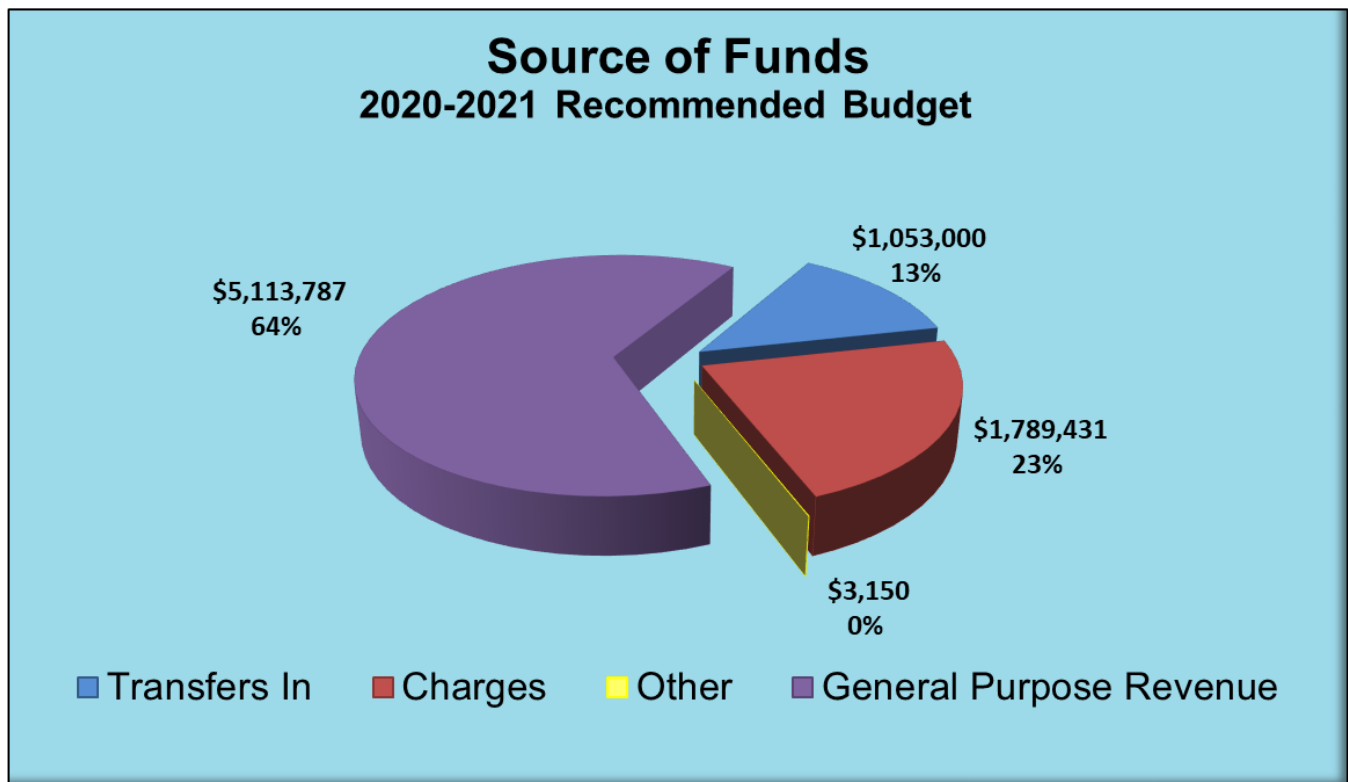
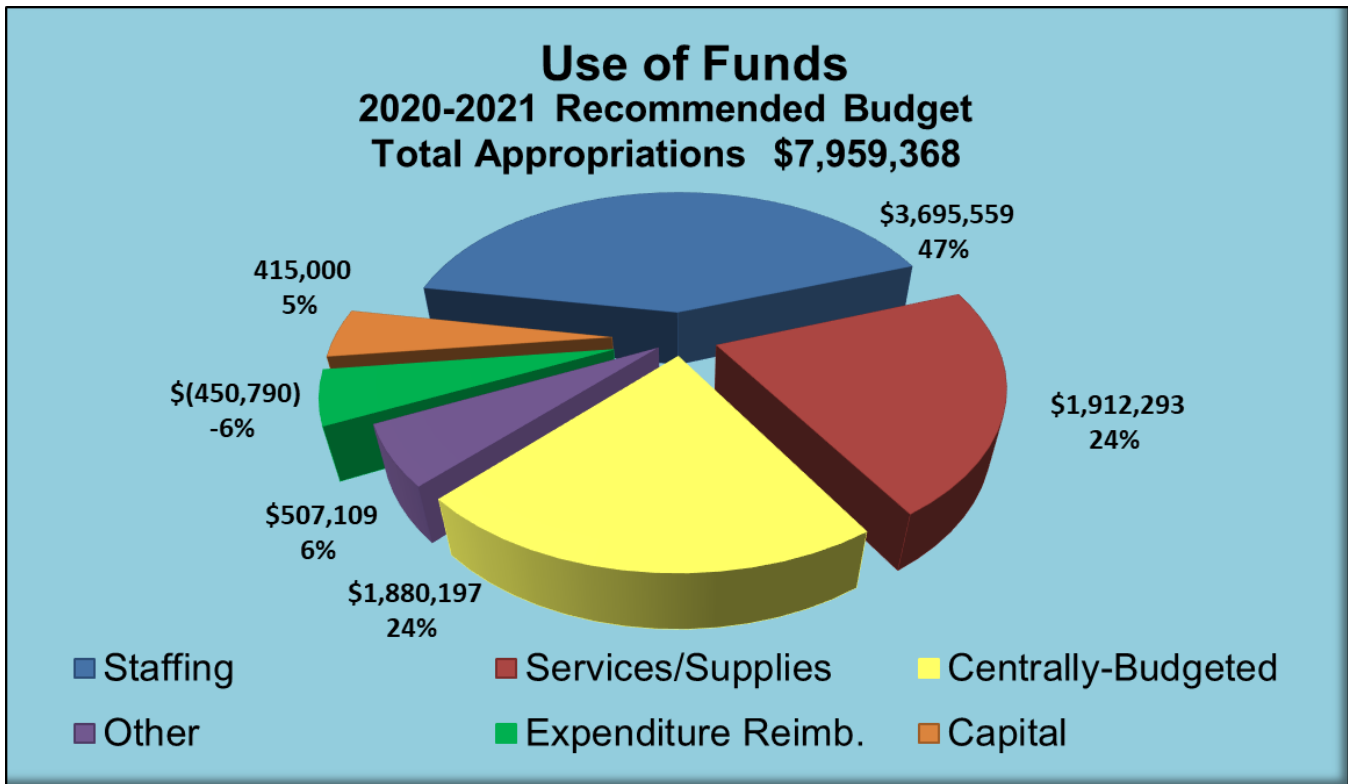
## Appropriations





# Parks & Recreation

## Appropriations



# 7070300000—General Services-Parks & Recreation

## Parks & Recreation

Marcia Cunningham, General Services Director

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$3,291,529	\$3,602,172	\$3,695,559	\$3,695,559	\$93,387
Services & Supplies	1,375,570	1,549,236	1,912,293	1,912,293	363,057
Centrally-Budgeted Expenses	216,923	1,174,968	1,880,197	1,880,197	705,229
Other Charges & Uses	10,358	11,559	11,559	11,559	0
Capital Expenditures	17,437	136,292	415,000	415,000	278,708
<b>Total Expenditures</b>	<b>\$4,911,817</b>	<b>\$6,474,227</b>	<b>\$7,914,608</b>	<b>\$7,914,608</b>	<b>\$1,440,381</b>
Expenditure Reimbursements	(324,574)	(418,479)	(450,790)	(450,790)	(32,311)
<b>Total Appropriations</b>	<b>\$4,587,243</b>	<b>\$6,055,748</b>	<b>\$7,463,818</b>	<b>\$7,463,818</b>	<b>\$1,408,070</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$1,502	\$1,200	\$1,200	\$1,200	\$0
Charges For Services	1,744,027	1,763,224	1,789,431	1,789,431	26,207
Miscellaneous Revenues	9,527	139,028	1,950	1,950	(137,078)
Operating Transfers In	536,828	348,000	1,053,000	1,053,000	705,000
<b>Total Revenues</b>	<b>\$2,291,884</b>	<b>\$2,251,452</b>	<b>\$2,845,581</b>	<b>\$2,845,581</b>	<b>\$594,129</b>
<b>Net County Cost</b>	<b>\$2,295,359</b>	<b>\$3,804,296</b>	<b>\$4,618,237</b>	<b>\$4,618,237</b>	<b>\$813,941</b>
<b>Staffing</b>					
Allocated Positions	36.0	36.0	36.0	36.0	0.0
Temporary (Full-Time Equivalent)	9.5	9.1	9.1	9.1	0.0
<b>Total Staffing</b>	<b>45.5</b>	<b>45.1</b>	<b>45.1</b>	<b>45.1</b>	<b>0.0</b>

### Purpose

The Parks and Recreation Division of the General Services Department (GSD) plans, develops, operates, and maintains a system of regional parks; maintains the landscaping at various County-owned facilities and community parks; and manages eight County Service Area (CSA) parks. These activities are not mandated; however, County parks developed using State or Federal funds must be maintained for public recreation services.

### Major Budget Changes

#### Salaries & Employee Benefits

- \$93,387 Salary and benefits adjustments.

#### Services & Supplies

- \$277,257 Increase in maintenance of structures and grounds costs.
- \$60,000 Increase in small tools and instruments expense.
- \$23,750 Increase in utilities costs.

#### Centrally-Budgeted Expenses

- \$34,453 Increase in Casualty insurance costs.
- \$27,433 Increase in data processing charges.
- \$638,594 Cost Allocation Plan adjustment.

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## Parks & Recreation

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### Expenditure Reimbursements

- \$47,636 Increase in CSA reimbursements.
- (\$15,325) Adjustment in cost allocation plan reimbursement.

### Revenues

- \$557,922 Increase in transfers from Parks Special Projects trust fund for projects and equipment.
- \$65,000 Increase in transfers from Micke Grove trust fund for ongoing operations.
- \$26,207 Increase in admissions, rentals, camping, and parking fees.
- (\$50,000) Decrease in transfer from Park Endowment trust fund.

### Capital Expenditures

- \$175,000 Playground equipment repair and replacement for multiple locations.
- \$130,000 Digital sign boards (2).
- \$75,000 Utility cart replacements (6).
- \$35,000 Fee collector kiosk.

### Program Discussion

The 2020-2021 recommended budget for Parks and Recreation totals \$7,914,608, which is an increase of \$1,440,381 from the 2019-2020 adjusted budget. This change reflects a Cost Allocation Plan adjustment (\$638,594), an increase in capital expenditures (\$278,708), increases in costs of maintenance of structures and grounds (\$277,257), salary and benefits adjustments (\$93,387), small tools (\$60,000), and Casualty insurance (\$34,453).

#### Utilities

Approximately 90% of the Division's utility costs are from water usage at park facilities. The California Water Service Company notified customers in 2019 of a proposed three-year phased rate increase beginning in 2020. Parks and Recreation expects the majority of the increase, between 18% and 20%, will occur in calendar year 2020. The recommended budget

includes an increase of \$23,750 from the 2019-2020 adjusted budget to cover the cost increases. Utilities will be closely monitored and the Division may return to the Board of Supervisors at midyear with recommendations to address increasing utility costs.

#### Micke Grove Zoo

Pursuant to the 2018-2019 recommendation from the San Joaquin County Grand Jury (Case No. 0218), the Division will complete a Five-Year Strategic Plan for the Micke Grove Zoo by December 31, 2020. The topics will include non-profit partnership, volunteer program, accreditation, animal exhibits, infrastructure, and revenue.

#### Cost Allocation Plan Adjustment

The recommended budget includes an increase of \$638,594 for Cost Allocation Plan adjustment primarily due to the Division's increased utilization of the Facilities Management Division's specialized employees, including craft workers, electricians, and a locksmith. This allows the Parks and Recreation Division to address deferred maintenance and to complete specialized projects, thus reducing downtime and exposure to the costs of outside vendors.

#### 2019 Novel Coronavirus (COVID-19)

Division revenues declined unexpectedly in the third and fourth quarters of 2019-2020 due to the aggressive public health response to the COVID-19 pandemic, including social-distancing policies, restrictions on non-essential travel, stay-at-home measures and Parks facility closures. Three of the five holidays with typical high attendance numbers for the parks and zoo, Easter, Mother's Day and Memorial Day, occur in the months of April and May. The closure of parks created a significant decrease in special events, admissions, rentals, camping, boat launching, and parking revenues. Parks is projecting a loss of approximately \$543,000 in 2019-2020. The Division will closely monitor economic indicators and park activity in 2020-2021 and may return to the Board of Supervisors for midyear budget adjustments.

#### Cost of Parks Operation

The Division currently operates 21 parks, one of which includes the Micke Grove Zoo. The total Net County Cost (NCC) for Parks and Recreation totaled \$2,295,359 in 2018-2019. All County Parks are supported to some extent by NCC. Additional fiscal measures that will be researched, but are not being recommended for inclusion in the 2020-2021 budget include assessments, special taxes, and parcel taxes. As the Division continues to make budget modifications to increase efficiencies, additional long-term revenue sources or assessments will be analyzed. A majority of the County Parks' ongoing maintenance and operations costs are partially

# 7070300000—General Services-Parks & Recreation

## Parks & Recreation

funded with General Fund dollars. The only exception is CSA 48 – Woodbridge Community Park. This park receives 100% funding from the associated CSA, which has adequate tax or assessment revenues to maintain and operate the park. Of the 21 development and maintained parks in the system, the following three parks, and the Nature Center do not generate revenue in the form of user fees, assessments, or tax revenue:

1. Gianone Park,  
1885 E. Harding Way, Stockton
2. West Jackson Park  
Sanguinetti Lane and Orwood Street, Stockton
3. Woodbridge Wilderness Area  
301 River Meadows Drive, Woodbridge
4. Oak Grove Regional Park Nature Center  
4520 W. Eight Mile Road, Stockton

In 2020-2021, approximately 58% of the recommended budget is funded by NCC with the remainder to be funded by a combination of operating transfers from trust funds and charges for services. In addition, \$1,445,000 in Park facility-related maintenance and improvement projects is funded by the Capital Outlay Fund (#37004) through the Public Improvement budget. The 2018-2019 NCC support for each park was as follows:

<b>Park</b>	<b>NCC</b>
Boggs Tract Park (CSA 1)	\$11,602
Dos Reis Park (CSA 4)	(134,301)
Eastside/Garden Acres Park (CSA 3)	61,250
Gianone Park	31,088
Harmony Grove Church	18,107
Kennedy Park	89,073
Larch Clover Park (CSA 11)	4,844
Madison Park (CSA 2)	8,755
Micke Grove Regional Park	251,567
Micke Grove Zoo	1,032,615
Mossdale Crossing Park	16,813
Oak Grove Nature Center	8,545
Oak Grove Regional Park	239,495
Raymus Village Park (CSA 5)	5,487
Regional Sports Complex	272,163
Stillman L. Magee Park	13,570
Taft Park (CSA 8)	13,266
West Jackson Park	16,973
Westgate Landing	33,345
Woodbridge Wilderness Area	12,701
Other County Department Support	288,401
<b>Total</b>	<b>\$2,295,359</b>

### Parks Trust Funds

The County Parks are supported by revenue from trust fund accounts established for their maintenance and operation. Trust fund account income from all sources for 2020-2021 is estimated to be approximately \$1,074,500.

<b>Trust Account Income</b>	<b>Fund</b>	<b>Amount</b>
Micke Grove Trust	16705	\$315,000
Fish and Game Trust	20005	2,000
Parks Activity Trust	16707	5,000
Park Endowment Trust	16702	212,500
Park Donations Trust	16701	5,000
Park Development Trust	16709	22,500
Parks Special Project Trust	16710	512,500
<b>Total</b>		<b>\$1,074,500</b>

The recommended budget includes transfers of \$308,000 for ongoing Parks operations and \$745,000 for Parks special projects, for a total of \$1,053,000, an increase of \$705,000 from 2019-2020. In addition to interest, the proposed transfers include the use of approximately \$50,000 of Park Endowment Trust principal balance, a decrease of \$50,000 from 2019-2020. As per policies and procedures relating to the trust, the Board must approve all expenditures.

<b>2020-2021 Planned Expenditures</b>	<b>Amount</b>
Playground Equipment	\$175,000
Zoo Electrical Assessment and Repairs	75,000
Utility Carts (6)	75,000
Low Emission Battery Powered Small Tools	60,000
Stillman Magee Kiosk	35,000
LED Digital Sign Boards (2)	130,000
Engineers Report Schematic Design-Zoo	40,000
Lemur Island Pump	10,000
Baseball Field-Micke Grove Park	75,000
Horse Shoe Pits and Fence Repairs	70,000
<b>Estimated Total</b>	<b>\$745,000</b>
<i>(remaining funds to remain in Trust)</i>	

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## Parks & Recreation

The following table includes the estimated trust fund balances at the end of the 2019-2020 and 2020-2021 fiscal years:

Trust Fund	Estimated Balance	
	6/30/2020	6/30/2021
Park Donation Trust*	\$7,500	\$9,000
Park Endowment Trust*	156,950	319,450
Micke Grove Trust*	174,842	194,842
Park Development Trust	33,150	55,650
Park Special Projects Trust	857,132	707,849
Parks & Rec. Activity Trust*	18,055	22,150
<b>Total</b>	<b>\$1,247,629</b>	<b>\$1,308,941</b>

\*Revenue from these funds to be used for 2020-2021 ongoing operations.

### Park Facility Enhancements

Each year departments are asked to assess facility needs and submit projects to GSD. Each project is analyzed based on specific criteria and is either recommended to proceed or rejected. GSD has recommended proceeding with following projects in 2020-2021, with an estimated cost of \$1,735,000 of which \$270,000 is to be offset by the Parks Special Projects Trust Fund, \$1,445,000 from the Capital Outlay Fund (#37004), and \$20,000 from the Micke Grove Zoological Society. The projects are included in the recommended Public Improvement budget (1040148000).

- Completion of a comprehensive engineer's report with schematic design for the east end of Micke Grove Zoo; assess the current partially-completed buildings to identify needed plans for completion; design/plan for future Snow Leopard exhibit; review old plans to evaluate the future pathway for the Zoo, taking into account future exhibit planning at the east end. This is a multi-phased project; the schematic plans may roll into the construction plan in following years.
- Assess the Lemur Island moat filtration system to identify ways to improve flow and filtering abilities. Currently, the system maintains the surface water only. Below surface, the water is consistently murky with dirty water and no visible animals, negatively affecting guest experience.
- Replace/repair horseshoe pits at various parks. Many horseshoe pits and corresponding fences are beyond their life expectancy. The wood backstops are rotting due to age. The horseshoe pits are a great family activity and park guests enjoy using them.
- Replace/repair the Baseball Field 2 at Micke Grove Regional Park. Field 1 was replaced in 2018-2019 and is getting more use than Field 2. Repairing Field 2 will

allow distribution of use between the two. Site work will consist of replacing one backstop and installing approximately 150 lineal feet of 8 feet high chain link fence.

- Repair/construct/reroute drainage at the Regional Sports Complex to move water away from the electrical building by trenching a drain around the building to collect and drain the water before it reaches the building.
- Complete an overall electrical assessment with immediate repairs as needed of Micke Grove Zoo. This project will assess the overall electrical need including any future expansion needs with the project phases as needed.
- Replace over 1,100 square feet of composition roofing on the Delta Shelter at Micke Grove. The current roof is original to the structure and is over 60 years old. Maintenance staff have made numerous repairs to the roof, but have noticed that corrosion and cracking has increased.
- Restriping of all basketball courts including those in CSA's.
- Repair and replace non-compliant restrooms, parking stalls, and drinking fountains at Micke Grove Regional Park with Accessible Design in accordance with Americans with Disabilities Act (ADA) standards.
- Repair and replace non-compliant parking stalls and walkways at Harmony Grove Church with Accessible Design in accordance with ADA standards.
- Site work at Micke Grove Regional Park to include crack sealing, line striping, pavement overlays, slurry seals, pavement replacement, and re-striping accessible parking stalls.
- Site work at Dos Reis Regional Park and Campground to include crack sealing, line striping, pavement overlays, slurry seals, pavement replacement, pot-hole repairs, and re-striping accessible parking stalls.

### Park Revenue Enhancements

In an effort to reduce the reliance on trust funds for ongoing operations, the following revenue enhancements have been or are planned to be implemented in 2020-2021:

- A 2020-2021 Marketing Plan has been generated, which includes three main goals: increase visibility and branding of Park system amenities to encourage increased usage; increase revenues; and offer an attractive and diversified array of events. Each goal includes strategic objectives to help achieve the goal. In conjunction with the overall objectives, each major

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## Parks & Recreation

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event proposed will have a separate plan that will include targeted objectives, tasks, budgets, and sponsorship opportunities.

- Host new events that support the strategic objectives and goals that will attract visitors to San Joaquin County Parks and Micke Grove Zoo. New events planned in 2020-2021 include Movies in the Grove at the Oak Grove Regional Park Amphitheatre; Battle Under the Stars, a light sword event at Oak Grove Regional Park; Outdoor Day, an outdoor adventure theme day at Micke Grove Regional Park; Bark at the Park, a dog friendly event at Oak Grove Regional Park; and Trunk-or-Treat, a safe alternative to traditional trick-or-treating at Micke Grove Regional Park.
- Increase the positive attraction of San Joaquin County Parks by utilizing local print and social media, engaging with local non-profit organizations, and attending community promotional events.
- Digital marketing of Park and Zoo rentals and programs will be increased by reaching out and partnering with surrounding or complementary organizations to utilize their subscriber base.
- Continue to host the Easter Egg Hunt at Micke Grove Regional Park, which in 2018-2019 was attended by over 5,000 guests, created \$12,385 in parking revenue, \$3,826 in Zoo revenue, \$3,400 in sponsorships, and \$1,075 of in-kind donations.
- Continue offering event sponsorship opportunities to create lasting partnerships with for-profit organizations and civic groups.
- The Division will collaborate with California Conservation Corps on redesigning and upgrading the Turtle Pond at Micke Grove Zoo.
- Research outside grant opportunities for Micke Grove Regional Park enhancement projects and asset purchases.
- Complete the removal of the damaged lighting structure and to explore long-term lease opportunities for softball/baseball fields at the Regional Sports Complex.
- Enter into a new Operating Agreement with the Micke Grove Zoological Society that will include revenue reimbursement for entrance fees for special events and programs hosted by the Zoological Society.
- Explore revenue opportunities from outdoor advertising at Oak Grove Regional Park and Micke Grove Regional Park, including digital signage within the parks.
- Install 8' x 8' full color digital display signs at Oak Grove Regional Park and Micke Grove Regional Park.
- Create a fee schedule for outdoor print and digital advertising.
- Utilization of credit/debit-only pay stations and gate automation to reduce labor costs and security risks at remote Park facilities.
- Research accreditation entities for Micke Grove Zoo and create a targeted timeline.
- Continue monthly walk-throughs with Facilities, Parks, and Zoo staff to ensure scheduled ongoing maintenance projects continue to improve operational efficiency, and enhance regional park aesthetics, safety, usage, and rental revenues.
- Create a five year strategic plan for the Parks Division and Micke Grove Zoo.

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## Parks & Recreation

### Workload Data

	Actual <sup>[1]</sup>			Est./Act. <sup>[2]</sup>	Projected <sup>[2]</sup>
	2016-2017	2017-2018	2018-2019	2019-2020	2020-2021
<b>Total Park Visitors</b>	847,500	845,200	915,951	941,948	947,250
<i>Dos Reis Park</i>	74,000	84,500	47,752	42,484	48,000
<i>Micke Grove Regional Park</i>	345,000	345,900	444,459	469,000	465,000
<i>Micke Grove Zoo</i>	100,400	117,900	119,766	119,937	122,000 <sup>[3]</sup>
<i>Mossdale Landing</i>	18,000	20,000	24,827	28,473	27,500
<i>Oak Grove Park</i>	164,500	155,000	156,213	162,465	157,500
<i>Regional Sports Complex</i>	115,000	86,500	94,559	90,104	95,000
<i>Stillman Magee Park</i>	13,500	17,500	12,270	16,009	18,750
<i>Westgate Landing</i>	17,100	17,900	16,105	13,476	13,500

<sup>[1]</sup>2016-2017 and 2017-2018 workload data is approximate.

<sup>[2]</sup>Projected Park visitor methodology includes 3.5 visitors per vehicle standard.

<sup>[3]</sup>Micke Grove Zoo visitor methodology includes non-revenue entrance activities provided by the Zoological Society's Education Department and fundraising activities.

# 7070800000—Cultural Services

## Parks & Recreation

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Other Charges &amp; Uses</i>	\$714,778	\$501,050	\$501,050	\$495,550	(\$5,500)
<i>Total Appropriations</i>	\$714,778	\$501,050	\$501,050	\$495,550	(\$5,500)
<b>Earned Revenues By Source</b>					
	\$0	\$0	\$0	\$0	\$0
<b>Net County Cost</b>	\$714,778	\$501,050	\$501,050	\$495,550	(\$5,500)

### Purpose

This budget contains contributions to organizations that provide cultural services.

The San Joaquin County Historical Society & Museum (SJCHSM) acquires, conserves, and manages historic buildings, artifacts, and documents owned by the County. In addition, the SJCHSM has a contractual arrangement with the County to operate the museum located in Micke Grove Park and to provide public access, exhibits, and educational services at the museum.

The Micke Grove Zoological Society (MGZS) assists in enhancing Micke Grove Zoo and providing the following Zoo-related services: management of an educational program; care and maintenance of the program’s animal collection; management of the volunteer program; operation of the Zoo snack bar; and administration of the membership and adoption programs.

### Major Budget Changes

#### Other Charges

- (\$5,500) Decrease in one-time contributions to SJCHSM.

### Board Strategic Priorities 2019-2020 through 2021-2022

The 2020-2021 recommended budget for the Cultural Services focuses on carrying out the Board's June 2019 directive that all departments establish homelessness as an operational priority and the implementation of the Board Strategic Priorities as adopted by the Board on April 9, 2019. In summary, the Board Strategic Priorities are to ensure fiscal responsibility, promote good governance, improve public

safety, promote economic development, and to proactively deal with water issues.

As stewards of County property and assets, both SJCHSM and MGZS address the Boards’ Strategic Priority to “promote good governance and increase organizational capabilities” by working collaboratively with County departments and other community organizations to safeguard County property and provide enhanced services to the public.

### Program Discussion

#### San Joaquin County Historical Society & Museum

The 2020-2021 County contribution to San Joaquin County Historical Society & Museum (SJCHSM) is recommended at \$395,550, which is decrease of \$5,500 from the 2019-2020 adjusted budget, due to a decrease in one-time funding. The recommended budget also includes in-kind County support services of \$216,784, for facilities and grounds maintenance; irrigation and potable water; liability insurance; and waiver of parking fees for SJCHSM members and volunteers.

The recommended County contribution of \$395,550 excluding the in-kind County support services, represents approximately 55.0% of the SJCHSM 2020-2021 proposed operating budget of \$719,620. Expectations of the County are that the SJCHSM would continue to accomplish the following objectives:

1. Mark historical sites and stimulate research in the cultural and natural heritage of the County.
2. Assist the County in the collection, restoration, and preservation of historical materials and to make these available to the public through the operation of the museum. This would include maintaining a comprehensive inventory and catalog of existing



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## Parks & Recreation

collections, new acquisitions, and disposing of those no longer of value.

- Undertake programs and activities, including museum operations and educational tour programs, which provide for the collection, preservation, and interpretation of the history of the County; the surrounding area; and the history of all cultures and heritage of all people.
- Capitalizing, where possible, on reimbursement for services provided.

- Upgrade collection practices to meet Museum Industry Standards.
- Diversify sources of revenue to build financial sustainability.
- Build community and regional awareness.
- Strengthen the capacity and effectiveness of the Board of Trustees.

For 2018-2019, the SJCHSM reported the following:

Volunteer Hours of Service	
Board of Trustees	1,200
Committees	570
Docent Council	11,245
Friends of the Museum	975
Tractor & Ag Equipment	4,127
<b>Total</b>	<b>18,117</b>

Museum Visitation	
Weekends	5,672
Weekdays	2,690
Tours	6,039
<b>Total</b>	<b>14,401</b>

In 2019-2020, the SJCHSM continued to preserve and restore artifacts that reveal the County’s heritage, including the Ledbetter Family Barn; more than 50,000 historic artifacts and documents; and the 1848 Charles Weber cottage, which is the oldest building in the County.

As of March 31, 2020, the number of memberships for the SJCHSM was 1,248, which includes individual, family, and corporate memberships. Membership has dropped by 7% since March 31, 2019. Additionally, volunteer hours reported for 2018-2019 decreased by 8.5% from 2017-2018. This is primarily due to aging of the volunteer base. In 2020-2021, SJCHSM plans to address the decrease in membership and volunteerism through increased marketing and outreach efforts; continued development of the master plan to include an interpretive plan that will greatly enhance the visitor experience; and redesign of the website to attract new visitors to the museum.

In 2019-2020, the SJCHSM completed development of a Strategic Plan to include five long-term goals:

- Enhance the visitor experience to engage diverse audiences.

### Base Funding Level

The County is under contractual obligation to provide base (ongoing) funding to cover an appropriate share of SJCHSM operating costs. During the 2009-2010 and 2010-2011 fiscal years, the County contribution was reduced by 44% due to budgetary restrictions resulting from the Great Recession. From 2011-2012 to 2019-2020, the County has increased the base funding by \$109,300, or 40%. The following table shows the base funding contributions made by the County since 2011-2012:

Year	Base Funding	% of Inc/Dec from prior year
2011-2012	\$275,000	12.9%
2012-2013	303,000	10.2%
2013-2014	303,000	0.0%
2014-2015	303,000	0.0%
2015-2016	303,000	0.0%
2016-2017	349,965	15.5%
2017-2018	349,965	0.0%
2018-2019	384,300	9.8%
2019-2020	384,300	0.0%
2020-2021	*384,300	0.0%

\* 2020-2021 is recommended

### Micke Grove Zoological Society

The 2020-2021 County contribution to the Micke Grove Zoological Society (MGZS) is recommended at \$100,000, which is the same amount as the 2019-2020 adjusted budget.

In 1999, the County and MGZS entered into an agreement (A-99-599), which outlines the responsibility of the County to provide for the maintenance, operation, and security of the park, and where MGZS, as a non-County organization, will provide charitable events and activities for the purpose of assisting Micke Grove Zoo, and affirms MGZS’ fiduciary responsibility over funds raised to ensure prudent money management of donated funds.

# 7070800000—Cultural Services

## Parks & Recreation

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As part of the agreement, the County and MGZS annually agree upon the number of free admissions provided as part of MGZS' membership program. As of March 31, 2020, the number of MGZS memberships was 854, which includes individual and family memberships. MGZS has experienced a drop in membership of 9% since March 31, 2019. In 2020-2021, MGZS plans to address the decrease in membership through ongoing marketing and outreach efforts.

During 2019-2020, County funding enabled the MGZS to sustain educational programs, activities, and promotional services.

### *Zoo Education Program*

The 2020-2021 MGZS Zoo Education Program is budgeted at \$145,000, which is approximately 47.2% of the MGZS 2020-2021 budget of \$307,425. Marketing and promoting the Zoo and its programs; providing services to Zoo visitors through snack bar operation; and administrative costs constitutes the remainder of the MGZS budget.

## Supplemental Requests

### **San Joaquin County Historical Society & Museum**

SJCHSM submitted a funding request for \$16,750 for the following:

#### *Website Redesign*

One-time funding of \$10,000 for redesign of the SJCHSM website.

The recommended budget has been augmented by \$4,500 in one-time funding in order to partially address this request based on the County's agreed upon proportional share of the SJCHSM operating costs.

Funding for the remainder of this request totaling \$5,500 has not been included in the recommended 2020-2021 budget.

#### *Master Plan*

One-time funding of \$6,750 toward consultant costs for the development of a comprehensive master plan. This funding was approved for 2019-2020 and represents the County's proportional share of the original request of \$15,000. Due to delays in the early phases of the master plan development, SJCHSM was unable to expend the \$6,750 in 2019-2020 and the funds were returned to the General Fund.

The 2020-2021 recommended budget has been augmented by \$6,750 in one-time funding in order to address this request based on the County's proportional share of the SJCHSM budget.

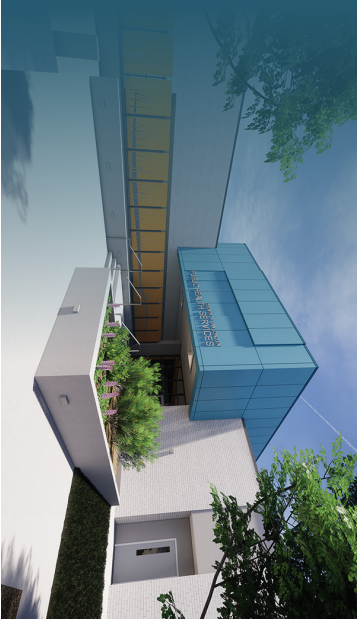
### **Micke Grove Zoological Society**

A one-time funding request totaling \$100,000 has been submitted by the MGZS to provide County assistance for the ongoing Zoo Education Program offered by MGZS.

The recommended budget has been augmented by \$100,000 in order to address this request.



PROPOSED BUDGET  
CONTINGENCIES



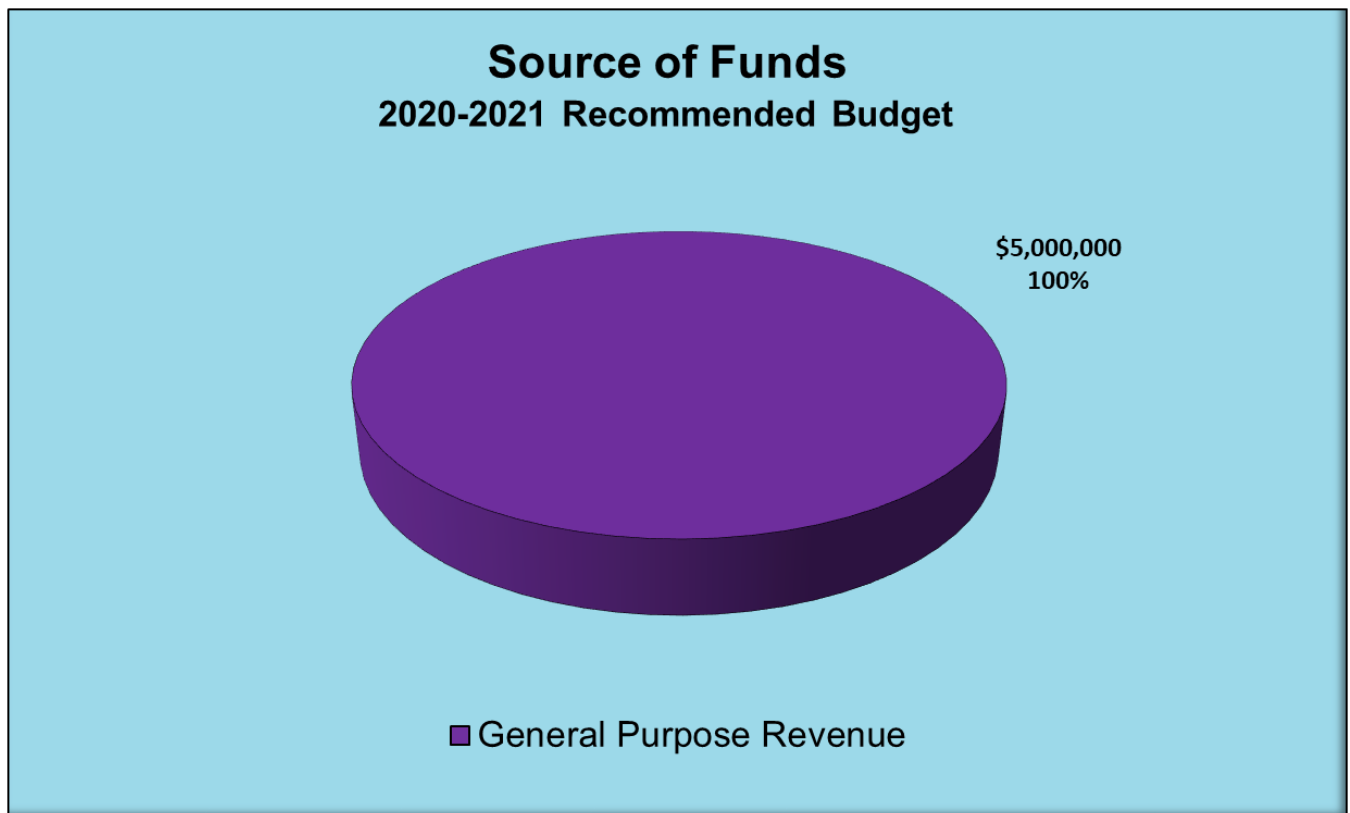
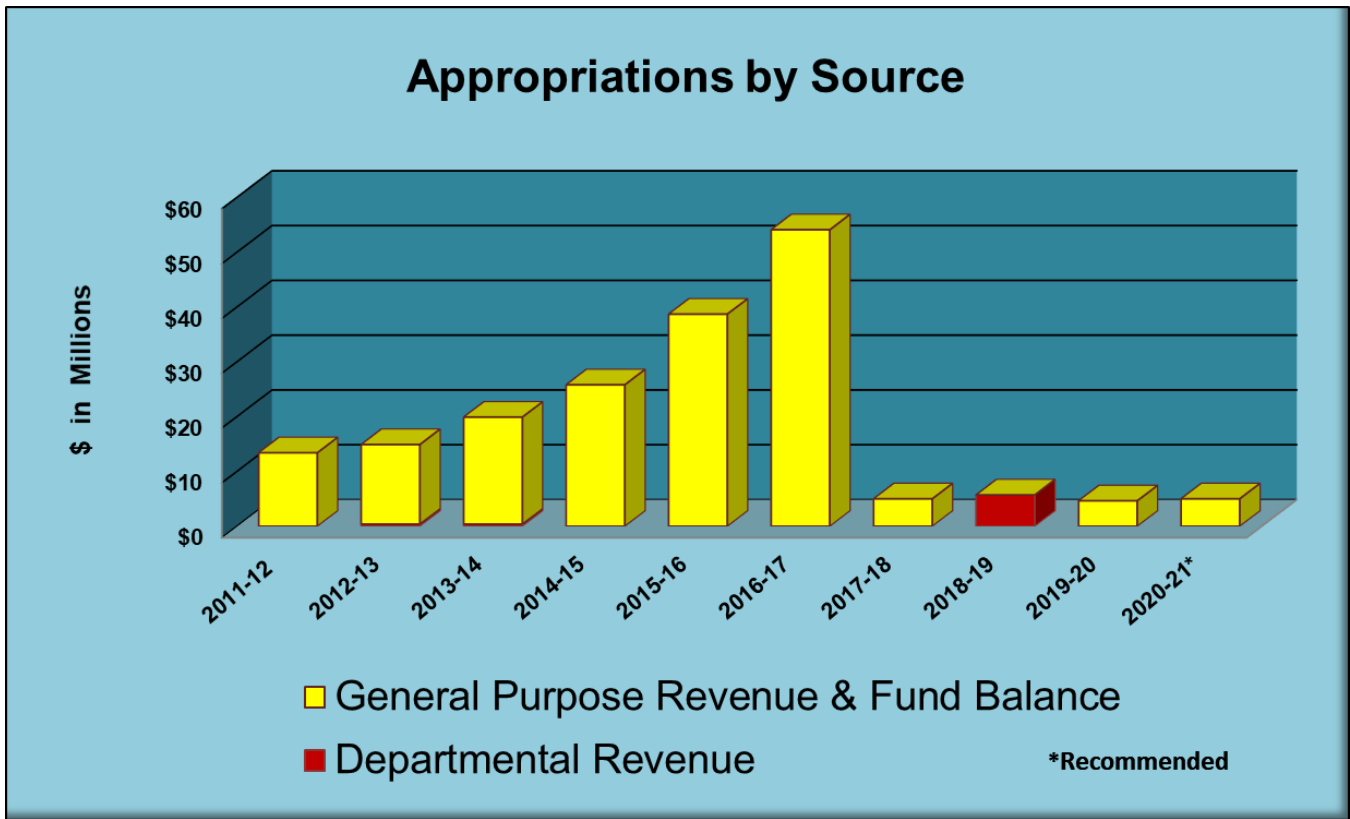
# Contingencies

## Appropriations

	Page Number	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
1019000000 <i>Contingency Provision</i>	J-3	\$4,628,475	\$5,000,000	\$5,000,000	\$371,525
<b><i>Total - Contingencies</i></b>		<b>\$4,628,475</b>	<b>\$5,000,000</b>	<b>\$5,000,000</b>	<b>\$371,525</b>

# Contingencies

## Appropriations



# 1019000000—Contingency Provision

## Contingencies

Monica Nino, County Administrator

General Fund	2018-2019 Actual	2019-2020 Adjusted	2020-2021 Requested	2020-2021 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
<i>Provision for Contingencies</i>	\$0	\$4,628,475	\$5,000,000	\$5,000,000	\$371,525
<i>Total Appropriations</i>	\$0	\$4,628,475	\$5,000,000	\$5,000,000	\$371,525
<b>Earned Revenues By Source</b>					
	\$0	\$0	\$0	\$0	\$0
<b>Net County Cost</b>	\$0	\$4,628,475	\$5,000,000	\$5,000,000	\$371,525

### Purpose

Government Code Section 29084 permits county budgets to contain an appropriation for contingencies in such an amount that the Board of Supervisors deems appropriate. In San Joaquin County, the Board established the Contingency Provision budget, which provides funds for unanticipated expenditures or revenue shortfalls occurring in all County operations during the fiscal year. The level of the appropriation is set annually as part of the County budget development process. Various factors are evaluated in determining the appropriation level. These include demands on County services, economic outlook, pending Federal and State legislative/budgetary impacts on County programs, the County’s short and long-term infrastructure needs, and the availability of funds.

### Major Budget Changes

#### Provision for Contingencies

- \$371,525 Increase due to annual reset of Contingency Provision budget level to \$5.0 million.

### Program Discussion

The 2020-2021 recommended Contingency Provision budget totals \$5.0 million. Should this appropriation not be sufficient due to a significant or extraordinary event, a recommendation will be brought forward to the Board to increase the amount during the fiscal year.

#### Use Guidelines & Policies

On October 5, 2010, the Board adopted the Use Guidelines and Policies for the Reserve for Contingencies (R-10-525) in an effort to stabilize the long-term financial viability of the

County and to correct the structural imbalance of the budget over a 10-year period. The Use Guidelines and Policies are summarized below:

1. Set the Reserve at 5% of the County budget by 2020-2021.
2. Starting 2011-2012, establish a goal to provide an annual appropriation to the Reserve at a minimum of 1% of the County budget and provide an annual appropriation increase sufficient to reach the 2020-2021 goal of 5%.
3. Define the Reserve as a one-time resource and not be used to support operating expenses on an ongoing basis.
4. Use the Reserve to balance the County budget only if other options are unavailable or highly impractical.
5. Cap the annual drawdown of the Reserve at 20% of the amount appropriated in the adopted budget.

#### 2020-2021 Reserve Level

The 2020-2021 budget recommends to replenish and establish the Reserve at \$95,517,602, or 5.00% of the recommended County budget. The recommended Reserve level represents an increase of \$5,365,132 from the 2019-2020 adopted level and will be funded with a projected year-end Reserve balance of \$90,542,768 and a transfer from the General Fund of \$4,974,834.

During 2019-2020, County Administrator's Office reviewed the Contingency Reserve level and policies of 23 other counties in the State to determine if 5.00% was an appropriate reserve level for a county and budget of our size. The results showed that the County’s Contingency Reserve level is consistent with other counties in the State.

# 1019000000—Contingency Provision

## Contingencies

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The following chart shows the Reserve for Contingencies levels for fiscal years 2011-2012 through 2020-2021:

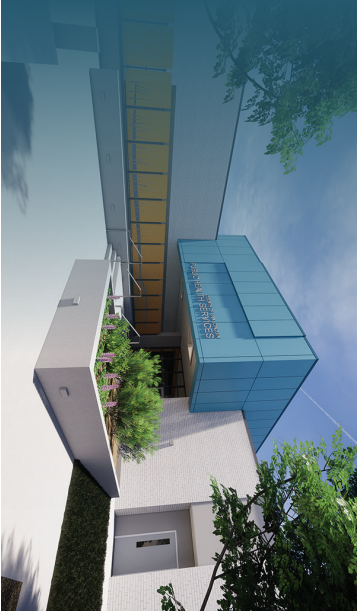
<b>Fiscal Year</b>	<b>Adopted Budget</b>	<b>Reserve for Contingencies</b>	<b>% of Budget</b>
2011-2012	\$1,240,387,489	\$13,795,071	1.11%
2012-2013	\$1,250,828,056	\$14,931,940	1.19%
2013-2014	\$1,307,393,334	\$18,901,078	1.45%
2014-2015	\$1,326,130,172	\$25,839,892	1.95%
2015-2016	\$1,375,647,801	\$38,724,546	2.89%
2016-2017	\$1,439,598,787	\$54,062,431	3.76%
2017-2018	\$1,616,211,310	\$74,630,220	4.62%
2018-2019	\$1,747,206,329	\$87,378,644	5.00%
2019-2020	\$1,803,049,348	\$90,152,470	5.00%
2020-2021*	\$1,910,352,049	\$95,517,602	5.00%

\* *Recommended Budget.*

Currently, 85% of the County's represented workforce is operating under expired contracts. The County is negotiating with nine bargaining units whose contracts have expired, including six units represented by Service Employees International Union Local 1021. It is expected that negotiations will begin with two more bargaining units before the end of 2019-2020, and two in 2020-2021 that have contracts expiring in March 2021. Costs resulting from these negotiations are unknown at this time; therefore, the recommended 2020-2021 departmental budgets do not include funding for any potential adjustments. If needed, midyear fund transfers from the Contingency Provision budget may be required to provide for these unbudgeted costs; however, in 2019-2020, at the request of the County Administrator, an Approved Labor Agreements Reserve Fund was established to ensure that the County can keep its commitment to fund approved labor agreements. An initial \$5.0 million was transferred from the General Fund in 2019-2020. The recommended budget includes an additional \$11,261,512 to the Reserve Fund, for a total General Fund contribution amount of \$16,261,512.



PROPOSED BUDGET  
**SPECIAL DISTRICTS**





# Special Districts Budgets

## Purpose

Special Districts are a form of government created by a local community to meet a specific need. The budget requests below are for Special Districts administered by the Public Works Department and the Parks and Recreation Division of the General Services Department. These Districts provide one or a combination of the following services to properties within the respective District boundaries: water, sewer, storm drainage, streetlights, nitrate treatment, household hazardous waste, landscape, and lighting maintenance. Each District budget is developed to address projected District operations and maintenance needs, as well as to provide funding for reserves for future system replacement and capital improvement projects. Revenues are provided through assessments and service charges from benefitting properties within each District's boundaries.

### Lighting Districts

Each District provides funds for specific improvements and/or services that include the maintenance and operation of street lighting and associated structures located within the public rights-of-way and dedicated easements. There are a total of 30 Districts. The total budgeted amount in 2020-2021 is \$756,700. This recommended budget is funded by \$556,457 in revenue and \$200,243 in fund balance. The projected fund balance on June 30, 2021 is \$1,278,616. The appropriations request is an increase of \$15,032 from the 2019-2020 Adopted Budget, which is due to Pacific Gas & Electric and administrative cost increases.

Rancho Village is anticipated to have a negative cash balance on June 30, 2020. The assessments for these Districts can only be increased with property owner approval. Property owners in Rancho Village did not approve a rate increase proposal in April 2019. As a result, a portion of the streetlights will remain de-energized to save costs and allow recovery of the fund balance over the next few years.

### Maintenance Districts

Each District funds expenses for operation and maintenance costs, which include labor, electricity, regulatory compliance, repairs, pump taxes (for some water districts), and capital improvement projects. The total budgeted amount in 2020-2021 is \$18,297,892. This recommended budget is funded by \$9,229,938 in revenue and \$9,067,954 in fund balance. The projected fund balance on June 30, 2021 is \$4,990,622. The requested appropriations is an increase of \$5,712,421 from the 2019-2020 Adopted Budget. The majority of this increase is due to planned capital projects, including a \$1.5 million waterline replacement project in Colonial Heights, \$2.0 million sewer infrastructure improvement project in Pacific Gardens, \$2.6 million filter project in Raymus Village, and \$795,550 in increases in planned capital projects in other

Districts, partially offset by a decrease in other operational costs of \$1.2 million.

Corral Hollow, Elkhorn Estates, Gayla Manor, Sunnyside Estates, Walnut Acres, and Wilkinson Manor Zone A are anticipated to have a negative cash balance on June 30, 2020. Property owners approved rate increases in 2018 in Corral Hollow and Gayla Manor, and 2019 in Elkhorn Estates, Sunnyside Estates, Walnut Acres, and Wilkinson Manor Zone A. Rate increases are phased in over five years. This approach is designed to increase revenues annually with full recovery occurring when rates reach their maximum in the fifth year.

### Flood Control and Water Conservation District

The San Joaquin County Flood Control and Water Conservation District (FC and WCD) was created through State legislation for the purpose of planning, constructing, operating and maintaining flood control, and water supply projects. The Board of Supervisors is the governing body of the FC and WCD and has authority to establish assessments and fees in accordance with State law to help fund District activities. There are a total of six budgets:

- FC and WCD
- FC Assessment District 96-1
- FC Zone No. 9
- FC Zone No. 10
- Mokelumne River Water and Power Authority
- Water Investigation Zone No. 2

Revenues from these budgets support flood management; water resources project planning activities; levee and channel maintenance; and implementation of the Board of Supervisors' Strategic Priority to meet water needs.

The 2020-2021 recommended budget for all Flood Control and Water budgets total is \$11,588,731 and is funded by \$9,936,005 in revenue and \$1,652,726 in fund balance. The projected fund balance on June 30, 2021 is \$5,119,406. The requested appropriations reflect a decrease of \$2,720,973 from the 2019-2020 Adopted Budget, primarily due to completion of projects that had received State Department of Water Resources grant funds awarded for flood emergency response and flood risk reduction (\$2.5 million), and for Sustainable Groundwater Management Act Readiness activities (\$250,000).

### County Service Areas (CSAs)

A CSA may be established to provide a variety of extended services within a particular area. At the time the CSA is established, the Board specifies the types of services proposed to be provided within the area. CSAs in the County typically provide utilities and/or other services and are broken down between two types: Public Works and Parks.

## **Special Districts Budgets**

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### **CSAs - Public Works**

Each CSA funds expenses for maintenance costs, which include labor, electricity, regulatory compliance, repairs, pump taxes (for some water districts), and capital improvement projects. The total recommended budget in 2020-2021 is \$8,257,909. This budget is funded by \$4,364,485 in revenue and \$3,893,424 in fund balance. The projected fund balance on June 30, 2021 is \$2,242,925. The requested appropriations is an increase of \$695,301 from the 2019-2020 Adopted Budget. This is primarily due to increased operations and maintenance costs.

CSAs 12 Thornton, 41 Zone C, 43 Clements, 44 Fair Oaks, 44 Zone E, 44 Zone G, 46 Morada North, and 49 Zone L are anticipated to have a negative cash balance on June 30, 2020.

Property owners in CSAs 12, 43, and 46 approved rate increases in 2019, 2018, and 2015 respectively. The funds are still in a state of recovery. In 2019, property owners in CSA 49 Zone L rejected a rate increase proposal. Streetlights in this District are in the process of being de-energized to reduce expenses.

Proposed rate increases for CSAs 44 Zone E and 44 Zone G are planned to be presented to the Board of Supervisors in July 2020. In May 2020, contingent upon property owner consent to the proposed sewer rate increase, the Board of Supervisors approved waiver of reimbursement of a General Fund loan to the District totaling \$1,116,554 and authorized reimbursement of a Special District Settlement Fund loan to the District from General Fund Contingency Provision totaling \$715,722 (B-20-251).

### **CSAs – Parks**

The total recommended budget for Parks in 2020-2021 is \$224,680. This budget is funded by \$208,944 in revenue and

\$15,736 in fund balance. The projected fund balance on June 30, 2021 is \$15,865. The appropriations request is a decrease of \$12,955 from the 2019-2020 Adopted Budget, due to a decrease in projected maintenance and grounds costs.

### **2019 Novel Coronavirus (COVID-19)**

Most Special District revenues are property-related fees, service charges, or assessments billed on the property tax roll and are teetered, therefore they are unaffected by the economic impacts of the COVID-19 pandemic. However, economic conditions may make it difficult to obtain property owner consent for rate increases to generate needed additional revenue. Approximately 15% of water customers and one sewer customer also pay periodic direct-bill charges, and some customers may be unable to pay due to COVID-19 financial impacts. A low to moderate revenue reduction or delay for direct-billed charges is anticipated depending on the severity and duration of economic impacts from the pandemic.

FC and WCD revenue is derived primarily from property taxes. FC Zone 9 also derives roughly a quarter of its revenue from property tax. Impacts on these revenues are likely to be minimal, unless the severity and duration of COVID-19 economic impacts results in a significant reduction in real property values.

COVID-19 considerations have delayed the effort to establish a new benefit assessment district that would generate additional revenue needed for levee maintenance activities. Due to inability to do effective outreach and the likely unreceptiveness of constituents, the effort has been postponed until at least fall 2020, which will delay potential revenue generation by one year.

# Special Districts Budgets

## Lighting Districts

Districts	Projected Fund			Projected Fund Balance as of June 30, 2021
	Balance as of July 1, 2020	2020-2021 Appropriations	2020-2021 Revenues	
Ash Street	\$219,239	\$48,698	\$32,492	\$203,033
Boggs Tract	55,485	14,211	9,276	50,550
Burkett Gardens	7,103	59,208	53,037	932
Burkett Garden Acres	24,592	27,670	21,685	18,607
Eastview	30,641	17,188	12,001	25,454
Elkhorn	9,321	11,373	5,028	2,976
Farmington	6,273	6,037	2,957	3,193
Linden	87,384	35,866	29,071	80,589
Lockeford	75,548	28,846	20,770	67,472
Mariposa Heights	26,312	11,358	2,857	17,811
Mission Village	17,242	9,656	3,544	11,130
Morada Estates	15,139	14,171	5,479	6,447
Morada Manor	11,018	6,229	2,215	7,004
North Oaks	20,914	10,868	6,737	16,783
North Wilson Way	70,691	33,805	23,426	60,312
Northeast Stockton	54,931	77,754	50,812	27,989
Oro Street	110,057	46,996	42,745	105,806
Plymouth Village	54,811	35,149	26,250	45,912
Rancho Village	(1,646)	1,481	976	(2,151)
Shasta Avenue	4,564	12,040	8,428	952
Shippee-French Camp	11,014	5,287	3,027	8,754
Silva Gardens	2,443	3,914	2,854	1,383
South French Camp	20,864	7,010	4,887	18,741
Southwest Stockton	120,546	26,091	20,653	115,108
Stockton No. 5	11,334	5,025	2,578	8,887
Tuxedo-Country Club	35,022	27,412	23,743	31,353
Victor	5,432	6,369	5,523	4,586
West Lane	29,290	6,932	5,367	27,725
West Stockton	80,119	72,943	59,976	67,152
Woodbridge	263,176	87,113	68,063	244,126
<b>Total</b>	<b>\$1,478,859</b>	<b>\$756,700</b>	<b>\$556,457</b>	<b>\$1,278,616</b>

## Special Districts Budgets

### Maintenance Districts

Districts	Projected Fund			Projected Fund Balance as of June 30, 2021
	Balance as of July 1, 2020	2020-2021 Appropriations	2020-2021 Revenues	
<i>Acampo</i>	\$206,486	\$218,226	\$45,118	\$33,378
<i>Almond Park</i>	29,082	82,387	18,575	(34,730)
<i>Ashley Park</i>	11,391	3,428	1,515	9,478
<i>Bear Creek Terrace</i>	956	31,901	21,656	(9,289)
<i>Bowling Green Estates</i>	1,174	8,218	4,247	(2,797)
<i>Colonial Heights</i>	2,075,318	2,699,612	1,187,706	563,412
<i>Corral Hollow</i>	(23,136)	116,683	99,145	(40,674)
<i>Country Club Vista</i>	423,706	22,541	21,572	422,737
<i>Elkhorn Estates</i>	(131,618)	183,001	102,835	(211,784)
<i>Gayla Manor</i>	(15,333)	106,510	75,607	(46,236)
<i>Lambert Village</i>	118,333	27,758	17,124	107,699
<i>Lincoln Village</i>	5,036,659	4,485,362	3,379,446	3,930,743
<i>Lockeford Maintenance</i>	1,529	8,063	3,755	(2,779)
<i>Maurland Manor</i>	74,381	46,510	31,252	59,123
<i>Mokelumne Acres</i>	996,089	383,823	325,140	937,406
<i>Morada Acres</i>	15,323	82,372	37,585	(29,464)
<i>Morada Estates</i>	262,541	191,054	119,192	190,679
<i>Morada Manor</i>	3,841	91,756	57,796	(30,119)
<i>Pacific Gardens</i>	2,725,503	4,673,724	2,288,661	340,440
<i>Rancho San Joaquin</i>	142,483	159,209	86,982	70,256
<i>Raymus Village</i>	1,478,826	3,218,611	583,970	(1,155,815)
<i>Riviera Cliffs</i>	32,283	10,976	10,130	31,437
<i>Shaded Terrace</i>	151,439	119,401	39,113	71,151
<i>Shaded Terrace - Debt Service</i>	12,262	19,332	18,570	11,500
<i>Spring Creek Estates</i>	133,595	79,353	33,571	87,813
<i>Summer Home Estates</i>	15,560	10,364	2,418	7,614
<i>Sunnyside Estates</i>	(25,735)	169,299	42,663	(152,371)
<i>Walnut Acres</i>	(36,281)	113,181	64,755	(84,707)
<i>Wilkinson Manor</i>	29,484	393,977	320,947	(43,546)
<i>Wilkinson Manor Zone A</i>	(28,666)	100,216	66,182	(62,700)
<i>San Joaquin Water Works No. 2</i>	341,101	441,044	122,710	22,767
<b>Total</b>	<b>\$14,058,576</b>	<b>\$18,297,892</b>	<b>\$9,229,938</b>	<b>\$4,990,622</b>

## Special Districts Budgets

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### Flood Control and Water

<b>Districts &amp; Zones</b>	<b>Projected Fund Balance as of July 1, 2020</b>	<b>2020-2021 Appropriations</b>	<b>2020-2021 Revenues</b>	<b>Projected Fund Balance as of June 30, 2021</b>
<i>San Joaquin County Flood Control &amp; Water Conservation District (21101)</i>	\$1,522,393	\$2,744,445	\$2,282,720	\$1,060,668
<i>Flood Control Zone No. 9 (21113)</i>	3,492,661	4,746,986	4,134,610	2,880,285
<i>Flood Control Zone No. 10 (21114)</i>	281,821	280,200	21,675	23,296
<i>Water Investigation Zone No. 2 (21168)</i>	963,937	2,313,400	2,500,000	1,150,537
<i>Flood Control Assessment District No. 96- 1 (21116)</i>	0	985,000	985,000	0
<i>Mokelumne River Water &amp; Power Authority (21201)</i>	511,320	518,700	12,000	4,620
<b>Total</b>	<b>\$6,772,132</b>	<b>\$11,588,731</b>	<b>\$9,936,005</b>	<b>\$5,119,406</b>

# Special Districts Budgets

## County Service Areas - Public Works

County Service Areas - Public Works	Projected Fund			Projected Fund
	Balance as of July 1, 2020	2020-2021 Appropriations	2020-2021 Revenues	Balance as of June 30, 2021
#3 Zone A Tallahatchey Terrace	\$9,205	\$21,907	\$11,618	(\$1,084)
#12 Thorton	(100,683)	354,401	195,574	(259,510)
#14 Victor	30,208	19,389	931	11,750
#15 Waterloo/99	326,219	341,236	321,806	306,789
#16 Par Country Estates	227,139	242,001	178,210	163,348
#17 Cherokee Industrial Park	208,613	118,131	23,187	113,669
#18 Fairway Estates	75,118	96,174	39,447	18,391
#21 Oak Creek	76,696	22,157	10,971	65,510
#23 Granda Glen	1,543	15,510	9,347	(4,620)
#24 Moznett Estates	284,620	19,568	11,912	276,964
#25 Capewood	28,567	11,820	966	17,713
#29 Forest Lake Ranchettes	122,946	21,024	10,373	112,295
#30 Manteca Industrial Park	220,834	119,494	69,376	170,716
#31 Flag City	526,934	279,226	172,297	420,005
#31 Zone W Flag City-Nitrate Treatment	397,434	144,007	113,551	366,978
#35 Santos Ranch/Los Ranchos	81,322	160,791	101,475	22,006
#36 Lloyd Lane	39,376	13,280	2,017	28,113
#36 Zone A Lloyd Lane	14,470	8,219	1,124	7,375
#36 Zone B Lloyd Lane	32,284	8,028	2,418	26,674
#37 Marty Court	2,105	7,888	1,352	(4,431)
#41 Eaglecrest	49,719	22,269	6,891	34,341
#41 Zone N Tierra Del Sol	300,274	54,189	43,164	289,249
#41 Zone C Solari Ranch	(3,191)	14,097	12,760	(4,528)
#42 Blatt Estates	42,331	15,667	4,585	31,249
#43 Clements	(50,832)	171,567	62,903	(159,496)
#43 Zone D Clements	38,885	15,044	7,774	31,615
#44 Fair Oaks - Apricot Acres	(176,268)	340,916	132,417	(384,767)
#44 Zone E Castello Estates	(685,439)	638,811	302,608	(1,021,642)
#44 Zone G Linne Estates	(631,950)	698,146	343,493	(986,603)
#45 Lockeford Bluffs	29,502	25,514	5,927	9,915
#46 Morada North	(94,240)	418,537	271,021	(241,756)
#46 Zone L Heather Moore Estates	3,182	2,525	1,144	1,801
#47 Hempstead Court	31,581	18,544	1,681	14,718
#48 Zone K Woodbridge Main Street	50,705	51,090	23,597	23,212
#49 Zone L Rural Intersection Lighting	(1,928)	9,970	4,442	(7,456)
#49 Zone L-1 Rural Intersection Lighting	41,336	15,989	6,762	32,109
#50 Patterson Pass Business Park	182,774	44,766	48,428	186,436
#51 Acampo Village	1,830	5,743	1,122	(2,791)
#52 Blossom Court	48,900	13,889	5,476	40,487
#53 Household Hazardous Waste	1,890,421	2,148,797	1,006,873	748,497
#54 NPDES	2,317,944	1,475,125	773,000	1,615,819
#55 Shaylynn Estates	6,151	6,153	1,616	1,614
#55 Zone C Stonegate Estates	92,275	15,300	12,489	89,464
#56 Peters	47,437	11,010	6,360	42,787
<b>Total</b>	<b>\$6,136,349</b>	<b>\$8,257,909</b>	<b>\$4,364,485</b>	<b>\$2,242,925</b>

## Special Districts Budgets

### County Service Areas - Parks

County Service Areas - Parks	Projected Fund Balance as of July 1, 2020	2020-2021 Appropriations	2020-2021 Revenues	Projected Fund Balance as of June 30, 2021
#1 Boggs Tract Park	\$610	\$14,752	\$17,208	\$3,066
#2 Madison Park	4,440	27,518	39,737	16,659
#3 Garden Acres - Eastside Park	45	61,355	61,355	45
#4 Lathrop Park	12	19,994	19,994	12
#5 Raymus Village Park	7,644	24,417	24,417	7,644
#8 Taft Park	10,964	40,163	40,163	10,964
#11 Larch Clover Park	5	6,070	6,070	5
#48 Woodbridge Community Park	7,881	30,411	-	(22,530)
<b>Total</b>	<b>\$31,601</b>	<b>\$224,680</b>	<b>\$208,944</b>	<b>\$15,865</b>
<b>Total Districts Governed by the Board of Supervisors</b>	<b>\$28,477,517</b>	<b>\$39,125,912</b>	<b>\$24,295,829</b>	<b>\$13,647,434</b>

### 2020-2021 Planned Projects List

In 2014-2015, the Board of Supervisors adopted the Uniform Public Construction Cost Account Act (UPCCAA) and Job Order Contracting (JOC) to streamline the bidding process for minor construction projects. During 2020-2021, Public Works will utilize County labor and/or JOC to deliver a number of

projects in the Special Districts. The JOC mechanism will be used to rehabilitate or repair several wells and various storm and sewer systems. The Raymus Village TCP filter project is planned for formal bid in 2020-2021.

Planned projects in 2020-2021 are as follows:

#### Flood Control and Water

Fund	District	Service	Description	Cost
21101	San Joaquin County FC & WCD	FC	Upper Mormon Slough Erosion Repair Project	\$350,000
21101	San Joaquin County FC & WCD	FC	Flood Levee Maint. District Formation Project	300,000
21113	Flood Control Zone #9	FC	Upper Mormon Slough Erosion Repair Project	350,000
21113	Flood Control Zone #9	FC	Flood Levee Maint. District Formation Project	300,000
				<u>\$1,300,000</u>

## Special Districts Budgets

### Maintenance Districts

Fund	District	Service	Description	Cost
22101	Acampo	W	Well #2 rehabilitation and pump replacement	\$30,000
22701	Colonial Heights	S	Sewer main CIPP	30,000
22701	Colonial Heights	W	Water Line Replacement	1,500,000
23401	Lincoln Village	S	Lift Station Portable Generator	200,000
23401	Lincoln Village	S	Sewer And Storm CIPP	30,000
23401	Lincoln Village	D	Swain Road Pump House Repairs	30,000
24201	Pacific Gardens	S	Sewer Main CIPP	30,000
24201	Pacific Gardens	S	Pipe lining and odor control	2,000,000
24401	Raymus Village	W	Replace Valves	25,000
24401	Raymus Village	W	TCP Filter	2,600,000
24401	Raymus Village	S	Sewer Main CIPP	30,000
21501	San Joaquin Water Works #2	W	Advanced Metering Infrastructure	250,000
24901	Sunnyside Estates	W	Meter Installation	100,000
25101	Wilkinson Manor	W	Replace 2 Water Lines	40,000
				\$6,895,000

### County Service Areas

Fund	District	Service	Description	Cost
31201	CSA 12	SD	Storm Drainage Pump	\$50,000
31601	CSA 16	W	Distribution Service Repairs	30,000
31701	CSA 17	D	Storm Drain Pipe Repair	50,000
34301	CSA 43	W	Pump/motor #1	40,000
34431	CSA 44 Zone E	S	SCADA installation	40,000
34441	CSA 44 Zone G	S	SCADA installation	50,000
34501	CSA 45	D	Storm Pump Rehab	15,000
35301	CSA 53	HHW	Roof	150,000
35301	CSA 53	HHW	Parking lot striping/resurfacing	20,000
35301	CSA 53	HHW	Coolant Tank and Antifreeze	40,000
35301	CSA 53	HHW	Heaters	50,000
35301	CSA 53	HHW	Swamp Coolers	20,000



## Special Districts Budgets

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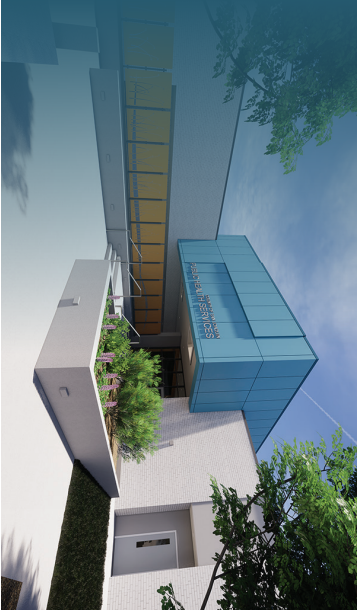
35301	CSA 53	HHW	Break Area Construction (Table included)	5,000
35301	CSA 53	HHW	Parts for VOIP, Data Wiring Cloud	10,000
				\$570,000
<b>Total Special Districts Planned Projects &amp; Capital Expenses</b>				<b>\$8,765,000</b>

**Abbreviations:**

L - Streetlights  
W - Water  
S - Sewer  
SD - Storm drainage  
FC - Flood control  
WCD - Water Conservation District  
CIPP - Cast-in-place pipe  
HHW - Household Hazardous Waste  
JOC - Job Order Construction  
UPCCA - Uniform Public Construction Cost Accounting Act



PROPOSED BUDGET  
**POSITION DETAIL**



## COUNTY OF SAN JOAQUIN

### POSITION BUDGET DETAIL FISCAL YEAR 2020-2021

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>Budget Unit 1010100000 BOARD OF SUPERVISORS</b>										
OB1291:	Chairman Board of Supervisors	-	-	-	-	\$4,450	1	1	1	O-Exempt Unrepresented
OB1290:	Board of Supervisors	-	-	-	-	\$3,971	4	4	4	O-Exempt Unrepresented
EB1220:	Clerk of the Board	\$3,242	\$3,404	\$3,574	\$3,754	\$3,942	1	1	1	B-Senior Management Unrepresented
EB4295:	Board of Supervisor Legislative Assistant	\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	5	5	5	D-Confidential Unrepresented
EB1221:	Chief Deputy Clerk of the Board	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	D-Confidential Unrepresented
- EB4201:	Deputy Clerk of the Board II	\$1,567	\$1,645	\$1,727	\$1,813	\$1,904				D-Confidential Unrepresented
- EB4202:	Deputy Clerk of the Board I	\$1,470	\$1,544	\$1,622	\$1,702	\$1,787	2	2	2	D-Confidential Unrepresented
- EO6112:	Senior Office Assistant-Exempt	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	D-Confidential Unrepresented
- EO6106:	Office Assistant-Exempt	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	D-Confidential Unrepresented
						<b>ALLOCATED TOTAL</b>	<b>16</b>	<b>16</b>	<b>16</b>	
						<b>POSITION TOTAL</b>	<b>16</b>	<b>16</b>	<b>16</b>	
<b>Budget Unit 1010200000 COUNTY ADMINISTRATOR</b>										
HB1100:	Administrator-County	\$10,059	\$10,562	\$11,091	\$11,645	\$12,237	1	1	1	A-Executive Unrepresented
HB1120:	Assistant County Administrator	\$7,009	\$7,359	\$7,727	\$8,114	\$8,520	1	1	1	A-Executive Unrepresented
EB2200:	Chief Deputy County Administrator	\$5,230	\$5,492	\$5,766	\$6,054	\$6,358	1	1	1	B-Senior Management Unrepresented
ES1400:	Program Administrator-Homeless Initiatives	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	B-Senior Management Unrepresented
- EM0261:	Senior Deputy County Administrator	\$4,241	\$4,453	\$4,675	\$4,910	\$5,154	5	5	5	B-Senior Management Unrepresented
- EM0260:	Deputy County Administrator	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	1	1	1	D-Confidential Unrepresented
- RM0228:	Management Analyst III	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	1	1	1	D-Confidential Unrepresented
- RB6105:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242				D-Confidential Unrepresented
- RB6104:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				D-Confidential Unrepresented
- RB6502:	Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	D-Confidential Unrepresented
- RB6501:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454				D-Confidential Unrepresented
- RO2011:	Management Secretary II	\$1,753	\$1,840	\$1,932	\$2,029	\$2,130	2	2	2	D-Confidential Unrepresented
- RO2010:	Management Secretary I	\$1,492	\$1,567	\$1,645	\$1,727	\$1,813				D-Confidential Unrepresented
						<b>ALLOCATED TOTAL</b>	<b>14</b>	<b>14</b>	<b>14</b>	
						<b>POSITION TOTAL</b>	<b>14</b>	<b>14</b>	<b>14</b>	
<b>Budget Unit 1010800000 AUDITOR-CONTROLLER</b>										
OB1190:	Auditor/Controller	\$5,306	\$5,572	\$5,850	\$6,142	\$6,450	1	1	1	O-Exempt Unrepresented
EB2190:	Assistant Auditor-Controller	\$4,158	\$4,366	\$4,585	\$4,814	\$5,054	1	1	1	B-Senior Management Unrepresented
RM0200:	Chief Deputy Auditor-Controller	\$3,735	\$3,922	\$4,118	\$4,325	\$4,541	3	3	3	C-Middle Management SJC Mgmt Assoc
RM0201:	Chief Deputy Auditor-Controller	\$3,735	\$3,922	\$4,118	\$4,325	\$4,541		1 *	1 *	D-Confidential Unrepresented

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RB4400:Payroll Administrator		\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	1	1	1	D-Confidential Unrepresented
- RB6205:Business Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6204:Business Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
- RB4103:Deputy Auditor-Controller III		\$2,654	\$2,786	\$2,926	\$3,072	\$3,226	5	5	5	E-Professional SEIU
- RB4102:Deputy Auditor-Controller II		\$2,349	\$2,466	\$2,590	\$2,719	\$2,854	2	2	2	E-Professional SEIU
- RB4101:Deputy Auditor-Controller I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	E-Professional SEIU
RB6501:Administrative Assistant I		\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	D-Confidential Unrepresented
RO3022:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	D-Confidential Unrepresented
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	2	2	2	G-Office & Office Technical SEIU
RO3021:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	3	3	3	D-Confidential Unrepresented
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	3	3	3	G-Office & Office Technical SEIU
RO6700:Office Technician/Coordinator		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	6	6	6	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>32</b>	<b>33 *</b>	<b>33 *</b>		
<b>Temporary (FTE)</b>						<b>1.0</b>	<b>1.0</b>	<b>1.0</b>		
<b>POSITION TOTAL</b>						<b>33</b>	<b>34 *</b>	<b>34 *</b>		
<b>Budget Unit</b>	<b>1011000000</b>	<b>TREASURER-TAX COLLECTOR</b>								
OB1690:Treasurer-Tax Collector		\$5,256	\$5,518	\$5,794	\$6,084	\$6,388	1	1	1	O-Exempt Unrepresented
EB2690:Assistant Treasurer-Tax Collector		\$4,138	\$4,346	\$4,562	\$4,790	\$5,030	1	1	1	B-Senior Management Unrepresented
EB2691:Chief Deputy Treasurer		\$3,523	\$3,699	\$3,885	\$4,079	\$4,283	1	1	1	B-Senior Management Unrepresented
RM0226:Management Analyst III		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJC Mgmt Assoc
- RB4003:Accountant III		\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	1	1	1	F-Paraprofessional & Technical SEIU
- RB4002:Accountant II		\$2,238	\$2,349	\$2,466	\$2,590	\$2,719				F-Paraprofessional & Technical SEIU
- RB4001:Accountant I		\$1,904	\$1,999	\$2,099	\$2,205	\$2,314				F-Paraprofessional & Technical SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	6	6	6	G-Office & Office Technical SEIU
RO2060:Executive Secretary		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RB2010:Tax Collection Specialist		\$1,867	\$1,961	\$2,059	\$2,162	\$2,270	1	1	1	G-Office & Office Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	4	4	4	G-Office & Office Technical SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	3	3	3	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	4	4	4	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>26</b>	<b>26</b>	<b>26</b>		
<b>Temporary (FTE)</b>						<b>0.7</b>	<b>0.7</b>	<b>0.7</b>		
<b>POSITION TOTAL</b>						<b>26.7</b>	<b>26.7</b>	<b>26.7</b>		

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>Budget Unit</b>	<b>1011100000 ASSESSOR</b>									
OB1490:Assessor/Recorder/County Clerk		\$5,654	\$5,937	\$6,234	\$6,546	\$6,873	1	1	1	O-Exempt Unrepresented
EB2491:Assistant Assessor/Recorder/County Clerk		\$4,431	\$4,653	\$4,885	\$5,129	\$5,386	1	1	1	B-Senior Management Unrepresented
RI1011:Department Information Systems Manager		\$3,999	\$4,199	\$4,409	\$4,630	\$4,861	1	1	1	C-Middle Management SJC Mgmt Assoc
RB4306:Principal Appraiser		\$3,866	\$4,059	\$4,262	\$4,475	\$4,698	3	3	3	C-Middle Management SJC Mgmt Assoc
RM0226:Management Analyst III		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJC Mgmt Assoc
RI1103:Department Information Systems Analyst III		\$3,388	\$3,558	\$3,735	\$3,922	\$4,118	2	2	2	E-Professional SEIU
RB4304:Appraiser IV		\$2,983	\$3,133	\$3,290	\$3,454	\$3,627	4	4	4	R-Supervisors Unit SEIU
RB4204:Auditor-Appraiser IV		\$2,983	\$3,133	\$3,290	\$3,454	\$3,627	1	1	1	R-Supervisors Unit SEIU
- RI1102:Department Information Systems Analyst II		\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	2	2	2	E-Professional SEIU
- RI1101:Department Information Systems Analyst I		\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
- RB4303:Appraiser III		\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	4	4	4	F-Paraprofessional & Technical SEIU
- RB4302:Appraiser II		\$2,215	\$2,326	\$2,442	\$2,564	\$2,692	14	14	14	F-Paraprofessional & Technical SEIU
- RB4301:Appraiser I		\$1,822	\$1,913	\$2,009	\$2,110	\$2,215	4	4	4	F-Paraprofessional & Technical SEIU
- RB4203:Auditor-Appraiser III		\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	1	1	1	F-Paraprofessional & Technical SEIU
- RB4202:Auditor-Appraiser II		\$2,215	\$2,326	\$2,442	\$2,564	\$2,692	5	5	5	F-Paraprofessional & Technical SEIU
- RB4201:Auditor-Appraiser I		\$1,849	\$1,942	\$2,039	\$2,142	\$2,248	2	2	2	F-Paraprofessional & Technical SEIU
RE0213:Assessors Chief Mapping Technician		\$2,259	\$2,372	\$2,490	\$2,615	\$2,746	1	1	1	R-Supervisors Unit SEIU
RE0212:Assessors Senior Mapping Technician		\$2,110	\$2,215	\$2,326	\$2,442	\$2,564	1	1	1	F-Paraprofessional & Technical SEIU
RB6001:Administrative Assistant I		\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
RO2060:Executive Secretary		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
- RE0211:Assessors Mapping Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	2	2	2	F-Paraprofessional & Technical SEIU
- RE0210:Assessors Mapping Technician I		\$1,694	\$1,778	\$1,867	\$1,961	\$2,059	1	1	1	F-Paraprofessional & Technical SEIU
RO1200:Transfer Technician Supervisor		\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	1	1	1	R-Supervisors Unit SEIU
RO4460:Property Technician Supervisor		\$1,694	\$1,778	\$1,867	\$1,961	\$2,059	2	2	2	R-Supervisors Unit SEIU
- RO4423:Transfer Technician III		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	2	2	2	G-Office & Office Technical SEIU
- RO4422:Transfer Technician II		\$1,567	\$1,645	\$1,727	\$1,813	\$1,904	4	4	4	G-Office & Office Technical SEIU
- RO4421:Transfer Technician I		\$1,514	\$1,590	\$1,670	\$1,753	\$1,840				G-Office & Office Technical SEIU
RO4451:Property Technician		\$1,582	\$1,661	\$1,744	\$1,830	\$1,922	8	8	8	G-Office & Office Technical SEIU
RO2000:Office Secretary		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO1000:Office Supervisor		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	2	2	2	R-Supervisors Unit SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	3	3	3	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	7	7	7	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	3	3	3	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>							<b>86</b>	<b>86</b>	<b>86</b>	

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION	
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT	
							Temporary (FTE)	1.2	1.2	1.2	
							POSITION TOTAL	87.2	87.2	87.2	
<b>Budget Unit</b>	<b>1011200000 PURCHASING SUPPORT SVS</b>										
HB1950:	Director of Purchasing & Support Services	\$4,475	\$4,698	\$4,934	\$5,180	\$5,438	1	1	1	A-Executive Unrepresented	
EB1960:	Deputy Director Purchasing & Support Services	\$3,506	\$3,681	\$3,866	\$4,059	\$4,262	1	1	1	B-Senior Management Unrepresented	
- RB0510:	Deputy Purchasing Agent	\$2,226	\$2,338	\$2,454	\$2,577	\$2,706	9	9	9	E-Professional SEIU	
- RB0500:	Purchasing Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226	1	1	1	F-Paraprofessional & Technical SEIU	
RB0710:	Records Supervisor	\$2,029	\$2,130	\$2,238	\$2,349	\$2,466	1	1	1	R-Supervisors Unit SEIU	
RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1 *	1 *	F-Paraprofessional & Technical SEIU	
RO3012:	Accounting Technician II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU	
RB0701:	Records Management Technician I	\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	1	1	1	F-Paraprofessional & Technical SEIU	
RO1000:	Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	*	*	R-Supervisors Unit SEIU	
RO7302:	Senior Support Services Tech	\$1,522	\$1,598	\$1,678	\$1,761	\$1,849	2	2	2	G-Office & Office Technical SEIU	
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU	
- RO7301:	Support Services Technician II	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	2	2	2	G-Office & Office Technical SEIU	
- RO7300:	Support Services Technician I	\$1,234	\$1,295	\$1,360	\$1,428	\$1,499	3	3	3	G-Office & Office Technical SEIU	
RC2000:	Stock Clerk I	\$1,246	\$1,308	\$1,374	\$1,442	\$1,514	1	1	1	I-Trades Labor & Institutional SEIU	
							ALLOCATED TOTAL	25	25	25	
							Temporary (FTE)	3.9	3.9	3.9	
							POSITION TOTAL	28.9	28.9	28.9	
<b>Budget Unit</b>	<b>1011600000 REVENUE AND RECOVERY</b>										
RO3012:	Accounting Technician II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU	
RO1100:	Collections Supervisor	\$1,867	\$1,961	\$2,059	\$2,162	\$2,270	1	1	1	R-Supervisors Unit SEIU	
- RO4603:	Senior Collections Clerk	\$1,727	\$1,813	\$1,904	\$1,999	\$2,099	6	6	6	G-Office & Office Technical SEIU	
- RO4602:	Collections Clerk II	\$1,645	\$1,727	\$1,813	\$1,904	\$1,999				G-Office & Office Technical SEIU	
- RO4601:	Collections Clerk I	\$1,408	\$1,478	\$1,552	\$1,630	\$1,710	1	1	1	G-Office & Office Technical SEIU	
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	2	2	2	G-Office & Office Technical SEIU	
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	2	2	2	G-Office & Office Technical SEIU	
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	3	3	3	G-Office & Office Technical SEIU	
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU	
							ALLOCATED TOTAL	16	16	16	
							POSITION TOTAL	16	16	16	
<b>Budget Unit</b>	<b>1011800000 COUNTY COUNSEL</b>										
HL1100:	County Counsel	\$8,602	\$9,033	\$9,485	\$9,959	\$10,458	1	1	1	A-Executive Unrepresented	
HL1110:	Assistant County Counsel	\$6,675	\$7,009	\$7,359	\$7,727	\$8,114	1	1	1	A-Executive Unrepresented	

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
EL2100:	Chief Deputy County Counsel	\$5,518	\$5,794	\$6,084	\$6,388	\$6,708	1	1	1	B-Senior Management Unrepresented
- RL2054:	Deputy County Counsel IV	\$4,982	\$5,230	\$5,492	\$5,766	\$6,054	9	10 *	10 *	D-Confidential Unrepresented
- RL2053:	Deputy County Counsel III	\$4,304	\$4,518	\$4,745	\$4,982	\$5,230	1	1	1	D-Confidential Unrepresented
- RL2052:	Deputy County Counsel II	\$3,437	\$3,609	\$3,790	\$3,979	\$4,178				D-Confidential Unrepresented
- RL2051:	Deputy County Counsel I	\$2,826	\$2,968	\$3,117	\$3,273	\$3,437				D-Confidential Unrepresented
RO2061:	Executive Secretary	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	D-Confidential Unrepresented
RL0105:	Paralegal II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	D-Confidential Unrepresented
- RL0402:	Legal Technician II	\$1,582	\$1,661	\$1,744	\$1,830	\$1,922	2	2	2	D-Confidential Unrepresented
- RL0401:	Legal Technician I	\$1,499	\$1,574	\$1,653	\$1,735	\$1,822				D-Confidential Unrepresented
- RO6111:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	D-Confidential Unrepresented
- RO6106:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				D-Confidential Unrepresented
<b>ALLOCATED TOTAL</b>						<b>19</b>	<b>20 *</b>	<b>20 *</b>		
<b>POSITION TOTAL</b>						<b>19</b>	<b>20 *</b>	<b>20 *</b>		
<b>Budget Unit 1012400000 HUMAN RESOURCES</b>										
HB1600:	Director of Human Resources	\$5,710	\$5,996	\$6,295	\$6,610	\$6,941	1	1	1	A-Executive Unrepresented
EB2600:	Deputy Director of Human Resources	\$4,304	\$4,518	\$4,745	\$4,982	\$5,230	1	1	1	B-Senior Management Unrepresented
RM0250:	Employee Benefits Manager	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	D-Confidential Unrepresented
RM0252:	County Safety & Risk Manager	\$3,523	\$3,699	\$3,885	\$4,079	\$4,283	1	1	1	D-Confidential Unrepresented
RM0251:	EEO Program Manager	\$3,489	\$3,663	\$3,846	\$4,039	\$4,241	1	1	1	D-Confidential Unrepresented
RB5403:	Principal Employee Relations Analyst	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	1	1	1	D-Confidential Unrepresented
RB5110:	Principal Personnel Analyst	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	3	3	3	D-Confidential Unrepresented
RB5304:	Disability Management Coordinator	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	1	1	1	D-Confidential Unrepresented
- RB5402:	Employee Relations Analyst III	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999				D-Confidential Unrepresented
- RB5401:	Employee Relations Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	D-Confidential Unrepresented
- RB5400:	Employee Relations Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				D-Confidential Unrepresented
RM0228:	Management Analyst III	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	1	1	1	D-Confidential Unrepresented
- RB5103:	Personnel Analyst III	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	3	3	3	D-Confidential Unrepresented
- RB5102:	Personnel Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	12	12	12	D-Confidential Unrepresented
- RB5101:	Personnel Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	2	2	2	D-Confidential Unrepresented
RB5310:	Safety Officer	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	1	1	1	D-Confidential Unrepresented
RB5200:	Staff Development Coordinator	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	1	1	1	D-Confidential Unrepresented
RB5005:	Position Control Coordinator	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	D-Confidential Unrepresented
RO2061:	Executive Secretary	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	D-Confidential Unrepresented
RB5001:	Position Control Technician	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	3	3	3	D-Confidential Unrepresented
RO6701:	Office Technician Coordinator	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	D-Confidential Unrepresented

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RO6116:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	5	5	5	D-Confidential Unrepresented
- RO6111:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	6	6	6	D-Confidential Unrepresented
- RO6106:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	4	4	4	D-Confidential Unrepresented
<b>ALLOCATED TOTAL</b>						<b>52</b>	<b>52</b>	<b>52</b>		
<b>POSITION TOTAL</b>						<b>52</b>	<b>52</b>	<b>52</b>		
<b>Budget Unit 1013000000 REGISTRAR OF VOTERS</b>										
EB1500:	Deputy Director - Registrar Of Voters	\$3,903	\$4,098	\$4,304	\$4,518	\$4,745	1	1	1	B-Senior Management Unrepresented
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
RO4720:	Elections Technician Supervisor	\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	3	3	3	R-Supervisors Unit SEIU
RC2012:	Storekeeper II	\$1,753	\$1,840	\$1,932	\$2,029	\$2,130	1	1	1	R-Supervisors Unit SEIU
RO6700:	Office Technician/Coordinator	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO4715:	Elections Specialist	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	2	3 *	3 *	G-Office & Office Technical SEIU
- RO4711:	Elections Technician	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	7	7	7	G-Office & Office Technical SEIU
- RO4710:	Elections Technician Trainee	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>16</b>	<b>17 *</b>	<b>17 *</b>		
<b>Temporary (FTE)</b>						<b>12.4</b>	<b>19.8 *</b>	<b>19.8 *</b>		
<b>POSITION TOTAL</b>						<b>28.4</b>	<b>36.8 *</b>	<b>36.8 *</b>		
<b>Budget Unit 1014000000 GENERAL SERVICES - ADMIN</b>										
HC1200:	Director of General Services	\$5,386	\$5,654	\$5,937	\$6,234	\$6,546	1	1	1	A-Executive Unrepresented
EC1205:	Assistant Director General Services	\$4,220	\$4,431	\$4,653	\$4,885	\$5,129	1	1	1	B-Senior Management Unrepresented
RO2050:	Administrative Secretary	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>3</b>	<b>3</b>	<b>3</b>		
<b>POSITION TOTAL</b>						<b>3</b>	<b>3</b>	<b>3</b>		
<b>Budget Unit 1014200000 FACILITIES MANAGEMENT</b>										
RM0345:	District Maintenance & Construction Superintendent	\$3,258	\$3,421	\$3,592	\$3,771	\$3,960	2	2	2	C-Middle Management SJC Mgmt Assoc
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
RC4001:	Office Building Engineer	\$2,270	\$2,384	\$2,503	\$2,628	\$2,759	6	6	6	I-Trades Labor & Institutional SEIU
RC0410:	Electrician	\$2,259	\$2,372	\$2,490	\$2,615	\$2,746	4	4	4	I-Trades Labor & Institutional SEIU
RC0404:	Crafts Worker IV	\$2,226	\$2,338	\$2,454	\$2,577	\$2,706	4	4	4	R-Supervisors Unit SEIU
RC0403:	Crafts Worker III	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	18	18	18	I-Trades Labor & Institutional SEIU
RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
RO3012:	Accounting Technician II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
- RC0402:	Crafts Worker II	\$1,630	\$1,710	\$1,796	\$1,886	\$1,980	3	3	3	I-Trades Labor & Institutional SEIU



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**POSITION BUDGET DETAIL**  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RC0401:	Crafts Worker I	\$1,478	\$1,552	\$1,630	\$1,710	\$1,796				I-Trades Labor & Institutional SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678				G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>41</b>	<b>41</b>	<b>41</b>		
<b>Temporary (FTE)</b>						<b>0.8</b>	<b>2.9 *</b>	<b>2.9 *</b>		
<b>POSITION TOTAL</b>						<b>41.8</b>	<b>43.9 *</b>	<b>43.9 *</b>		
<b>Budget Unit 1014300000 CAPITAL PROJECT ADMIN</b>										
EC2201:	Facilities & Construction Planner	\$3,471	\$3,645	\$3,827	\$4,019	\$4,220	1	1	1	B-Senior Management Unrepresented
- RE1004:	Engineer IV	\$3,242	\$3,404	\$3,574	\$3,754	\$3,942				E-Professional SEIU
- RE1003:	Engineer III	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663				E-Professional SEIU
- RE1002:	Engineer II	\$2,799	\$2,940	\$3,087	\$3,242	\$3,404				E-Professional SEIU
- RE1001:	Engineer I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940	1	1	1	E-Professional SEIU
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
- RE0112:	Engineering Assistant II	\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	1	1	1	F-Paraprofessional & Technical SEIU
- RE0111:	Engineering Assistant I	\$2,070	\$2,173	\$2,282	\$2,395	\$2,515	1	1	1	F-Paraprofessional & Technical SEIU
- RE0101:	Senior Engineering Aide	\$1,858	\$1,951	\$2,049	\$2,152	\$2,259				F-Paraprofessional & Technical SEIU
- RE0100:	Engineering Aide	\$1,567	\$1,645	\$1,727	\$1,813	\$1,904	1	1	1	F-Paraprofessional & Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>6</b>	<b>6</b>	<b>6</b>		
<b>POSITION TOTAL</b>						<b>6</b>	<b>6</b>	<b>6</b>		
<b>Budget Unit 1016000000 SURVEYOR</b>										
RE3002:	Assistant County Surveyor	\$3,866	\$4,059	\$4,261	\$4,475	\$4,698	1	1	1	C-Middle Management SJC Mgmt Assoc
RE0111:	Engineering Assistant I	\$2,070	\$2,173	\$2,282	\$2,395	\$2,515	1	1	1	F-Paraprofessional & Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>2</b>	<b>2</b>	<b>2</b>		
<b>Temporary (FTE)</b>						<b>0.8</b>	<b>0.8</b>	<b>0.8</b>		
<b>POSITION TOTAL</b>						<b>2.8</b>	<b>2.8</b>	<b>2.8</b>		
<b>Budget Unit 2020200000 DISTRICT ATTORNEY</b>										
OL1390:	District Attorney	\$6,805	\$7,145	\$7,502	\$7,878	\$8,271	1	1	1	O-Exempt Unrepresented
EL2390:	Assistant District Attorney	\$5,878	\$6,172	\$6,481	\$6,805	\$7,145	2	2	2	B-Senior Management Unrepresented
EL2391:	Chief Deputy District Attorney	\$5,492	\$5,766	\$6,054	\$6,358	\$6,675	3	3	3	B-Senior Management Unrepresented
EL2392:	Chief District Attorney Investigator	\$4,409	\$4,630	\$4,861	\$5,104	\$5,358	1	1	1	B-Senior Management Unrepresented
RM0224:	Management Services Administrator	\$3,558	\$3,735	\$3,922	\$4,118	\$4,325	1	1	1	C-Middle Management SJC Mgmt Assoc
RS6050:	Family Justice Program Manager	\$2,826	\$2,968	\$3,117	\$3,273	\$3,437	1	1	1	C-Middle Management SJC Mgmt Assoc
- RL2014:	Deputy District Attorney IV	\$5,030	\$5,282	\$5,545	\$5,822	\$6,114	59	60 *	59	T-Attorney Bargaining Unit
- RL2013:	Deputy District Attorney III	\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	11	11	11	T-Attorney Bargaining Unit

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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RL2012:	Deputy District Attorney II	\$3,471	\$3,645	\$3,827	\$4,019	\$4,220	3	3	3	T-Attorney Bargaining Unit
- RL2011:	Deputy District Attorney I	\$2,854	\$2,998	\$3,148	\$3,306	\$3,471	12	12	12	T-Attorney Bargaining Unit
RI1104:	Department Information Systems Analyst IV	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	E-Professional SEIU
RL3023:	District Attorney Investigator III	\$3,437	\$3,609	\$3,790	\$3,979	\$4,178	3	3	3	J-Sheriffs Management SJCLEMA
- RL3022:	District Attorney Investigator II	\$3,278	\$3,441	\$3,614	\$3,795	\$3,985	25	25	25	Q-Peace Officers Misc SJDA Inv
- RL3021:	District Attorney Investigator I	\$2,971	\$3,121	\$3,278	\$3,441	\$3,614				Q-Peace Officers Misc SJDA Inv
- RL3000:	District Attorney Investigator Trainee	\$2,375	\$2,493	\$2,618	\$2,749	\$2,886				Q-Peace Officers Misc SJDA Inv
- RI1102:	Department Information Systems Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	1	1	1	E-Professional SEIU
- RI1101:	Department Information Systems Analyst I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
RB0205:	Public Information Specialist	\$2,539	\$2,666	\$2,799	\$2,940	\$3,087	1	1 *	1 *	F-Paraprofessional & Technical SEIU
RB6002:	Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	F-Paraprofessional & Technical SEIU
- RS6002:	Victim Witness Advocate II	\$2,059	\$2,162	\$2,270	\$2,384	\$2,503		1 *		F-Paraprofessional & Technical SEIU
- RS6001:	Victim Witness Advocate I	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	1	1	1	F-Paraprofessional & Technical SEIU
- RL3012:	District Attorney Investigative Assistant II	\$1,922	\$2,019	\$2,120	\$2,226	\$2,338	6	7 *	6	H-Safety Investigative & Custodial SEIU
- RL3011:	District Attorney Investigative Assistant I	\$1,614	\$1,694	\$1,778	\$1,867	\$1,961	5	5	5	H-Safety Investigative & Custodial SEIU
RL0310:	Legal Administrative Supervisor	\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	1	1	1	R-Supervisors Unit SEIU
- RL0102:	Paralegal II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	7	8 *	7	F-Paraprofessional & Technical SEIU
- RL0101:	Paralegal I	\$1,661	\$1,744	\$1,830	\$1,922	\$2,019	2	2	2	F-Paraprofessional & Technical SEIU
RL0303:	Senior Legal Technician	\$1,727	\$1,813	\$1,904	\$1,999	\$2,099	5	5	5	R-Supervisors Unit SEIU
RI0110:	Office Systems Specialist	\$1,645	\$1,727	\$1,813	\$1,904	\$1,999	1	1	1	F-Paraprofessional & Technical SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	2	2	2	G-Office & Office Technical SEIU
- RL0302:	Legal Technician II	\$1,582	\$1,661	\$1,744	\$1,830	\$1,922	16	16	16	G-Office & Office Technical SEIU
- RL0301:	Legal Technician I	\$1,499	\$1,574	\$1,653	\$1,735	\$1,822	19	19 *	19 *	G-Office & Office Technical SEIU
RO6120:	Department Payroll Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	4	9 *	4	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	2 *	1	G-Office & Office Technical SEIU
- RC2001:	Stock Clerk II	\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	1	1	1	I-Trades Labor & Institutional SEIU
- RC2000:	Stock Clerk I	\$1,246	\$1,308	\$1,374	\$1,442	\$1,514				I-Trades Labor & Institutional SEIU
<b>ALLOCATED TOTAL</b>						<b>199</b>	<b>209 *</b>	<b>199 *</b>		
<b>Temporary (FTE)</b>						<b>3.3</b>	<b>6.1 *</b>	<b>6.1 *</b>		
<b>POSITION TOTAL</b>						<b>202.3</b>	<b>215.1 *</b>	<b>205.1 *</b>		
<b>Budget Unit</b>	<b>2020204000 DA-FAMILY JUSTICE CENTER</b>									
- RS6002:	Victim Witness Advocate II	\$2,059	\$2,162	\$2,270	\$2,384	\$2,503	1	1	1	F-Paraprofessional & Technical SEIU
- RS6001:	Victim Witness Advocate I	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	1	1	1	F-Paraprofessional & Technical SEIU

**COUNTY OF SAN JOAQUIN  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	2 *	2 *	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	2	2	2	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>5</b>	<b>5</b>	<b>5</b>		
<b>Temporary (FTE)</b>						<b>1.0</b>	<b>1.0</b>	<b>1.0</b>		
<b>POSITION TOTAL</b>						<b>6</b>	<b>6 *</b>	<b>6 *</b>		
<b>Budget Unit 2020205000 DA-VICTIM ASSISTANCE</b>										
RS6025:Victim Claims Supervisor		\$1,840	\$1,932	\$2,029	\$2,130	\$2,238	1	1	1	R-Supervisors Unit SEIU
RO6023:Victim Claims Specialist III		\$1,686	\$1,770	\$1,858	\$1,951	\$2,049	1	1	1	F-Paraprofessional & Technical SEIU
- RO6022:Victim Claims Specialist II		\$1,606	\$1,686	\$1,770	\$1,858	\$1,951	4	4	4	F-Paraprofessional & Technical SEIU
- RO6021:Victim Claims Specialist I		\$1,422	\$1,492	\$1,567	\$1,645	\$1,727	3	3	3	F-Paraprofessional & Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>10</b>	<b>10</b>	<b>10</b>		
<b>POSITION TOTAL</b>						<b>10</b>	<b>10</b>	<b>10</b>		
<b>Budget Unit 2020206000 DA-CONSUMER FRAUD-PROP 64</b>										
EL2391:Chief Deputy District Attorney		\$5,492	\$5,766	\$6,054	\$6,358	\$6,675	1	1	1	B-Senior Management Unrepresented
- RL2014:Deputy District Attorney IV		\$5,030	\$5,282	\$5,545	\$5,822	\$6,114	4	4	4	T-Attorney Bargaining Unit
- RL2013:Deputy District Attorney III		\$4,346	\$4,562	\$4,790	\$5,030	\$5,282				T-Attorney Bargaining Unit
- RL2012:Deputy District Attorney II		\$3,471	\$3,645	\$3,827	\$4,019	\$4,220				T-Attorney Bargaining Unit
- RL2011:Deputy District Attorney I		\$2,854	\$2,998	\$3,148	\$3,306	\$3,471	1	1	1	T-Attorney Bargaining Unit
- RL3022:District Attorney Investigator II		\$3,278	\$3,441	\$3,614	\$3,795	\$3,985	3	3	3	Q-Peace Officers Misc SJDA Inv
- RL3021:District Attorney Investigator I		\$2,971	\$3,121	\$3,278	\$3,441	\$3,614				Q-Peace Officers Misc SJDA Inv
- RL3000:District Attorney Investigator Trainee		\$2,375	\$2,493	\$2,618	\$2,749	\$2,886				Q-Peace Officers Misc SJDA Inv
- RL3012:District Attorney Investigative Assistant II		\$1,922	\$2,019	\$2,120	\$2,226	\$2,338	1	1	1	H-Safety Investigative & Custodial SEIU
- RL3011:District Attorney Investigative Assistant I		\$1,614	\$1,694	\$1,778	\$1,867	\$1,961	1	1	1	H-Safety Investigative & Custodial SEIU
- RL0102:Paralegal II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	3	3	3	F-Paraprofessional & Technical SEIU
- RL0101:Paralegal I		\$1,661	\$1,744	\$1,830	\$1,922	\$2,019	2	2	2	F-Paraprofessional & Technical SEIU
- RL0302:Legal Technician II		\$1,582	\$1,661	\$1,744	\$1,830	\$1,922	2	2	2	G-Office & Office Technical SEIU
- RL0301:Legal Technician I		\$1,499	\$1,574	\$1,653	\$1,735	\$1,822				G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678		1 *	1 *	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>18</b>	<b>19 *</b>	<b>19 *</b>		
<b>POSITION TOTAL</b>						<b>18</b>	<b>19 *</b>	<b>19 *</b>		
<b>Budget Unit 2020209000 DA-CHILD ABDUCTION</b>										
- RL3022:District Attorney Investigator II		\$3,278	\$3,441	\$3,614	\$3,795	\$3,985	1	1	1	Q-Peace Officers Misc SJDA Inv

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
- RL3021:District Attorney Investigator I		\$2,971	\$3,121	\$3,278	\$3,441	\$3,614				Q-Peace Officers Misc SJDA Inv
- RL3000:District Attorney Investigator Trainee		\$2,375	\$2,493	\$2,618	\$2,749	\$2,886				Q-Peace Officers Misc SJDA Inv
- RL3012:District Attorney Investigative Assistant II		\$1,922	\$2,019	\$2,120	\$2,226	\$2,338	1	1	1	H-Safety Investigative & Custodial SEIU
- RL3011:District Attorney Investigative Assistant I		\$1,614	\$1,694	\$1,778	\$1,867	\$1,961				H-Safety Investigative & Custodial SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678				G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>3</b>	<b>3</b>	<b>3</b>		
<b>POSITION TOTAL</b>						<b>3</b>	<b>3</b>	<b>3</b>		
<b>Budget Unit 2020217000 DA-PUBLIC ASSISTANCE FRAUD</b>										
RL3023:District Attorney Investigator III		\$3,437	\$3,609	\$3,790	\$3,979	\$4,178	1	1	1	J-Sheriffs Management SJCLEMA
- RL3022:District Attorney Investigator II		\$3,278	\$3,441	\$3,614	\$3,795	\$3,985	10	10	10	Q-Peace Officers Misc SJDA Inv
- RL3021:District Attorney Investigator I		\$2,971	\$3,121	\$3,278	\$3,441	\$3,614				Q-Peace Officers Misc SJDA Inv
- RL3000:District Attorney Investigator Trainee		\$2,375	\$2,493	\$2,618	\$2,749	\$2,886				Q-Peace Officers Misc SJDA Inv
- RL3012:District Attorney Investigative Assistant II		\$1,922	\$2,019	\$2,120	\$2,226	\$2,338	1	1	1	H-Safety Investigative & Custodial SEIU
- RL3011:District Attorney Investigative Assistant I		\$1,614	\$1,694	\$1,778	\$1,867	\$1,961	3	3	3	H-Safety Investigative & Custodial SEIU
- RL0302:Legal Technician II		\$1,582	\$1,661	\$1,744	\$1,830	\$1,922				G-Office & Office Technical SEIU
- RL0301:Legal Technician I		\$1,499	\$1,574	\$1,653	\$1,735	\$1,822	1	1	1	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>17</b>	<b>17</b>	<b>17</b>		
<b>POSITION TOTAL</b>						<b>17</b>	<b>17</b>	<b>17</b>		
<b>Budget Unit 2020273000 DA-VICTIM WITNESS PROG</b>										
RS6040:Victim Witness Program Manager		\$2,826	\$2,968	\$3,117	\$3,273	\$3,437	1	1	1	C-Middle Management SJCMgmtAssc
RS6005:Victim Witness Advocate Supervisor		\$2,303	\$2,418	\$2,539	\$2,666	\$2,799	1	1	1	R-Supervisors Unit SEIU
RS6003:Victim Witness Advocate III		\$2,162	\$2,270	\$2,384	\$2,503	\$2,628	1	1	1	F-Paraprofessional & Technical SEIU
- RS6002:Victim Witness Advocate II		\$2,059	\$2,162	\$2,270	\$2,384	\$2,503	1	1	1	F-Paraprofessional & Technical SEIU
- RS6001:Victim Witness Advocate I		\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	12	12	12	F-Paraprofessional & Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>18</b>	<b>18</b>	<b>18</b>		
<b>Temporary (FTE)</b>						<b>3.8</b>	<b>3.8</b>	<b>3.8</b>		
<b>POSITION TOTAL</b>						<b>21.8</b>	<b>21.8</b>	<b>21.8</b>		
<b>Budget Unit 2020278250 DA-CRIMINAL RESTITUTION PROG</b>										
- RL0102:Paralegal II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	2	2	2	F-Paraprofessional & Technical SEIU
- RL0101:Paralegal I		\$1,661	\$1,744	\$1,830	\$1,922	\$2,019				F-Paraprofessional & Technical SEIU

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678				G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>							<b>3</b>	<b>3</b>	<b>3</b>	
<b>POSITION TOTAL</b>							<b>3</b>	<b>3</b>	<b>3</b>	
<b>Budget Unit 2020300000 CHILD SUPPORT</b>										
HL1300:Director of Child Support Services		\$4,910	\$5,154	\$5,412	\$5,682	\$5,966	1	1	1	A-Executive Unrepresented
EL2300:Assistant Director of Child Support Services		\$3,846	\$4,039	\$4,241	\$4,453	\$4,675	1	1	1	B-Senior Management Unrepresented
RM0226:Management Analyst III		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssc
RL1055:Child Support Program Manager		\$3,273	\$3,437	\$3,609	\$3,790	\$3,979	4	4	4	C-Middle Management SJCMgmtAssc
- RL2004:Child Support Attorney IV		\$5,030	\$5,282	\$5,545	\$5,822	\$6,114	4	3 *	3 *	T-Attorney Bargaining Unit
- RL2003:Child Support Attorney III		\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	2	2	2	T-Attorney Bargaining Unit
- RL2002:Child Support Attorney II		\$3,471	\$3,645	\$3,827	\$4,019	\$4,220				T-Attorney Bargaining Unit
- RL2001:Child Support Attorney I		\$2,854	\$2,998	\$3,148	\$3,306	\$3,471				T-Attorney Bargaining Unit
RB4003:Accountant III		\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	1	1	1	F-Paraprofessional & Technical SEIU
RB6002:Administrative Assistant II		\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	F-Paraprofessional & Technical SEIU
- RB4002:Accountant II		\$2,238	\$2,349	\$2,466	\$2,590	\$2,719				F-Paraprofessional & Technical SEIU
- RB4001:Accountant I		\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	1	1	1	F-Paraprofessional & Technical SEIU
RL1021:Child Support Compliance Analyst		\$2,110	\$2,215	\$2,326	\$2,442	\$2,564	2	2	2	F-Paraprofessional & Technical SEIU
RL1010:Child Support Supervisor		\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	11	12 *	12 *	R-Supervisors Unit SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	2	*	*	G-Office & Office Technical SEIU
- RL0102:Paralegal II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	F-Paraprofessional & Technical SEIU
- RL0101:Paralegal I		\$1,661	\$1,744	\$1,830	\$1,922	\$2,019				F-Paraprofessional & Technical SEIU
RL1003:Child Support Officer III		\$1,796	\$1,886	\$1,980	\$2,079	\$2,183	12	10 *	10 *	F-Paraprofessional & Technical SEIU
- RL1002:Child Support Officer II		\$1,686	\$1,770	\$1,858	\$1,951	\$2,049	44	44	44	F-Paraprofessional & Technical SEIU
- RL1001:Child Support Officer I		\$1,422	\$1,492	\$1,567	\$1,645	\$1,727	29	21 *	21 *	F-Paraprofessional & Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	5	*	*	G-Office & Office Technical SEIU
RO2050:Administrative Secretary		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
- RL0302:Legal Technician II		\$1,582	\$1,661	\$1,744	\$1,830	\$1,922	3	*	*	G-Office & Office Technical SEIU
- RL0301:Legal Technician I		\$1,499	\$1,574	\$1,653	\$1,735	\$1,822				G-Office & Office Technical SEIU
RC2011:Storekeeper I		\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	1	1	1	I-Trades Labor & Institutional SEIU
RO2000:Office Secretary		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	*	*	G-Office & Office Technical SEIU
RO1000:Office Supervisor		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	2	2	2	R-Supervisors Unit SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	21	27 *	27 *	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	7	7	7	G-Office & Office Technical SEIU
RC2001:Stock Clerk II		\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	1	1	1	I-Trades Labor & Institutional SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>ALLOCATED TOTAL</b>						<b>159</b>	<b>144 *</b>	<b>144 *</b>		
<b>Temporary (FTE)</b>						<b>2.5</b>	<b>0.0 *</b>	<b>0.0 *</b>		
<b>POSITION TOTAL</b>						<b>161.5</b>	<b>144.0 *</b>	<b>144.0 *</b>		
<b>Budget Unit 2020400000 PUBLIC DEFENDER</b>										
	HL1200:Public Defender	\$6,481	\$6,805	\$7,145	\$7,502	\$7,878	1	1	1	A-Executive Unrepresented
	EL2200:Assistant Public Defender	\$5,518	\$5,794	\$6,084	\$6,388	\$6,708	1	1	1	B-Senior Management Unrepresented
	EL2201:Chief Deputy Public Defender	\$5,154	\$5,412	\$5,682	\$5,966	\$6,265	2	2	2	B-Senior Management Unrepresented
	RL3033:Chief Public Defender Investigator	\$3,242	\$3,404	\$3,574	\$3,754	\$3,942	1	1	1	C-Middle Management SJCMgmtAssc
-	RL2034:Deputy Public Defender IV	\$5,030	\$5,282	\$5,545	\$5,822	\$6,114	37	39 *	38 *	T-Attorney Bargaining Unit
-	RL2033:Deputy Public Defender III	\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	2	2	2	T-Attorney Bargaining Unit
-	RL2032:Deputy Public Defender II	\$3,471	\$3,645	\$3,827	\$4,019	\$4,220	1	1	1	T-Attorney Bargaining Unit
-	RL2031:Deputy Public Defender I	\$2,854	\$2,998	\$3,148	\$3,306	\$3,471	6	6	6	T-Attorney Bargaining Unit
-	RI1102:Department Information Systems Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	1	1	1	E-Professional SEIU
-	RI1101:Department Information Systems Analyst I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
-	RL3032:Public Defender Investigator II	\$2,813	\$2,954	\$3,102	\$3,258	\$3,421	12	12	12	H-Safety Investigative & Custodial SEIU
-	RL3031:Public Defender Investigator I	\$2,615	\$2,746	\$2,883	\$3,027	\$3,179				H-Safety Investigative & Custodial SEIU
	RB6002:Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	F-Paraprofessional & Technical SEIU
	RS3403:Social Worker III	\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	1	1	1	F-Paraprofessional & Technical SEIU
-	RS3001:Senior Social Worker	\$1,932	\$2,029	\$2,130	\$2,238	\$2,349	2	2	2	F-Paraprofessional & Technical SEIU
-	RS3000:Social Worker	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	1	1	1	F-Paraprofessional & Technical SEIU
	RL3030:Public Defender Investigator Assistant	\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	4	4	4	H-Safety Investigative & Custodial SEIU
	RO3012:Accounting Technician II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
	RL0102:Paralegal II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	F-Paraprofessional & Technical SEIU
	RL0303:Senior Legal Technician	\$1,727	\$1,813	\$1,904	\$1,999	\$2,099	1	1	1	R-Supervisors Unit SEIU
-	RL0302:Legal Technician II	\$1,582	\$1,661	\$1,744	\$1,830	\$1,922	8	8	8	G-Office & Office Technical SEIU
-	RL0301:Legal Technician I	\$1,499	\$1,574	\$1,653	\$1,735	\$1,822	2	2	2	G-Office & Office Technical SEIU
-	RO6110:Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	3	3	3	G-Office & Office Technical SEIU
-	RO6105:Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>89</b>	<b>91 *</b>	<b>90 *</b>		
<b>Temporary (FTE)</b>						<b>1.6</b>	<b>1.6</b>	<b>1.6</b>		
<b>POSITION TOTAL</b>						<b>90.6</b>	<b>92.6 *</b>	<b>91.6 *</b>		
<b>Budget Unit 2021200000 PRETRIAL SERVICES</b>										
	RP2004:Probation Unit Supervisor	\$2,931	\$3,079	\$3,233	\$3,395	\$3,565	2	2	2	U-Probation Officers
	RP2003:Probation Officer III	\$2,496	\$2,621	\$2,752	\$2,889	\$3,034	2	2	2	U-Probation Officers
-	RP2002:Probation Officer II	\$2,264	\$2,377	\$2,496	\$2,621	\$2,752	2	2	2	U-Probation Officers

**COUNTY OF SAN JOAQUIN**  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RP2001:Probation Officer I		\$1,975	\$2,073	\$2,177	\$2,286	\$2,401		1 *	1 *	U-Probation Officers
RL0510:Pretrial Sr Program Specialist		\$2,049	\$2,152	\$2,259	\$2,372	\$2,490	6	6	6	H-Safety Investigative & Custodial SEIU
RL0505:Pretrial Program Specialist		\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	12	12	12	H-Safety Investigative & Custodial SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>25</b>	<b>26 *</b>	<b>26 *</b>		
<b>Temporary (FTE)</b>						<b>0.8</b>	<b>0.8</b>	<b>0.8</b>		
<b>POSITION TOTAL</b>						<b>25.8</b>	<b>26.8 *</b>	<b>26.8 *</b>		
<b>Budget Unit 2021602000 SHERIFF-BOATING SAFETY</b>										
RP1010:Sergeant		\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	1	1	1	L-Sheriff Sergeants SJDStA
- RP1003:Deputy Sheriff II		\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	6	6	6	K-Sheriffs Non-Management SJDSA
- RP1002:Deputy Sheriff I-Academy Certified		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:Deputy Sheriff I		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:Deputy Sheriff Trainee		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666				H-Safety Investigative & Custodial SEIU
<b>ALLOCATED TOTAL</b>						<b>7</b>	<b>7</b>	<b>7</b>		
<b>POSITION TOTAL</b>						<b>7</b>	<b>7</b>	<b>7</b>		
<b>Budget Unit 2021609000 SHERIFF-CAL MMET</b>										
RP1030:Sheriffs Captain		\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	1	1	1	J-Sheriffs Management SJCLEMA
- RP1003:Deputy Sheriff II		\$2,758	\$2,896	\$3,041	\$3,194	\$3,353				K-Sheriffs Non-Management SJDSA
- RP1002:Deputy Sheriff I-Academy Certified		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:Deputy Sheriff I		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:Deputy Sheriff Trainee		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	1	1	1	H-Safety Investigative & Custodial SEIU
<b>ALLOCATED TOTAL</b>						<b>2</b>	<b>2</b>	<b>2</b>		
<b>POSITION TOTAL</b>						<b>2</b>	<b>2</b>	<b>2</b>		
<b>Budget Unit 2021613000 SHERIFF-HI-TECH CRIMES</b>										
- RP1003:Deputy Sheriff II		\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	1	1	1	K-Sheriffs Non-Management SJDSA
- RP1002:Deputy Sheriff I-Academy Certified		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:Deputy Sheriff I		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:Deputy Sheriff Trainee		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666				H-Safety Investigative & Custodial SEIU
<b>ALLOCATED TOTAL</b>						<b>1</b>	<b>1</b>	<b>1</b>		
<b>POSITION TOTAL</b>						<b>1</b>	<b>1</b>	<b>1</b>		
<b>Budget Unit 2021614000 SHERIFF-AGNET</b>										
RP1020:Lieutenant		\$3,645	\$3,827	\$4,019	\$4,220	\$4,431	1	1	1	J-Sheriffs Management SJCLEMA
RP1010:Sergeant		\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	2	2	2	L-Sheriff Sergeants SJDStA
- RP1003:Deputy Sheriff II		\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	19	19	19	K-Sheriffs Non-Management SJDSA

**COUNTY OF SAN JOAQUIN**  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
- RP1002:Deputy Sheriff I-Academy Certified		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:Deputy Sheriff I		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:Deputy Sheriff Trainee		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	1	1	1	H-Safety Investigative & Custodial SEIU
<b>ALLOCATED TOTAL</b>							<b>23</b>	<b>23</b>	<b>23</b>	
<b>POSITION TOTAL</b>							<b>23</b>	<b>23</b>	<b>23</b>	
<b>Budget Unit 2021615000 SHERIFF-MOUNTAIN HOUSE</b>										
RP1010:Sergeant		\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	1	1	1	L-Sheriff Sergeants SJDStA
- RP1003:Deputy Sheriff II		\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	7	7	7	K-Sheriffs Non-Management SJDSA
- RP1002:Deputy Sheriff I-Academy Certified		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:Deputy Sheriff I		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:Deputy Sheriff Trainee		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666				H-Safety Investigative & Custodial SEIU
<b>ALLOCATED TOTAL</b>							<b>8</b>	<b>8</b>	<b>8</b>	
<b>POSITION TOTAL</b>							<b>8</b>	<b>8</b>	<b>8</b>	
<b>Budget Unit 2021619000 SHERIFF-ANIMAL CONTROL</b>										
- RP0315:Sheriffs Animal Services Officer II		\$1,653	\$1,735	\$1,822	\$1,913	\$2,009	7	7	7	H-Safety Investigative & Custodial SEIU
- RP0310:Sheriffs Animal Services Officer I		\$1,574	\$1,653	\$1,735	\$1,822	\$1,913	3	3	3	H-Safety Investigative & Custodial SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>							<b>11</b>	<b>11</b>	<b>11</b>	
<b>POSITION TOTAL</b>							<b>11</b>	<b>11</b>	<b>11</b>	
<b>Budget Unit 2021620000 SHERIFF-PATROL</b>										
RP1030:Sheriffs Captain		\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	1	1	1	J-Sheriffs Management SJCLEMA
RP1020:Lieutenant		\$3,645	\$3,827	\$4,019	\$4,220	\$4,431	6	7 *	7 *	J-Sheriffs Management SJCLEMA
RP1010:Sergeant		\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	15	15	15	L-Sheriff Sergeants SJDStA
- RP1003:Deputy Sheriff II		\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	84	84	84	K-Sheriffs Non-Management SJDSA
- RP1002:Deputy Sheriff I-Academy Certified		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041	11	11	11	K-Sheriffs Non-Management SJDSA
- RP1001:Deputy Sheriff I		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:Deputy Sheriff Trainee		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	33	33	33	H-Safety Investigative & Custodial SEIU
RP4010:Supervising Crime Analyst		\$2,552	\$2,679	\$2,813	\$2,954	\$3,102	1	1	1	R-Supervisors Unit SEIU
RP4002:Civilian Crime Prevention Coordinator		\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	1	1	1	F-Paraprofessional & Technical SEIU
RP4001:Crime Analyst		\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	3	3	3	F-Paraprofessional & Technical SEIU
RP4005:Civilian Crime Prevention Program Assistant		\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678				G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU



**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>ALLOCATED TOTAL</b>						<b>158</b>	<b>159 *</b>	<b>159 *</b>		
<b>Temporary (FTE)</b>						<b>2.5</b>	<b>1.0 *</b>	<b>1.0 *</b>		
<b>POSITION TOTAL</b>						<b>160.5</b>	<b>160 *</b>	<b>160 *</b>		
<b>Budget Unit 2021622000 SHERIFF-COMMUNICATIONS</b>										
RP0105:	Communications Dispatch Manager	\$3,681	\$3,866	\$4,059	\$4,262	\$4,475	1	1	1	C-Middle Management SJCMgmtAssc
RP0104:	Communications Dispatcher IV	\$2,615	\$2,746	\$2,883	\$3,027	\$3,179	6	6	6	R-Supervisors Unit SEIU
RP0103:	Communications Dispatcher III	\$2,183	\$2,293	\$2,407	\$2,527	\$2,654	6	6	6	G-Office & Office Technical SEIU
- RP0102:	Communications Dispatcher II	\$1,999	\$2,099	\$2,205	\$2,314	\$2,430	12	12	12	G-Office & Office Technical SEIU
- RP0101:	Communications Dispatcher I	\$1,702	\$1,787	\$1,876	\$1,970	\$2,070	10	10	10	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678				G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>36</b>	<b>36</b>	<b>36</b>		
<b>POSITION TOTAL</b>						<b>36</b>	<b>36</b>	<b>36</b>		
<b>Budget Unit 2021626000 SHERIFF-DETECTIVES</b>										
RP1030:	Sheriffs Captain	\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	1	1	1	J-Sheriffs Management SJCLEMA
RP1020:	Lieutenant	\$3,645	\$3,827	\$4,019	\$4,220	\$4,431	2	2	2	J-Sheriffs Management SJCLEMA
RP1010:	Sergeant	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	4	4	4	L-Sheriff Sergeants SJDSgtA
- RP1003:	Deputy Sheriff II	\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	20	20	20	K-Sheriffs Non-Management SJDSA
- RP1002:	Deputy Sheriff I-Academy Certified	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:	Deputy Sheriff I	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:	Deputy Sheriff Trainee	\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	1	1	1	H-Safety Investigative & Custodial SEIU
RP4013:	Evidence Technician III	\$2,303	\$2,418	\$2,539	\$2,666	\$2,799	1	1	1	H-Safety Investigative & Custodial SEIU
- RP4012:	Evidence Technician II	\$1,990	\$2,090	\$2,194	\$2,303	\$2,418	13	13	13	H-Safety Investigative & Custodial SEIU
- RP4011:	Evidence Technician I	\$1,778	\$1,867	\$1,961	\$2,059	\$2,162				H-Safety Investigative & Custodial SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>45</b>	<b>45</b>	<b>45</b>		
<b>Temporary (FTE)</b>						<b>0.4</b>	<b>0.3 *</b>	<b>0.3 *</b>		
<b>POSITION TOTAL</b>						<b>45.4</b>	<b>45.3 *</b>	<b>45.3 *</b>		
<b>Budget Unit 2021627000 SHERIFF-AUTO THEFT PROG</b>										
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>1</b>	<b>1</b>	<b>1</b>		
<b>Temporary (FTE)</b>						<b>0.5</b>	<b>0.5</b>	<b>0.5</b>		
<b>POSITION TOTAL</b>						<b>1.5</b>	<b>1.5</b>	<b>1.5</b>		

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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>Budget Unit 2021628000 SHERIFF-RECORDS</b>										
RP3003:	Correctional Lieutenant	\$3,506	\$3,681	\$3,866	\$4,059	\$4,262		1 *	1 *	C-Middle Management SJCMgmtAssc
RM1000:	Sheriff Records Manager	\$3,306	\$3,471	\$3,645	\$3,827	\$4,019	1	1	1	C-Middle Management SJCMgmtAssc
RO1500:	Senior Administrative Supervisor	\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	1	1	1	R-Supervisors Unit SEIU
RO1000:	Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	7	7	7	R-Supervisors Unit SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	28	28	28	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	7	7	7	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	4	4	4	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>48</b>	<b>49 *</b>	<b>49 *</b>		
<b>Temporary (FTE)</b>						<b>2.0</b>	<b>2.0</b>	<b>2.0</b>		
<b>POSITION TOTAL</b>						<b>50</b>	<b>51 *</b>	<b>51 *</b>		
<b>Budget Unit 2021635000 SHERIFF-CIVIL</b>										
RP1010:	Sergeant	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	1	1	1	L-Sheriff Sergeants SJDStA
- RI1102:	Department Information Systems Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	1	1	1	E-Professional SEIU
- RI1101:	Department Information Systems Analyst I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
- RP1003:	Deputy Sheriff II	\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	3	3	3	K-Sheriffs Non-Management SJDSA
- RP1002:	Deputy Sheriff I-Academy Certified	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:	Deputy Sheriff I	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:	Deputy Sheriff Trainee	\$2,194	\$2,303	\$2,418	\$2,539	\$2,666				H-Safety Investigative & Custodial SEIU
RO3012:	Accounting Technician II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RO1000:	Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	R-Supervisors Unit SEIU
RL3050:	Civil Process Server	\$1,442	\$1,514	\$1,590	\$1,670	\$1,753	2	2	2	H-Safety Investigative & Custodial SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	4	4	4	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	2	2	2	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>15</b>	<b>15</b>	<b>15</b>		
<b>Temporary (FTE)</b>						<b>0.8</b>	<b>0.8</b>	<b>0.8</b>		
<b>POSITION TOTAL</b>						<b>15.8</b>	<b>15.8</b>	<b>15.8</b>		
<b>Budget Unit 2021640000 SHERIFF-OFFICE OF THE MEDICAL EXAMINER</b>										
EP5300:	Chief Medical Examiner		<contract>			\$8,461	1	*	*	B-Senior Management Unrepresented
EP5350:	Medical Examiner Operations Administrator	\$3,809	\$3,999	\$4,199	\$4,409	\$4,630	1			B-Senior Management Unrepresented
RP1010:	Sergeant	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	1		*	L-Sheriff Sergeants SJDStA
- RP1003:	Deputy Sheriff II	\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	3	*	*	K-Sheriffs Non-Management SJDSA
- RP1002:	Deputy Sheriff I-Academy Certified	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:	Deputy Sheriff I	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:	Deputy Sheriff Trainee	\$2,194	\$2,303	\$2,418	\$2,539	\$2,666				H-Safety Investigative & Custodial SEIU

**COUNTY OF SAN JOAQUIN  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RP5005:Medical Examiner Investigator		\$2,552	\$2,679	\$2,813	\$2,954	\$3,102	7	*	*	H-Safety Investigative & Custodial SEIU
- RP5010:Medical Exam Investigator Trainee		\$2,813	\$2,954	\$3,102	\$3,258	\$3,421				H-Safety Investigative & Custodial SEIU
RH4930:Medical Technician		\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	3	*	*	F-Paraprofessional & Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	*	*	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	*	*	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>19</b>	<b>0 *</b>	<b>0 *</b>		
<b>POSITION TOTAL</b>						<b>19</b>	<b>0 *</b>	<b>0 *</b>		
<b>Budget Unit 2021645000 SHERIFF-ADMIN SUPPORT SERVICES</b>										
OP1590:Sheriff Coroner Public Administrator		\$6,578	\$6,907	\$7,252	\$7,615	\$7,996	1	1	1	O-Exempt Unrepresented
EP2580:Undersheriff		\$5,412	\$5,682	\$5,966	\$6,265	\$6,578	1	1	1	B-Senior Management Unrepresented
EP2590:Assistant Sheriff-Coroner-Public Adm		\$5,054	\$5,306	\$5,572	\$5,850	\$6,142	2	1 *	1 *	B-Senior Management Unrepresented
RM1010:Sheriff Director of Administrative Services		\$4,039	\$4,241	\$4,453	\$4,675	\$4,910	1	1	1	D-Confidential Unrepresented
RB6401:Sheriff Administrative Analyst		\$3,663	\$3,846	\$4,039	\$4,241	\$4,453	1	1	1	C-Middle Management SJCMgmtAssoc
RP1030:Sheriffs Captain		\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	1	1	1	J-Sheriffs Management SJCLEMA
RP1020:Lieutenant		\$3,645	\$3,827	\$4,019	\$4,220	\$4,431	1	1	1	J-Sheriffs Management SJCLEMA
RM0226:Management Analyst III		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssoc
RP1010:Sergeant		\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	5	5	5	L-Sheriff Sergeants SJDSgtA
- RP1003:Deputy Sheriff II		\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	4	4	4	K-Sheriffs Non-Management SJDSA
- RP1002:Deputy Sheriff I-Academy Certified		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:Deputy Sheriff I		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:Deputy Sheriff Trainee		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666				H-Safety Investigative & Custodial SEIU
- RB6512:Management Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242				F-Paraprofessional & Technical SEIU
- RB6511:Management Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	F-Paraprofessional & Technical SEIU
RB5500:Departmental Personnel Analyst		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	D-Confidential Unrepresented
RP3002:Correctional Sergeant		\$2,662	\$2,794	\$2,934	\$3,082	\$3,236	1	*	*	N-Correctional Officers SJCCOA
RP0120:Background Investigator		\$2,338	\$2,454	\$2,577	\$2,706	\$2,841	2	2	2	H-Safety Investigative & Custodial SEIU
- RP3001:Correctional Officer		\$2,602	\$2,248	\$2,361	\$2,478	\$2,602	4	*	*	N-Correctional Officers SJCCOA
- RP3000:Correctional Officer Trainee		\$2,602	\$2,248	\$2,361	\$2,478	\$2,602				N-Correctional Officers SJCCOA
RB6001:Administrative Assistant I		\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RO2060:Executive Secretary		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RP4000:Evidence Custodian		\$1,567	\$1,645	\$1,727	\$1,813	\$1,904	4	4	4	H-Safety Investigative & Custodial SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU

**COUNTY OF SAN JOAQUIN  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>ALLOCATED TOTAL</b>						<b>37</b>	<b>31 *</b>	<b>31 *</b>		
<b>Temporary (FTE)</b>						<b>13.3</b>	<b>13.2 *</b>	<b>13.2 *</b>		
<b>POSITION TOTAL</b>						<b>50.3</b>	<b>44.2 *</b>	<b>44.2 *</b>		
<b>Budget Unit 2021649000 SHERIFF-INFORMATION SYSTEMS</b>										
	RI1011:Department Information Systems Manager	\$3,999	\$4,199	\$4,409	\$4,630	\$4,861	1	1	1	C-Middle Management SJCMgmtAssoc
	RI1104:Department Information Systems Analyst IV	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	E-Professional SEIU
	RI1103:Department Information Systems Analyst III	\$3,388	\$3,558	\$3,735	\$3,922	\$4,118	1	1	1	E-Professional SEIU
-	RI1202:Department Applications Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	1	1	1	E-Professional SEIU
-	RI1201:Department Applications Analyst I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
	RI1303:Department Information Systems Specialist III	\$2,349	\$2,466	\$2,590	\$2,719	\$2,854	2	2	2	F-Paraprofessional & Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>6</b>	<b>6</b>	<b>6</b>		
<b>POSITION TOTAL</b>						<b>6</b>	<b>6</b>	<b>6</b>		
<b>Budget Unit 2021650000 SHERIFF-LATHROP POLICE CONTRAC</b>										
	RP1030:Sheriffs Captain	\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	1	1	1	J-Sheriffs Management SJCLEMA
	RP1020:Lieutenant	\$3,645	\$3,827	\$4,019	\$4,220	\$4,431	1	1	1	J-Sheriffs Management SJCLEMA
	RP1010:Sergeant	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	3	3	3	L-Sheriff Sergeants SJDSgtA
-	RP1003:Deputy Sheriff II	\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	22	22	22	K-Sheriffs Non-Management SJDSA
-	RP1002:Deputy Sheriff I-Academy Certified	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041	1	1	1	K-Sheriffs Non-Management SJDSA
-	RP1001:Deputy Sheriff I	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
-	RP1000:Deputy Sheriff Trainee	\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	1	1	1	H-Safety Investigative & Custodial SEIU
<b>ALLOCATED TOTAL</b>						<b>29</b>	<b>29</b>	<b>29</b>		
<b>POSITION TOTAL</b>						<b>29</b>	<b>29</b>	<b>29</b>		
<b>Budget Unit 2021655000 SHERIFF-PATROL-SLESF-AB109</b>										
-	RP1003:Deputy Sheriff II	\$2,758	\$2,896	\$3,041	\$3,194	\$3,353				K-Sheriffs Non-Management SJDSA
-	RP1002:Deputy Sheriff I-Academy Certified	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
-	RP1001:Deputy Sheriff I	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
-	RP1000:Deputy Sheriff Trainee	\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	1	1	1	H-Safety Investigative & Custodial SEIU
<b>ALLOCATED TOTAL</b>						<b>1</b>	<b>1</b>	<b>1</b>		
<b>POSITION TOTAL</b>						<b>1</b>	<b>1</b>	<b>1</b>		
<b>Budget Unit 2021657000 SHERIFF-CUSTODY-SLESF-AB109</b>										
-	RP3001:Correctional Officer	\$2,602	\$2,248	\$2,361	\$2,478	\$2,602				N-Correctional Officers SJCCOA
-	RP3000:Correctional Officer Trainee	\$2,602	\$2,248	\$2,361	\$2,478	\$2,602	1	1	1	N-Correctional Officers SJCCOA
<b>ALLOCATED TOTAL</b>						<b>1</b>	<b>1</b>	<b>1</b>		
<b>POSITION TOTAL</b>						<b>1</b>	<b>1</b>	<b>1</b>		
<b>Budget Unit 2021658000 SHERIFF-COURT SERVICES</b>										

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RP1030:	Sheriffs Captain	\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	1	1	1	J-Sheriffs Management SJCLEMA
RP1020:	Lieutenant	\$3,645	\$3,827	\$4,019	\$4,220	\$4,431	1	1	1	J-Sheriffs Management SJCLEMA
RP1010:	Sergeant	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	5	5	5	L-Sheriff Sergeants SJDSgtA
- RP1003:	Deputy Sheriff II	\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	30	30	30	K-Sheriffs Non-Management SJDSA
- RP1002:	Deputy Sheriff I-Academy Certified	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:	Deputy Sheriff I	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:	Deputy Sheriff Trainee	\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	9	9	9	H-Safety Investigative & Custodial SEIU
- RP3001:	Correctional Officer	\$2,602	\$2,248	\$2,361	\$2,478	\$2,602	8	8	8	N-Correctional Officers SJCCOA
- RP3000:	Correctional Officer Trainee	\$2,602	\$2,248	\$2,361	\$2,478	\$2,602				N-Correctional Officers SJCCOA
<b>ALLOCATED TOTAL</b>						<b>54</b>	<b>54</b>	<b>54</b>		
<b>Temporary (FTE)</b>						<b>6.0</b>	<b>6.0</b>	<b>6.0</b>		
<b>POSITION TOTAL</b>						<b>60</b>	<b>60</b>	<b>60</b>		
<b>Budget Unit</b>	<b>2022600000 SHERIFF-CUSTODY</b>									
EP2600:	Correctional Assistant Sheriff	\$4,585	\$4,814	\$5,054	\$5,306	\$5,572	1	1	1	B-Senior Management Unrepresented
RP3010:	Correctional Captain	\$4,178	\$4,387	\$4,606	\$4,837	\$5,078	1	2 *	2 *	C-Middle Management SJCMgmtAssoc
RM1020:	Central Services Administrator	\$3,609	\$3,790	\$3,979	\$4,178	\$4,387	1	1	1	C-Middle Management SJCMgmtAssoc
RP3003:	Correctional Lieutenant	\$3,506	\$3,681	\$3,866	\$4,059	\$4,262	8	8	8	C-Middle Management SJCMgmtAssoc
RM0226:	Management Analyst III	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssoc
RP0701:	Inmate Programs & Services Director	\$2,998	\$3,148	\$3,306	\$3,471	\$3,645	1	1	1	C-Middle Management SJCMgmtAssoc
RM1025:	Central Services Manager	\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	1	1	1	C-Middle Management SJCMgmtAssoc
RP1010:	Sergeant	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	2	2	2	L-Sheriff Sergeants SJDSgtA
- RP1003:	Deputy Sheriff II	\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	22	22	22	K-Sheriffs Non-Management SJDSA
- RP1002:	Deputy Sheriff I-Academy Certified	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:	Deputy Sheriff I	\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:	Deputy Sheriff Trainee	\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	3	3	3	H-Safety Investigative & Custodial SEIU
RP3002:	Correctional Sergeant	\$2,662	\$2,794	\$2,934	\$3,082	\$3,236	17	18 *	18 *	N-Correctional Officers SJCCOA
- RP3001:	Correctional Officer	\$2,602	\$2,248	\$2,361	\$2,478	\$2,602	173	177 *	177 *	N-Correctional Officers SJCCOA
- RP3000:	Correctional Officer Trainee	\$2,602	\$2,248	\$2,361	\$2,478	\$2,602	47	45 *	45 *	N-Correctional Officers SJCCOA
RP0700:	Inmate Case Worker	\$2,009	\$2,110	\$2,215	\$2,326	\$2,442	1	1	1	H-Safety Investigative & Custodial SEIU
- RS3001:	Senior Social Worker	\$1,932	\$2,029	\$2,130	\$2,238	\$2,349				F-Paraprofessional & Technical SEIU
- RS3000:	Social Worker	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029				F-Paraprofessional & Technical SEIU
RP0750:	Jail Librarian	\$1,761	\$1,849	\$1,942	\$2,039	\$2,142	1	1	1	F-Paraprofessional & Technical SEIU
RP0705:	Custody Recreation Supervisor	\$1,702	\$1,787	\$1,876	\$1,970	\$2,070	1	1	1	H-Safety Investigative & Custodial SEIU
RP0723:	Sheriff Inmate Labor Specialist III	\$1,678	\$1,761	\$1,849	\$1,942	\$2,039	2	2	2	H-Safety Investigative & Custodial SEIU
RC2053:	Sheriff Materiel Specialist III	\$1,678	\$1,761	\$1,849	\$1,942	\$2,039	2	2	2	H-Safety Investigative & Custodial SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	4	4	4	G-Office & Office Technical SEIU
RP0710:Custody Recreation Assistant		\$1,582	\$1,661	\$1,744	\$1,830	\$1,922	1	1	1	H-Safety Investigative & Custodial SEIU
- RP0722:Sheriff Inmate Labor Specialist II		\$1,567	\$1,645	\$1,727	\$1,813	\$1,904	10	10	10	H-Safety Investigative & Custodial SEIU
- RP0721:Sheriff Inmate Labor Specialist I		\$1,442	\$1,514	\$1,590	\$1,670	\$1,753	1	1	1	H-Safety Investigative & Custodial SEIU
- RC2052:Sheriff Materiel Specialist II		\$1,567	\$1,645	\$1,727	\$1,813	\$1,904	3	3	3	H-Safety Investigative & Custodial SEIU
- RC2051:Sheriff Materiel Specialist I		\$1,442	\$1,514	\$1,590	\$1,670	\$1,753	1	1	1	H-Safety Investigative & Custodial SEIU
- RC2040:Sheriff Central Services Assistant		\$1,283	\$1,347	\$1,414	\$1,485	\$1,559	5	5	5	H-Safety Investigative & Custodial SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	2	2	2	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	10	10	10	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
							<b>322</b>	<b>326 *</b>	<b>326 *</b>	
							<b>Temporary (FTE)</b>	<b>2.5</b>	<b>2.5</b>	<b>2.5</b>
							<b>POSITION TOTAL</b>	<b>324.5</b>	<b>328.5 *</b>	<b>328.5 *</b>
<b>Budget Unit</b>	<b>2022610000 SHERIFF-LOC COMM CORR-AB109</b>									
- RP1003:Deputy Sheriff II		\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	2	2	2	K-Sheriffs Non-Management SJDSA
- RP1002:Deputy Sheriff I-Academy Certified		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:Deputy Sheriff I		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:Deputy Sheriff Trainee		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666				H-Safety Investigative & Custodial SEIU
RP3002:Correctional Sergeant		\$2,662	\$2,794	\$2,934	\$3,082	\$3,236	2	2	2	N-Correctional Officers SJCCOA
- RP3001:Correctional Officer		\$2,602	\$2,248	\$2,361	\$2,478	\$2,602	16	16	16	N-Correctional Officers SJCCOA
- RP3000:Correctional Officer Trainee		\$2,602	\$2,248	\$2,361	\$2,478	\$2,602				N-Correctional Officers SJCCOA
RP0700:Inmate Case Worker		\$2,009	\$2,110	\$2,215	\$2,326	\$2,442	1	1	1	H-Safety Investigative & Custodial SEIU
RS3001:Senior Social Worker		\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	2	2	2	F-Paraprofessional & Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RP0710:Custody Recreation Assistant		\$1,582	\$1,661	\$1,744	\$1,830	\$1,922	1	1	1	H-Safety Investigative & Custodial SEIU
- RP0722:Sheriff Inmate Labor Specialist II		\$1,567	\$1,645	\$1,727	\$1,813	\$1,904	2	2	2	H-Safety Investigative & Custodial SEIU
- RP0721:Sheriff Inmate Labor Specialist I		\$1,442	\$1,514	\$1,590	\$1,670	\$1,753				H-Safety Investigative & Custodial SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	6	6	6	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
							<b>34</b>	<b>34</b>	<b>34</b>	
							<b>Temporary (FTE)</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>
							<b>POSITION TOTAL</b>	<b>34.5</b>	<b>34.5</b>	<b>34.5</b>
<b>Budget Unit</b>	<b>2022620000 SHERIFF-WORK PROGRAM</b>									
- RP1003:Deputy Sheriff II		\$2,758	\$2,896	\$3,041	\$3,194	\$3,353	1	1	1	K-Sheriffs Non-Management SJDSA

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
- RP1002:Deputy Sheriff I-Academy Certified		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1001:Deputy Sheriff I		\$2,502	\$2,627	\$2,758	\$2,896	\$3,041				K-Sheriffs Non-Management SJDSA
- RP1000:Deputy Sheriff Trainee		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666				H-Safety Investigative & Custodial SEIU
RP3002:Correctional Sergeant		\$2,662	\$2,794	\$2,934	\$3,082	\$3,236	1	1	1	N-Correctional Officers SJCCOA
RP0651:Work Program Specialist II		\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	2	2	2	H-Safety Investigative & Custodial SEIU
RP0650:Work Program Specialist I		\$1,428	\$1,499	\$1,574	\$1,653	\$1,735	5	5	5	H-Safety Investigative & Custodial SEIU
<b>ALLOCATED TOTAL</b>							<b>9</b>	<b>9</b>	<b>9</b>	
<b>POSITION TOTAL</b>							<b>9</b>	<b>9</b>	<b>9</b>	
<b>Budget Unit 2022621000 CORRECTIONAL HEALTH SERVICES</b>										
Eh2106:Deputy Director II-SJGH		\$4,079	\$4,283	\$4,497	\$4,722	\$4,958	1	1	1	B-Senior Management Unrepresented
RH4325:Pharmacy Manager		\$4,861	\$5,104	\$5,358	\$5,626	\$5,908	1	1	1	C-Middle Management SJCMgmtAssc
RH1300:Nursing Department Manager - Inpatient		\$4,518	\$4,745	\$4,982	\$5,230	\$5,492	1	1	1	C-Middle Management SJCMgmtAssc
RS2014:Chief Mental Health Clinician		\$3,558	\$3,735	\$3,922	\$4,118	\$4,325	1	1	1	C-Middle Management SJCMgmtAssc
- RH1162:Nurse Practitioner II-Inpatient		\$4,814	\$5,054	\$5,306	\$5,572	\$5,850	1	1	1	M-Registered Nurses CNA
- RH1161:Nurse Practitioner I - Inpatient		\$4,630	\$4,861	\$5,104	\$5,358	\$5,626				M-Registered Nurses CNA
RH4355:Pharmacist		\$4,283	\$4,497	\$4,722	\$4,958	\$5,206	1	1	1	E-Professional SEIU
RH1106:Staff Nurse V-Assistant Nurse Department Mgr-Inp:		\$4,098	\$4,304	\$4,518	\$4,745	\$4,982	1	1	1	M-Registered Nurses CNA
- RH1105:Staff Nurse V Clinical Nurse-Inpatient		\$4,098	\$4,304	\$4,518	\$4,745	\$4,982		1 *	1 *	M-Registered Nurses CNA
- RH1104:Staff Nurse IV - Inpatient		\$3,866	\$4,059	\$4,262	\$4,475	\$4,698	8	8	8	M-Registered Nurses CNA
- RH1103:Staff Nurse III -Inpatient		\$3,627	\$3,809	\$3,999	\$4,199	\$4,409	7	7	7	M-Registered Nurses CNA
- RH1102:Staff Nurse II - Inpatient		\$3,506	\$3,681	\$3,866	\$4,059	\$4,262				M-Registered Nurses CNA
- RH1101:Staff Nurse I - Inpatient		\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	1	1	1	M-Registered Nurses CNA
- RS2012:Mental Health Clinician II		\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	2	5 *	5 *	E-Professional SEIU
- RS2011:Mental Health Clinician I		\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	5	5	5	E-Professional SEIU
RB6002:Administrative Assistant II		\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	F-Paraprofessional & Technical SEIU
RH2005:Mental Health Unit Supervisor		\$2,039	\$2,142	\$2,248	\$2,361	\$2,478	1	1	1	R-Supervisors Unit SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
- RH2502:Senior Psychiatric Technician		\$1,876	\$1,970	\$2,070	\$2,173	\$2,282	3	3	3	F-Paraprofessional & Technical SEIU
- RH2501:Psychiatric Technician		\$1,727	\$1,813	\$1,904	\$1,999	\$2,099				F-Paraprofessional & Technical SEIU
- RH2002:Senior Licensed Vocational Nurse		\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
- RH2001:Licensed Vocational Nurse		\$1,744	\$1,830	\$1,922	\$2,019	\$2,120	17	17	17	F-Paraprofessional & Technical SEIU
- RS2002:Mental Health Specialist II		\$1,727	\$1,813	\$1,904	\$1,999	\$2,099	2	2	2	F-Paraprofessional & Technical SEIU
- RS2001:Mental Health Specialist I		\$1,645	\$1,727	\$1,813	\$1,904	\$1,999				F-Paraprofessional & Technical SEIU
RB6000:Junior Administrative Assistant		\$1,830	\$1,922	\$2,019	\$2,120	\$2,226	1	1	1	F-Paraprofessional & Technical SEIU
RO4203:Medical Records Technician III		\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	1	1	1	G-Office & Office Technical SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RH4453:	Pharmacy Technician III	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990				F-Paraprofessional & Technical SEIU
- RH4452:	Pharmacy Technician II	\$1,522	\$1,598	\$1,678	\$1,761	\$1,849	2	2	2	F-Paraprofessional & Technical SEIU
- RH4451:	Pharmacy Technician I	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761				F-Paraprofessional & Technical SEIU
RC2011:	Storekeeper I	\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	1	1	1	I-Trades Labor & Institutional SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	2	2	2	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	4	4	4	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
- RC2001:	Stock Clerk II	\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	1	1	1	I-Trades Labor & Institutional SEIU
- RC2000:	Stock Clerk I	\$1,246	\$1,308	\$1,374	\$1,442	\$1,514				I-Trades Labor & Institutional SEIU
RH3100:	Dental Assistant	\$1,367	\$1,435	\$1,506	\$1,582	\$1,661		1 *	1 *	F-Paraprofessional & Technical SEIU
RH3200:	Outpatient Clinic Assistant	\$1,302	\$1,367	\$1,435	\$1,506	\$1,582	3	3	3	F-Paraprofessional & Technical SEIU
RF0300:	Housekeeping Service Worker	\$1,187	\$1,246	\$1,308	\$1,374	\$1,442	2	2	2	I-Trades Labor & Institutional SEIU
<b>ALLOCATED TOTAL</b>						<b>73</b>	<b>78 *</b>	<b>78 *</b>		
<b>Temporary (FTE)</b>						<b>15.1</b>	<b>24.5 *</b>	<b>24.5 *</b>		
<b>POSITION TOTAL</b>						<b>88.1</b>	<b>102.5 *</b>	<b>102.5 *</b>		
<b>Budget Unit 2022700000 PROBATION-JUVENILE</b>										
RM1050:	Assistant Department Chief Probation Officer	\$3,371	\$3,540	\$3,718	\$3,903	\$4,098	1	1	1	C-Middle Management SJCMgmtAssc
RP2004:	Probation Unit Supervisor	\$2,931	\$3,079	\$3,233	\$3,395	\$3,565	5	5	5	U-Probation Officers
RP2003:	Probation Officer III	\$2,496	\$2,621	\$2,752	\$2,889	\$3,034	10	10	10	U-Probation Officers
RS3410:	Social Worker Supervisor I	\$2,442	\$2,564	\$2,692	\$2,826	\$2,968	1	1	1	R-Supervisors Unit SEIU
- RP2002:	Probation Officer II	\$2,264	\$2,377	\$2,496	\$2,621	\$2,752	10	10	10	U-Probation Officers
- RP2001:	Probation Officer I	\$1,975	\$2,073	\$2,177	\$2,286	\$2,401	6	6	6	U-Probation Officers
RS3403:	Social Worker III	\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	1	1	1	F-Paraprofessional & Technical SEIU
- RS3001:	Senior Social Worker	\$1,932	\$2,029	\$2,130	\$2,238	\$2,349	4	4	4	F-Paraprofessional & Technical SEIU
- RS3000:	Social Worker	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029				F-Paraprofessional & Technical SEIU
RO2000:	Office Secretary	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO1000:	Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	R-Supervisors Unit SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	5	5	5	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	3	3	3	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>49</b>	<b>49</b>	<b>49</b>		
<b>Temporary (FTE)</b>						<b>6.7</b>	<b>6.0 *</b>	<b>6.0 *</b>		
<b>POSITION TOTAL</b>						<b>55.7</b>	<b>55 *</b>	<b>55 *</b>		
<b>Budget Unit 2022702000 PROBATION-ADULT</b>										
RM1050:	Assistant Department Chief Probation Officer	\$3,371	\$3,540	\$3,718	\$3,903	\$4,098	1	1	1	C-Middle Management SJCMgmtAssc



**COUNTY OF SAN JOAQUIN  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RP2004:Probation Unit Supervisor		\$2,931	\$3,079	\$3,233	\$3,395	\$3,565	5	5	5	U-Probation Officers
RP2003:Probation Officer III		\$2,496	\$2,621	\$2,752	\$2,889	\$3,034	6	6	6	U-Probation Officers
- RP2002:Probation Officer II		\$2,264	\$2,377	\$2,496	\$2,621	\$2,752	21	21	21	U-Probation Officers
- RP2001:Probation Officer I		\$1,975	\$2,073	\$2,177	\$2,286	\$2,401	11	11	11	U-Probation Officers
RO2000:Office Secretary		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO1000:Office Supervisor		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	R-Supervisors Unit SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	4	4	4	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	7	7	7	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	6	6	6	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>63</b>	<b>63</b>	<b>63</b>		
<b>Temporary (FTE)</b>						<b>3.5</b>	<b>5.0 *</b>	<b>5.0 *</b>		
<b>POSITION TOTAL</b>						<b>66.5</b>	<b>68 *</b>	<b>68 *</b>		
<b>Budget Unit 2022702300 PROB-ADULT-SB678</b>										
RP2004:Probation Unit Supervisor		\$2,931	\$3,079	\$3,233	\$3,395	\$3,565	3	3	3	U-Probation Officers
- RB6205:Business Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6204:Business Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
RP2003:Probation Officer III		\$2,496	\$2,621	\$2,752	\$2,889	\$3,034	3	3	3	U-Probation Officers
- RP2002:Probation Officer II		\$2,264	\$2,377	\$2,496	\$2,621	\$2,752	7	7	7	U-Probation Officers
- RP2001:Probation Officer I		\$1,975	\$2,073	\$2,177	\$2,286	\$2,401	3	2 *	2 *	U-Probation Officers
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>19</b>	<b>18 *</b>	<b>18 *</b>		
<b>Temporary (FTE)</b>						<b>1.5</b>	<b>0.8 *</b>	<b>0.8 *</b>		
<b>POSITION TOTAL</b>						<b>20.5</b>	<b>18.8 *</b>	<b>18.8 *</b>		
<b>Budget Unit 2022702510 PROBATION-LOC COMM CORR-AB109</b>										
RP2005:Deputy Chief Probation Officer		\$4,262	\$4,475	\$4,698	\$4,934	\$5,180	1	1	1	C-Middle Management SJCMgmtAssoc
RM1050:Assistant Department Chief Probation Officer		\$3,371	\$3,540	\$3,718	\$3,903	\$4,098	1	1	1	C-Middle Management SJCMgmtAssoc
RP2004:Probation Unit Supervisor		\$2,931	\$3,079	\$3,233	\$3,395	\$3,565	2	2	2	U-Probation Officers
- RB6512:Management Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6511:Management Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	F-Paraprofessional & Technical SEIU
RB4003:Accountant III		\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	1	1	1	F-Paraprofessional & Technical SEIU
RP2003:Probation Officer III		\$2,496	\$2,621	\$2,752	\$2,889	\$3,034	11	11	11	U-Probation Officers
- RP2002:Probation Officer II		\$2,264	\$2,377	\$2,496	\$2,621	\$2,752	13	13	13	U-Probation Officers
- RP2001:Probation Officer I		\$1,975	\$2,073	\$2,177	\$2,286	\$2,401	6	6	6	U-Probation Officers
- RB4002:Accountant II		\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RB4001:Accountant I		\$1,904	\$1,999	\$2,099	\$2,205	\$2,314				F-Paraprofessional & Technical SEIU
RO2060:Executive Secretary		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RO2000:Office Secretary		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	3	3	3	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
RC2000:Stock Clerk I		\$1,246	\$1,308	\$1,374	\$1,442	\$1,514	1	1	1	I-Trades Labor & Institutional SEIU
<b>ALLOCATED TOTAL</b>						<b>46</b>	<b>46</b>	<b>46</b>		
<b>Temporary (FTE)</b>						<b>2.3</b>	<b>2.3</b>	<b>2.3</b>		
<b>POSITION TOTAL</b>						<b>48.3</b>	<b>48.3</b>	<b>48.3</b>		
<b>Budget Unit 2022745000 PROBATION - ADMINISTRATION</b>										
HP1400:County Probation Officer		\$5,654	\$5,937	\$6,234	\$6,546	\$6,873	1	1	1	A-Executive Unrepresented
EL2400:Assistant County Probation Officer		\$4,653	\$4,885	\$5,129	\$5,386	\$5,654	1	1	1	B-Senior Management Unrepresented
RP2005:Deputy Chief Probation Officer		\$4,262	\$4,475	\$4,698	\$4,934	\$5,180	1	1	1	C-Middle Management SJCMgmtAssoc
RM0226:Management Analyst III		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssoc
RM0227:Principal Business Analyst		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssoc
RP2004:Probation Unit Supervisor		\$2,931	\$3,079	\$3,233	\$3,395	\$3,565	1	1	1	U-Probation Officers
RP2003:Probation Officer III		\$2,496	\$2,621	\$2,752	\$2,889	\$3,034	1	1	1	U-Probation Officers
RB4002:Accountant II		\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	2	2	2	G-Office & Office Technical SEIU
RO1500:Senior Administrative Supervisor		\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	1	1	1	R-Supervisors Unit SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RO6120:Department Payroll Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	2	2	2	G-Office & Office Technical SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>17</b>	<b>17</b>	<b>17</b>		
<b>Temporary (FTE)</b>						<b>3.6</b>	<b>5.1 *</b>	<b>5.1 *</b>		
<b>POSITION TOTAL</b>						<b>20.6</b>	<b>22.1 *</b>	<b>22.1 *</b>		
<b>Budget Unit 2022785000 AB118-ELEAS-COPS-JJP</b>										
RP2004:Probation Unit Supervisor		\$2,931	\$3,079	\$3,233	\$3,395	\$3,565	4	4	4	U-Probation Officers
RB6512:Management Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
RP2003:Probation Officer III		\$2,496	\$2,621	\$2,752	\$2,889	\$3,034	4	4	4	U-Probation Officers
- RP2002:Probation Officer II		\$2,264	\$2,377	\$2,496	\$2,621	\$2,752	9	9	9	U-Probation Officers

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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RP2001:Probation Officer I		\$1,975	\$2,073	\$2,177	\$2,286	\$2,401	1	1	1	U-Probation Officers
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>20</b>	<b>20</b>	<b>20</b>		
<b>Temporary (FTE)</b>						<b>1.5</b>	<b>1.5</b>	<b>1.5</b>		
<b>POSITION TOTAL</b>						<b>21.5</b>	<b>21.5</b>	<b>21.5</b>		
<b>Budget Unit 2022800000 PROBATION-JUVENILE DETENTION</b>										
RP2005:Deputy Chief Probation Officer		\$4,262	\$4,475	\$4,698	\$4,934	\$5,180	1	1	1	C-Middle Management SJCmngntAssc
RM1050:Assistant Deputy Chief Probation Officer		\$3,371	\$3,540	\$3,718	\$3,903	\$4,098	2	2	2	C-Middle Management SJCmngntAssc
RP2004:Probation Unit Supervisor		\$2,931	\$3,079	\$3,233	\$3,395	\$3,565	3	3	3	U-Probation Officers
RP2003:Probation Officer III		\$2,496	\$2,621	\$2,752	\$2,889	\$3,034	6	6	6	U-Probation Officers
RP2515:Juvenile Facility Supervisor		\$2,372	\$2,490	\$2,615	\$2,746	\$2,883	7	7	7	H-Safety Investigative & Custodial SEIU
- RP2002:Probation Officer II		\$2,264	\$2,377	\$2,496	\$2,621	\$2,752	4	4	4	U-Probation Officers
- RP2001:Probation Officer I		\$1,975	\$2,073	\$2,177	\$2,286	\$2,401	3	3	3	U-Probation Officers
RP2510:Juvenile Detention Unit Supervisor		\$2,120	\$2,226	\$2,338	\$2,454	\$2,577	21	21	21	H-Safety Investigative & Custodial SEIU
- RP2506:Juvenile Detention Officer		\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	26	26	26	H-Safety Investigative & Custodial SEIU
- RP2505:Juvenile Detention Officer Assistant		\$1,710	\$1,796	\$1,886	\$1,980	\$2,079	38	38	38	H-Safety Investigative & Custodial SEIU
RO2000:Office Secretary		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	2	2	2	G-Office & Office Technical SEIU
RC2001:Stock Clerk II		\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	1	1	1	I-Trades Labor & Institutional SEIU
RO5501:Mail Clerk		\$1,234	\$1,295	\$1,360	\$1,428	\$1,499	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>118</b>	<b>118</b>	<b>118</b>		
<b>Temporary (FTE)</b>						<b>5.6</b>	<b>6.1 *</b>	<b>6.1 *</b>		
<b>POSITION TOTAL</b>						<b>123.6</b>	<b>124.1 *</b>	<b>124.1 *</b>		
<b>Budget Unit 2023060000 WATER RESOURCES</b>										
EC2155:Water Resource Coordinator		\$4,178	\$4,387	\$4,606	\$4,837	\$5,078	1	1	1	B-Senior Management Unrepresented
RE1005:Engineer V		\$4,745	\$4,982	\$5,230	\$5,492	\$5,766	2	2	2	C-Middle Management SJCmngntAssc
RM0226:Management Analyst III		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCmngntAssc
- RE1004:Engineer IV		\$3,242	\$3,404	\$3,574	\$3,754	\$3,942				E-Professional SEIU
- RE1003:Engineer III		\$3,013	\$3,164	\$3,322	\$3,489	\$3,663				E-Professional SEIU
- RE1002:Engineer II		\$2,799	\$2,940	\$3,087	\$3,242	\$3,404				E-Professional SEIU
- RE1001:Engineer I		\$2,418	\$2,539	\$2,666	\$2,799	\$2,940	3	2 *	2 *	E-Professional SEIU
- RB6512:Management Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	2 *	2 *	F-Paraprofessional & Technical SEIU

**COUNTY OF SAN JOAQUIN  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	F-Paraprofessional & Technical SEIU
- RB6002:	Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	F-Paraprofessional & Technical SEIU
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
- RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
RE0111:	Engineering Assistant I	\$2,070	\$2,173	\$2,282	\$2,395	\$2,515	1	1	1	F-Paraprofessional & Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>12</b>	<b>12</b>	<b>12</b>		
<b>Temporary (FTE)</b>						<b>0.8</b>	<b>2.0 *</b>	<b>2.0 *</b>		
<b>POSITION TOTAL</b>						<b>12.8</b>	<b>14 *</b>	<b>14 *</b>		
<b>Budget Unit 2024100000 FLOOD CHANNEL MAINTENANCE</b>										
RM0335:	Channel Maintenance Superintendent	\$3,195	\$3,355	\$3,523	\$3,699	\$3,885	1	1	1	C-Middle Management SJCMgmtAssc
RC1000:	Public Works Maintenance Manager	\$2,552	\$2,679	\$2,813	\$2,954	\$3,102	1	1	1	C-Middle Management SJCMgmtAssc
RC1005:	Equipment Operator Foreman	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641	1	1	1	I-Trades Labor & Institutional SEIU
RC0420:	Welder	\$2,029	\$2,130	\$2,238	\$2,349	\$2,466	1	1	1	I-Trades Labor & Institutional SEIU
RC0652:	Equipment Operator II	\$1,999	\$2,099	\$2,205	\$2,314	\$2,430	4	4	4	I-Trades Labor & Institutional SEIU
- RE0101:	Senior Engineering Aide	\$1,858	\$1,951	\$2,049	\$2,152	\$2,259	1	1	1	F-Paraprofessional & Technical SEIU
- RE0100:	Engineering Aide	\$1,567	\$1,645	\$1,727	\$1,813	\$1,904				F-Paraprofessional & Technical SEIU
RC0651:	Equipment Operator I	\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	9	9	9	I-Trades Labor & Institutional SEIU
RC0572:	Pest Abatement Operator II	\$1,678	\$1,761	\$1,849	\$1,942	\$2,039	1	1	1	I-Trades Labor & Institutional SEIU
- RC0501:	Highway Maintenance Worker	\$1,661	\$1,744	\$1,830	\$1,922	\$2,019	4	4	4	I-Trades Labor & Institutional SEIU
- RC0500:	Maintenance Worker	\$1,470	\$1,544	\$1,622	\$1,702	\$1,787	4	4	4	I-Trades Labor & Institutional SEIU
RO6700:	Office Technician/Coordinator	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>28</b>	<b>28</b>	<b>28</b>		
<b>Temporary (FTE)</b>						<b>1.9</b>	<b>1.5 *</b>	<b>1.5 *</b>		
<b>POSITION TOTAL</b>						<b>29.9</b>	<b>29.5 *</b>	<b>29.5 *</b>		
<b>Budget Unit 2024700000 AGRICULTURAL COMMISSIONER</b>										
HA1100:	Agricultural Commissioner/Seale	\$4,304	\$4,518	\$4,745	\$4,982	\$5,230	1	1	1	A-Executive Unrepresented
EA2200:	Assistant Agricultural Commissioner	\$3,540	\$3,718	\$3,903	\$4,098	\$4,304	1	1	1	B-Senior Management Unrepresented
RM0120:	Deputy Agricultural Commissioner	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699	4	4	4	C-Middle Management SJCMgmtAssc
RM0110:	Deputy Sealer Of Weights & Measures	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699	1	1	1	C-Middle Management SJCMgmtAssc
RA0178:	Ag Bio/Standards Inspector IV	\$2,395	\$2,515	\$2,641	\$2,773	\$2,911	2	2	2	R-Supervisors Unit SEIU
- RA0177:	Ag Bio/Standards Inspector III	\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	6	6	6	E-Professional SEIU
- RA0176:	Ag Bio/Standards Inspector II	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641	3	3	3	E-Professional SEIU
- RA0175:	Ag Bio/Standards Inspector I	\$1,787	\$1,876	\$1,970	\$2,070	\$2,173	9	9	9	E-Professional SEIU
- RA0153:	Senior Agricultural Biologist	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641	5	5	5	E-Professional SEIU
- RA0152:	Agricultural Biologist II	\$1,970	\$2,070	\$2,173	\$2,282	\$2,395	1	1	1	E-Professional SEIU

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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RA0151:	Agricultural Biologist I	\$1,787	\$1,876	\$1,970	\$2,070	\$2,173				E-Professional SEIU
- RA0401:	Weights & Measures Inspector II	\$1,858	\$1,951	\$2,049	\$2,152	\$2,259				F-Paraprofessional & Technical SEIU
- RA0400:	Weights & Measures Inspector I	\$1,770	\$1,858	\$1,951	\$2,049	\$2,152				F-Paraprofessional & Technical SEIU
RO3012:	Accounting Technician II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RO2050:	Administrative Secretary	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RO6700:	Office Technician/Coordinator	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RA0160:	Agric&Standards Technician	\$1,457	\$1,530	\$1,606	\$1,686	\$1,770	1	1	1	I-Trades Labor & Institutional SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	5	5	5	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>43</b>	<b>43</b>	<b>43</b>		
<b>Temporary (FTE)</b>						<b>12.4</b>	<b>13.7 *</b>	<b>13.7 *</b>		
<b>POSITION TOTAL</b>						<b>55.4</b>	<b>56.7 *</b>	<b>56.7 *</b>		
<b>Budget Unit</b>	<b>2025600000 COMMUNITY DEVELOPMENT SERVICES</b>									
HE1100:	Director of Community Development	\$5,850	\$6,142	\$6,450	\$6,773	\$7,111	1	1	1	A-Executive Unrepresented
EE1105:	Assistant Director of Community Development	\$4,585	\$4,814	\$5,054	\$5,306	\$5,572	1	1	1	B-Senior Management Unrepresented
RI4006:	Geographic Information Systems Program Mgr	\$4,178	\$4,387	\$4,606	\$4,837	\$5,078	1	1	1	C-Middle Management SJCMgmtAssoc
RE2003:	Principal Planner	\$4,118	\$4,325	\$4,541	\$4,768	\$5,006	2	2	2	C-Middle Management SJCMgmtAssoc
EC2150:	Deputy Director Building Inspection	\$4,079	\$4,283	\$4,497	\$4,722	\$4,958	1	1	1	B-Senior Management Unrepresentd
RI1011:	Department Information Systems Manager	\$3,999	\$4,199	\$4,409	\$4,630	\$4,861	1	*	*	C-Middle Management SJCMgmtAssoc
RE0305:	Community Development Counter Manager	\$3,592	\$3,771	\$3,960	\$4,158	\$4,366	1	1	1	C-Middle Management SJCMgmtAssoc
RE2004:	Senior Planner	\$3,338	\$3,506	\$3,681	\$3,866	\$4,059	2	2	2	C-Middle Management SJCMgmtAssoc
RP6030:	Deputy Fire Warden	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssoc
RI4203:	Principal Geographic Info Systems Analyst	\$3,558	\$3,735	\$3,922	\$4,118	\$4,325	1	1	1	E-Professional SEIU
- RI4202:	Sr Geographic Info Systems Analyst	\$3,117	\$3,273	\$3,437	\$3,609	\$3,790	1	1	1	E-Professional SEIU
- RI4201:	Associate Geographic Info Systems Analyst	\$2,539	\$2,666	\$2,799	\$2,940	\$3,087				E-Professional SEIU
RE1102:	Senior Plan Check Engineer	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699	1	1	1	R-Supervisors Unit SEIU
RI1102:	Department Information Systems Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	1	*	*	E-Professional SEIU
- RE1101:	Plan Check Engineer II	\$2,841	\$2,983	\$3,133	\$3,290	\$3,454				E-Professional SEIU
- RE1100:	Plan Check Engineer I	\$2,384	\$2,503	\$2,628	\$2,759	\$2,898	2	2	2	E-Professional SEIU
RC0103:	Senior Building Inspector	\$2,732	\$2,869	\$3,013	\$3,164	\$3,322	3	3	3	R-Supervisors Unit SEIU
- RE2002:	Associate Planner	\$2,552	\$2,679	\$2,813	\$2,954	\$3,102	5	5	5	E-Professional SEIU
- RE2001:	Assistant Planner	\$2,099	\$2,205	\$2,314	\$2,430	\$2,552				E-Professional SEIU
RP6035:	Fire Prevention Inspector	\$2,478	\$2,602	\$2,732	\$2,869	\$3,013	1	1	1	F-Paraprofessional & Technical SEIU
RE4003:	Sr Code Enforcement Officer	\$2,478	\$2,602	\$2,732	\$2,869	\$3,013	1	1	1	H-Safety Investigative & Custodial SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RC0102:Building Inspector II		\$2,478	\$2,602	\$2,732	\$2,869	\$3,013	4	4	4	F-Paraprofessional & Technical SEIU
- RC0101:Building Inspector I		\$2,361	\$2,478	\$2,602	\$2,732	\$2,869	2	2	2	F-Paraprofessional & Technical SEIU
- RE4002:Code Enforcement Officer II		\$2,326	\$2,442	\$2,564	\$2,692	\$2,826	2	2	2	H-Safety Investigative & Custodial SEIU
- RE4001:Code Enforcement Officer I		\$2,215	\$2,326	\$2,442	\$2,564	\$2,692	1	2 *	2 *	H-Safety Investigative & Custodial SEIU
RB6002:Administrative Assistant II		\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	F-Paraprofessional & Technical SEIU
- RI4102:Geographic Info Systems Specialist II		\$2,183	\$2,293	\$2,407	\$2,527	\$2,654	1	1	1	F-Paraprofessional & Technical SEIU
- RI4101:Geographic Info Systems Specialist I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
RE0301:Development Services Senior Technician		\$1,999	\$2,099	\$2,205	\$2,314	\$2,430	1	1	1	F-Paraprofessional & Technical SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RE0300:Development Services Technician		\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	4	4	4	F-Paraprofessional & Technical SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	3	3	3	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	4	4	4	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
							<b>52</b>	<b>51 *</b>	<b>51 *</b>	
							<b>1.2</b>	<b>0.6 *</b>	<b>0.6 *</b>	
							<b>53.2</b>	<b>51.6 *</b>	<b>51.6 *</b>	
<b>Budget Unit</b>	<b>2025700000 SHERIFF-PUBLIC ADMINISTRATOR</b>									
RM0245:Chief Deputy Public Administrator		\$2,983	\$3,133	\$3,290	\$3,454	\$3,627	1	1	1	C-Middle Management SJCMgmtAssc
- RM0241:Deputy Public Administrator II		\$2,130	\$2,238	\$2,349	\$2,466	\$2,590	2	2	2	F-Paraprofessional & Technical SEIU
- RM0240:Deputy Public Administrator I		\$1,922	\$2,019	\$2,120	\$2,226	\$2,338				F-Paraprofessional & Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
							<b>4</b>	<b>4</b>	<b>4</b>	
							<b>4</b>	<b>4</b>	<b>4</b>	
<b>Budget Unit</b>	<b>2025900000 RECORDER-COUNTY CLERK</b>									
RM0910:Recorder-County Clerk Operations Manager		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	C-Middle Management SJCMgmtAssc
RO4450:Recorder-County Clerk Operations Supervisor		\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	R-Supervisors Unit SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RO4401:Senior Recordable Documents Examiner		\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	1	1	1	R-Supervisors Unit SEIU
RO4400:Recordable Documents Examiner		\$1,630	\$1,710	\$1,796	\$1,886	\$1,980	4	4	4	G-Office & Office Technical SEIU
RO1000:Office Supervisor		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	R-Supervisors Unit SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	11	11	11	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
RO4405:Recordable Documents Indexer		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	3	3	3	G-Office & Office Technical SEIU
							<b>24</b>	<b>24</b>	<b>24</b>	
							<b>0.4</b>	<b>0.4</b>	<b>0.4</b>	

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
<b>POSITION TOTAL</b>						<b>24.4</b>	<b>24.4</b>	<b>24.4</b>		
<b>Budget Unit</b>	<b>2026000000 EMERGENCY SERVICES</b>									
EB1805:Deputy Director of Gen Svcs-Emergency Operation		\$3,306	\$3,471	\$3,645	\$3,827	\$4,019	1	1	1	B-Senior Management Unrepresentd
RP0604:Senior Emergency Planner		\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	1	1	1	F-Paraprofessional & Technical SEIU
RP0602:Emergency Planner		\$2,226	\$2,338	\$2,454	\$2,577	\$2,706	3	3	3	F-Paraprofessional & Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>7</b>	<b>7</b>	<b>7</b>		
<b>POSITION TOTAL</b>						<b>7</b>	<b>7</b>	<b>7</b>		
<b>Budget Unit</b>	<b>3030101000 PUBLIC WORKS-ADMINISTRATION</b>									
HC1100:Director of Public Works		\$6,084	\$6,388	\$6,708	\$7,043	\$7,395	1	1	1	A-Executive Unrepresented
EC2100:Chief Deputy Director Public Works		\$5,129	\$5,386	\$5,654	\$5,937	\$6,234	1	1	1	B-Senior Management Unrepresented
- EC2101:Deputy Director-Public Works		\$4,790	\$5,030	\$5,282	\$5,545	\$5,822	1	1	1	B-Senior Management Unrepresented
- EC2111:Deputy Director-Public Works		\$4,562	\$4,790	\$5,030	\$5,282	\$5,545	1	1	1	B-Senior Management Unrepresented
EC2102:Public Works Business Administrator		\$3,846	\$4,039	\$4,241	\$4,453	\$4,675	1	1	1	B-Senior Management Unrepresented
RM0226:Management Analyst III		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssoc
RB3010:Accounting Manager		\$3,117	\$3,273	\$3,437	\$3,609	\$3,790	1	1	1	C-Middle Management SJCMgmtAssoc
RI4203:Principal GIS Analyst		\$3,558	\$3,735	\$3,922	\$4,118	\$4,325	1	1	1	E-Professional SEIU
RI4201:Associate GIS Analyst		\$2,539	\$2,666	\$2,799	\$2,940	\$3,087	1	1	1	E-Professional SEIU
RB4003:Accountant III		\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	1	1	1	F-Paraprofessional & Technical SEIU
RB6002:Administrative Assistant II		\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	F-Paraprofessional & Technical SEIU
- RB4002:Accountant II		\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
- RB4001:Accountant I		\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	1	1	1	F-Paraprofessional & Technical SEIU
- RI4102:GIS Specialist II		\$2,183	\$2,293	\$2,407	\$2,527	\$2,654	1	1	1	F-Paraprofessional & Technical SEIU
- RI4101:GIS Specialist I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
- RB6001:Administrative Assistant I		\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
- RB6000:Junior Administrative Assistant		\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RO2060:Executive Secretary		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	2	2	2	G-Office & Office Technical SEIU
RI0202:Data Technician II		\$1,614	\$1,694	\$1,778	\$1,867	\$1,961	1	1	1	G-Office & Office Technical SEIU
RO2000:Office Secretary		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO1000:Office Supervisor		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	R-Supervisors Unit SEIU
RO1050:Public Works Records Supervisor		\$1,470	\$1,544	\$1,622	\$1,702	\$1,787	1	1	1	G-Office & Office Technical SEIU

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RO6120:	Department Payroll Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	4	4	4	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>29</b>	<b>29</b>	<b>29</b>		
<b>Temporary (FTE)</b>						<b>3.8</b>	<b>3.8</b>	<b>3.8</b>		
<b>POSITION TOTAL</b>						<b>32.8</b>	<b>32.8</b>	<b>32.8</b>		
<b>Budget Unit 3030103000 PUBLIC WORKS-ENGINEERING</b>										
EC2165:	Engineering Services Manager	\$4,178	\$4,387	\$4,606	\$4,837	\$5,078	3	2 *	2 *	B-Senior Management Unrepresented
RE1005:	Engineer V	\$4,745	\$4,982	\$5,230	\$5,492	\$5,766	3	3	3	C-Middle Management SJCMgmtAssc
RE2004:	Senior Planner	\$3,338	\$3,506	\$3,681	\$3,866	\$4,059	1	1	1	C-Middle Management SJCMgmtAssc
RM0226:	Management Analyst III	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssc
- RE1004:	Engineer IV	\$3,242	\$3,404	\$3,574	\$3,754	\$3,942	2	2	2	E-Professional SEIU
- RE1003:	Engineer III	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	1	1	1	E-Professional SEIU
- RE1002:	Engineer II	\$2,799	\$2,940	\$3,087	\$3,242	\$3,404	11	11	11	E-Professional SEIU
- RE1001:	Engineer I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940	3	3	3	E-Professional SEIU
RE3001:	Licensed Land Surveyor	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	1	1	1	E-Professional SEIU
RE0113:	Engineering Assistant III	\$2,746	\$2,883	\$3,027	\$3,179	\$3,338	2	2	2	F-Paraprofessional & Technical SEIU
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	F-Paraprofessional & Technical SEIU
- RE2002:	Associate Planner	\$2,552	\$2,679	\$2,813	\$2,954	\$3,102				E-Professional SEIU
- RE2001:	Assistant Planner	\$2,099	\$2,205	\$2,314	\$2,430	\$2,552	1	1	1	E-Professional SEIU
RE5002:	Associate Real Property Agent	\$2,478	\$2,602	\$2,732	\$2,869	\$3,013	1	1	1	F-Paraprofessional & Technical SEIU
RE0112:	Engineering Assistant II	\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	7	7	7	F-Paraprofessional & Technical SEIU
- RB6002:	Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	F-Paraprofessional & Technical SEIU
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	3	3	3	F-Paraprofessional & Technical SEIU
- RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
RE5001:	Assistant Real Property Agent	\$2,142	\$2,248	\$2,361	\$2,478	\$2,602	1	1	1	F-Paraprofessional & Technical SEIU
RE0111:	Engineering Assistant I	\$2,070	\$2,173	\$2,282	\$2,395	\$2,515	10	10	10	F-Paraprofessional & Technical SEIU
- RE0101:	Senior Engineering Aide	\$1,858	\$1,951	\$2,049	\$2,152	\$2,259	7	7	7	F-Paraprofessional & Technical SEIU
- RE0100:	Engineering Aide	\$1,567	\$1,645	\$1,727	\$1,813	\$1,904	4	4	4	F-Paraprofessional & Technical SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>68</b>	<b>67 *</b>	<b>67 *</b>		
<b>Temporary (FTE)</b>						<b>2.2</b>	<b>1.7 *</b>	<b>1.7 *</b>		



**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
<b>POSITION TOTAL</b>						<b>70.2</b>	<b>68.7 *</b>	<b>68.7 *</b>		
<b>Budget Unit</b>	<b>3030105000 PUBLIC WORKS-ROAD MAINT</b>									
RM0330:	Maintenance Superintendent	\$3,489	\$3,663	\$3,846	\$4,039	\$4,241	1	1	1	C-Middle Management SJCmgtAssoc
RC1000:	PublicWorks Maintenance. Manager	\$2,552	\$2,679	\$2,813	\$2,954	\$3,102	5	5	5	C-Middle Management SJCmgtAssoc
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
RC1006:	Bridge Maintenance Foreman	\$2,259	\$2,372	\$2,490	\$2,615	\$2,746	1	1	1	I-Trades Labor & Institutional SEIU
- RB4002:	Accountant II	\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
- RB4001:	Accountant I	\$1,904	\$1,999	\$2,099	\$2,205	\$2,314				F-Paraprofessional & Technical SEIU
RC1005:	Equipment Operator Foreman	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641	4	4	4	I-Trades Labor & Institutional SEIU
RC1003:	Traffic Foreman	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641	1	1	1	I-Trades Labor & Institutional SEIU
RE0111:	Engineering Assistant I	\$2,070	\$2,173	\$2,282	\$2,395	\$2,515	1	1	1	F-Paraprofessional & Technical SEIU
RC0507:	Tree Crew Supervisor	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	I-Trades Labor & Institutional SEIU
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
- RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
RC0652:	Equipment Operator II	\$1,999	\$2,099	\$2,205	\$2,314	\$2,430	8	8	8	I-Trades Labor & Institutional SEIU
RC0552:	Traffic Striper Operator II	\$1,999	\$2,099	\$2,205	\$2,314	\$2,430	1	1	1	I-Trades Labor & Institutional SEIU
RC0502:	Bridge Maintenance Worker	\$1,951	\$2,049	\$2,152	\$2,259	\$2,372	4	4	4	I-Trades Labor & Institutional SEIU
RC0651:	Equipment Operator I	\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	21	21	21	I-Trades Labor & Institutional SEIU
RC0551:	Traffic Striper Operator I	\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	2	2	2	I-Trades Labor & Institutional SEIU
RC0510:	Traffic Sign Fabricator	\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	1	1	1	I-Trades Labor & Institutional SEIU
RC0506:	Tree Crew Worker	\$1,744	\$1,830	\$1,922	\$2,019	\$2,120	3	3	3	I-Trades Labor & Institutional SEIU
RC1503:	Bridge Tender	\$1,661	\$1,744	\$1,830	\$1,922	\$2,019	1	1	1	I-Trades Labor & Institutional SEIU
- RC0501:	Highway Maintenance Worker	\$1,661	\$1,744	\$1,830	\$1,922	\$2,019	23	26 *	26 *	I-Trades Labor & Institutional SEIU
- RC0500:	Maintenance Worker	\$1,470	\$1,544	\$1,622	\$1,702	\$1,787	12	12	12	I-Trades Labor & Institutional SEIU
RC2011:	Storekeeper I	\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	1	1	1	I-Trades Labor & Institutional SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>96</b>	<b>99 *</b>	<b>99 *</b>		
<b>Temporary (FTE)</b>						<b>5.2</b>	<b>5.2</b>	<b>5.2</b>		
<b>POSITION TOTAL</b>						<b>101.2</b>	<b>104.2 *</b>	<b>104.2 *</b>		
<b>Budget Unit</b>	<b>3030108000 PUBLIC WORKS-DEVELOPMENT SVS</b>									
EC2165:	Engineering Services Manager	\$4,178	\$4,387	\$4,606	\$4,837	\$5,078	1	1	1	B-Senior Management Unrepresented
- RE1004:	Engineer IV	\$3,242	\$3,404	\$3,574	\$3,754	\$3,942				E-Professional SEIU
- RE1003:	Engineer III	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663				E-Professional SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RE1002:Engineer II		\$2,799	\$2,940	\$3,087	\$3,242	\$3,404	1	1	1	E-Professional SEIU
- RE1001:Engineer I		\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
RE0112:Engineering Assistant II		\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	1	1	1	F-Paraprofessional & Technical SEIU
RE0111:Engineering Assistant I		\$2,070	\$2,173	\$2,282	\$2,395	\$2,515	1	1	1	F-Paraprofessional & Technical SEIU
- RE0101:Senior Engineering Aide		\$1,858	\$1,951	\$2,049	\$2,152	\$2,259				F-Paraprofessional & Technical SEIU
- RE0100:Engineering Aide		\$1,567	\$1,645	\$1,727	\$1,813	\$1,904	1	1	1	F-Paraprofessional & Technical SEIU
							<b>5</b>	<b>5</b>	<b>5</b>	
							<b>5</b>	<b>5</b>	<b>5</b>	
							<b>5</b>	<b>5</b>	<b>5</b>	
<b>Budget Unit 3030900000</b>	<b>COMMUNITY INFRA-ENGINEERIN SVS</b>									
RE1005:Engineer V		\$4,745	\$4,982	\$5,230	\$5,492	\$5,766	1	1	1	C-Middle Management SJCMgmtAssc
- RE1004:Engineer IV		\$3,242	\$3,404	\$3,574	\$3,754	\$3,942	1	1	1	E-Professional SEIU
- RE1003:Engineer III		\$3,013	\$3,164	\$3,322	\$3,489	\$3,663				E-Professional SEIU
- RE1002:Engineer II		\$2,799	\$2,940	\$3,087	\$3,242	\$3,404				E-Professional SEIU
- RE1001:Engineer I		\$2,418	\$2,539	\$2,666	\$2,799	\$2,940		1 *	1 *	E-Professional SEIU
RE0113:Engineering Assistant III		\$2,746	\$2,883	\$3,027	\$3,179	\$3,338	1	1	1	F-Paraprofessional & Technical SEIU
- RB6512:Management Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	3	2 *	2 *	F-Paraprofessional & Technical SEIU
- RB6511:Management Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
- RB6001:Administrative Assistant I		\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
- RB6000:Junior Administrative Assistant		\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
							<b>7</b>	<b>7</b>	<b>7</b>	
							<b>0.2</b>	<b>0.2</b>	<b>0.2</b>	
							<b>7.2</b>	<b>7.2</b>	<b>7.2</b>	
<b>Budget Unit 4040300000</b>	<b>MENTAL HEALTH- PHARMACY</b>									
RH4325:Pharmacy Manager		\$4,861	\$5,104	\$5,358	\$5,626	\$5,908	1	1	1	C-Middle Management SJCMgmtAssc
RH4350:Pharmacist - Clinical		\$4,497	\$4,722	\$4,958	\$5,206	\$5,466	1	1	1	E-Professional SEIU
RH4355:Pharmacist		\$4,283	\$4,497	\$4,722	\$4,958	\$5,206	1	1	1	E-Professional SEIU
RH4454:Pharmacy Technician III Supervisor		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	R-Supervisors Unit SEIU
- RH4453:Pharmacy Technician III		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	F-Paraprofessional & Technical SEIU
- RH4452:Pharmacy Technician II		\$1,522	\$1,598	\$1,678	\$1,761	\$1,849	3	3	3	F-Paraprofessional & Technical SEIU
- RH4451:Pharmacy Technician I		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	2	1 *	1 *	F-Paraprofessional & Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
							<b>12</b>	<b>11 *</b>	<b>11 *</b>	
							<b>3.8</b>	<b>6.0 *</b>	<b>6.0 *</b>	
							<b>15.8</b>	<b>17 *</b>	<b>17 *</b>	

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>Budget Unit 4040500000 MENTAL HEALTH SERVICES</b>										
ES2312:	Deputy Director-BHS-Clinical	\$4,178	\$4,387	\$4,606	\$4,837	\$5,078	5	5	5	B-Senior Management Unrepresented
RH1320:	Nursing Department Manager - Ambulatory	\$4,304	\$4,518	\$4,745	\$4,982	\$5,230	1	1	1	C-Middle Management SJCMgmtAssc
RS2014:	Chief Mental Health Clinician	\$3,558	\$3,735	\$3,922	\$4,118	\$4,325	20	20	20	C-Middle Management SJCMgmtAssc
EH8001:	Physician Manager	\$7,274	<contract range>			\$24,123	1	1	1	S-Physicians Management Unrepresented
EH8003:	Psychiatrist	\$9,003	<contract range>			\$12,182	17	17	17	P-Physicians UAPD
- RH1166:	Nurse Practitioner II -Ambulatory	\$4,585	\$4,814	\$5,054	\$5,306	\$5,572				M-Registered Nurses CNA
- RH1165:	Nurse Practitioner I -Ambulatory	\$4,409	\$4,630	\$4,861	\$5,104	\$5,358	2	2	2	M-Registered Nurses CNA
- RH1505:	Mental Health Charge Nurse - Inpatient	\$4,098	\$4,304	\$4,518	\$4,745	\$4,982				M-Registered Nurses CNA
- RH1106:	Staff Nurse V-Assistant Nurse Dept Mgr-Inpatient	\$4,098	\$4,304	\$4,518	\$4,745	\$4,982	1	1	1	M-Registered Nurses CNA
- RH1105:	Staff Nurse V Clinical Nurse-Inpatient	\$4,098	\$4,304	\$4,518	\$4,745	\$4,982				M-Registered Nurses CNA
- RH1125:	Staff Nurse V -Clinical -Ambulatory	\$3,903	\$4,098	\$4,304	\$4,518	\$4,745				M-Registered Nurses CNA
- RH1104:	Staff Nurse IV - Inpatient	\$3,866	\$4,059	\$4,262	\$4,475	\$4,698	5	5	5	M-Registered Nurses CNA
- RH1124:	Staff Nurse IV - Ambulatory	\$3,681	\$3,866	\$4,059	\$4,262	\$4,475	7	7	7	M-Registered Nurses CNA
- RH1103:	Staff Nurse III -Inpatient	\$3,627	\$3,809	\$3,999	\$4,199	\$4,409	1	1	1	M-Registered Nurses CNA
- RH1102:	Staff Nurse II - Inpatient	\$3,506	\$3,681	\$3,866	\$4,059	\$4,262				M-Registered Nurses CNA
- RH1123:	Staff Nurse III - Ambulatory	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	2	2	2	M-Registered Nurses CNA
- RH1101:	Staff Nurse I - Inpatient	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999				M-Registered Nurses CNA
- RH6004:	Occup Therapist -Clinical Specialist	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699				E-Professional SEIU
- RH6003:	Occupational Therapist-Senior	\$2,841	\$2,983	\$3,133	\$3,290	\$3,454				E-Professional SEIU
- RH6002:	Occupational Therapist	\$2,552	\$2,679	\$2,813	\$2,954	\$3,102				E-Professional SEIU
- RH6000:	Occupational Therapist Assistant	\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	1	1	1	F-Paraprofessional & Technical SEIU
RS2013:	Mental Health Clinician III	\$3,027	\$3,179	\$3,338	\$3,506	\$3,681	28	28	28	R-Supervisors Unit SEIU
- RS2012:	Mental Health Clinician II	\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	28	28	28	E-Professional SEIU
- RS3042:	Clinical Social Worker II	\$2,679	\$2,813	\$2,954	\$3,102	\$3,258				E-Professional SEIU
- RS3041:	Clinical Social Worker I	\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	1	1	1	E-Professional SEIU
- RS2011:	Mental Health Clinician I	\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	83	83	83	E-Professional SEIU
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	2	2	2	F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
RS2035:	Mental Health Court Liaison	\$2,349	\$2,466	\$2,590	\$2,719	\$2,854	1	1	1	F-Paraprofessional & Technical SEIU
- RS3013:	Protective Services Social Worker III	\$2,326	\$2,442	\$2,564	\$2,692	\$2,826	2	2	2	F-Paraprofessional & Technical SEIU
- RS3012:	Protective Services Social Worker II	\$2,215	\$2,326	\$2,442	\$2,564	\$2,692				F-Paraprofessional & Technical SEIU
- RS3011:	Protective Services Social Worker I	\$2,059	\$2,162	\$2,270	\$2,384	\$2,503				F-Paraprofessional & Technical SEIU
RH2505:	Chief Psychiatric Technician	\$2,039	\$2,142	\$2,248	\$2,361	\$2,478	7	7	7	R-Supervisors Unit SEIU
RS2003:	Mental Health Specialist III	\$1,922	\$2,019	\$2,120	\$2,226	\$2,338	3	3	3	R-Supervisors Unit SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RH6601:	Rehabilitation Therapist I	\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	1	1	1	F-Paraprofessional & Technical SEIU
- RS5002:	Employment Training Specialist II	\$1,886	\$1,980	\$2,079	\$2,183	\$2,293				F-Paraprofessional & Technical SEIU
- RS5001:	Employment Training Specialist I	\$1,710	\$1,796	\$1,886	\$1,980	\$2,079	1	1	1	F-Paraprofessional & Technical SEIU
- RH2502:	Senior Psychiatric Technician	\$1,876	\$1,970	\$2,070	\$2,173	\$2,282	26	26	26	F-Paraprofessional & Technical SEIU
- RH2501:	Psychiatric Technician	\$1,727	\$1,813	\$1,904	\$1,999	\$2,099	24	24	24	F-Paraprofessional & Technical SEIU
- RH2002:	Senior Licensed Vocational Nurse	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
- RH2001:	Licensed Vocational Nurse	\$1,744	\$1,830	\$1,922	\$2,019	\$2,120	16	16	16	F-Paraprofessional & Technical SEIU
- RS2002:	Mental Health Specialist II	\$1,727	\$1,813	\$1,904	\$1,999	\$2,099	82	82	82	F-Paraprofessional & Technical SEIU
- RS2001:	Mental Health Specialist I	\$1,645	\$1,727	\$1,813	\$1,904	\$1,999				F-Paraprofessional & Technical SEIU
- RS1022:	Substance Abuse Counselor II	\$1,753	\$1,840	\$1,932	\$2,029	\$2,130	2	2	2	F-Paraprofessional & Technical SEIU
- RS1021:	Substance Abuse Counselor I	\$1,435	\$1,506	\$1,582	\$1,661	\$1,744	6	6	6	F-Paraprofessional & Technical SEIU
RO2000:	Office Secretary	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO1000:	Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	6	6	6	R-Supervisors Unit SEIU
RO6700:	Office Technician/Coordinator	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761				G-Office & Office Technical SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	10	10	10	G-Office & Office Technical SEIU
- RS2022:	Mental Health Interpreter II	\$1,442	\$1,514	\$1,590	\$1,670	\$1,753	6	6	6	F-Paraprofessional & Technical SEIU
- RS2021:	Mental Health Interpreter I	\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	1	1	1	F-Paraprofessional & Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	44	46 *	46 *	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	12	12	12	G-Office & Office Technical SEIU
- RS2051:	Mental Health Outreach Worker	\$1,367	\$1,435	\$1,506	\$1,582	\$1,661	30	30	30	F-Paraprofessional & Technical SEIU
- RS2050:	Mental Health Outreach Worker Trainee	\$1,240	\$1,302	\$1,367	\$1,435	\$1,506	8	8	8	F-Paraprofessional & Technical SEIU
RH6602:	Rehabilitation Therapist II	\$1,354	\$1,422	\$1,492	\$1,567	\$1,645	1	1	1	F-Paraprofessional & Technical SEIU
							<b>495</b>	<b>497 *</b>	<b>497 *</b>	
							<b>83.8</b>	<b>98.8 *</b>	<b>98.8 *</b>	
							<b>578.8</b>	<b>595.8 *</b>	<b>595.8 *</b>	
<b>Budget Unit</b>	<b>4040600000 SUBSTANCE ABUSE SERVICES</b>									
RM1122:	Substance Abuse Program Manager	\$2,442	\$2,564	\$2,692	\$2,826	\$2,968	5	5	5	C-Middle Management SJCMgmtAssoc
RS1015:	Substance Abuse Services Coordinator	\$2,692	\$2,826	\$2,968	\$3,117	\$3,273	1	1	1	C-Middle Management SJCMgmtAssoc
- RS2012:	Mental Health Clinician II	\$2,679	\$2,813	\$2,954	\$3,102	\$3,258				E-Professional SEIU
- RS2011:	Mental Health Clinician I	\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	3	3	3	E-Professional SEIU
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242				F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	*	*	F-Paraprofessional & Technical SEIU
RS1023:	Substance Abuse Program Supervisor	\$1,876	\$1,970	\$2,070	\$2,173	\$2,282	7	7	7	R-Supervisors Unit SEIU
- RS1025:	Substance Abuse Prevention Specialist II	\$1,840	\$1,932	\$2,029	\$2,130	\$2,238	1	1	1	F-Paraprofessional & Technical SEIU
- RS1024:	Substance Abuse Prevention Specialist I	\$1,606	\$1,686	\$1,770	\$1,858	\$1,951	2	2	2	F-Paraprofessional & Technical SEIU

**COUNTY OF SAN JOAQUIN  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RS1022:Substance Abuse Counselor II		\$1,753	\$1,840	\$1,932	\$2,029	\$2,130	27	27	27	F-Paraprofessional & Technical SEIU
- RS1021:Substance Abuse Counselor I		\$1,435	\$1,506	\$1,582	\$1,661	\$1,744	27	27	27	F-Paraprofessional & Technical SEIU
- RS2002:Mental Health Specialist II		\$1,727	\$1,813	\$1,904	\$1,999	\$2,099				F-Paraprofessional & Technical SEIU
- RS2001:Mental Health Specialist I		\$1,645	\$1,727	\$1,813	\$1,904	\$1,999	2	2	2	F-Paraprofessional & Technical SEIU
RO2000:Office Secretary		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO1000:Office Supervisor		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	R-Supervisors Unit SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	5	5	5	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	5	5	5	G-Office & Office Technical SEIU
- RS0302:Perinatal Child Care Worker		\$1,026	\$1,076	\$1,130	\$1,187	\$1,246				F-Paraprofessional & Technical SEIU
- RS0301:Perinatal Child Care Aide		\$962	\$1,010	\$1,061	\$1,114	\$1,170	4	4	4	F-Paraprofessional & Technical SEIU
<b>ALLOCATED TOTAL</b>							<b>92</b>	<b>91 *</b>	<b>91 *</b>	
<b>Temporary (FTE)</b>							<b>32.8</b>	<b>20.3 *</b>	<b>20.3 *</b>	
<b>POSITION TOTAL</b>							<b>124.8</b>	<b>111.3 *</b>	<b>111.3 *</b>	
<b>Budget Unit 4040700000 BEHAVIORAL HEALTH ADMIN</b>										
HS2000:Chief Deputy Director-BHS		\$5,282	\$5,545	\$5,822	\$6,114	\$6,419	1	1	1	A-Executive Unrepresented
ES2300:Senior Deputy Director-BHS		\$4,885	\$5,129	\$5,386	\$5,654	\$5,937	1	1	1	B-Senior Management Unrepresented
EM0250:Deputy Director-HCS-Fiscal		\$3,942	\$4,138	\$4,346	\$4,562	\$4,790	1	1	1	B-Senior Management Unrepresented
ES2310:Deputy Director-BHS-Administrative		\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	B-Senior Management Unrepresented
EH8001:Physician Manager		\$7,274	<contract range>			\$24,123	1	1	1	S-Physicians Management Unrepresented
RH1300:Nursing Department Manager - Inpatient		\$4,518	\$4,745	\$4,982	\$5,230	\$5,492	1	1	1	C-Middle Management SJCMgmtAssoc
RM0226:Management Analyst III		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	2	2	2	C-Middle Management SJCMgmtAssoc
RB3010:Accounting Manager		\$3,117	\$3,273	\$3,437	\$3,609	\$3,790	1	1	1	C-Middle Management SJCMgmtAssoc
- RH1505:Mental Health Charge Nurse - Inpatient		\$4,098	\$4,304	\$4,518	\$4,745	\$4,982	2	2	2	M-Registered Nurses CNA
- RH1124:Staff Nurse IV - Ambulatory		\$3,681	\$3,866	\$4,059	\$4,262	\$4,475	1	1	1	M-Registered Nurses CNA
- RH1123:Staff Nurse III - Ambulatory		\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	1	1	1	M-Registered Nurses CNA
- RH1122:Staff Nurse II - Ambulatory		\$3,338	\$3,506	\$3,681	\$3,866	\$4,059				M-Registered Nurses CNA
- RH1121:Staff Nurse I - Ambulatory		\$3,133	\$3,290	\$3,454	\$3,627	\$3,809	1	1	1	M-Registered Nurses CNA
RS2013:Mental Health Clinician III		\$3,027	\$3,179	\$3,338	\$3,506	\$3,681	1	1	1	R-Supervisors Unit SEIU
RB6211:Contracts Supervisor		\$2,926	\$3,072	\$3,226	\$3,388	\$3,558	1	1	1	R-Supervisors Unit SEIU
RB6515:Mental Health Support Services Coordinator		\$2,692	\$2,826	\$2,968	\$3,117	\$3,273	1	1	1	F-Paraprofessional & Technical SEIU
- RS2012:Mental Health Clinician II		\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	1	1	1	E-Professional SEIU
- RS2011:Mental Health Clinician I		\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	1	1	1	E-Professional SEIU
- RB6512:Management Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	3	3	3	F-Paraprofessional & Technical SEIU
- RB6511:Management Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407		1 *	1 *	F-Paraprofessional & Technical SEIU
- RB6205:Business Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242				F-Paraprofessional & Technical SEIU

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RB6204:	Business Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	F-Paraprofessional & Technical SEIU
RB5500:	Departmental Personnel Analyst	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	D-Confidential Unrepresented
RC4001:	Office Building Engineer	\$2,270	\$2,384	\$2,503	\$2,628	\$2,759	1	1	1	I-Trades Labor & Institutional SEIU
RC0404:	Crafts Worker IV	\$2,226	\$2,338	\$2,454	\$2,577	\$2,706	1	1	1	R-Supervisors Unit SEIU
RC0403:	Crafts Worker III	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	3	3	3	I-Trades Labor & Institutional SEIU
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
- RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
RB4003:	Accountant III	\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	5	5	5	F-Paraprofessional & Technical SEIU
RO3012:	Accounting Technician II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	6	6	6	G-Office & Office Technical SEIU
RS1023:	Substance Abuse Program Supervisor	\$1,876	\$1,970	\$2,070	\$2,173	\$2,282	1	1	1	R-Supervisors Unit SEIU
RO4203:	Medical Records Technician III	\$1,876	\$1,970	\$2,070	\$2,173	\$2,282	1	1	1	R-Supervisors Unit SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	7	7	7	G-Office & Office Technical SEIU
RO2050:	Administrative Secretary	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
- RC0402:	Crafts Worker II	\$1,630	\$1,710	\$1,796	\$1,886	\$1,980	1	1	1	I-Trades Labor & Institutional SEIU
- RC0401:	Crafts Worker I	\$1,478	\$1,552	\$1,630	\$1,710	\$1,796				I-Trades Labor & Institutional SEIU
RC2011:	Storekeeper I	\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	1	1	1	I-Trades Labor & Institutional SEIU
RO6700:	Office Technician/Coordinator	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	R-Supervisors Unit SEIU
RO2000:	Office Secretary	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO1000:	Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	R-Supervisors Unit SEIU
RO6120:	Department Payroll Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	2	2	2	G-Office & Office Technical SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	5	5	5	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	8	6 *	6 *	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	5	5	5	G-Office & Office Technical SEIU
RF0301:	Lead Housekeeper	\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	1	1	1	R-Supervisors Unit SEIU
- RC2001:	Stock Clerk II	\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	1	1	1	I-Trades Labor & Institutional SEIU
- RC2000:	Stock Clerk I	\$1,246	\$1,308	\$1,374	\$1,442	\$1,514				I-Trades Labor & Institutional SEIU
RF0300:	Housekeeping Service Worker	\$1,187	\$1,246	\$1,308	\$1,374	\$1,442	9	9	9	I-Trades Labor & Institutional SEIU
<b>ALLOCATED TOTAL</b>						<b>87</b>	<b>86 *</b>	<b>86 *</b>		
<b>Temporary (FTE)</b>						<b>12.1</b>	<b>12.1</b>	<b>12.1</b>		
<b>POSITION TOTAL</b>						<b>99.1</b>	<b>98.1 *</b>	<b>98.1 *</b>		
<b>Budget Unit</b>	<b>4040800000 UTILITY DISTRICTS</b>									
RM0340:	Utility District Superintendent	\$3,489	\$3,663	\$3,846	\$4,039	\$4,241	1	1	1	C-Middle Management SJCMgmtAssoc
RM0360:	Utility District Assistant Superintendent	\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	1	1	1	C-Middle Management SJCMgmtAssoc
RE0112:	Engineering Assistant II	\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	1	1	1	F-Paraprofessional & Technical SEIU
RC0525:	Utility District Maintenance Supervisor	\$2,142	\$2,248	\$2,361	\$2,478	\$2,602	2	2	2	I-Trades Labor & Institutional SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
- RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
	RC0515:Utility District Laboratory Technician	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	I-Trades Labor & Institutional SEIU
- RC0522:	Utility District Maintenance Worker II	\$1,886	\$1,980	\$2,079	\$2,183	\$2,293	8	8	8	I-Trades Labor & Institutional SEIU
- RC0521:	Utility District Maintenance Worker I	\$1,770	\$1,858	\$1,951	\$2,049	\$2,152	4	4	4	I-Trades Labor & Institutional SEIU
- RC0520:	Utility District Maintenance Worker Trainee	\$1,630	\$1,710	\$1,796	\$1,886	\$1,980	3	3	3	I-Trades Labor & Institutional SEIU
	RC0651:Equipment Operator I	\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	1	1	1	I-Trades Labor & Institutional SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>24</b>	<b>24</b>	<b>24</b>		
<b>POSITION TOTAL</b>						<b>24</b>	<b>24</b>	<b>24</b>		
<b>Budget Unit 4041000000 PUBLIC HEALTH SVS</b>										
	EH2200:Chief Deputy Director-Public Health Services	\$5,030	\$5,282	\$5,545	\$5,822	\$6,114	1	1	1	A-Executive Unrepresented
	ES2202:Public Health Officer	\$7,111	\$7,466	\$7,840	\$8,232	\$8,644	1	1	1	B-Senior Management Unrepresented
	ES2203:Assistant Health Officer	\$6,142	\$6,450	\$6,773	\$7,111	\$7,466	1	1	1	B-Senior Management Unrepresented
	ES2200:Senior Deputy Director-Public Health Services	\$4,158	\$4,366	\$4,585	\$4,814	\$5,054	2	2	2	B-Senior Management Unrepresented
- EH4090:	Director of Public Health Lab Services	\$3,885	\$4,079	\$4,283	\$4,497	\$4,722	1	1	1	B-Senior Management Unrepresented
- EH4085:	Assistant Director of Public Health Lab Services	\$3,627	\$3,809	\$3,999	\$4,199	\$4,409				B-Senior Management Unrepresented
	RH1820:Program Manager-Public Health Nursing	\$4,098	\$4,304	\$4,518	\$4,745	\$4,982	2	2	2	C-Middle Management SJCMgmtAssc
	RS7105:Program Coordinator WIC	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssc
	RM1182:Program Coordinator-AIDS	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssc
	RM1181:Program Coordinator-Public Health Education	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssc
	RM0226:Management Analyst III	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	2	2	2	C-Middle Management SJCMgmtAssc
	RP0610:Public Health Emergency Preparedness Coord	\$2,786	\$2,926	\$3,072	\$3,226	\$3,388	1	1	1	C-Middle Management SJCMgmtAssc
	RH1815:Supervising Public Health Nurse	\$4,158	\$4,366	\$4,585	\$4,814	\$5,054	2	2	2	M-Registered Nurses CNA
- RH1813:	Senior Public Health Nurse	\$3,960	\$4,158	\$4,366	\$4,585	\$4,814	4	4	4	M-Registered Nurses CNA
- RH1812:	Public Health Nurse II	\$3,771	\$3,960	\$4,158	\$4,366	\$4,585	14	14	14	M-Registered Nurses CNA
- RH1811:	Public Health Nurse I	\$3,681	\$3,866	\$4,059	\$4,262	\$4,475	3	3	3	M-Registered Nurses CNA
- RH1124:	Staff Nurse IV - Ambulatory	\$3,681	\$3,866	\$4,059	\$4,262	\$4,475				M-Registered Nurses CNA
- RH1800:	Registered Nurse	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	1	1	1	M-Registered Nurses CNA
- RH1123:	Staff Nurse III - Ambulatory	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	7	4 *	4 *	M-Registered Nurses CNA
- RH2002:	Senior Licensed Vocational Nurse	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
	RB6905:Supervising Epidemiologist	\$3,102	\$3,258	\$3,421	\$3,592	\$3,771	1	1	1	R-Supervisors Unit SEIU
	RH4205:Supervising Public Health Microbiologist	\$2,926	\$3,072	\$3,226	\$3,388	\$3,558	1	1	1	R-Supervisors Unit SEIU
	RB6900:Epidemiologist	\$2,898	\$3,042	\$3,195	\$3,355	\$3,523	4	5 *	5 *	E-Professional SEIU

**COUNTY OF SAN JOAQUIN**  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RS4105:Supervising Public Health Educator		\$2,813	\$2,954	\$3,102	\$3,258	\$3,421	2	2	2	R-Supervisors Unit SEIU
RS0201:Case Manager Supervisor		\$2,719	\$2,854	\$2,998	\$3,148	\$3,306		1 *	1 *	R-Supervisors Unit SEIU
RH4203:Senior Public Health Microbiologist		\$2,706	\$2,841	\$2,983	\$3,133	\$3,290	2	2	2	E-Professional SEIU
- RS0200:Case Manager		\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	1	2 *	2 *	E-Professional SEIU
- RS3001:Senior Social Worker		\$1,932	\$2,029	\$2,130	\$2,238	\$2,349	3	3	3	F-Paraprofessional & Technical SEIU
- RS3000:Social Worker		\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	2	2	2	F-Paraprofessional & Technical SEIU
- RB6512:Management Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	2 *	2 *	F-Paraprofessional & Technical SEIU
- RB6511:Management Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	F-Paraprofessional & Technical SEIU
- RB6205:Business Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242		1 *	1 *	F-Paraprofessional & Technical SEIU
- RB6204:Business Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
- RH4212:Public Health Microbiologist II		\$2,577	\$2,706	\$2,841	\$2,983	\$3,133	6	6	6	E-Professional SEIU
- RH4211:Public Health Microbiologist I		\$2,454	\$2,577	\$2,706	\$2,841	\$2,983				E-Professional SEIU
- RH4200:Public Health Microbiologist Trainee		\$2,110	\$2,215	\$2,326	\$2,442	\$2,564				E-Professional SEIU
- RS4101:Public Health Educator		\$2,430	\$2,552	\$2,679	\$2,813	\$2,954	9	10 *	10 *	E-Professional SEIU
- RS4002:Public Health Education Associate II		\$2,059	\$2,162	\$2,270	\$2,384	\$2,503				E-Professional SEIU
- RS4001:Public Health Education Associate I		\$1,822	\$1,913	\$2,009	\$2,110	\$2,215	3	3	3	E-Professional SEIU
- RS4012:Public Health Education Assistant II		\$1,686	\$1,770	\$1,858	\$1,951	\$2,049				F-Paraprofessional & Technical SEIU
- RS4011:Public Health Education Assistant I		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	3	3	3	F-Paraprofessional & Technical SEIU
- RB6002:Administrative Assistant II		\$2,314	\$2,430	\$2,552	\$2,679	\$2,813				F-Paraprofessional & Technical SEIU
- RB6001:Administrative Assistant I		\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
- RB6000:Junior Administrative Assistant		\$1,830	\$1,922	\$2,019	\$2,120	\$2,226	1	1	1	F-Paraprofessional & Technical SEIU
- RB4002:Accountant II		\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
- RB4001:Accountant I		\$1,904	\$1,999	\$2,099	\$2,205	\$2,314				F-Paraprofessional & Technical SEIU
RP0602:Emergency Planner		\$2,226	\$2,338	\$2,454	\$2,577	\$2,706	1	1	1	F-Paraprofessional & Technical SEIU
RS4220:AIDS Services Liaison		\$2,215	\$2,326	\$2,442	\$2,564	\$2,692	1	*	*	E-Professional SEIU
RH8502:Senior Public Health Nutritionist		\$2,099	\$2,205	\$2,314	\$2,430	\$2,552	3	1 *	1 *	E-Professional SEIU
- RH8501:Public Health Nutritionist		\$2,099	\$2,205	\$2,314	\$2,430	\$2,552	1	1	1	E-Professional SEIU
- RH8500:Public Health Nutritionist Trainee		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303				E-Professional SEIU
RS4115:Senior Communicable Disease Investigator		\$1,999	\$2,099	\$2,205	\$2,314	\$2,430	1	1	1	R-Supervisors Unit SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RS4225:AIDS Surveillance Specialist		\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	1	*	*	F-Paraprofessional & Technical SEIU
- RS4110:Communicable Disease Investigator		\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	8	8	8	F-Paraprofessional & Technical SEIU
- RS4302:Community Health Outreach Worker		\$1,367	\$1,435	\$1,506	\$1,582	\$1,661	12	12	12	F-Paraprofessional & Technical SEIU
- RH3200:Outpatient Clinic Assistant		\$1,302	\$1,367	\$1,435	\$1,506	\$1,582	3	3	3	F-Paraprofessional & Technical SEIU
- RS4301:Community Health Outreach Worker Trainee		\$1,240	\$1,302	\$1,367	\$1,435	\$1,506				F-Paraprofessional & Technical SEIU



**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	3	3	3	G-Office & Office Technical SEIU
RO2050:Administrative Secretary		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RS9215:Lactation Specialist		\$1,598	\$1,678	\$1,761	\$1,849	\$1,942	1	1	1	F-Paraprofessional & Technical SEIU
RO6700:Office Technician/Coordinator		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
RO1000:Office Supervisor		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	2	2	2	R-Supervisors Unit SEIU
RS4222:AIDS Case Worker		\$1,506	\$1,582	\$1,661	\$1,744	\$1,830	1	2 *	2 *	F-Paraprofessional & Technical SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761		1 *	1 *	G-Office & Office Technical SEIU
- RH4961:Public Health Lab Technician II		\$1,401	\$1,470	\$1,544	\$1,622	\$1,702	1	1	1	F-Paraprofessional & Technical SEIU
- RH4960:Public Health Lab Technician I		\$1,334	\$1,401	\$1,470	\$1,544	\$1,622	1	1	1	F-Paraprofessional & Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	15	15	15	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	3	3	3	G-Office & Office Technical SEIU
- RO4182:WIC Nutrition Assistant II		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	12	12	12	G-Office & Office Technical SEIU
- RO4181:WIC Nutrition Assistant I		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
- RC2001:Stock Clerk II		\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	1	1	1	I-Trades Labor & Institutional SEIU
- RC2000:Stock Clerk I		\$1,246	\$1,308	\$1,374	\$1,442	\$1,514				I-Trades Labor & Institutional SEIU
<b>ALLOCATED TOTAL</b>						<b>162</b>	<b>163 *</b>	<b>163 *</b>		
<b>Temporary (FTE)</b>						<b>8.1</b>	<b>6.4 *</b>	<b>6.4 *</b>		
<b>POSITION TOTAL</b>						<b>170.1</b>	<b>169.4 *</b>	<b>169.4 *</b>		
<b>Budget Unit 4041200000 CONSERVATOR SERVICES</b>										
EB3300:Public Guardian/Conservator		\$3,866	\$4,059	\$4,262	\$4,475	\$4,698	1	1	1	B-Senior Management Unrepresented
RS2033:Chief Deputy Public Guardian		\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	1	1	1	C-Middle Management SJCMgmtAssc
RB4003:Accountant III		\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	1	1	1	F-Paraprofessional & Technical SEIU
RS2034:Suprvsng Deputy Public Guardian		\$2,282	\$2,395	\$2,515	\$2,641	\$2,773	1	1	1	R-Supervisors Unit SEIU
- RS2032:Deputy Public Guardian II		\$2,130	\$2,238	\$2,349	\$2,466	\$2,590	8	8	8	F-Paraprofessional & Technical SEIU
- RS2031:Deputy Public Guardian I		\$1,932	\$2,029	\$2,130	\$2,238	\$2,349				F-Paraprofessional & Technical SEIU
RB6000:Junior Administrative Assistant		\$1,830	\$1,922	\$2,019	\$2,120	\$2,226	1	1	1	F-Paraprofessional & Technical SEIU
- RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	3	3	3	G-Office & Office Technical SEIU
- RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	5	5	5	G-Office & Office Technical SEIU
RC2011:Storekeeper I		\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	1	1	1	I-Trades Labor & Institutional SEIU
RO6115:Office Assistant Specialist		\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	4	4	4	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	3	3	3	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
EB7300:Office Occupations Clerk		\$1,175	\$1,234	\$1,295	\$1,360	\$1,428	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>30</b>	<b>30</b>	<b>30</b>		
<b>Temporary (FTE)</b>						<b>1.5</b>	<b>1.5</b>	<b>1.5</b>		

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>POSITION TOTAL</b>						<b>31.5</b>	<b>31.5</b>	<b>31.5</b>		
<b>Budget Unit 4041800000 EMERGENCY MEDICAL SERVICE AGCY</b>										
EH2120:	Emergency Medical Services Administrator	\$4,079	\$4,283	\$4,497	\$4,722	\$4,958	1	1	1	B-Senior Management Unrepresented
RM0226:	Management Analyst III	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039		1 *	1 *	C-Middle Management SJCMgmtAssc
RH0224:	EMSQuality Improvement/Trauma Coordinator	\$4,098	\$4,304	\$4,518	\$4,745	\$4,982	2	2	2	M-Registered Nurses CNA
RH0160:	Pre-Hospital Care Coordinator	\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	1	1	1	F-Paraprofessional & Technical SEIU
RH0222:	Emergency Medical Services Analyst	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	2	2	2	F-Paraprofessional & Technical SEIU
RH0223:	Emergency Medical Services Specialist	\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	2	2	2	F-Paraprofessional & Technical SEIU
RH0221:	Regional Disaster Medical Health Specialist	\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	1	1	1	F-Paraprofessional & Technical SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RO6700:	Office Technician/Coordinator	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>11</b>	<b>12 *</b>	<b>12 *</b>		
<b>Temporary (FTE)</b>						<b>0.4</b>	<b>*</b>	<b>*</b>		
<b>POSITION TOTAL</b>						<b>11.4</b>	<b>12 *</b>	<b>12 *</b>		
<b>Budget Unit 4042000000 ENVIRONMENTAL HEALTH</b>										
HA1200:	Director of Environmental Health	\$4,220	\$4,431	\$4,653	\$4,885	\$5,129	1	1	1	A-Executive Unrepresented
EA2300:	Assistant Director-Environmental Health	\$3,645	\$3,827	\$4,019	\$4,220	\$4,431	1	1	1	B-Senior Management Unrepresented
RM0610:	Environmental Health- Program Coordinator	\$3,179	\$3,338	\$3,506	\$3,681	\$3,866	6	6	6	C-Middle Management SJCMgmtAssc
- RA0604:	Lead Sr Reg Environmental Health Specialist	\$2,706	\$2,841	\$2,983	\$3,133	\$3,290	10	10	10	E-Professional SEIU
- RA0603:	Sr Reg Environmental Health Specialist	\$2,577	\$2,706	\$2,841	\$2,983	\$3,133	5	5	5	E-Professional SEIU
- RA0501:	Senior Registered Dairy Inspector	\$2,577	\$2,706	\$2,841	\$2,983	\$3,133	2	2	2	E-Professional SEIU
- RA0602:	Registered Environmental Health Specialist	\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	7	7	7	E-Professional SEIU
- RA0500:	Registered Dairy Inspector	\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	8	8	8	E-Professional SEIU
- RA0600:	Environmental Health Specialist	\$2,142	\$2,248	\$2,361	\$2,478	\$2,602	9	9	9	E-Professional SEIU
- RA0601:	Environmental Health Specialist Trainee	\$1,858	\$1,951	\$2,049	\$2,152	\$2,259	2	2	2	E-Professional SEIU
RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
RB4002:	Accountant II	\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
RP6012:	Hazardous Material Specialist II	\$2,110	\$2,215	\$2,326	\$2,442	\$2,564	2	2	2	H-Safety Investigative & Custodial SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	3	3	3	G-Office & Office Technical SEIU
RO2050:	Administrative Secretary	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	6	6	6	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	2	2	2	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>68</b>	<b>68</b>	<b>68</b>		
<b>Temporary (FTE)</b>						<b>3.0</b>	<b>0.8 *</b>	<b>0.8 *</b>		

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>POSITION TOTAL</b>						<b>71</b>	<b>68.8 *</b>	<b>68.8 *</b>		
<b>Budget Unit 4045415000 CALIFORNIA CHILDRENS SERVICES</b>										
	ES2203:Assistant Health Officer	\$6,142	\$6,450	\$6,773	\$7,111	\$7,466	1	1	1	B-Senior Management Unrepresented
	RM0810:Childrens Medical Services Manager	\$4,585	\$4,814	\$5,054	\$5,306	\$5,572	1	1	1	C-Middle Management SJCMgmtAssoc
	RH6510:Therapy Services Manager	\$3,645	\$3,827	\$4,019	\$4,220	\$4,431	1	1	1	C-Middle Management SJCMgmtAssoc
-	RH1813:Senior Public Health Nurse	\$3,960	\$4,158	\$4,366	\$4,585	\$4,814	2	2	2	M-Registered Nurses CNA
-	RH1812:Public Health Nurse II	\$3,771	\$3,960	\$4,158	\$4,366	\$4,585	3	3	3	M-Registered Nurses CNA
-	RH1811:Public Health Nurse I	\$3,681	\$3,866	\$4,059	\$4,262	\$4,475	2	2	2	M-Registered Nurses CNA
-	RH1800:Registered Nurse	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199				M-Registered Nurses CNA
-	RH1123:Staff Nurse III - Ambulatory	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	5	4 *	4 *	M-Registered Nurses CNA
-	RH6205:Physical Therapist IV Assistant Department Mgr	\$3,242	\$3,404	\$3,574	\$3,754	\$3,942	1	1	1	R-Supervisors Unit SEIU
-	RH6005:Occup Therapist IV-Assistant Department Mgr	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699	1	1	1	R-Supervisors Unit SEIU
-	RH6203:Physical Therapist-Senior	\$2,998	\$3,148	\$3,306	\$3,471	\$3,645	3	3	3	E-Professional SEIU
-	RH6003:Occupational Therapist-Senior	\$2,841	\$2,983	\$3,133	\$3,290	\$3,454	1	1	1	E-Professional SEIU
-	RH6202:Physical Therapist	\$2,719	\$2,854	\$2,998	\$3,148	\$3,306				E-Professional SEIU
-	RH6002:Occupational Therapist	\$2,552	\$2,679	\$2,813	\$2,954	\$3,102	1	1	1	E-Professional SEIU
-	RH6200:Physical Therapist Assistant	\$1,970	\$2,070	\$2,173	\$2,282	\$2,395	2	2	2	F-Paraprofessional & Technical SEIU
-	RH6000:Occupational Therapist Assistant	\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	1	1	1	F-Paraprofessional & Technical SEIU
-	RH6300:Therapist Aide	\$1,289	\$1,354	\$1,422	\$1,492	\$1,567	1	1	1	F-Paraprofessional & Technical SEIU
	RS0200:Case Manager	\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	1	1	1	E-Professional SEIU
	RO3012:Accounting Technician II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	*	*	G-Office & Office Technical SEIU
	RO1000:Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	2 *	2 *	R-Supervisors Unit SEIU
	RO6115:Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761		1 *	1 *	G-Office & Office Technical SEIU
-	RO6110:Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	16	16	16	G-Office & Office Technical SEIU
-	RO6105:Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>46</b>	<b>46</b>	<b>46</b>		
<b>Temporary (FTE)</b>						<b>1.9</b>	<b>1.9</b>	<b>1.9</b>		
<b>POSITION TOTAL</b>						<b>47.9</b>	<b>47.9</b>	<b>47.9</b>		
<b>Budget Unit 4049100000 CHILDREN &amp; FAMILIES PROGRAM</b>										
	ES1500:Children & Families Program Coordinator	\$4,039	\$4,241	\$4,453	\$4,675	\$4,910	1	1	1	B-Senior Management Unrepresented
	RB6210:Contracts Analyst	\$2,732	\$2,869	\$3,013	\$3,164	\$3,322	7	6 *	6 *	F-Paraprofessional & Technical SEIU
	RB4003:Accountant III	\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	1	1	1	F-Paraprofessional & Technical SEIU
	RB4002:Accountant II	\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
-	RB6001:Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454		1 *	1 *	F-Paraprofessional & Technical SEIU
-	RB6000:Junior Administrative Assistant	\$1,830	\$1,922	\$2,099	\$2,205	\$2,314				F-Paraprofessional & Technical SEIU

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
RO6700:Office Technician/Coordinator		\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	*	*	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678		1 *	1 *	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>							<b>11</b>	<b>11</b>	<b>11</b>	
<b>POSITION TOTAL</b>							<b>11</b>	<b>11</b>	<b>11</b>	
<b>Budget Unit 4049500000 HEALTH CARE SERVICES ADMIN</b>										
HH1100:Director Health Care Services		\$7,288	\$7,653	\$8,035	\$8,438	\$8,860	1	1	1	A-Executive Unrepresented
HH1105:Assistant Director- Health Care Services		\$5,710	\$5,996	\$6,295	\$6,610	\$6,941	1	1	1	A-Executive Unrepresented
EI2100:Chief Information Officer-HCS		\$4,325	\$4,541	\$4,768	\$5,006	\$5,256	1	1	1	B-Senior Management Unrepresented
RI1011:Department Information Systems Manager		\$3,999	\$4,199	\$4,409	\$4,630	\$4,861	1	1	1	C-Middle Management SJCMgmtAssc
RM0226:Management Analyst III		\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssc
RI1204:Department Applications Analyst IV		\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	E-Professional SEIU
RI1203:Department Applications Analyst III		\$3,388	\$3,558	\$3,735	\$3,922	\$4,118	1	1	1	E-Professional SEIU
- RI1202:Department Applications Analyst II		\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	4	4	4	E-Professional SEIU
- RI1201:Department Applications Analyst I		\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
RI1104:Department Information Systems Analyst IV		\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	E-Professional SEIU
RI1103:Department Information Systems Analyst III		\$3,388	\$3,558	\$3,735	\$3,922	\$4,118	2	2	2	E-Professional SEIU
- RI1102:Department Information Systems Analyst II		\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	5	5	5	E-Professional SEIU
- RI1101:Department Information Systems Analyst I		\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
- RB6512:Management Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6511:Management Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
RB5500:Departmental Personnel Analyst		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	D-Confidential Unrepresented
RI1303:Department Information Systems Specialist III		\$2,079	\$2,183	\$2,293	\$2,407	\$2,527				F-Paraprofessional & Technical SEIU
- RI1302:Department Information Systems Specialist II		\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	2	2	2	F-Paraprofessional & Technical SEIU
- RI1301:Department Information Systems Specialist I		\$1,886	\$1,980	\$2,079	\$2,183	\$2,293				F-Paraprofessional & Technical SEIU
RI1402:Department Information Systems Technician II		\$1,796	\$1,886	\$1,980	\$2,079	\$2,183	1	1	1	F-Paraprofessional & Technical SEIU
RI0110:Office Systems Specialist		\$1,645	\$1,727	\$1,813	\$1,904	\$1,999	1	1	1	F-Paraprofessional & Technical SEIU
RO2050:Administrative Secretary		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>							<b>27</b>	<b>27</b>	<b>27</b>	
<b>POSITION TOTAL</b>							<b>27</b>	<b>27</b>	<b>27</b>	
<b>Budget Unit 4049700000 OFFICE OF THE MEDICAL EXAMINER</b>										
EP5300:Chief Medical Examiner		-	-	-	-	\$8,461		1 *	1 *	B-Senior Management Unrepresented
EP5350:Medical Examiner Operations Administrator		\$3,809	\$3,999	\$4,199	\$4,409	\$4,630		1 *	1 *	B-Senior Management Unrepresented

**COUNTY OF SAN JOAQUIN**  
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**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
- RP5010:Medical Examiner Investigator		\$2,813	\$2,954	\$3,102	\$3,258	\$3,421		7 *	7 *	H-Safety Investigative & Custodial SEIU
- RP5005:Medical Examiner Investigator Trainee		\$2,552	\$2,679	\$2,813	\$2,954	\$3,102				H-Safety Investigative & Custodial SEIU
	RH4930:Medical Technician	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029		3 *	3 *	F-Paraprofessional & Technical SEIU
- RO6110:Senior Office Assistant		\$1,381	\$1,450	\$1,522	\$1,598	\$1,678		2 *	2 *	G-Office & Office Technical SEIU
- RO6105:Office Assistant		\$1,314	\$1,381	\$1,450	\$1,522	\$1,598		1 *	1 *	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>								<b>15 *</b>	<b>15 *</b>	
<b>POSITION TOTAL</b>								<b>15 *</b>	<b>15 *</b>	
<b>Budget Unit 5050101000 HUMAN SERVICES-ADMIN</b>										
	HS1150:Director of Human Services	\$6,643	\$6,975	\$7,324	\$7,690	\$8,074	1	1	1	A-Executive Unrepresented
	ES1155:Assist Director of Human Services	\$4,958	\$5,206	\$5,466	\$5,738	\$6,026	1	1	1	B-Senior Management Unrepresented
	EB2100:Deputy Director of HSA	\$4,178	\$4,387	\$4,606	\$4,837	\$5,078	5	5	5	B-Senior Management Unrepresented
	RI1011:Department Information Systems Manager	\$3,999	\$4,199	\$4,409	\$4,630	\$4,861	1	1	1	C-Middle Management SJCMgmtAssoc
	RM0800:Child Welfare Division Chief	\$3,866	\$4,059	\$4,262	\$4,475	\$4,698	4	4	4	C-Middle Management SJCMgmtAssoc
	RM0224:Management Services Admin	\$3,558	\$3,735	\$3,922	\$4,118	\$4,325	1	1	1	C-Middle Management SJCMgmtAssoc
	RM0226:Management Analyst III	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	3	3	3	C-Middle Management SJCMgmtAssoc
	RM1153:Program Manager	\$3,258	\$3,421	\$3,592	\$3,771	\$3,960	12	12	12	C-Middle Management SJCMgmtAssoc
	RB3010:Accounting Manager	\$3,117	\$3,273	\$3,437	\$3,609	\$3,790	1	1	1	C-Middle Management SJCMgmtAssoc
	RL2085:Supervisor Child Protective Services Counsel	\$5,332	\$5,598	\$5,878	\$6,172	\$6,481	1	1	1	D-Confidential Unrepresented
-	RL2084:Child Protective Services Counsel IV	\$4,982	\$5,230	\$5,492	\$5,766	\$6,054	1	1	1	D-Confidential Unrepresented
-	RL2083:Child Protective Services Counsel III	\$4,304	\$4,518	\$4,745	\$4,982	\$5,230	1	1	1	D-Confidential Unrepresented
-	RL2082:Child Protective Services Counsel II	\$3,437	\$3,609	\$3,790	\$3,979	\$4,178	1	1	1	D-Confidential Unrepresented
-	RL2081:Child Protective Services Counsel I	\$2,826	\$2,968	\$3,117	\$3,273	\$3,437				D-Confidential Unrepresented
	RI1203:Department Applications Analyst III	\$3,388	\$3,558	\$3,735	\$3,922	\$4,118	1	1	1	E-Professional SEIU
-	RI1102:Department Information Systems Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609				E-Professional SEIU
-	RI1101:Department Information Systems Analyst I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940	1	1	1	E-Professional SEIU
-	RS0122:HSA Program Supervisor II	\$2,926	\$3,072	\$3,226	\$3,388	\$3,558	5	5	5	R-Supervisors Unit SEIU
-	RS0121:HSA Program Supervisor I	\$2,349	\$2,466	\$2,590	\$2,719	\$2,854				R-Supervisors Unit SEIU
	RB6211:Contracts Supervisor	\$2,926	\$3,072	\$3,226	\$3,388	\$3,558	1	1	1	R-Supervisors Unit SEIU
	RB6210:Contracts Analyst	\$2,732	\$2,869	\$3,013	\$3,164	\$3,322	4	4	4	F-Paraprofessional & Technical SEIU
-	RB6202:HSA Staff Analyst II	\$2,732	\$2,869	\$3,013	\$3,164	\$3,322	21	21	21	F-Paraprofessional & Technical SEIU
-	RB6201:HSA Staff Analyst I	\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	7	7	7	F-Paraprofessional & Technical SEIU
	RB5500:Departmental Personnel Analyst	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	D-Confidential Unrepresented
-	RB6512:Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242				F-Paraprofessional & Technical SEIU
-	RB6511:Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	F-Paraprofessional & Technical SEIU
	RS3415:Social Worker Supervisor II	\$2,615	\$2,746	\$2,883	\$3,027	\$3,179	33	33	33	R-Supervisors Unit SEIU

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RL3041:Welfare Fraud Specialist II		\$2,577	\$2,706	\$2,841	\$2,983	\$3,133	1	1	1	H-Safety Investigative & Custodial SEIU
- RL3040:Welfare Fraud Specialist I		\$2,142	\$2,248	\$2,361	\$2,478	\$2,602	3	3	3	H-Safety Investigative & Custodial SEIU
- RS3405:Social Worker V		\$2,442	\$2,564	\$2,692	\$2,826	\$2,968	55	55	55	E-Professional SEIU
- RS3404:Social Worker IV		\$2,326	\$2,442	\$2,564	\$2,692	\$2,826	99	99	99	F-Paraprofessional & Technical SEIU
- RS3403:Social Worker III		\$2,194	\$2,303	\$2,418	\$2,539	\$2,666	14	14	14	F-Paraprofessional & Technical SEIU
- RS3402:Social Worker II		\$1,932	\$2,029	\$2,130	\$2,238	\$2,349	29	32 *	32 *	F-Paraprofessional & Technical SEIU
- RS3401:Social Worker I		\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	48	48	48	F-Paraprofessional & Technical SEIU
RS3410:Social Worker Supervisor I		\$2,442	\$2,564	\$2,692	\$2,826	\$2,968	8	8	8	R-Supervisors Unit SEIU
RI1303:Department Information Systems Specialist III		\$2,349	\$2,466	\$2,590	\$2,719	\$2,854	2	2	2	F-Paraprofessional & Technical SEIU
RS5003:Employment Training Supervisor		\$2,259	\$2,372	\$2,490	\$2,615	\$2,746	5	5	5	R-Supervisors Unit SEIU
- RB4002:Accountant II		\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
- RB4001:Accountant I		\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	3	3	3	F-Paraprofessional & Technical SEIU
RS0111:Benefits Systems Supervisor		\$2,226	\$2,338	\$2,454	\$2,577	\$2,706	1	1	1	R-Supervisors Unit SEIU
RS1075:Shelter Social Worker		\$2,142	\$2,248	\$2,361	\$2,478	\$2,602	2	2	2	H-Safety Investigative & Custodial SEIU
RS0105:Eligibility Supervisor		\$2,120	\$2,226	\$2,338	\$2,454	\$2,577	61	61	61	R-Supervisors Unit SEIU
RS0110:Benefits Systems Specialist		\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	2	2	2	F-Paraprofessional & Technical SEIU
- RI1302:Department Information Systems Specialist II		\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	5	5	5	F-Paraprofessional & Technical SEIU
- RI1301:Department Information Systems Specialist I		\$1,886	\$1,980	\$2,079	\$2,183	\$2,293				F-Paraprofessional & Technical SEIU
RO3012:Accounting Technician II		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	3	3	3	G-Office & Office Technical SEIU
RO2060:Executive Secretary		\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
- RS5002:Employment Training Specialist II		\$1,886	\$1,980	\$2,079	\$2,183	\$2,293	24	24	24	F-Paraprofessional & Technical SEIU
- RS5001:Employment Training Specialist I		\$1,710	\$1,796	\$1,886	\$1,980	\$2,079	10	10	10	F-Paraprofessional & Technical SEIU
RS0103:Eligibility Worker III		\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	26	26	26	F-Paraprofessional & Technical SEIU
RO1500:Senior Administrative Supervisor		\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	3	3	3	R-Supervisors Unit SEIU
RC2012:Storekeeper II		\$1,753	\$1,840	\$1,932	\$2,029	\$2,130	1	1	1	R-Supervisors Unit SEIU
- RS0102:Eligibility Worker II		\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	341	341	341	F-Paraprofessional & Technical SEIU
- RS0101:Eligibility Worker I		\$1,414	\$1,485	\$1,559	\$1,637	\$1,718	90	90	90	F-Paraprofessional & Technical SEIU
- RO4602:Collections Clerk II		\$1,645	\$1,727	\$1,813	\$1,904	\$1,999	1	1	1	G-Office & Office Technical SEIU
- RO4601:Collections Clerk I		\$1,408	\$1,478	\$1,552	\$1,630	\$1,710	3	3	3	G-Office & Office Technical SEIU
RO3011:Accounting Technician I		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	13	13	13	G-Office & Office Technical SEIU
RO2050:Administrative Secretary		\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	2	2	2	G-Office & Office Technical SEIU
- RC0402:Crafts Worker II		\$1,630	\$1,710	\$1,796	\$1,886	\$1,980	1	1	1	I-Trades Labor & Institutional SEIU
- RC0401:Crafts Worker I		\$1,478	\$1,552	\$1,630	\$1,710	\$1,796				I-Trades Labor & Institutional SEIU
RL0302:Legal Technician II		\$1,582	\$1,661	\$1,744	\$1,830	\$1,922	1	1	1	G-Office & Office Technical SEIU
RC2011:Storekeeper I		\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	1	1	1	I-Trades Labor & Institutional SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RC1502:	Lead Transportation Worker	\$1,537	\$1,614	\$1,694	\$1,778	\$1,867	1	1	1	H-Safety Investigative & Custodial SEIU
RO2000:	Office Secretary	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	2	2	2	G-Office & Office Technical SEIU
RO1000:	Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	8	9 *	9 *	R-Supervisors Unit SEIU
RO7302:	Senior Support Services Technician	\$1,522	\$1,598	\$1,678	\$1,761	\$1,849	1	1	1	G-Office & Office Technical SEIU
RL0301:	Legal Technician I	\$1,499	\$1,574	\$1,653	\$1,735	\$1,822	2	2	2	G-Office & Office Technical SEIU
RC1501:	Transportation Worker	\$1,464	\$1,537	\$1,614	\$1,694	\$1,778	4	4	4	H-Safety Investigative & Custodial SEIU
RO6120:	Department Payroll Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	2	2	2	G-Office & Office Technical SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	11	11	11	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	125	125	125	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	34	34	34	G-Office & Office Technical SEIU
RC2001:	Stock Clerk II	\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	4	4	4	I-Trades Labor & Institutional SEIU
- RO7301:	Support Services Technician II	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
- RO7300:	Support Services Technician I	\$1,234	\$1,295	\$1,360	\$1,428	\$1,499				G-Office & Office Technical SEIU
RS0140:	Teaching & Demonstrating Homemaker	\$1,205	\$1,265	\$1,328	\$1,394	\$1,464	2	2	2	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>1,165</b>	<b>1,169 *</b>	<b>1,169 *</b>		
<b>Temporary (FTE)</b>						<b>1.8</b>	<b>2.7 *</b>	<b>2.7 *</b>		
<b>POSITION TOTAL</b>						<b>1,166.8</b>	<b>1,171.7 *</b>	<b>1,171.7 *</b>		
<b>Budget Unit 5053900000 MARY GRAHAM CHILDRENS SHELTER</b>										
ES2150:	Director Mary Graham Children's Shelter	\$3,979	\$4,178	\$4,387	\$4,606	\$4,837	1	1	1	B-Senior Management Unrepresented
- RB6202:	HSA Staff Analyst II	\$2,732	\$2,869	\$3,013	\$3,164	\$3,322				F-Paraprofessional & Technical SEIU
- RB6201:	HSA Staff Analyst I	\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	1	1	1	F-Paraprofessional & Technical SEIU
RS1082:	Shelter Supervisor II	\$2,628	\$2,759	\$2,898	\$3,042	\$3,195	3	3	3	H-Safety Investigative & Custodial SEIU
RS1081:	Shelter Supervisor I	\$2,326	\$2,442	\$2,564	\$2,692	\$2,826	6	6	6	H-Safety Investigative & Custodial SEIU
- RB6002:	Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813				F-Paraprofessional & Technical SEIU
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
RS1075:	Shelter Social Worker	\$2,142	\$2,248	\$2,361	\$2,478	\$2,602	8	8	8	H-Safety Investigative & Custodial SEIU
RC0403:	Crafts Worker III	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	1	1	1	I-Trades Labor & Institutional SEIU
- RS1072:	Shelter Counselor II	\$2,039	\$2,142	\$2,248	\$2,361	\$2,478	15	15	15	H-Safety Investigative & Custodial SEIU
- RS1071:	Shelter Counselor I	\$1,735	\$1,822	\$1,913	\$2,009	\$2,110	3	3	3	H-Safety Investigative & Custodial SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RO6700:	Office Technician/Coordinator	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	2	2	2	G-Office & Office Technical SEIU
RF0300:	Housekeeping Service Worker	\$1,187	\$1,246	\$1,308	\$1,374	\$1,442	3	3	3	I-Trades Labor & Institutional SEIU
<b>ALLOCATED TOTAL</b>						<b>48</b>	<b>48</b>	<b>48</b>		





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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
HS1250:	Director Employment & Economic Development	\$4,346	\$4,562	\$4,790	\$5,030	\$5,282	1	1	1	A-Executive Unrepresented
ES2260:	Deputy Director-Employment & Training	\$3,627	\$3,809	\$3,999	\$4,199	\$4,409	1	1	1	B-Senior Management Unrepresented
ES2255:	EEDD Economic Development Director	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	1	1	1	B-Senior Management Unrepresented
RM1173:	EEDD Admin. Services Manager	\$3,273	\$3,437	\$3,609	\$3,790	\$3,979	1	2 *	1	C-Middle Management SJCMgmtAssc
RB3010:	Accounting Manager	\$3,117	\$3,273	\$3,437	\$3,609	\$3,790	1	1	1	C-Middle Management SJCMgmtAssc
RM1171:	EEDD Division Manager	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699	2	3 *	2	C-Middle Management SJCMgmtAssc
RI1104:	Department Information Systems Analyst IV	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	E-Professional SEIU
RI1103:	Department Information Systems Analyst III	\$3,388	\$3,558	\$3,735	\$3,922	\$4,118	1	1	1	E-Professional SEIU
- RI1102:	Department Information Systems Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	2	2	2	E-Professional SEIU
- RI1101:	Department Information Systems Analyst I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
RB6303:	EEDD Analyst III	\$2,654	\$2,786	\$2,926	\$3,072	\$3,226	2	2	2	F-Paraprofessional & Technical SEIU
RB4003:	Accountant III	\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	1	1	1	F-Paraprofessional & Technical SEIU
RB0600:	Business Loan Officer	\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	1	1	1	F-Paraprofessional & Technical SEIU
- RB6302:	EEDD Analyst II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	2	2	2	F-Paraprofessional & Technical SEIU
- RB6301:	EEDD Analyst I	\$2,099	\$2,205	\$2,314	\$2,430	\$2,552	1	1	1	F-Paraprofessional & Technical SEIU
RS5003:	Employment Training Supervisor	\$2,259	\$2,372	\$2,490	\$2,615	\$2,746	5	5	5	R-Supervisors Unit SEIU
- RB4002:	Accountant II	\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
- RB4001:	Accountant I	\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	1	1	1	F-Paraprofessional & Technical SEIU
RO3012:	Accounting Technician II	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RO2060:	Executive Secretary	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
- RS5512:	EEDD Employment Services Specialist II	\$1,886	\$1,980	\$2,079	\$2,183	\$2,293	2	2	2	F-Paraprofessional & Technical SEIU
- RS5511:	EEDD Employment Services Specialist I	\$1,710	\$1,796	\$1,886	\$1,980	\$2,079	2	2	2	F-Paraprofessional & Technical SEIU
- RS5002:	Employment Training Specialist II	\$1,886	\$1,980	\$2,079	\$2,183	\$2,293	14	14	14	F-Paraprofessional & Technical SEIU
- RS5001:	Employment Training Specialist I	\$1,710	\$1,796	\$1,886	\$1,980	\$2,079	8	8	8	F-Paraprofessional & Technical SEIU
RC2015:	EEDD Facilities Coordinator	\$1,840	\$1,932	\$2,029	\$2,130	\$2,238	1	1	1	R-Supervisors Unit SEIU
RO1500:	Senior Administrative Supervisor	\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	1	1	1	R-Supervisors Unit SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	3	3	3	G-Office & Office Technical SEIU
RO2000:	Office Secretary	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	1	1	1	G-Office & Office Technical SEIU
- RS5502:	EEDD Intake & Referral Specialist II	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	4	4	4	G-Office & Office Technical SEIU
- RS5501:	EEDD Intake & Referral Specialist I	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	3	3	3	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	3	3	3	G-Office & Office Technical SEIU
RC2001:	Stock Clerk II	\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	1	1	1	I-Trades Labor & Institutional SEIU
<b>ALLOCATED TOTAL</b>						<b>71</b>	<b>73 *</b>	<b>71</b>		
<b>Temporary (FTE)</b>						<b>7.4</b>	<b>11.4 *</b>	<b>11.4 *</b>		

COUNTY OF SAN JOAQUIN

POSITION BUDGET DETAIL  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
<b>POSITION TOTAL</b>						<b>78.4</b>	<b>84.4 *</b>	<b>82.4 *</b>		
<b>Budget Unit 5055246000 NEIGHBORHOOD PRESERVATION</b>										
EE2110:	Deputy Dir-Programs and Administration	\$4,079	\$4,283	\$4,497	\$4,722	\$4,958	1	1	1	B-Senior Management Unrepresented
RM0226:	Management Analyst III	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssoc
- RC0203:	Sr Housing Rehab Specialist	\$2,732	\$2,869	\$3,013	\$3,164	\$3,322				R-Supervisors Unit SEIU
- RC0201:	Housing Rehab Specialist I	\$2,361	\$2,478	\$2,602	\$2,732	\$2,869	1	1	1	I-Trades Labor & Institutional SEIU
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
- RB4002:	Accountant II	\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
- RB4001:	Accountant I	\$1,904	\$1,999	\$2,099	\$2,205	\$2,314				F-Paraprofessional & Technical SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>7</b>	<b>7</b>	<b>7</b>		
<b>POSITION TOTAL</b>						<b>7</b>	<b>7</b>	<b>7</b>		
<b>Budget Unit 5055600000 VETERANS SERVICE OFFICE</b>										
EB2156:	Deputy Director Veterans Services	\$2,786	\$2,926	\$3,072	\$3,226	\$3,388	1	1	1	B-Senior Management Unrepresented
- RB0802:	Veterans Service Representative II	\$1,744	\$1,830	\$1,922	\$2,019	\$2,120	1	1	1	F-Paraprofessional & Technical SEIU
- RB0801:	Veterans Service Representative I	\$1,478	\$1,552	\$1,630	\$1,710	\$1,796	1	1	1	F-Paraprofessional & Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>4</b>	<b>4</b>	<b>4</b>		
<b>Temporary (FTE)</b>						<b>2</b>	<b>2.3 *</b>	<b>2.3 *</b>		
<b>POSITION TOTAL</b>						<b>6</b>	<b>6.3 *</b>	<b>6.3 *</b>		
<b>Budget Unit 6061500000 COOPERATIVE EXTENSION</b>										
RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226	1	1	1	F-Paraprofessional & Technical SEIU
RA0101:	Agricultural Field & LabTech II	\$1,499	\$1,574	\$1,653	\$1,735	\$1,822	1	1	1	I-Trades Labor & Institutional SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>4</b>	<b>4</b>	<b>4</b>		
<b>POSITION TOTAL</b>						<b>4</b>	<b>4</b>	<b>4</b>		
<b>Budget Unit 7070300000 PARKS AND RECREATION</b>										
EC2202:	Parks Administrator	\$3,558	\$3,735	\$3,922	\$4,118	\$4,325	1	1	1	B-Senior Management Unrepresented
RA0702:	Zoo & Interpretive Services Manager	\$2,813	\$2,954	\$3,102	\$3,258	\$3,421	1	1	1	C-Middle Management SJCMgmtAssoc
RC0803:	Park Maintenance Supervisor	\$2,059	\$2,162	\$2,270	\$2,384	\$2,503	1	1	1	C-Middle Management SJCMgmtAssoc
RM0100:	Parks Marketing & Promotion Specialist	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	E-Professional SEIU

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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RM0140:	Zoo Curator	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226	1	1	1	R-Supervisors Unit SEIU
RC0801:	Senior Park Worker	\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	2	2	2	R-Supervisors Unit SEIU
RC3003:	Park Equipment Mechanic	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	1	1	1	I-Trades Labor & Institutional SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RP0730:	Labor Crew Leader	\$1,622	\$1,702	\$1,787	\$1,876	\$1,970	1	1	1	I-Trades Labor & Institutional SEIU
RA0710:	Senior Animal Care Specialist	\$1,614	\$1,694	\$1,778	\$1,867	\$1,961	1	1	1	F-Paraprofessional & Technical SEIU
RC0800:	Park Worker	\$1,537	\$1,614	\$1,694	\$1,778	\$1,867	17	17	17	I-Trades Labor & Institutional SEIU
RA0705:	Animal Care Specialist	\$1,537	\$1,614	\$1,694	\$1,778	\$1,867	5	5	5	F-Paraprofessional & Technical SEIU
RC0805:	Park Fee Coordinator	\$1,478	\$1,552	\$1,630	\$1,710	\$1,796	1	1	1	I-Trades Labor & Institutional SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	2	2	2	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>36</b>	<b>36</b>	<b>36</b>		
<b>Temporary (FTE)</b>						<b>9.1</b>	<b>9.1</b>	<b>9.1</b>		
<b>POSITION TOTAL</b>						<b>45.1</b>	<b>45.1</b>	<b>45.1</b>		
<b>Budget Unit 8190000000 FLEET SERVICES</b>										
RC1016:	Fleet Manager	\$3,592	\$3,771	\$3,960	\$4,158	\$4,366	1	1	1	C-Middle Management SJCMgmtAssoc
RM0226:	Management Analyst III	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	1	1	1	C-Middle Management SJCMgmtAssoc
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-Paraprofessional & Technical SEIU
RC1002:	Equipment Maintenance Foreman	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641	1	1	1	R-Supervisors Unit SEIU
RC1001:	Automotive Maintenance Foreman	\$2,130	\$2,238	\$2,349	\$2,466	\$2,590	2	2	2	R-Supervisors Unit SEIU
RC2025:	Equipment Parts Room Supervisor	\$2,009	\$2,110	\$2,215	\$2,326	\$2,442		1 *	1 *	R-Supervisors Unit SEIU
RC3000:	Automotive Mechanic	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	8	9 *	9 *	I-Trades Labor & Institutional SEIU
RC3005:	Automotive Mechanic Apprentice	\$1,718	\$1,804	\$1,894	\$1,990	\$2,090				I-Trades Labor & Institutional SEIU
RC3001:	Heavy Equipment Mechanic	\$2,029	\$2,130	\$2,238	\$2,349	\$2,466	7	7	7	I-Trades Labor & Institutional SEIU
RC3007:	Heavy Equipment Mechanic Apprentice	\$1,840	\$1,932	\$2,029	\$2,130	\$2,238				I-Trades Labor & Institutional SEIU
RC0603:	Equipment Service Worker III	\$1,661	\$1,744	\$1,830	\$1,922	\$2,019	2	2	2	I-Trades Labor & Institutional SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	3	3	3	G-Office & Office Technical SEIU
RC2024:	Fleet Parts Specialist	\$1,630	\$1,710	\$1,796	\$1,886	\$1,980	3	3	3	I-Trades Labor & Institutional SEIU
RC0602:	Equipment Service Worker II	\$1,544	\$1,622	\$1,702	\$1,787	\$1,876	3	3	3	I-Trades Labor & Institutional SEIU
RC1565:	Motor Pool Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
RC0601:	Equipment Service Worker I	\$1,401	\$1,470	\$1,544	\$1,622	\$1,702	4	4	4	I-Trades Labor & Institutional SEIU
RC1551:	Garage Attendant	\$1,270	\$1,334	\$1,401	\$1,470	\$1,544	1	1	1	I-Trades Labor & Institutional SEIU
<b>ALLOCATED TOTAL</b>						<b>38</b>	<b>40 *</b>	<b>40 *</b>		
<b>POSITION TOTAL</b>						<b>38</b>	<b>40 *</b>	<b>40 *</b>		

**COUNTY OF SAN JOAQUIN**  
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**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
<b>Budget Unit 8240000000 INFORMATION SYSTEMS DIV - ISF</b>										
HI1400:	Information Sys Director/Chief Information Officer	\$6,054	\$6,358	\$6,675	\$7,009	\$7,359	1	1	1	A-Executive Unrepresented
EI2400:	Information Systems Assistant Director	\$4,790	\$5,030	\$5,282	\$5,545	\$5,822	2	2	2	B-Senior Management Unrepresented
RI1010:	Information Systems Manager	\$4,138	\$4,346	\$4,562	\$4,790	\$5,030	4	4	4	D-Confidential Unrepresented
RI1505:	Information Systems Analyst V	\$4,019	\$4,220	\$4,431	\$4,653	\$4,885	2	2	2	D-Confidential Unrepresented
RI1011:	Department Information Systems Manager	\$3,999	\$4,199	\$4,409	\$4,630	\$4,861		1 *	1 *	C-Middle Management SJCMgmtAssoc
RI1510:	InformationSystems Analyst V-Security Officer	\$4,019	\$4,220	\$4,431	\$4,653	\$4,885	1	1	1	E-Professional SEIU
RI1005:	Information Systems Analyst V	\$4,019	\$4,220	\$4,431	\$4,653	\$4,885	7	7	7	E-Professional SEIU
RI1104:	Department Information Systems Analyst IV	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	E-Professional SEIU
- RI1004:	Information Systems Analyst IV	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	14	14	14	E-Professional SEIU
- RI1003:	Information Systems Analyst III	\$3,388	\$3,558	\$3,735	\$3,922	\$4,118	20	20	20	E-Professional SEIU
- RI1002:	Information Systems Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	9	9	9	E-Professional SEIU
- RI1001:	Information Systems Analyst I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940	10	10	10	E-Professional SEIU
- RI1102:	Department Information Systems Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	3	4 *	4 *	E-Professional SEIU
- RI1101:	Department Information Systems Analyst I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
RI1020:	InformationSystems Operations Shift Supervisor	\$2,602	\$2,732	\$2,869	\$3,013	\$3,164	1	1	1	R-Supervisors Unit SEIU
RI1323:	Information Systems Specialist III	\$2,349	\$2,466	\$2,590	\$2,719	\$2,854	3	3	3	F-Paraprofessional & Technical SEIU
- RB6002:	Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	2 *	2 *	F-Paraprofessional & Technical SEIU
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454				F-Paraprofessional & Technical SEIU
- RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
- RI1322:	Information Systems Specialist II	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527				F-Paraprofessional & Technical SEIU
- RI1302:	Department Information Systems Specialist II	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	2	2	2	F-Paraprofessional & Technical SEIU
- RI1321:	InformationSystems Specialist I	\$1,886	\$1,980	\$2,079	\$2,183	\$2,293	1	1	1	F-Paraprofessional & Technical SEIU
- RI1301:	Department Information Systems Specialist I	\$1,886	\$1,980	\$2,079	\$2,183	\$2,293				F-Paraprofessional & Technical SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990		1 *	1 *	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598				G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>83</b>	<b>87 *</b>	<b>87 *</b>		
<b>POSITION TOTAL</b>						<b>83</b>	<b>87 *</b>	<b>87 *</b>		
<b>Budget Unit 8260000000 CENTRAL TELEPHONE</b>										
RI1010:	Information Systems Manager	\$4,138	\$4,346	\$4,562	\$4,790	\$5,030	1	1	1	D-Confidential Unrepresented
RI1005:	Information Systems Analyst V	\$4,019	\$4,220	\$4,431	\$4,653	\$4,885	1	1	1	E-Professional SEIU
- RI1004:	Information Systems Analyst IV	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497				E-Professional SEIU
- RI1003:	Information Systems Analyst III	\$3,388	\$3,558	\$3,735	\$3,922	\$4,118	4	4	4	E-Professional SEIU
- RI1002:	Information Systems Analyst II	\$2,968	\$3,117	\$3,273	\$3,437	\$3,609				E-Professional SEIU

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RI1001:	Information Systems Analyst I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940	1	1	1	E-Professional SEIU
- RI1323:	Information Systems Specialist III	\$2,349	\$2,466	\$2,590	\$2,719	\$2,854	1	1	1	F-Paraprofessional & Technical SEIU
- RI1322:	Information Systems Specialist II	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527				F-Paraprofessional & Technical SEIU
- RI1321:	Information Systems Specialist I	\$1,886	\$1,980	\$2,079	\$2,183	\$2,293		2	2	F-Paraprofessional & Technical SEIU
- RB6002:	Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	*	*	F-Paraprofessional & Technical SEIU
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454				F-Paraprofessional & Technical SEIU
- RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	*	*	G-Office & Office Technical SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	G-Office & Office Technical SEIU
<b>ALLOCATED TOTAL</b>						<b>13</b>	<b>11 *</b>	<b>11 *</b>		
<b>POSITION TOTAL</b>						<b>13</b>	<b>11 *</b>	<b>11 *</b>		
<b>Budget Unit 9210000000 SW ENTERPRISE FD-ROLLUP</b>										
EC2160:	Integrated Waste Manager	\$4,178	\$4,387	\$4,606	\$4,837	\$5,078	1	1	1	B-Senior Management Unrepresented
RE1005:	Engineer V	\$4,745	\$4,982	\$5,230	\$5,492	\$5,766	1	1	1	C-Middle Management SJCMgmtAssoc
RM0226:	Management Analyst III	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	2	2	2	C-Middle Management SJCMgmtAssoc
RM0325:	Solid Waste Operations Manage	\$3,258	\$3,421	\$3,592	\$3,771	\$3,960	1	1	1	C-Middle Management SJCMgmtAssoc
RC1007:	Solid Waste Site Manager	\$2,442	\$2,564	\$2,692	\$2,826	\$2,968	2	2	2	C-Middle Management SJCMgmtAssoc
- RE1004:	Engineer IV	\$3,242	\$3,404	\$3,574	\$3,754	\$3,942				E-Professional SEIU
- RE1003:	Engineer III	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	1	1	1	E-Professional SEIU
- RE1002:	Engineer II	\$2,799	\$2,940	\$3,087	\$3,242	\$3,404	1	1	1	E-Professional SEIU
- RE1001:	Engineer I	\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
- RB6512:	Management Analyst II	\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	2	2	2	F-Paraprofessional & Technical SEIU
- RB6511:	Management Analyst I	\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	1	1	1	F-Paraprofessional & Technical SEIU
RE0112:	Engineering Assistant II	\$2,454	\$2,577	\$2,706	\$2,841	\$2,983	1	1	1	F-Paraprofessional & Technical SEIU
- RB6002:	Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813				F-Paraprofessional & Technical SEIU
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
- RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226	1	1	1	F-Paraprofessional & Technical SEIU
- RB4002:	Accountant II	\$2,238	\$2,349	\$2,466	\$2,590	\$2,719				F-Paraprofessional & Technical SEIU
- RB4001:	Accountant I	\$1,904	\$1,999	\$2,099	\$2,205	\$2,314	1	1	1	F-Paraprofessional & Technical SEIU
RC1005:	Equipment Operator Foreman	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641	1	1	1	I-Trades Labor & Institutional SEIU
RC1002:	Equipment Maintenance Foreman	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641		1 *	1 *	R-Supervisors Unit SEIU
RC0740:	Household Hazardous Waste Operations Coord	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641	1	1	1	I-Trades Labor & Institutional SEIU
RC0720:	Solid Waste Recovery Supervisor	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641	5	5	5	R-Supervisors Unit SEIU
RC1581:	Senior Transfer Truck Driver	\$2,130	\$2,238	\$2,349	\$2,466	\$2,590	1	1	1	R-Supervisors Unit SEIU
RC3001:	Heavy Equipment Mechanic	\$2,029	\$2,130	\$2,238	\$2,349	\$2,466	4	4	4	I-Trades Labor & Institutional SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RC1580:	Transfer Truck Driver	\$1,999	\$2,099	\$2,205	\$2,314	\$2,430	10	11 *	11 *	I-Trades Labor & Institutional SEIU
RC0652:	Equipment Operator II	\$1,999	\$2,099	\$2,205	\$2,314	\$2,430	6	6	6	I-Trades Labor & Institutional SEIU
RC0651:	Equipment Operator I	\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	3	3	3	I-Trades Labor & Institutional SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	2	2	2	G-Office & Office Technical SEIU
RC0715:	Sr Solid Waste Recovery Worker	\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	12	12	12	I-Trades Labor & Institutional SEIU
RO1000:	Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	3	3	3	R-Supervisors Unit SEIU
RO3000:	Cashier Clerk	\$1,478	\$1,552	\$1,630	\$1,710	\$1,796	8	8	8	G-Office & Office Technical SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	1	1	1	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	1	1	1	G-Office & Office Technical SEIU
RC0710:	Solid Waste Recovery Worker	\$1,222	\$1,283	\$1,347	\$1,414	\$1,485	16	16	16	I-Trades Labor & Institutional SEIU
<b>ALLOCATED TOTAL</b>						<b>90</b>	<b>92 *</b>	<b>92 *</b>		
<b>Temporary (FTE)</b>						<b>7.7</b>	<b>6.9 *</b>	<b>6.9 *</b>		
<b>POSITION TOTAL</b>						<b>98</b>	<b>98.9 *</b>	<b>98.9 *</b>		
<b>Budget Unit 9221100000 S J GENERAL HOSPITAL</b>										
EH3101:	Hospital Chief Executive Officer	\$12,313	-	\$13,576	-	\$14,967	1	1	1	A-Executive Unrepresented
EH3102:	Hospital Chief Financial Officer	\$8,421	-	\$9,284	-	\$10,236	1	1	1	A-Executive Unrepresented
EH3105:	Hospital Chief Operating Officer	\$7,231	-	\$7,972	-	\$8,789	1	1	1	A-Executive Unrepresented
EH3103:	Hospital Chief Nursing Officer	\$7,231	-	\$7,972	-	\$8,789	1	1	1	A-Executive Unrepresented
EH2150:	Deputy Director-Trauma	\$4,562	\$4,790	\$5,030	\$5,282	\$5,545	1	1	1	B-Senior Management Unrepresented
EH2115:	Perioperative Services Director	\$4,562	\$4,790	\$5,030	\$5,282	\$5,545	1	1	1	B-Senior Management Unrepresented
EH2105:	Deputy Director-SJGH Nursing	\$4,562	\$4,790	\$5,030	\$5,282	\$5,545	2	2	2	B-Senior Management Unrepresented
EH2104:	Sr Deputy Dir-SJGH Clinical	\$4,366	\$4,585	\$4,814	\$5,054	\$5,306	1	*	*	B-Senior Management Unrepresented
- EH2106:	Deputy Director II-SJGH	\$4,079	\$4,283	\$4,497	\$4,722	\$4,958	2	2	2	B-Senior Management Unrepresented
- EH2107:	Deputy Director I-SJGH	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	1	1	1	B-Senior Management Unrepresented
EB5000:	Deputy Director-Hospital Human Resources	\$4,079	\$4,283	\$4,497	\$4,722	\$4,958	1	1	1	B-Senior Management Unrepresented
EH2100:	Deputy Finance Director-HCS	\$3,942	\$4,138	\$4,346	\$4,562	\$4,790	2	2	2	B-Senior Management Unrepresented
RH4330:	Hospital Pharmacy Manager	\$5,598	\$5,878	\$6,172	\$6,481	\$6,805	1	1	1	C-Middle Management SJCMgmtAssoc
RH1300:	Nursing Department Manager - Inpatient	\$4,518	\$4,745	\$4,982	\$5,230	\$5,492	10	10	10	C-Middle Management SJCMgmtAssoc
RH1320:	Nursing Department Manager - Ambulatory	\$4,304	\$4,518	\$4,745	\$4,982	\$5,230	1	1	1	C-Middle Management SJCMgmtAssoc
RH0175:	Clinical Education Coordinator	\$4,059	\$4,262	\$4,475	\$4,698	\$4,934	1	1	1	C-Middle Management SJCMgmtAssoc
RI1011:	DepartmentInformationSystemsManager	\$3,999	\$4,199	\$4,409	\$4,630	\$4,861	1	*	*	C-Middle Management SJCMgmtAssoc
RH5200:	Manager of Diagnostic Imaging	\$3,922	\$4,118	\$4,325	\$4,541	\$4,768	1	1	1	C-Middle Management SJCMgmtAssoc
RH6510:	Therapy Services Manager	\$3,645	\$3,827	\$4,019	\$4,220	\$4,431	1	1	1	C-Middle Management SJCMgmtAssoc
RM0205:	Manager of Patient Financial Services	\$3,558	\$3,735	\$3,922	\$4,118	\$4,325	1	1	1	C-Middle Management SJCMgmtAssoc
RH0131:	Health Information Administrator	\$3,506	\$3,681	\$3,866	\$4,059	\$4,262	1	1	1	C-Middle Management SJCMgmtAssoc

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RM0350:	Facilities Manager-HCS	\$3,421	\$3,592	\$3,771	\$3,960	\$4,158	1	1	1	C-Middle Management SJCMgmtAssc
RM0226:	Management Analyst III	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	4	4	4	C-Middle Management SJCMgmtAssc
RM0203:	Clinic Services Coordinator	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	5	5	5	C-Middle Management SJCMgmtAssc
RC2070:	Hospital Materials Manager	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	1	1	1	C-Middle Management SJCMgmtAssc
RH5360:	Manager of Respiratory Care	\$3,148	\$3,306	\$3,471	\$3,645	\$3,827	1	1	1	C-Middle Management SJCMgmtAssc
RB3010:	Accounting Manager	\$3,117	\$3,273	\$3,437	\$3,609	\$3,790	1	1	1	C-Middle Management SJCMgmtAssc
RM0204:	Assistant Mgr of Patient Financial Services	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	1	1	1	C-Middle Management SJCMgmtAssc
RH0320:	Mgr of Administration & Utilization Review	\$2,826	\$2,968	\$3,117	\$3,273	\$3,437	1	1	1	C-Middle Management SJCMgmtAssc
RH0100:	Medical Staff Coordinator	\$2,799	\$2,940	\$3,087	\$3,242	\$3,404	1	1	1	C-Middle Management SJCMgmtAssc
RM0206:	Residency Training Program Manager	\$2,773	\$2,911	\$3,058	\$3,210	\$3,371	1	1	1	C-Middle Management SJCMgmtAssc
RH8005:	Director of Clinical Dietetics	\$2,759	\$2,898	\$3,042	\$3,195	\$3,355	1	1	1	C-Middle Management SJCMgmtAssc
RM0215:	Assistant Manager of Admitting	\$2,430	\$2,552	\$2,679	\$2,813	\$2,954	1	1	1	C-Middle Management SJCMgmtAssc
RP0405:	Security Manager	\$1,796	\$1,886	\$1,980	\$2,079	\$2,183	1	1	1	C-Middle Management SJCMgmtAssc
RF0204:	Manager of Laundry Services	\$1,645	\$1,727	\$1,813	\$1,904	\$1,999	1	1	1	C-Middle Management SJCMgmtAssc
- EH8001:	Physician Manager	\$7,274	<contract range>			\$24,123	6	6	6	S-Physicians Management Unrprnt
EH8002:	Physician	\$7,045	<contract range>			\$21,538	75	71 *	71 *	P-Physicians UAPD
- RH1163:	Senior Nurse Practitioner-Inpatient	\$4,982	\$5,230	\$5,492	\$5,766	\$6,054				M-Registered Nurses CNA
- RH1162:	Nurse Practitioner II-Inpatient	\$4,814	\$5,054	\$5,306	\$5,572	\$5,850	5	5	5	M-Registered Nurses CNA
- RH1167:	Senior Nurse Practitioner - Ambulatory	\$4,745	\$4,982	\$5,230	\$5,492	\$5,766	3	3	3	M-Registered Nurses CNA
- RH1161:	Nurse Practitioner I - Inpatient	\$4,630	\$4,861	\$5,104	\$5,358	\$5,626	5	5	5	M-Registered Nurses CNA
- RH1166:	Nurse Practitioner II -Ambulatory	\$4,585	\$4,814	\$5,054	\$5,306	\$5,572	1	1	1	M-Registered Nurses CNA
- RH1165:	Nurse Practitioner I -Ambulatory	\$4,409	\$4,630	\$4,861	\$5,104	\$5,358	2	2	2	M-Registered Nurses CNA
- RH1203:	Senior Physician Assistant	\$3,663	\$3,846	\$4,039	\$4,241	\$4,453				E-Professional SEIU
- RH1202:	Physician Assistant II	\$3,489	\$3,663	\$3,846	\$4,039	\$4,241				E-Professional SEIU
- RH1201:	Physician Assistant I	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039				E-Professional SEIU
RH1170:	Nurse Midwife	\$4,675	\$4,910	\$5,154	\$5,412	\$5,682	5	5	5	M-Registered Nurses CNA
RH4331:	Pharmacy Supervisor	\$4,585	\$4,814	\$5,054	\$5,306	\$5,572	2	2	2	R-Supervisors Unit SEIU
RH4350:	Pharmacist - Clinical	\$4,497	\$4,722	\$4,958	\$5,206	\$5,466	2	2	2	E-Professional SEIU
RH4355:	Pharmacist	\$4,283	\$4,497	\$4,722	\$4,958	\$5,206	14	14	14	E-Professional SEIU
- RH1106:	Staff NurseV-AssistantNDptMg-Inpatient	\$4,098	\$4,304	\$4,518	\$4,745	\$4,982	38	58 *	58 *	M-Registered Nurses CNA
- RH1105:	Staff Nurse V Clinical Nurse-Inpatient	\$4,098	\$4,304	\$4,518	\$4,745	\$4,982	14	14	14	M-Registered Nurses CNA
- RH1126:	Staff Nurse V-AssistantNrsDptMgr-Ambulatory	\$3,903	\$4,098	\$4,304	\$4,518	\$4,745	2	2	2	M-Registered Nurses CNA
- RH1125:	Staff Nurse V -Clinical -Ambulatory	\$3,903	\$4,098	\$4,304	\$4,518	\$4,745				M-Registered Nurses CNA
- RH1104:	Staff Nurse IV - Inpatient	\$3,866	\$4,059	\$4,262	\$4,475	\$4,698	179	179	179	M-Registered Nurses CNA
- RH1124:	Staff Nurse IV - Ambulatory	\$3,681	\$3,866	\$4,059	\$4,262	\$4,475	12	12	12	M-Registered Nurses CNA

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RH1103:	Staff Nurse III -Inpatient	\$3,627	\$3,809	\$3,999	\$4,199	\$4,409	126	126	126	M-Registered Nurses CNA
- RH1102:	Staff Nurse II - Inpatient	\$3,506	\$3,681	\$3,866	\$4,059	\$4,262	2	2	2	M-Registered Nurses CNA
- RH1123:	Staff Nurse III - Ambulatory	\$3,454	\$3,627	\$3,809	\$3,999	\$4,199	9	9	9	M-Registered Nurses CNA
- RH1122:	Staff Nurse II - Ambulatory	\$3,338	\$3,506	\$3,681	\$3,866	\$4,059				M-Registered Nurses CNA
- RH1101:	Staff Nurse I - Inpatient	\$3,290	\$3,454	\$3,627	\$3,809	\$3,999	26	26	26	M-Registered Nurses CNA
- RH1121:	Staff Nurse I - Ambulatory	\$3,133	\$3,290	\$3,454	\$3,627	\$3,809				M-Registered Nurses CNA
	RH1240:Quality Improvement Coordinator	\$3,903	\$4,098	\$4,304	\$4,518	\$4,745	1	1	1	M-Registered Nurses CNA
	RH1235:Infection Control Coordinator	\$3,903	\$4,098	\$4,304	\$4,518	\$4,745	1	1	1	M-Registered Nurses CNA
	RH1150:Clinical Nurse Specialist -Inpatient	\$3,866	\$4,059	\$4,262	\$4,475	\$4,698	1	1	1	M-Registered Nurses CNA
	RH4080:Assistant Clinical Lab Operations Manager	\$3,809	\$3,999	\$4,199	\$4,409	\$4,630	1	1	1	R-Supervisors Unit SEIU
	RI1204:Department Applications Analyst IV	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	4	4	4	E-Professional SEIU
	RI1104:Department Information Systems Analyst IV	\$3,699	\$3,885	\$4,079	\$4,283	\$4,497	3	3	3	E-Professional SEIU
	RH4003:Clinical Lab Scientist III	\$3,558	\$3,735	\$3,922	\$4,118	\$4,325	6	6	6	R-Supervisors Unit SEIU
	RI1103:Department Information Systems Analyst III	\$3,388	\$3,558	\$3,735	\$3,922	\$4,118	2	2	2	E-Professional SEIU
- RH5154:	Ultrasound Specialist IV	\$3,322	\$3,489	\$3,663	\$3,846	\$4,039	4	4	4	F-ParaProfessional & Tech SEIU
- RH5153:	Ultrasound Specialist III	\$3,013	\$3,164	\$3,322	\$3,489	\$3,663	2	2	2	F-ParaProfessional & Tech SEIU
- RH5152:	Ultrasound Specialist II	\$2,628	\$2,759	\$2,898	\$3,042	\$3,195				F-ParaProfessional & Tech SEIU
- RH5151:	Ultrasound Specialist I	\$2,361	\$2,478	\$2,602	\$2,732	\$2,869				F-ParaProfessional & Tech SEIU
- RH6205:	Phys Therapist IV-Assistant Department Manager	\$3,242	\$3,404	\$3,574	\$3,754	\$3,942	1	1	1	R-Supervisors Unit SEIU
- RH6204:	Physical Therapist-Clinical Specialist	\$3,242	\$3,404	\$3,574	\$3,754	\$3,942	3	3	3	E-Professional SEIU
- RH6203:	Physical Therapist-Senior	\$2,998	\$3,148	\$3,306	\$3,471	\$3,645	3	3	3	E-Professional SEIU
- RH6202:	Physical Therapist	\$2,719	\$2,854	\$2,998	\$3,148	\$3,306				E-Professional SEIU
- RH6200:	Phys Therapist Assistant	\$1,970	\$2,070	\$2,173	\$2,282	\$2,395	2	2	2	F-ParaProfessional & Tech SEIU
- RH4002:	Clinical Lab Scientist II	\$3,133	\$3,290	\$3,454	\$3,627	\$3,809	17	17	17	E-Professional SEIU
- RH4001:	Clinical Lab Scientist I	\$2,841	\$2,983	\$3,133	\$3,290	\$3,454				E-Professional SEIU
- RH5103:	NuclearMedicineSpecialist III	\$3,133	\$3,290	\$3,454	\$3,627	\$3,809	1	1	1	F-ParaProfessional & Tech SEIU
- RH5102:	NuclearMedicineSpecialist II	\$2,799	\$2,940	\$3,087	\$3,242	\$3,404				F-ParaProfessional & Tech SEIU
- RH5101:	NuclearMedicineSpecialist I	\$2,478	\$2,602	\$2,732	\$2,869	\$3,013				F-ParaProfessional & Tech SEIU
	RH5185:Radiological Tech Educational Coordinator	\$3,117	\$3,273	\$3,437	\$3,609	\$3,790	1	1	1	F-ParaProfessional & Tech SEIU
	RH6490:Audiologist	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699	1	1	1	E-Professional SEIU
- RH6404:	Speech Therapist IV-Clinical Specialist	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699	1	1	1	E-Professional SEIU
- RH6405:	Speech Therapist IV-AstDepartmentMg	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699				R-Supervisors Unit SEIU
- RH6403:	Speech Therapist III-Senior	\$2,813	\$2,954	\$3,102	\$3,258	\$3,421				E-Professional SEIU
- RH6402:	Speech Therapist II-Staff	\$2,552	\$2,679	\$2,813	\$2,954	\$3,102	2	2	2	E-Professional SEIU
- RH6401:	Speech Therapist I	\$2,349	\$2,466	\$2,590	\$2,719	\$2,854				E-Professional SEIU



**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
**FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED JUNE 2020	REQUESTED 2020-2021	RECOMMENDED 2020-2021	REPRESENTATION UNIT
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5				
- RH6005:Occup Therapist IV-Assistant	DepartmentMg	\$3,042	\$3,195	\$3,355	\$3,523	\$3,699				R-Supervisors Unit SEIU
- RH6004:Occup Therapist -Clinical Specialist		\$3,042	\$3,195	\$3,355	\$3,523	\$3,699				E-Professional SEIU
- RH6003:Occupational Therapist-Senior		\$2,841	\$2,983	\$3,133	\$3,290	\$3,454	1	1	1	E-Professional SEIU
- RH6002:Occupational Therapist		\$2,552	\$2,679	\$2,813	\$2,954	\$3,102				E-Professional SEIU
- RH6000:Occup Therapist Assistant		\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	1	1	1	F-ParaProfessional & Tech SEIU
RS3043:Clinical Social Worker III		\$3,027	\$3,179	\$3,338	\$3,506	\$3,681	1	1	1	R-Supervisors Unit SEIU
- RI1202:Department Applications Analyst II		\$2,968	\$3,117	\$3,273	\$3,437	\$3,609	2	2	2	E-Professional SEIU
- RI1201:Department Applications Analyst I		\$2,418	\$2,539	\$2,666	\$2,799	\$2,940				E-Professional SEIU
RH5005:Supervising Radiologic Technologist		\$2,940	\$3,087	\$3,242	\$3,404	\$3,574	2	2	2	R-Supervisors Unit SEIU
RH5180:Radiolog Technologist Instructor		\$2,799	\$2,940	\$3,087	\$3,242	\$3,404	1	1	1	F-ParaProfessional & Tech SEIU
- RH5003:Radiologic Technologis III-Mammography		\$2,786	\$2,926	\$3,072	\$3,226	\$3,388	1	1	1	F-ParaProfessional & Tech SEIU
- RH5004:RadiologTechnologist III-Special Procedures		\$2,786	\$2,926	\$3,072	\$3,226	\$3,388	3	3	3	F-ParaProfessional & Tech SEIU
- RH5002:Radiologic Technologist II		\$2,503	\$2,628	\$2,759	\$2,898	\$3,042	9	9	9	F-ParaProfessional & Tech SEIU
- RH5001:Radiologic Technologist I		\$2,384	\$2,503	\$2,628	\$2,759	\$2,898	9	9	9	F-ParaProfessional & Tech SEIU
MH7453:Chief Res Department Family Prac-Ped		\$2,706	\$2,841	\$2,983	\$3,133	\$3,290	1	1	1	E-Professional SEIU
MH7452:Chief Resident- Internal Medicine		\$2,706	\$2,841	\$2,983	\$3,133	\$3,290	1	1	1	E-Professional SEIU
MH7456:Chief Resident Department Surgery		-	-	-	-	\$3,258	1	1	1	E-Professional SEIU
- MH7420:Resident Physician 4th Yr		-	-	-	-	\$3,258	7	7	7	E-Professional SEIU
- MH7424:Resident Physician 3rd Yr		-	-	-	-	\$2,773	3	3	3	E-Professional SEIU
- MH7426:Resident Physician 2nd Yr		-	-	-	-	\$2,515	15	15	15	E-Professional SEIU
- MH7428:Resident Physician 1ST YEAR		-	-	-	-	\$2,226	19	19	19	E-Professional SEIU
- SH7615:Intern		-	-	-	-	\$1,970	20	20	20	E-Professional SEIU
- RS3042:Clinical Social Worker II		\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	3	3	3	E-Professional SEIU
- RS3041:Clinical Social Worker I		\$2,407	\$2,527	\$2,654	\$2,786	\$2,926	3	3	3	E-Professional SEIU
RH5352:Respiratory Care Practitioner Supervisor		\$2,679	\$2,813	\$2,954	\$3,102	\$3,258	3	3	3	R-Supervisors Unit SEIU
RB5500:Departmental Personnel Analyst		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	3	3	3	D-Confidential Unrepresented
- RB6512:Management Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	1	1	1	F-ParaProfessional & Tech SEIU
- RB6511:Management Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407				F-ParaProfessional & Tech SEIU
- RB6205:Business Analyst II		\$2,666	\$2,799	\$2,940	\$3,087	\$3,242	6	7 *	7 *	F-ParaProfessional & Tech SEIU
- RB6204:Business Analyst I		\$1,980	\$2,079	\$2,183	\$2,293	\$2,407	3	3	3	F-ParaProfessional & Tech SEIU
RB4003:Accountant III		\$2,527	\$2,654	\$2,786	\$2,926	\$3,072	3	3	3	F-ParaProfessional & Tech SEIU
- RB4002:Accountant II		\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-ParaProfessional & Tech SEIU
- RB4001:Accountant I		\$1,904	\$1,999	\$2,099	\$2,205	\$2,314				F-ParaProfessional & Tech SEIU
RH5353:Respiratory Care Practitioner II		\$2,503	\$2,628	\$2,759	\$2,898	\$3,042	18	19 *	19 *	F-ParaProfessional & Tech SEIU
- RH5351:Respiratory Care Practitioner I		\$2,384	\$2,503	\$2,628	\$2,759	\$2,898	2	2	2	F-ParaProfessional & Tech SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RC1013:	Assistant Facilities Manager-HCS	\$2,490	\$2,615	\$2,746	\$2,883	\$3,027	1	1	1	R-Supervisors Unit SEIU
RB6120:	Charge Description Master Analyst	\$2,384	\$2,503	\$2,628	\$2,759	\$2,898	1	1	1	F-ParaProfessional & Tech SEIU
RH0130:	Assistant Medical Records Administrator	\$2,384	\$2,503	\$2,628	\$2,759	\$2,898	1	1	1	R-Supervisors Unit SEIU
RI1303:	Department Information Systems Specialist III	\$2,349	\$2,466	\$2,590	\$2,719	\$2,854	2	2	2	F-ParaProfessional & Tech SEIU
- RH8002:	Clinical Dietitian II	\$2,338	\$2,454	\$2,577	\$2,706	\$2,841	6	6	6	E-Professional SEIU
- RH8001:	Clinical Dietitian I	\$2,173	\$2,282	\$2,395	\$2,515	\$2,641				E-Professional SEIU
RC5003:	Special Systems Technician	\$2,326	\$2,442	\$2,564	\$2,692	\$2,826	2	2	2	I-Trades Labor & Institutnl SEIU
RB6002:	Administrative Assistant II	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	F-ParaProfessional & Tech SEIU
RH0753:	Biomedical EquipTechnician III	\$2,314	\$2,430	\$2,552	\$2,679	\$2,813	1	1	1	R-Supervisors Unit SEIU
- RH0752:	Biomedical EquipTechnician II	\$2,162	\$2,270	\$2,384	\$2,503	\$2,628	3	3	3	I-Trades Labor & Institutnl SEIU
- RH0751:	Biomedical EquipTechnician I	\$1,961	\$2,059	\$2,162	\$2,270	\$2,384				I-Trades Labor & Institutnl SEIU
RC4001:	Office Building Engineer	\$2,270	\$2,384	\$2,503	\$2,628	\$2,759	1	1	1	I-Trades Labor & Institutnl SEIU
RC0410:	Electrician	\$2,259	\$2,372	\$2,490	\$2,615	\$2,746	2	2	2	I-Trades Labor & Institutnl SEIU
RO4212:	Medical Coder-Certified	\$2,226	\$2,338	\$2,454	\$2,577	\$2,706	12	12	12	F-ParaProfessional & Tech SEIU
RC0404:	Crafts Worker IV	\$2,226	\$2,338	\$2,454	\$2,577	\$2,706	1	1	1	R-Supervisors Unit SEIU
RH2075:	Renal Dialysis Procedures Technician	\$2,183	\$2,293	\$2,407	\$2,527	\$2,654	1	1	1	F-ParaProfessional & Tech SEIU
RH3032:	Senior Operating Room Technician	\$2,120	\$2,226	\$2,338	\$2,454	\$2,577	1	1	1	F-ParaProfessional & Tech SEIU
RC4000:	Central Plant Engineer	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	5	5	5	I-Trades Labor & Institutnl SEIU
RI1302:	Department Information Systems Specialist II	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	3	4 *	4 *	F-ParaProfessional & Tech SEIU
- RI1301:	Department Information Systems Specialist I	\$1,886	\$1,980	\$2,079	\$2,183	\$2,293				F-ParaProfessional & Tech SEIU
RC0403:	Crafts Worker III	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	10	10	10	I-Trades Labor & Institutnl SEIU
- RC0402:	Crafts Worker II	\$1,630	\$1,710	\$1,796	\$1,886	\$1,980				I-Trades Labor & Institutnl SEIU
- RC0401:	Crafts Worker I	\$1,478	\$1,552	\$1,630	\$1,710	\$1,796				I-Trades Labor & Institutnl SEIU
RH5010:	Echocardiographic Technician	\$1,942	\$2,039	\$2,142	\$2,248	\$2,361	3	3	3	F-ParaProfessional & Tech SEIU
- RH3031:	Operating Room Technician II	\$1,932	\$2,029	\$2,130	\$2,238	\$2,349	12	12	12	F-ParaProfessional & Tech SEIU
- RH3030:	Operating Room Technician I	\$1,590	\$1,670	\$1,753	\$1,840	\$1,932	4	4	4	F-ParaProfessional & Tech SEIU
RO2060:	Executive Secretary	\$1,894	\$1,990	\$2,090	\$2,194	\$2,303	1	1	1	G-Office & Office Technical SEIU
RH2010:	Special Care Clinic Supervisor	\$1,886	\$1,980	\$2,079	\$2,183	\$2,293	1	1	1	R-Supervisors Unit SEIU
- RH2002:	Sr Licensed Vocational Nurse	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226	1	1	1	F-ParaProfessional & Tech SEIU
- RH2001:	Licensed Vocational Nurse	\$1,744	\$1,830	\$1,922	\$2,019	\$2,120	14	14	14	F-ParaProfessional & Tech SEIU
- RO1141:	Residency Training Program Technician II	\$1,778	\$1,867	\$1,961	\$2,059	\$2,162	1	1	1	G-Office & Office Technical SEIU
- RO1140:	Residency Training Program Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	2	2	2	G-Office & Office Technical SEIU
RO1010:	Patient Financial Services Supervisor	\$1,761	\$1,849	\$1,942	\$2,039	\$2,142	3	3	3	R-Supervisors Unit SEIU
- RH0240:	Trauma Registrar II	\$1,753	\$1,840	\$1,932	\$2,029	\$2,130	2	2	2	G-Office & Office Technical SEIU
- RH0230:	Trauma Registrar I	\$1,590	\$1,670	\$1,753	\$1,840	\$1,932	2	2	2	G-Office & Office Technical SEIU

**COUNTY OF SAN JOAQUIN**  
**POSITION BUDGET DETAIL**  
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JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
RC2012:	Storekeeper II	\$1,753	\$1,840	\$1,932	\$2,029	\$2,130	1	1	1	R-Supervisors Unit SEIU
RC2011:	Storekeeper I	\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	4	4	4	I-Trades Labor & Institutnl SEIU
RO4603:	Senior Collections Clerk	\$1,727	\$1,813	\$1,904	\$1,999	\$2,099	2	2	2	G-Office & Office Technical SEIU
RH0120:	Medical Librarian	\$1,718	\$1,804	\$1,894	\$1,990	\$2,090	1	1	1	F-ParaProfessional & Tech SEIU
RH4903:	Laboratory Assistant Supervisor	\$1,718	\$1,804	\$1,894	\$1,990	\$2,090	1	1	1	R-Supervisors Unit SEIU
- RH2152:	Special Procedures Technician II	\$1,702	\$1,787	\$1,876	\$1,970	\$2,070				F-ParaProfessional & Tech SEIU
- RH2151:	Special Procedures Technician I	\$1,622	\$1,702	\$1,787	\$1,876	\$1,970	1	1	1	F-ParaProfessional & Tech SEIU
RO4900:	Purchasing Technician	\$1,686	\$1,770	\$1,858	\$1,951	\$2,049	3	3	3	G-Office & Office Technical SEIU
RH4930:	Medical Technician	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	2	2	2	F-ParaProfessional & Tech SEIU
RH0301:	Lead Patient Services Representative	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	2	2	2	F-ParaProfessional & Tech SEIU
RO4202:	Medical Records Technician II	\$1,670	\$1,753	\$1,840	\$1,932	\$2,029	1	1	1	G-Office & Office Technical SEIU
- RO4201:	Medical Records Technician I	\$1,514	\$1,590	\$1,670	\$1,753	\$1,840	3	3	3	G-Office & Office Technical SEIU
RI0110:	Office Systems Specialist	\$1,645	\$1,727	\$1,813	\$1,904	\$1,999	3	3	3	F-ParaProfessional & Tech SEIU
RF0302:	Assistant Manager Housekeeping Services	\$1,645	\$1,727	\$1,813	\$1,904	\$1,999	1	1	1	R-Supervisors Unit SEIU
RF0110:	Food Service Assistant Director	\$1,645	\$1,727	\$1,813	\$1,904	\$1,999	1	1	1	R-Supervisors Unit SEIU
RH4453:	Pharmacy Technician III	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	3	3	3	F-ParaProfessional & Tech SEIU
- RH4452:	Pharmacy Technician II	\$1,522	\$1,598	\$1,678	\$1,761	\$1,849	8	8	8	F-ParaProfessional & Tech SEIU
- RH4451:	Pharmacy Technician I	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	1	1	1	F-ParaProfessional & Tech SEIU
RH4410:	Pharmaceutical Inventory Tech	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	F-ParaProfessional & Tech SEIU
RO4130:	Credentialing Specialist	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	2	2	2	G-Office & Office Technical SEIU
RO3011:	Accounting Technician I	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RO2050:	Administrative Secretary	\$1,637	\$1,718	\$1,804	\$1,894	\$1,990	1	1	1	G-Office & Office Technical SEIU
RH3040:	Orthopedic Technician	\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	1	1	1	F-ParaProfessional & Tech SEIU
RH0300:	Patient Services Representative	\$1,552	\$1,630	\$1,710	\$1,796	\$1,886	11	11	11	F-ParaProfessional & Tech SEIU
RC0800:	Park Worker	\$1,537	\$1,614	\$1,694	\$1,778	\$1,867	3	3	3	I-Trades Labor & Institutnl SEIU
RO6700:	Office Technician/Coordinator	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	8	8	8	G-Office & Office Technical SEIU
RO2000:	Office Secretary	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	4	4	4	G-Office & Office Technical SEIU
RO1000:	Office Supervisor	\$1,530	\$1,606	\$1,686	\$1,770	\$1,858	9	9	9	R-Supervisors Unit SEIU
RH0853:	Sterile Processing Technician Supervisor	\$1,499	\$1,574	\$1,653	\$1,735	\$1,822	1	1	1	R-Supervisors Unit SEIU
RF0130:	Baker	\$1,492	\$1,567	\$1,645	\$1,727	\$1,813	1	1	1	I-Trades Labor & Institutnl SEIU
RF0105:	Food Service Supervisor	\$1,492	\$1,567	\$1,645	\$1,727	\$1,813	3	3	3	R-Supervisors Unit SEIU
RH4902:	Laboratory Assistant II	\$1,485	\$1,559	\$1,637	\$1,718	\$1,804	8	8	8	F-ParaProfessional & Tech SEIU
RO6120:	Department Payroll Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	2	2	2	G-Office & Office Technical SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761	24	24	24	G-Office & Office Technical SEIU
RF0203:	Lead Laundry Worker	\$1,401	\$1,470	\$1,544	\$1,622	\$1,702	1	1	1	R-Supervisors Unit SEIU

**COUNTY OF SAN JOAQUIN  
POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RH0852:	Sterile Processing Technician II	\$1,394	\$1,464	\$1,537	\$1,614	\$1,694	5	5	5	I-Trades Labor & Institutnl SEIU
- RH0851:	Sterile Processing Tech I	\$1,295	\$1,360	\$1,428	\$1,499	\$1,574	6	6	6	I-Trades Labor & Institutnl SEIU
	RH4901:Laboratory Assistant I	\$1,334	\$1,401	\$1,470	\$1,544	\$1,622	9	9	9	F-ParaProfessional & Tech SEIU
- RO6110:	Senior Office Assistant	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	50	50	50	G-Office & Office Technical SEIU
- RO6105:	Office Assistant	\$1,314	\$1,381	\$1,450	\$1,522	\$1,598	21	20 *	20 *	G-Office & Office Technical SEIU
	RH0200:Patient Registration Clerk	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	42	42	42	G-Office & Office Technical SEIU
	RC5011:Hospital Telephone Operator II	\$1,381	\$1,450	\$1,522	\$1,598	\$1,678	6	6	6	G-Office & Office Technical SEIU
- RC2001:	Stock Clerk II	\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	2	2	2	I-Trades Labor & Institutnl SEIU
- RC2000:	Stock Clerk I	\$1,246	\$1,308	\$1,374	\$1,442	\$1,514				I-Trades Labor & Institutnl SEIU
	RF0301:Lead Housekeeper	\$1,374	\$1,442	\$1,514	\$1,590	\$1,670	3	3	3	R-Supervisors Unit SEIU
	RH2060:Dialysis Patient Care Technician	\$1,328	\$1,394	\$1,464	\$1,537	\$1,614	14	16 *	16 *	F-ParaProfessional & Tech SEIU
	RH3200:Outpatient Clinic Assistant	\$1,302	\$1,367	\$1,435	\$1,506	\$1,582	81	81	81	F-ParaProfessional & Tech SEIU
	RC1500:Delivery Driver	\$1,295	\$1,360	\$1,428	\$1,499	\$1,574	1	1	1	I-Trades Labor & Institutnl SEIU
	RH0201:Hospital Unit Clerk	\$1,295	\$1,360	\$1,428	\$1,499	\$1,574	25	25	25	G-Office & Office Technical SEIU
	RF0103:Food Service Worker III	\$1,283	\$1,347	\$1,414	\$1,485	\$1,559	4	4	4	I-Trades Labor & Institutnl SEIU
- RF0102:	Food Service Worker II	\$1,170	\$1,228	\$1,289	\$1,354	\$1,422	9	9	9	I-Trades Labor & Institutnl SEIU
- RF0101:	Food Service Worker I	\$1,087	\$1,142	\$1,198	\$1,258	\$1,321	8	8	8	I-Trades Labor & Institutnl SEIU
- RH3001:	Nursing Assistant	\$1,258	\$1,321	\$1,387	\$1,457	\$1,530	40	40	40	F-ParaProfessional & Tech SEIU
- RH3000:	Nursing Assistant Trainee	\$1,125	\$1,181	\$1,240	\$1,302	\$1,367				F-ParaProfessional & Tech SEIU
	RH0820:Supply Distribution Technician	\$1,246	\$1,308	\$1,374	\$1,442	\$1,514	6	6	6	I-Trades Labor & Institutnl SEIU
	RF0300:Housekeeping Service Worker	\$1,187	\$1,246	\$1,308	\$1,374	\$1,442	42	42	42	I-Trades Labor & Institutnl SEIU
	RH0705:Hospital Attendant	\$1,181	\$1,240	\$1,302	\$1,367	\$1,435	9	9	9	F-ParaProfessional & Tech SEIU
	RF0201:Laundry Worker	\$1,109	\$1,164	\$1,222	\$1,283	\$1,347	5	5	5	I-Trades Labor & Institutnl SEIU
							<b>ALLOCATED TOTAL</b>	<b>1,389</b>	<b>1,407 *</b>	<b>1,407 *</b>
							<b>Temporary (FTE)</b>	<b>477.7</b>	<b>428.9 *</b>	<b>428.9 *</b>
							<b>POSITION TOTAL</b>	<b>1,866.7</b>	<b>1,835.9 *</b>	<b>1,835.9 *</b>
<b>Budget Unit</b>	<b>9230901000 AIRPORT ENTERPRISE</b>									
	EB1305:Airport Director	\$4,387	\$4,606	\$4,837	\$5,078	\$5,332	1	1	1	A-Executive Unrepresented
	EB1315:Airport Deputy Director	\$3,790	\$3,979	\$4,178	\$4,387	\$4,606	1	1	1	B-Senior Management Unrepresented
	RP0510:Airport Operations Supervisor	\$2,248	\$2,361	\$2,478	\$2,602	\$2,732	1	1	1	R-Supervisors Unit SEIU
- RB4002:	Accountant II	\$2,238	\$2,349	\$2,466	\$2,590	\$2,719	1	1	1	F-Paraprofessional & Technical SEIU
- RB4001:	Accountant I	\$1,904	\$1,999	\$2,099	\$2,205	\$2,314				F-Paraprofessional & Technical SEIU
	RC0404:Crafts Worker IV	\$2,226	\$2,338	\$2,454	\$2,577	\$2,706	1	1	1	R-Supervisors Unit SEIU
- RC0403:	Crafts Worker III	\$2,079	\$2,183	\$2,293	\$2,407	\$2,527	2	2	2	I-Trades Labor & Institutional SEIU
- RC0402:	Crafts Worker II	\$1,630	\$1,710	\$1,796	\$1,886	\$1,980				I-Trades Labor & Institutional SEIU

**COUNTY OF SAN JOAQUIN**

**POSITION BUDGET DETAIL  
FISCAL YEAR 2020-2021**

JOB CODE	POSITION TITLE	--BI-WEEKLY SALARY AS OF JUNE 2021--					ALLOCATED	REQUESTED	RECOMMENDED	REPRESENTATION
		STEP 1	STEP 2	STEP 3	STEP 4	STEP 5	JUNE 2020	2020-2021	2020-2021	UNIT
- RC0401:	Crafts Worker I	\$1,478	\$1,552	\$1,630	\$1,710	\$1,796				I-Trades Labor & Institutional SEIU
- RB6001:	Administrative Assistant I	\$2,019	\$2,120	\$2,226	\$2,338	\$2,454	1	1	1	F-Paraprofessional & Technical SEIU
- RB6000:	Junior Administrative Assistant	\$1,830	\$1,922	\$2,019	\$2,120	\$2,226				F-Paraprofessional & Technical SEIU
- RP0500:	Airport Operations Specialist	\$1,813	\$1,904	\$1,999	\$2,099	\$2,205	3	3	3	I-Trades Labor & Institutional SEIU
- RP0495:	Airport Operations Worker	\$1,645	\$1,727	\$1,813	\$1,904	\$1,999	4	2 *	2 *	I-Trades Labor & Institutional SEIU
RO6115:	Office Assistant Specialist	\$1,450	\$1,522	\$1,598	\$1,678	\$1,761		1 *	1 *	G-Office & Office Technical SEIU
							<b>ALLOCATED TOTAL</b>	<b>15</b>	<b>14 *</b>	<b>14 *</b>
							<b>Temporary (FTE)</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>
							<b>POSITION TOTAL</b>	<b>15.1</b>	<b>14.1 *</b>	<b>14.1 *</b>
							<hr/>			
							<b>TOTAL ALLOCATED POSITIONS</b>	<b>6,633</b>	<b>6,665 *</b>	<b>6,652 *</b>
							<b>TOTAL TEMPORARY (FTE)</b>	<b>813.8</b>	<b>794.5 *</b>	<b>794.5 *</b>
							<b>GRAND TOTAL</b>	<b>7,446.8</b>	<b>7,459.5 *</b>	<b>7,446.5 *</b>



PROPOSED BUDGET

CAPITAL ASSETS BUDGET DETAIL



**COUNTY OF SAN JOAQUIN**

**CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021**

***EQUIPMENT***

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DESCRIPTION	-----REQUESTED-----		---RECOMMENDED---	
	UNITS	AMOUNT	UNITS	AMOUNT
<b>BUDGET UNIT - 1011100000 - ASSESSOR</b>				
1001 MAPPING PLOTTER	1	<u>\$11,500</u>	1	<u>\$11,500</u>
TOTAL		\$11,500		\$11,500
<b>BUDGET UNIT - 1011200000 - PURCHASING &amp; SUPPORT SERVICES</b>				
1001 BUSINESS CARD & ENVELOPE PRINTER	1	<u>\$30,000</u>	1	<u>\$30,000</u>
TOTAL		\$30,000		\$30,000
<b>BUDGET UNIT - 1013000000 - REGISTRAR OF VOTERS</b>				
1001 POLL PRINT VOTER CHECK-IN SYSTEM	1	<u>\$25,000</u>	1	<u>\$25,000</u>
TOTAL		\$25,000		\$25,000
<b>BUDGET UNIT - 2020200000 - DISTRICT ATTORNEY'S OFFICE</b>				
1001 VEHICLES	4	\$100,000	4	\$100,000
1002 VEHICLE	1	<u>30,000</u>	0	<u>0</u>
TOTAL		\$130,000		\$100,000
<b>BUDGET UNIT - 2021609000 - CALIFORNIA METHAMPHETAMINE ENFORCEMENT TEAM</b>				
1001 VEHICLES	2	<u>\$64,000</u>	2	<u>\$64,000</u>
TOTAL		\$64,000		\$64,000
<b>BUDGET UNIT - 2021610000 - SHERIFF - AUTOMATED FINGERPRINT</b>				
3001 AUTOMATED FINGERPRINT ID EQUIPMENT	1	<u>\$700,000</u>	1	<u>\$700,000</u>
TOTAL		\$700,000		\$700,000
<b>BUDGET UNIT - 2021616000 - SHERIFF - PORT SECURITY GRANT</b>				
1001 NIGHT VISION GOGGLE SYSTEMS	10	<u>\$150,000</u>	10	<u>\$150,000</u>
TOTAL		\$150,000		\$150,000
<b>BUDGET UNIT - 2021620000 - SHERIFF - PATROL</b>				
1001 CANINES	3	\$45,000	3	\$45,000

**COUNTY OF SAN JOAQUIN**

**CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021**

2001 PORTABLE RADIO	1	<u>7,660</u>	1	<u>7,660</u>
	TOTAL	\$52,660		\$52,660

**BUDGET UNIT - 2021622000 - SHERIFF - COMMUNICATIONS**

1001 DISPATCH CONSOLE UPGRADES	5	\$68,369	5	\$68,369
2001 PORTABLE RADIO	1	<u>7,660</u>	1	<u>7,660</u>
	TOTAL	\$76,029		\$76,029

**BUDGET UNIT - 2021626000 - SHERIFF - DETECTIVES**

1001 AIRPLANE CAMERA	1	\$725,011	1	\$725,011
1002 FIXED-WING AIRPLANE	1	550,000	1	550,000
1003 FORENSIC LAB TABLE	1	<u>10,344</u>	1	<u>10,344</u>
	TOTAL	\$1,285,355		\$1,285,355

**BUDGET UNIT - 2021627000 - SHERIFF - AUTO THEFT PROG**

1001 LICENSE PLATE READER TRAILERS	2	<u>\$90,250</u>	2	<u>\$90,250</u>
	TOTAL	\$90,250		\$90,250

**BUDGET UNIT - 2021628000 - SHERIFF - RECORDS**

2001 PORTABLE RADIO	1	<u>\$7,660</u>	1	<u>\$7,660</u>
	TOTAL	\$7,660		\$7,660

**BUDGET UNIT - 2021645000 - SHERIFF - ADMINISTRATION/SUPPORT SERVICES**

1001 EVIDENCE LOCKER SYSTEM	1	<u>\$34,507</u>	1	<u>\$34,507</u>
	TOTAL	\$34,507		\$34,507

**BUDGET UNIT - 2021650000 - SHERIFF - LATHROP POLICE CONTRACT**

1001 GLIDE SCOOTER	1	\$6,495	1	\$6,495
1002 POLICE MOTORCYCLE	1	36,000	1	36,000
2001 MOBILE RADIO	1	<u>9,000</u>	1	<u>9,000</u>
	TOTAL	\$51,495		\$51,495

**BUDGET UNIT - 2021655000 - SHERIFF - PATROL-SLESF-AB109**

1001 SURVEILLANCE POLE CAMERA	1	\$15,048	1	\$15,048
1002 BOAT LIFTS	3	30,000	3	30,000



**COUNTY OF SAN JOAQUIN**

**CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021**

1003 SURVEILLANCE TRAILER SYSTEM	1	30,019	1	30,019
1004 INTERVIEW ROOM UPGRADE	1	53,209	1	53,209
1005 SCANNER/CRIME SCENE SYSTEM	1	57,882	1	57,882
3001 PATROL VEHICLE TECHNOLOGY UPGRADES	3	<u>115,506</u>	3	<u>115,506</u>
TOTAL		\$301,664		\$301,664

**BUDGET UNIT - 2021657000 - SHERIFF - CUSTODY-SLESF-AB109**

1001 BARIATRIC HOSPITAL BED	1	\$5,500	1	\$5,500
1002 DRONE FOR CUSTODY CANINE PROGRAM	1	41,861	1	41,861
1003 INMATE LAUNDRY TRUCK	1	61,052	1	61,052
3001 FIBER OPTIC CABLE PROJECT	1	<u>48,705</u>	1	<u>48,705</u>
TOTAL		\$157,118		\$157,118

**BUDGET UNIT - 2021658000 - SHERIFF - COURT SERVICES**

1001 METAL DETECTORS	2	<u>\$23,980</u>	2	<u>\$23,980</u>
TOTAL		\$23,980		\$23,980

**BUDGET UNIT - 2022600000 - SHERIFF - CUSTODY**

1001 RIDING LAWNMOWERS	2	\$23,366	2	\$23,366
1002 WAREHOUSE ELECTRIC CART	1	9,650	1	9,650
1003 PASSENGER ELECTRIC CART	1	11,087	1	11,087
1004 DUMP TRAILER	1	12,268	1	12,268
1005 WORKSTATION	1	10,000	1	10,000
1006 PICKUP	1	56,500	1	56,500
2001 PORTABLE RADIO	1	<u>7,660</u>	1	<u>7,660</u>
TOTAL		\$130,531		\$130,531

**BUDGET UNIT - 2022800000 - PROBATION - JUVENILE DETENTION**

3001 SURVEILLANCE SYSTEM UPGRADE	1	<u>\$200,000</u>	1	<u>\$200,000</u>
TOTAL		\$200,000		\$200,000

**BUDGET UNIT - 2024700000 - AGRICULTURAL COMMISSIONER**

1001 WEIGHTS & MEASURES TRAILER	1	<u>\$25,000</u>	1	<u>\$25,000</u>
TOTAL		\$25,000		\$25,000

COUNTY OF SAN JOAQUIN

CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021

**BUDGET UNIT - 2025600000 - COMMUNITY DEVELOPMENT**

1001 VEHICLE	1	\$30,000	1	\$30,000
1002 TRUCK	1	<u>40,000</u>	1	<u>40,000</u>
TOTAL		\$70,000		\$70,000

**BUDGET UNIT - 2025901000 - RECORDER EQUIPMENT/AUTOMATION**

3001 SCANNERS	3	\$25,000	3	\$25,000
3002 MICROGRAPHICS PLOTTER	1	11,500	1	11,500
3003 SERVER EQUIPMENT	1	<u>121,900</u>	1	<u>121,900</u>
TOTAL		\$158,400		\$158,400

**BUDGET UNIT - 2026000150 - HOMELAND SECURITY GRANTS**

2001 TRUNKING BASE STATION	1	<u>\$563,971</u>	1	<u>\$563,971</u>
TOTAL		\$563,971		\$563,971

**BUDGET UNIT - 3030103000 - PUBLIC WORKS - ENGINEERING**

1001 SURVEY EQUIPMENT	1	\$35,000	1	\$35,000
1002 CORE MACHINE	1	<u>6,000</u>	1	<u>6,000</u>
TOTAL		\$41,000		\$41,000

**BUDGET UNIT - 3030105000 - PUBLIC WORKS - ROAD MAINTENANCE**

1001 GRAPPLE TRUCK	1	\$200,000	1	\$200,000
1002 BRIDGE INSPECTION PLATFORM ATTACHMENT	1	<u>40,000</u>	1	<u>40,000</u>
TOTAL		\$240,000		\$240,000

**BUDGET UNIT - 4040500000 - MENTAL HEALTH SERVICES**

1001 HEAT PUMP	1	\$20,000	1	\$20,000
1002 OUTDOOR LIGHTING	1	125,000	1	125,000
1003 BOILER	1	100,000	1	100,000
1004 BACKUP GENERATOR	1	1,000,000	1	1,000,000
1005 RECONFIGURE LODI FACILITY	1	<u>90,000</u>	1	<u>90,000</u>
TOTAL		\$1,335,000		\$1,335,000

**BUDGET UNIT - 4040600000 - SUBSTANCE ABUSE SERVICES**

**COUNTY OF SAN JOAQUIN**

**CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021**

1001	REPLACEMENT SHED	1	\$5,000	1	\$5,000
1002	RECONFIGURE FRONT OFFICE AREA	1	<u>15,000</u>	1	<u>15,000</u>
	TOTAL		\$20,000		\$20,000

**BUDGET UNIT - 4040700000 - BEHAVIORAL HEALTH ADMINISTRATION**

1001	BACKFLOW VALVE REPLACEMENT	1	\$20,000	1	\$20,000
1002	KEY CARD PATIO ACCESS	1	20,000	1	20,000
1003	PUMP & MOTOR	1	<u>30,000</u>	1	<u>30,000</u>
	TOTAL		\$70,000		\$70,000

**BUDGET UNIT - 4040800000 - UTILITY DISTRICTS**

1001	VALVE INSERTION TOOL	1	\$86,000	1	\$86,000
3001	REMOTE MONITORING EQUIPMENT	1	<u>10,000</u>	1	<u>10,000</u>
	TOTAL		\$96,000		\$96,000

**BUDGET UNIT - 4041000000 - PUBLIC HEALTH SERVICES**

3001	NETWORK EQUIPMENT	1	\$55,000	1	\$55,000
3002	DATABASE SERVERS	2	46,000	2	46,000
3003	DIGITAL INFRASTRUCTURE EQUIPMENT	1	75,600	1	75,600
3004	COMPUTER SWITCHES	2	<u>20,000</u>	2	<u>20,000</u>
	TOTAL		\$196,600		\$196,600

**BUDGET UNIT - 4042000000 - ENVIRONMENTAL HEALTH**

1001	HEATING VENTILATION & AIR COND UNIT	1	<u>\$13,000</u>	1	<u>\$13,000</u>
	TOTAL		\$13,000		\$13,000

**BUDGET UNIT - 4049700000 - OFFICE OF THE MEDICAL EXAMINER**

1001	MEDICAL EXAMINER EQUIPMENT	1	\$150,000	1	\$150,000
1002	VEHICLES	3	<u>150,000</u>	3	<u>150,000</u>
	TOTAL		\$300,000		\$300,000

**BUDGET UNIT - 5050101000 - HUMAN SERVICES AGENCY**

1001	ICE MACHINE	1	\$15,000	1	\$15,000
1002	PRINT SHOP EQUIPMENT	1	20,000	1	20,000

**COUNTY OF SAN JOAQUIN**

**CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021**

1003	WORKSTATIONS - INTAKE	160	650,080	160	650,080
1004	WORKSTATIONS - 3RD FLOOR	204	1,200,132	204	1,200,132
1005	KEY CARD UPGRADE	1	300,000	1	300,000
1006	PRINTER UPGRADES	1	20,000	1	20,000
2001	NETWORK BLADES	8	72,000	8	72,000
2002	DIGITAL STORAGE NETWORK	1	45,000	1	45,000
2003	SERVER STORAGE - HYPERCONVERGE	1	750,000	1	750,000
2004	FIREWALL UPGRADE	1	300,000	1	300,000
2005	REDUNDANT NETWORK	1	50,000	1	50,000
2006	SERVER STORAGE - OCULARIS	1	90,000	1	90,000
2007	SERVER STORAGE - VDI	1	40,000	1	40,000
2008	NETWORK IMPROVEMENTS	1	<u>510,000</u>	1	<u>510,000</u>
	TOTAL		\$4,062,212		\$4,062,212

**BUDGET UNIT - 5053900000 - MARY GRAHAM CHILDRENS SHELTER**

1001	PLAYGROUND FLOORING REPLACEMENT	1	<u>\$100,000</u>	1	<u>\$100,000</u>
	TOTAL		\$100,000		\$100,000

**BUDGET UNIT - 5054101000 - AGING & COMMUNITY SERVICES**

1001	MINIVANS	2	<u>\$70,000</u>	2	<u>\$70,000</u>
	TOTAL		\$70,000		\$70,000

**BUDGET UNIT - 7070300000 - GENERAL SERVICES-PARKS & RECREATION**

1001	UTILITY CARTS	6	\$75,000	6	\$75,000
1002	PLAYGROUND EQUIPMENT	1	175,000	1	175,000
1003	KIOSK FEE COLLECTOR	1	35,000	1	35,000
1004	DIGITAL SIGN BOARDS	2	<u>130,000</u>	2	<u>130,000</u>
	TOTAL		\$415,000		\$415,000

**BUDGET UNIT - 8190000000 - FLEET SERVICES INTERNAL SERVICE FUND**

1001	REPLACEMENT VEHICLES	44	\$1,989,000	44	\$1,989,000
1002	VEHICLE LIFT	1	<u>11,000</u>	1	<u>11,000</u>
	TOTAL		\$2,000,000		\$2,000,000

**COUNTY OF SAN JOAQUIN**

**CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021**

**BUDGET UNIT - 8270000000 - RADIO INTERNAL SERVICE FUND**

1001 AIR CONDITIONING UNITS	2	\$44,000	2	\$44,000
1002 DC POWER PLANT	1	22,000	1	22,000
1003 PORTABLE GENERATOR	1	<u>20,000</u>	1	<u>20,000</u>
TOTAL		\$86,000		\$86,000

**BUDGET UNIT - 9210000000 - SOLID WASTE ENTERPRISE FUND**

1001 TRASH COMPACTOR	1	\$221,000	1	\$221,000
1002 REFUSE TRANSFER TRAILERS-LOVELACE	2	156,000	2	156,000
1003 4X4 BACKHOE LOADER-LOVELACE	1	125,000	1	125,000
1004 BACKUP GENERATOR-NORTH COUNTY	1	100,000	1	100,000
1005 DIESEL POWERED PUMP-FOOTHILL	1	100,000	1	100,000
1006 LED MONITORS	2	60,000	2	60,000
1007 WELL PUMP-HARNEY LANE	1	50,000	1	50,000
1008 FLARE OPERATION DATA COLL EQUIPMENT	2	80,000	2	80,000
1010 LANDFILL GAS COLL EQUIP REPLACEMENT	1	30,000	1	30,000
1011 LANDFILL GAS VALVE	1	25,000	1	25,000
1012 TRANSPORT & MAINTENANCE VEHICLE	1	22,000	1	22,000
1013 DIESEL UTV FOR ENG & LANDFILL MAINTENANCE	1	20,000	1	20,000
1014 COATS TIRE MACHINES	2	10,000	2	10,000
1015 UTILITY TRAILER-ENGINEERING	1	10,000	1	10,000
1016 GENERATOR-FOOTHILL SCALEHOUSE	1	7,500	1	7,500
1017 LEACHATE PUMP-CORRAL HOLLOW	1	5,000	1	5,000
1018 OIL COLLECTION CENTER	1	40,000	1	40,000
3001 DATA NETWORK UPGRADE-LOVELACE	1	<u>31,000</u>	1	<u>31,000</u>
TOTAL		\$1,092,500		\$1,092,500

**BUDGET UNIT - 9221100000 - SAN JOAQUIN GENERAL HOSPITAL ENTERPRISE FUND**

1001 MEDICAL EQUIPMENT	1	\$4,567,700	1	\$4,567,700
1002 CAPITAL CONTINGENCY	1	1,500,000	1	1,500,000
3001 INFORMATION TECH SOFTWARE & HARDWARE	1	<u>4,100,012</u>	1	<u>4,100,012</u>
TOTAL		\$10,167,712		\$10,167,712

**COUNTY OF SAN JOAQUIN**

**CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021**

**BUDGET UNIT - 9230901000 - AIRPORT ENTERPRISE FUND**

1001 PAINT MACHINE	1	<u>\$30,000</u>	1	<u>\$30,000</u>
TOTAL		\$30,000		\$30,000

**BUDGET UNIT - 9231000000 - AIRPORT CAPITAL PROJECTS**

1001 AIRCRAFT RESCUE & FIRE FIGHTING VEH	1	<u>\$972,569</u>	1	<u>\$972,569</u>
TOTAL		\$972,569		\$972,569

**EQUIPMENT - SUBTOTAL** \$25,646,713 \$25,616,713

**STRUCTURES & IMPROVEMENTS**

DESCRIPTION	-----REQUESTED-----		---RECOMMENDED---	
	UNITS	AMOUNT	UNITS	AMOUNT
<b>BUDGET UNIT - 1013000000 - REGISTRAR OF VOTERS</b>				
4001 WAREHOUSE CAGE	1	<u>\$15,000</u>	1	<u>\$15,000</u>
TOTAL		\$15,000		\$15,000
<b>BUDGET UNIT - 1040148000 - PUBLIC IMPROVEMENT</b>				
4001 CAPITAL PROJECTS	1	<u>\$18,194,861</u>	1	<u>\$18,194,861</u>
<i>New Construction &amp; Facilities Planning</i>	TOTAL	\$18,194,861		\$18,194,861
<b>BUDGET UNIT - 2022600000 - SHERIFF - CUSTODY</b>				
4001 PORTABLE MODULAR TRAILER	1	<u>\$146,816</u>	1	<u>\$146,816</u>
TOTAL		\$146,816		\$146,816
<b>BUDGET UNIT - 2022785000 - AB118-ELEAS-COPS-JJP</b>				
4001 CANLIS BASEMENT REMODEL	1	<u>\$675,000</u>	1	<u>\$675,000</u>
TOTAL		\$675,000		\$675,000
<b>BUDGET UNIT - 2024700000 - AGRICULTURAL COMMISSIONER</b>				
4001 PARKING AREA FENCE EXTENSION	1	<u>\$295,000</u>	1	<u>\$295,000</u>
TOTAL		\$295,000		\$295,000

COUNTY OF SAN JOAQUIN

CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021

**BUDGET UNIT - 3030105000 - PUBLIC WORKS - ROAD MAINTENANCE**

4001	TRAFFIC MAINTENANCE STORAGE BUILDING	1	\$150,000	1	\$150,000
4002	AUTOMATED GATE FOR CORP YARD	1	100,000	1	100,000
4003	ELECTRONIC DOOR LOCK UPGRADE	1	<u>100,000</u>	1	<u>100,000</u>
	TOTAL		\$350,000		\$350,000

**BUDGET UNIT - 4040500000 - MENTAL HEALTH SERVICES**

4001	STONE VENEER REPLACEMENT	1	\$20,000	1	\$20,000
4002	CONFERENCE ROOM SAFETY IMPROVEMENTS	1	80,000	1	80,000
4003	PUBLIC RESTROOM ADA UPGRADES	1	275,173	1	275,173
4004	RESTROOM & SHOWER RENOVATION	1	500,000	1	500,000
4005	SECURITY CAMERA PROJECT	1	200,000	1	200,000
4006	ADDITIONAL PARKING	1	200,000	1	200,000
4007	EXTERIOR CLEANING & PAINTING	1	450,000	1	450,000
4008	PROTECTIVE WALL SHEETS	1	48,000	1	48,000
4009	WARD X BUILDING UPGRADES	1	500,000	1	500,000
4010	CHFFA PROJECT PHASE 1	1	3,561,846	1	3,561,846
4011	CHFFA PROJECT PHASE 2	1	4,275,000	1	4,275,000
4012	24-HOUR & CRISIS FLOORING	1	<u>75,000</u>	1	<u>75,000</u>
	TOTAL		\$10,185,019		\$10,185,019

**BUDGET UNIT - 4040600000 - SUBSTANCE ABUSE SERVICES**

4001	RESURFACE ASPHALT	1	\$15,000	1	\$15,000
4002	DINING ROOM & STAFF AREA REMODEL	1	<u>200,000</u>	1	<u>200,000</u>
	TOTAL		\$215,000		\$215,000

**BUDGET UNIT - 5050101000 - HUMAN SERVICES - ADMIN**

4001	HEATING VENTILATION & AIR COND	1	\$3,500,000	1	\$3,500,000
4002	COUNTER SHIELDS	1	50,000	1	50,000
4003	DOOR REPLACEMENTS	1	72,496	1	72,496
4004	LIGHTING PROGRAM	1	<u>120,000</u>	1	<u>120,000</u>
	TOTAL		\$3,742,496		\$3,742,496

**BUDGET UNIT - 5053900000 - MARY GRAHAM CHILDRENS SHELTER**

**COUNTY OF SAN JOAQUIN**

**CAPITAL ASSETS BUDGET DETAIL  
FISCAL YEAR 2020-2021**

4001 ROOF REPAIR	1	<u>\$150,000</u>	1	<u>\$150,000</u>
	TOTAL	\$150,000		\$150,000

**BUDGET UNIT - 5055246000 - NEIGHBORHOOD PRESERVATION**

4001 FACILITY PROJECT DEVELOPMENT	1	\$26,500	1	\$26,500
4002 EAST STOCKTON STORM DRAIN IMPROVEMENTS	1	244,096	1	244,096
4003 SOUTH STOCKTON SIDEWALKS	1	<u>346,830</u>	1	<u>346,830</u>
	TOTAL	\$617,426		\$617,426

**BUDGET UNIT - 9210000000 - SOLID WASTE ENTERPRISE FUND**

4001 ALTERNATIVE ENERGY-NORTH COUNTY	1	\$75,000	1	\$75,000
4002 MITIGATION IMPACT FEES-FOOTHILL	1	512,500	1	512,500
4003 GROUNDWATER REMEDIATION SYSTEM	1	50,000	1	50,000
4004 GAS EXTRACTION WELLS-NORTH COUNTY	4	200,000	4	200,000
4005 ORGANIC PAD IMPROVEMENT-NORTH COUNTY	1	100,000	1	100,000
4006 GROUNDWATER WELLS-HARNEY LANE	3	180,000	3	180,000
4007 GROUNDWATER WELLS-NORTH COUNTY	3	180,000	3	180,000
4008 70 FOOT SCALE-NORTH COUNTY	1	110,000	1	110,000
4009 HORIZONTAL GAS COLLECTOR-FOOTHILL	1	100,000	1	100,000
4010 HORIZONTAL GAS COLLECTOR-NORTH COUNTY	1	100,000	1	100,000
4011 GAS EXTRACTION WELL-FOOTHILL	1	80,000	1	80,000
4012 WINDOW UPGRADES-NORTH COUNTY SCALEHOUSE	1	50,000	1	50,000
4013 WEIGH SYSTEM UPGRADE-NORTH COUNTY	1	40,000	1	40,000
4014 UPGRADE LEACHATE CONTROLS-FOOTHILL	1	<u>37,500</u>	1	<u>37,500</u>
	TOTAL	\$1,815,000		\$1,815,000

**BUDGET UNIT - 9221100000 - SAN JOAQUIN GENERAL HOSPITAL ENTERPRISE FUND**

4001 INPATIENT PHARMACY RELOCATION	1	\$1,616,100	1	\$1,616,100
4002 MRI ARCHITECTURAL/ENGINEERING	1	300,000	1	300,000
4003 CARDIAC CATH LAB REPLACEMENT	1	200,000	1	200,000
4004 DOMESTIC WATER FILTRATION SYSTEM	1	200,000	1	200,000
4005 BENTON HALL BUILDING IMPROVEMENTS	1	150,000	1	150,000
4006 TRAUMA BAY UPGRADES	1	<u>300,000</u>	1	<u>300,000</u>



**COUNTY OF SAN JOAQUIN**  
**CAPITAL ASSETS BUDGET DETAIL**  
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	TOTAL		<u>\$2,766,100</u>		<u>\$2,766,100</u>
 <b>BUDGET UNIT - 9230901000 - AIRPORT ENTERPRISE FUND</b>					
4001 SJCOG MEASURE K EXPENDITURE PLAN	1		<u>\$330,000</u>	1	<u>\$330,000</u>
	TOTAL		<u>\$330,000</u>		<u>\$330,000</u>
 <b>BUDGET UNIT - 9231000000 - AIRPORT CAPITAL PROJECT</b>					
4001 SECURITY ACCESS SYSTEM UPGRADES	1		\$2,011,080	1	\$2,011,080
4002 PERIMETER FENCING	1		1,992,100	1	1,992,100
4003 RUNWAY REHABILITATION	1		1,217,040	1	1,217,040
4004 TAXIWAY D REHABILITATION	1		<u>4,637,461</u>	1	<u>4,637,461</u>
	TOTAL		<u>\$9,857,681</u>		<u>\$9,857,681</u>
<b>STRUCTURES &amp; IMPROVEMENTS - SUBTOTAL</b>			<u><b>\$49,355,399</b></u>		<u><b>\$49,355,399</b></u>
<b>EQUIPMENT/STRUCTURES &amp; IMPROVEMENTS GRAND TOTAL</b>			<u><u><b>\$75,002,112</b></u></u>		<u><u><b>\$74,972,112</b></u></u>



**SAN**  **JOAQUIN**  
— COUNTY —

**Office of the County Administrator**

*[www.sjgov.org/departments/cao](http://www.sjgov.org/departments/cao)*