

# 2022702510—Probation-Local Community Corrections

## Law & Justice

Stephanie L. James, Chief Probation Officer

Special Revenue Fund	2017-2018 Actual	2018-2019 Adjusted	2019-2020 Requested	2019-2020 Recommended	Increase/ (Decrease)
<b>Expenditures</b>					
Salaries & Benefits	\$4,067,567	\$5,448,907	\$6,367,081	\$6,367,081	\$918,174
Services & Supplies	10,598,840	17,720,734	18,148,930	18,148,930	428,196
Centrally-Budgeted Expenses	349,315	252,940	336,367	336,367	83,427
Operating Transfers Out	5,923,223	6,028,282	6,466,959	6,466,959	438,677
<b>Total Expenditures</b>	<b>\$20,938,945</b>	<b>\$29,450,863</b>	<b>\$31,319,337</b>	<b>\$31,319,337</b>	<b>\$1,868,474</b>
Expenditure Reimbursements	(505,205)	(1,476,224)	(637,826)	(637,826)	838,398
<b>Total Appropriations</b>	<b>\$20,433,740</b>	<b>\$27,974,639</b>	<b>\$30,681,511</b>	<b>\$30,681,511</b>	<b>\$2,706,872</b>
<b>Earned Revenues By Source</b>					
Interest/Rents	\$326,824	\$271,034	\$563,010	\$563,010	\$291,976
Aid From Other Governments	24,771,082	26,760,355	29,618,501	29,618,501	2,858,146
Operating Transfers In	543,471	943,250	500,000	500,000	(443,250)
Fund Balance	(5,207,637)	0	0	0	0
<b>Total Revenues</b>	<b>\$20,433,740</b>	<b>\$27,974,639</b>	<b>\$30,681,511</b>	<b>\$30,681,511</b>	<b>\$2,706,872</b>
<b>Net County Cost</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Staffing</b>					
Allocated Positions	35.0	42.0	46.0	46.0	4.0
Temporary (Full-Time Equivalent)	1.3	1.5	2.3	2.3	0.8
<b>Total Staffing</b>	<b>36.3</b>	<b>43.5</b>	<b>48.3</b>	<b>48.3</b>	<b>4.8</b>

## Purpose

The 2011 Public Safety Realignment Act also known as Assembly Bill (AB) 109, transferred responsibility for supervising specified lower level inmates and parolees from the State to counties. AB 109 requirements are implemented in each county through a Community Corrections Partnership (CCP) previously established by Senate Bill (SB) 678. The CCP is charged with developing a plan of implementation and oversight of the public safety realignment process. The San Joaquin County Public Safety Realignment Plan coordinates activities among several County agencies, Superior Court, local law enforcement, and various community-based organizations.

Funding for AB 109 activities is provided through the County budget to the Probation Department, the Sheriff's Office, and other agencies. This narrative describes the Local Community Corrections services provided by the Probation Department.

## Major Budget Changes

### Salaries & Employee Benefits

- \$406,437 Salary and benefits adjustments.
- \$179,066 Add a Probation Officer and a Stock Clerk position.
- \$277,702 Transfer two Probation Officer positions from the Juvenile Supplemental Law Enforcement Act (JSLEA) and Juvenile budgets.
- \$36,529 Increase in extra-help.
- \$18,440 Increase in overtime.

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### Services & Supplies

- \$1,401,523 Increase in contract services provided by community-based organizations.
- \$370,523 Increase in allocation to Correctional Health Services.
- \$346,275 Increase in allocation to Public Defender.
- \$287,027 Increase in realignment costs.
- \$278,851 Increase in allocation to Pretrial Assessments and Monitoring.
- \$31,762 Increase in allocation to District Attorney.
- \$17,000 Increase in evaluation costs.
- \$15,601 Increase in home detention Global Positioning Satellite (GPS) monitoring equipment costs.
- \$14,005 Increase in crisis housing costs.
- \$11,339 Increase in facilities lease costs.
- (\$1,500,000) Decrease in pilot projects costs.
- (\$443,250) Decrease in allocation of discretionary funds for early-release offender program.
- (\$386,886) Decrease in AB 109 expenses.
- (\$26,896) Decrease in drug and alcohol treatment costs.

### Centrally-Budgeted Expenses

- \$71,046 Increase in data processing charges.
- \$32,914 Increase in radio maintenance costs.
- (\$13,393) Decrease in Workers' Compensation insurance costs.
- (\$12,755) Cost Allocation Plan adjustment.

### Operating Transfers Out

- \$438,677 Increase in allocation to the Sheriff's Office.

### Expenditure Reimbursements

- \$67,142 Increase in reimbursement for one-third of the cost of a Deputy Chief Probation Officer and an Executive Secretary, from the SB 678 and JSLEA budgets.
- (\$943,250) Decrease in reimbursement for supervision of early-release offenders.

### Revenues

- \$1,745,944 Increase in State funding for Local Community Corrections.
- \$1,112,202 Increase in Public Safety Realignment funding.
- \$291,976 Increase in interest income.
- (\$443,250) Decrease in funding for supervision of early-release offenders.

## Program Discussion

The 2019-2020 recommended budget for Local Community Corrections totals \$31,319,337, which is an increase of \$1,868,474 from the 2018-2019 adjusted budget. This change represents salary and benefits adjustments, the addition of three Probation Officers and one Stock Clerk, increases in extra-help and overtime costs, facility leases, data processing, radio maintenance, contract services costs, and various program costs as authorized by the CCP, partially offset by decreases in pilot project costs and decreases in allocation of State programs. The recommended budget also provides funding for the Day Reporting Center (DRC) and Pretrial Assessment and Monitoring Programs, which are discussed in the program narrative for Adult SB 678 (#2022702300) and Pretrial Services (#2021200000).

For 2019-2020, Public Safety Realignment funding has been allocated by the CCP: a Pretrial Assessment and Monitoring Program; staff and operating costs for additional Jail beds, in-custody programming, and alternatives to incarceration; bailiffs for AB 109 court programs; intensive supervision (High-Risk Unit (HRU), Violent Crimes Unit, and Transitional Age Youth Unit); Assessment Center; DRC; evidence-based programming; in-custody medical services; behavioral health treatment/services; employment training and services; transportation assistance; home detention with electronic or GPS monitoring; eligibility screening for assistance programs; transitional housing; Post Release Community Supervision (PRCS), Mandatory Supervision (MS), and monitoring courts; law enforcement task force;

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service referrals to community-based organizations; parole revocation hearing legal costs; data collection and evaluation; and administrative support costs. Additionally, the 2019-2020 budget includes the previous “pilot projects” that will now receive ongoing funding: Cal-Fresh Employment and Training program, Alternatives to Violence education program, case management services for felony probationers and in-custody inmates, telephone court reminders, and homelessness and recidivism prevention. As of March 31, 2019, the Local Community Corrections fund balance (#20051) was \$36,428,337.

In 2019-2020, the Probation Department will utilize AB 109 funding for the following:

### High Risk Unit (HRU)

The HRU supervises clients deemed to be at high-risk for re-offending. The HRU consists of Probation Officers operating in two-Officer teams whose main function is to conduct field visits with clients to monitor their activities. Field visits can occur at home, work, school, or treatment locations. Clients are also required to regularly report in person to their assigned Probation Officer. Officers are responsible for conducting assessments, making program referrals, developing case plans, monitoring clients’ progress, and are trained in the principles of effective correctional interventions and cognitive behavioral curricula. The HRU employs an evidence-based programming design that mimics the programming offered at the DRC.

The HRU works non-traditional schedules, including evenings and weekends. The HRU also participates in Firearms Reduction Consortium missions with the Stockton Police, Sheriff’s Office, and District Attorney’s Office; Sheriff’s Safe Holiday sweeps; Lodi Police Safe Summer missions; as well as Operation Ceasefire call-ins and follow-ups.

An Officer in this Unit supervises offenders assigned to the PRCS Court. This program is based on the evidence-based Drug Court model and serves clients who have significant drug and alcohol issues. The Officer works closely with the Court, Case Manager, and Behavioral Health Services to develop and monitor an integrated case plan.

Another Officer in this Unit is assigned to supervise offenders in the Mandatory Supervision Court. Supervision begins while the client is in custody, assessing risk of re-offending and support needs prior to being released, linking to services, and transitioning services after the offender appears in Court.

### Violent Crimes Unit (VCU)

The VCU was created in response to the significant number of AB 109 clients assessed as being high-risk to re-offend for

further violent acts. Operating similar to the HRU, the two-Officer teams in the VCU conduct field and office visits with potentially violent offenders to monitor their activities and verify compliance. The HRU employs an evidence-based programming design that mimics the programming offered at the DRC.

An Officer in this Unit supervises clients assigned to the Monitoring Court. This program is based on the Drug Court model and serves clients at high-risk for committing a violent act that have not been performing satisfactorily on community supervision, and would benefit from additional monitoring by the Court.

An Officer is assigned to work with other law enforcement agencies on the CCP Task Force. The Task Force assists the Department in conducting compliance checks and actively searching for wanted clients.

### Transitional Age Youth (TAY) Unit

Recent research in adolescent brain development has shown that youth ages 18 to 25 are still undergoing significant cognitive brain development and are in need of additional services. Data gathered from the AB 109 Year 6 report shows that 81% of the 18 to 25 year-old population with prior convictions have at least one arrest and 63% have at least one conviction within three years from their release from custody. The arrest rate is 14.4% higher and the conviction rate is 11% higher than the remaining AB 109 population.

In 2019-2020, the TAY Unit is being created to address the needs of clients ages 18 to 25 years-old that are deemed to be at high-risk for re-offending. This Unit will be formed by adding a Probation Unit Supervisor from JSLEA funding and adding an AB 109 Probation Officer III. The Unit will be fully-formed by transferring existing Probation Officers and the appropriate cases from the AB 109 HRU, the AB 109 VCU, as well as from the Juvenile and Adult Divisions of the Probation Department. This cross-divisional Unit will work together to address the significant needs of this population.

The Unit is designed to provide added support and supervision for at-risk young adults including, but not limited to, mental health, education, job training and assistance, and cognitive behavioral programming. Officers assigned to the Unit are trained in the principles of effective correctional interventions and cognitive behavioral curricula.

The TAY Unit will provide community supervision to PRCS, MS, and probation clients. TAY will follow the DRC model for evidence-based programming, but it is for clients who are unable to attend programming on a daily basis due to conflicts with employment, childcare, or other mandated programming requirements. Clients can complete the programming over a 9 to 12-month period. Clients are able to attend Orientation,

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Cognitive Based Intervention: Substance Abuse, Foundations (a component of Thinking for a Change), Social Skills, Advance Practice, Anger Control Training, obtain their diploma or GED through San Joaquin County Office of Education (SJCOE), and vocational education training through Northern California Construction Technologies (NCCT). TAY will be a collaborative effort between the Probation Department, Behavioral Health Services (BHS), Victor Community Support Services, SJCOE, and NCCT. All clients are required to complete a three-phase system and participate in three months of aftercare.

At this time, all program offerings and services have not been fully developed; however, prior to implementation in 2019-2020, the Probation Department will meet with stakeholders to develop a plan to address this high-risk and high-need population.

### Assessment Center

Clients referred to the Assessment Center are those released from State prison or County Jail that are under community supervision by the Department. Probation Officers assigned to the Assessment Center assess the client's risk to re-offend, develop a case plan identifying individual needs, determine the appropriate level and type of supervision, and refer to appropriate evidence-based programs and/or supportive services to assist in transition back into the community and rehabilitation efforts. An Officer is housed at the County Jail to assess clients in custody, in order to develop a plan for a seamless transition back into the community. In 2018-2019, the Unit began video conferencing with AB 109 clients who

are housed in prisons throughout the State in an effort to improve the transition (warm hand-off) from State prison to community supervision.

The Assessment Center, in partnership with BHS, Human Services Agency, and the Employment and Economic Development Department, serves as a hub for the comprehensive delivery of services to clients. It allows Officers to complete risk/needs assessments and provide intake decisions that incorporate multi-disciplinary team screening and assessment, decrease duplication of services between agencies, and facilitate multi-agency background sharing and record checking. Each of the partner agencies housed in the Assessment Center provides program-specific assessments to determine the client's level of readiness to receive appropriate services. The multi-agency agreements and rapport built among the agency participants in the Assessment Center overcome many of the traditional roadblocks to information sharing, and provide a continuum of care with immediate services and supervision.

### Administrative Support

Responsibilities for the direct administrative services of AB 109 funds include overseeing and managing all the County AB 109 programs, including developing contracts, paying and tracking expenditures, procuring equipment, data collection, required reporting, fiscal oversight, composing Board letters, monitoring contracts for fiscal and programmatic compliance, overseeing the CCP, collecting and tracking recidivism information, and overseeing the programs that supervise and provide services to the AB 109 clients.

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<b>Distribution of Local Community Corrections (AB 109) Funds</b>			
<b>Organization</b>	<b>Actual 2017-2018</b>	<b>Est./Act. 2018-2019</b>	<b>Projected 2019-2020</b>
<i>Pretrial Assessment and Monitoring</i>	\$1,914,977	\$2,106,474	\$2,266,739
<i>Sheriff's Office</i>			
<i>Jail Beds</i>	4,188,947	4,295,944	4,695,369
<i>Jail Programming</i>	425,992	441,457	445,651
<i>Alternatives to Incarceration</i>	293,542	338,542	312,605
<i>Bailiffs</i>	215,983	187,589	198,575
<i>AB 109 Support</i>	768,688	764,750	814,759
<i>Probation Department</i>			
<i>High-Risk Unit</i>	1,507,325	1,474,100	1,561,577
<i>Violent Crimes Unit</i>	1,235,789	1,536,316	1,551,911
<i>Transitional Age Youth Unit</i>	0	0	143,384
<i>Assessment Center</i>	671,720	708,466	725,008
<i>Day Reporting Center</i>	642,259	705,463	743,682
<i>Evidence-Based Programming</i>	109,699	120,235	125,432
<i>Correctional Health Services</i>	1,633,274	2,697,114	2,697,114
<i>Behavioral Health Services</i>	1,117,747	1,171,144	1,221,194
<i>Employment and Economic Development Department</i>	716,350	759,142	801,940
<i>Transportation (Probation)</i>	25,000	25,000	26,248
<i>Global Positioning Satellite (Probation)</i>	100,000	100,000	100,000
<i>Human Services Agency</i>			
<i>Eligibility Screening</i>	220,465	226,488	128,943
<i>Transitional Housing</i>	261,023	258,639	272,644
<i>Superior Court</i>			
<i>Post-Release Supervision Court</i>	805,979	862,282	1,018,586
<i>Mandatory Supervision Court</i>	272,203	321,381	54,840
<i>Monitoring Court</i>	547,874	605,864	804,087
<i>Community Corrections Partnership Task Force</i>	768,629	1,193,763	1,225,763
<i>Friends Outside</i>	265,996	273,020	319,977
<i>Community Partnership for Families</i>	181,280	199,408	209,378
<i>El Concilio</i>	181,280	199,408	217,348
<i>Fathers &amp; Families of San Joaquin</i>	181,280	203,477	221,224
<i>Mary Magdalene Community Services</i>	181,280	199,017	215,958
<i>District Attorney (Parole Revocation Process)</i>	266,775	313,586	345,348
<i>Public Defender (Parole Revocation Process)</i>	266,775	313,586	313,586
<i>San Joaquin Community Data Co-Op</i>	149,869	147,692	164,692
<i>Community Partnership for Families (CalFresh E&amp;T)</i>	0	248,269	258,160
<i>El Concilio (Alternatives to Violence)</i>	0	19,140	20,527
<i>Fathers &amp; Families of San Joaquin (Probation CM)</i>	0	185,225	197,247
<i>Friends Outside (In-Custody Case Management)</i>	0	176,805	187,286
<i>Mary Magdalene Community Services (Probation CM)</i>	0	185,224	191,862
<i>Public Defender (Uptrust)</i>	0	100,000	80,000
<i>Ready to Work (Homelessness and Recidivism Prevention)</i>	0	558,981	577,191
<i>Probation Department (AB 109 Administrative Services)</i>	1,402,845	1,616,656	1,753,895
<b>Total Distribution of Funds</b>	<b>\$21,520,845</b>	<b>\$25,839,647</b>	<b>\$27,209,730</b>

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### Workload Data

	-----Actual-----			Est./Act. 2018-2019	Projected 2019-2020
	2015-2016	2016-2017	2017-2018		
<i>Post-Release Community Supervision</i>					
<i>Referrals</i>	558	597	522	516	520
<i>Local Community Supervision</i>					
<i>Referrals (split sentences only)</i>	126	154	118	144	130
<i>Assessment Center Cases</i>	148	271	168	175	180
<i>High-Risk Unit Cases</i>	237	221	242	260	235
<i>Day Reporting Center Cases</i>	75	63	87	125	130
<i>Violent Crimes Unit Cases</i>	435	327	320	340	315
<i>Transitional Age Youth Unit Cases</i>	N/A	N/A	N/A	N/A	40